

Independent Auditor's Report on the compliance with conditions of proposed preferential issue

The Board of Directors,
Suzlon Energy Limited,
5, Shrimali Society, Near Shrikrishna Complex,
Navrangpura, Ahmedabad,
Gujarat-380009

Dear Sirs / Madam,

1. This report is issued in accordance with the terms of our engagement letter vide reference no. HMJ/ 2020-21/5 dated 18 April 2020.
2. In connection with the proposed preferential issue of equity shares and compulsorily convertible debentures (CCDs) (collectively, the "Securities") of Suzlon Energy Limited (the "Company"), the Company is required to obtain a report from the Statutory Auditors, with regard to compliance with the conditions of the proposed preferential issue of Securities, as required by Securities and Exchange Board of India (SEBI) (Issue of Capital and Disclosure Requirements) Regulations, 2018, as amended (the "ICDR Regulations").
3. The accompanying statement contains details of proposed preferential issue being made (the "Statement") as required by ICDR Regulations, is prepared by the management of the Company, which we have initialled for identification purpose only.
4. We had issued independent auditor's report dated 23 March 2020 (UDIN 20038019AAAACU5665) in connection with the proposed preferential issue of Securities. As per the communication received from the management of the Company, the Extra Ordinary General Meeting which was supposed to be held on 24 March 2020 got postponed to 07 April 2020 and then further got postponed to 15 April 2020. Subsequently, the meetings so postponed got cancelled. Hence, we have been requested by the management of the Company to issue a revised report with updated information with regard to the proposed preferential issue of Securities due to change in date. Accordingly, this report supersedes the independent auditor's report dated 23 March 2020 (UDIN 20038019AAAACU5665) issued by us earlier.

Managements' Responsibility for the Statement

5. The preparation of the accompanying Statement is the responsibility of the Management of the Company. This responsibility includes designing, implementing and maintaining internal control relevant to the preparation and presentation of the Statement, and applying an appropriate basis of preparation; and making estimates that are reasonable in the circumstances.
6. The Management is also responsible for ensuring that the Company complies with the below requirements of the ICDR Regulations:

- i) Determine the relevant date, being the date thirty days prior to the date on which the meeting of shareholders is held to consider the proposed preferential issue;
- ii) Determination of the minimum price of equity shares and minimum conversion price of CCDs per equity shares being higher of:
 - a) the average of the weekly high and low of the volume weighted average price of the related equity shares quoted on the recognised stock exchange during the twenty six weeks preceding the relevant date; or
 - b) the average of the weekly high and low of the volume weighted average prices of the related equity shares quoted on a recognised stock exchange during the two weeks preceding the relevant date.
- iii) Compliance with requirements of the ICDR Regulations.

Auditor's Responsibility

7. Pursuant to the requirements of sub para 2 of para 163 of Part III of chapter V of the ICDR Regulations, it is our responsibility to obtain limited assurance and conclude as to whether the details of the proposed preferential issue of Securities provided in the Statement is in accordance with the requirements of the ICDR Regulations as applicable to the preferential issue of Securities. Accordingly, our scope of work did not include verification of compliance with other requirements of the ICDR Regulations, Companies Act, 2013, Rules and Regulations framed thereunder, other circulars, notifications, etc. as issued by relevant regulatory authorities from time to time, and any other laws and regulations applicable to the company.
8. We conducted our examination of the details in accordance with the Guidance Note on Reports or Certificates for Special Purposes issued by the Institute of Chartered Accountants of India. The Guidance Note requires that we comply with the ethical requirements of the Code of Ethics issued by the Institute of Chartered Accountants of India.
9. We have complied with the relevant applicable requirements of the Standard on Quality Control (SQC) 1, Quality Control for Firms that Perform Audits and Reviews of Historical Financial Information, and Other Assurance and Related Services Engagements.
10. A limited assurance engagement includes performing procedures to obtain sufficient appropriate evidence that vary in nature, timing and extent than a reasonable assurance. Consequently, the level of assurance obtained in a limited assurance engagement is substantially lower than the assurance that would have been obtained had we performed a reasonable assurance engagement. Accordingly, we have performed the following procedures in relation to the Statement:
 - Noted the relevant date, being thirty days prior to the date on which the resolution shall be deemed to be passed, i.e 18 May 2020 which is the last date specified in this Notice for e-voting;
 - Verified the calculation of the minimum price of the equity shares and minimum conversion price of CCDs to be allotted in preferential issue in accordance with pricing formula given in para 6(ii) above;
 - Obtained and read the copy of Postal Ballot Notice dated 18 April 2020 for seeking approval of shareholders by way of Postal Ballot to note that the equity shares to be issued are fully paid up;
 - Obtained and read the copy of Postal Ballot Notice dated 18 April 2020 for seeking approval of shareholder by way of Postal Ballot for approving the proposed preferential issue of securities by way of special resolution.

- Obtained confirmation from the registrar of the Company confirming that the pre-preferential shareholding of the proposed allottees are held in dematerialized form
- Verified Permanent Account Numbers (PAN) as per the Statement from the attested copy of PAN; and
- Conducted relevant management inquiries and obtained necessary representations.

Conclusion

11. Based on our examination as above, and the information and explanations given to us, nothing has come to our attention that causes us to believe that the details of the proposed preferential issue of Securities provided in the Statement are not in accordance with the requirements of the ICDR Regulations as applicable to the preferential issue of Securities.

Restriction on Use

12. This report is addressed to and provided to the Board of Directors of the Company solely for the purpose of further submission to the extraordinary general meeting of shareholders considering the proposed preferential issue of Securities and should not be used by any other person or for any other purpose. Accordingly, we do not accept or assume any liability or any duty of care for any other purpose or to any other person to whom this report is shown or into whose hands it may come without our prior consent in writing.

For Deloitte Haskins & Sells LLP,
Chartered Accountants

Firm's Registration Number: 117366W/ W-100018

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Hemant M. Joshi
Partner

(Membership Number: 038019)
UDIN: 20038019AAAADA2950

Pune, 07 May 2020

STATEMENT CONTAINING DETAILS OF THE PROPOSED PREFERENTIAL ISSUE

This is to certify that Suzlon Energy Limited (CIN: L40100GJ1995PLC025447) (the “Company”) is proposing to issue equity shares (“Equity Shares”) having a face value of Rs.2/- each and compulsorily convertible debentures (“CCDs”) having a face value of Rs.1,00,000/- each, convertible into Equity Shares having a face value of Rs.2/- each, for an aggregate amount of Rs.413.50 Crores by way of a Preferential Issue (“Preferential Issue”) under Chapter V of the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 (“SEBI ICDR Regulations”) in terms of the Postal Ballot Notice dated 18th April 2020 and has complied with the following requirements:

1. Details of proposed allottees are as follows:

Sr. No.	Name of the Proposed Allottee (s)	Category of the Proposed Allottee (s)	PAN of the Proposed Allottee (s)	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	Mode of holding	Post-preferential shareholding (No. of shares)
Refer Annexure I-A										

2. The tenure of the convertible securities to be issued by the Company shall be maximum 18 (eighteen) months from the date of allotment, unless converted earlier by the holders of the CCDs.
3. Fully paid-up Equity Shares / CCDs shall be allotted under the Preferential Issue in dematerialised form and approval of the shareholders by way of special resolution is being sought for the Preferential Issue by way of Postal Ballot conducted in terms of Postal Ballot Notice dated 18th April 2020 the results of which shall be declared on 19th May 2020.
4. The relevant date for determining the minimum price for the purpose of the Preferential Issue is 17th April 2020 (“Relevant Date”) being the date which is 30 (Thirty) days prior to the date on which this resolution shall be deemed to be passed, i.e., 18th May 2020 which is the last date specified in this Notice for e-voting. It is hereby clarified that the thirtieth day prior to the date on which the resolutions shall be deemed to be passed, i.e. 18th May 2020 which is the last date specified in the Postal Ballot Notice for e-voting, is 18th April 2020. However, since 18th April 2020 falls on weekend, the Relevant Date is 17th April 2020 in terms of explanation to Regulation 161 of the ICDR Regulations.
5. The entire pre-preferential holding of the proposed allottees is in dematerialised form.
6. The Company is in compliance with the conditions for continuous listing of Equity Shares as specified in the listing agreement with the stock exchanges where the Equity Shares of the Company are listed and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements), 2015, as amended, and any circular or notification issued by the Board thereunder.
7. The Company has obtained the Permanent Account Number of the proposed allottees mentioned above.
8. The Equity Shares shall be listed on the National Stock Exchange of India Limited and BSE Limited and that the Equity Shares so issued and / or to be allotted on conversion of CCDs shall rank pari passu with the existing shares of the Company in all respects including dividend.

