SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447] SUZLON (NSE) 532667 (BSE) Name of Listed Entity Name of Scrip: Scrip Code

Equity Shares of Rs.2 each

September 30, 2016 Not Applicable

31(1)(b)

Yes / No

Š Yes

b. If under 31(1)(c) then indicate the date of allotment / extinguishment: Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c)a. If under 31(1)(b) then indicate the report for quarter ending:

Declarations:

Particulars

S.N.

Class of Security:

Whether the Company has issued any partly paid up shares?

The outstanding balances of FCCBs under various tranches issued by the Company are as under: Whether the Company has issued any Convertible Securities or Warrants?

Tranche

Outstanding Amount (USD)

247,826,000

Yes

Yes Yes

Whether the Company has any shares against which depository receipts are issued? USD 546,916,000 Step-up Convertible Bonds due 2019

Whether the Company has any shares in lock-in? <u>≘</u> € 5

Whether any shares held by promoters are pledged or otherwise encumbered?

إ∑	TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES	T HOLDIN	G OF SPECIFIE) SECURIT	ries								İ	i	;		
Category of shareholder		No. of share-	No. of fully paid up equity shares	8.	No. of No. of shares Total no rtly paid underlying share	Total no. of equity shares held	Share- holding as a	No. of Voting Rights held in each class of securities	ghts held in ea securities	⊢	No. of shares under-lying o/s	Share- holding as a	No. of locked in shares	shares	No. of shares pledged or otherwise encumbered	dged or nbered	No. of equity shares held in
		holders	held	up equity shares held	DRs		% of total no. of shares [as a % of A+B+C2]	Class X - Equity	Class Y	Total as a % of Total Voting rights I.e. [as a % of A+B+C]	Total as a % conv. Sec. (incl. of Total as a % varrant) Voting rights i.e. las a % of A+B+C]	% assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	demat form
(11)		(III)	(IV)	(x)	(I/A)	[N] = (N) + (N) + (N)	(VIIIV)		(X)		×	(X)+(I)) = (X)	(IIX)		(XIIIX)		λix
(A) Promoter and Promoter		28	1052784456	0	0	1052784456	21.00	1052784456	0	20.95	0	17.61	133930731	12.72	1008076625	95.75	1052784456
		981178	3959876170	0	0	3959876170	79.00	3959876170	10	78.81	965415321	82.39	0	0.00	NA	¥	3959821272
Non Promoter Non Public	٥								-								
(C1) Shares underlying Depository Receipts (DRs)	itory	1	0	0	11738324	11738324	NA	11738324	0	0.23	0	0	0	0	NA	NA	11738324
(C2) Shares held by Employee Trusts		0	0	0	0	0	0.00	0	0	0.00	0	0	0	0	NA	NA	0
Total [A-	FB+C]	Total [A+B+C] 981207	5012660626		0 11738324	5024398950	100.00	5024398950	0	100.00	100.00 965415321	100.00	133930731	2.67	1008076625	20.06	5024344052





