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## Introduction

Date of Start: 24th June 2021

Project Mentor: Mr. Vivek Ananthan

**Business Unit:** COE Analytics

Title of the Project: "Image Description Generation using Computer Vision and Deep

Learning"

**Project Areas:** Computer Vision, Deep Learning, Natural Language Processing **About the Project**: Image description generation or image captioning is the process of generating sentences related to a given image. Image description generation can be considered an end to end seq2seq problem because it deals with the conversion of images (sequence of pixels) to sentences (sequence of words).

**Technology Used-**We decided to use Google Colab for training our model. We worked with python3 and used many of its libraries primarily Pytorch, CV2, PIL, Pandas and Pickle.

## **Dataset Preparation**

# **Caption Preprocessing**

- Removing punctuation
- Converting to lower case
- Adding <start>, <end> and <pad> tokens
- Replacing every word of the sentence with its index number

# Image Preprocessing

- Resizing the image
- Normalizing the image
- Scaling the image

# Image Augmentaion

- Center crop
- Brightening
- Adding Blur

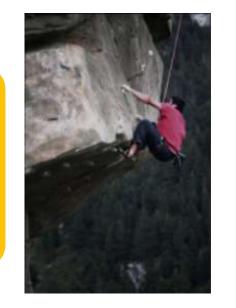
	image	caption	clean_data	seq
0	1000268201_693b08cb0e.jpg	A child in a pink dress is climbing up a set o	[ <start>, a, child, in, a, pink, dress, is, cl</start>	[2, 3, 1331, 3653, 3, 5277, 2205, 3743, 1435,
1	1000268201_693b08cb0e.jpg	A girl going into a wooden building .	[ <start>, a, girl, going, into, a, wooden, bui</start>	[2, 3, 3062, 3117, 3725, 3, 8255, 970, 1, 0, 0
2	1000268201_693b08cb0e.jpg	A little girl climbing into a wooden playhouse .	[ <start>, a, little, girl, climbing, into, a,</start>	[2, 3, 4152, 3062, 1435, 3725, 3, 8255, 5340,
3	1000268201_693b08cb0e.jpg	A little girl climbing the stairs to her playh	[ <start>, a, little, girl, climbing, the, stai</start>	[2, 3, 4152, 3062, 1435, 7437, 6941, 7535, 343
4	1000268201_693b08cb0e.jpg	A little girl in a pink dress going into a woo	[ <start>, a, little, girl, in, a, pink, dress,</start>	[2, 3, 4152, 3062, 3653, 3, 5277, 2205, 3117,

Image data
augmentation is a
technique that can be
used to artificially
expand the size of a
training dataset by
creating modified
versions of images in the
dataset.

Training deep learning neural network models on more data can result in more skillful models, and the augmentation techniques can create variations of the images that can improve the ability of the fit models to generalize what they have learned to new images.

#### **Image Augmentation- Center crop**

Performed centre crop by taking a 299 by 299-pixel frame at the centre of each image and cropping it.





### **Image Augmentation- Brightening**

Increased brightness of the image by adding a random value to the colour value channel of the image.

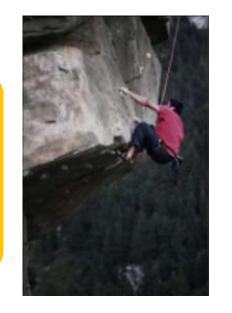






### **Image Augmentation- Blurring**

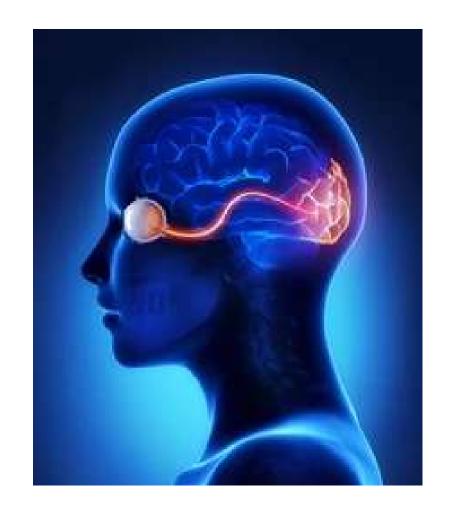
Adds blur to the image by using the blur function of the OpenCV library.



