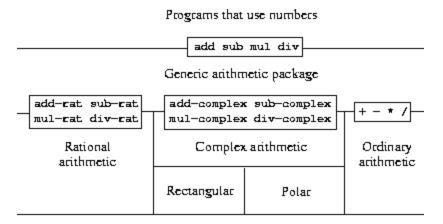
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# 2.5 Systems with Generic Operations

In the previous section, we saw how to design systems in which data objects can be represented in more than one way. The key idea is to link the code that specifies the data operations to the several representations by means of generic interface procedures. Now we will see how to use this same idea not only to define operations that are generic over different representations but also to define operations that are generic over different kinds of arguments. We have already seen several different packages of arithmetic operations: the primitive arithmetic (+, -, \*, /) built into our language, the rational-number arithmetic (add-rat, sub-rat, mul-rat, div-rat) of section 2.1.1, and the complex-number arithmetic that we implemented in section 2.4.3. We will now use data-directed techniques to construct a package of arithmetic operations that incorporates all the arithmetic packages we have already constructed.

Figure 2.23 shows the structure of the system we shall build. Notice the abstraction barriers. From the perspective of someone using ``numbers," there is a single procedure add that operates on whatever numbers are supplied. Add is part of a generic interface that allows the separate ordinary-arithmetic, rational-arithmetic, and complex-arithmetic packages to be accessed uniformly by programs that use numbers. Any individual arithmetic package (such as the complex package) may itself be accessed through generic procedures (such as add-complex) that combine packages designed for different representations (such as rectangular and polar). Moreover, the structure of the system is additive, so that one can design the individual arithmetic packages separately and combine them to produce a generic arithmetic system.



List structure and primitive machine arithmetic

Figure 2.23: Generic arithmetic system.

## **2.5.1 Generic Arithmetic Operations**

The task of designing generic arithmetic operations is analogous to that of designing the generic complex-number operations. We would like, for instance, to have a generic addition procedure add that acts like ordinary primitive addition + on ordinary numbers, like add-rat on rational numbers, and like add-complex on complex numbers. We can implement add, and the other generic arithmetic operations, by following the same strategy we used in section 2.4.3 to implement the generic selectors for complex numbers. We will attach a type tag to each kind of number and cause the generic procedure to dispatch to an appropriate package according to the data type of its arguments.

The generic arithmetic procedures are defined as follows:

```
(define (add x y) (apply-generic 'add x y))
(define (sub x y) (apply-generic 'sub x y))
(define (mul x y) (apply-generic 'mul x y))
(define (div x y) (apply-generic 'div x y))
```

We begin by installing a package for handling *ordinary* numbers, that is, the primitive numbers of our language. We will tag these with the symbol scheme-number. The arithmetic operations in this package are the primitive arithmetic procedures (so there is no need to define extra procedures to handle the untagged numbers). Since these operations each take two arguments, they are installed in the table keyed by the list (scheme-number scheme-number):

```
(define (install-scheme-number-package)
  (define (tag x)
        (attach-tag 'scheme-number x))
  (put 'add '(scheme-number scheme-number)
            (lambda (x y) (tag (+ x y))))
  (put 'sub '(scheme-number scheme-number)
            (lambda (x y) (tag (- x y))))
  (put 'mul '(scheme-number scheme-number)
            (lambda (x y) (tag (* x y))))
  (put 'div '(scheme-number scheme-number)
            (lambda (x y) (tag (/ x y))))
  (put 'make 'scheme-number
            (lambda (x) (tag x)))
  'done)
```

Users of the Scheme-number package will create (tagged) ordinary numbers by means of the procedure:

```
(define (make-scheme-number n)
  ((get 'make 'scheme-number) n))
```

