SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447] SUZLON (NSE) 532667 (BSE) Name of Listed Entity Name of Scrip: Scrip Code

Equity Shares of Rs.2 each

December 31, 2018

31(1)(b)

Not Applicable

res / No

9 N Yes

Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) a. If under 31(1)(b) then indicate the report for quarter ending: Class of Security:

b. If under 31(1)(c) then indicate the date of allotment / extinguishment:

Declarations: 4

Particulars

S.N.

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The outstanding balances of FCCBs issued by the Company are as under: Whether the Company has issued any Convertible Securities or Warrants? Whether the Company has issued any partly paid up shares?

Whether the Company has any shares against which depository receipts are issued? USD 546,916,000 Step-up Convertible Bonds due 2019 Tranche

Outstanding Amount (USD)

172,002,000

Yes

Yes 8

Whether any shares held by promoters are pledged or otherwise encumbered?

Whether the Company has any shares in lock-in? (≥ 5

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1052784456 4258537363 8280840 No. of equity shares held in demat form ⋛ 76.72 As a % of AN AA total shares held (b) ¥ No. of shares pledged or otherwise encumbered 807710914 ¥ 807710914 MA Ä \mathbb{Z} No. (a) 0.00 0.00 As a % of shares held (b) No. of locked in shares total 0 Ê No. (a) 100.00 % assuming 17.60 82.40 conv. sec (as a % of diluted (X)+(IIX)=IXfull conv. of holding as a cap.i.e. A+B+C2) under-lying o/s 670040150 conv. Sec. (incl. No. of shares 670040150 8 100.00 Voting rights i.e. [as a % of 80.05 Total as a % 19.79 0.16 No. of Voting Rights held in each class of 0.00 A+B+C] of Total 0 0 0 0 0 Class Y securities ŝ 1052784456 Class X - Equity 8280840 5319774121 4258708825 19.82 100.00 80.18 % of total no. AN 0.00 holding as a of shares [as A+B+C2] Share-(<u>Z</u> No. of shares | Total no. of equity $[\mathrm{VII} = (\mathrm{IV}) + (\mathrm{V}) + (\mathrm{VI})$ 1052784456 4258708825 5319774121 8280840 shares held 0 8280840 8280840 underlying Ē TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES partly paid 0 No. of up equity shares held 3 up equity shares 1052784456 No. of fully paid 4258708825 5311493281 20 Total [A+B+C] 1020448 1020427 share-holders Ê Shares underlying Depository (C) Non Promoter Non Public Shares held by Employee Category of shareholder Promoter and Promoter Receipts (DRs) Group Trusts Public Cate-(C1) gory (C_2) 8 <u>@</u>

JIMI? VOT'