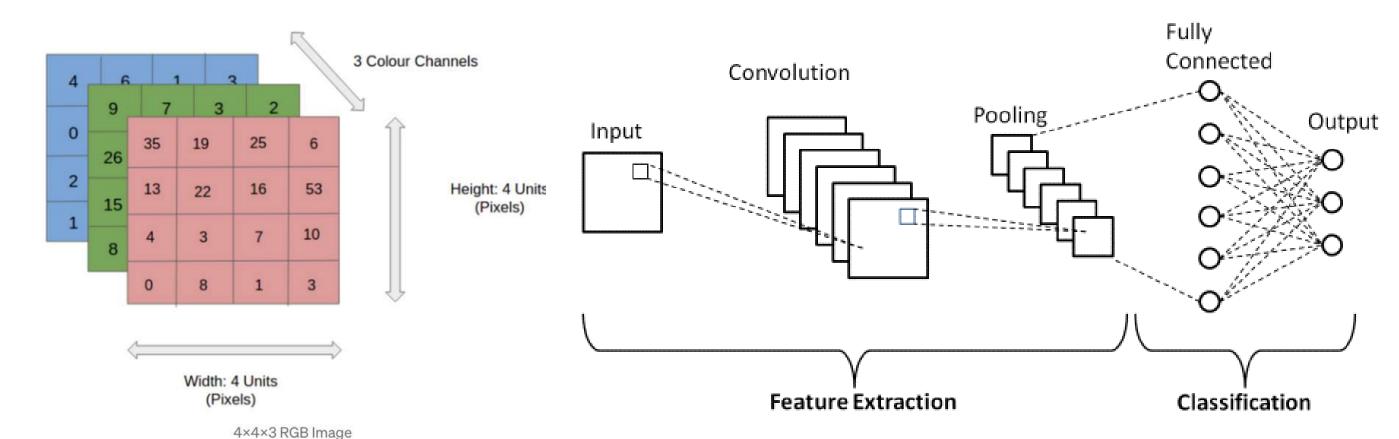


## **CNNs**

A Convolutional Neural Network (ConvNet/CNN) is a Deep Learning algorithm which can take in an input image, assign importance (learnable weights and biases) to various aspects/objects in the image and be able to differentiate one from the other.



Visual Cortex



# Convolution Layer

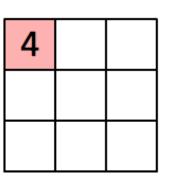
The objective of the Convolution
 Operation is to extract the high-level features such as edges, from the input image.

$$s(t) = (x*w)(t) = \int x(a)w(t-a)da$$

$$s(t) = (x*w)(t) = \sum_{-\infty}^{\infty} x(a)w(t-a)$$

$$s(i,j) = (I*K)(i,j) = \sum_{m} \sum_{n} I(m,n)K(i-m,j-n)$$

1,	1,0	1,	0	0
<b>0</b> ×0	1,	1,0	1	0
0,1	0,0	1,	1	1
0	0	1	1	0
0	1	1	0	0



Image

Convolved Feature

1	1,	1,0	0,1	0
0	1,0	1,	1,0	0
0	0,,1	1,0	1,	1
0	0	1	1	0
0	1	1	0	0

**Image** 

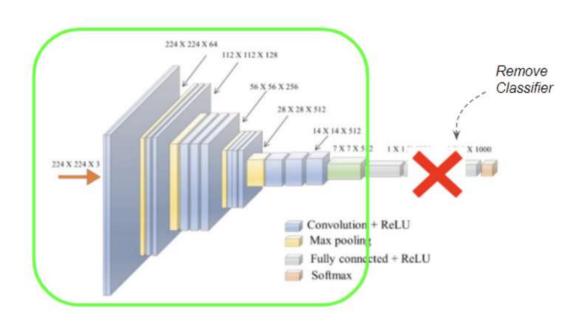
Convolved Feature

# Pooling Layer

- The Pooling layer is responsible for reducing the spatial size of the Convolved Feature.
- Max Pooling and average pooling

