## SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447] 532667 (BSE) Name of Listed Entity Scrip Code

Equity Shares of Rs.2 each

SUZION (NSE)

March 31, 2018 Not Applicable

Yes / No

Š Yes

31(1)(b)

Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c)a. If under 31(1)(b) then indicate the report for quarter ending:

Class of Security:

Name of Scrip:

b. If under 31(1)(c) then indicate the date of allotment / extinguishment:

Declarations:

**Particulars** S.Z Whether the Company has issued any partly paid up shares? =

Whether the Company has issued any Convertible Securities or Warrants?

The outstanding balances of FCCBs issued by the Company are as under:

Whether the Company has any shares against which depository receipts are issued? USD 546,916,000 Step-up Convertible Bonds due 2019 **Iranche** Ē

Outstanding Amount (USD)

172,002,000

Yes Xes Yes

> Whether the Company has any shares in lock-in? €.

Whether any shares held by promoters are pledged or otherwise encumbered?

>

5319609640 No. of equity shares held in demat form 1052784456 4257777920 9047264 ≥ 19.67 99.39 AN ž Š shares held (b) As a % of No. of shares piedged or atherwise encumbered 1046310914 ď Z 1046310914 Ž Z No. (a) 0.00 0.00 0.00 As a % of total shares held (b) No. of locked in shares Œ) No. (a) conv. sec (as a % of diluted cap.i.e. A+B+C2) 17.60 82.40 100.00  $\{x_i = (v_{ii}) + (x)$ holding as a full conv. of % assuming Shareunder-tying o/s ö 670040150 conv. Sec. (incl. 670040150 No. of shares warrant) 8 100.00 80.04 Total as a % Voting rights i.e. [as a % of 19.79 0.17 0.00 No. of Voting Rights held in each class of A+B+C] of Total Class Y £ 1052784456 9047264 5319774121 Class X · Equity 4257942401 80.18 100.00 % of total no. of shares (as 19.82 Ž 0.00 holding as a Share-(IVI) = (IV)+(VI)+(VI) 1052784456 No. of shares Total no. of equity underlying shares held 4257942401 5319774121 9047264 9047264 9047264 (5) DRs TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES up equity shares | partly paid NG. OF shares held up equity 3 1052784456 No. of fully paid 4257942401 5310726857 heid 3 Total [A+B+C] 1022656 23 1022634 share-holders No. of € Shares underlying Depository Non Promoter Non Public Shares held by Employee Category of shareholder Promoter and Promoter Ξ Receipts (DRs) Group Trusts Public <u>@</u> 3 ਹੁ Category Û 3 =

