

# Higher Order fns

HOF ①

- functions that manipulate functions

## Tail Calls & Tail Recursion

- no loops in fn lang
- normal call nests stack
- tail call pops local frame  
before calling,  
like a non-local goto to another fn
- tail calls translated into loops  
by optimizer

### NON TAIL CALL

```
(def (fac n)
  (if (< n 1) 1
      (* n (fac (- n 1))))
```

)

### TAIL CALL

uses worker inner fn  
"accumulator style"

```
(def (fac n)
  (def (facc n a)
    (if (< n 1) a
        (facc (- n 1) (* n a))))
```

)

### evaluate

```
(fac 4) = (* 4 (fac 3))
= (* 4 (* 3 (fac 2)))
= (* 4 (* 3 (* 2 (fac 1))))
= (* 4 (* 3 (* 2 (* 1 (fac 0)))))
```

= (\* 4 (\* 3 (\* 2 (\* 1 1))))

= (\* 4 (\* 3 (\* 2 1)))

= (\* 4 (\* 3 2))

= (\* 4 6)

deep stack

= 24

(fac 4)

= (facc 4 1)

= (facc 3 4)

= (facc 2 12)

= (facc 1 24)

= (facc 0 24)

= 24

## Fibonacci

non tail call

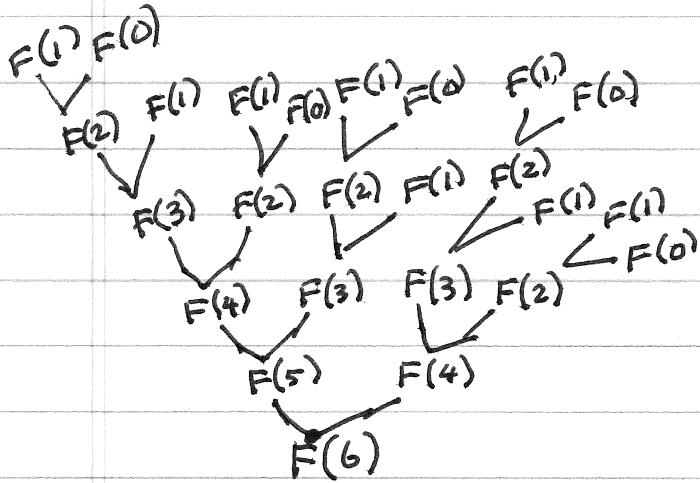
```
(def (Fib n)
  (if (< n 2) n
      (+ (Fib (- n 1))
          (Fib (- n 2))))
```

)

$F(6)$  = makes 24 calls

$F(7)$  makes 38 calls

$O(2^n)$  calls



call trace tree

## HOF (2)

tail call

```
(def (fib n)
```

```
(def (fibb n a b)
```

```
(if (< n 1) a
    (fibb (- n 1)
```

$b (+ ab)))$

$(fibb n \neq 1)$

$\rightarrow (fibb 5) = (fibb 5 \neq 1)$

$= (fibb 4 1 1)$

$= (fibb 3 1 2)$

$= (fibb 2 2 3)$

$= (fibb 1 3 5)$

$= (fibb \neq 5 8)$

$= 5$

$O(n)$  calls

## HOF ③

### Functions on Lists

non-tail calls

```
(def (len l)
      (if (null? l) 0
          (+ 1 (len (cdr l)))))
```

)

```
(def (sum l)
      (if (null? l) 0
          (+ (car l) (sum (cdr l)))))
```

)

stack usage  $O(n)$  to length of list

### TAIL CALLS (accumulator style)

```
(def (len l)
```

```
  (def (lenn ll n)
```

```
    (if (null? ll) n
```

```
        (lenn (cdr ll) (+ 1 n))))
```

```
  (lenn l 0))
```

)

```
(def (sum l)
```

```
  (def (summ ll s)
```

```
    (if (null? ll) s
```

```
        (summ (cdr ll) (+ s (car ll))))))
```

```
  (summ l 0))
```

)

stack usage  $O(1)$

prev two examples as boring as

for ( $i = 0$ ;  $i < n$ ;  $++i$ )

for ( $p = h$ ;  $p \neq \text{null}$ ;  $p = p \rightarrow \text{link}$ )