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9. The Preferential Issue of Equity Shares / CCDs is being made in compliance with the provisions of Chapter V of the SEBI ICDR Regulations and the amendments thereof, pertaining to conditions for the preferential issue.
10. Consideration for the Equity Shares / CCDs, shall be received from the respective proposed allottees' bank account.
11. The promoters or the directors of the Company are not fugitive economic offender as defined under Section 12 of the Fugitive Economic Offenders Act, 2018.
12. Pre-preferential shareholding of the proposed allottees:

Name of the Proposed Allottee	Pre-Preferential Holding (No. of Shares)	Lock-in Start date	Lock-in end date	Pledged with	Pledge end date
Refer Annexure I-B					

Further, the Company shall extend the pre-preferential lock-in, whenever required, in order to comply with the Regulation 167(6) of the SEBI ICDR Regulations.

13. Details of the beneficial owners of the proposed allottees: **Refer Annexure I-A**
14. The computation of the Floor Price of the Equity Shares proposed to be allotted / arising on conversion of CCDs calculated in accordance with Regulation 164(1) of SEBI ICDR Regulations has been enclosed as **Annexure II**.

For Suzlon Energy Limited

G. S. Vaidya

Geetanjali S.Vaidya,
Company Secretary.

Date: 7th May 2020

Place: Pune.

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ANNEXURE I-A

SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
1	Tanti Holdings Private Limited (Promoter)	Promoter / Non-QIB / Reg.31 Table (II)(1)(d)	AACCS1420K	Rambhaben Ukabhai	AASPT6381R	1,00,00,00,000	40,81,63,265	15,89,01,093	2.99	Demat	56,70,64,358	6.67
2	M/s. GEE SIX Enterprises (through its partners Cannon Realty Pvt. Ltd. j/w. Sun Fastfin Services Pvt. Ltd.)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAQFG4147A	Dilip Shanghvi	AMPPS4862F	6,91,50,000	2,82,24,490	13,83,00,000	2.60	Demat	16,65,24,490	1.96
3	M/s. Sunrise Associates (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Kumud S. Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	ACSFS9818G	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	12,14,91,837	1.43
4	M/s. Goldenstar Enterprises (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Aalok D. Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAOFG0104D	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	12,14,91,837	1.43
5	M/s. Pioneer Resources (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Vibha Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAQFP8544A	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	12,14,91,837	1.43
6	M/s. Expert Vision (through its partners Aditya Medisales Ltd. j/w. M J Pharmaceuticals Pvt. Ltd. J/w. Ms. Vidhi Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAFFE0868H	Dilip Shanghvi	AMPPS4862F	4,00,00,000	1,63,26,531	8,00,00,000	1.50	Demat	9,63,26,531	1.13
7	Aalok D. Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AXLPS4835P	Not Applicable	Not Applicable	3,40,00,000	1,38,77,551	6,80,00,000	1.28	Demat	8,18,77,551	0.96
8	Vibha Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ANIPS2068P	Not Applicable	Not Applicable	2,15,00,000	87,75,510	4,30,00,000	0.81	Demat	5,17,75,510	0.61
9	Vidhi D. Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BCMPS0940L	Not Applicable	Not Applicable	3,40,00,000	1,38,77,551	6,80,00,000	1.28	Demat	8,18,77,551	0.96
10	Neostar Developers LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAIFN7108C	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	4,25,00,000	1,73,46,939	8,50,00,000	1.60	Demat	10,23,46,939	1.20

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11	Real Gold Developers LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAMFR2044P	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	4,25,00,000	1,73,46,939	8,50,00,000	1.60	Demat	10,23,46,939	1.20
12	Suraksha Buildwell LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	ABTFS2875D	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	5,50,00,000	2,24,48,980	11,00,00,000	2.07	Demat	13,24,48,980	1.56
13	Sudhir V. Valia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPV2199J	Not Applicable	Not Applicable	25,00,000	10,20,408	29,295	0.00	Demat	10,49,703	0.01
14	Raksha S. Valia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAFPV5596F	Not Applicable	Not Applicable	25,00,000	10,20,408	25,000	0.00	Demat	10,45,408	0.01
15	Vijay M. Parekh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPP7637H	Not Applicable	Not Applicable	25,00,000	10,20,408	50,00,000	0.09	Demat	60,20,408	0.07
16	Paresh M. Parekh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPP7632C	Not Applicable	Not Applicable	25,00,000	10,20,408	50,00,000	0.09	Demat	60,20,408	0.07
17	Chintan Jaysukh Bhalodia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AJKPB2325C	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
18	Aashka Chintan Bhalodia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BCKPP0866N	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
19	Alish Jaysukhbhai Bhalodia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	APFPB8660M	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
20	Jaysukhbhai Odhavjibhai Bhalodia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABBPB3905D	Not Applicable	Not Applicable	2,00,00,000	81,63,265	-	-	N.A.	81,63,265	0.10
21	Saravanakumar S	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AVTPS8736P	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05

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22	Indrani Patnaik	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACCPP6164E	Not Applicable	Not Applicable	20,00,00,000	8,16,32,653	-	-	N.A.	8,16,32,653	0.96
23	Satendra Birdichand Navalakha	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABNPN2719F	Not Applicable	Not Applicable	1,00,00,000	40,81,633	20,160	0.00	Demat	41,01,793	0.05
24	Jagjivan Ranchhodbhai Sakhiya	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFLPS5842L	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
25	M/s. Giriraj Enterprises (through its partners Rajesh Omkarnath Malpani j/w. Manish Madhav Malpani)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AACFG1563G	Rajesh Omkarnath Malpani; Manish Madhav Malpani	AAQPM6317G/ AAWPM2723N	15,00,00,000	6,12,24,490	7,500	0.00	Demat	6,12,31,990	0.72
26	Bharat Mathuradas Mehta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADLPB5420H	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
27	Ankit Ashok Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BACPS6253G	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
28	Babulal Amarchand Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACDPS9836M	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
29	Mridul R.Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BACPS6223C	Not Applicable	Not Applicable	60,00,000	24,48,980	-	-	N.A.	24,48,980	0.03
30	Mayank R.Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BACPS6222D	Not Applicable	Not Applicable	60,00,000	24,48,980	-	-	N.A.	24,48,980	0.03
31	Praveen Sukhraj Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AGNPS9115P	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
32	Tribhuvan Amarchand Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACDPS9826K	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
33	Mukand Lal Dua	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AADPD0695D	Not Applicable	Not Applicable	1,50,00,000	61,22,449	-	-	N.A.	61,22,449	0.07