For Suzion Energy Limited





Cate-	Category of shareholder	PAN	No. of	No. of fully paid up	No. of north paid	No. of	Total no. of		No. of Voting Rights held in each class of	neld in each cli	iss of No. of	Share-	No. of locked in shares	in shares	No. of shares piedged or	edged or	No. of equity
co de co de			snaro- holders	equity snates need		snards underlying DRs		% of total no. of shares [as a % of A+B+C2]	Security Class X - Equity C	>	5007	holding as a sounding to the conv. of conv. or (as a % of diluted cap.i.e.  A+B+C2)	No. (2)	As a % of total shares held (b)	otherwise encumbered No. (a) As a % total site held (i)	As a % of total shares held (b)	shares held in demat form
	(1)	(11)	(111)	(IV)	(2)	(M)	[VII = IV+V+VI]	(VIII)	(XI)	1	(x)	(XI = VII+X)	(ax)		(XIII)		(XIX)
Ξ	Indian								-	 							
	Individuals / HUFs																
	Tulsi R.Tanti	AARPT6363J	1	0005068	O	0	3905000	0.07	3905000	0	0.07	0.07		ō	3904253	99.98	3905000
7	Gita T.Tanti	AASPT6380Q	T	64512000	C	0	64512000	1.21	64512000	0	1.21	1.08	o		64512000	100.00	64512000
m	Tulsi R.Tanti as karta of Tulsi Ranchhodbhai HUF	AAAHT4207Q	f	. 18000000	C	0	18000000	0.34	18000000	0		0.30			18000000	100.00	1800000
4	Tulsi R.Tanti as karta of Ranchhodbhai Ramiibhai HUF	AAAHT4206R	1	42570000	0	0	42570000	0.80	42570000	0	0.80	0 0.71	Ó	0	36697082	86.20	42570000
'n	Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti	AAATR1895E	7	42660000	0	0	42660000	0.80	42660000	0	08.0	0.71	0	0	42660000	100.00	42650000
9	Vinod R. Fanti	AARPT6367N	-	11367000	0	0	11367000	0.21	11357000	0	0.21	0.19		6	11367000	100.00	11357000
_	Jitendra R. Tanti	AARPT6364R	F	12400000	C	0	12400000	0.23	12400000	0	0.23	0 0.21	Ò	o	12400000	100.00	12400000
∞	Sangita V. Tanti	AARPT6365Q	1	70182000	C	0	70182000	1.32	70182000	0					70182000	100.00	70182000
6	Lina J. Tanti	AARPT6366P	1	70182000	0	6	70182000	1.32	70182000	0	1.32	71.17	Ó	0	70182000	100.00	7018200
10	Rambhaben Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	3000	0		0.00	O	0	0	0.00	3000
11	Vinod R.Tanti as karta of Vinod Ranchhodbhai HUF	AAAHT4200K	<del></del>	18900000	0		18900000	0,36	18900000	0	0.36	0 0.32		0	18900000	100.00	1890000
12	Jitendra R.Tanti as karta of Jitendra	AAAHT4199P	1	12723000	۵	o	12723000	0.24	12723000	0	0.24	0 0.21	C	0	12723000	100.00	12723000
ů,	Tanki	acatototo		50067000		Č	20057000		59057000	c	***	800	c	č	50057000	00,00	20063002
Т	Nicht Tanti	ADIPT9754G		Ĺ		c	3057000	0.06	3052000	6 0					300000	98.30	3052000
Т	Rajan V.Tanti	AFIPT0671F	1		O	0	16605000	0.31	16605000	0					16500000	99.97	16605000
	Brij J. Tanti	AFIPT4629M	1		0	Ö	37117000	0.70	37117000	0	0.70				37100000	99.95	37117000
17	Trisha J. Tantí	AFIPT4641R	1	15120000	0	0	15120000	0.28	15120000	0	0.28		O		15100000	99.87	15120000
	Girish R. Tanti	ABFPT3310E	1	100019000		6	100019000	1.88	100019000	0					100018711	100.00	100019000
	Central Govt. / State Govt.		0		٥	6	0	0.00	o	0		0.00	-		0	00:00	
T	Financial Institutions / Banks		0		٥	0	o	0.00	0	0					0	0:00	
	Any other (specify)		0	O			0	00:00	0	0	80.0	00'0	Ċ.	6	0	00:0	***************************************
= -	Soules Colporate Teofi Holdings Put 1td	AACCS1420K	1	158901093		6	158901093	2.99	158901093		2.99	2.66	٥	0	158400000	89.68	158901093
Т	Sugati Holdings Pvt. Ltd.	AAJCS3009H	Ī	262497868	0	0	262497868	4.94	262497868	0		0 4.39		0.00	262497868	100.00	262497868
П	Samanvaya Holdings Pvt. Ltd.	AAJCS3004L	1	33001495	O	0	33001495	0.62	33001495	0	0.62		o	0	3300000	100.00	33001495
П	Sub-Total (A3)		21	1052784456	0	0	1052784456	19.82	1052784456	0	19.79	0 17.60	0	00.00	1046310914	99.39	1052784456
$\neg$	Foreign																
╅	Individuals/NRIs/Foreign Individuals		0 6	0	٥	0 0	0 0	0.00	0	0 0	0.00	0.00	0 0	0 0	0 (	0.00	
i i	covernment		2 0			5 6	5 0	3 6	5 0	<b>5</b> C		8 6				0.00	
1	Foreign Portfolio Investor		0	ļ	0	0	o	0.00	o	0					0	000	
Т	Any other (specify)		0		0	0	o	0.00	0	0		0.00			0	00:0	
П	Sub-Total (A2)		0 2		0 0	٥	0	0.00	0			0.00		,	0	00:0	O
	Total Promoters [A = A1 + A2]		,	1052784456	=	č	105778/056										