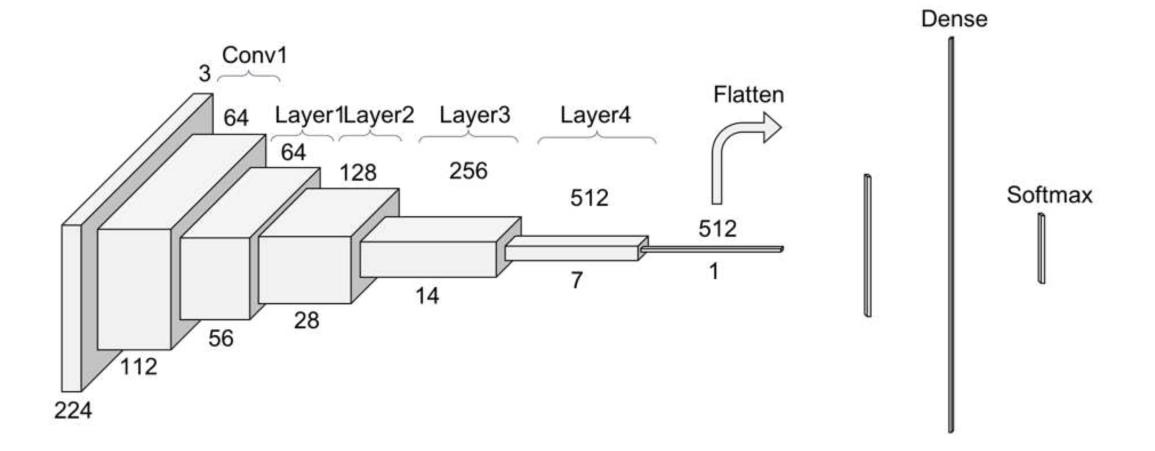
### Fully Connected Layer

 Now that we have converted our input image into a suitable form for our Multi-Level Perceptron, we shall flatten the image into a column vector. The flattened output is fed to a feed-forward neural network and backpropagation applied to every iteration of training. • Transfer learning involves using models trained on one problem as a starting point on a related problem.



### **Encoder Network-1 ResNet18**

- Used as encoder CNN to extract the features of images and get the vector embeddings.
- The images were resized as 224\*224 (the input shape of ResNet18)
- The images were then normalized and converted to tensors.
- Created a data loader of transformed images
- Forward feed the transformed images through ResNet18
- Output embedding obtained from the fourth layer of the ResNet.
- Output embedding shows 512 feature maps of dimension 7 X 7.

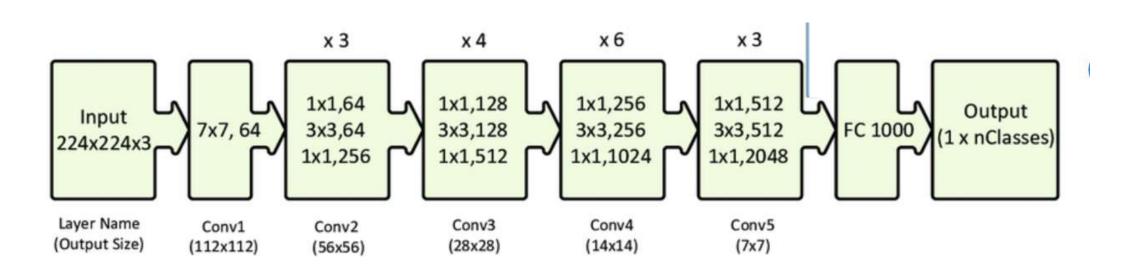


## **RESNET-18 Layers**

Layer Name	Output Size	ResNet-18		
conv1	$112\times112\times64$	$7 \times 7$ , 64, stride 2		
	Millionia Halliana Mandilli S	$3 \times 3$ max pool, stride 2		
conv2_x	$56 \times 56 \times 64$	$\left[\begin{array}{c} 3 \times 3, 64 \\ 3 \times 3, 64 \end{array}\right] \times 2$		
conv3_x	$28\times28\times128$	$\left[\begin{array}{c} 3 \times 3, 128 \\ 3 \times 3, 128 \end{array}\right] \times 2$		
conv4_x	$14 \times 14 \times 256$	$\begin{bmatrix} 3 \times 3, 256 \\ 3 \times 3, 256 \end{bmatrix} \times 2$		
conv5_x	$7 \times 7 \times 512$	$\left[\begin{array}{c} 3 \times 3,512 \\ 3 \times 3,512 \end{array}\right] \times 2$		
verage pool	$1\times1\times512$	$7 \times 7$ average pool		
ly connected	1000	$512 \times 1000$ fully connection		
softmax	1000			

### **Encoder Network-2 ResNet50**

- Similar to ResNet18 the images are resized to 224\*224 dimension (the input shape of the ResNet model).
- Then these images are normalized, converted to tensors, and passed through ResNet50.
- The output is then obtained from the fourth layer of the model which is a 2048 feature map of dimension 7×7.