Now that the framework of the generic arithmetic system is in place, we can readily include new kinds of numbers. Here is a package that performs rational arithmetic. Notice that, as a benefit of additivity, we can use without modification the rational-number code from section  $\underline{2.1.1}$  as the internal procedures in the package:

```
(define (install-rational-package)
 ;; internal procedures
 (define (numer x) (car x))
 (define (denom x) (cdr x))
 (define (make-rat n d)
    (let ((g (gcd n d)))
      (cons (/ n g) (/ d g))))
 (define (add-rat x y)
    (make-rat (+ (* (numer x) (denom y))
                 (* (numer y) (denom x)))
              (* (denom x) (denom y))))
 (define (sub-rat x y)
    (make-rat (- (* (numer x) (denom y))
                 (* (numer y) (denom x)))
              (* (denom x) (denom y))))
 (define (mul-rat x y)
    (make-rat (* (numer x) (numer y))
              (* (denom x) (denom y))))
  (define (div-rat x y)
    (make-rat (* (numer x) (denom y))
              (* (denom x) (numer y))))
 ;; interface to rest of the system
 (define (tag x) (attach-tag 'rational x))
```

```
(put 'add '(rational rational)
        (lambda (x y) (tag (add-rat x y))))
(put 'sub '(rational rational)
        (lambda (x y) (tag (sub-rat x y))))
(put 'mul '(rational rational)
        (lambda (x y) (tag (mul-rat x y))))
(put 'div '(rational rational)
        (lambda (x y) (tag (div-rat x y))))

(put 'make 'rational
        (lambda (n d) (tag (make-rat n d))))
'done)
(define (make-rational n d)
        ((get 'make 'rational) n d))
```

We can install a similar package to handle complex numbers, using the tag complex. In creating the package, we extract from the table the operations make-from-real-imag and make-from-magang that were defined by the rectangular and polar packages. Additivity permits us to use, as the internal operations, the same add-complex, sub-complex, mul-complex, and div-complex procedures from section 2.4.1.

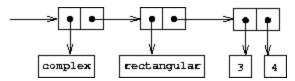
```
(define (install-complex-package)
 ;; imported procedures from rectangular and polar packages
 (define (make-from-real-imag x y)
    ((get 'make-from-real-imag 'rectangular) x y))
  (define (make-from-mag-ang r a)
   ((get 'make-from-mag-ang 'polar) r a))
  ;; internal procedures
 (define (add-complex z1 z2)
    (make-from-real-imag (+ (real-part z1) (real-part z2))
                         (+ (imag-part z1) (imag-part z2))))
 (define (sub-complex z1 z2)
    (make-from-real-imag (- (real-part z1) (real-part z2))
                         (- (imag-part z1) (imag-part z2))))
 (define (mul-complex z1 z2)
    (make-from-mag-ang (* (magnitude z1) (magnitude z2))
                       (+ (angle z1) (angle z2))))
 (define (div-complex z1 z2)
    (make-from-mag-ang (/ (magnitude z1) (magnitude z2))
                       (- (angle z1) (angle z2))))
 ;; interface to rest of the system
 (define (tag z) (attach-tag 'complex z))
 (put 'add '(complex complex)
       (lambda (z1 z2) (tag (add-complex z1 z2))))
 (put 'sub '(complex complex)
       (lambda (z1 z2) (tag (sub-complex z1 z2))))
 (put 'mul '(complex complex)
       (lambda (z1 z2) (tag (mul-complex z1 z2))))
 (put 'div '(complex complex)
       (lambda (z1 z2) (tag (div-complex z1 z2))))
 (put 'make-from-real-imag 'complex
       (lambda (x y) (tag (make-from-real-imag x y))))
 (put 'make-from-mag-ang 'complex
       (lambda (r a) (tag (make-from-mag-ang r a))))
  'done)
```

Programs outside the complex-number package can construct complex numbers either from real and imaginary parts or from magnitudes and angles. Notice how the underlying procedures, originally defined in the rectangular and polar packages, are exported to the complex package, and exported from there to the outside world.

```
(define (make-complex-from-real-imag x y)
  ((get 'make-from-real-imag 'complex) x y))
```

```
(define (make-complex-from-mag-ang r a)
  ((get 'make-from-mag-ang 'complex) r a))
```

What we have here is a two-level tag system. A typical complex number, such as 3 + 4i in rectangular form, would be represented as shown in figure 2.24. The outer tag (complex) is used to direct the number to the complex package. Once within the complex package, the next tag (rectangular) is used to direct the number to the rectangular package. In a large and complicated system there might be many levels, each interfaced with the next by means of generic operations. As a data object is passed 'downward," the outer tag that is used to direct it to the appropriate package is stripped off (by applying contents) and the next level of tag (if any) becomes visible to be used for further dispatching.



