

# More differences than multiple sums

Imre Z. Ruzsa

Graz

# Dicsovery



## Differences vs. $k$ -fold sums

We compare the difference set  $A - A$  to the set

$$kA = A + \dots + A, \text{ } k \text{ times}$$

**Main result:** there is  $A \subset \mathbb{Z}$  such that

$$|kA| < |A - A|^{\alpha_k}, \text{ } \alpha_k < 1.$$

Also, there is  $A \subset \mathbb{Z}_q = \mathbb{Z}/q\mathbb{Z}$  such that

$$A - A = \mathbb{Z}_q, \text{ } |kA| < q^{\alpha_k}.$$

**History:** Haight(1973): for all  $k$  and  $m$  there is a  $q$  and a set  $A \subset \mathbb{Z}_q$  such that  $A - A = \mathbb{Z}_q$  and  $kA$  avoids  $m$  consecutive residues. Used this to show the existence of a set  $B$  of reals such that  $B - B = \mathbb{R}$  but  $\lambda(kB) = 0$  for all  $k$ .

# Ways to compare sums and differences

$$F_k(q) = \min\{|kA| : A \subset \mathbb{Z}_q, A - A = \mathbb{Z}_q\}, \text{ (modular)}$$

$$G_k(q) = \min \left\{ |kA| : A \subset \mathbb{Z}, A - A \supseteq \{a+1, \dots, a+q\} \text{ for some } a \right\}, \\ \text{(interval)}$$

$$H_k(q) = \min\{|kA| : A \subset \mathbb{Z}, |A - A| \geq q\} \text{ (cardinality).}$$

$$\alpha_k = \inf_{q \geq 2} \frac{\log G_k(q)}{\log q}.$$

Theorem (all the same:)

$$\lim \frac{\log F_k(q)}{\log q} = \lim \frac{\log G_k(q)}{\log q} = \lim \frac{\log H_k(q)}{\log q} = \alpha_k.$$

# Main result

$$\lim \frac{\log F_k(q)}{\log q} = \lim \frac{\log G_k(q)}{\log q} = \lim \frac{\log H_k(q)}{\log q} = \alpha_k.$$

Theorem (Main result.)

$$1 - \frac{1}{2^k} \leq \alpha_k < 1.$$

# Reasons for “all the same”

Reasons: monotonicity, submultiplicativity, inequalities.

**Lemma (Monotonicity.)**

*If  $q < q'$ , then*

$$G_k(q) \leq G_k(q'), \text{ (interval)}$$

$$H_k(q) \leq H_k(q') \text{ (cardinality).}$$

**Problem**

*Is  $F_k$  (modular) monotonically increasing?*

**Conjecture**

No. Probably it depends on the multiplicative structure of  $q$ .

# Submultiplicativity

## Lemma

If  $q = q_1 q_2$ , then

$$F_k(q) \leq F_k(q_1)F_k(q_2) \text{ if } \gcd(q_1, q_2) = 1,$$

$$G_k(q) \leq G_k(q_1)G_k(q_2) \text{ always,}$$

$$H_k(q) \leq H_k(q_1)H_k(q_2) \text{ always.}$$

Monotonicity and submultiplicativity imply that  $\lim = \inf$  for  $G$  and  $H$  (interval, cardinality).

## Problem

Does  $F_k(q) \leq F_k(q_1)F_k(q_2)$  hold for not coprime integers?

# Comparisons

## Lemma

For all  $q$  we have

$$F_k(q) \leq G_k(q),$$

$$H_k(q) \leq G_k(q),$$

$$G_k(q) \leq G_k(2q + 1) \leq 2kF_k(q).$$

$$F_k(q) \leq c_k(\log q)^{k/2} H_k(q).$$

This implies that all three limits are  $=$ .

## Problem

Is  $F_k(q) \leq c_k H_k(q)$ ? Is  $H_k(q) \leq F_k(q)$ ?

# What is this good for?

As  $\lim = \inf$ , to prove that  $\alpha_k < 1$  it is enough to find a **single**  $q$  with  $G_k(q) < q$ ;  
and as  $G_k(q) \leq 2kF_k(q)$ , it is enough to find a **single**  $q$  with

$$F_k(q) < \frac{q}{2k};$$

so it is enough to prove the following, seemingly weaker result.