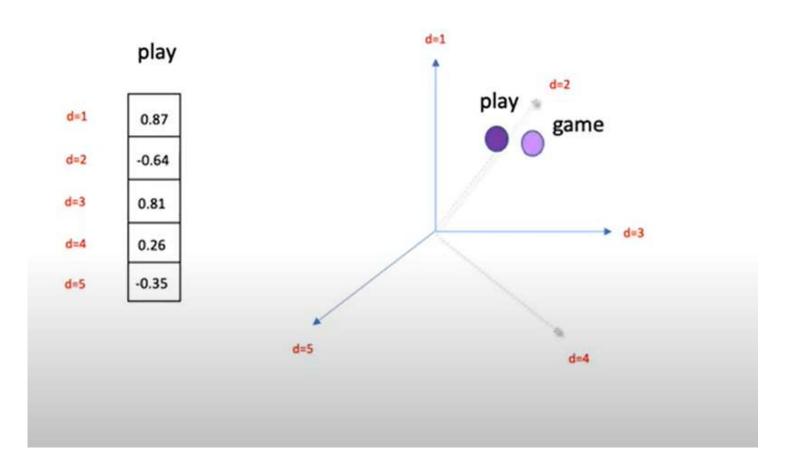
### **RESNET-50 Layers**

stage	output	ResNet-50	T		
conv1	112×112	7×7, 64, stride 2			
	56×56	3×3 max pool, stride 2			
conv2		1×1,64	T		
COHVZ		3×3,64 ×3			
		1×1, 256			
	28×28	1×1,128			
conv3		3×3,128 ×4			
		1×1,512			
		1×1, 256			
conv4	14×14	3×3, 256 ×6			
		1×1, 1024			
	7×7	1×1, 512			
conv5		3×3, 512 ×3			
		1×1, 2048			
	1×1	global average pool			
	17.1	1000-d fc, softmax			
# pa	arams.	$25.5 \times 10^6$			
FI	.OPs	<b>4.1</b> ×10 <sup>9</sup>			

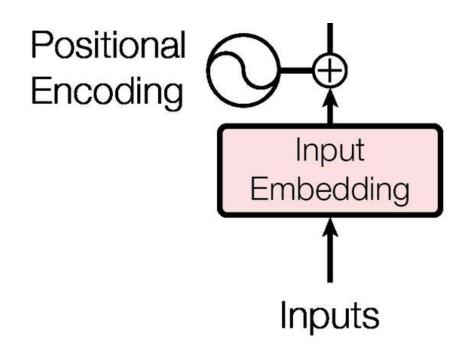
# Preparing Caption Data for the Transformer Network

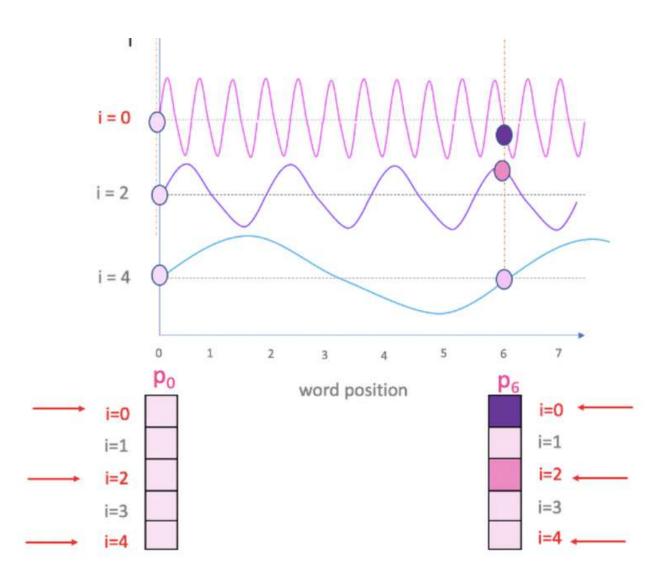
**Input Embeddings:** Word embeddings are generated that capture the linguistic features of the word.

