

Multi-agent RL Models

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Presentation based on:

- Joel Z. Leibo et al. (2017). “Multi-agent Reinforcement Learning in Sequential Social Dilemmas”. In: *AAMAS*
- Julien Pérolat et al. (2017). “A multi-agent reinforcement learning model of common-pool resource appropriation”. In: *CoRR* abs/1707.06600. Accepted to NIPS '17

Preview: Sequential Social Dilemmas

- Leibo et al. (2017) introduce **sequential social dilemmas** (SSD), a type of multi-player Markov game.
- Generalization of one-shot **social dilemmas** matrix games, e.g. Prisoner's dilemma.
- Agents represented by a **deep Q-network** (DQN).
- Two grid-world environments:
 - **Gathering**: two players gathering 'apples', can attack opponent.
 - **Wolfpack**: two 'wolves' hunting a 'prey'.
- Experiments vary parameters of the **environments**, and the **agents**.

Preview: Common Pool Resources

- Pérolat et al. (2017) study an N -player variant of Gathering.
- Rate at which 'apples' regenerate depends on **stock level**.
- Proposes metrics for measuring the **social outcome** of the game.
- Experiments again vary parameters of both the environment and the agents.

Sequential Social Dilemmas

Social dilemmas

- **Social dilemmas**: conflict between collective and individual rationality.
- Canonical example is the **Prisoner's dilemma** matrix game:

	C	D
C	3, 3	0, 4
D	4, 0	1, 1

Matrix Game Social Dilemma

Macy and Flache (2002) define a **matrix game social dilemma** (MGSD) as a two-player matrix game:

	C	D
C	R, R	S, T
D	T, S	P, P

with:

- $R > P$: mutual cooperation preferred to mutual defection.
- $R > S$: mutual cooperation preferred to exploitation by a defector.
- $2R > T + S$: mutual cooperation preferred to equal probability of unilateral cooperation and defection.
- either **greed**, $T > R$, or **fear**, $P > S$.

Example MGSDs

	C	D
C	3, 3	1, 4
D	4, 1	0, 0

(a) Chicken

	C	D
C	4, 4	0, 3
D	3, 0	1, 1

(b) Stag Hunt

	C	D
C	3, 3	0, 4
D	4, 0	1, 1

(c) Prisoner's Dilemma

satisfy the MGSD conditions:

	C	D
C	R, R	S, T
D	T, S	P, P

where:

- $R > P$.
- $R > S$.
- $2R > T + S$.
- either **greed**, $T > R$, or **fear**, $P > S$.

Limitations of MGSDs

Matrix games ignore many aspects we may want to model:

- Cooperation or defection are labels for **sequences** of actions in a temporally extended game, not a one-shot decision.
- Cooperativeness can be a **graded** rather than binary quantity.
- Decisions to cooperate or defect occur only **quasi-simultaneously**.
- Imperfect information: the state of the world and other player's action only **partially observable**.

Leibo et al. (2017) propose a **Sequential Social Dilemma** model to better capture these aspects.

Partially-observable Markov Game

An N -player partially observable Markov game \mathcal{M} is defined by:

- a set of states \mathcal{S} .
- a set of actions \mathcal{A}_i for each player i .
- a transition function, determined by the current state and actions of each player, of the form $\tau : \mathcal{S} \times \mathcal{A}_1 \times \cdots \times \mathcal{A}_N \rightarrow \Delta(\mathcal{S})$, where $\Delta(\mathcal{S})$ is the set of discrete probability distributions over \mathcal{S} .
- a reward function for each player i , $r_i : \mathcal{S} \times \mathcal{A}_1 \times \cdots \times \mathcal{A}_N \rightarrow \mathbb{R}$.
- an observation function $O : \mathcal{S} \times \{1, \dots, N\} \rightarrow \mathbb{R}^d$, where $O(s, i)$ gives player i 's view of state s .

This paper only considers the two-player case ($N = 2$).