## Lemma

*For every positive integer  $k$  and positive  $\varepsilon$  there is a positive integer  $q$  and a set  $A \subset \mathbb{Z}_q$  such that  $A - A = \mathbb{Z}_q$ ,  $|kA| < \varepsilon q$ .*

# Outline of the construction

Put

$$A = \{\varphi(x), x + \varphi(x) : x \in \mathbb{Z}_q\}$$

with a function  $\varphi : \mathbb{Z}_q \rightarrow \mathbb{Z}_q$  to have  $A - A = \mathbb{Z}_q$ .  
( $\varphi(x)$  is the place where we find  $x$  as a difference).

$$kA = \left\{ \sum_{x \in \mathbb{Z}_q} \left( u(x)\varphi(x) + v(x)(x + \varphi(x)) \right) \right\}$$

where  $u, v$  are functions  $\mathbb{Z}_q \rightarrow \mathbb{Z}_{\geq 0}$  and

$$\sum_{x \in \mathbb{Z}_q} (u(x) + v(x)) = k.$$

# Recursion

For a function  $\varphi$  and  $1 \leq m \leq k$ ,  $S_m(\varphi)$  denotes the set of elements that have a representation of the form

$$\sum_{x \in \mathbb{Z}_q} \left( u(x)\varphi(x) + v(x)(x + \varphi(x)) \right),$$

with

$$\ell(u, v) = \#\{x : u(x) + v(x) > 0\} \leq m.$$

We call  $\ell(u, v)$  the *level* of a pair  $(u, v)$ .

$$1 \leq \ell(u, v) \leq k, \quad S_1(\varphi) \subset \dots \subset S_k(\varphi) = kA.$$

First we find a modulus and a function such that  $|S_1(\varphi)| < \delta q$ .

Next, given two numbers  $0 < \delta < \delta'$ , a modulus and a function such that  $|S_m(\varphi)| < \delta q$ , we find a modulus  $q'$  and a function  $\varphi'$  such that  $|S_{m+1}(\varphi')| < \delta' q'$ .

## Initial step

Put  $q = p_0 \dots p_k$ , a product of  $k + 1$  different primes.

$$\mathbb{Z}_q = \mathbb{Z}_{p_0} \times \dots \times \mathbb{Z}_{p_k}$$

Write elements of  $\mathbb{Z}_q$  as vectors,  $\underline{x} = (x_0, \dots, x_k)$ ,  $x_i \in \mathbb{Z}_{p_i}$ .

A pair  $(u, v)$  of level 1 is supported by a single element  $\underline{x}$  and  $v(\underline{x}) = k - u(\underline{x})$ . Elements of  $S_1(\varphi)$  are:

$$u(\underline{x})\varphi(\underline{x}) + (k - u(\underline{x}))(\underline{x} + \varphi(\underline{x})) = k\varphi(\underline{x}) + (k - u(\underline{x}))\underline{x}.$$

We make the  $j$ 'th coordinate = 0 whenever  $u(\underline{x}) = j$ :

$$\varphi(x_0, \dots, x_k) = \left( -x_0, \frac{1-k}{k}x_1, \dots, \frac{j-k}{k}x_j, \dots, \frac{-1}{k}x_{k-1}, 0 \right).$$

(Division in the  $j$ 'th coordinate is modulo  $p_j$ .)

The number of elements with  $j$ 'th coordinate = 0 is  $q/p_j$ , so

$$|S_1(\varphi)| \leq q \sum \frac{1}{p_j} < \delta q$$

if all  $p_j > (k+1)/\delta$ .

## Recursive step

For some  $1 \leq m < k$  we have two numbers  $0 < \delta < \delta'$ , a modulus  $q$  and a function such that  $|S_m(\varphi)| < \delta q$ .

We construct a modulus  $q'$  and a corresponding function  $\varphi'$  such that  $|S_{m+1}(\varphi')| < \delta' q'$ .

Let  $t$  be the number of pairs  $(u, v)$  of level  $m + 1$  on  $\mathbb{Z}_q$ . We put

$$q' = qp_1p_2 \dots p_t,$$

with distinct primes  $p_j \nmid q$ .

As before

$$\mathbb{Z}_{q'} = \mathbb{Z}_q \times \mathbb{Z}_{p_1} \times \dots \times \mathbb{Z}_{p_t},$$

elements of  $\mathbb{Z}_{q'}$  are vectors,  $\underline{x} = (x_0, x_1 \dots, x_t)$ ,  $x_0 \in \mathbb{Z}_q$ ,  $x_i \in \mathbb{Z}_{p_i}$  for  $i > 0$ .

The function  $\varphi'$  will also be defined coordinatewise, as

$$\varphi'(\underline{x}) = (\varphi_0(\underline{x}), \dots, \varphi_t(\underline{x})), \quad \varphi_0(\underline{x}) = \varphi(x_0).$$

## Case of level $\leq m$

The *shadow* a pair  $(u', v')$  on  $\mathbb{Z}'_q$  is a pair on  $\mathbb{Z}_q$ :

$$u(x) = \sum_{x_1, \dots, x_t} u'(x, x_1 \dots, x_t), \quad v(x) = \sum_{x_1, \dots, x_t} v'(x, x_1 \dots, x_t).$$

Clearly  $\ell(u, v) \leq \ell(u', v')$ .

Elements of  $S_{m+1}(\varphi')$  are of the form

$$\sum_{\underline{x} \in \mathbb{Z}'_q} \left( u'(\underline{x})\varphi'(\underline{x}) + v'(\underline{x})(\underline{x} + \varphi'(\underline{x})) \right),$$

with pairs  $(u', v')$  of level at most  $m + 1$ . The 0'th coordinate of this sum is exactly

$$\sum_{x \in \mathbb{Z}_q} \left( u(x)\varphi(x) + v(x)(x + \varphi(x)) \right),$$

where  $(u, v)$  is the shadow of  $(u', v')$ . If the level of  $(u, v)$  is at most  $m$ , then the 0'th coordinate is an element of  $S_m(\varphi)$ .

