

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

- 1 Name of Listed Entity : SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]
- 2 Scrip Code : 532667 (BSE)
- 3 Name of Scrip : SUZLON (NSE)
- 4 Class of Security: Equity Shares of Rs.2 each
- 5 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(c)**
- 6 a. If under 31(1)(b) then indicate the report for quarter ending: Not Applicable
- 7 b. If under 31(1)(c) then indicate the date of allotment / extinguishment: **May 12, 2017** (post issue based on benpose dated March 31, 2017)
- 8 Declarations: :
: Yes / No
: No
: Yes
- 9 S.N. Particulars :
: Whether the Company has issued any partly paid up shares? : No
: Whether the Company has issued any Convertible Securities or Warrants? : Yes

The outstanding balances of FCCBs under various tranches issued by the Company are as under:

Tranche

USD 546,916,000 Step-up Convertible Bonds due 2019

Outstanding Amount (USD)

- iii) Whether the Company has any shares against which depository receipts are issued? : 221,246,000
- iv) Whether the Company has any shares in lock-in? : Yes
- v) Whether any shares held by promoters are pledged or otherwise encumbered? : Yes

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category code	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities	Class X - Equity	Class Y	Total as a % of Total Voting rights i.e. [as a % of A+B+C]	No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)				(x)	[xi] = (vii)+(x)	(xii)	(xiii)	xiv
(A)	Promoter and Promoter Group	30	1052784456	0	0	1052784456	20.57	1052784456	0	0	20.53	0	17.61	42938931	1046310914	1052784456
(B)	Public	973178	4064161858	0	0	4064161858	79.43	4064161858	0	0	79.26	861871957	82.39	0	NA	4064090532
(C)	Non Promoter Non Public															
(C1)	Shares underlying Depository Receipts (DRs)	1	0	0	10996000	10996000	NA	10996000	0	0	0.21	0	0	0	NA	10996000
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0.00	0	0	0	NA	0
	Total [A+B+C]	973209	5116946314	0	10996000	5127942314	100.00	5127942314	0	100.00	100.00	861871957	100.00	42938931	1046310914	5127870988



TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities			No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
									Class X - Equity	Class Y	Total as a % of Total Voting rights i.e. (as a % of A+B+C)			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
(I)	Indian	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)	(IX)			(X)	(XI = VII+X)	(XII)		(XIII)		(XIV)
(a)	Individuals / HUFs																	
1	Tulsi R. Tanti	AARPT6363J	1	3905000	0	0	3905000	0.08	3905000	0	0.08	0	0.07	0	0	3904253	99.98	3905000
2	Gita T. Tanti	AAAPT6380Q	1	64512000	0	0	64512000	1.26	64512000	0	1.26	0	1.08	0	0	64512000	100.00	64512000
3	Tulsi R. Tanti as karta of Tulsi Ranchhodhbhai HUF	AAAH4207Q	1	18000000	0	0	18000000	0.35	18000000	0	0.35	0	0.30	0	0	18000000	100.00	18000000
4	Tulsi R. Tanti as karta of Ranchhodhbhai Ramjibhai HUF	AAAH4206R	1	42570000	0	0	42570000	0.83	42570000	0	0.83	0	0.71	0	0	36697082	86.20	42570000
5	Tulsi R. Tanti J/w. Vinod R. Tanti J/w. Jitendra R. Tanti	AAATR1895E	1	42660000	0	0	42660000	0.83	42660000	0	0.83	0	0.71	0	0	42660000	100.00	42660000
6	Vinod R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.22	11367000	0	0.22	0	0.19	0	0	11367000	100.00	11367000
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.24	12400000	0	0.24	0	0.21	0	0	12400000	100.00	12400000
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.37	70182000	0	1.37	0	1.17	0	0	70182000	100.00	70182000
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.37	70182000	0	1.37	0	1.17	0	0	70182000	100.00	70182000
10	Rambhaden Ukabhai	AAAPT6381R	1	3000	0	0	3000	0.00	3000	0	0.00	0	0.00	0	0	0	0.00	3000
11	Vinod R. Tanti as karta of Vinod Ranchhodhbhai HUF	AAAH4200K	2	18900000	0	0	18900000	0.37	18900000	0	0.37	0	0.32	0	0	18900000	100.00	18900000
12	Jitendra R. Tanti as karta of Jitendra Ranchhodhbhai HUF	AAAH4199P	2	12723000	0	0	12723000	0.25	12723000	0	0.25	0	0.21	0	0	12723000	100.00	12723000
13	Pranav T. Tanti	ADIPT9753B	2	59067000	0	0	59067000	1.15	59067000	0	1.15	0	0.99	0	0	59067000	100.00	59067000
14	Nidhi T. Tanti	ADIPT9754G	1	3052000	0	0	3052000	0.06	3052000	0	0.06	0	0.05	0	0	3000000	98.30	3052000
15	Rajan V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.32	16605000	0	0.32	0	0.28	0	0	16600000	99.97	16605000
16	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.73	37117000	0	0.72	0	0.62	0	0	37100000	99.95	37117000
17	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.30	15120000	0	0.29	0	0.25	0	0	15100000	99.87	15120000
18	Girish R. Tanti	ABEPT3310E	2	100019000	0	0	100019000	1.95	100019000	0	1.95	0	1.67	0	0	100018711	100.00	100019000
(b)	Central Govt. / State Govt.		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
i)	Bodies Corporate																	
1	Tanti Holdings Pvt. Ltd.	AACCS1420K	4	158901093	0	0	158901093	3.11	158901093	0	3.10	0	2.66	0	0	158400000	99.68	158901093
2	Sugati Holdings Pvt. Ltd.	AAJCS3009H	2	262497868	0	0	262497868	5.13	262497868	0	5.12	0	4.39	42938931	16.36	262497868	100.00	262497868
3	Samaranya Holdings Pvt. Ltd.	AAJCS3004L	2	33001495	0	0	33001495	0.64	33001495	0	0.64	0	0.55	0	0	33000000	100.00	33001495
	Sub-Total (A1)		30	1052784456	0	0	1052784456	20.57	1052784456	0	20.53	0	17.61	42938931	4.08	1046310914	99.39	1052784456
2	Foreign																	
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(b)	Government		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(c)	Institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0	0	0.00	0
	Total Promoters [A = A1 + A2]		30	1052784456	0	0	1052784456	20.57	1052784456	0	20.53	0	17.61	42938931	4.08	1046310914	99.39	1052784456



TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS																		
Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of shares partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = IV+V+VI	(VIII)	Class X - Equity	Class Y - Voting rights i.e. (as a % of A+B+C)	(X)	(XI) = VII+X	(XII)	(a)	As a % of total shares held (b)	(a)	As a % of total shares held (b)	(XIV)
(a)	Institutions																	
(a)	Mutual Funds		28	58228762	0	0	58228762	1.14	58228762	0	1.14	0	0.97	0	0.00	NA	NA	58228762
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Foreign Venture Capital Investor		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Foreign Portfolio Investors		118	689133547	0	0	689133547	13.47	689133547	0	13.44	861871957	25.94	0	0.00	NA	NA	689133547
	BLACKROCK GLOBAL FUNDS ASIAN DRAGON FUND	AACCM3443L	1	54664935	0	0	54664935	1.07	54664935	0	1.07	0	0.91	0	0.00	NA	NA	54664935
	COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	AAEC0969K	1	132986172	0	0	132986172	2.60	132986172	0	2.59	0	2.22	0	0.00	NA	NA	132986172
	CREDIT SUISSE SINGAPORE LIMITED	AACCC7328N	1	54668811	0	0	54668811	1.07	54668811	0	1.07	0	0.91	0	0.00	NA	NA	54668811
(f)	Financial Institutions / Banks		26	241541106	0	0	241541106	4.72	241541106	0	4.71	0	4.04	0	0.00	NA	NA	241541106
(g)	Insurance Companies		2	90232017	0	0	90232017	1.76	90232017	0	1.76	0	1.51	0	0.00	NA	NA	90232017
	LIFE INSURANCE CORPORATION OF INDIA	AAACLO582H	1	89932017	0	0	89932017	1.76	89932017	0	1.75	0	1.50	0	0.00	NA	NA	89932017
(h)	Any Other (specify)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(2)	Central Govt. / State Govt. (B2)		174	1079135432	0	0	1079135432	21.09	1079135432	0	21.04	861871957	32.46	0	0.00	NA	NA	1079135432
(3)	Non-institutions		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(a)	Individuals																	
(i)	Individuals and HUFs holding nominal capital up to Rs.2 Lacs		957709	1138111789	0	0	1138111789	22.24	1138111789	0	22.19	0	19.04	0	0.00	NA	NA	1138041463
(ii)	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs		1186	598786566	0	0	598786566	11.70	598786566	0	11.68	0	10.02	0	0.00	NA	NA	598786566
	Vibha D.Shanghi	ANIP52068P	1	68000000	0	0	68000000	1.33	68000000	0	1.33	0	1.14	0	0.00	NA	NA	68000000
	Aalok Dilip Shanghi	AXLP54835P	1	68000000	0	0	68000000	1.33	68000000	0	1.33	0	1.14	0	0.00	NA	NA	68000000
	Vidhi D.Shanghi	BCMPS0940L	1	68000000	0	0	68000000	1.33	68000000	0	1.33	0	1.14	0	0.00	NA	NA	68000000
(b)	NBFCs registered with RBI		23	3567745	0	0	3567745	0.07	3567745	0	0.07	0	0.06	0	0.00	NA	NA	3567745
(c)	Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(d)	Overseas Depositories (holding DRs) (bal figure)		0	0	0	0	0	0.00	0	0	0.00	0	0.00	0	0.00	NA	NA	0
(e)	Any Other (specify)																	
	Bodies Corporate		4015	1123750344	0	0	1123750344	21.96	1123750344	0	21.91	0	18.80	0	0.00	NA	NA	1123749344
	Cannon Realty Pvt. Ltd. /w. Sun Fastlin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	AAQFG4147A	1	138300000	0	0	138300000	2.70	138300000	0	2.70	0	2.31	0	0.00	NA	NA	138300000
	Aditya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghi in the capacity of partners of M/s. Expert Vision	AAFFE0868H	1	55000000	0	0	55000000	1.07	55000000	0	1.07	0	0.92	0	0.00	NA	NA	55000000
	Neostar Developers LLP	AAINF7108C	1	85000000	0	0	85000000	1.66	85000000	0	1.66	0	1.42	0	0.00	NA	NA	85000000
	Real Gold Developers LLP	AAMFR2044P	1	85000000	0	0	85000000	1.66	85000000	0	1.66	0	1.42	0	0.00	NA	NA	85000000

Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	AAQFG0104D	1	100900000	0	0	100900000	1.97	100900000	0	1.97	0	1.69	0	0.00	NA	NA	100900000
Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghvi in the capacity of partners of M/s. Pioneer Resources	AAQFP8544A	1	100900000	0	0	100900000	1.97	100900000	0	1.97	0	1.69	0	0.00	NA	NA	100900000
Suraksha Buildwell LLP	ABTFS2875D	1	110000000	0	0	110000000	2.15	110000000	0	2.15	0	1.84	0	0.00	NA	NA	110000000
Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	ACSF59818G	1	100900000	0	0	100900000	1.97	100900000	0	1.97	0	1.69	0	0.00	NA	NA	100900000
Clearing Members		650	44051099	0	0	44051099	0.86	44051099	0	0.86	0	0.74	0	0.00	NA	NA	44051099
Trusts		28	3361035	0	0	3361035	0.07	3361035	0	0.07	0	0.06	0	0.00	NA	NA	3361035
Non Resident Indians		7053	60733580	0	0	60733580	1.19	60733580	0	1.18	0	1.02	0	0.00	NA	NA	60733580
Non Resident Indians Non Repatriable		2331	12407776	0	0	12407776	0.24	12407776	0	0.24	0	0.21	0	0.00	NA	NA	12407776
Foreign Corporate Bodies		1	183992	0	0	183992	0.00	183992	0	0.00	0	0.00	0	0.00	NA	NA	183992
Foreign Nationals		8	72500	0	0	72500	0.00	72500	0	0.00	0	0.00	0	0.00	NA	NA	72500
Sub-Total(e)		14086	1244560326	0	0	1244560326	24.32	1244560326	0	24.27	0	20.82	0	0.00	NA	NA	1244559326
Total Public (B = B1+B2+B3)		973004	2985026426	0	0	2985026426	58.34	2985026426	0	58.21	0	49.93	0	0.00	NA	NA	2984951100
Total Public (B = B1+B2+B3)		973178	4064161858	0	0	4064161858	79.43	4064161858	0	79.26	861871957	82.39	0	0.00	NA	NA	4064090532

Note: Names of all holders holding more than 1% of total number of shares are required to be given

Details of shareholders acting as persons in concert including their shareholding (No. and %)

Sr. No.	Name shareholders acting as persons acting in concert	No. of fully paid up equity shares held	Total as a % of A+B+C
1	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	100900000	1.97
2	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shanghvi in the capacity of partners of M/s. Goldenstar Enterprises	100900000	1.97
3	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shanghvi in the capacity of partners of M/s. Pioneer Resources	100900000	1.97
4	Aditya Medicales Ltd. /w. Unimind Investments Ltd. /w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	55000000	1.07
5	Aalok D. Shanghvi	68000000	1.33
6	Vibha Shanghvi	68000000	1.33
7	Vidhi D. Shanghvi	68000000	1.33
8	Nestor Developers LLP	85000000	1.66
9	Real Gold Developers LLP	85000000	1.66
10	Suraksha Buildwell LLP	110000000	2.15
11	Sudhir V. Valla	29295	0.00
12	Raksha S. Valla	25000	0.00
13	Vijay M. Parekh	5000000	0.10
14	Parekh M. Parekh	5000000	0.10
15	Canon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	138300000	2.70
	Total	990054295	19.31



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- 1 The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011)) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Tulsi Ranchhodhbhai HUF, Tulsi R.Tanti as karta of Ranchhodhbhai Ramjibhai HUF, Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhaden Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodhbhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhbhai HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Brij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- 2 The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
Cannon Realty Pvt. Ltd. J/w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shanghvi, Vibha Shanghvi, Vidhi D Shanghvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valia, Raksha S. Valia, Vijay M. Parekh and Pares M. Parekh.
- 3 PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category Code	Category of shareholder	PAN	No. of share- holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities		No. of shares under-lying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap. i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise encumbered		No. of equity shares held in demat form
									Class X - Equity	Class Y			No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)	(VIII)	(IX)	(X)	(XI) = (X)+(X)	(XII)	(XIII)	(XIV)			
(1)	Custodian / DR Holder	-	1	10996000	0	0	10996000	0.21	10996000	0	0	0.00	0	0	NA	NA	10996000
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0	0	0.00	0	0	NA	NA	0
	Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	10996000	0	0	10996000	0.21	10996000	0	0	0	0	0	NA	NA	10996000

Note: Names of all holders holding more than 1% of total number of shares are required to be given