Value in a Matrix Game

Define, for discount factor $\gamma \in [0, 1)$, the payoff for player i under joint policy $\vec{\pi} = (\pi_1, \pi_2)$ as:

$$V_i^{\vec{\pi}} = \mathbb{E}_{\vec{a}_t \sim \vec{\pi}(O(s_t)), s_{t+1} \sim \tau(s_t, \vec{a}_t)} \left[\sum_{t=0}^{\infty} \gamma^t r_i(s_t, \vec{a}_t) \right].$$

Can represent a choice between two policies π^C and π^D , starting in state s , as the matrix game:

	C	D
C	$R(s), R(s)$	$S(s), T(s)$
D	$T(s), S(s)$	$P(s), P(s)$

where:

$$\begin{aligned} R(s) &= V_1^{\pi^C, \pi^C}(s) = V_2^{\pi^C, \pi^C}(s), & P(s) &= V_1^{\pi^D, \pi^D}(s) = V_2^{\pi^D, \pi^D}(s), \\ S(s) &= V_1^{\pi^C, \pi^D}(s) = V_2^{\pi^D, \pi^C}(s), & T(s) &= V_1^{\pi^D, \pi^C}(s) = V_2^{\pi^C, \pi^D}(s). \end{aligned}$$

Sequential Social Dilemma

A **sequential social dilemma** is a tuple $(\mathcal{M}, \Pi^C, \Pi^D)$ where:

- \mathcal{M} is a Markov game with state space \mathcal{S} .
- Π^C and Π^D are disjoint sets of policies representing ‘cooperation’ and ‘defection’.
- There exists $s \in \mathcal{S}$ and $\pi^C \in \Pi^C, \pi^D \in \Pi^D$ for which the induced matrix game is an MGSD.

Simulation Environment

- Game engine: 2D deterministic gridworld.
- Observations $O(s, i) \in \mathbb{R}^{3 \times 16 \times 21}$ are RGB bitmap of window 15 squares ahead of players and 10 grid squares from side to side.
- Eight agent-centered actions: step forward/backward/left/right, rotate left/right, use beam and stand still.
- Player blue in local view, light-blue teammate view, red in opponent's view.
- Each episode lasts 1,000 steps.

Agents

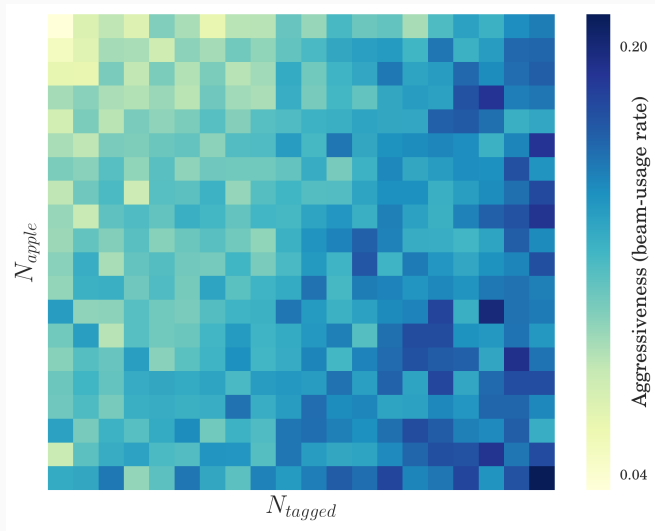
- Each agent is represented by a **deep Q-network** (DQN).
- The DQN for agent i represents a function $Q_i : \mathcal{O}_i \times \mathcal{A}_i \rightarrow \mathbb{R}$.
- During learning, take optimal action according to Q_i with probability $1 - \epsilon$ and a random action with probability ϵ .
- DQN updated based on a batch of the 10^5 last observations.
- Trained through gradient descent on mean squared Bellman residual, taken over transitions uniformly sampled from the batch.
- Two hidden layers with 32 units, interleaved with rectified linear layers projecting to the output layer with 8 units.
- ϵ decays linearly over time from 1.0 to 0.1.
- Per-step time discount rate of $\gamma = 0.99$.
- No **theory of mind**.