**Positional Encodings:** Since every word flows into the decoder stack of the transformer simultaneously, we used positional encodings to incorporate the position of the word in the sentence to the model.



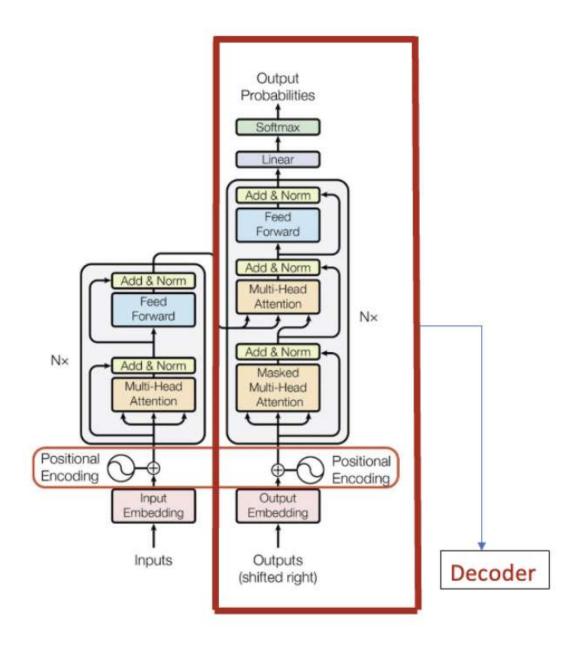
$$PE_{(pos,2i)} = \sin\left(\frac{pos}{10000^{\frac{2i}{d}}}\right)$$





### **Decoder Network**

#### TRANSFORMER ARCHITECTURE



Feed Forward Network

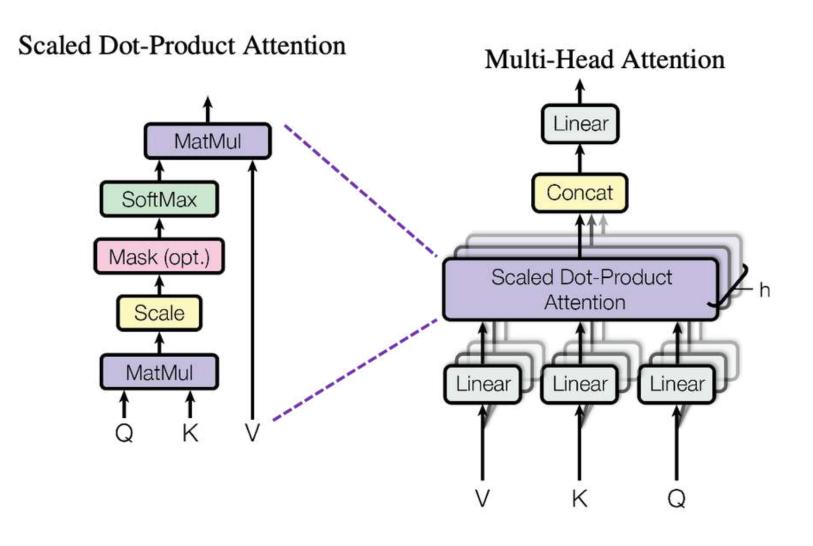
Encoder Decoder Attention

Self-Attention Sub Layer

Fig-3.2.1 Architecture of Transformer

Fig-3.2.2 Sublayers in each Stack of a decoder

Image Source-[Ashish Vaswani, Noam Shazeer, Niki Parmar, Jakob Uszkoreit, Llion Jones, Aidan N. Gomez, Lukasz Kaiser, Illia Polosukhin; *Attention is all you need*,2017]

