# This Looks Like That: Deep Learning for Interpretable Image Recognition

NeurIPS 2019

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- □盲人摸象
  - 其触牙者即言象形如芦 菔根
  - ⊙ 其触鼻者言象如杵,
  - 其触腹者言象如甕
  - •, , ,
- □指出典型样
  - prototype
- □ This looks like that

- □作者介绍
- □研究背景
- □当前方法
- □本文方法
- □实验效果
- □ 总结&反思



# □作者介绍

- □研究背景
- □当前方法
- □本文方法
- 口实验效果
- □ 总结&反思



- Chaofan Chen
  - Duke University
  - interpretable machine learning
  - NeurIPS2019+NeruIPS2018 Challenge 1st
- Cynthia Rudin
  - Duke University
  - Professor, associate director SAMSI
  - interpretable machine learning
    - Stop Explaining Black Box Machine Learning for High Stakes Decisions and Use Interpretable Models Instead





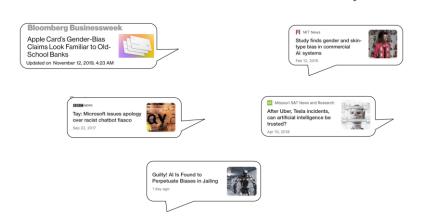
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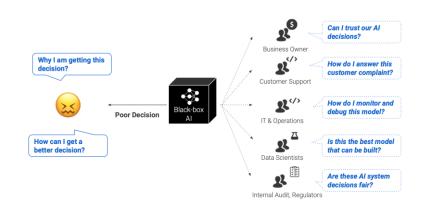
## □深度学习黑盒现象

- 对于深度学习,推理结果为相关而非因果。而且准确度越高的模型(比如深度神经网络),其推理结果越没法解释。
- AAAI 2020 Tutorial --- Explainable AI

#### Black-box AI creates business risk for Industry



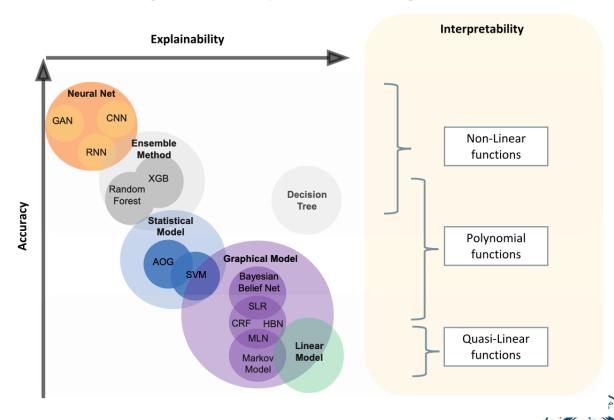
#### Black-box AI creates confusion and doubt



### How to Explain? Accuracy vs. Explainability

#### Learning

- Challenges:
  - Supervised
  - · Unsupervised learning
- Approach:
  - · Representation Learning
  - · Stochastic selection
- Output:
  - Correlation
  - No causation



## Why Explainability: Debug (Mis-)Predictions



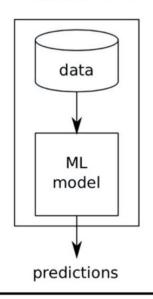


Why did the network label this image as "clog"?



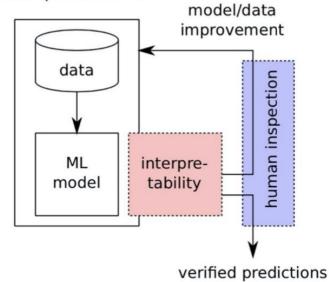
#### Why Explainability: Improve ML Model





Generalization error





Generalization error + human experience

Credit: Samek, Binder, Tutorial on Interpretable ML, MICCAI'18

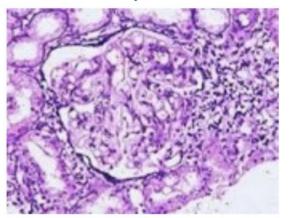
#### Why Explainability: Verify the ML Model / System

Wrong decisions can be costly and dangerous

"Autonomous car crashes, because it wrongly recognizes ..."



"Al medical diagnosis system misclassifies patient's disease ..."



