

Affine Semigroups of Maximal Projective Dimension

Om Prakash

(joint work with Kriti Goel and Indranath Sengupta)

Indian Institute of Technology Gandhinagar

Conference on Rings and Factorizations, Graz

July 13, 2023

Contents

- Preliminaries
- Pseudo-Frobenius elements in Affine Semigroups
- Gluing of MPD-semigroups
- Unboundedness of Betti-type of MPD-semigroups
- Extended Wilf's conjecture

Preliminaries

Let \mathbb{Z} and \mathbb{N} denote the set of integers and non-negative integers respectively.

Numerical Semigroup

A submonoid S of \mathbb{N} is called a numerical semigroup if $\mathbb{N} \setminus S$ is finite. Equivalently, there exist $m_0, m_1, \dots, m_p \in \mathbb{N}$ with $\gcd(m_0, m_1, \dots, m_p) = 1$ such that

$$S := \langle m_0, m_1, \dots, m_p \rangle = \left\{ \sum_{i=0}^p \lambda_i m_i \mid \lambda_i \in \mathbb{N} \right\}.$$

Here S is called the numerical semigroup generated by m_0, m_1, \dots, m_p .

- Let f be the largest integer such that $f \notin S$, then f is called the **Frobenius number** of S , and denoted by $\text{F}(S)$.
- An element $f \in \mathbb{Z} \setminus S$ is called a **pseudo-Frobenius number** if $f + s \in S$ for all $s \in S \setminus \{0\}$. We will denote the set of pseudo-Frobenius numbers of S by $\text{PF}(S)$.
- A numerical semigroup S is **symmetric** if $\text{PF}(S) = \{\text{F}(S)\}$.
- A numerical semigroup S is **pseudo symmetric** if $\text{PF}(S) = \{\text{F}(S), \text{F}(S)/2\}$.

Affine Semigroup (pointed)

An affine semigroup is a finitely generated submonoid S of \mathbb{N}^r minimally generated by a_1, \dots, a_n , and denoted by $S = \langle a_1, \dots, a_n \rangle$. The cardinality of the minimal generating set of S is called the embedding dimension of S , denoted by $e(S)$.

Affine Semigroup Ring

Let S be an affine semigroup in \mathbb{N}^r minimally generated by a_1, \dots, a_n . The semigroup ring $\mathbb{K}[S] = \mathbb{K}[\mathbf{t}^{a_1}, \dots, \mathbf{t}^{a_n}]$ of S is a \mathbb{K} -subalgebra of the polynomial ring $\mathbb{K}[t_1, \dots, t_r]$ over the field \mathbb{K} , where $\mathbf{t}^{a_i} = t_1^{a_{i1}} \cdots t_d^{a_{ir}}$ for $a_i = (a_{i1}, \dots, a_{ir})$.

- Let $R = \mathbb{K}[x_1, \dots, x_n]$ and define a map

$$\pi : R \rightarrow \mathbb{K}[S]$$

$$x_i \mapsto \mathbf{t}^{a_i}, i = 1, \dots, n.$$

Note that π is a surjective \mathbb{K} -algebra homomorphism, and thus

$$\mathbb{K}[S] \cong \frac{R}{\text{Ker}(\pi)}.$$

- Set $\deg x_i = a_i$ for all $i = 1, \dots, n$. With this grading R is a multi-graded ring. For a monomial $\mathbf{x}^u := x_1^{u_1} \cdots x_n^{u_n}$, the S -degree of \mathbf{x}^u is defined as $\deg_S \mathbf{x}^u = \sum_{i=1}^n u_i a_i$.
- Let I_S denote the kernel of π . Then

$$I_S = (\mathbf{x}^u - \mathbf{x}^v \mid \deg_S \mathbf{x}^u = \deg_S \mathbf{x}^v).$$

Therefore, I_S is a graded homogeneous ideal of R . Thus, $\mathbb{K}[S]$ has a graded structure inherited from R .

pseudo-Frobenius elements in Affine Semigroups

- Let S be the affine semigroup minimally generated by $\{a_1, \dots, a_n\} \subseteq \mathbb{N}^r$. Consider the cone of S in $\mathbb{Q}_{\geq 0}^r$,

$$\mathfrak{C}(S) := \left\{ \sum_{i=1}^n \lambda_i a_i \mid \lambda_i \in \mathbb{Q}_{\geq 0}, i = 1, \dots, n \right\}$$

and set $\mathcal{H}(S) := (\mathfrak{C}(S) \setminus S) \cap \mathbb{N}^r$.