**Figure 2.24:** Representation of 3 + 4i in rectangular form.

In the above packages, we used add-rat, add-complex, and the other arithmetic procedures exactly as originally written. Once these definitions are internal to different installation procedures, however, they no longer need names that are distinct from each other: we could simply name them add, sub, mul, and div in both packages.

Exercise 2.77. Louis Reasoner tries to evaluate the expression (magnitude z) where z is the object shown in figure 2.24. To his surprise, instead of the answer 5 he gets an error message from apply-generic, saying there is no method for the operation magnitude on the types (complex). He shows this interaction to Alyssa P. Hacker, who says ``The problem is that the complex-number selectors were never defined for complex numbers, just for polar and rectangular numbers. All you have to do to make this work is add the following to the complex package:"

```
(put 'real-part '(complex) real-part)
(put 'imag-part '(complex) imag-part)
(put 'magnitude '(complex) magnitude)
(put 'angle '(complex) angle)
```

Describe in detail why this works. As an example, trace through all the procedures called in evaluating the expression (magnitude z) where z is the object shown in figure 2.24. In particular, how many times is apply-generic invoked? What procedure is dispatched to in each case?

Exercise 2.78. The internal procedures in the scheme-number package are essentially nothing more than calls to the primitive procedures +, -, etc. It was not possible to use the primitives of the language directly because our type-tag system requires that each data object have a type attached to it. In fact, however, all Lisp implementations do have a type system, which they use internally. Primitive predicates such as symbol? and number? determine whether data objects have particular types. Modify the definitions of type-tag, contents, and attach-tag from section 2.4.2 so that our generic system takes advantage of Scheme's internal type system. That is to say, the system should work as before except that ordinary numbers should be represented simply as Scheme numbers rather than as pairs whose car is the symbol scheme-number.

Exercise 2.79. Define a generic equality predicate equ? that tests the equality of two numbers, and install it in the generic arithmetic package. This operation should work for ordinary numbers, rational numbers, and complex numbers.

Exercise 2.80. Define a generic predicate =zero? that tests if its argument is zero, and install it in the generic arithmetic package. This operation should work for ordinary numbers, rational

numbers, and complex numbers.

## **2.5.2 Combining Data of Different Types**

We have seen how to define a unified arithmetic system that encompasses ordinary numbers, complex numbers, rational numbers, and any other type of number we might decide to invent, but we have ignored an important issue. The operations we have defined so far treat the different data types as being completely independent. Thus, there are separate packages for adding, say, two ordinary numbers, or two complex numbers. What we have not yet considered is the fact that it is meaningful to define operations that cross the type boundaries, such as the addition of a complex number to an ordinary number. We have gone to great pains to introduce barriers between parts of our programs so that they can be developed and understood separately. We would like to introduce the cross-type operations in some carefully controlled way, so that we can support them without seriously violating our module boundaries.

One way to handle cross-type operations is to design a different procedure for each possible combination of types for which the operation is valid. For example, we could extend the complex-number package so that it provides a procedure for adding complex numbers to ordinary numbers and installs this in the table using the tag (complex scheme-number): 49

This technique works, but it is cumbersome. With such a system, the cost of introducing a new type is not just the construction of the package of procedures for that type but also the construction and installation of the procedures that implement the cross-type operations. This can easily be much more code than is needed to define the operations on the type itself. The method also undermines our ability to combine separate packages additively, or least to limit the extent to which the implementors of the individual packages need to take account of other packages. For instance, in the example above, it seems reasonable that handling mixed operations on complex numbers and ordinary numbers should be the responsibility of the complex-number package. Combining rational numbers and complex numbers, however, might be done by the complex package, by the rational package, or by some third package that uses operations extracted from these two packages. Formulating coherent policies on the division of responsibility among packages can be an overwhelming task in designing systems with many packages and many cross-type operations.

#### Coercion

In the general situation of completely unrelated operations acting on completely unrelated types, implementing explicit cross-type operations, cumbersome though it may be, is the best that one can hope for. Fortunately, we can usually do better by taking advantage of additional structure that may be latent in our type system. Often the different data types are not completely independent, and there may be ways by which objects of one type may be viewed as being of another type. This process is called *coercion*. For example, if we are asked to arithmetically combine an ordinary number with a complex number, we can view the ordinary number as a complex number whose imaginary part is zero. This transforms the problem to that of combining two complex numbers, which can be handled in the ordinary way by the complex-arithmetic package.

