

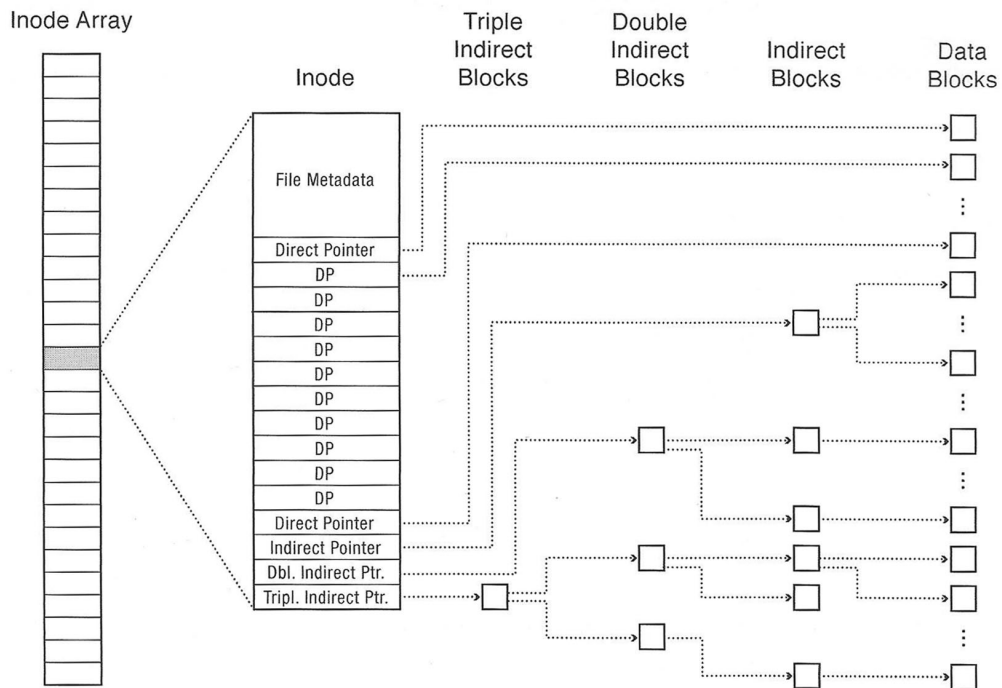
# Section 12: File Systems and Reliability

CS162

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# 1 Warmup

What are the ACID properties? Explain each one and discuss the implications of a system without that property.

Atomicity - data left in intermediate state  
Consistency - data left in invalid state  
Isolation - concurrent transactions may interfere with each other  
Durability - crashes may destroy committed transactions

Name 2 different RAID levels that offer redundancy. For each level, explain how a recovery program could recover data from a degraded array.

RAID 1 - The recovery program copies all the data from the good disk to a replacement  
RAID 5 - The recovery program uses the data and parity bits from the  $N - 1$  good disks to rebuild the data and parity that should belong on the  $N$ th disk.

Explain the difference between a hard link and a soft link (symbolic link).

A hard link is just a directory entry. Multiple hard links can exist for a single inode. Modifying the name of a hard link will not modify the inode itself or any other hard links to that inode. Multiple hard links to the same file must all be on the same filesystem, since hard links point to an inode, and an inode can only be on a single filesystem. Hard links contribute to an inode's reference count.

A soft link (symbolic link) is a special file system object that contains a path to another file or directory. When programs access soft links, the standard library will resolve the soft link's target file. Soft links do not guarantee the existence of the target, like hard links do. Soft links can point to files and directories in different filesystems. Soft links do not contribute to the reference count.

How could you implement hard links for the FAT file system? What problem would you encounter?

In FAT, each directory entry contains the first block number for that file. This is because the blocks of a file is determined by its first block, i.e. the entry point into the FAT. Thus, a hard link might be implemented in a FAT system by having a directory entry contain the same first block number as another directory entry.

The problem is that a FAT entry, unlike an inode, doesn't keep track of how many directory entries refer to that entry. Thus, if a user deletes a file the block of that file will be put back on the list of free blocks, even if another hard link to that file exists.

What is a journaled file system? Explain the purpose of the file system's "journal".

A journaled file system maintains a journal, which contains the most recent updates to file system metadata. The journal is used to recover the file system's state, in case of a power failure or a system crash.

## 2 Vocabulary

- **Unix File System (Fast File System)** - The Unix File System is a file system used by many Unix and Unix-like operating systems. Many modern operating systems use file systems that are based off of the Unix File System.
- **inode** - An inode is the data structure that describes the metadata of a file or directory. Each inode contains several metadata fields, including the owner, file size, modification time, file mode, and reference count. Each inode also contains several data block pointers, which help the file system locate the file's data blocks.

Each inode typically has 12 direct block pointers, 1 singly indirect block pointer, 1 doubly indirect block pointer, and 1 triply indirect block pointer. Every direct block pointer directly points to a data block. The singly indirect block pointer points to a block of pointers, each of which points to a data block. The doubly indirect block pointer contains another level of indirection, and the triply indirect block pointer contains yet another level of indirection.

- **Transaction** - A transaction is a unit of work within a database management system. Each transaction is treated as an indivisible unit which executes independently from other transactions. The ACID properties are usually used to describe reliable transactions.
- **ACID** - An acronym standing for the four key properties of a reliable transaction.

Atomicity - the transaction must either occur in its entirety, or not at all.

Consistency - transactions must take data from one consistent state to another, and cannot compromise data integrity or leave data in an intermediate state.