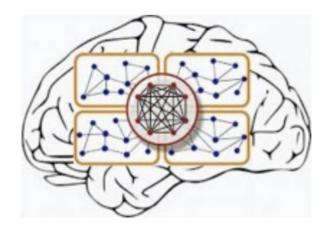
Credit: Samek, Binder, Tutorial on Interpretable ML, MICCAl'18

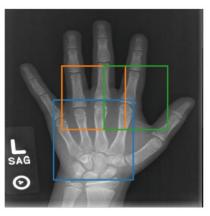
#### Why Explainability: Learn New Insights

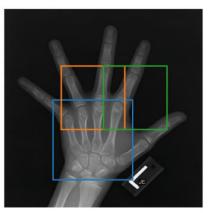
"It's not a human move. I've never seen a human play this move." (Fan Hui)



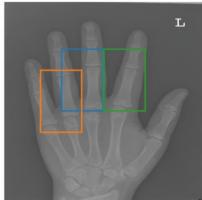
Old promise: "Learn about the human brain."





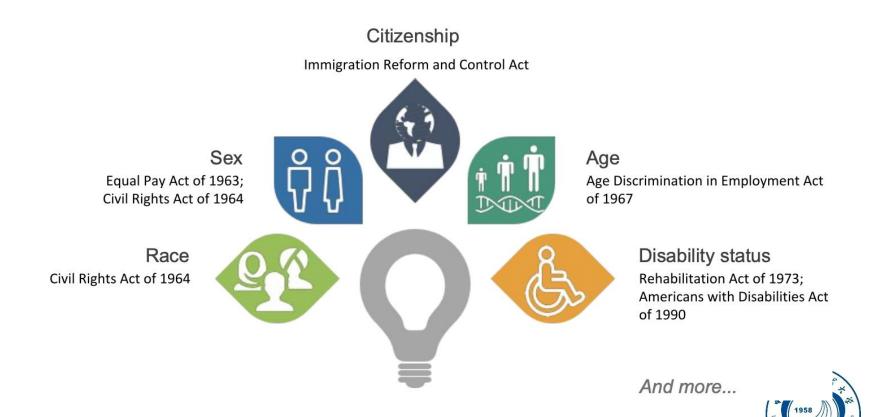






- The carpal and the proximal pha- langes are extracted for female
- The proximal phalanges of the index finger, middle finger and ring finger are usually separately extracted for male.

## Why Explainability: Laws against Discrimination

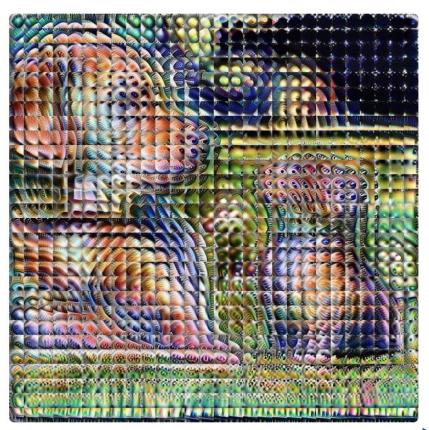


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## □隐藏层可视化

- DeepDream
  - https://github.com/go ogle/deepdream
- Building\_blocks
  - https://distill.pub/201 8/building-blocks/





### □语义生产

Western Grebe

Description: This is a large bird with a white neck and a black back in the water.

Class Definition: The Western Grebe is a waterbird with a yellow pointy beak, white neck and belly, and black back.

Explanation: This is a Western Grebe because this bird has a long white neck, pointy yellow beak and red eye.

Laysan Albatross



Description: This is a large flying bird with black wings and a white belly.

Class Definition: The Laysan Albatross is a large seabird with a hooked yellow beak, black back and white belly.

Visual Explanation: This is a Laysan Albatross because this bird has a large wingspan, hooked yellow beak, and white belly.



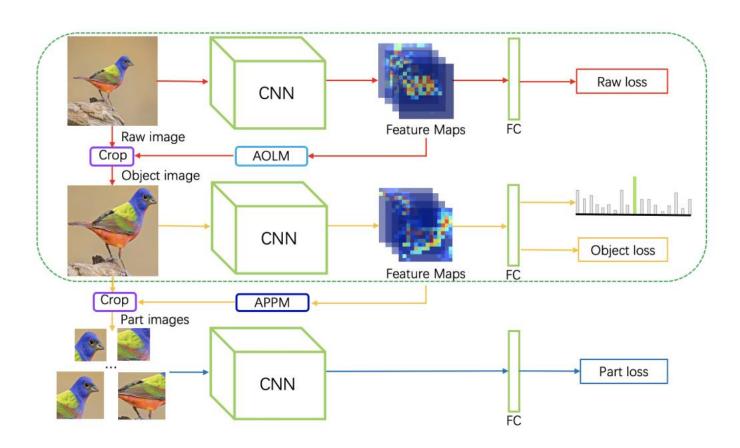
Laysan Albatross Description: This is a large bird with a white neck and a black back in the water.

Class Definition: The Laysan Albatross is a large seabird with a hooked yellow beak, black back and white belly.