Environment: Gathering

- Two players, competing over scarce resources ('apples').
- Player receives reward 1 if moves to a square containing an apple. Apple is removed from the game and respawns after a constant N_{apple} steps.
- Players can shoot a beam in a straight line along their current orientation.
- If a player is hit twice by a beam, removed from the game for N_{tagged} frames.¹

¹Respawns from the far left.

Experiment: Varying Gathering Parameters

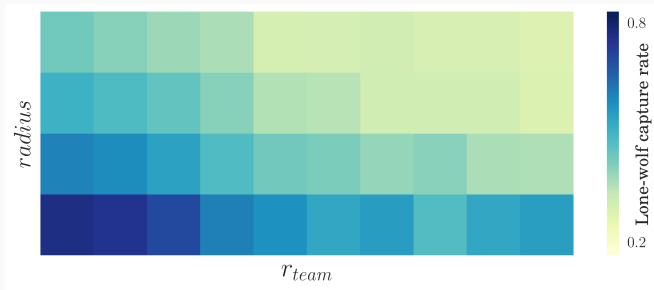


Wolfpack

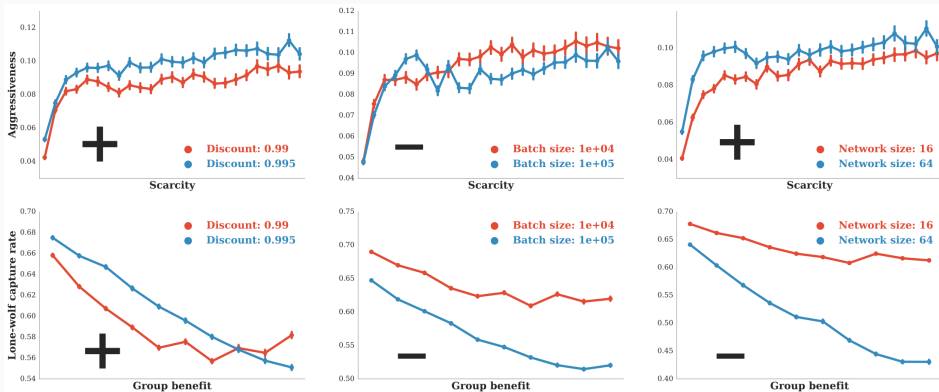
- Two players ('wolves') chase a 'prey' agent.
- Episode ends when either wolf touches the prey.
- All wolves within the *capture radius* receive reward.
- If only one wolf in capture radius, it receives r_{one} .
- If two wolves in capture radius, they both receive r_{team} .

Wolfpack

- Two players ('wolves') chase a 'prey' agent.
- Episode ends when either wolf touches the prey.
- All wolves within the *capture radius* receive reward.
- If only one wolf in capture radius, it receives r_{lone} .
- If two wolves in capture radius, they both receive r_{team} .

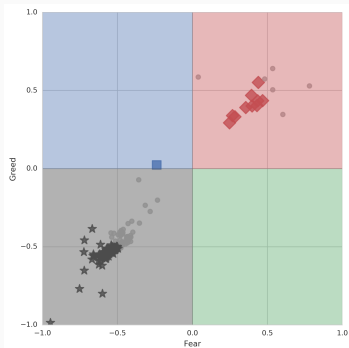


Experiment: Varying Agent Parameters

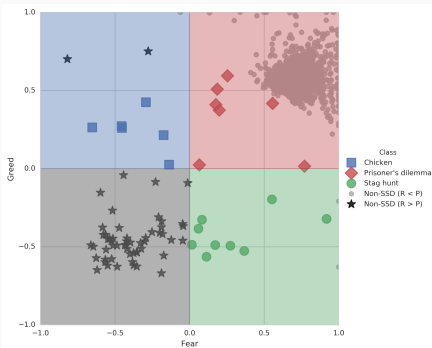


Top: Gathering. Bottom: Wolfpack.

Experiment: Induced Matrix Games



(a) Gather



(b) Wolfpack

Common-Pool Resource Appropriation

Common-Pool Resource

A good is:

- **excludable** if it is possible to limit access to those who have paid for it;
- **rivalrous** if consumption by one person prevents simultaneous consumption by others.