For Suzlon Energy Limited

IABLE II - STATEMENT SHOV	- STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER	LDING PA	TERN OF THE	PROMO		AND PROMOTER GROUP	R GROUI										
Cate- Category of shareholder gory	PAN	No. of share-	No. of fully paid up equity shares held	No. of partly paid	No. of shares	Total no. of equity shares	Share- holding as a	No. of Voting Rights held in each class of securities	ghts held in eac	<u></u>	No. of	Share- holding as a	No. of locked in shares	n shares	No. of shares pledged or	oledged or	No. of equity
apoo		holders			underlying		% of total	Class X - Equity	>	т-	- 00	% assuming	No. (a)	As a % of	No. (a)	As a % of	demat form
				shares heid	ORs.	<u> </u>	no. of shares [as a			% of Total o	o/s conv. 1 Sec. (incl. c	full conv. of conv. sec (as		total shares held (b)		total shares held (b)	
		<u>.</u>					% of A+B+C2]				warrant) a	a % of diluted cap.i.e. A+B+C2)					
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(1) (Indian			(A)	2	(E)	(VII = IV+V+VI)	(1)		(X)	+	8	(X+IIX = IX)	(IIX)		(XIIIX)		(XIV)
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Ť	AARDTG3631		3005000	-	1	3005000	000	0005000	1	900	1	100	,	1	220000	18	
Т	AASPT63800			3 6	5 0	64512000	1 70	54512000	1	1 20	9	100	0		3904253	1	3905000
3 Tulsi R.Tanti as karta of Tulsi	AAAHT42070			100	1	1800000	35.0	1800000	1	200	3 4	00.7			10000000	1	04512000
	707		Teorgoon	o	-	1800000	0:30	TROODOO	5	0.36	5	0:30	9	0	18000000	100.00	18000000
4 Tulsi R.Tanti as karta of Ranchhodbhai Ramiibhai HUF	AAAHT4206R		1 42570000	o	0	42570000	0.85	42570000	0	0.85	0	0.71	0	0	2240000	52.62	42570000
5 Tulsi R.Tanti J/w. Vinod R.Tanti J/w. Jitendra R.Tanti	J/w. AAATR1895E	<u> </u>	1 42660000	0	0	42660000	0.85	42660000	0	0.85	0	0.71	0	0	42660000	100.00	42660000
Ť	AARPT6367N		11367000	0	6	11367000	0.23	11367000	F	0.23	6	0.10			11367000	100.00	11367000
7 Jitendra R.Tanti	AARPT6364R			0	0	12400000	0.25	12400000	ŀ	0.75	6	0.21		, 0	1240000	100.001	1240000
1	AARPT6365Q	L	L	0	0	70182000	1.40	70182000	0	1.40	6	117	0	0	70182000	100.00	70182000
П	AARPT6366P	1	70182000	0	٥	70182000	1.40	70182000	0	1.40	0	1.17	0	0	70182000	1	70182000
10 Rambhaben Ukabhai	AASPT6381R	,	3000	0	0	3000	0.00	3000	0	0.00	0	0.00	0	0	0	1	3000
11 Vinod R.Tanti as karta of Vinod Ranchhodbhai HUF	AAAHT4200K		1890000	0	0	18900000	0.38	18900000	0	0.38	0	0.32	0	0	950000	0,	18900000
12 Jitendra R.Tanti as karta of Jitendra Ranchhodbhai HUF	ndra AAAHT4199P		12723000	0	0	12723000	0.25	12723000	0	0.25	0	0.21	0	0	12723000	100.00	12723000
13 Pranav T. Tanti	ADJPT97538		2 59067000	0	0	59067000	1.18	59067000	o	1.18	0	0.99	0	0	59067000	100.00	59067000
	ADJPT9754G		3052000	0	0	3052000	0.06	3052000	0	0.06	0	0.05	0	0	300000		3052000
15 Rajan V.Tanti	AFIPT0671F		16605000	0	0	16605000	0.33	16605000	0	0.33	0	0.28	0	00	16600000	69.97	16605000
7	AFIPT4629M		37117000	0	0	37117000	0.74	37117000	0	0.74	0	0.62	0	0	37100000	1	37117000
7	AFIPT4641R		15120000	0	0	15120000	0.30	15120000	0	0.30	0	0.25	٥	0	15100000	1	15120000
7	ABFPT3310E	1	100019000	0	0	100019000	2:00	100019000	0	1.99	0	1.67	٥	0	100018711		100019000
(b) Central Govt. / State Govt.				5 6	5 6	5	0.00	0	1	0.00	5 6	0.00		0		0.00	
T	-			5 6	5 6	5 6	3 6		1	800	1	00.00					
i) Bodies Corporate					5		00.0	5	3	00.0	5	0.00					
П	AACCS1420K		4 158901093	0	0	158901093	3.17	158901093	0	3.16	0	2.66	0	0	15840000	89.68	158901093
П	AAJCS3009H		7	0	0	262497868	5.24	262497868	0	5.22	0	4,39	133930731	51.02	247960661		262497868
3 Samanvaya Holdings Pvt.Ltd.	AAJCS3004L			0	0	33001495	0.66	33001495	0	0.66	0	0.55		0	3300000		33001495
Т	al (A1)	28	3 1052784456	0	•	1052784456	21.00	1052784456	•	20.95	1	17.61	133930731	12.72	1008076625	95.75	1052784456
2 Foreign (a) Individuals/NRIs/Foreign Individuals	drak			10	10		5		1	900	10	100		C		000	
Т				0	0	0	000	0	0	000	0	0.00	0	0			
T			0	0	0	0	0.00	0	0	0.00	0	0.00	0	0			
				0	0	0	0.00	0	0	0.00	0	0.00	0	0	0		
(e) Any other (specify)			0 0	0	0	0	0.00	0	0	0.00	0	0.00	0	0			
Sub-Total (A2)	al (A2)			0	0	0	0.00	0	٥	0:00	0	0.00		0	[
Total Promoters [A = A1 + A2]	1+A2]	28	3 1052784456	0	0	1052784456	21.00	1052784456	0	20.95	5	17.61	133930731	12.72	1008076625	35.75	1052784456
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10 100	10 10 10 10 10 10 10 10	March Sept.								no. of shares (as a % of A+B+C2)	Class X - Equity				assuming full conv. of conv. sec (as a % of	(a)	As a % of otal shares held (b)	No. (a) to		demat form
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Marchester 2	MANAGESTRY 23 SECTION 1 C SECTION 1	MACCIONTO 13	AVESTMENT	AAECC9969K		132986172	0	0	132986172	2.65	132986172			0	2.22	Ó	0.00	NA	AN	132986172
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AAMFR2044P	AAMFR2044P 1 85000000 0 0 85000000 1.70 8500000 0 1.69 0 0 1.69 0 0 0.00 NA NA S5000000 NA NA NA 1.00900000 NA	AAMFR2044P 1 8500000 0 0 1.09 8500000 0 1.69 0 0.00 NA NA S800000 NA NA S800000 NA NA S800000 NA NA S800000 NA NA NA S800000 NA NA NA S800000 NA NA NA S800000 NA	oers LLP	AAIFN7108C	-	8500000	0	0	85000000		Ľ		1.69	0	1.42	0	0.00	NA	ΝΑ	85000000
AAOFG0104D 1 100900000 0 2.01 10090000 0 2.01 0 1.69 0 0.00 NA 10090000 0 1.69 0 0.00 NA 10090000 0 1.69 0 0.00 NA 10090000 NA 1009000 NA 100900 NA 1	AAOFG0104D 1 100900000 0 2.01 100900000 0 2.01 0 1.69 0 0.00 NA 10090000	AAOFG0104D 1 100900000 0 2.01 100900000 0 2.01 0 1.69 0 0.00 NA 10090000	lopers LLP	AAMFR2044P	1	8500000	О	0	85000000				1.69	0	1.42	0	0.00	NA :	₹.	8500000
* S S S S S S S S S S S S S S S S S S S			rmachem Industries Irtuous Finance Pvt. D. Shanghvi in the	AAOFG0104D	н	100900000	0	0	100900000	2.01	100900000		2.01	0	1.69	0	00.00	4 2		ERGE
* S S S S S S S S S S S S S S S S S S S	* O		tners of M/s. erprises								-						24.5		.,	1/
								-					1					-		