HOF(4)

want iterator as in

for ( $i : c$ )

so use Higher order fn

( $\text{foldl } f \circ l$ ) =  $(f(f(f \circ l_0) l_1) l_2 \dots)$

left associative

$v + l_0 + l_1 + l_2 + \dots$

(define ( $\text{foldl } f \circ l$ )  $\leftarrow$  tailcalls  $\leftarrow$  left associative

(if (null?  $l$ )  $v$

( $\text{foldl } f (f \circ (\text{car } l)) (\text{cdr } l)$ ))

))

(define (sum  $l$ ) ( $\text{foldl } + \emptyset l$ ))

(define (prod  $l$ ) ( $\text{foldl } * 1 l$ ))

(define (len  $l$ ) ( $\text{foldl } (\lambda(n-) (+ n 1)) \emptyset l$ ))

(sum '(1 2 3))

= ( $\text{foldl } + \emptyset '(1 2 3)$ )

= ( $\text{foldl } + 1 '(2 3)$ )

= ( $\text{foldl } + 3 '(3)$ )

= ( $\text{foldl } + 6 '()$ )

= 6

(len '(1 2 3))

= ( $\text{foldl } (\lambda(\emptyset) \emptyset) '(1 2 3)$ )

= ( $\text{foldl } (\lambda(1) 1) '(2 3)$ )

= ( $\text{foldl } (\lambda(2) 2) '(3)$ )

= ( $\text{foldl } (\lambda(3) 3) '()$ )

= 3

$\lambda$  expr ignores  
2nd arg

possible problem with foldl

HOF ⑤

$$\begin{aligned} (\text{foldl } - \circ ' (1 2 3)) &= \cancel{(-(-(-\circ 1) 2) 3)} \\ &= (-\circ (\text{foldl } + \circ ' (1 2 3))) \end{aligned}$$

similar problem with division

So:

$$(\text{foldr } f \circ l) = (f \circ l_0 (f \circ l_1 (f \circ l_2 \dots) v))$$

right associative

$$\text{so } (\text{foldr } - \circ ' (1 2 3) = (-(-(-\circ 1) 2) 3) \circ)$$

alternating sum

(define (foldr f v l))

```
(if (null? l) v  
    (f (car l) (foldr f v (cdr l))))  
)
```

NOT TAIL REC.

(foldr + \circ ' (1 2 3))

$$= (+ 1 (\text{foldr } + \circ ' (2 3)))$$

$$= (+ 1 (+ 2 (\text{foldr } + \circ ' (3))))$$

$$= (+ 1 (+ 2 (+ 3 (\text{foldr } + \circ ' ())))$$

$$= (+ 1 (+ 2 (+ 3 0)))$$

etc...

lots of  
stack

What if no identity?

HOF ⑥

(def (minl l) (foldl (λ(x y)(if (< x y) x y))  
(car l)(cdr l))))

-- crashes if (null? l)

-- (minl '()) is meaningless without identity  
∴ crashes on empty

-- for reals could use +Infinity

(def (minl l)

(if (null? l) #f  
(foldl (λ(x y)(if (< x y) x y))  
(car l)(cdr l))))

- use (car l) as identity

- #f to signal error

then: (let ((m (minl l)))

(if m (do right thing)  
(handle error)))

HOF (7)

## Review

```
(def (foldl f u l) ; tail rec  
  (if (null? l) u  
      (foldl f (f u (car l)) (cdr l))))  
(def (foldr f u l) ; NOT tail rec  
  (if (null? l) u  
      (f (car l) (foldr f u (cdr l))))))
```

MAP (also see Perl's map)

```
(def (map1 f l)  
  (if (null? l) '()  
      (cons (f (car l)) (map1 f (cdr l)))))
```

```
(map1 sqrt '(4 16 64))  
=(cons 2 (map1 sqrt '(16 64)))  
=(cons 2 (cons 4 (map1 sqrt '(64))))  
=(cons 2 (cons 4 (cons 8 '())))  
='(2 4 8)
```

