

# Polynomial Functions of the Ring of Dual Numbers Modulo $m$

Amr Al-Maktry<sup>1</sup>   Hasan Al-Ezeh<sup>2</sup>   Sophie Frisch<sup>1</sup>

<sup>1</sup>TU Graz University

<sup>2</sup>Jordan University

Conference on Rings and Factorizations, 2018

# Outline

- 1 Background
- 2 Dual Numbers
- 3 Null polynomials over  $\mathbb{Z}_m[\alpha]$
- 4 Polynomial Functions over  $\mathbb{Z}_m[\alpha]$
- 5 Counting Formulas
- 6 Some Generalizations

# Background

Let  $R$  be a finite commutative ring with unity.

# Background

Let  $R$  be a finite commutative ring with unity.

- A function  $F : R \longrightarrow R$  is said to be a polynomial function over  $R$  if there exists a polynomial  $f(x) \in R[x]$  such that  $f(a) = F(a)$  for every  $a \in R$ . In this case we say that  $F$  is the induced function of  $f(x)$  over  $R$  and  $f(x)$  represents (induces)  $F$ .

# Background

Let  $R$  be a finite commutative ring with unity.

- A function  $F : R \longrightarrow R$  is said to be a polynomial function over  $R$  if there exists a polynomial  $f(x) \in R[x]$  such that  $f(a) = F(a)$  for every  $a \in R$ . In this case we say that  $F$  is the induced function of  $f(x)$  over  $R$  and  $f(x)$  represents (induces)  $F$ .
- If  $F$  is a bijection then  $F$  is called a permutation polynomial.

# Background

Let  $R$  be a finite commutative ring with unity.

- A function  $F : R \longrightarrow R$  is said to be a polynomial function over  $R$  if there exists a polynomial  $f(x) \in R[x]$  such that  $f(a) = F(a)$  for every  $a \in R$ . In this case we say that  $F$  is the induced function of  $f(x)$  over  $R$  and  $f(x)$  represents (induces)  $F$ .
- If  $F$  is a bijection then  $F$  is called a permutation polynomial.
- Let  $f(x) \in R[x]$  such that  $f(a) = 0$  for every  $a \in R$ .  $f(x)$  is called null polynomial over  $R$ .

# Background

Let  $R$  be a finite commutative ring with unity.

- A function  $F : R \longrightarrow R$  is said to be a polynomial function over  $R$  if there exists a polynomial  $f(x) \in R[x]$  such that  $f(a) = F(a)$  for every  $a \in R$ . In this case we say that  $F$  is the induced function of  $f(x)$  over  $R$  and  $f(x)$  represents (induces)  $F$ .
- If  $F$  is a bijection then  $F$  is called a permutation polynomial.
- Let  $f(x) \in R[x]$  such that  $f(a) = 0$  for every  $a \in R$ .  $f(x)$  is called null polynomial over  $R$ .

# Background

Let  $R$  be a finite commutative ring with unity.

- A function  $F : R \longrightarrow R$  is said to be a polynomial function over  $R$  if there exists a polynomial  $f(x) \in R[x]$  such that  $f(a) = F(a)$  for every  $a \in R$ . In this case we say that  $F$  is the induced function of  $f(x)$  over  $R$  and  $f(x)$  represents (induces)  $F$ .
- If  $F$  is a bijection then  $F$  is called a permutation polynomial.
- Let  $f(x) \in R[x]$  such that  $f(a) = 0$  for every  $a \in R$ .  $f(x)$  is called null polynomial over  $R$ . In particular if  $R = \mathbb{Z}_m$ ,  $f(x)$  called null polynomial (*mod m*).

# Background

Throughout:

# Background

Throughout:

- $\mathcal{F}(R)$  denote the set of polynomial functions over  $R$ .

# Background

Throughout:

- $\mathcal{F}(R)$  denote the set of polynomial functions over  $R$ .
- $\mathcal{P}(R)$  denote the set of permutation polynomials over  $R$ .

# Background

Throughout:

- $\mathcal{F}(R)$  denote the set of polynomial functions over  $R$ .
- $\mathcal{P}(R)$  denote the set of permutation polynomials over  $R$ .
- $\mu(m)$  denote the Kempner's function, the smallest positive integer such that  $m$  divides  $\mu(m)!$ .

# Background

Throughout:

- $\mathcal{F}(R)$  denote the set of polynomial functions over  $R$ .
- $\mathcal{P}(R)$  denote the set of permutation polynomials over  $R$ .
- $\mu(m)$  denote the Kempner's function, the smallest positive integer such that  $m$  divides  $\mu(m)!$ .
- $f'(x)$  denote the formal derivative of  $f(x)$ .