_	Viditi Investment Pvt. Ltd. J/w.	AAQFP8544A	1	100900000	0	0	100900000	2.01	100900000	0	2.01	ō	1.69	0	00.0	AN	Ą	100900000
_=	Virtuous Share Investments Pvt. Ltd.											***						
_~	I/w. Vibha Shanghvi in the capacity of	_																
	partners of M/s. Pioneer Resources								_			_						
I																		
জ	Suraksha Buildwell LLP	ABTFS2875D	1	110000000	0	0	110000000	2.19	110000000	0	2.19	0	1.84	ō	0.00	AN	AN AN	110000000
<u>.e</u>	Family Investment Pvt. Ltd. J/w.	ACSFS9818G	1	100900000	0	0	100900000	2.01	100900000	ō	2.01	0	1.69	0	0.00	AN	Ž	100900000
ď	Quality Investment Pvt. Ltd. J/w.						_		_	_								
콯	Kumud S. Shanghviin the capacity of						_									_		
ă	partners of M/s. Sunrise Associates																	
JO	Clearing Members		469	18223861	0	0	18223861	0.36	18223861	10	0.36	0	0:30	0	0.00	NA	AN	18223861
Εl	Trusts		30	3374185	0	0	3374185	0.07	3374185	0	0.07	0	90.0	0	0.00	ΑN	Ā	3374185
žΙ	Non Resident Indians		9324	66193602	0	0	66193602	1.32	66193602	0	1.32	0	1.11	0	0.00	AN	ΑN	66193602
ડ	Foreign Corporate Bodies		1	1897262	0	0	1897262	0.04	1897262	0	0.04	0	0.03	0	00.0	NA	Ν	1897262
6	Foreign Nationals		8	72500	0	0	72500	00:00	72500	0	0.00	0	00.0	0	0.00	NA	ΑN	72500
Su	Sub-Total(e)		13949	1187919588	0	0	1187919588	23.70	1187919588	0	23.64	0	19.87	0	0	NA	NA	1187918588
	Sub-Total (B3)		886086	2825411848	0	0	2825411848	56.37	2825411848	0	56.23	0	47.26	0	0.00	NA	NA	2825356950
L	Total Public (B = B1+B2+B3)		981178	3959876170	0	0	3959876170	79.00	3959876170	0	78.81	965415321	82.39	0	0.00	NA	AN	3959821272
l																		

Note: Names of all holders holding more than 1% of total number of shares are required to be given

