

SHAREHOLDING PATTERN UNDER REGULATION 31 OF SECURITIES AND EXCHANGE BOARD OF INDIA (LISTING OBLIGATIONS AND DISCLOSURE REQUIREMENTS) REGULATIONS, 2015

1 Name of Listed Entity : **SUZLON ENERGY LIMITED [CIN: L40100GJ1995PLC025447]**

2 Scrip Code : **532667 (BSE)**

Name of Scrip : **SUZLON (NSE)**

Class of Security: **Equity Shares of Rs.2 each**

3 Shareholding Pattern filed under Reg. 31(1)(a) / 31(1)(b) / 31(1)(c) : **31(1)(b)**

a. If under 31(1)(b) then indicate the report for quarter ending: **June 30, 2018**

b. If under 31(1)(c) then indicate the date of allotment / extinguishment: **Not Applicable**

4 Declarations: : **Yes / No**

S.N. Particulars : **No**

i) Whether the Company has issued any partly paid up shares? **Yes**

ii) Whether the Company has issued any Convertible Securities or Warrants? **No**

iii) **The outstanding balances of FCCBs issued by the Company are as under:**

Tranche : **Outstanding Amount (USD)**

USD 546,916,000 Step-up Convertible Bonds due 2019 : **172,002,000**

iv) Whether the Company has any shares against which depository receipts are issued? **Yes**

v) Whether the Company has any shares in lock-in? **No**

vi) Whether any shares held by promoters are pledged or otherwise encumbered? **Yes**

TABLE I - SUMMARY STATEMENT HOLDING OF SPECIFIED SECURITIES

Category	Category of shareholder	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	Class X - Equity	Class Y - Voting rights i.e. (as a % of A+B+C)	No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec. (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)
(A)	Promoter and Promoter Group	21	1052784456	0	0	1052784456	19.82	1052784456	0	19.79	0	17.60	0	1046310914	99.39
(B)	Public	1020022	4258708825	0	0	4258708825	80.18	4258708825	0	80.05	670040150	82.40	0	NA	NA
(C)	Non Promoter Non Public														
(C1)	Shares underlying Depository Receipts (DRs)	1	0	0	8280840	8280840	NA	8280840	0	0.16	0	0	0	NA	8280840
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0.00	0	0	0	NA	0
	Total [A+B+C]	1020044	5311493281	0	8280840	5319774121	100.00	5319774121	0	100.00	670040150	100.00	0	1046310914	19.67
															5319599559



For Suzlon Energy Limited

H.A.Kanyga

Company Secretary

TABLE II - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PROMOTER AND PROMOTER GROUP

