

# Fundamental Algorithmic Techniques IV

October 18, 2025



# Outline

Dynamic Programming

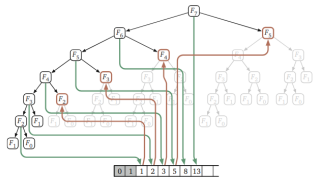
Optimal Substructure

Overlapping Subproblems

# Fibonacci and Memoization

Memoized  $\mathcal{O}(n)$ , space  $\mathcal{O}(n)$

```
1: function ITERFIBO1( $n$ )
2:    $F[0] \leftarrow 0$ 
3:    $F[1] \leftarrow 1$ 
4:   for  $i = 2$  to  $n$  do
5:      $F[i] \leftarrow F[i - 1] + F[i - 2]$ 
6:   end for
7:   return  $F[n]$ 
8: end function
```



Bottom-up  $\mathcal{O}(n)$ , space  $\mathcal{O}(1)$

```
1: function ITERFIBO2( $n$ )
2:    $prev \leftarrow 1$ 
3:    $curr \leftarrow 0$ 
4:   for  $i = 1$  to  $n$  do
5:      $next \leftarrow curr + prev$ 
6:      $prev \leftarrow curr$ 
7:      $curr \leftarrow next$ 
8:   end for
9:   return  $curr$ 
10: end function
```

Matrix iteration  $\mathcal{O}(\log n)$  (rep. squaring)

$$\begin{bmatrix} F_{n+1} \\ F_n \end{bmatrix} = \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}^n \begin{bmatrix} 1 \\ 0 \end{bmatrix}$$

Fibonacci identities:

$$F_{2n} = \dots = F_n(2F_{n-1} + F_n)$$

$$F_{2n-1} = \dots = F_{n-1} + F_n^2$$

## Edit Distances

compare  $s_1$ ,  $s_2$  with operations (cost 1):

- 1 insert
- 2 remove
- 3 replace

**Naive Algo:** example of overlappings!

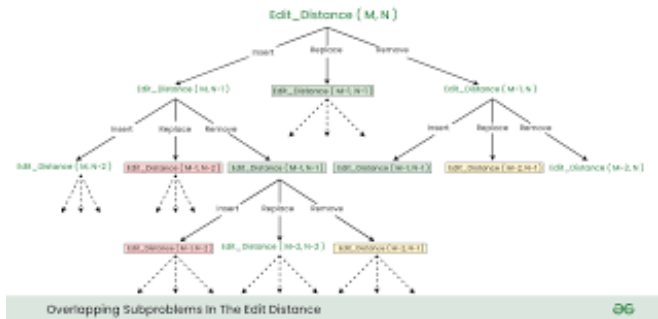
- 1 Last character of  $s_1$ ,  $s_2$  are same:

$$\text{ED}(s_1, s_2, m, n) = \text{ED}(s_1, s_2, m-1, n-1)$$

- 2  $\text{ED}(s_1, s_2, m, n) = 1 + \min(\text{ED}(s_1, s_2, m, n-1),$   
 $\text{ED}(s_1, s_2, m-1, n),$   
 $\text{ED}(s_1, s_2, m-1, n-1))$

time complexity:  $\mathcal{O}((3)^{n_1+n_2})$  and  $\mathcal{O}(n_1 \cdot n_2)$

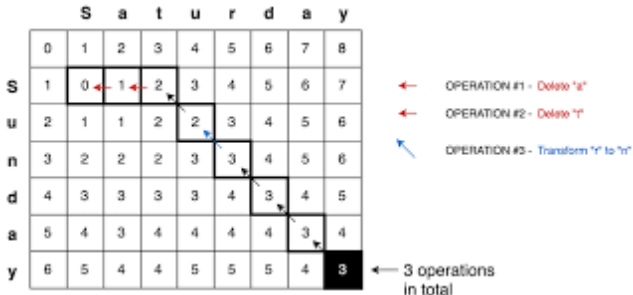
# Edit Distances : Memoisation



## Memoization Strategies:

- Top-Down ED **Memoisation** -  $\mathcal{O}(m \cdot n)$  time and  $\mathcal{O}(m \cdot n)$  space
- Bottom-Up ED **Tabulation** -  $\mathcal{O}(m \cdot n)$  time and  $\mathcal{O}(m \cdot n)$  space

## Edit Distances : Tabulation



Costs with: insert, remove, replace  
Result bottom right!

Other name: **Levenshtein Distance**

## Optimal Substructure

*Optimal substructure* if an optimal solution constructed from optimal solutions of its subproblems.

### Examples:

- shortest path on road or graph
- Fibonacci:  $F(n) = F(n) + F(n - 1)$
- rod sold at prices for subsets

### Counterexamples:

- shortest path on a Graph without passing twice same node

If optimal Substructure, you can write:

$$OPT(n) = \min(OPT(n - 1), OPT(n - 2), \dots) + f(n)$$

Dynamical Programming  $\Leftrightarrow$  Optimal Substructures

# Overlapping Subproblems

**overlapping subproblems** if the same subproblem is solved multiple time Classic examples:

- 1 Fibonacci numbers
- 2 shortest paths
- 3 knapsack problem
- 4 edit distance.

Overlapping Subproblems  $\Leftrightarrow$  memoization/tabulation/others!  
No Overlapping  $\Leftrightarrow$  No Dynamic Programming!



# 0/1 Knapsack Problem

A knapsack with integer capacity  $W > 0$ ,  
 $n$  items, where item  $i$  has:

- weight  $w_i \in \mathbb{Z}^+$ ,
- value  $v_i \in \mathbb{R}^+$ .

Each item may be taken at most once.

Goal: Maximize total value, weight  $\leq W$ !



- Overlapping subproblems & Optimal Substructure

Idea:  $ks[i][w]$  = maximum value achievable using the first  $i$  items with capacity  $w$ ,

- Dynamic programming applies!

complex problem: solvable by simple bottom up tabulation

Iteration:

$$ks[i][w] = \begin{cases} ks[i-1][w], & \text{if } w_i > w, \\ \max \left( ks[i-1][w], ks[i-1][w - w_i] + v_i \right), & \text{if } w_i \leq w. \end{cases}$$

## 0/1 Knapsack by Tabulation

Capacity:  $W = 8$

Items:

$i$	$w_i$	$v_i$
1	2	3
2	3	4
3	4	5
4	5	6

Table  $ks[i][w]$ :

$i \backslash w$	0	1	2	3	4	5	6	7	8
0	0	0	0	0	0	0	0	0	0
1	0	0	3	3	3	3	3	3	3
2	0	0	3	4	4	7	7	7	7
3	0	0	3	4	5	7	8	9	9
4	0	0	3	4	5	7	8	9	<b>10</b>

Optimal value:  $ks[4][8] = 10$

Selected items: **2 and 4**

(weight:  $3 + 5 = 8$ , value:  $4 + 6 = 10$ )

- Time complexity:  $O(nW)$
- Space complexity:  $O(nW)$  (optimizable to  $O(W)$ )