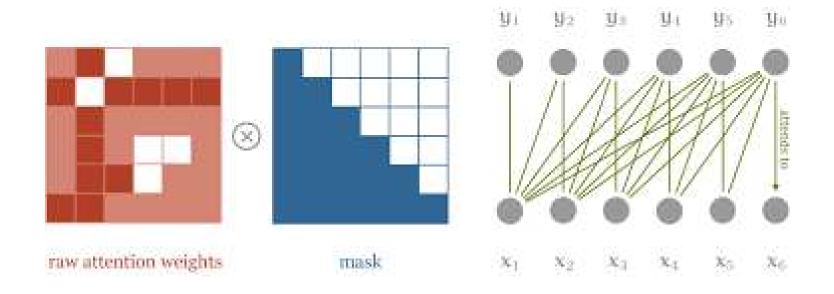


#### **Attention**

- Attention layer takes input as Query , Key and Value.
- Multi-head Attention: The attention module repeats the calculations multiple times in parallel. It splits the Q,K,V parameters N-ways and passes each split independently through a seperate head.
- This gives the transformer greater power to encode multiple relations & nuances of word

The scaled dot product attention is calculated as  $Attention(Q, K, V) = softmax(\frac{QK^{T}}{\sqrt{d_k}})V$ 

 $MultiHeadAttention(Q, K, V) = Concat(head_1, head_2, .... head_H)W^O$ 

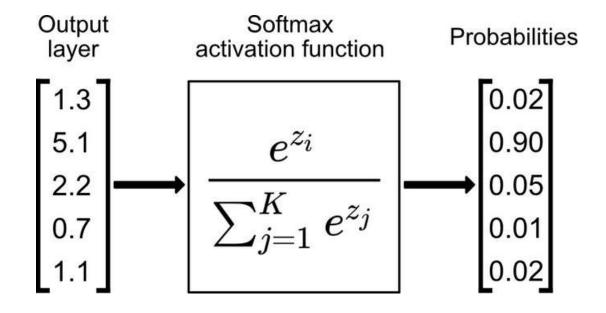


#### Masking

Masking is used to mask certain tokens that do not contribute to attention mechanism.

- Padding Mask: The zero-paddings at the end of sequence are not supposed to contribute to attention calculation/target sequence generation.
- Look-Ahead Mask: Only the words preceding the current word may contribute to the generation of the next word. Masked Multi-Head Attention ensures this.

FeedForwardNetwork(z)= $\max(z W_1 + b_1, 0) W_2 + b_2$ 



Feed Forward Layer  Above the attention layers there is a position wise fully connected simple feed forward network which consists of two linear transformations and a ReLu activation.

## **Training the model**

### 1. Hyperparameters

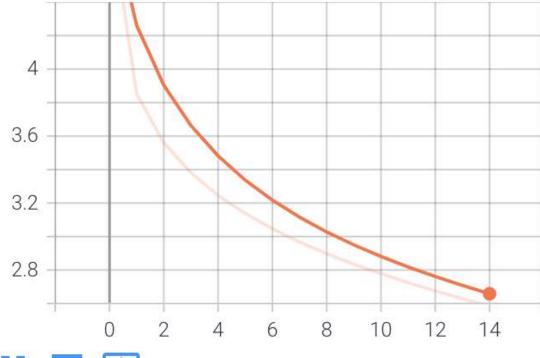
- Loss Metric- Cross Entropy Loss
- Optimizer-Adam
- 2.Reduce LR On Plateau3.Used tesnsorboard to visualize the losses



## Model (ResNet18+Transformers)

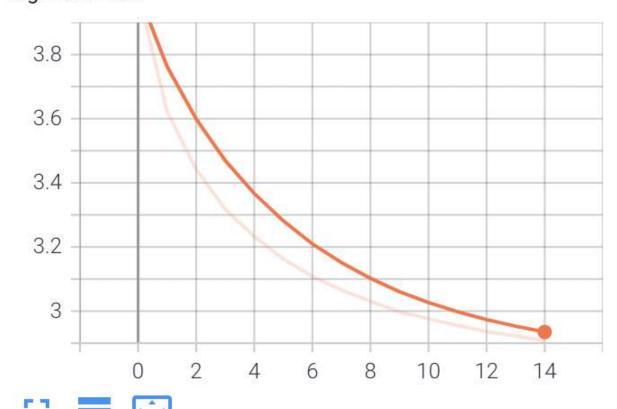
- Approximate Training Time: 30 minutes
- Initial Training Loss- 4.943099 Initial Testing Loss- 3.994659
- Final Training Loss- 2.58357 Final Testing Loss- 2.907768