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34	Ramesh Kumar Dua	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AADPD0696A	Not Applicable	Not Applicable	1,50,00,000	61,22,449	-	-	N.A.	61,22,449	0.07
35	M/s. Viking Knitters (through its partners A.C. Arunachalam j/w. Suseela Arunachalam)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AABFV8542R	Chinnaya Gounder Arunachalam; Suseela Arunachalam; Arunachalam Premanand; A. Vijay Anand	AAXPA9114A; AIJPS3773P; AFVPP7854D; AFVPA9004Q	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
36	Rajasthan Gum Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACR8151N	Bheru Jain	AAMPJ3901F	10,00,00,000	4,08,16,327	-	-	N.A.	4,08,16,327	0.48
37	Sun-N-Sand Hotels Pvt. Ltd.	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACS5521P	Gul R. Advani; Rajesh G. Advani; Sangeeta A. Mansharamani	ADLPA8542R; ABIPA4419L; AKAPM2892C	3,00,00,000	1,22,44,898	-	-	N.A.	1,22,44,898	0.14
38	Mukesh Manekchand Sheth	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFUPS1512C	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
39	Zaveri And Company Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACZ2014N	Zaverilal V.Mandalia; Kishor P.Mandalia;	ACCPM8738P; ACCPM8737C;	2,50,00,000	1,02,04,082	-	-	N.A.	1,02,04,082	0.12
40	KRBL Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACK4644H	Not Applicable since the Proposed Allottee is a listed company	Not Applicable	5,00,00,000	2,04,08,163	-	-	N.A.	2,04,08,163	0.24
41	Palanisamy Duraisamy	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPD7933Q	Not Applicable	Not Applicable	1,00,00,000	40,81,633	2,00,000	0.00	Demat	42,81,633	0.05
42	Rajani Agrawal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABHPA4169L	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05

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43	M/s. Shree Ram Industries (through its partners Om Prakash Soni j/w. Aakash Soni)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AACFS7768M	Om Prakash Soni; Aakash Soni	ABQPS0600P; BDEPS6668A	2,00,00,000	81,63,265	-	-	N.A.	81,63,265	0.10
44	Jay Bharat Dyeing & Printing Pvt Ltd	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACJ7428C	Jitendra Kumar Arya	AAZPA8003G	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
45	Dineshchand N.Gupta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACFPG4527K	Not Applicable	Not Applicable	65,00,000	26,53,061	13,000	0.00	Demat	26,66,061	0.03
46	Maheshchand N.Gupta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABZPG6745J	Not Applicable	Not Applicable	65,00,000	26,53,061	6,012	0.00	Demat	26,59,073	0.03
47	K.P. Energy Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AADCK8258N	Not Applicable since the Proposed Allottee is a listed company	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
48	Dr.K.Ramakrishnan	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAGPR3421A	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
49	Krishnakumar Gangadhar Agrawal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABCPA3232B	Not Applicable	Not Applicable	75,00,000	30,61,224	2,70,000	0.01	Demat	33,31,224	0.04
50	Maya Krishnakumar Agrawal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACWPA7029H	Not Applicable	Not Applicable	75,00,000	30,61,224	-	-	N.A.	30,61,224	0.04
51	Bhabani Pigments Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACB0192R	Anant Kanoi	AGDPK5592J	1,00,00,000	40,81,633	100	0.00	Demat	40,81,733	0.05
52	Techno Electric & Engineering Company Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAJCS4400J	Not Applicable since the Proposed Allottee is a listed company	Not Applicable	5,00,00,000	2,04,08,163	-	-	N.A.	2,04,08,163	0.24

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Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
53	Sterling Agro Industries Ltd.	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAACS2278R	Laxmi Narain Kesarwani	AATPK2749R	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
54	Binaguri Tea Company Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AABCB1008P	Satyam Kanoi	AFTPK7249F	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
55	Sumita Agarwala	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADDPA5863F	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
56	Ashok Amarchand Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACDPS9832R	Not Applicable	Not Applicable	1,80,00,000	73,46,939	-	-	N.A.	73,46,939	0.09
57	Mukesh Babulal Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFHPS5882A	Not Applicable	Not Applicable	1,80,00,000	73,46,939	-	-	N.A.	73,46,939	0.09
58	Sandeep Tribhuvan Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHHPS0383E	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
59	Sukhraj Amarchand Singhvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACDPS9834K	Not Applicable	Not Applicable	1,20,00,000	48,97,959	-	-	N.A.	48,97,959	0.06
60	Arvind Cotsyn (India) Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AABCA5540R	Shyamsunder Marda	ABGPM8548J	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
61	B C Umapathy	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AACPU6919J	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
62	Samrat Infradev Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAHCS4094E	Surendra Kumar Bachhawat	AEEP8727P	1,00,00,000	40,81,633	1,50,000	0.00	Demat	42,31,633	0.05
63	S.K.Shivaraj	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHWPS6522L	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05

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64	Amit Laxman Kunjir	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AKDPK2100J	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
65	Suresh Amritlal Gandhi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AACPG9382P	Not Applicable	Not Applicable	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
66	Shraddha Energy And Infraprojects Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAICS6691L	Shivaji Bhagwanrao Jadhav	AAKPI7226M	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
67	Amrik Singh And Sons Crane Services Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAHCA0113E	Gurvinder Singh Surjit Singh Saini; Satvinder Singh Gurdip Singh Saini	ALZPS4718D; ALZPS4714R	25,00,00,000	10,20,40,816	-	-	N.A.	10,20,40,816	1.20
68	Sanghvi Movers Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AACCS3775K	Not Applicable since the Proposed Allottee is a listed company	Not Applicable	6,00,00,000	2,44,89,796	-	-	N.A.	2,44,89,796	0.29
69	Kush Synthetics Private Ltd.	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AABCK2282J	Mansukhlal Karsandas Virani	ABJPP7390J	4,00,00,000	1,63,26,531	-	-	N.A.	1,63,26,531	0.19
70	K R Composites Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAECK0040K	Mansukhlal Khodidas Radadiya	ABAPR5203M	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
71	Deepakkumar Sitaram Singh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AQFPS6598A	Not Applicable	Not Applicable	30,00,000	12,24,490	-	-	N.A.	12,24,490	0.01
72	Prashant Shantilal Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACIPS6264L	Not Applicable	Not Applicable	50,00,000	20,40,816	-	-	N.A.	20,40,816	0.02
73	Hiten Haridas Madlani	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACLPM4328C	Not Applicable	Not Applicable	30,00,000	12,24,490	1,100	0.00	Demat	12,25,590	0.01
74	Punjabhai Rajshibhai Modhwadia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADBPM0943N	Not Applicable	Not Applicable	30,00,000	12,24,490	-	-	N.A.	12,24,490	0.01

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75	Vikas Sunilkumar Jhalani	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACKPJ4750C	Not Applicable	Not Applicable	75,00,000	30,61,224	31,700	0.00	Demat	30,92,924	0.04
76	Barkat Cranes & Equipments Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAHCB8243L	Manamar Singh G Bedi, Gaganpreet Singh G Bedi	AABPB2524R; AAHPB9830C	2,00,00,000	81,63,265	-	-	N.A.	81,63,265	0.10
77	Bharat Electrical Contractors And Manufacturers Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AACCB7172P	Shantinath Adagouda Patil	ABPPP5773P	50,00,000	20,40,816	5,000	0.00	Demat	20,45,816	0.02
78	Sunpower India Ventures Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAWCS8881L	Praveen Sharma	AYMPS3105L	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05
79	S Esakkiappan	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AALPE3359H	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
80	Rajeshwari E	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	DBHPR9925L	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
81	M/s. Dwarkesh Transport Corporation (through its partners Deepal R.Dwivedi J/w. Romal R.Dwivedi J/w. Rajendra Kumar Dwivedi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AANFD7017M	Deepal R Dwivedi; Romal R. Dwivedi; Rajendra Kumar Dwivedi	ACCPD1684K; AJBPD3919P; BFGPD4154L	2,00,00,000	81,63,265	-	-	N.A.	81,63,265	0.10
82	M/s. M R L Transports (through its partners S.Madeswaran j/w. Nitin Madeswaran j/w. Nikitha Madeswaran)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAOFM8355Q	S. Madeswaran; P Nallammal; Nitin Madeswaran; Nikitha Madeswaran	AIFPM5463L; AOMPN1375F; D IQPM2259J; BQPPN2070R	2,00,00,000	81,63,265	-	-	N.A.	81,63,265	0.10
83	Sumeet Trans Logistics Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAICS1076K	Mahabir Gupta	AAEPG3766D	2,00,00,000	81,63,265	1,00,000	0.00	Demat	82,63,265	0.10
84	Sri Kamakshi Logistics Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAPCS3779J	E. Chakkaravarthy, E. Venkatesan, V. Vishnu Kumar, C. Vishnu Prashanth	AAGPC3162R; ABPPV9715R; AEXPV7286C; AKDPV2998R	1,00,00,000	40,81,633	-	-	N.A.	40,81,633	0.05