Visual Explanation: This is a Laysan Albatross because this bird has a hooked yellow beak white neck and black back.



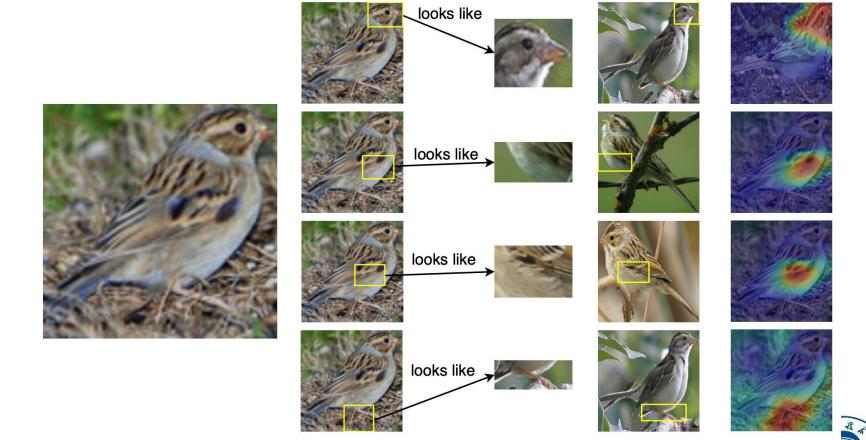
# □注意力机制

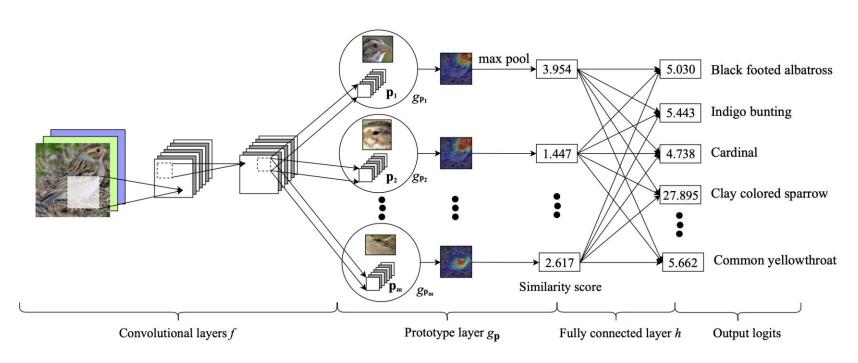




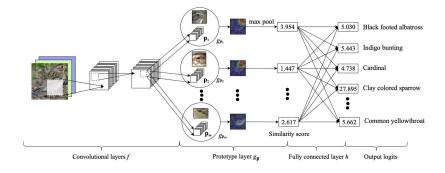
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- □ 卷积网络 f: 输入图像的大小为 224\*224, 通过卷积网络输出的大小为 HWD(e.g H=W=7) 这一部分也就是常见的特征提取作用。
- □ 原型层g<sub>p</sub>(prototype layer):网络学习了m 个原型P:这些原型P以卷积层的特征图为输入,经过m 组的卷积网络得到不同 patch 的原型激活值,该原型激活图的大小在本文中为 h=w=1。计算pj 和 z 之间的 L2 距离,并将这个距离转换为相似度分数。
- □ 全连接层 h: 经过前面的提取特征并聚类到原型得到相似度分数后, m 个相似度分数通过 层 h, 得到最终的输出单元, 经过 softmax 之后得到预测概率, 分类图片结果。



- □ 每个类别预先选取10个训练集图片作为 prototype
- □ 经特征提取,学习输入影像特征图和 prototype的特征向量
- □ 相互计算特征图每个patch特征向量与 prptotype特征向量L2距离
- L2距离转换为相似度分数  $g_{\mathbf{p}_{j}}(\mathbf{z}) = \max_{\tilde{\mathbf{z}} \in \text{patches}(\mathbf{z})} \log \left( (\|\tilde{\mathbf{z}} \mathbf{p}_{j}\|_{2}^{2} + 1) / (\|\tilde{\mathbf{z}} \mathbf{p}_{j}\|_{2}^{2} + \epsilon) \right)$
- □ 可视化
  - 上采样至原图大小
  - 提取95%阈值的bbox, 可视化
- □ M个相似度分数经全连接+softmax得到分类 结果