# Dual Numbers

When  $R$  is a commutative ring, then  $R[\alpha]$  designates the result of adjoint  $\alpha$  to  $R$  with  $\alpha^2 = 0$ ; that is,  $R[\alpha]$  is  $R[x]/(x^2)$ , where  $\alpha$  denote  $x + (x^2)$ .

# Dual Numbers

When  $R$  is a commutative ring, then  $R[\alpha]$  designates the result of adjoint  $\alpha$  to  $R$  with  $\alpha^2 = 0$ ; that is,  $R[\alpha] \cong R[x]/(x^2)$ , where  $\alpha$  denote  $x + (x^2)$ . Simply  $R[\alpha] = \{a + b\alpha : a, b \in R\}$

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have
  - $(a + b\alpha)(a' + b'\alpha) = aa' + (ab' + a'b)\alpha$

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have

- $(a + b\alpha)(a' + b'\alpha) = aa' + (ab' + a'b)\alpha$
- $(a + b\alpha)$  is a unit in  $R[\alpha]$  iff  $a$  is a unit in  $R$ .

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have

- $(a + b\alpha)(a' + b'\alpha) = aa' + (ab' + a'b)\alpha$
- $(a + b\alpha)$  is a unit in  $R[\alpha]$  iff  $a$  is a unit in  $R$ .
- $f(a + b\alpha) = f(a) + bf'(a)\alpha$  for every  $f(x) \in R[x]$

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have
  - $(a + b\alpha)(a' + b'\alpha) = aa' + (ab' + a'b)\alpha$
  - $(a + b\alpha)$  is a unit in  $R[\alpha]$  iff  $a$  is a unit in  $R$ .
  - $f(a + b\alpha) = f(a) + bf'(a)\alpha$  for every  $f(x) \in R[x]$
- ②  $R[\alpha]$  is a local ring iff  $R$  is a local ring.

## Fact

Let  $R$  be a commutative ring, then

- ① For  $a, a', b, b' \in R$ . We have
  - $(a + b\alpha)(a' + b'\alpha) = aa' + (ab' + a'b)\alpha$
  - $(a + b\alpha)$  is a unit in  $R[\alpha]$  iff  $a$  is a unit in  $R$ .
  - $f(a + b\alpha) = f(a) + bf'(a)\alpha$  for every  $f(x) \in R[x]$
- ②  $R[\alpha]$  is a local ring iff  $R$  is a local ring.
- ③ If  $R$  is a local ring with a maximal ideal  $\mathfrak{m}$  has nilpotency  $n$ . then  $R[\alpha]$  is a local ring whose maximal ideal  $\mathfrak{m} + \alpha R$  has nilpotency  $n + 1$

# Dual Numbers

## Definition (Frisch (1999))

Let  $R$  be a finite commutative local ring with a maximal ideal  $\mathfrak{m}$  whose nilpotency  $K \in \mathbb{N}$ . We call  $R$  *suitable*, if for all  $a, b \in R$  and all  $l \in \mathbb{N}$ ,  $ab \in \mathfrak{m}^l \Rightarrow a \in \mathfrak{m}^i$  and  $b \in \mathfrak{m}^j$  with  $i + j \geq \min(K, l)$ .

# Dual Numbers

## Definition (Frisch (1999))

Let  $R$  be a finite commutative local ring with a maximal ideal  $\mathfrak{m}$  whose nilpotency  $K \in \mathbb{N}$ . We call  $R$  *suitable*, if for all  $a, b \in R$  and all  $l \in \mathbb{N}$ ,  $ab \in \mathfrak{m}^l \Rightarrow a \in \mathfrak{m}^i$  and  $b \in \mathfrak{m}^j$  with  $i + j \geq \min(K, l)$ .

## Proposition

Let  $R$  be a finite commutative local ring. Then  $R[\alpha]$  is suitable iff  $R$  is a field.

# Dual Numbers

## Definition (Frisch (1999))

Let  $R$  be a finite commutative local ring with a maximal ideal  $\mathfrak{m}$  whose nilpotency  $K \in \mathbb{N}$ . We call  $R$  *suitable*, if for all  $a, b \in R$  and all  $l \in \mathbb{N}$ ,  $ab \in \mathfrak{m}^l \Rightarrow a \in \mathfrak{m}^i$  and  $b \in \mathfrak{m}^j$  with  $i + j \geq \min(K, l)$ .

## Proposition

Let  $R$  be a finite commutative local ring. Then  $R[\alpha]$  is suitable iff  $R$  is a field. In particular  $\mathbb{Z}_{p^n}[\alpha]$  is suitable iff  $n = 1$ .