Carlos (Carlos		
1105	2011/2	

PAN	Cate- Category of shareholder PAN No. of hilly paid	No. of fully paid	No. of	No. of	Total no. of	Share-	No, of Voting Rights held in each	Rights hele	in each	No. of	Share-	No. of locked in shares	in shares	No. of charee	100	No of parities
share- holders	. ,			shares	equity shares	holding as a % of total no.	class o	class of securities		shares under-	holding as a			pledged or		shares held in
				g DRs			Class X - Equity	Gass 7	Yotal as a % of Total Voting rights i.e. [as a % of A+B+C]	conv. Sec. (incl. warrant)	full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. (a)	As a % of total shares heid (b)	No. (a) 0	As a % of total shares held (b)	edast of a
(E)		(/۱)	٤	(VI)	$\{VII = IV+V+VI\}$	(VIIE)		(X)		(x)	(X; = V(I+X)	(XII)		(xIIX)	-	(XIV)
- 1	- 1	636971736	ľ	7	000000000000000000000000000000000000000		000000000000000000000000000000000000000	(	P	9						
AAATK4475F 1	1	54810000	, 0	0	54810000	1.03	54810000	0	1.03	0	0.92	0	•	NA NA	¥ ¥	54810000
AAATR00908 1		66015624	0	0	66015624		65015624		1.24	0		0	8	12	Z Z	66015624
٥		0	***************************************	0	0		С	٥	0.00	0		0		NA	ď	О
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-	- 1	0 24767673		5 0	0		0 200730,003		3 5	021070027		3 [		¥ :	4	0
AAECC9969K 1	1 1	111506181			111506181	2.10	111506181	0	2.10	0	1.86			AN AN	Z Z	111506181
	1							Ī		Ī					+	
22	- 1	195656639		5	195656639	3.68	195656639	o	3.58	0		0	***************************************	NA.	Ā	19565658
AAACL0582H 1		89932017	0	0	89932017		89932017	5 0	1.69	0	1.50	0	8.8.	A A	a a	89932017
G	1	C	c	c	C	00.0	C		000	c	00 0	c	0	ΨN	d/V	
138	1	1073994950		-	1073994950		1073994950		20.13	670040150		10		ΔN	ď	10739944501
0	1	0	0	0	0		0	0	0.00	0	0.00	٥	0.0	ΝA	AN A	)
-																
1007785	185	1368862984	o	0	1368862984	25.78	1368862984	o	25.73	0	22.89	C	0.00	N.A.	g Z	1368698503
1275	La	636941792	O	0	636941792	11.99	636941792	٥	11.97	0	10.65	0	00.0	NA	AN	636941792
ANIPS2068P 1	1	00000089	o	0	00000089	1.28	00000089	0	1.28	0	1.14	0		Ą	AN	00000089
AXLP54835P 1	١.	98000000		ō	68000000		68000000		1.28	0		0		NA	۲.	00000089
BCMP5094DL		6800000		6	68000000		68000000		1.28	0		0		λA	AN	68000000
	23	1984615		0	1984615		1984615		0.04	0	İ	0		NΑ	ΑN	1984615
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-				ľ			371010101	,	0000	•						
AAQFG4147A	230b	138300000	0	5 0	138300000	2.50	138300000	0	2.60	0		0	0.00	NA AN	A A	138300000
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AAFFE0858H	н	00000055	O	0	5500000	1.04	5500000	0	1.03	0	0.92	٥	0.0	A A	A A	0000005\$
	_	85000000	į	8	8500000		85000000		7.60	0		0	<u>.</u>	ΝA	ΑN	8500000
AAMFR2044P		8500000	0	Ö	85000000	1.60	85000000	O	3.60	0	1.42	0	0.00	NA	NA	85000000
AAOFG0104D		160990000		0	100900000		100900000		06.1	0		a		Ψ.Σ.	A	10090000
_	- 1		_								_					