For Suzion Energy Limited

5319602659

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0.00

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Company Secretary

Cate-	Category of shareholder	PAN	No of	Category of shareholder PAN No of No of filly naid in No of No of Shareholder	JO ON	40 OM			10 10 10 10 10 10 10 10 10 10 10 10 10 1									
gory			share-			shares	equity shares	holding as a	NO. OI VOTING K	ignts held in e securities	ach class of	No. of shares	Share- holding as a	No. of locked in shares	in shares	No. of shares pledged or otherwise encumbered	pledged or cumbered	No. of equity
3			000 000 000 000 000 000 000 000 000 00		up equity L	underlying DRs	held	% of total no. of shares [as a % of A+B+C2]	Class X - Equity	Class Y	% of Total % of Total Voting rights i.e. [as a % of A+B+C]	<u>tu</u>	% assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(1)	(I)	(11)	(III)	((v)	(2)	(VI)	(VII = IV+V+VI)	(VIII)		(XI)		(x)	(XI = VII+X)	(IIX)		(IIIX)		(VIIA)
(a)	Individuals / HUEs										-							Aivi
-	Tulsi R.Tanti	AARPT63631		0005008.	-	c	0001000	100	0001000						-			
2	Gita T. Tanti	AASPT63800	1	64512000	0 0	0 0	3905000	0.07	3905000	0 0	0.07	0	0.07	0	0	3904253		
m	Tulsi R.Tanti as karta of Tulsi Ranchhodbhai HUF	АААНТ4207Q	, 1	18000000	0	0	18000000	0.34	1800000		0.34	0	0.30	0	0 0	64512000 18000000	00.001	64512000 18000000
4	Tulsi R.Tanti as karta of Ranchhodbhai Ramjibhai HUF	AAAHT4206R	Н	42570000	0	0	42570000	0.80	42570000	0	0.80	0	0.71	0	0	2240000	52.62	42570000
5	Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti	AAATR1895E	Н	42660000	0	0	42660000	08.0	42660000	0	0.80	0	0.71	0	0	42660000	100.00	42660000
9 1	Vinod R.Tanti	AARPT6367N	1	11367000	0	0	11367000	0.21	11367000		0.21	0	0.19	0	0	11367000	100.00	11267000
Ţ	Jitendra R. Tanti	AARPT6364R	H	12400000	0	0	12400000	0.23	12400000	0	0.23	0	0.21	0	0	1240000		
Т	Sangita V.Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.32	70182000		1.32	0	1.17	0	0	70182000	L	
\top	Lina J. lanti	AARPT6366P	П	70182000	0	0	70182000	1.32	70182000	0	1.32	0	1.17	0	0	70182000		
T	Kambhaben Ukabhai*	AASP16381R		16566000	0	0	16566000	0.31	16566000		0.31	0	0.28	0	0		L	
	Vinod K. lanti as karta of Vinod Ranchhodbhai HUF	AAAHT4200K	-	18900000	0	o `:	18900000	0.36	18900000	0	0.36	0	0.32	0	0	2000000		
12	Jitendra R.Tanti as karta of Jitendra Ranchhodbhai HUF	АААНТ4199Р	П	12723000	0	0	12723000	0.24	12723000	0	0.24	0	0.21	0	0	9023000	70.92	12723000
	Pranav T.Tanti*	ADJPT9753B	1	42504000	0	0	42504000	0.80	42504000		0.80	0	0.71	0	c	42503000	100.00	42504000
14	Nidhi T.Tanti	ADJPT9754G	T	3052000	0	0	3052000	90.0	3052000		90.0	0	0.05	0	0	3000000		
丅	Najan V. Janu	AFIP106/1F		16605000	0	0	16605000	0.31	16605000	0	0.31	0	0.28	0	0	16600000	1 99.97	16605000
1	Trisha J. Tanti	AFIPT4641R	7 -	37117000	0 0	0 0	37117000	0.70	37117000	0 0	0.70	0	0.62	0	0	37100000		
	Girish R.Tanti	ABFPT3310E		100019000	0	0 0	100019000	1 88	100019000		07.0		0.25	0		15100000		15120000
	Central Govt. / State Govt.		0	0	0	0	0	0.0	0	0	0.00	5 0	T.b/	0 0	0	35817000	"	100019000
\exists	Financial Institutions / Banks		0	0	0	0	0	00.00	0	0	00.0	0	0.00	0	0		0000	
<u> </u>	Any other (specify)		0	0	0	0	0	00.00	0	0	00.00	0	00:00	0	0	0		
П	Fanti Holdings Pvt. Ltd.	AACCS1420K	1	158901093	0	0	158901093	2.99	158901093	0	2.99		2 66		c	000000	10.00	20,0001,
2	Samanvaya Holdings Pvt.Ltd.	AAJCS3004L	1	295499363	0	0	295499363	5.56	295499363	0	5.55	0	4.94	0	0	247960661		295499363
1,	Sub-Total (A1)		20	1052784456	0	0	1052784456	19.82	1052784456	0	19.79	0	17.60	0	0.00	807710914		1052784456
1_	Individuals/NRIs/Foreign Individuals		0	0	0	6	C	000	O	c	000	C			1			
) (q)	Government		0	0	0	0	0	0.00	0	0	000	0	000	0 0	5 6	5 0	0.00	
	Institutions		0	0	0	0	0	0.00	0	0	00.0	0	00:0	0	0	0		
\top	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	00:0	O	0.00	0	0	0		
(e)	Any other (specify)		0 0	0 0	0 0	0 0	0	0.00	0	0	00.00	0	00:0	0	0	0		
T	Total Promoters [A = A1 ± A2]		2 6	1053204456	> 6	5 0	0 210,	0.00	0	1	0.00	0	00.0	0	0	0	0.00	
1	1052784456 0 19.79 0 17.60 0 17.60 0 0.00 807710914 76.72 105278445		7.	7075107707	5	5					:	~		•				

ning part of the Promoter / Promoter Group of SEL, who was holding 5,90,67,000 equity shares aggregating to 1.11% of the paid-up capital of SEL has gifted 5,90,66,000 equity shares aggregating to 1.11% of the paid-up capital encumbered and accordingly while 1,65,63,000 equity shares have been transferred by way of gift on December 21, 2018, the balance 4,25,03,000 equity shares would be transferred, in one or more tranches, as and when the encumbrance on these equity shares released. Thus, pending actual transfer, 4,25,03,000 shares though already gifted by Mr. Pranav T. Tanti to Mrs. Rambhaben Ukabhāi are still shown against his name. The Compamy and the concerned promoters have made necessary disclosures to the stock eachs. of SEL to Mrs. Rambhaben Ukabhai, another person forming part of the Promoter / Promoter Group of SEL, in terms of Gift Deed dated December 21, 2018. Of the total 5,90,66,000 equity shares to be transferred by way of gift, 4,25,03,000 equity shares gigg in this regard.