---

```
(def (mapp1 f l)  
  (foldr (λ(a d)(cons (f a) d)) '() l))
```

```
(map1 sqrt '(4 16 64))  
=(foldr μ '() '(4 16 64))
```

cont'd next page

mapping arg (μ)  
call this  
μ next page

remember  $\mu \equiv (\lambda(a\ d)(\text{cons}(f_a)d))$

lexical  
 $\because f \in \text{start}$

$$\begin{aligned} &= (\text{foldr } \mu '() '(4\ 16\ 64)) \quad \text{HOF ⑧} \\ &= (\mu\ 4\ (\text{foldr } \mu '() '(16\ 64))) \\ &= (\mu\ 4\ (\mu\ 16\ (\text{foldr } \mu '() '(64)))) \\ &= (\mu\ 4\ (\mu\ 16\ (\mu\ 64\ (\text{foldr } \mu '() '()))))) \\ &= (\mu\ 4\ (\mu\ 16\ (\mu\ 64\ '()))) \\ &= (\mu\ 4\ (\mu\ 16\ (\text{cons}\ 8\ '()))) \\ &= (\mu\ 4\ (\text{cons}\ 4\ (\text{cons}\ 8\ '()))) \\ &= (\text{cons}\ 2\ (\text{cons}\ 4\ (\text{cons}\ 8\ '()))) \\ &= (2\ 4\ 8) \end{aligned}$$

Filter (grep in Perl)

$$m = [\forall x \in l \mid p(x)]$$

HOF ⑨

(def (filter p? l) ; NOT TAIL-R  
  (if (null? l) '()  
    (let ((carl (car l))  
          (fcdrl (filter p? (cdr l))))  
      (if (p? carl)  
        (cons carl fcdrl)  
        fcdrl))))  
  
(filter (lambda (x) (> x 0)) '(-3 8 -4 2))  
⇒ (8 2)

~~~~~  
HOF:

(def (filter p? l)  
  (define (c a d)(if (p? a)(cons a d)d))  
  (foldr c '() l))

(filter (lambda (x) (> x 0)) '(-3 8 -4 2))  
= (foldr c '() '(-3 8 -4 2))  
= ... = (8 2)

(or)

(def (filter p? l)  
  (foldr (lambda (a d)(if (p? a)(cons a d)d))  
         '() l))

## Append

HOF (10)

```
(def (append l m)
  (if (null? l) m
      (cons (car l) (append (cdr l) m)))))
```

- let's look @ map...

- same, except use m instead of `()`  
and use  $(\lambda(x)x)$  instead of f

```
(def (append l m)
```

```
  (foldr (\lambda(a d)(cons a d)) m l))
```

- expensive fn... avoid if possible

- churns heap  $O(\text{len } l)$  time  $O(\text{len } l)$

## Reverse a list

```
(def (reverse l)
```

```
  (if (null? l) '()
```

```
    (append (reverse (cdr l))
```

```
           (list (car l)))))
```

- very inefficient

- multiple calls to append

$O(n^2)??$

### ... Reverse

HOF 11

- Consider list as 2 stacks

while ( $old \neq \emptyset$ ) {

$t = pop old$

  push new,  $t$

}

--- use accumulator style

--- ∵ left recursive (TAILREC)

(def (reverse l))

  (def (rev l m))

    (if (null? l) m

      (rev (cdr l))

      (cons (car l) m))))

(rev l '())

-----

(def (reverse l))

  (foldl (λ (d a)(cons a d))

    '() l))

(def (reverse l))

  (define (snoc d a)(cons a d))

  (foldl snoc '() l)))

ex: (reverse '1 2 3))

= (foldl snoc '() ~~'(1 2 3))~~

= (foldl snoc '1(1) '2(3))

= (foldl snoc '2(1) '3(3))

= (foldl snoc '3(21) '1())

= '3 2 1)

## Compose

HOF 12

want  $m = [\forall x \in l, (f(gx))]$

$(\text{map1 } f (\text{map1 } g \ l))$

- maps  $g$  onto each elt of  $l$ , makes result
- maps  $f$  onto each elt, gen result
- inefficient, intermediate incomplete list then gc'd.

- want deforestation

$(\text{def } (\text{compose } f \ g))$

$(\lambda(x) (f(gx)))$

- returns a  $\lambda$

- ex: want  $\sin^2 x \equiv (\sin x)^2$

$(\text{define } (\text{sinsq } x))$

$((\text{compose } \cancel{\text{square}} \sin) x))$

- want squares of sin of each  $x \in l$

$(\text{map1 } (\lambda(x) (\text{compose square sin})) \ l)$

- does not create

intermediate (temp) list

↓  
 $(\text{define sinsq } (\text{compose square sin}))$

Zip  $(l_0 l_1 \dots)(m_0 m_1 \dots)$

$$\Rightarrow ((l_0 m_0) (l_1 m_1) \dots)$$

HOF 13

- what if lists diff lengths?
- here ignore & use shorter len

(def (zip f l m)  
  (,f (or (null? l) (null? m)) '())  
    (cons (f (car l) (car m))  
          (zip f (cdr l) (cdr m)))))

- not tail recursive
- vague similarity to foldr

### inner product

$$\sum_i l_i m_i$$

(define (ip l m)  
  (foldl + 0 (zip \* l m))))

foldl as foldr and viceversa? HOF 14

(def foldr ... (foldl) ...)

- can't
- foldl is strict in tail
- foldr is not - needs a stack
- all loops can be replaced by tail recursion
- only tail recursion  $\equiv$  loop
- non tail recursion needs a stack

(def (foldl f u l)

(foldr ( $\lambda(xg)(\lambda(a)(g(fax)))$ ) id l u)

where

(define (id x)x)

- but that would be less efficient.
- math interest only

HOF 15

Inefficient Q sort

(def (qsort <? l))

~~(filter (<? x a))~~

(if (null? l) '()

(let\* ((a (car l)))

(d (cdr l)))

(l< (filter ( $\lambda(x)(\leq? x a)$ )) d))

(l $\geq$  (filter ( $\lambda(x)(x \geq (\leq? x a))$ )) d))

(append (qsort <? l<)

(list a)

(qsort <? l $\geq$ ))))

- Bad pivot?

- chews the heap.

- Q Sort works better on vectors,  
not lists