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85	Hitech Renewable Energy Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AADCH0473N	Satish Kumar Rathi	AACPR3185M	1,50,00,000	61,22,449	-	-	N.A.	61,22,449	0.07
86	Laxman Singh Bhati	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AYJPS9250K	Not Applicable	Not Applicable	50,00,000	20,40,816	-	-	N.A.	20,40,816	0.02
87	Viviana Power Tech Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAECV9900D	Nikesh Kishorchandra Choksi	ABOPC4388A	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
88	Shriram Krishnaji Surve	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AEPPS6618R	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
89	Raman Choudhary	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABDPC8128P	Not Applicable	Not Applicable	70,00,000	28,57,143	-	-	N.A.	28,57,143	0.03
90	ZF Wind Power Coimbatore Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AABCH8089G	Refer note below	Not applicable	12,00,00,000	4,89,79,592	-	-	N.A.	4,89,79,592	0.58
91	Hub Renewable Energy Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AADCH3223L	Mr. Balrajsinh A.Parmar; Mr. Ranjitsinh A.Parmar; Mr. Amarsinh A.Parmar	ACYPP7446F; ACNPP0121A; ACNPP0122D	11,00,00,000	4,48,97,959	29,02,642	0.05	Demat	4,78,00,601	0.56
92	Ranjitsinh A.Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACNPP0121A	Not Applicable	Not Applicable	1,00,00,000	40,81,633	11,547	0.00	Demat	40,93,180	0.05
93	Balrajsinh A.Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACYPP7446F	Not Applicable	Not Applicable	75,00,000	30,61,224	8,76,497	0.02	Demat	39,37,721	0.05
94	Vishwajitsinh B. Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AOUPP6890F	Not Applicable	Not Applicable	75,00,000	30,61,224	1,000	0.00	Demat	30,62,224	0.04
95	Prafulchandra Chandrakant Mehta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACZPM7302E	Not Applicable	Not Applicable	50,00,000	20,40,816	-	-	N.A.	20,40,816	0.02

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96	Vipon Kumar Gupta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABFPG9036N	Not Applicable	Not Applicable	50,00,000	20,40,816	-	-	N.A.	20,40,816	0.02
97	Tejjas Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AJDPP7358L	Not Applicable	Not Applicable	50,00,000	20,40,816	11,000	0.00	Demat	20,51,816	0.02
98	Amarsinh A. Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACNPP0122D	Not Applicable	Not Applicable	50,00,000	20,40,816	25,000	0.00	Demat	20,65,816	0.02
99	Yadlapalli Venkat Ramana Vijay	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AACPY1556D	Not Applicable	Not Applicable	40,00,000	16,32,653	-	-	N.A.	16,32,653	0.02
100	Manish Jain	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABSPJ8476G	Not Applicable	Not Applicable	28,20,000	11,51,020	4,20,000	0.01	Demat	15,71,020	0.02
101	Harish H.Mehta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACZPM1982A	Not Applicable	Not Applicable	27,00,000	11,02,041	3,75,000	0.01	Demat	14,77,041	0.02
102	Fatehali Alchiya	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABIPA4233A	Not Applicable	Not Applicable	25,65,000	10,46,939	-	-	N.A.	10,46,939	0.01
103	Rohit Chauhan	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADDPC7619K	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
104	Bipin Harilal Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABTPS0131L	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
105	Anandkumar Hansraj Bagrecha	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABPPB9367F	Not Applicable	Not Applicable	1,15,00,000	46,93,878	3,05,000	0.01	Demat	49,98,878	0.06
106	Subodh Dubey	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFNPD8858F	Not Applicable	Not Applicable	25,00,000	10,20,408	1,010	0.00	Demat	10,21,418	0.01
107	Sreenivasa Choudary Jetty	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABEPJ9054A	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01

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108	Suresh R.Pillai	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AASPS8964R	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
109	Dinesh Karna	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAAPK1618A	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
110	Rakesh B.Shukla	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADPPS0405B	Not Applicable	Not Applicable	25,00,000	10,20,408	1,76,760	0.00	Demat	11,97,168	0.01
111	N.Muthukrishnan	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFEPM8085N	Not Applicable	Not Applicable	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
112	Neeraj Saoji Pardhi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFVPP9530Q	Not Applicable	Not Applicable	30,00,000	12,24,490	72,000	0.00	Demat	12,96,490	0.02
113	Rajesh Surendra Kumar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHEPK2692P	Not Applicable	Not Applicable	20,25,000	8,26,531	6,500	0.00	Demat	8,33,031	0.01
114	Vinod Kumar Bishnoi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFHPB0616H	Not Applicable	Not Applicable	20,00,000	8,16,327	31,900	0.00	Demat	8,48,227	0.01
115	Rajesh Chunilal Dhrangadharia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABJPD0680P	Not Applicable	Not Applicable	25,00,000	10,20,408	1,14,000	0.00	Demat	11,34,408	0.01
116	Alok Kumar Das	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADDPD8201A	Not Applicable	Not Applicable	20,00,000	8,16,327	23,300	0.00	Demat	8,39,627	0.01
117	Nishith Kumar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHNPK8532F	Not Applicable	Not Applicable	20,00,000	8,16,327	37,200	0.00	Demat	8,53,527	0.01
118	Abhijit Prabhakar Deshpande	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAUPD5664P	Not Applicable	Not Applicable	20,00,000	8,16,327	-	-	N.A.	8,16,327	0.01
119	Hitesh Chhanubha Parmar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AITPP2263K	Not Applicable	Not Applicable	20,00,000	8,16,327	67,800	0.00	Demat	8,84,127	0.01

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120	Sandeep Lonkar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABMPL3321N	Not Applicable	Not Applicable	15,00,000	6,12,245	37,700	0.00	Demat	6,49,945	0.01
121	Chandra Bhushan Prasad Roy	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFOPR0828Q	Not Applicable	Not Applicable	15,00,000	6,12,245	30,880	0.00	Demat	6,43,125	0.01
122	Narendra Chhaganbhai Savalia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFDPS2355C	Not Applicable	Not Applicable	11,00,000	4,48,980	2,500	0.00	Demat	4,51,480	0.01
123	Vivek Kumar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAJPK4160A	Not Applicable	Not Applicable	10,00,000	4,08,163	70,000	0.00	Demat	4,78,163	0.01
124	Shyamal Vinodray Budhdev	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABHPB0603N	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
125	Lalita Mahendrabhai Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	APFPS7323Q	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	Demat	4,08,163	0.00
126	OmPrakash Talwar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABWPT8578M	Not Applicable	Not Applicable	10,00,000	4,08,163	5,000	0.00	Demat	4,13,163	0.00
127	Nandkumar Deo	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AEYPD5055J	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
128	Pavas Agarwal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AFJPA4397L	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
129	Yasheen Arvind Vibhakar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABJPV1034D	Not Applicable	Not Applicable	10,00,000	4,08,163	37,600	0.00	Demat	4,45,763	0.01
130	Jitendra R.Deshpande	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACHPD0947L	Not Applicable	Not Applicable	10,00,000	4,08,163	46,250	0.00	Demat	4,54,413	0.01
131	Om Prakash Khandelwal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAGPK9573J	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00