Category	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares as a % of A+B+C2	No. of Voting Rights held in each class of securities	No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of a % of diluted cap. i.e. A+B+C2	No. of locked in shares	No. of shares pledged or otherwise encumbered	No. of equity shares held in demat form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)	(ix)	(x)	(xi) = (viii)+(x)	(xii)	(xiii)	(xiv)
(1)	Indian													
(a)	Individuals / HUFs													
1	Tulsi R. Tanti	AARPT6363J	1	3905000	0	0	3905000	0.07	3905000	0	0.07	0	3904253	99.98
2	Gita T. Tanti	AASPT6380Q	1	64512000	0	0	64512000	1.21	64512000	0	1.08	0	64512000	100.00
3	Tulsi R. Tanti as karta of Tulsi Ranchhodhbhai HUF	AAAH14207Q	1	18000000	0	0	18000000	0.34	18000000	0	0.30	0	18000000	100.00
4	Tulsi R. Tanti as karta of Ranchhodhbhai Ramjibhai HUF	AAAH14206R	1	42570000	0	0	42570000	0.80	42570000	0	0.71	0	36697082	86.20
5	Tulsi R. Tanti J/w. Vinod R. Tanti J/w. Ranchhodhbhai Ramjibhai HUF	AAATR1895E	1	42660000	0	0	42660000	0.80	42660000	0	0.71	0	42660000	100.00
6	Vinod R. Tanti	AARPT6367N	1	11367000	0	0	11367000	0.21	11367000	0	0.19	0	11367000	100.00
7	Jitendra R. Tanti	AARPT6364R	1	12400000	0	0	12400000	0.23	12400000	0	0.21	0	12400000	100.00
8	Sangita V. Tanti	AARPT6365Q	1	70182000	0	0	70182000	1.32	70182000	0	1.17	0	70182000	100.00
9	Lina J. Tanti	AARPT6366P	1	70182000	0	0	70182000	1.32	70182000	0	1.17	0	70182000	100.00
10	Rambhaden Ukabhai	AASPT6381R	1	3000	0	0	3000	0.00	3000	0	0.00	0	0	0.00
11	Vinod R. Tanti as karta of Ranchhodhbhai HUF	AAAH14200K	1	18900000	0	0	18900000	0.36	18900000	0	0.32	0	18900000	100.00
12	Jitendra R. Tanti as karta of Jitendra Ranchhodhbhai HUF	AAAH14199P	1	12723000	0	0	12723000	0.24	12723000	0	0.21	0	12723000	100.00
13	Pranav T. Tanti	ADJPT9753B	1	59067000	0	0	59067000	1.11	59067000	0	0.99	0	59067000	100.00
14	Nidhi T. Tanti	ADJPT9754G	1	3052000	0	0	3052000	0.06	3052000	0	0.05	0	3052000	100.00
15	Rajan V. Tanti	AFIPT0671F	1	16605000	0	0	16605000	0.31	16605000	0	0.28	0	16605000	99.97
16	Brij J. Tanti	AFIPT4629M	1	37117000	0	0	37117000	0.70	37117000	0	0.62	0	37100000	99.95
17	Trisha J. Tanti	AFIPT4641R	1	15120000	0	0	15120000	0.28	15120000	0	0.25	0	15100000	99.87
18	Girish R. Tanti	ABFPT3310E	1	100019000	0	0	100019000	1.88	100019000	0	1.67	0	100018711	100.00
(b)	Central Govt. / State Govt.		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(c)	Financial Institutions / Banks		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(d)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(i)	Bodies Corporate													
1	Tanti Holdings Pvt. Ltd.	AACCS1420K	1	158901093	0	0	158901093	2.99	158901093	0	2.66	0	158400000	99.68
2	Sugati Holdings Pvt. Ltd.	AAUCS3009H	1	262497868	0	0	262497868	4.94	262497868	0	4.39	0	262497868	100.00
3	Samanvaya Holdings Pvt. Ltd.	AAUCS3004L	1	33001495	0	0	33001495	0.62	33001495	0	0.55	0	33000000	100.00
	Sub-Total (A1)		21	1052784456	0	0	1052784456	19.82	1052784456	0	17.60	0	1046310914	99.39
2	Foreign													
(a)	Individuals/NRIs/Foreign Individuals		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(b)	Government		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(c)	Institutions		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
(e)	Any other (specify)		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
	Sub-Total (A2)		0	0	0	0	0	0.00	0	0.00	0.00	0	0	0.00
	Total Promoters [A = A1 + A2]		21	1052784456	0	0	1052784456	19.82	1052784456	0	17.60	0	1046310914	99.39
														1052784456





TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS


Category	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of diluted cap. i.e. A+B+C2	No. of locked in shares	No. of shares pledged or otherwise	No. of equity shares held in demat form
	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = IV+V+VI	(viii)	(ix)	(x)	(xi) = VII+X	(xii)	(xiii)	(xiv)
(1)	Institutions													
(a)	Mutual Funds		14	211190025	0	0	211190025	3.98	211190025	0	3.97	0	NA	211190025
(b)	Venture Capital Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(d)	Foreign Venture Capital Investor		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(e)	Foreign Portfolio Investors		88	494272275	0	0	494272275	9.31	494272275	0	9.29	670040150	NA	494272275
	COWELL & LEE INVESTMENT (MAURITIUS) LIMITED	AAECC9669K	1	111506181	0	0	111506181	2.10	111506181	0	2.10	0	NA	111506181
(f)	Financial Institutions / Banks													
(g)	Insurance Companies		21	195368886	0	0	195368886	3.68	195368886	0	3.67	0	NA	195368886
	LIFE INSURANCE CORPORATION OF INDIA	AAACLO582H	1	89932017	0	0	89932017	1.69	89932017	0	1.69	0	NA	89932017
(h)	Any Other (specify)		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(i)	Central Govt. / State Govt. (B2)		124	990763203	0	0	990763203	18.65	990763203	0	18.62	670040150	NA	990763203
(j)	Non-institutions		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(a)	Individuals													
(i)	Individuals and HUFs holding nominal capital up to Rs.2 Lacs		1005149	141677543	0	0	141677543	26.67	141677543	0	26.63	0	NA	1416552981
(ii)	Individuals and HUFs holding nominal capital in excess of Rs.2 Lacs		1338	660699921	0	0	660699921	12.44	660699921	0	12.42	0	NA	660699921
(b)	Vibha D.Shanghi	ANIP52068P	1	680000000	0	0	680000000	1.28	680000000	0	1.28	0	NA	680000000
(c)	Aalok Dilip Shanghi	AXIP4835P	1	680000000	0	0	680000000	1.28	680000000	0	1.28	0	NA	680000000
(d)	Vidhi D.Shanghi	BCMP50940L	1	680000000	0	0	680000000	1.28	680000000	0	1.28	0	NA	680000000
(e)	NBFCs registered with RBI		23	1621088	0	0	1621088	0.03	1621088	0	0.03	0	NA	1621088
(f)	Employee Trusts		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(g)	Overseas Depositories (holding DRs)		0	0	0	0	0	0.00	0	0.00	0	0.00	NA	0
(h)	Any Other (specify)													
(i)	Bodies Corporate		2887	1051871333	0	0	1051871333	19.80	1051871333	0	19.77	0	NA	1051871333
(j)	Cannon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	AAQFG4147A	1	138300000	0	0	138300000	2.60	138300000	0	2.60	0	NA	138300000
(k)	Aditya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shanghi in the capacity of partners of M/s. Expert Vision	AAFE0868H	1	550000000	0	0	550000000	1.04	550000000	0	1.03	0	NA	550000000
(l)	Neostar Developers LLP	AAIFN7108C	1	850000000	0	0	850000000	1.60	850000000	0	1.60	0	NA	850000000
(m)	Real Gold Developers LLP	AAAFR2044P	1	850000000	0	0	850000000	1.60	850000000	0	1.60	0	NA	850000000
(n)	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shanghi in the capacity of partners of M/s. Goldenstar Enterprises	AAOFGG104D	1	1009000000	0	0	1009000000	1.90	1009000000	0	1.90	0	NA	1009000000

TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS																		
Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of partly paid up equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (incl. warrant)	Share-holding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares		No. of shares pledged or otherwise		No. of equity shares held in demat form	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII = IV+V+VI)	(VIII)	Class X - Equity	Class Y - Voting rights i.e. [as a % of A+B+C]	(X)	(XI = VII+X)	(XII)	No. (a)	As a % of total shares held (b)	No. (a)	As a % of total shares held (b)	(XIV)
	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shangvi in the capacity of partners of M/s. Pioneer Resources	AAQFP8544A	1	1009000000	0	0	1009000000	1.90	1009000000	0	1.90	0	1.69	0	0.00	NA	NA	1009000000
	Suraksha Buildwell LLP	ABTFS2875D	1	1100000000	0	0	1100000000	2.07	1100000000	0	2.07	0	1.84	0	0.00	NA	NA	1100000000
	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shangvi in the capacity of partners of M/s. Sunrise Associates	ACSF59818G	1	1009000000	0	0	1009000000	1.90	1009000000	0	1.90	0	1.69	0	0.00	NA	NA	1009000000
	Clearing Members		229	38163820	0	0	38163820	0.72	38163820	0	0.72	0	0.64	0	0.00	NA	NA	38163820
	Trusts		28	3516235	0	0	3516235	0.07	3516235	0	0.07	0	0.06	0	0.00	NA	NA	3516235
	Non Resident Indians		7000	76135979	0	0	76135979	1.43	76135979	0	1.43	0	1.27	0	0.00	NA	NA	76135979
	Non Resident Indians Non Repatriable		3239	18395703	0	0	18395703	0.35	18395703	0	0.35	0	0.31	0	0.00	NA	NA	18395703
	Foreign Corporate Bodies		1	750000	0	0	750000	0.01	750000	0	0.01	0	0.01	0	0.00	NA	NA	750000
	Foreign Nationals		4	64000	0	0	64000	0.00	64000	0	0.00	0	0.00	0	0.00	NA	NA	64000
	Sub-Total(e)		13388	1188897070	0	0	1188897070	22.38	1188897070	0	22.35	0	19.88	0	0.00	NA	NA	1188897070
			1019898	3267945622	0	0	3267945622	61.53	3267945622	0	61.43	0	54.63	0	0.00	NA	NA	326771060
	Sub-Total (B = B1+B2+B3)		1020022	4258708825	0	0	4258708825	80.18	4258708825	0	80.05	670040150	82.40	0	0.00	NA	NA	4258534263
	Total Public (B = B1+B2+B3)																	

Note: Names of all holders holding more than 1% of total number of shares are required to be given