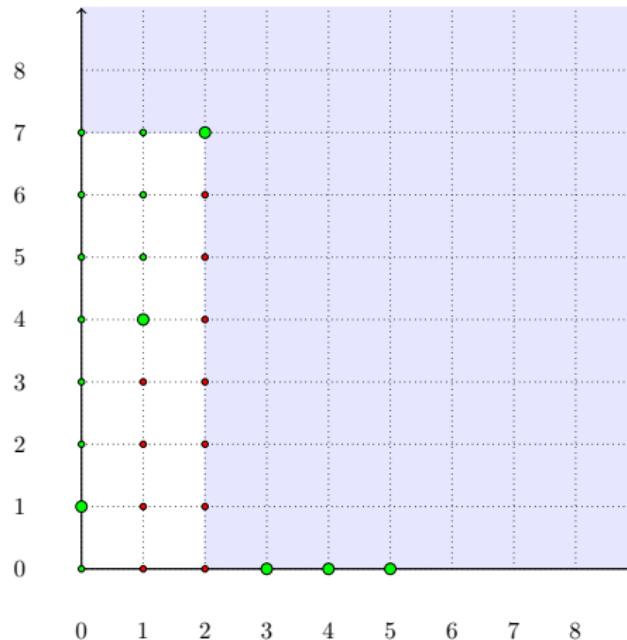
Definition

An element $f \in \mathcal{H}(S)$ is called a **pseudo-Frobenius element** of S if $f + s \in S$ for all $s \in S \setminus \{0\}$. The set of pseudo-Frobenius elements of S is denoted by $\text{PF}(S)$. In particular,

$$\text{PF}(S) = \{f \in \mathcal{H}(S) \mid f + a_j \in S, \forall j \in [1, n]\}.$$

Example:

Let $S = \langle (0, 1), (3, 0), (4, 0), (5, 0), (1, 4), (2, 7) \rangle$.



- $\mathcal{H}(S)$ = set of all red points.
- $\text{PF}(S) = \{(1,3), (2,6)\}$.

Pseudo-Frobenius elements in Affine Semigroups

Remark

Pseudo-Frobenius elements may not exist. Let

$$S = \langle (2, 0), (1, 1), (0, 2) \rangle.$$

Then S is the subset of points in \mathbb{N}^2 whose sum of coordinates is even. Thus, we have that $\mathcal{H}(S) + S = \mathcal{H}(S)$. Therefore $\text{PF}(S) = \emptyset$.

- If $\mathcal{H}(S)$ is finite then the set of pseudo-Frobenius elements is always non-empty.

MPD-semigroup

Let $R = \mathbb{K}[x_1, \dots, x_n]$, we say that $S = \langle a_1, \dots, a_n \rangle$ satisfies the **maximal projective dimension** (MPD) property if

$$\text{pdim}_R \mathbb{K}[S] = n - 1.$$

Equivalently, $\text{depth}_R \mathbb{K}[S] = 1$.

- (Garcia-Garcia et al., 2019), proved that S is an MPD-semigroup if and only if $\text{PF}(S) \neq \emptyset$.
- In particular, if S is a MPD-semigroup then $b \in S$ is the S -degree of the $(n - 2)$ th minimal syzygy of $\mathbb{K}[S]$ if and only if

$$b \in \left\{ a + \sum_{i=1}^n a_i \mid a \in \text{PF}(S) \right\}.$$

- The cardinality of $\text{PF}(S)$ is equal to the last Betti number of $\mathbb{K}[S]$. We call it the **Betti-type** of S .

