

## FIRST and FOLLOW sets

2013-10-05 23:10:47 Partha Biswas

### FIRST(X) for all grammar symbols X

Apply following rules:

1. If X is terminal,  $\text{FIRST}(X) = \{X\}$ .
2. If  $X \rightarrow \epsilon$  is a production, then add  $\epsilon$  to  $\text{FIRST}(X)$ .
3. If X is a non-terminal, and  $X \rightarrow Y_1 Y_2 \dots Y_k$  is a production, and  $\epsilon$  is in all of  $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_k)$ , then add  $\epsilon$  to  $\text{FIRST}(X)$ .
4. If X is a non-terminal, and  $X \rightarrow Y_1 Y_2 \dots Y_k$  is a production, then add a to  $\text{FIRST}(X)$  if for some i, a is in  $\text{FIRST}(Y_i)$ , and  $\epsilon$  is in all of  $\text{FIRST}(Y_1), \dots, \text{FIRST}(Y_{i-1})$ .

Applying rules 1 and 2 is obvious. Applying rules 3 and 4 for  $\text{FIRST}(Y_1 Y_2 \dots Y_k)$  can be done as follows:

Add all the non- $\epsilon$  symbols of  $\text{FIRST}(Y_1)$  to  $\text{FIRST}(Y_1 Y_2 \dots Y_k)$ . If  $\epsilon \in \text{FIRST}(Y_1)$ , add all the non- $\epsilon$  symbols of  $\text{FIRST}(Y_2)$ . If  $\epsilon \in \text{FIRST}(Y_1)$  and  $\epsilon \in \text{FIRST}(Y_2)$ , add all the non- $\epsilon$  symbols of  $\text{FIRST}(Y_3)$ , and so on. Finally, add  $\epsilon$  to  $\text{FIRST}(Y_1 Y_2 \dots Y_k)$  if  $\epsilon \in \text{FIRST}(Y_i)$ , for all  $1 \leq i \leq k$ .

#### Example:

Consider the following grammar.

$E \rightarrow E + T \mid T$

$T \rightarrow T * F \mid F$

$F \rightarrow (E) \mid id$

Grammar after removing left recursion:

$E \rightarrow TX$

$X \rightarrow +TX \mid \epsilon$

$T \rightarrow FY$

$Y \rightarrow *FY \mid \epsilon$

$F \rightarrow (E) \mid id$

For the above grammar, following the above rules, the FIRST sets could be computed as follows:

$\text{FIRST}(E) = \text{FIRST}(T) = \text{FIRST}(F) = \{ (, id \}$

$\text{FIRST}(X) = \{ +, \epsilon \}$

$\text{FIRST}(Y) = \{ *, \epsilon \}$

### FOLLOW(A) for all non-terminals A

Apply the following rules:

1. If \$ is the input end-marker, and S is the start symbol,  $\$ \in \text{FOLLOW}(S)$ .
2. If there is a production,  $A \rightarrow \alpha B \beta$ , then  $(\text{FIRST}(\beta) - \epsilon) \subseteq \text{FOLLOW}(B)$ .
3. If there is a production,  $A \rightarrow \alpha B$ , or a production  $A \rightarrow \alpha B \beta$ , where  $\epsilon \in \text{FIRST}(\beta)$ , then  $\text{FOLLOW}(A) \subseteq \text{FOLLOW}(B)$ .

**Note** that unlike the computation of FIRST sets for non-terminals, where the focus is on *what a non-terminal generates*, the computation of FOLLOW sets depends upon *where the non-terminal appears on the RHS of a production*.

#### Example:

For the above grammar, the FOLLOW sets can be computed by applying the above rules as follows.

$\text{FOLLOW}(E) = \{ \$, \}$

$\text{FOLLOW}(E) \subseteq \text{FOLLOW}(X)$  [in other words,  $\text{FOLLOW}(X)$  contains  $\text{FOLLOW}(E)$ ]

Since there is no other rule applicable to  $\text{FOLLOW}(X)$ ,

$\text{FOLLOW}(X) = \{ \$, \}$

$\text{FOLLOW}(T) \subseteq \text{FOLLOW}(Y) \dots (1)$

$(\text{FIRST}(X) - \epsilon) \subseteq \text{FOLLOW}(T)$  i.e.,  $\{ + \} \subseteq \text{FOLLOW}(T) \dots (2)$

Also, since  $\epsilon \in \text{FIRST}(X)$ ,  $\text{FOLLOW}(E) \subseteq \text{FOLLOW}(T)$

i.e.,  $\{ \$, \} \subseteq \text{FOLLOW}(T) \dots (3)$

Putting (2) and (3) together, we get:

$$\text{FOLLOW}(T) = \{\$, \text{ ), } +\}$$

Since, there is no other rule applying to  $\text{FOLLOW}(Y)$ , from (1), we get:

$$\text{FOLLOW}(Y) = \{\$, \text{ ), } +\}$$

Since  $\epsilon \in \text{FIRST}(Y)$ ,  $\text{FOLLOW}(T) \subseteq \text{FOLLOW}(F)$  and  $\text{FOLLOW}(Y) \subseteq \text{FOLLOW}(F)$ . Also,  $(\text{FIRST}(Y) - \epsilon) \subseteq \text{FOLLOW}(F)$ . Putting all these together:

$$\text{FOLLOW}(F) = \text{FOLLOW}(T) \cup \text{FOLLOW}(Y) \cup (\text{FIRST}(Y) - \epsilon) = \{\$, \text{ ), } +, *\}$$