In general, we can implement this idea by designing coercion procedures that transform an object of one type into an equivalent object of another type. Here is a typical coercion procedure, which transforms a given ordinary number to a complex number with that real part and zero imaginary part:

```
(define (scheme-number->complex n)
  (make-complex-from-real-imag (contents n) 0))
```

We install these coercion procedures in a special coercion table, indexed under the names of the two types:

```
(put-coercion 'scheme-number 'complex scheme-number->complex)
```

(We assume that there are put-coercion and get-coercion procedures available for manipulating this table.) Generally some of the slots in the table will be empty, because it is not generally possible to coerce an arbitrary data object of each type into all other types. For example, there is no way to coerce an arbitrary complex number to an ordinary number, so there will be no general complex->scheme-number procedure included in the table.

Once the coercion table has been set up, we can handle coercion in a uniform manner by modifying the apply-generic procedure of section 2.4.3. When asked to apply an operation, we first check whether the operation is defined for the arguments' types, just as before. If so, we dispatch to the procedure found in the operation-and-type table. Otherwise, we try coercion. For simplicity, we consider only the case where there are two arguments. We check the coercion table to see if objects of the first type can be coerced to the second type. If so, we coerce the first argument and try the operation again. If objects of the first type cannot in general be coerced to the second type, we try the coercion the other way around to see if there is a way to coerce the second argument to the type of the first argument. Finally, if there is no known way to coerce either type to the other type, we give up. Here is the procedure:

```
(define (apply-generic op . args)
 (let ((type-tags (map type-tag args)))
    (let ((proc (get op type-tags)))
          (apply proc (map contents args))
          (if (= (length args) 2)
              (let ((type1 (car type-tags))
                    (type2 (cadr type-tags))
                    (a1 (car args))
                    (a2 (cadr args)))
                (let ((t1->t2 (get-coercion type1 type2))
                      (t2->t1 (get-coercion type2 type1)))
                  (cond (t1->t2
                         (apply-generic op (t1->t2 a1) a2))
                        (t2->t1
                         (apply-generic op a1 (t2->t1 a2)))
                         (error "No method for these types"
                                (list op type-tags))))))
              (error "No method for these types"
                     (list op type-tags))))))
```

This coercion scheme has many advantages over the method of defining explicit cross-type operations, as outlined above. Although we still need to write coercion procedures to relate the types (possibly  $n^2$  procedures for a system with n types), we need to write only one procedure for each pair of types rather than a different procedure for each collection of types and each generic operation. What we are counting on here is the fact that the appropriate transformation between types depends only on the types themselves, not on the operation to be applied.

On the other hand, there may be applications for which our coercion scheme is not general enough. Even when neither of the objects to be combined can be converted to the type of the other it may still be possible to perform the operation by converting both objects to a third type. In order to deal with such complexity and still preserve modularity in our programs, it is usually necessary to build systems that take advantage of still further structure in the relations among types, as we discuss next.

## **Hierarchies of types**

The coercion scheme presented above relied on the existence of natural relations between pairs of types. Often there is more ``global" structure in how the different types relate to each other. For instance, suppose we are building a generic arithmetic system to handle integers, rational numbers, real numbers, and complex numbers. In such a system, it is quite natural to regard an integer as a special kind of rational number, which is in turn a special kind of real number, which is in turn a special kind of complex number. What we actually have is a so-called *hierarchy of types*, in which, for example, integers are a *subtype* of rational numbers (i.e., any operation that can be applied to a rational number can automatically be applied to an integer). Conversely, we say that rational numbers form a *supertype* of integers. The particular hierarchy we have here is of a very simple kind, in which each type has at most one supertype and at most one subtype. Such a structure, called a *tower*, is illustrated in figure 2.25.

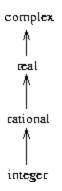


Figure 2.25: A tower of types.