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Details of shareholders acting as persons in concert including their shareholding (No. and %)	2 00 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1
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Sr. No.	Name shareholders acting as persons	No. of fully paid up equity	Total as a % of
	acting in concert	shares held	A+B+C]
ų	Family Investment Pvt. Ltd.	22500000	0.45
7	Quality investment Pvt. Ltd.	2540000	0,51
3	Viditi investment Pvt. Ltd.	3100000	0.62
4	Virtuous Finance Pvt. Ltd.	1850000	0.37
S	Virtuous Share Investments Pvt. Ltd.	1300000	0.26
9	Tejaskiran Pharmachem Industries Pvt. Ltd.	27900000	0.56
7	Family Investment Pvt. Ltd. J/w. Quality Investment Pvt. Ltd. J/w. Kumud S. Shanghvi in the capacity of partners of M/s. Sunrise Associates	10090000	2.01
ω	Tejaskiran Pharmachem Industries Pvt. Ltd. J/w. Virtuous Finance Pvt. Ltd. J/w. Aalok D. Shanghvi in the capacity of partners of M/s. Golderstar Enterprises	10090000	2.01
6	Viditi Investment Pvr. Ltd. J/w. Virtuous Share Investments Pvr. Ltd. J/w. Vibha Shanghvi in the capacity of partners of M/s. Ploneer Resources	10090000	2.01
5	Aditya Medisales Ltd. J/w. Unimed Investments Ltd. J/w. Ms. Vidhi Shanghvi in the capacity of partners of M/s. Expert Vision	2200000	1.10
۱	Aalok D. Shanghvi	00000089	1.35
12	Vibha Shanghvi	00000089	1.35
13	Vidhi D. Shanghvi	00000089	1.35
4	Neostar Developers LLP	8500000	1.69
53	Real Gold Developers LLP	8500000	1.69
16	Suraksha Buildwell LLP	110000000	2.19
F	Sudhir V. Valia	29295	00.0
2	Raksha S. Valia	25000	0.00
5	Vijay M. Parekh	2000000	0.10
2	Paresh M. Parekh	200000	0.10
١	7-4-1	000054305	1977



Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- Vinod R.Tanit, Jitendra R.Tanti, Janti, Lina J.Tanti, Rambhaben Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodbhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodbhai HUF, Pranav T.Tanti, Midhi T.Tanti, Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Tulsi Ranchhodbhai HUF, Tulsi R.Tanti as karta of Ranchhodbhai Agranti Jow. Vinod R.Tanti Jow. Jitendra R.Tanti, Tanti Holdings Private Limited, 1 The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers Regulations, 2011, (SAST Regulations 2011) is as under: Rajan V. Tanti, Brij J. Tanti, Trisha J. Tanti, Girish R. Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- Family Investment Pvt. Ltd., Quality Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Vidituous Finance Pvt. Ltd., Virtuous Share Investments Pvt. Ltd., Tajaskiran Pharmachem Industries Pvt. Ltd., Viditi Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Viditi Investment Pvt. Ltd., Vidia, Viditi Investment Pvt. Ltd., Viditi Invest The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under: Vijay M. Parekh and Paresh M. Parekh. 7
- 3 PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.



	Category of shareholder	PAN	No. of share-	No. of share- No. of fully paid No. of hor of shares holders underlying DRs		No. of shares	No. of shares Total no. of equity Share-holding No. of Voting Rights held in each class of underlying DRs. shares held as a % of total	Share-holding	No. of Voting Rig	ights held in e	ach class of	No. of shares	No. of shares Share-holding as a	No. of locked in shares		No. of shares pledged or otherwise annumbared	ledged or	No. of equity
				held	up equity			no. of shares [as a % of A+B+C2]	Class X - Equity	Class Y	Yotal as a % of Total Voting rights i.e. [as a % of A+B+C]	warrant)	conv. Sec. (incl. conv. of conv. sec. (incl. conv. of conv. sec. warrant) (as a % of diluted cap.l.e. A+B+C2)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	demat form
	(0)	(11)	(III)	(IV)	3	(M)	(VII = IV+V+VI)	(VIII)		(X)		8	(XI = VII+X)	(IIX)			†	(XIX)
stodi	Custodian / DR Holder		1	11738324	0	ō	11738324	0.23	11738324		0.23	0	00:0	0	0	NA	AN	11738324
ļģ	Employee Benefit Trust under SEBI		o	0	0	Ö	0	00'0	0	°	0.00	0	0:00	Ö	0	AN	AN	0
are	(Share based Employee Benefit)																_	
gula	Regulations, 2014		-	117302711]		ACC002711	0 33	11730334		3	1	1		•	1		*******
, dared	Sharpholding [C = C1 +C2]		•	11/309541	•	•	17/30354			•	5	5	5	•	5	-	<u> </u>	#7C9C/TT