#### Train Loss tag: Train Loss





#### Test Loss tag: Test Loss



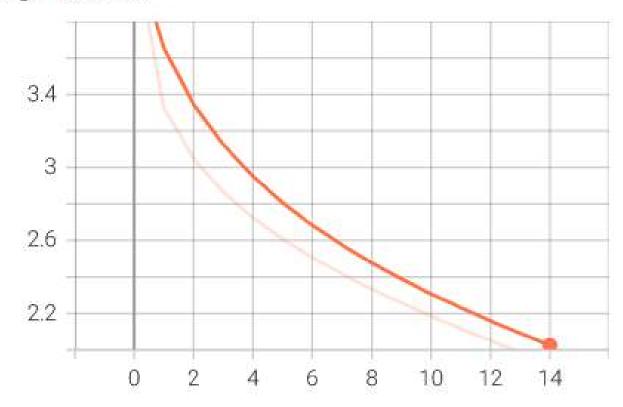
#### **Hyperparameters**

- Number of epochs-15
- Learning Rate-0.00001

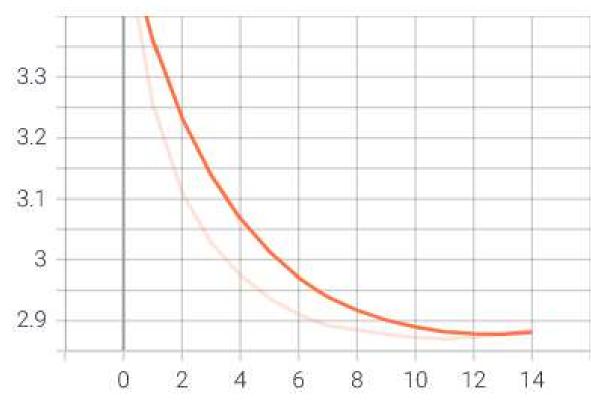
## Model (ResNet18+Transformers)

- With data augmentation
- Approximate Training Time: 50 minutes
- Initial Training Loss- 4.20113 Initial Testing Loss- 3.53871
- Final Training Loss- 1.92809 Final Testing Loss- 2.88611

#### Train Loss tag: Train Loss



Test Loss tag: Test Loss



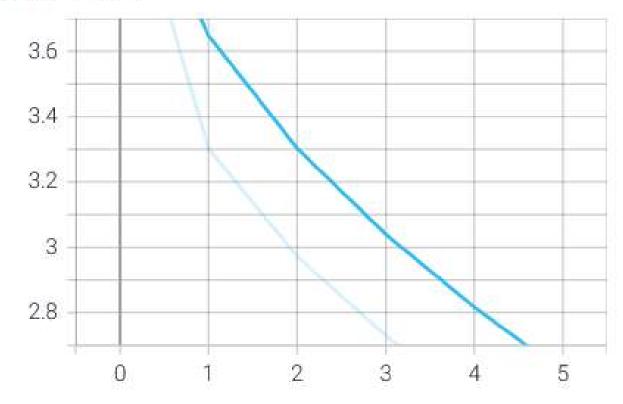
#### **Hyperparameters**

- Number of epochs-13
- Learning Rate-0.00001

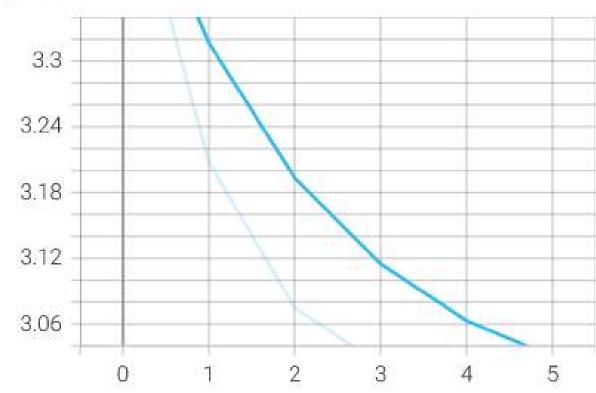
## Model (ResNet50+Transformers)

- Approximate Training Time: 40 minutes
- Initial Training Loss- 4.22559 Initial Testing Loss- 3.49861
- Final Training Loss- 2.34179 Final Testing Loss- 2.98425