Definition matrix:

	Excludable	Non-excludable
Rivalrous	Private goods	Common-pool resources
Non-rivalrous	Club goods	Public goods

New Gathering Environment

Pérolat et al. (2017) uses a variant of the Gathering environment we saw in Leibo et al. (2017). Similar to before:

- Player receives reward 1 when it collects an apple.
- Agents are DQNs trained in the same way.
- Episodes are still 1000 steps.

Different to before:

- Apple regrowth rate depends on the number of **uncollected** apples nearby (previously constant).
- If a player is hit by the time-out beam, it is immediately removed from the game for 25 time steps (previously needed to be hit twice).
- Any number of players N (previously $N = 2$).
- Map of the grid world specifying size and initial apple placement varies.

Note the last two criteria vary between experiments in the paper.

Social Outcome Metrics

For a system with N independent agents, let $(r_t^i)_{t=1}^{t=T}$ and $(o_t^i)_{t=1}^{t=T}$ be the sequence of rewards and observations obtained by the i -th agent over an episode of duration T . Its total reward is given by $R^i = \sum_{t=1}^T r_t^i$. Define social outcome metrics:

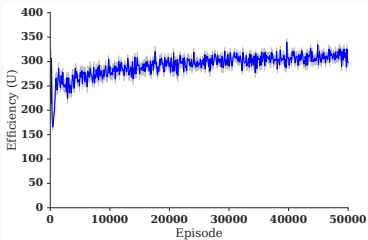
Utilitarian $U = \frac{1}{T} \mathbb{E} \left[\sum_{i=1}^N R^i \right]$

Equality $E = 1 - \mathbb{E} \left[\frac{\sum_{i=1}^N \sum_{j=1}^N |R^i - R^j|}{2N \sum_{i=1}^N R^i} \right]$

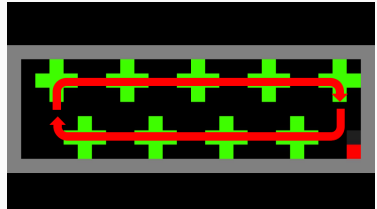
Sustainability $S = \frac{1}{N} \sum_{i=1}^N t^i$, where $t^i = \mathbb{E} [t \mid r_i^t > 0]$

Peace $P = \frac{\mathbb{E} [NT - \sum_{i=1}^N \sum_{t=1}^T I(o_t^i)]}{T}$, where $I(o) = \begin{cases} 1 & \text{o time-out} \\ 0 & \text{otherwise} \end{cases}$.

Sanity Check: Does a Single Agent Cooperate?



