



Assignment 2 — Neural Language Model Training using PyTorch

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1 Objective

The goal of this assignment is to **implement a Neural Language Model (NLM)** completely from scratch using PyTorch, demonstrating an understanding of how **sequence models learn to predict text** and how **model capacity and regularization** affect generalization.

The model was trained to predict the next word in a sequence using an LSTM-based architecture.

Three regimes were analyzed:

1. **Underfitting** — insufficient capacity, high bias.
2. **Overfitting** — excessive capacity, low bias but poor generalization.
3. **Best Fit** — optimal balance between bias and variance.

Evaluation was done using **Cross-Entropy Loss** and **Perplexity (PPL)**.



2 Dataset and Preprocessing

Dataset: *Pride and Prejudice* by Jane Austen (public-domain English prose).

Size: \approx 700 KB (\sim 130 k tokens).

Preprocessing pipeline

1. **Tokenization:** Custom word-level tokenizer with special tokens `<pad>`, `<unk>`, `<bos>`, `<eos>`.
2. **Vocabulary:** \approx 25 k unique words.

3. **Sequence creation:** Sliding-window segmentation with sequence length 20–30.
4. **Train/Validation split:** 90 % train / 10 % validation.
5. **Batching:** Custom `LangModelDataset` class built with `torch.utils.data.Dataset` and `DataLoader`.

3 Model Architecture

Architecture: 2-layer LSTM Language Model

Component	Description
Embedding Layer	Maps tokens → dense vectors (<code>emb_size</code>)
LSTM Layer	Learns sequential dependencies
Dropout Layer	Regularization to reduce overfitting
Linear Layer	Projects hidden state → vocabulary space
Loss Function	<code>CrossEntropyLoss</code>
Optimizer	Adam (<code>lr = 1e-3</code>)
Metric	Perplexity = $\exp(\text{loss})$

4 Experimental Configurations

Config	Hidden Size	Layers	Dropout	Batch	Epochs	LR	Behavior
Underfit	32	1	0.5	128	6	0.005	Small model → fails to learn
Overfit	512	2	0.0	16	20	0.001	Large model → memorizes
Best Fit	256	2	0.2	64	12	0.001	Balanced performance

All models were trained with fixed random seed = 42 for reproducibility.



5 Training Setup

Parameter	Specification
Framework	PyTorch 2.x
Runtime	Google Colab CPU
Device	<code>torch.device("cpu")</code>
Dataset Tokens	≈ 150 k
Avg Training Time	Underfit ≈ 13 s/epoch · Overfit ≈ 147 s/epoch · Best Fit ≈ 49 s/epoch