#### Train Loss tag: Train Loss



#### Test Loss tag: Test Loss

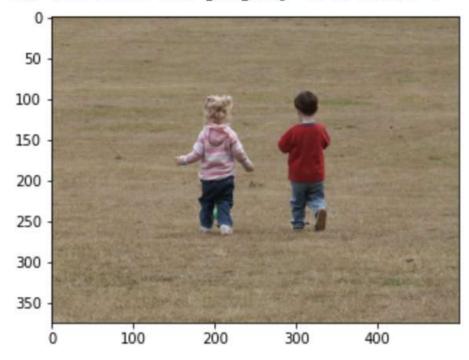


#### **Hyperparameters**

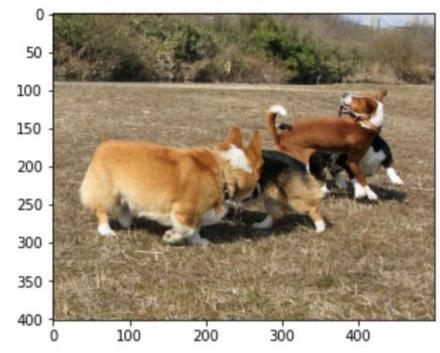
- Number of epochs-6
- Learning Rate-0.00001

## Generating captions of Test Set Images

Predicted caption : two children are playing in a field .



Predicted caption : three dogs are playing in a field of grass .



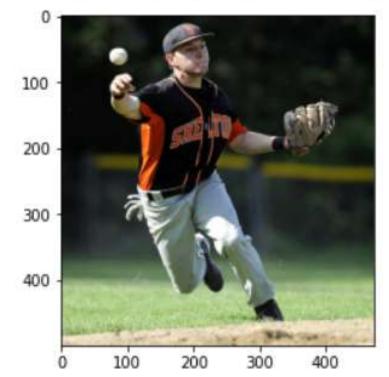
Predicted caption:

a man in a red helmet rides a bike through the woods .



Predicted caption :

a baseball player in a red uniform is throwing the ball .



Predicted caption :

a black dog is walking through the water .



## Results

SNo	Encoder CNN	Decoder Network	Image Augmentation Done	Number of Epochs (Till which testing loss decreased)	Learning Rate	Final training Loss (Cross Entropy)	Final testing Loss (Cross Entropy)
1	ResNet18	Transformers	No	15	0.00001	2.583574	2.907768
2	ResNet18	Transformers	Yes	13	0.00001	1.988753	2.877248
3	ResNet50	Transformers	No	6	0.00001	2.341792	2.984258

### Conclusion

- The best performing model among the models that we could train was that of ResNet18+Transformers along with image data augmentation.
- One of the most frequently occuring words in the vocabulary was dog. Thus the model worked quite well in identifying dogs in various backgrounds, even for images outside the test set
- The model sometimes could not distinguish between man and woman.



#### **Image Captioning Model**

Browse... good-treats-training.jpg

good-treats-training.jpg(image/jpeg) - 107424 bytes, last modified: n/a - 100% done

Saving good-treats-training.jpg to good-treats-training.jpg

Caption 1: a black and brown dog running through a field .

Caption 2: the black and black dog is running through the field .

Caption 3: black dog runs on a grassy green grass .

Caption 4: the dog with the toy on a lawn runs through grass and grass in his hand in front .

Caption 5: a brown black dog and black lab playing on a dirt .



#### **Areas for further work.....**

- We could not run inceptionV3 with transformers and ResNet50+Transformers with image data augmentation due to GPU restrictions of colab.
- The vocabulary obtained from the flickr8K dataset was limited and restricted the predictive power of the model

## Acknowledgements

We would like to extend our sincere gratitude to our PS Faculty Dr.Ramakrishna Dantu for his consistent support and motivation throughout the course of PS-1 and for his persistent efforts to get us onboarded to the company.

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Lastly we would like to thank our PS division and BITS Pilani for providing us this opportunity to develop our industrial knowledge and give us this enriching experience in the form of PS-1 that has not only helped us witness what we have been studying but also implement them in the real world.

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### References

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- [2]https://www.ripublication.com/ijaer18/ijaerv13n9\_102.pdf
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- [7]https://www.tensorflow.org/text/tutorials/transformer
- [8] ResNet-50 architecture [26] shown with the residual units, the size of the filters and the outputs of each convolutional layer. (2020, January). [Graph]. Automatic Hierarchical Classification of Kelps Using Deep Residual Features.
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