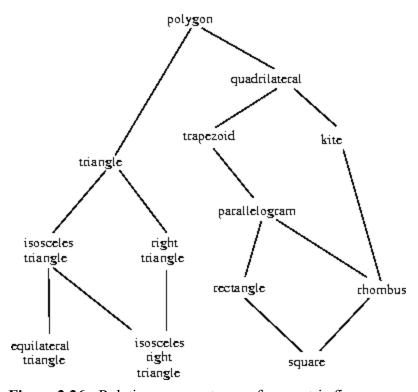
If we have a tower structure, then we can greatly simplify the problem of adding a new type to the hierarchy, for we need only specify how the new type is embedded in the next supertype above it and how it is the supertype of the type below it. For example, if we want to add an integer to a complex number, we need not explicitly define a special coercion procedure integer->complex. Instead, we define how an integer can be transformed into a rational number, how a rational number is transformed into a real number, and how a real number is transformed into a complex number. We then allow the system to transform the integer into a complex number through these steps and then add the two complex numbers.

We can redesign our apply-generic procedure in the following way: For each type, we need to supply a raise procedure, which "raises" objects of that type one level in the tower. Then when the system is required to operate on objects of different types it can successively raise the lower types until all the objects are at the same level in the tower. (Exercises 2.83 and 2.84 concern the details of implementing such a strategy.)

Another advantage of a tower is that we can easily implement the notion that every type `inherits" all operations defined on a supertype. For instance, if we do not supply a special procedure for finding the real part of an integer, we should nevertheless expect that real-part will be defined for integers by virtue of the fact that integers are a subtype of complex numbers. In a tower, we can arrange for this to happen in a uniform way by modifying apply-generic. If the required operation is not directly defined for the type of the object given, we raise the object

to its supertype and try again. We thus crawl up the tower, transforming our argument as we go, until we either find a level at which the desired operation can be performed or hit the top (in which case we give up).

Yet another advantage of a tower over a more general hierarchy is that it gives us a simple way to "lower" a data object to the simplest representation. For example, if we add 2 + 3i to 4 - 3i, it would be nice to obtain the answer as the integer 6 rather than as the complex number 6 + 0i. Exercise 2.85 discusses a way to implement such a lowering operation. (The trick is that we need a general way to distinguish those objects that can be lowered, such as 6 + 0i, from those that cannot, such as 6 + 2i.)



**Figure 2.26:** Relations among types of geometric figures.

#### **Inadequacies of hierarchies**

If the data types in our system can be naturally arranged in a tower, this greatly simplifies the problems of dealing with generic operations on different types, as we have seen. Unfortunately, this is usually not the case. Figure 2.26 illustrates a more complex arrangement of mixed types, this one showing relations among different types of geometric figures. We see that, in general, a type may have more than one subtype. Triangles and quadrilaterals, for instance, are both subtypes of polygons. In addition, a type may have more than one supertype. For example, an isosceles right triangle may be regarded either as an isosceles triangle or as a right triangle. This multiple-supertypes issue is particularly thorny, since it means that there is no unique way to 'raise" a type in the hierarchy. Finding the 'correct" supertype in which to apply an operation to an object may involve considerable searching through the entire type network on the part of a procedure such as apply-generic. Since there generally are multiple subtypes for a type, there is a similar problem in coercing a value 'down" the type hierarchy. Dealing with large numbers of interrelated types while still preserving modularity in the design of large systems is very difficult, and is an area of much current research. <sup>52</sup>

**Exercise 2.81.** Louis Reasoner has noticed that apply-generic may try to coerce the arguments to each other's type even if they already have the same type. Therefore, he reasons, we need to

put procedures in the coercion table to "coerce" arguments of each type to their own type. For example, in addition to the scheme-number->complex coercion shown above, he would do:

a. With Louis's coercion procedures installed, what happens if apply-generic is called with two arguments of type scheme-number or two arguments of type complex for an operation that is not found in the table for those types? For example, assume that we've defined a generic exponentiation operation:

```
(define (exp x y) (apply-generic 'exp x y))
```

and have put a procedure for exponentiation in the Scheme-number package but not in any other package:

What happens if we call exp with two complex numbers as arguments?