Stochastic gradient descent (SGD)

$$\min_{\mathbf{P},w_{\mathrm{conv}}} \frac{1}{n} \sum_{i=1}^{n} \mathrm{CrsEnt}(h \circ g_{\mathbf{P}} \circ f(\mathbf{x_i}), \mathbf{y_i}) + \lambda_1 \mathrm{Clst} + \lambda_2 \mathrm{Sep}, \quad \text{where Clst and Sep are defined by}$$

$$\operatorname{Clst} = \frac{1}{n} \sum_{i=1}^{n} \min_{j: \mathbf{p}_{j} \in \mathbf{P}_{y_{i}}} \min_{\mathbf{z} \in \operatorname{patches}(f(\mathbf{x}_{i}))} \|\mathbf{z} - \mathbf{p}_{j}\|_{2}^{2}; \operatorname{Sep} = -\frac{1}{n} \sum_{i=1}^{n} \min_{j: \mathbf{p}_{j} \notin \mathbf{P}_{y_{i}}} \min_{\mathbf{z} \in \operatorname{patches}(f(\mathbf{x}_{i}))} \|\mathbf{z} - \mathbf{p}_{j}\|_{2}^{2}.$$

- The cross entropy loss (CrsEnt)
  - penalizes misclassification on the training data.
- The minimization of the cluster cost (Clst)
  - encourages each training image to have some latent patch that is close to at least one prototype of its own class
- The minimization of the separation cost (Sep)
  - encourages every latent patch of a training image to stay away from prototypes not of its own class.

### Prototype visualization

 smallest rectangular patch at least as large as the 95th-percentile of all activation values in that same map

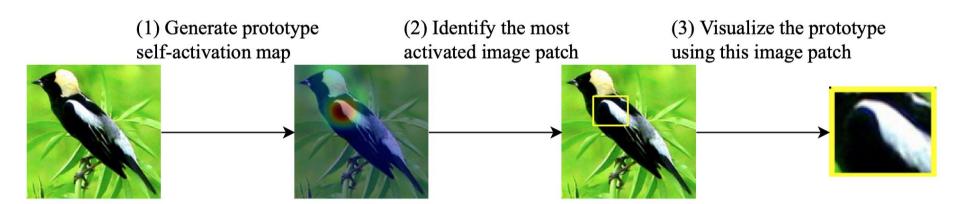


Figure 8: How to visualize a prototype.



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Table 1: Top: Accuracy comparison on cropped bird images of CUB-200-2011 Bottom: Comparison of our model with other deep models

Base	ProtoPNet	Baseline	Base	ProtoPNet	Baseline
VGG16	$76.1 \pm 0.2$	$74.6 \pm 0.2$	VGG19	$78.0 \pm 0.2$	$75.1 \pm 0.4$
Res34	$79.2 \pm 0.1$	$82.3 \pm 0.3$	Res152	$78.0 \pm 0.3$	$81.5 \pm 0.4$
Dense121	$80.2 \pm 0.2$	$80.5 \pm 0.1$	Dense161	$80.1 \pm 0.3$	$82.2 \pm 0.2$

Interpretability	Model: accuracy				
None	<b>B-CNN</b> [26]: 85.1 (bb), 84.1 (full)				
Object-level attn.	<b>CAM</b> [53]: 70.5 (bb), 63.0 (full)				
	Part R-CNN[50]: 76.4 (bb+anno.); PS-CNN [16]: 76.2 (bb+anno.);				
	PN-CNN [3]: 85.4 (bb+anno.); <b>DeepLAC</b> [25]: 80.3 (anno.);				
Part-level	<b>SPDA-CNN</b> [49]: 85.1 (bb+anno.); <b>PA-CNN</b> [20]: 82.8 (bb);				
attention	MG-CNN[45]: 83.0 (bb), 81.7 (full); ST-CNN[17]: 84.1 (full);				
attention	<b>2-level attn.</b> [46]: 77.9 (full); <b>FCAN</b> [27]: 82.0 (full);				
	<b>Neural const.</b> [36]: 81.0 (full); <b>MA-CNN</b> [52]: 86.5 (full);				
	<b>RA-CNN</b> [8]: 85.3 (full)				
Part-level attn. +	ProtoPNet (ours): 80.8 (full, VGG19+Dense121+Dense161-based)				
prototypical cases	84.8 (bb, VGG19+ResNet34+DenseNet121-based)				

Table 1: Accuracy comparison on Stanford Cars

Baseline architecture	Accuracy of ProtoPNet	Accuracy of baseline
VGG19	$87.4 \pm 0.3$	$85.9 \pm 0.2$
ResNet34	$86.1 \pm 0.1$	$85.4 \pm 0.1$
DenseNet121	$86.8 \pm 0.1$	$89.7 \pm 0.1$



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# Inspiration

- ⊙可解释模型
- ⊙ 对传统CNN亲和
- ⊙可拓展性
  - MICCAI 2020
- □ To improve
  - L2 distance -> better metric
  - Pre-defined prototype
  - bbox anno.
  - Less diversity in selected image patch



# Thanks for your listening