H			Sa.	(a)		(XIV)		NA 223235014	NA NA	NAM	NA 287089634			NA 89932017	NA 0	NA 790655423	NA 0		NA 1519094985	NA 696341486	NA 68000000		NA 68000000			NA 0		NA 1105091673	NA 138300000	NA 5500000			NA 85000000	009000000 I NA	11000000	NA 100900000
No. of shares	pledged or otherwise	No. (a) As a %	shar	(d) held (b)		(XIII)		AN V		_		AN			NA		AN		NA	A A		AN			NA.				AN	NA N			AN A	N N		Z Z
shares		Asa%of N	shares	held (b)			000	0.00	20.0	0.00	00.0	0.00	0.00	00.0	0.00	0	0.00		00.0	0.00	0.00	0.00	0.00	00:0	00:00	0.00		00:0	0.00	00:00		0.00	00.00	00.00	000	0.00
No. of locked in shares		No. (a)				(IIX)	1	5 0	0	0	0	0	0 0		0	0			0	0	0	O	0	0	0	0		0	0	0 .		0	0 0	0	0	0
L	holding as a % assuming	full conv. of conv. sec	(as a % of	difuted cap.i.e.	A+B+C2)	(X+[I/X = IX]	2 72	000	000	0.00	16.00	3.18	1.50	0	00.00	24.42	0.00		25.40	11.64	1.14	1.14	1.14	0.04	0.00	00.0		18.48	2.31	0.92		1.42	1.69	1.69	1.84	1.69
	ŧώ	Sec. (incl. f warrant)				(x)	c	0	0	0	670040150	0	0 0	,	0	670040150			0	0	0	0	0	0	٥	ο.		0	0	0		0	0	O	0	0
	ities	Y Total as a % of Total	Voting	rights i.e. [as a % of	A+B+C]		0 4 20			00.00			0 1.69			0 14.86			0 28.56	0 13.09		0 1.28		0.04	0.00	00.0			0 2.60	0 1.03			00 11.90	0 1.90		0 1.90
No. of Voting Rights held in each	% L	Class X - Equity Class Y				(XI)	223235014	0	0	0			89932017			/90655423			1519266447	696341486			68000000			0		1105091673	,	55000000			100900000	100900000	110000000	
		SE .	A+B+C2]			(AIII)	4.20		0.00	0.00		\perp	1.69			0.00			28.60 151	13.11 69			1.28 6		0.00	0.00	1.		2.60 133	1.04			1.90	1.90 100		1.90 100
	equity shares holy holy held % of	ofs	₹			(VII = IV+V+VI)	223235014	0	0	0	287089634	190398758	89932017		0	790655423			1519266447	696341486	68000000	68000000	00000089	2104167	0	0		1105091673	138300000	5500000		8500000	00000000	100900000	110000000	100900000
No. of		g UKs				Σ (Σ	0	0	0	0	0	0 0	0		0	0			0	0	0	0	0	0	0	5		0		0	- (0		0
		shares	held			2	0	0	0	0	0	0 0	0		0	0			0	0	0	0	0	0	0	5	1	0	0	0		5 6	0 .	0	0	0
No. of fully paid	h equity snares		-			(12)	223235014	0	0	0	287089634	190398758	89932017		0	0			1519266447	696341486	00000089	00000089	68000000	2104167	0 0	0	11000011	1105091673	13830000	2500000	000000	00000008	1009000000	100900000	110000000	100900000
No. of							14	0	0	0	0 5	1	-		10,1	0			1005468	1496	1			75		p	3775	\$//7	red ·	Ħ	*	1-		-i	1	-
Ž.					(11)	(11)							AAACL0582H								ANIPS2068P	AXLP34835P	BCMPS0940L					140504470	AAQF64147A	AAFFE0868H	1AEN17109C	VAMER 2044P	AAOFG0104D	AAQFP8544A	A8TFS2875D	ACSFS9818G
Category of strategories				-	(1)	Institutions	Mutual Funds	Venture Capital Funds	Alternate Investment Funds	Foreign Venture Capital Investor	Financial Institutions / Banks	Insurance Companies	PORATION OF	INDIA Any Other fenories	Sub-Total (8Decily)	ate G	Non-institutions	Individuals	nominal capital up to Rs.2 Lacs	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs	Vibha D.Shanghvi			NBFLS registered with KBI	Overson Depositories (helding Dec)	(bal figure)	Any Other (specify) Rodies Corporate	1 144 1/11 0.12	In EE SIX	Aditya Medisales Ltd. J/w. M J Pharmaceuticals Pvt. Ltd. J/w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	Neostar Developers 110	۵	Shanghvi Finance Pvt. Ltd. J/w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	Ltd. J/w. Vibha y of partners ces		Shanghvi Finance Pvt. Ltd. J/w. Kumud S. Shanghviin the capacity of
gory	epoo					(1)		\neg	7		(e)	1		E 3	7		\neg	(e)		<u>£ 2</u> (E)		₹ ;	T	(0)	T	\neg	(e)	ă	2 E S E	<u>A T 2 G</u>	N N	8	ម្តេច គួក្	र से स	Su	유 교