Example

Let $S = \langle a_1 = (2, 11), a_2 = (3, 0), a_3 = (5, 9), a_4 = (7, 4) \rangle$. Then, by Macaulay2, we have graded minimal free resolution of $\mathbb{K}[S]$,

$$0 \rightarrow R(-(81, 93)) \oplus R(-(94, 82)) \rightarrow R^6 \rightarrow R^5 \rightarrow R \rightarrow \mathbb{K}[S] \rightarrow 0.$$

Therefore, $\text{pdim}_R \mathbb{K}[S] = 3$. Hence, S is MPD. Also, we have

$$\text{PF}(S) = \left\{ (81, 93) - \sum_{i=1}^4 a_i, (94, 82) - \sum_{i=1}^4 a_i \right\}.$$

Therefore, $\text{PF}(S) = \{(64, 89), (77, 58)\}$.

Gluing of MPD-semigroups

Definition

Let $G(S)$ be the group generated by S . Let A be the minimal generating system of S and $A = A_1 \cup A_2$ be a nontrivial partition of A . Let S_i be the submonoid of \mathbb{N}^d generated by A_i , $i \in 1, 2$. Then $S = S_1 + S_2$. We say that S is the **gluing** of S_1 and S_2 along s if

- (1) $s \in S_1 \cap S_2$ and,
- (2) $G(S_1) \cap G(S_2) = s\mathbb{Z}$.

Theorem (– , Goel, Sengupta)

Let S be a gluing of S_1 and S_2 . Then S is MPD if and only if S_1 and S_2 are MPD. Moreover,

$$\text{PF}(S) = \{f + g + s \mid f \in \text{PF}(S_1), g \in \text{PF}(S_2)\}.$$

Sketch of proof:

- If S_1 and S_2 are MPD-semigroups then by [Garcia-Garcia et. al, 2020], S is an MPD-semigroup.
- Let the embedding dimensions of S_1 and S_2 are n_1 and n_2 respectively. Suppose without loss of generality that S_1 is not an MPD-semigroup. Therefore, we have

$$\text{pdim}_{R_1} \mathbb{K}[S_1] < n_1 - 1,$$

where $R_1 = k[x_1, \dots, x_{n_1}]$.

- Also, by Auslander-Buchsbaum formula,

$$\operatorname{pdim}_{R_2} \mathbb{K}[S_2] \leq n_2 - 1,$$

where $R_2 = k[x_1, \dots, x_{n_2}]$.

- For $R = k[x_1, \dots, x_{n_1+n_2}]$, we have

$$\operatorname{pdim}_R \mathbb{K}[S] = \operatorname{pdim}_{R_1} \mathbb{K}[S_1] + \operatorname{pdim}_{R_2} \mathbb{K}[S_2] + 1 < n_1 + n_2 - 1.$$

Since, S is MPD, this is a contradiction.

- Now set, $T = \{f + g + s \mid f \in \operatorname{PF}(S_1), g \in \operatorname{PF}(S_2)\}$. Then $T \subset \operatorname{PF}(S)$.
- Now, by the minimal graded free resolution of semigroup ring associated to gluing of affine semigroups (see Gimenez and Srinivasan, 2019), we can deduce that

$$|\operatorname{PF}(S)| = |\operatorname{PF}(S_1)| \cdot |\operatorname{PF}(S_2)|.$$

- Therefore, to complete the proof, it is sufficient to show that if $f + g + d, f' + g' + d \in T$ such that $f + g + d = f' + g' + d$ then $f = f'$ and $g = g'$.

Unboundedness of Betti-type

Motivated by an example of Jafari and Yaghmaei (2022), we construct the following class of examples.

- Let $a \geq 3$ be an odd natural number and $p \in \mathbb{Z}^+$. Define

$$S_{a,p} = \langle (a, 0), (0, a^p), (a + 2, 2), (2, 2 + a^p) \rangle.$$

- Define the set

$$\Delta = \{(a^p(a + 2) - (\ell + 2)a - 2, a^p(\ell + 2) - 2) \mid 0 \leq \ell < a^p - 1\}.$$

Proposition (– , Sengupta)

$S_{a,p}$ is an MPD-semigroup and $\Delta \subseteq \text{PF}(S_{a,p})$.

Unboundedness of Betti-type

Theorem (– , Sengupta)

For each $e \geq 4$, there exists a class of MPD-semigroups of embedding dimension e in \mathbb{N}^2 such that the Betti-type is not a bounded function in terms of the embedding dimension e .