```
1: #!/afs/cats.ucsc.edu/courses/cmps112-wm/usr/racket/bin/mzscheme -qr
2: ;; $Id: mergesort.scm,v 1.2 2014-10-31 17:35:08 - - $
3:
4: (define (foldl fn unit lis)
5:   (if (null? lis) unit
6:       (foldl fn (fn unit (car lis)) (cdr lis))))
7:
8: (define (foldr fn unit lis)
9:   (if (null? lis) unit
10:    (fn (car lis) (foldr fn unit (cdr lis)))))
11:
12: (define (grep ok? lis)
13:   (define (test hd tl) (if (ok? hd) (cons hd tl) tl))
14:   (foldr test '() lis))
15:
16: (define (mergesort <? lis)
17:   (define (flipflop tf) (lambda (_) (set! tf (not tf)) tf))
18:   (define (merge lis1 lis2)
19:     (cond ((null? lis1) lis2)
20:           ((null? lis2) lis1)
21:           ((<? (car lis1) (car lis2))
22:            (cons (car lis1) (merge (cdr lis1) lis2)))
23:           (else (cons (car lis2) (merge lis1 (cdr lis2))))))
24:   (define (msort lis)
25:     (if (or (null? lis) (null? (cdr lis))) lis
26:         (merge (msort (grep (flipflop #t) lis))
27:                (msort (grep (flipflop #f) lis))))
28:     (msort lis)))
29:
```

HOF

16

## Parsing a list

HoF 187

expr → token | '(' list ')'

list → expr list | nothing

(def (read)

(let ((token (read-token)))  
 (if (eqv? token "(")  
 (read-list)  
 token))))

(def (read-list)

(let ((token (read-token)))  
 (if (eqv? token ")")  
 '()  
 (cons (read) (read-list))))))

# Thunk

HOF 18

Passing args unevaluated

(if a b c) - primitive  
(cond — ) - macro

## Mechanism

(def (choose test conseq alter)  
      (if (test) (conseq) (alter)))

=====

(choose ( $\lambda()$  (< a b))  
        ( $\lambda()$  (/ a b))  
        ( $\lambda()$  (\* a b)))

$\lambda$  gets passed  
unevaluated

- used in lazy evaluation

(def (choose T c A)  
      (if B(T) (c) (A)))

## Define-syntax

HOF

19

cond is just a macro using if

Use def-syntax to define thunks

(define-syntax delay  
(syntax-rules ())

((\_ exp) (make-promise ( $\lambda()$  exp)))))

(define force

( $\lambda$ (promise)(promise)))

(define make-promise

( $\lambda$ (promise)

(let ((val #f)(set? #f))

( $\lambda$ (set?) val

(let ((x (promise)))

(if (not set?))

(begin (set! val x)

(set! set? #t))))))

---

so (f (delay (+ x y)))

then in f:

(~~def~~  
define (f exp)

:

(force exp)

memoize