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	No. of equity shares held in					(XIX)	11337014	1569335	76893953	70000	21157643	34227684	64000	1250341302	3467881940	CACTURACE
	iares Tor	As a % of total shares	held (b)				AN	AN	ΔZ	ΑĀ		AA	Ϋ́	Ā	ΔN	VN
	No. of shares pledged or otherwise	No. (a)				(XIII)	AN	Ā	ΔN	Ā		AA	, NA	Ā	NA	VIV
	hares	As a % of total shares	held (b)		+		0.00	0.00	0.00	0.00		0.00	0.00	8.0	0.00	000
	No. of locked in shares		- P			(X	0	0	0	o		•	0	0	٥	-
		No. (a)														
	Share- holding as a % assuming	full conv. of conv. sec (as a % of	diluted cap.i.e.	A+B+C2)	7.00 - V.01 - V.01	(X = V +X)	0.19	0.03	1.29	0.35		0.57	0.00	20.90	57.98	82.40
	No. of shares Share- under-lying holding as a o/s conv. % assuming	Sec. (incl. warrant)			52	X	0	0	0	0		0 (D	0	0	670040150
		Total as a % of Total Voting	rights i.e. [as a % of	A+B+C]	1	1	0.21	0.03	1.45	0.40	3	7 6	00.00	73.50	62.19	80.05
	oting Rights held class of securities	Class Y			(2)		٦	0	0	0	(5 6	0 9	1	5	ö
	No. of Voting Rights held in each class of securities	lass X - Equity					1133/014	1569335	76893953	21157643	10075550	54000	1250341301	3469053403	240002407	4258708825
	Share- holding as a % of total no.	of shares [as Class X - Equity Class Y Total as a a % of A+B+C2] % of Total A+B+C2]				/	1770	0.03	1.45	0.40	63.0	0.01	23.66	1000	67.60	80.18
	Total no. of equity shares held				(VII = [V+V+V])	44001044	1133/014	1569335	76893953	21157643	24227684	64000	1250241302	2459052402	201000010	4728708875
		g DRs			(5)	to	1	5	0	0	c	c	,	1	,	>
	——	equity shares held		-	ŝ	c	5 6	5	0	0	6	6	c	0	,	2
C SHAREHOLDERS	No. of fully paid up equity shares held				(A)	11337014	100000	CCCGCCT	/6893953	21157643	34227684	64000	1250341302	3468053402	475870892E	4230700023
JF THE PUBLI	No. of share- holders		1804		(III)	184	30	252	1760	3414	2	4	13334	1020320	1020427	, ,
G PALLEKN	z					`										
ABLE 111 - STATEWENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS	Category of shareholder PAN				(11)	Clearing Members	ts	Non Resident Indiana	Non-Designation of the Paris Name	Repatriable	Foreign Corporate Bodies	Foreign Nationals	Sub-Total(e)	Sub-Total (B3)	Total Public (B = B1+B2+B3)	
ADEC 111 - 3	Cate- gory code			$\frac{1}{1}$	1	Clea	Trusts	N	No.	Rep	Fore	Fore	-gng-			
-1				- 1	- 1		1	1	1			- 1				1