Isolation - concurrent transactions should not interfere with each other; it should appear as if all transactions are serialized.

Durability - the effect of a committed transaction should persist despite crashes.

- **Idempotent** - An idempotent operation is an operation that can be repeated without effect after the first iteration.
- **Logging file system** - A logging file system (or journaling file system) is a file system in which all updates are performed via a transaction log ("journal") to ensure consistency, in case the system crashes or loses power. Each file system transaction is first written to an append-only redo log. Then, the transaction can be committed to disk. In the event of a crash, a file system recovery program can scan the journal and re-apply any transactions that may not have completed successfully. Each transaction must be idempotent, so the recovery program can safely re-apply them.

### 3 Problems

#### 3.1 Extending an inode

Consider the following `inode_disk` struct, which is used on a disk with a 512 byte block size.

```
/* Definition of block_sector_t */
typedef uint32_t block_sector_t;

/* Contents of on-disk inode. Must be exactly 512 bytes long. */
struct inode_disk
{
    off_t length;                /* File size in bytes. */
    block_sector_t direct[12];   /* 12 direct pointers */
    block_sector_t indirect;     /* a singly indirect pointer */
    uint32_t unused[114];       /* Not used. */
};
```

Why isn't the file name stored inside the `inode_disk` struct?

The file name belongs in the directory entry.

What is the maximum file size supported by this inode design?

It is  $2^{16} + 12 \times 2^9$  bytes

How would you design the in-memory representation of the indirect block? (e.g. the disk sector that corresponds to an inode's `indirect` member)

You could use a `block_sector_t[128]`, which is exactly 512 bytes.

Implement the following function, which changes the size of an inode. If the resize operation fails, the inode should be unchanged and the function should return `false`. Use the value 0 for unallocated block pointers. You do not need to write the inode itself back to disk. You can use these functions:

- “`block_sector_t block_allocate()`” – Allocates a disk block and returns the sector number. If the disk is full, then returns 0.
- “`void block_free(block_sector_t n)`” – Free a disk block.
- “`void block_read(block_sector_t n, uint8_t buffer[512])`” – Reads the contents of a disk sector into a buffer.
- “`void block_write(block_sector_t n, uint8_t buffer[512])`” – Writes the contents of a buffer into a disk sector.

```
bool inode_resize(struct inode_disk *id, off_t size) {
    block_sector_t sector;
    for (int i = 0; i < 12; i++) {
        if (size <= 512 * i && id->direct[i] != 0) {
            free_block(id->direct[i]);
            id->direct[i] = 0;
        }
        if (size > 512 * i && id->direct[i] == 0) {
            sector = allocate_block();
            if (sector == 0) {
                inode_resize(id, id->length);
                return false;
            }
            id->direct[i] = allocate_block();
        }
    }
    if (id->indirect == 0 && size <= 12 * 512) {
        return true;
    }
    block_sector_t buffer[128];
    if (id->indirect == 0) {
        memset(buffer, 0, 512);
        sector = allocate_block();
        if (sector == 0) {
            inode_resize(id, id->length);
            return false;
        }
        id->indirect = sector;
    } else {
        block_read(id->indirect, buffer);
    }
    for (int i = 0; i < 128; i++) {
        if (size <= (12 + i) * 512 && buffer[i] != 0) {
            free_block(buffer[i]);
            buffer[i] = 0;
        }
        if (size > (12 + i) * 512 && buffer[i] == 0) {
            sector = allocate_block();
            if (sector == 0) {
                inode_resize(id, id->length);
                return false;
            }
            buffer[i] = sector;
        }
    }
    block_write(id->indirect, buffer);
    id->length = size;
    return true;
}
```

### 3.2 Comparison of File Systems

In lecture three file allocation strategies were discussed: (a) Indexed files. (b) Linked files. (c) Contiguous (extent-based) allocation.

Each of these strategies has advantages and disadvantages, which depend on the goals of the file system and the expected file access patterns. For each of the following situations, rank the three designs in order of best to worst. Give a reason for your ranking.

1. You have a file system where the most important criteria is the performance of sequential access to very large files.

1. c (extent-based)
2. b (linked)
3. a (indexed)

It is easy to see that (c) is the best structure for sequential access to very large files, since in (c) files are contiguously allocated and the next block to read is physically the next on the disk. No seek time to find the next block, and each block will be read sequentially as the disk head moves.

Both (b) and (a) require some look up operation in order to know where the next block is. However, (b) may be slightly more expensive, since for "very large files", multiple disk accesses are required to read the indirect blocks.

2. You have a file system where the most important criteria is the performance of random access to very large files.

1. c (extent-based)
2. a (indexed)
3. b (linked)

(c) is still the best structure here: just need to use an offset.

(a) will probably need to look at some levels of indirect blocks in order to find the right block to access (we are dealing with very large files).

(b) is absolutely the worst structure. In fact, in order to find a random block, we will need to traverse a linked list of blocks, which will take a time linear in the offset size.

3. You have a file system where the most important criteria is the utilization of the disk capacity (i.e. getting the most file bytes on the disk).

1. b (linked)
2. a (indexed)
3. c (extent-based)

(c) can suffer heavily of external fragmentation, especially for large files. So it is not the best structure for getting the most bytes on the disk, since lots of space will be wasted. However, for small files and large block size, (c) might prove to be better than (a) and (b).

(a) and (b) structures are generally more suitable for this question. The metadata overhead for (b) is likely to be smaller than the one for (a), since it only needs pointers to the next allocated block rather than an entire block (or blocks) which may or may not be totally used.