ANNEXURE I-A
SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
132	Rajiv S.Parekh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADSPP7848N	Not Applicable	Not Applicable	10,00,000	4,08,163	5,515	0.00	Demat	4,13,678	0.00
133	Kuman Vrajlal Vaghasiya	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABPPV5089A	Not Applicable	Not Applicable	10,00,000	4,08,163	1,04,780	0.00	Demat	5,12,943	0.01
134	Sunil Sudhakar Joshi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACVPJ7101J	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
135	Meghaben Ketankumar Soni	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BTFPS8025Q	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
136	Sunil Kumar Mishra	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABFPM4398B	Not Applicable	Not Applicable	10,00,000	4,08,163	11,810	0.00	Demat	4,19,973	0.00
137	Animesh Ranjan	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADZPR8229E	Not Applicable	Not Applicable	10,00,000	4,08,163	20,000	0.00	Demat	4,28,163	0.01
138	Bharat Parsotam Kothia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AJAPK6044K	Not Applicable	Not Applicable	10,00,000	4,08,163	57,900	0.00	Demat	4,66,063	0.01
139	Gajanan J.Wankhede	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AATPW7016K	Not Applicable	Not Applicable	10,00,000	4,08,163	8,720	0.00	Demat	4,16,883	0.00
140	Dhritabrata Biswas	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AJHPB9216F	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
141	Manohar Adhar Patil	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AKJPP3497R	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
142	Shobha Sanjay Shete	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	DBFPS8988J	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
143	Krunal Mukund Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AVQPS0798E	Not Applicable	Not Applicable	10,00,000	4,08,163	25,000	0.00	Demat	4,33,163	0.01

ANNEXURE I-A
SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
144	Nilesh M.Vekaria	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AEPPV4956F	Not Applicable	Not Applicable	10,00,000	4,08,163	9,650	0.00	N.A.	4,17,813	0.00
145	Hetkumar Indravadan Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ASXPS0182L	Not Applicable	Not Applicable	55,00,000	22,44,898	1,20,250	0.00	Demat	23,65,148	0.03
146	Swapnil Jain j/w. Pragya Swapnil Jain	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAWPIJ9887C / AEKPJ7368A	Not Applicable	Not Applicable	25,82,000	10,53,878	-	-	N.A.	10,53,878	0.01
147	V.B. Rao	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAIPV3722C	Not Applicable	Not Applicable	25,00,000	10,20,408	17,100	0.00	Demat	10,37,508	0.01
148	Bakul N.Rathod	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABNPR7354Q	Not Applicable	Not Applicable	50,00,000	20,40,816	3,65,000	0.01	Demat	24,05,816	0.03
149	Mansukh Raghav Pambhar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACGPP7153B	Not Applicable	Not Applicable	25,00,000	10,20,408	6,85,000	0.01	Demat	17,05,408	0.02
150	Nimish Shah	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHNPS1839B	Not Applicable	Not Applicable	25,00,000	10,20,408	33,500	0.00	Demat	10,53,908	0.01
151	Skilpan Finvest Private Limited	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AACCS1038B	Beena Kirtikumar Vagadia; Ripal Kirtikumar Vagadia	AAKPV2225L / ALLPV7767R	25,00,000	10,20,408	-	-	N.A.	10,20,408	0.01
152	C.Prakash Kumar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABEPC5330Q	Not Applicable	Not Applicable	25,00,000	10,20,408	35,950	0.00	Demat	10,56,358	0.01
153	Ishwar Chand Mangal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AIBPM9934G	Not Applicable	Not Applicable	20,00,000	8,16,327	2,00,000	0.00	Demat	10,16,327	0.01
154	Anoop Khatry	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AKZPK9013A	Not Applicable	Not Applicable	20,00,000	8,16,327	-	-	N.A.	8,16,327	0.01
155	Ankur Garg	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AQGPG5426Q	Not Applicable	Not Applicable	17,00,000	6,93,878	1,55,000	0.00	Demat	8,48,878	0.01

ANNEXURE I-A
SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
156	Sriram Iyer	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AACPI6155L	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
157	Anupkumar D.Vaishnav	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ABUPV9543N	Not Applicable	Not Applicable	10,00,000	4,08,163	70,500	0.00	Demat	4,78,663	0.01
158	Lancy G.Tauro	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AACPT8587H	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
159	Yugandar Gunturu	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAOPY0500D	Not Applicable	Not Applicable	20,00,000	8,16,327	35,063	0.00	Demat	8,51,390	0.01
160	Harishchandra Mondal	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACZPM1018K	Not Applicable	Not Applicable	10,00,000	4,08,163	2,70,100	0.01	Demat	6,78,263	0.01
161	Punitkumar D.Nagar	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ADFPN2752A	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
162	A.Mohamed Ibrahim	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AGSPA0153A	Not Applicable	Not Applicable	10,08,000	4,11,429	12,000	0.00	Demat	4,23,429	0.00
163	Vinay Gupta	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AHIPG4741K	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
164	Lilaram Thakwani	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ACTPT1385L	Not Applicable	Not Applicable	10,00,000	4,08,163	-	-	N.A.	4,08,163	0.00
165	Belgrave Investment Fund	Non-Promoter / Non-QIB / Reg.31 Table (III)(1)(e)	AAICB1115E	Yajjadeo LOTUN	Not Applicable since not an Indian Citizen / Resident	13,50,00,000	5,51,02,041	-	-	N.A.	5,51,02,041	0.65
	Total					3,63,50,00,000	1,48,36,73,469	1,15,76,90,884	21.76		2,64,13,64,353	31.05

Note: The ultimate holder is identified as Zeppelin Foundation. Zeppelin Foundation is a charitable foundation held by city of Friedrichshafen as a trustee. Zeppelin Foundation is administered jointly by the first Mayor of Friedrichshafen, the municipal council of Friedrichshafen and stiftungsflge, the foundation administration (Department of municipal council of Friedrichshafen).

ANNEXURE I-A
SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%
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SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES - CCDs OF RS.1,00,000/- EACH

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted upon conversion of CCDs	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%	Maximum no. of CCDs to be allotted
1	M/s. GEE SIX Enterprises (through its partners Cannon Realty Pvt. Ltd. j/w. Sun Fastfin Services Pvt. Ltd.)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAQFG4147A	Dilip Shanghvi	AMPPS4862F	6,91,50,000	2,82,24,490	13,83,00,000	2.60	Demat	19,47,48,980	2.29	692
2	M/s. Sunrise Associates (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Kumud S. Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	ACSFS9818G	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	14,20,83,674	1.67	505
3	M/s. Goldenstar Enterprises (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Aalok D. Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAOFG0104D	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	14,20,83,674	1.67	505
4	M/s. Pioneer Resources (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Vibha Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAQFP8544A	Dilip Shanghvi	AMPPS4862F	5,04,50,000	2,05,91,837	10,09,00,000	1.90	Demat	14,20,83,674	1.67	505
5	M/s. Expert Vision (through its partners Aditya Medisales Ltd. j/w. M J Pharmaceuticals Pvt. Ltd. J/w. Ms. Vidhi Shanghvi)	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAFFE0868H	Dilip Shanghvi	AMPPS4862F	4,00,00,000	1,63,26,531	8,00,00,000	1.50	Demat	11,26,53,062	1.32	400
6	Aalok D. Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AXLPS4835P	Not Applicable	Not Applicable	3,40,00,000	1,38,77,551	6,80,00,000	1.28	Demat	9,57,55,102	1.13	340
7	Vibha Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	ANIPS2068P	Not Applicable	Not Applicable	2,15,00,000	87,75,510	4,30,00,000	0.81	Demat	6,05,51,020	0.71	215
8	Vidhi D. Shanghvi	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	BCMPS0940L	Not Applicable	Not Applicable	3,40,00,000	1,38,77,551	6,80,00,000	1.28	Demat	9,57,55,102	1.13	340
9	Neostar Developers LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAIFN7108C	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	4,25,00,000	1,73,46,939	8,50,00,000	1.60	Demat	11,96,93,878	1.41	425
10	Real Gold Developers LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	AAMFR2044P	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	4,25,00,000	1,73,46,939	8,50,00,000	1.60	Demat	11,96,93,878	1.41	425