gory category or snarenoider code	PAN OF OR	Picco Alitable	No. of	No. of		,							
ide .			partly	shares	Total no. of equity shares	Share- holding as a	No. of Voting Rights held in each class of securities	No. of shares	Share-	No. of locked in shares	shares	No. of shares	No. of equity
	holders					% of total no.	Billiones to seep		noiding as a % assuming			pledged or otherwise	shares held in demat form
			shares	g DRs		S	Class X - Equity Class Y	Sec. (incl. warrant)	full conv. of	No. (a)	As a % of total	No. (a) As a % of total	
			2		-	A+8+C2]	Voting rights i.e. [as a % of		(as a % of diluted cap.í.e.		shares held (b)	shares held (b)	
(1)	(III) : (II)	(VI)	(S	(IA)	(Vii = [V+V+V]		(ix)	٤	A+B+C2)				
Details of shareholders acting as persons in concert including their shareholding (No. and %)	in concert including their sha	reholding (No. and %)		1			(51)	(v)	(XI = VII+X)	(lix)		(XIII)	(XIV)
Sr. No. Name shareholders acting as persons No. of fully paid up equity acting in concert	ons No. of fully paid up equit	y Total as a % of											
1 Shanghvi Finance Pvt. Ltd. J/w.	100900000	1 90											
Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates													
	000006001	1.90											
partners of M/s. Goldenstar Enterprises													
Shanghvi Finance Pvt. Ltd. J/w. Vibha	ha 100900000	1.90											
Shanghvi in the capacity of partners	· · ·												
of W/s. Figureer Resources											•		
Aditya Medisales Ltd. J/w. M J	5500000	1.03											
Pharmaceuticals Pvt. Ltd. J/w. Ms. Vidhi Shanehvi in the capacity of													
partners of M/s. Expert Vision	· ·	-								•			
- Aalok D. Shanghvi	68000000	1.28											
Vibha Shanghvi	6800000	1.28											
Vidhi D. Shanghvi	6800000	1.28											
Neostar Developers LLP	8500000	1.60											
9 Real Gold Developers LLP 10 Suraksha Buildwell I P	85000000	1.60											
Т	29295	0.00							•				
Raksha S. Valia	25000	0.00											
13 Vijay M. Parekh	2000000	. 0.09											
Paresh M. Parekh	2000000	60:0											
Cannon Realty Pvt. Ltd. J/w. Sun	13830000	2.60											
capacity of partners of M/s. GEE SIX Enterprises									•				
Total	990054795	18.61											

- Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

 1 The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, (SAST Regulations, 2011) is as under:

 Tusis R.Tanti, Gita T.Tanti, Tusis R.Tanti as karta of Tusis Ranchhodbhai HUF, Tusis R.Tanti as karta of Ranchhodbhai HUF, Tusis R.Tanti as karta of Manchhodbhai HUF, India R.Tanti Jiendra R.Tanti, Sangita V.Tanti, Ina J.Tanti, Rambhaben Ukabhai, Vinod R.Tanti as karta of Vinod R.Tanti, Jiendra R.Tanti, Sangita V.Tanti, Rambhaben Ukabhai, Vinod R.Tanti as karta of Vinod R.Tanti, Jiendra R.Tanti as karta of Jitendra Ranchhodbhai HUF, Pranav T.Tanti, Nahi T.Tanti Rajan V. Tantí, Brij J. Tantí, Trísha J. Tantí, Girish R. Tantí and Samanvaya Holdings Private Limited.
- The Persons Acting in Concert (PACs), as defined in the SAST Regulations, 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:

 M/s. GEE SIX Enterprises, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Rasources, M/s. Expert Vision, Aalok D. Shanghvi, Vibha Shanghvi, Vidhi D. Shanghvi, Neostar Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Raksha S. Valia, Vijay M. Parekh and Paresh M. Parekh.
- PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER	No. of share- No. of shares partly paid No. of voting share-holding share-holding as a % of total as a % of to	7,000	40 0.16 8280840 0 0.16 0 0.16 0 0.10 0.10 0.10 0.10 0.	0 0.00 0		1 8280840 0 0 8280840 0.16 8280840 0.016 0 0 0 0 0 0 NA NA 8280840	er of shares are required to be riven
G PATTERN OF THE NON-PRON	No. of fully paid up equity shares held up equity shares held	(N)	1 8280840 0	0 0		1 8280840 0	of shares are required to be given
STATEMENT SHOWING SHAREHOLDIN	Category of shareholder PAN No.	(1).	Custodian / DR Holder	Employee Benefit Trust under SEBI	(Share based Employee Benefit) Regulations, 2014	Total Non Promoter - Non Public Shareholding [C = C1 +C2]	Note: Names of all holders holding more than 1% of total number of shares are required to be given
TABLE IV -	Cate-gony code		(1) Custod	(2) Employ	(Share Regula	Total 1 Shareh	Note: Names