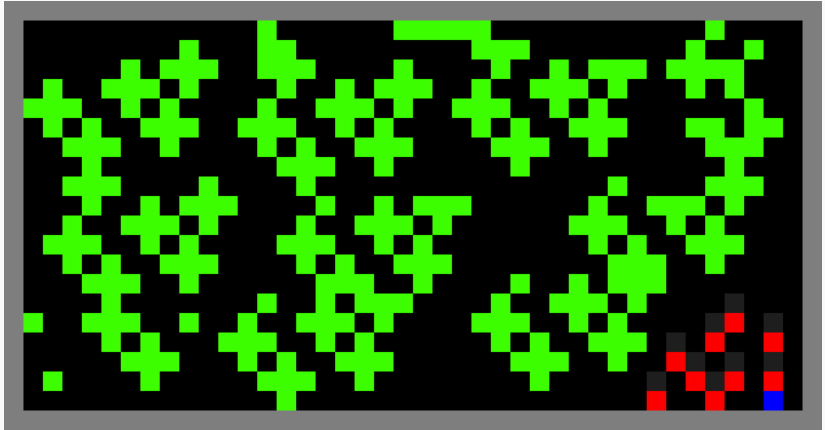
(a) Single agent return



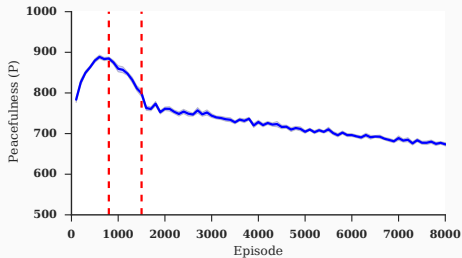
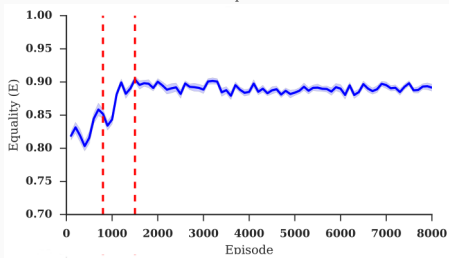
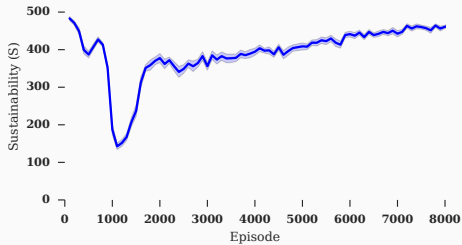
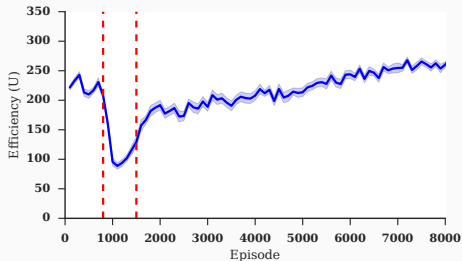
(b) Optimal path

Open Map Experiment: Environment

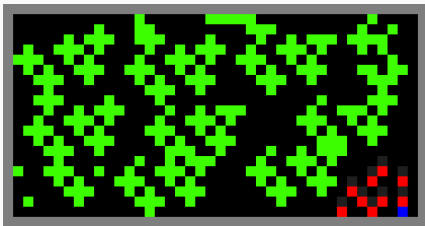
10 agents in a map with uniformly distributed apples.