ANNEXURE I-A
SUZLON ENERGY LIMTIED - DETAILS OF PROPOSED ALLOTTEES

Sr. No.	Name of the Proposed Allottee(s)	Category of the Proposed Allottee(s)	PAN of the Proposed Allottee	Natural person who ultimately controls the proposed allottee	PAN of the natural person	Value in Rs. (Approx.)	Maximum no. of equity shares to be allotted (based on issue price Rs.2.45)	Pre-preferential shareholding (No. of shares) as on 10/04/2020	%	Mode of holding	Post-preferential shareholding (No. of shares)	%	
11	Suraksha Buildwell LLP	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(e)	ABTFS2875D	Sudhir Valia & Vijay Parekh	AABPV2199J / AABPP7637H	5,50,00,000	2,24,48,980	11,00,00,000	2.07	Demat	15,48,97,960	1.82	550
12	Sudhir V. Valia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPV2199J	Not Applicable	Not Applicable	25,00,000	10,20,408	29,295	0.00	Demat	20,70,111	0.02	25
13	Raksha S. Valia	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AAFPV5596F	Not Applicable	Not Applicable	25,00,000	10,20,408	25,000	0.00	Demat	20,65,816	0.02	25
14	Vijay M. Parekh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPP7637H	Not Applicable	Not Applicable	25,00,000	10,20,408	50,00,000	0.09	Demat	70,40,816	0.08	25
15	Paresh M. Parekh	Non-Promoter / Non-QIB / Reg.31 Table (III)(3)(a)	AABPP7632C	Not Applicable	Not Applicable	25,00,000	10,20,408	50,00,000	0.09	Demat	70,40,816	0.08	25
	Total					50,00,00,000	20,40,81,633	99,00,54,295	18.61		1,39,82,17,560	16.44	5,000

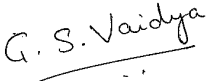
Note: The post issue shareholding and percentage mentioned above is after considering equity allotment to be made to these allottees under Agenda Item No.8 of the Postal Ballot Notice dated 18-04-2020.

Shareholding pattern of the Company pre and post allotment (based on BENPOSE dated 10-04-2020)

Sr. No.	Category	Pre-preferential issue			Post preferential issue			Proposed allotment
		No. of Shares	% (A+B)	% (A+B+C)	No. of Shares	% (A+B)	% (A+B+C)	No. of shares
A	Promoters and Promoter Group (A)	1,05,27,84,456	19.79	19.79	1,46,09,47,721	17.17	17.17	40,81,63,265
B	Public (B)	4,26,69,89,665	80.21	80.21	7,04,65,81,502	82.83	82.83	2,77,95,91,837
	Total (A) + (B)	5,31,97,74,121	100.00	100.00	8,50,75,29,223	100.00	100.00	3,18,77,55,102
C	Custodian (C)		-	-	-	-	-	-
	Grand Total (A) + (B) + (C)	5,31,97,74,121	-	100.00	8,50,75,29,223	-	100.00	3,18,77,55,102

Note: The post preferential issue shareholding mentioned above includes equity shares arising from preferential allotment of equity and warrants to lenders aggregating to 150,00,00,000 in terms of Resolution No.8 and 9 of the Postal Ballot Notice dated 18-04-2020.

For Suzlon Energy Limited



Geetanjali S.Vaidya,
Company Secretary.

Date: 7th May 2020
Place: Pune.

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ANNEXURE I-B

SUZLON ENERGY LIMITED - LOCK-IN / PLEDGE DETAILS OF PRE-PREFERENTIAL SHAREHOLDING

Sr. No.	Reference to sr. no. of EGM notice	Name of the Proposed Allottee(s)	Depository	DP ID	Client ID	Pre-preferential shareholding (No. of shares) as on 10/04/2020	Lock-in start date	Lock-in end date	Pledged with	Pledge end date
A.		PLEDGED SHARES								
1	1	Tanti Holdings Private Limited	NSDL	IN300450	13117182	5,70,00,000	N.A.	N.A.	SBI Cap Trustee Company	30-09-22
			NSDL	IN300450	10314516	1,50,00,000	N.A.	N.A.		30-09-22
			NSDL	IN300450	13117174	40,00,000	N.A.	N.A.		30-09-22
		PLEDGED SHARES TOTAL (A)				7,60,00,000				
B.		NSDL LOCKED-IN SHARES								
1	1	Tanti Holdings Private Limited	NSDL	IN303719	11037924	8,29,01,093	13-03-20	30-09-20	N.A.	N.A.
2	2	M/s. GEE SIX Enterprises (through its partners Cannon Realty Pvt. Ltd. j/w. Sun Fastfin Services Pvt. Ltd.)	NSDL	IN303559	10023887	13,83,00,000	13-03-20	30-09-20	N.A.	N.A.
3	3	M/s. Sunrise Associates (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Kumud S. Shanghvi)	NSDL	IN303559	10019728	10,09,00,000	13-03-20	30-09-20	N.A.	N.A.
4	4	M/s. Goldenstar Enterprises (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Aalok D. Shanghvi)	NSDL	IN303559	10019710	10,09,00,000	13-03-20	30-09-20	N.A.	N.A.
5	5	M/s. Pioneer Resources (through its partners Shanghvi Finance Pvt. Ltd. j/w. Aditya Medisales Ltd. J/w. Vibha Shanghvi)	NSDL	IN303559	10019701	10,09,00,000	13-03-20	30-09-20	N.A.	N.A.
6	6	M/s. Expert Vision (through its partners Aditya Medisales Ltd. j/w. M J Pharmaceuticals Pvt. Ltd. J/w. Ms. Vidhi Shanghvi)	NSDL	IN303559	10019697	8,00,00,000	13-03-20	30-09-20	N.A.	N.A.
7	7	Aalok D. Shanghvi	NSDL	IN300450	10551619	6,80,00,000	13-03-20	30-09-20	N.A.	N.A.
8	8	Vibha Shanghvi	NSDL	IN300142	10761672	4,30,00,000	13-03-20	30-09-20	N.A.	N.A.
9	10	Neostar Developers LLP	NSDL	IN303559	10016357	8,49,57,575	13-03-20	30-09-20	N.A.	N.A.
10	11	Real Gold Developers LLP	NSDL	IN303559	10019824	8,50,00,000	13-03-20	30-09-20	N.A.	N.A.
11	12	Suraksha Buildwell LLP	NSDL	IN303559	10019816	11,00,00,000	13-03-20	30-09-20	N.A.	N.A.
12	13	Sudhir V. Valia	NSDL	IN300450	10498275	29,295	13-03-20	30-09-20	N.A.	N.A.