Definition

Let \prec be a term order on \mathbb{N}^d . Then $F(S)_{\prec} = \max_{\prec} \mathcal{H}(S)$, if it exists, is called a **Frobenius element** of S . Note that Frobenius elements of S may not exist. However, if $|\mathcal{H}(S)| < \infty$, then S has Frobenius elements.

Hilbert Series and Frobenius Elements

The Hilbert series of an affine semigroup algebra $\mathbb{K}[S]$ is defined as

$$H(\mathbb{K}[S], \mathbf{t}) = \sum_{s \in S} \mathbf{t}^s,$$

the formal sum of all monomials $\mathbf{t}^s = t_1^{s_1} \cdots t_r^{s_r}$, where $s \in S$. It can be written as a rational function of the form

$$H(\mathbb{K}[S], \mathbf{t}) = \frac{\mathcal{K}(t_1, \dots, t_r)}{\prod_{i=1}^n (1 - \mathbf{t}^{a_i})},$$

where $\mathcal{K}(t_1, \dots, t_r)$ is a polynomial in $\mathbb{Z}[t_1, \dots, t_r]$.

Let $\exp(LT_{\prec} \mathcal{K}(\mathbb{K}[S]; \mathbf{t}))$ be the exponent of the leading term of $\mathcal{K}(\mathbb{K}[S]; \mathbf{t})$ with respect to \prec .

Theorem (– , Goel, Sengupta)

Let $S = \langle a_1, \dots, a_n \rangle \subseteq \mathbb{N}^r$ be a \mathcal{C} -semigroup such that $\mathfrak{C}(S) = \mathbb{Q}_{\geq 0}^r$. Then $F(S)_{\prec} = \exp(LT_{\prec} \mathcal{K}(\mathbb{K}[S]; \mathbf{t})) - \sum_{i=1}^n a_i$ for any term order \prec .

Example

Let $S = \langle a_1 = (0, 1), a_2 = (2, 0), a_3 = (3, 0), a_4 = (1, 3) \rangle$.

- $\text{cone}(S) = \mathbb{Q}_{\geq 0}^2$ and $\mathcal{H}(S) = \{(1, 0), (1, 1), (1, 2)\}$ is finite.
- Therefore, $\text{F}(S)_\prec = (1, 2)$ for any term order \prec .

We have,

$$\text{H}(\mathbb{K}[S]; \mathbf{t}) = \frac{1 - t_1^6 - t_1^3 t_2^3 - t_1^4 t_2^3 - t_1^2 t_2^6 + t_1^6 t_2^3 + t_1^7 t_2^3 + t_1^4 t_2^6 + t_1^5 t_2^6 - t_1^7 t_2^6}{(1 - t_2)(1 - t_1^2)(1 - t_1^3)(1 - t_1 t_2^3)}.$$

Hence,

$$\text{F}(S)_\prec = \exp(LT_\prec \mathcal{K}(\mathbb{K}[S]; \mathbf{t})) - \sum_{i=1}^4 a_i = (7, 6) - (6, 4) = (1, 2).$$

\prec -symmetric semigroups

Definition

Fix a term order \prec such that $F(S)_\prec = \max_\prec \mathcal{H}(S)$ exists.

- If $\text{PF}(S) = \{F(S)_\prec\}$, then S is called a **\prec -symmetric semigroup**.
- If $\text{PF}(S) = \{F(S)_\prec, F(S)_\prec/2\}$, then S is called **\prec -pseudo-symmetric**.

\prec -symmetric semigroups

- If $\mathcal{H}(S)$ is a non-empty finite set, then S is said to be a \mathcal{C} -semigroup, where \mathcal{C} denotes the cone of the semigroup. When S is a \mathcal{C} -semigroup, we give a characterization of \prec -symmetric and \prec -pseudo-symmetric semigroups.