## Case of level = $m + 1$

Assume  $\ell(u, v) = \ell(u', v') = m + 1$ .

Let  $(u_1, v_1), \dots, (u_t, v_t)$  be a list of pairs  $(u, v)$  of level  $m + 1$ .

We make the  $j$ 'th coordinate = 0 whenever the shadow of  $(u', v')$  is  $(u_j, v_j)$ .

$\ell(u, v) = \ell(u', v')$  happens only if the elements with  $u'(\underline{x}) + v'(\underline{x}) > 0$  have all different 0'th coordinates.

So for all  $\underline{x} = (x_0, x_1 \dots, x_t)$  either  $(u'(\underline{x}), v'(\underline{x})) = (0, 0)$  or  $(u'(\underline{x}), v'(\underline{x})) = (u_j(x_0), v_j(x_0))$ .

So all nonzero terms in the sum

$$\sum_{\underline{x} \in \mathbb{Z}_q^t} \left( u'(\underline{x})\varphi'(\underline{x}) + v'(\underline{x})(\underline{x} + \varphi'(\underline{x})) \right),$$

are of the form

$$u_j(x_0)\varphi'(\underline{x}) + v_j(x_0)(\underline{x} + \varphi'(\underline{x})).$$

(Case of level =  $m + 1$ , cont'd)

All nonzero terms in the sum

$$\sum_{\underline{x} \in \mathbb{Z}_q'} \left( u'(\underline{x})\varphi'(\underline{x}) + v'(\underline{x})(\underline{x} + \varphi'(\underline{x})) \right),$$

are of the form

$$u_j(x_0)\varphi'(\underline{x}) + v_j(x_0)(\underline{x} + \varphi'(\underline{x})).$$

The  $j$ 'th coordinate of this summand is

$$u_j(x_0)\varphi_j(\underline{x}) + v_j(x_0)(x_j + \varphi_j(\underline{x})).$$

This will vanish if we define

$$\varphi_j(\underline{x}) = \begin{cases} -\frac{v_j(x_0)}{u_j(x_0) + v_j(x_0)} & \text{if } u_j(x_0) + v_j(x_0) > 0, \\ 0 & \text{if } u_j(x_0) + v_j(x_0) = 0, \end{cases}$$

division modulo  $p_j$ .

# Counting

Either the 0'th coordinate is in  $S_m(\varphi)$  or another coordinate vanishes. So

$$\frac{|S_{m+1}(\varphi')|}{q'} \leq \frac{|S_m(\varphi)|}{q} + \sum_{j=1}^t \frac{1}{p_j} < \delta + \sum_{j=1}^t \frac{1}{p_j} < \delta',$$

if all primes satisfy  $p_j > t/(\delta' - \delta)$ .

To prove the Lemma we start with  $\delta = \varepsilon/(k+1)$  and proceed by finding moduli and functions with

$|S_m(\varphi)|/q < (m+1)\varepsilon/(k+1)$ . After  $k$  steps we have the desired bound for the size of  $S_k(\varphi) = kA$ .

## Lower estimate

For any finite set in any group we have

$$|kA| \geq |A - A|^{1-2^{-k}}.$$

Induction on  $k$ ;  $k = 1$  is evident.

To go from  $k$  to  $k + 1$  use the inequality

$$|X||Y - Z| \leq |Y - X||Y - Z|$$

with  $Y = Z = A$ ,  $X = -kA$ .

# The other side

How **big** can  $kA$  be compared to  $A - A$ ?

$$f_k(q) = \min\{|A - A| : A \subset \mathbb{Z}_q, kA = \mathbb{Z}_q\},$$

$$g_k(q) = \min\{|A - A| : A \subset \mathbb{Z}, kA \supseteq \{a+1, \dots, a+q\} \text{ for some } a\},$$

$$h_k(q) = \min\{|A - A| : A \subset \mathbb{Z}, |kA| \geq q\}.$$

Put

$$\beta_k = \inf_{q \geq 2} \frac{\log g_k(q)}{\log q}.$$

## Theorem

$$\lim \frac{\log f_k(q)}{\log q} = \lim \frac{\log g_k(q)}{\log q} = \lim \frac{\log h_k(q)}{\log q} = \beta_k.$$

## Theorem

(a)

$$\frac{2}{k} - \frac{1}{k^2} \leq \beta_k \leq \frac{2}{k}$$

for all  $k$ .

(b)  $k\beta_k$  is increasing.

## Problem

Is always  $\beta_k < 2/k$ ?

## Conjecture

Yes.

## Problem

(Case  $k = 4$ .) Is always  $|4A| \leq |A - A|^2$  ?

The End