ANNEXURE I-B
SUZLON ENERGY LIMITED - LOCK-IN / PLEDGE DETAILS OF PRE-PREFERENTIAL SHAREHOLDING

Sr. No.	Reference to sr. no. of EGM notice	Name of the Proposed Allottee(s)	Depository	DP ID	Client ID	Pre-preferential shareholding (No. of shares) as on 10/04/2020	Lock-in start date	Lock-in end date	Pledged with	Pledge end date
13	14	Raksha S. Valia	NSDL	IN300450	10498283	23,500	13-03-20	30-09-20	N.A.	N.A.
14	23	Satendra Birdichand Navalakha	NSDL	IN301330	17524578	20,160	13-03-20	30-09-20	N.A.	N.A.
15	46	Maheshchand N.Gupta	NSDL	IN301151	25915977	12	13-03-20	30-09-20	N.A.	N.A.
16	49	Krishnakumar Gangadhar Agrawal	NSDL	IN300476	41220237	2,70,000	13-03-20	30-09-20	N.A.	N.A.
17	51	Bhabani Pigments Private Limited	NSDL	IN301549	17307787	100	13-03-20	30-09-20	N.A.	N.A.
18	62	Samrat Infradev Private Limited	NSDL	IN301372	10190275	1,50,000	13-03-20	30-09-20	N.A.	N.A.
19	75	Vikas Sunilkumar Jhalani	NSDL	IN300214	22855482	31,700	13-03-20	30-09-20	N.A.	N.A.
20	77	Bharat Electrical Contractors And Manufacturers Private Limited	NSDL	IN300513	21477163	5,000	13-03-20	30-09-20	N.A.	N.A.
21	91	Hub Renewable Energy Private Limited	NSDL	IN300450	14288785	29,02,642	13-03-20	30-09-20	N.A.	N.A.
22	92	Ranjitsinh A.Parmar	NSDL	IN300450	12971018	11,547	13-03-20	30-09-20	N.A.	N.A.
23	93	Balrajsinh A.Parmar	NSDL	IN300450	12971026	8,76,497	13-03-20	30-09-20	N.A.	N.A.
24	94	Vishwajitsinh B. Parmar	NSDL	IN301774	18466694	1,000	13-03-20	30-09-20	N.A.	N.A.
25	97	Tejjas Parmar	NSDL	IN300450	12974392	11,000	13-03-20	30-09-20	N.A.	N.A.
26	98	Amarsinh A. Parmar	NSDL	IN300450	12970724	25,000	13-03-20	30-09-20	N.A.	N.A.
27	100	Manish Jain	NSDL	IN300450	11851747	4,20,000	13-03-20	30-09-20	N.A.	N.A.
28	101	Harish H.Mehta	NSDL	IN300450	10606832	3,75,000	13-03-20	30-09-20	N.A.	N.A.
29	105	Anandkumar Hansraj Bagrecha	NSDL	IN300142	10735586	3,05,000	13-03-20	30-09-20	N.A.	N.A.
30	106	Subodh Dubey	NSDL	IN302679	35400865	1,010	13-03-20	30-09-20	N.A.	N.A.
31	110	Rakesh B.Shukla	NSDL	IN300450	13267658	1,76,230	13-03-20	30-09-20	N.A.	N.A.
32	110	Rakesh B.Shukla	NSDL	IN302902	43762438	530	13-03-20	30-09-20	N.A.	N.A.
33	112	Neeraj Saoji Pardhi	NSDL	IN302679	30792558	72,000	13-03-20	30-09-20	N.A.	N.A.
34	113	Rajesh Surendra Kumar	NSDL	IN301774	18720816	6,500	13-03-20	30-09-20	N.A.	N.A.
35	115	Rajesh Chunilal Dhrangadharia	NSDL	IN300450	13129173	1,14,000	13-03-20	30-09-20	N.A.	N.A.
36	116	Alok Kumar Das	NSDL	IN300360	10780827	23,300	13-03-20	30-09-20	N.A.	N.A.
37	117	Nishith Kumar	NSDL	IN302814	10445757	32,200	13-03-20	30-09-20	N.A.	N.A.
38	117	Nishith Kumar	NSDL	IN300118	10005207	5,000	13-03-20	30-09-20	N.A.	N.A.
39	119	Hitesh Chhanubha Parmar	NSDL	IN303028	57333191	50,000	13-03-20	30-09-20	N.A.	N.A.
40	119	Hitesh Chhanubha Parmar	NSDL	IN300450	13126802	17,800	13-03-20	30-09-20	N.A.	N.A.
41	120	Sandeep Lonkar	NSDL	IN301549	32619973	37,700	13-03-20	30-09-20	N.A.	N.A.
42	121	Chandra Bhushan Prasad Roy	NSDL	IN301151	20796856	30,880	13-03-20	30-09-20	N.A.	N.A.
43	122	Narendra Chhaganbhai Savalia	NSDL	IN301151	20497508	2,500	13-03-20	30-09-20	N.A.	N.A.
44	123	Vivek Kumar	NSDL	IN300183	11671528	70,000	13-03-20	30-09-20	N.A.	N.A.

ANNEXURE I-B
SUZLON ENERGY LIMITED - LOCK-IN / PLEDGE DETAILS OF PRE-PREFERENTIAL SHAREHOLDING

Sr. No.	Reference to sr. no. of EGM notice	Name of the Proposed Allottee(s)	Depository	DP ID	Client ID	Pre-preferential shareholding (No. of shares) as on 10/04/2020	Lock-in start date	Lock-in end date	Pledged with	Pledge end date
45	126	OmPrakash Talwar	NSDL	IN303028	51180139	5,000	13-03-20	30-09-20	N.A.	N.A.
46	129	Yasheen Arvind Vibhakar	NSDL	IN301774	18993095	37,600	13-03-20	30-09-20	N.A.	N.A.
47	130	Jitendra R.Deshpande	NSDL	IN303028	54370943	46,250	13-03-20	30-09-20	N.A.	N.A.
48	132	Rajiv S.Parekh	NSDL	IN300450	10653917	5,515	13-03-20	30-09-20	N.A.	N.A.
49	136	Sunil Kumar Mishra	NSDL	IN302902	42854543	11,810	13-03-20	30-09-20	N.A.	N.A.
50	137	Animesh Ranjan	NSDL	IN300450	14386866	20,000	13-03-20	30-09-20	N.A.	N.A.
51	138	Bharat Parsotam Kothia	NSDL	IN300476	42414458	56,100	13-03-20	30-09-20	N.A.	N.A.
52	139	Gajanan J.Wankhede	NSDL	IN302902	45456293	8,720	13-03-20	30-09-20	N.A.	N.A.
53	143	Krunal Mukund Shah	NSDL	IN300476	41528015	25,000	13-03-20	30-09-20	N.A.	N.A.
54	145	Hetkumar Indravadan Shah	NSDL	IN300450	13137825	1,20,250	13-03-20	30-09-20	N.A.	N.A.
55	148	Bakul N.Rathod	NSDL	IN301549	18798334	3,65,000	13-03-20	30-09-20	N.A.	N.A.
56	149	Mansukh Raghav Pambhar	NSDL	IN301039	10091237	6,85,000	13-03-20	30-09-20	N.A.	N.A.
57	150	Nimish Shah	NSDL	IN300183	11390016	25,000	13-03-20	30-09-20	N.A.	N.A.
58	150	Nimish Shah	NSDL	IN303028	51677236	8,500	13-03-20	30-09-20	N.A.	N.A.
59	152	C.Prakash Kumar	NSDL	IN300214	11626473	35,950	13-03-20	30-09-20	N.A.	N.A.
60	153	Ishwar Chand Mangal	NSDL	IN302902	41569750	2,00,000	13-03-20	30-09-20	N.A.	N.A.
61	155	Ankur Garg	NSDL	IN300450	14229948	1,55,000	13-03-20	30-09-20	N.A.	N.A.
62	157	Anupkumar D.Vaishnav	NSDL	IN301549	51092561	70,500	13-03-20	30-09-20	N.A.	N.A.
63	159	Yugandar Gunturu	NSDL	IN302679	30073592	35,000	13-03-20	30-09-20	N.A.	N.A.
64	159	Yugandar Gunturu	NSDL	IN300513	15678875	63	13-03-20	30-09-20	N.A.	N.A.
65	160	Harishchandra Mondal	NSDL	IN300214	30485434	2,70,100	13-03-20	30-09-20	N.A.	N.A.
66	162	A.Mohamed Ibrahim	NSDL	IN300214	17447906	12,000	13-03-20	30-09-20	N.A.	N.A.
		NSDL LOCKED-IN SHARES TOTAL (B)				1,00,31,54,129				
C.		CDSL LOCKED-IN SHARES								
1	9	Vidhi D. Shanghvi	CDSL	12037300	00003142	6,80,00,000	12-03-20	30-09-20	N.A.	N.A.
2	10	Neostar Developers LLP	CDSL	12044500	00585632	42,425	12-03-20	30-09-20	N.A.	N.A.
3	14	Raksha S. Valia	CDSL	12044500	00582291	1,500	12-03-20	30-09-20	N.A.	N.A.
4	15	Vijay M. Parekh	CDSL	13025900	01340356	50,00,000	12-03-20	30-09-20	N.A.	N.A.
5	16	Paresh M. Parekh	CDSL	13025900	01340394	50,00,000	12-03-20	30-09-20	N.A.	N.A.
6	25	M/s. Giriraj Enterprises (through its partners Rajesh Omkarnath Malpani j/w. Manish Madhav Malpani)	CDSL	12032300	00358711	7,500	12-03-20	30-09-20	N.A.	N.A.