Cate-	Category of shareholder	PAN	No. of	Cate- Category of shareholder PAN No. of No. of fully paid	No. of	No. of	Total no. of	Share-	No. of Voting Rights held in each	ghts held in	n each	No. of	Share-	No. of locked in shares	in shares	No. of shares	hares	No. of equity
gory			share- holders	up equity shares held	partly paid up	shares	equity shares held	holding as a % of total no.	class of	class of securities	76	shares under-holding as a lying o/s % assuming	holding as a % assuming			pledged or otherwise	od or wise	shares held in demat form
					shares held	g DRs		of shares (as a % of A+B+C2)	of sharcs las Class X - Equity Class Y a % of A+8+C2]	Class Y Tr	% of Total as a Voting rights i.e. [as a % of A+B+C]	(incl. warrant)	full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
-	(3)	(1)	(111)	(V)	(X)	(X)	(VR = IV+V+VE)	(NIII)	-	(x)	-	×	(XI = V(I+X)	(JIX)		(XIIIX)	=	(XIX)
Vid	Viditi Investment Pvt. Ltd. J/w.	AAQFP8544A	1	000000001	0	0	1000000001	1.90	000006001	O	3.90	0	1.69	0	00.0	ΝA	ď	1000000001
<u> </u>	Virtuous Share investments Pvt. Ltd.					,												
, jo	of partners of M/s. Pioneer					····												
Res	Resources																	
Sur	Suraksha Buildwell LLP	ABTF52875D	1	110000000	0	0	cooccoort	2.07	110000000	С	2.07	0	1.84	۵	0.00	NA.	۸	110000000
Fan	Family Investment Pvt. Ltd. J/w.	ACSF59818G	**	100900000	0	0	000006001	1.90	1009000000	0	3.90	0	1.69	0	00.00	NA	Ā	100900000
ð	Quality Investment Pvt. Ltd. J/w.																	
<u>\$</u>	Kumud S. Shanghviin the capacity of					•								-				
2	partners of M/s. Sunrise Associates																	
ð	Clearing Members		241	14587036	0	0	14587036	0.27	14587036	0	0.27	0	0.24	0	0.00	ΝA	ΑN	14587036
Trusts	sts		28	3514735	0	0		70.0	3514735	c	20.0	0	0.06	0	0.00	NA		3514735
Nor	Non Resident Indians		7097	69077077	Ö	0	69077077	1.45	77077069	0	1.45	0	1.29	O	00.0	NA	AN	99077077
No	Non Resident Indians Non		3133	17284488	0	a	17284488	0.33	17284488	Ö	0.32	0	0.29	۵	0.00	NΑ	٩N	172844
Rep	Repatriable																	
For	Foreign Corporate Bodies		2	778736	0	0	778736	0.01	778736	c	0.03	o	0.03	٥	0.00	NA	AN	778736
For	Foreign Nationals		9	66250	0	0	66250	0.00	66230	c	0.00	O	00:0	0	0.00	NA	AN	66230
Sub	Sub-Total(e)		13413	1175158060	ō	0	1176158060	22.15	1176158060	0	22.11	ö	19.67	٥	00.0	AN	ΑN	1176158060
_	Sub-Total (B3)		1022496	3183947451	0	0	3183947451	59.95	3183947451	0	59.85	0	53.24	0	00'0	AN	AN	3183782970
L	Total Public (8 = 81+82+83)		1022634	100726227	٥	0	4257942401	80.18	4257942401	0	80.04	670040150	82.40	0	0.00	ΝA	ĄN	4257777920





TABLE	FABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLICS	DING PATTERN OF T	THE PUBLI	C SHAREHOLDERS													
Cate	Category of shareholder	PAN	No. of	No. of fully paid No. of	No. of	No. of	Total no. of	Share-	No. of Voting Rights held in each	held in each	No. of	Share	No. of locked in shares	n shares	No. of shares	┝	No. of equity
807		75	share-	up equity shares	partly	shares	shares equity shares holding as a	holding as a	class of securities		shares under-holding as a	nolding as a			pledged or	_	shares held in
code		Ĭ	nolders	held	dn pied	underlyin	held	% of total no.			lying o/s % assuming	% assuming			otherwise		demat form
					equity	g DRs		of shares [as	of shares (as Class X - Equity Class Y Total as a	Y Total as a	conv. Sec.	full conv. af	No. (a)	As a % of	No. (2) A	As a %	
					shares	.,		30% 8		% of Total	(incl.	conv. sec		ictot		of total	
					held			A+B-C2]		Voting	warrant)	jo % e se)		shares		shares	
									••••	rights i.e.		diluted		held (b)	ž	held (b)	
				•						jo % e sej		cap.i.e.					***
					***				············	A+B+C		A+8+C2}	•••••				********
	(1)	(11)	(111)	(N)	(v)	(VI)	(VE = IV+V+VI)	(VIII)	(XI)		(x)	(X! = V!! + X)	(xii)		(XIIX)		(XIV)
Details	betails of shareholders acting as persons in concert including their sharehold	concert including the	ir shareh	olding (No. and %)													
Sr. No.	Sr. No. Name shareholders acting as No. of fully paid up equity	No. of fully paid up	equity	Total as a % of													