Open Map Experiment: Social Outcomes



Open Map Experiment: Videos



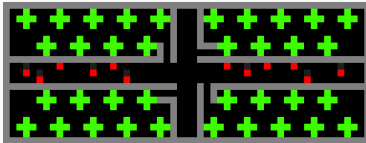
(a) Map

(b) Naivety

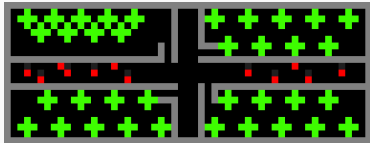
(c) Tragedy

(d) Maturity

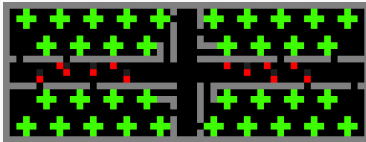
Walled Map Experiment: Environment



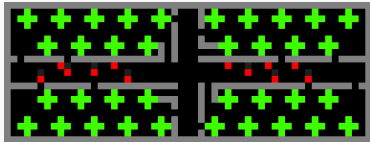
(a) Basic single entrance



(b) Unequal single entrance

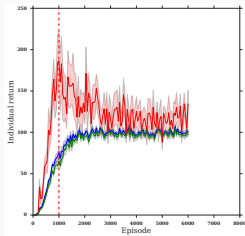


(c) Multiple entrances

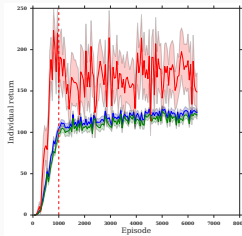


(d) No walls

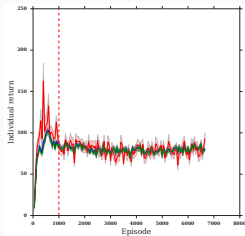
Walled Map Experiment: Expected Return



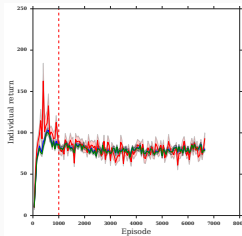
(a) Basic single entrance



(b) Unequal single entrance

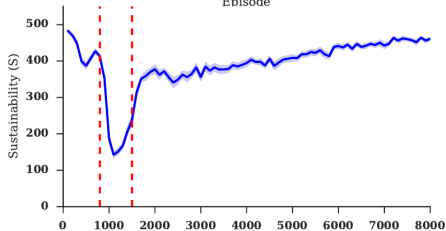
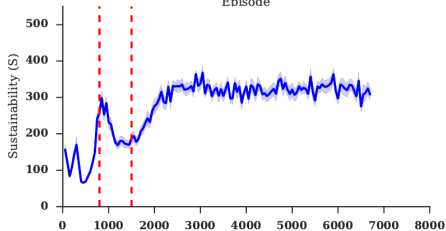
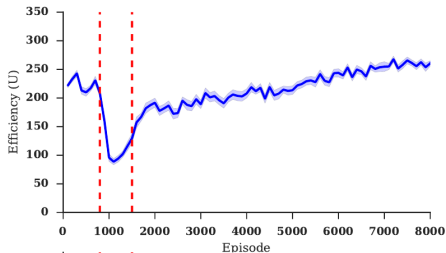
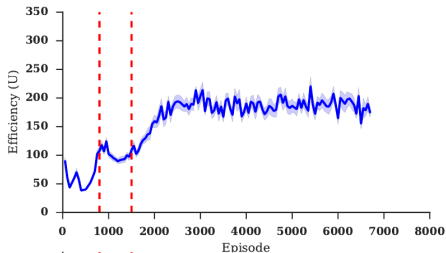


(c) Multiple entrances

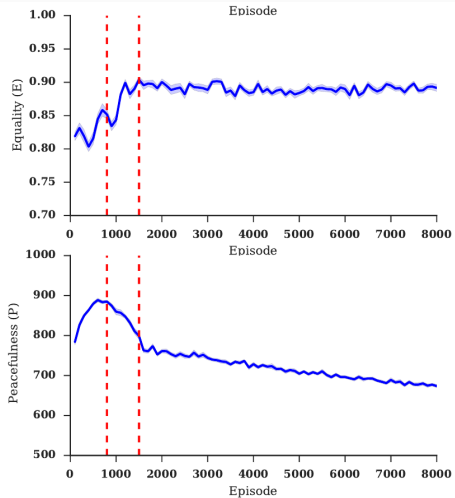
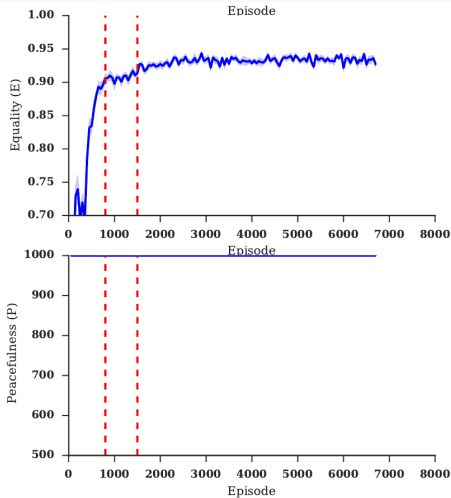


(d) No walls

Removing Tagging: Efficiency and Sustainability



Removing Tagging: Equality and Peacefulness



Slides: goo.gl/EHGA5e.

Leibo, Joel Z., Vinícius Zambaldi, Marc Lanctot, Janusz Marecki, and Thore Graepel (2017). “Multi-agent Reinforcement Learning in Sequential Social Dilemmas”. In: *AAMAS*.

Macy, Michael W. and Andreas Flache (2002). “Learning dynamics in social dilemmas”. In: *Proceedings of the National Academy of Sciences* 99.suppl 3, pages 7229–7236.

Pérolat, Julien, Joel Z. Leibo, Vinícius Zambaldi, Charles Beattie, Karl Tuyls, and Thore Graepel (2017). “A multi-agent reinforcement learning model of common-pool resource appropriation”. In: *CoRR* abs/1707.06600. Accepted to NIPS '17.