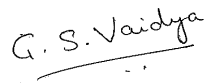
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ANNEXURE I-B

SUZLON ENERGY LIMITED - LOCK-IN / PLEDGE DETAILS OF PRE-PREFERENTIAL SHAREHOLDING

Sr. No.	Reference to sr. no. of EGM notice	Name of the Proposed Allottee(s)	Depository	DP ID	Client ID	Pre-preferential shareholding (No. of shares) as on 10/04/2020	Lock-in start date	Lock-in end date	Pledged with	Pledge end date
7	41	Palanisamy Duraisamy	CDSL	12044500	00405787	2,00,000	12-03-20	30-09-20	N.A.	N.A.
8	45	Dineshchand N.Gupta	CDSL	12048400	00206049	13,000	12-03-20	30-09-20	N.A.	N.A.
9	46	Maheshchand N.Gupta	CDSL	12048400	00206034	6,000	12-03-20	30-09-20	N.A.	N.A.
10	73	Hiten Haridas Madhani	CDSL	12064200	00575165	1,100	12-03-20	30-09-20	N.A.	N.A.
11	83	Sumeet Trans Logistics Private Limited	CDSL	12071000	00043786	1,00,000	12-03-20	30-09-20	N.A.	N.A.
12	114	Vinod Kumar Bishnoi	CDSL	12010605	00092361	31,900	12-03-20	30-09-20	N.A.	N.A.
13	133	Kuman Vrajilal Vaghasiya	CDSL	13041400	01545089	60,780	12-03-20	30-09-20	N.A.	N.A.
			CDSL	13041400	01545089	44,000	31-03-20	30-09-20	N.A.	N.A.
14	138	Bharat Parsotam Kothia	CDSL	12033800	00123013	1,800	12-03-20	30-09-20	N.A.	N.A.
15	144	Nilesh M.Vekaria	CDSL	12081600	29586386	2,200	31-03-20	30-09-20	N.A.	N.A.
			CDSL	12081600	29586386	7,450	10-04-20	30-09-20	N.A.	N.A.
16	147	V.B. Rao	CDSL	13012400	01603035	17,100	12-03-20	30-09-20	N.A.	N.A.
		CDSL LOCKED-IN SHARES TOTAL (C)				7,85,36,755				
		TOTAL LOCKED IN SHARES (B + C + D)				1,08,16,90,884				
		TOTAL PRE-PREFERENTIAL SHAREHOLDING				1,15,76,90,884				

For Suzlon Energy Limited



Geetanjali S.Vaidya,
Company Secretary.

Date: 7th May 2020

Place: Pune.

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ANNEXURE II**CALCULATION OF PREFERENTIAL ISSUE PRICE**

A] Average of 26 weeks high low of the VWAP (Rs.)	2.39
B] Average of 2 weeks high low of the VWAP (Rs.)	2.43
C] Applicable Minimum Price (Higher of the A or B) (Rs.)	2.43

A] Average of weekly high & low of the volume weighted average price (VWAP) of the equity shares of Suzlon Energy Limited quoted on the National Stock Exchange during the last twenty six weeks preceding the relevant date (considering relevant date as 17th April, 2020)

Weeks	From	To	Highs	Lows	Average
1	16-Apr-20	10-Apr-20	2.70	2.50	2.60
2	09-Apr-20	03-Apr-20	2.40	2.10	2.25
3	02-Apr-20	27-Mar-20	2.00	1.85	1.93
4	26-Mar-20	20-Mar-20	1.91	1.73	1.82
5	19-Mar-20	13-Mar-20	2.11	1.91	2.01
6	12-Mar-20	06-Mar-20	2.38	2.20	2.29
7	05-Mar-20	28-Feb-20	2.90	2.48	2.69
8	27-Feb-20	21-Feb-20	2.82	2.64	2.73
9	20-Feb-20	14-Feb-20	2.45	2.25	2.35
10	13-Feb-20	07-Feb-20	2.64	2.27	2.46
11	06-Feb-20	31-Jan-20	2.44	2.21	2.33
12	30-Jan-20	24-Jan-20	2.49	2.38	2.44
13	23-Jan-20	17-Jan-20	2.59	2.45	2.52
14	16-Jan-20	10-Jan-20	2.63	2.42	2.53
15	09-Jan-20	03-Jan-20	3.49	2.67	3.08
16	02-Jan-20	27-Dec-19	2.17	1.84	2.01
17	26-Dec-19	20-Dec-19	2.03	2.02	2.03
18	19-Dec-19	13-Dec-19	2.08	1.97	2.03
19	12-Dec-19	06-Dec-19	2.28	2.06	2.17
20	05-Dec-19	29-Nov-19	2.33	2.24	2.29
21	28-Nov-19	22-Nov-19	2.38	2.25	2.32
22	21-Nov-19	15-Nov-19	2.47	2.40	2.44
23	14-Nov-19	08-Nov-19	3.00	2.59	2.80
24	07-Nov-19	01-Nov-19	2.99	2.71	2.85
25	31-Oct-19	25-Oct-19	2.69	2.54	2.62
26	24-Oct-19	18-Oct-19	2.68	2.54	2.61
Average Price					2.39

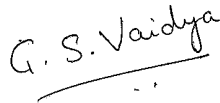
B] Average of weekly high & low of the volume weighted average price (VWAP) of the equity shares of Suzlon Energy Limited quoted on the National Stock Exchange during the last two weeks preceding the relevant date (considering relevant date as 17th April, 2020)

Weeks	From	To	Highs	Lows	Average
1	16-Apr-20	10-Apr-20	2.7	2.5	2.6
2	09-Apr-20	03-Apr-20	2.4	2.1	2.25
Average Price					2.43

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Notes:

1. The minimum issue price / conversion price for the proposed preferential issue based on the pricing formula prescribed under Regulation 164 of Chapter V of the ICDR Regulations has been worked out at Rs.2.43/- (Rupees Two and Forty Three Paise Only);
2. The Relevant Date for the purpose of said minimum issue price will be 17th April, 2020 being the date which is 30 (Thirty) days prior to the date on which the resolutions shall be deemed to be passed, i.e., 18th May 2020 which is the last date specified in the Postal Ballot Notice for e-voting; It is hereby clarified that the thirtieth day prior to the date on which the resolutions shall be deemed to be passed, i.e., 18th May 2020 which is the last date specified in the Postal Ballot Notice for e-voting is 18th April, 2020. However, since 18th April, 2020 falls on weekend, the Relevant Date is 17th April, 2020 in terms of explanation to Regulation 161 of the ICDR Regulations; and
3. The relevant "Stock Exchange" is the National Stock Exchange of India Limited (NSE) since the average trading volume in respect of the equity shares of SEL quoted in NSE during the preceding six months prior to the Relevant Date was 1,82,10,57,469 shares as against 54,07,73,760 shares traded in BSE Limited during the said period.

For Suzlon Energy Limited**Geetanjali S.Vaidya,
Company Secretary.****Date: 7th May 2020****Place: Pune.**

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