TABLE III - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE PUBLIC SHAREHOLDERS																
Category	PAN	No. of share-holders	No. of fully paid up equity shares held	(IV)	(V)	No. of shares underlying DRs	Total no. of equity shares held	Share-holding as a % of total no. of shares [as a % of A+B+C2]	No. of Voting Rights held in each class of securities		No. of shares underlying o/s conv. Sec. (Incl. warrant)	Share-holding as a % assuming full conv. of o/s (as a % of diluted cap i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise	No. of equity shares held in demat form	
Sr. No.	Name of shareholder	No. of shares held	Total as a % of A+B+C					(VIII)	Class X - Equity	Class Y - Total as a % of Total Voting Rights i.e. as a % of A+B+C	(X)	(XI = VII+X)	(XII)	(XIII)	(XIV)	
1	Family Investment Pvt. Ltd. /w. Quality Investment Pvt. Ltd. /w. Kumud S. Shangvi in the capacity of partners of M/s. Sunrise Associates	100900000	1.30													
2	Tejaskiran Pharmachem Industries Pvt. Ltd. /w. Virtuous Finance Pvt. Ltd. /w. Aalok D. Shangvi in the capacity of partners of M/s. Goldenstar Enterprises	100900000	1.30													
3	Viditi Investment Pvt. Ltd. /w. Virtuous Share Investments Pvt. Ltd. /w. Vibha Shangvi in the capacity of partners of M/s. Pioneer Resources	100900000	1.30													
4	Aditya Medisales Ltd. /w. Unimed Investments Ltd. /w. Ms. Vidhi Shangvi in the capacity of partners of M/s. Expert Vision	55000000	1.03													
5	Aalok D. Shangvi	68000000	1.28													
6	Vibha Shangvi	68000000	1.28													
7	Vidhi D. Shangvi	68000000	1.28													
8	Neostar Developers LLP	85000000	1.60													
9	Real Gold Developers LLP	85000000	1.60													
10	Suraksha Buildwell LLP	110000000	2.07													
11	Sudhir V. Valla	29295	0.00													
12	Raksha S. Valla	25000	0.00													
13	Vijay M. Parekh	5000000	0.09													
14	Pareesh M. Parekh	5000000	0.09													
15	Cannon Realty Pvt. Ltd. /w. Sun Fastfin Services Pvt. Ltd. in the capacity of partners of M/s. GEE SIX Enterprises	138300000	2.60													
Total		990054295	18.61													





Name of the shareholders who are persons acting in concert, if available shall be disclosed separately:

- The Promoter Group as defined under Regulation 2(1)(t) of Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011, (SAST Regulations, 2011) is as under:
Tulsi R.Tanti, Gita T.Tanti, Tulsi R.Tanti as karta of Ranchhodhai Ramjibhai HUF, Tulsi R.Tanti as karta of Ranchhodhai HUF, Vinod R.Tanti /w. Jitendra R.Tanti, Tanti Holdings Private Limited, Vinod R.Tanti, Jitendra R.Tanti, Sangita V.Tanti, Lina J.Tanti, Rambhaden Ukabhai, Vinod R.Tanti as karta of Vinod Ranchhodhai HUF, Jitendra R.Tanti as karta of Jitendra Ranchhodhai HUF, Pranav T.Tanti, Nidhi T.Tanti, Rajan V.Tanti, Brij J.Tanti, Trisha J.Tanti, Girish R.Tanti, Sugati Holdings Private Limited and Samanvaya Holdings Private Limited.
- The Persons Acting in Concert (PACs), as defined in the SAST Regulations 2011 for the purpose of Regulation 10 of SAST Regulations, 2011 are as under:
M/s. GEE SIX Enterprises, M/s. Sunrise Associates, M/s. Goldenstar Enterprises, M/s. Pioneer Resources, M/s. Expert Vision, Aalok D. Shangvi, Vibha Shangvi, Vidhi D. Shangvi, Neostar Developers LLP, Real Gold Developers LLP, Suraksha Buildwell LLP, Sudhir V. Valla, Raksha S. Valla, Vijay M. Parekh and Parekh M. Parekh.
- PACs are acting in concert with the Promoter Group based on Clause 4.4 of the Shareholders Agreement entered into between the Promoter Group, PACs and the Company.

TABLE IV - STATEMENT SHOWING SHAREHOLDING PATTERN OF THE NON-PROMOTER - NON-PUBLIC SHAREHOLDER

Category code	Category of shareholder	PAN	No. of share-holders	No. of fully paid up equity shares held	No. of equity shares held	No. of shares underlying DRs	Total no. of equity shares held	Shareholding as a % of total no. of shares (as a % of A+B+C2)	No. of Voting Rights held in each class of securities	Total as a % of Total Voting rights i.e. (as a % of A+B+C)	No. of shares underlying o/s conv. Sec. (incl. warrant)	Shareholding as a % assuming full conv. of conv. sec (as a % of diluted cap.i.e. A+B+C2)	No. of locked in shares	No. of shares pledged or otherwise encumbered No. (d)	No. of equity shares held in demat form
			(i)	(iv)	(v)	(vi)	(vii) = IV+V+VI	(viii)	(ix)		(xi)	(x) = VII+X	(xii)	(xiii)	(xiv)
(1)	Custodian / DR Holder	-	1	8280840	0	0	8280840	0.16	0	0.16	0	0.00	0	NA	8280840
(2)	Employee Benefit Trust under SEBI (Share based Employee Benefit) Regulations, 2014	-	0	0	0	0	0	0.00	0	0.00	0	0.00	0	NA	0
	Total Non Promoter - Non Public Shareholding [C = C1 +C2]		1	8280840	0	0	8280840	0.16	0	0.16	0	0	0	NA	8280840

Note: Names of all holders holding more than 1% of total number of shares are required to be given