Theorem (– , Goel, Sengupta)

Let S be a \mathcal{C} -semigroup and let $F(S)_{\prec}$ denote the Frobenius element of S with respect to an order \prec . Then S is a \prec -symmetric semigroup if and only if for each $g \in \text{cone}(S) \cap \mathbb{N}^d$ we have:

$$g \in S \iff F(S)_{\prec} - g \notin S.$$

\prec -symmetric semigroups

Theorem (– , Goel, Sengupta)

Let S be a \mathcal{C} -semigroup and let $F(S)_{\prec}$ denote the Frobenius element of S with respect to an order \prec . Then S is a \prec -pseudo-symmetric semigroup if and only if $F(S)_{\prec}$ is even, and for each $g \in \text{cone}(S) \cap \mathbb{N}^d$ we have:

$$g \in S \iff F(S)_{\prec} - g \notin S \text{ and } g \neq F(S)_{\prec}/2.$$

Wilf's Conjecture

- ★ **Conjecture**(Wilf, 1978) Let S be a numerical semigroup. Then the following inequality is true for every numerical semigroup.

$$F(S) + 1 \leq e(S) \cdot |\{s \in S \mid s < F(S)\}|.$$

Example

Let $S = \langle 5, 7, 9 \rangle$. Then,

- $e(S) = 3$.
- $S = \{0, 5, 7, 9, 10, 12, 14, 15 \rightarrow\}$.
- $F(S) = 13$.
- $\{s \in S \mid s < F(S)\} = \{0, 5, 7, 9, 10, 12\}$.
- $F(S) + 1 = 14 < 3 \cdot 6 = 18$.

Extended Wilf's conjecture

- Let S be a \mathcal{C} -semigroup and \prec be a monomial order satisfying that every monomial is preceded only by a finite number of monomials. Define the Frobenius number of S as

$$\mathcal{N}(F(S)_{\prec}) = |\mathcal{H}(S)| + |\{g \in S \mid g \prec F(S)_{\prec}\}|$$

Extended Wilf's conjecture. (Garcia-Garcia et. al., 2018) Let S be a \mathcal{C} -semigroup and \prec be a monomial order satisfying that every monomial is preceded only by a finite number of monomials. Then

$$\mathcal{N}(F(S)_{\prec}) + 1 \leq e(S) \cdot |\{g \in S \mid g \prec F(S)_{\prec}\}|$$

Extended Wilf's conjecture

Theorem (– , Goel, Sengupta)

Let S be a \mathcal{C} -semigroup with full cone. If S is \prec -symmetric or \prec -pseudo-symmetric semigroup, then extended Wilf's conjecture holds.

- \mathcal{C} -semigroups with full cone have been studied in the literature as generalized numerical semigroups. A generalized version of Wilf's conjecture has also been studied with this terminology, and the generalized Wilf's conjecture for generalized numerical semigroups implies the extended Wilf's conjecture for \mathcal{C} -semigroups with full cone (see Cisto et el., 2020).

References

1. Bhardwaj, O. P., Goel, K., and Sengupta, I.: Affine semigroups of maximal projective dimension. *Collect. Math.*, 2022.
2. Bhardwaj, O. P., Sengupta, I.: Affine semigroups of maximal projective dimension-II. *arXiv:2304.14806*, 2023.
3. Cisto, C., DiPasquale, M., Flores, Z., Failla, G., Peterson, C., and Utano, R.: A generalization of Wilf's conjecture for generalized numerical semigroups. *Semigroup Forum*, 101(2):303–325, 2020.
4. Garcia-Garcia, J. I., Ojeda, I., Rosales, J. C., and Vigneron-Tenorio, A.: On pseudo-Frobenius elements of submonoids of \mathbb{N}^d . *Collect. Math.*, 71(1):189–204, 2020.
5. Garcia-Garcia, J. I., Marin-Aragon, D., and Vigneron-Tenorio, A.: An extension of Wilf's conjecture to affine semigroups. *Semigroup Forum*, 96(2), 396–408, 2018.

References

6. Gimenez, P., and Srinivasan, H.: The structure of the minimal free resolution of semigroup rings obtained by gluing. *J. Pure Appl. Algebra*, 223(4):1411–1426, 2019.
7. Jafari, R., Yaghmaei, M.: Type and conductor of simplicial affine semigroups. *J. Pure Appl. Algebra*, 226(3), 106844 (2022).
8. Wilf, H.S.: A circle-of-lights algorithm for the “money-changing problem”. *Am. Math. Monthly* 85(7), 562–565, 1978.

Thank you for your attention!