- b. Is Louis correct that something had to be done about coercion with arguments of the same type, or does apply-generic work correctly as is?
- c. Modify apply-generic so that it doesn't try coercion if the two arguments have the same type.
- Exercise 2.82. Show how to generalize apply-generic to handle coercion in the general case of multiple arguments. One strategy is to attempt to coerce all the arguments to the type of the first argument, then to the type of the second argument, and so on. Give an example of a situation where this strategy (and likewise the two-argument version given above) is not sufficiently general. (Hint: Consider the case where there are some suitable mixed-type operations present in the table that will not be tried.)
- Exercise 2.83. Suppose you are designing a generic arithmetic system for dealing with the tower of types shown in figure 2.25: integer, rational, real, complex. For each type (except complex), design a procedure that raises objects of that type one level in the tower. Show how to install a generic raise operation that will work for each type (except complex).
- **Exercise 2.84.** Using the raise operation of exercise <u>2.83</u>, modify the apply-generic procedure so that it coerces its arguments to have the same type by the method of successive raising, as discussed in this section. You will need to devise a way to test which of two types is higher in the tower. Do this in a manner that is ``compatible'' with the rest of the system and will not lead to problems in adding new levels to the tower.
- Exercise 2.85. This section mentioned a method for "simplifying" a data object by lowering it in the tower of types as far as possible. Design a procedure drop that accomplishes this for the tower described in exercise 2.83. The key is to decide, in some general way, whether an object can be lowered. For example, the complex number 1.5 + 0i can be lowered as far as real, the complex number 1 + 0i can be lowered as far as integer, and the complex number 2 + 3i cannot be lowered at all. Here is a plan for determining whether an object can be lowered: Begin by defining a generic operation project that "pushes" an object down in the tower. For example, projecting a complex number would involve throwing away the imaginary part. Then a number can be dropped if, when we project it and raise the result back to the type we started with, we

end up with something equal to what we started with. Show how to implement this idea in detail, by writing a drop procedure that drops an object as far as possible. You will need to design the various projection operations and install project as a generic operation in the system. You will also need to make use of a generic equality predicate, such as described in exercise 2.79. Finally, use drop to rewrite apply-generic from exercise 2.84 so that it ``simplifies" its answers.

**Exercise 2.86.** Suppose we want to handle complex numbers whose real parts, imaginary parts, magnitudes, and angles can be either ordinary numbers, rational numbers, or other numbers we might wish to add to the system. Describe and implement the changes to the system needed to accommodate this. You will have to define operations such as sine and cosine that are generic over ordinary numbers and rational numbers.

### 2.5.3 Example: Symbolic Algebra

The manipulation of symbolic algebraic expressions is a complex process that illustrates many of the hardest problems that occur in the design of large-scale systems. An algebraic expression, in general, can be viewed as a hierarchical structure, a tree of operators applied to operands. We can construct algebraic expressions by starting with a set of primitive objects, such as constants and variables, and combining these by means of algebraic operators, such as addition and multiplication. As in other languages, we form abstractions that enable us to refer to compound objects in simple terms. Typical abstractions in symbolic algebra are ideas such as linear combination, polynomial, rational function, or trigonometric function. We can regard these as compound "types," which are often useful for directing the processing of expressions. For example, we could describe the expression

$$r^{2} \sin(y^{2} + 1) + r \cos 2y + \cos(y^{3} - 2y^{2})$$

as a polynomial in x with coefficients that are trigonometric functions of polynomials in y whose coefficients are integers.

We will not attempt to develop a complete algebraic-manipulation system here. Such systems are exceedingly complex programs, embodying deep algebraic knowledge and elegant algorithms. What we will do is look at a simple but important part of algebraic manipulation: the arithmetic of polynomials. We will illustrate the kinds of decisions the designer of such a system faces, and how to apply the ideas of abstract data and generic operations to help organize this effort.

#### **Arithmetic on polynomials**

Our first task in designing a system for performing arithmetic on polynomials is to decide just what a polynomial is. Polynomials are normally defined relative to certain variables (the *indeterminates* of the polynomial). For simplicity, we will restrict ourselves to polynomials having just one indeterminate (*univariate polynomials*). We will define a polynomial to be a sum of terms, each of which is either a coefficient, a power of the indeterminate, or a product of a coefficient and a power of the indeterminate. A coefficient is defined as an algebraic expression that is not dependent upon the indeterminate of the polynomial. For example,

$$5x^2 + 3x + 7$$

is a simple polynomial in x, and

$$(y^2+1)x^3+(2y)x+1$$

is a polynomial in x whose coefficients are polynomials in y.