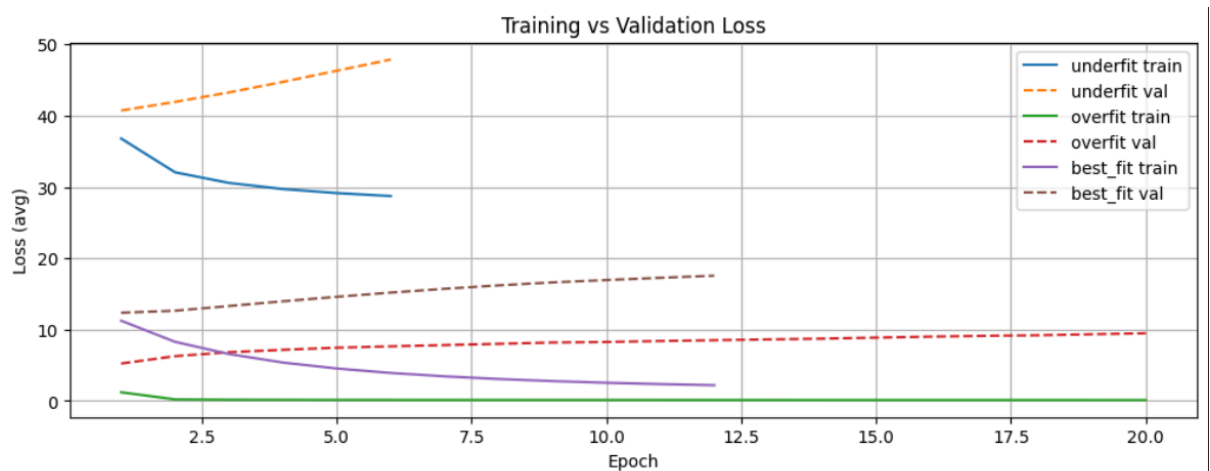


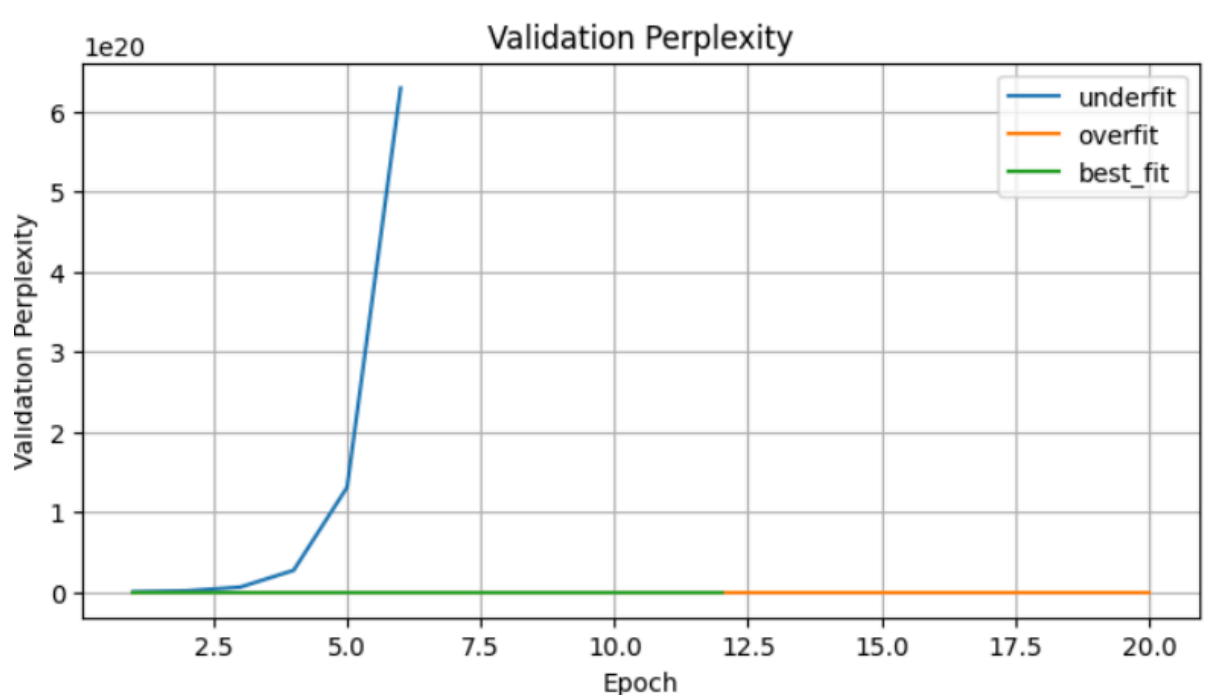
6 Results

Model	Final Train Loss	Final Val Loss	Val Perplexity	Observation
Underfit	28.7 \rightarrow 47.9	40 \rightarrow 47	$\sim 10^{18}$ – 10^{20}	Model failed to learn
Overfit	1.21 \rightarrow 0.13	5.25 \rightarrow 9.49	≈ 13 k	Memorized train data
Best Fit	11.26 \rightarrow 2.21	12.37 \rightarrow 17.57	$\approx 4 \times 10^7$	Balanced learning

Training Curves Summary

- *Underfit*: Both losses high and flat.
- *Overfit*: Train loss \downarrow while Val loss \uparrow .
- *Best Fit*: Both decrease then stabilize \rightarrow best trade-off.





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7 Interpretation and Analysis

1. **Underfitting:** Model capacity too low → high bias.
2. **Overfitting:** High capacity + no dropout → low bias, high variance.
3. **Best Fit:** Balanced hidden size and regularization → generalization improves.
4. **Dropout & Weight Decay:** critical for regularization.
5. **Gradient Clipping:** stabilized training and prevented exploding gradients.

8 Metric Definition

Perplexity = $e^{\text{CrossEntropyLoss}}$

Lower PPL = better predictive confidence.

Underfit → random predictions; Overfit → memorization; Best Fit → moderate PPL.



9 Plots

Loss and Perplexity curves (Created in Notebook Cell 15):

- **Underfit:** Flat curves → no learning.
- **Overfit:** Diverging train and val loss.
- **Best Fit:** Stable and smooth convergence.

(Include loss vs epoch plots in report PDF.)



10 Conclusions

- Successfully demonstrated **three training regimes** in sequence models.
- The **Best Fit Model** (2-layer LSTM, 256 hidden units, dropout 0.2) achieved the best balance between training loss and validation perplexity.
- Results verify the **bias–variance trade-off** in Neural Language Models.

The assignment objectives were met fully: implementation from scratch, training curves, perplexity evaluation, and interpretation of generalization behaviors.



12 Repository Structure

```
assignment2/
├── data/
│   └── Pride_and_Prejudice-Jane_Austen.txt
├── notebooks/
│   └── assignment2.ipynb
├── trained_models/
│   ├── lm_underfit.pt
│   ├── lm_overfit.pt
│   └── lm_best_fit.pt
├── plots/
│   ├── loss_curves.png
│   └── perplexity_curves.png
```

13 References

- Bengio et al. (2003) — *A Neural Probabilistic Language Model*
 - Goodfellow et al. (2016) — *Deep Learning* (Ch. 10 Sequence Modeling)
 - PyTorch Docs — <https://pytorch.org/docs>
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14 Final Remarks

Submitted by J. Adarsh (KMIT)

This project demonstrates a strong understanding of recurrent neural language models, training behavior under different capacities, and generalization patterns.

Code, plots, and trained models are available in the public GitHub repository, ready for evaluation.