2 -	No. of fully paid up equity shares held	Total as a % of A+B+Cl
Family investment Pv. Ltd. J/w. Quality investment Pv. Ltd. J/w. Kumud S, Shanghwi in the capacity of partners of M/s. Sunrise Associates	103900000	1,90
Tejaskiran Pharmachom Industries Prt. Ltd. J/w. Virtuous Finance Pvr. Ltd. J/w. Aalok D. Shangivi in the capacity of partners of M/s. Goldenstar Enterprises	100300000	1.30
Viciti investment Pvt. Ito. J/w. Victuous Share investments Pvt. Itd. J/w. Vibha Shanghvi in the capacity of partners of M/s. Ploneer Resources	100800000	1.90
Aditya Micdisales ttd. J/w. Unimed Investments Ltd. J/w. Ms. Vidhi Shanghwi in the capacity of gartners of M/s. Expert Vision	00000055	1.03
Aalok D. Shanghvi	68000000	1.28
Vibha Shanghvi	68000000	1.28
Vidhi D. Shanghvi	98000000	1.28
Neostar Developers LLP	8500000	1.60
Real Gold Developers LLP Suraksha Buildwell LLP	110000000	2.07
Sudhir V. Valia	29295	0.00
Raksha S. Valia	25000	0.00
Vijay M. Parekh	2000000	0.09
Paresh M. Parekh	2000000	0.09
Cannon Realty Pvt. Ltd. J/w. Sun	13830000	2.60
Fastfin Services Pvt. Ltd. in the		
capacity of partners of M/s. GEE SIX Enterprises		
Total	990054295	18.61
S. No.		Name shareholders acting as persons acting in concert. Family Invostons acting in concert. Family Invostons acting in concert. Caulty in the capacity of partners of Mis. Sunits Associates Figiaskinan Pharmachem Industries PAr. Lid. Jiw. Allowous Finance PA. Lid. Jiw. Allowous Share Invostments PA. Lid. Jiw. Vicha Shanghvi in the capacity of partners of Mis. Ploncer Addity a Necistales Lid. Jiw. No. Vidhi Shanghvi in the capacity of partners of Mis. Export Vision  Asilok D. Shanghvi Vidin M. Parekh Paresh M. Parekh

## Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- The Promoter Group as defined under Regulation 21.)(1) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, (SAST Regulations, 2011) is as under:
  Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as Karta of Tulsi R.Tanti as karta of Reminbeal Huff, Tulsi R.Tanti as karta of Lusis Rembalantial Huff, Tulsi R.Tanti as karta of Minod Rambalantial R.Tanti as karta of Minod R.Tanti, Indeed Rambalantial Rembhaben Ukabhai Vinod R.Tanti as karta of Minod Rambalantial Rembalantial Rembhaben Ukabhai Vinod R.Tanti as karta of Minod Rambalantial Rembalantial Rajan V. Tanti, Brij 3.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 The Persons Acting in Concert (PACs), as defined in the SAST Regulations, 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
  M/s. GEE SIX Enterprises, IM/s. Sunriss Associates, M/s. Goldonstar Enterprises, M/s. Foncer Resources, M/s. Expert Vision, Aalok D. Sharghwi, Vibra Shanghwi, Vibria D. Shanghwi, Neostar Developers ILP, Real Gold Developers ILP, Surakcha Buildwell ILP, Suchir V. Yalla, Naskha S. Valia, Vijay M. Parckh and Paresh M. Parckh.
- PACs are acting in concert with the Promoter Group based on Glause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



ا !																		
l	Category of shareholder	PAN	No. of share- holders	No. of share- No. of fully paid No. of No. of shares holders underlying DRs	No. of partly paid		Toza no. of equity   Share-holding   No. of Voting Rights hold in each class of   No. of shares   Share-holding as a shortes hold   securities   underlying o/s   % assuming full	Share-holding as a % of total	No. of Voting Rigi	lights held in o secunities	ach class of	No. of shares inder-lying o/s	No. of shares Share-holding as a under-lying o/s % assuming full	No. of locked in shares	in shares	No. of share	No. of shares piedged or otherwise encumbered	No. of equity shares held in
				held	up equity shares held			no. of shares [as a % of A+8+C2]	Class X - Equity	∑ 8 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	Total as a % of Total Voting rights i.e. [as a % of A+8+C]	Class Y Total as a conv. Sec (incl. % of Total warrant) % of Total warrant) rights.ic. [35.9 % of A+6-C]	conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of totol shares held (b)	demat form
	(8)	8	æ	(A)	٤	(1)	(Vii = IV+V+Vi)	(MIII)	-	SXI		8	(X) = V(I+X)	(HX)		2	(July)	(ANA)
	Custodian / DR Holder		ĭ	9047264	0	o	9047264	0.17	9047264	٥	0.17	0	0.00	0	Ö	NA	AN	9047264
	Employee Benefit Trust under SEB!		0	c	0	0	0	00'0	0	0	00:0	0	0.00	0	o	NA	NA	
	(Share based Employee Benefit) Regulations, 2014					·					••••••							
	Total Non Promoter - Non Public			9047264	0	0	9047264	0.17	9047264	0	0.17	0	o	0	0	NA	NA	9047264
	Shareholding [C = C1 +C2]																	