# Null polynomials over $\mathbb{Z}_m[\alpha]$

## Proposition

Suppose that  $f(x) = f_1(x) + f_2(x)\alpha$ , where  $f_1(x), f_2(x) \in \mathbb{Z}[x]$ . Then  $f(x)$  is a null polynomial over  $\mathbb{Z}_m[\alpha]$  iff  $f_1(x)$ ,  $f'_1(x)$  and  $f_2(x)$  are null polynomials modulo  $m$ .

# Null polynomials over $\mathbb{Z}_m[\alpha]$

## Proposition

Suppose that  $f(x) = f_1(x) + f_2(x)\alpha$ , where  $f_1(x), f_2(x) \in \mathbb{Z}[x]$ . Then  $f(x)$  is a null polynomial over  $\mathbb{Z}_m[\alpha]$  iff  $f_1(x)$ ,  $f'_1(x)$  and  $f_2(x)$  are null polynomials modulo  $m$ .

## Corollary

$f(x) = (x)_{2\mu(m)} = \prod_{j=0}^{2\mu(m)-1} (x - j)$  is a null polynomial over  $\mathbb{Z}_m[\alpha]$ .

# Null polynomials over $\mathbb{Z}_m[\alpha]$

## Theorem

Let  $n \leq p$ . For  $f(x) = \sum_{k=0}^m (f_k(x)(x^p - x)^k) \in \mathbb{Z}[x]$ ,  
 $f_k(x) = \sum_{j=0}^{p-1} a_{jk} x^j$ . Then  $f(x), f'(x)$  are null polynomials modulo  $p^n$  iff

$$a_{j0} \equiv 0 \pmod{p^n},$$

$$a_{jk} \equiv 0 \pmod{p^{n-k+1}} \text{ if } 1 \leq k < n,$$

$$a_{jn} \equiv \begin{cases} 0 \pmod{p} & \text{if } n < p, \\ 0 \pmod{p^0} & \text{if } n = p, \end{cases}$$

$$a_{jk} \equiv 0 \pmod{p^0} \text{ if } k > n. \text{ For } 0 \leq j \leq p-1.$$

# Null polynomials over $\mathbb{Z}_m[\alpha]$

## Corollary

Let  $n \leq p$  and  $f(x) \in \mathbb{Z}[x]$  such that  $f(x), f'(x)$  are null polynomials  $(\bmod p^n)$  with  $\deg f \leq (n+1)p - 1$  with coefficient reduced  $(\bmod p^n)$ . Let  $N$  denote the number of all polynomials  $f(x)$ .

$$\text{Then } N = \begin{cases} p^{\frac{n(n-1)p}{2}} & \text{if } n < p, \\ p^{\frac{(p^2-p+2)p}{2}} & \text{if } n = p. \end{cases}$$

# Polynomial Functions over $\mathbb{Z}_m[\alpha]$

## Theorem

Let  $F : \mathbb{Z}_m[\alpha] \longrightarrow \mathbb{Z}_m[\alpha]$  defined by  $F(i + j\alpha) = c_i + d_{(i,j)}\alpha$ , where  $c_i, d_{(i,j)} \in \mathbb{Z}_m$  for  $i, j = 0, 1, \dots, m - 1$ . TFAE:

- $F$  is a polynomial function over  $\mathbb{Z}_m[\alpha]$ .
- $F$  induced by  $f(x) = \sum_{k=0}^{2\mu-1} a_k x^k + \sum_{l=0}^{\mu-1} b_l x^l \alpha$ .

- The system of linear congruences,

$$\left\{ \sum_{k=0}^{2\mu-1} i^k x_k \equiv c_i \right.$$

$$\left. \sum_{k=0}^{2\mu-1} k i^{k-1} j x_k + \sum_{l=0}^{\mu-1} i^l y_l \equiv d_{(i,j)} \pmod{m} \right)$$

$i, j = 0, 1, \dots, m - 1$ , has a solution  $x_k = a_k$ ,  $y_l = b_l$  for  $k = 0, 1, \dots, 2\mu - 1$ ,  $l = 0, 1, \dots, \mu - 1$ .

# Polynomial Functions over $\mathbb{Z}_m[\alpha]$

## Theorem

Let  $f(x) = f_1(x) + f_2(x)\alpha$ , where  $f_1(x), f_2(x) \in \mathbb{Z}[x]$ . Then  $f(x)$  is a permutation polynomial over  $\mathbb{Z}_{p^n}[\alpha]$  iff  $f_1(x)$  is a permutation polynomial (mod  $p$ ) and  $f'_1(a) \not\equiv 0$  for every  $a \in \mathbb{Z}_p$ .

# Polynomial Functions over $\mathbb{Z}_m[\alpha]$

Let  $Stab_\alpha(\mathbb{Z}_m) = \{F \in \mathcal{P}(\mathbb{Z}_m[\alpha]) : F(a) = a \text{ for every } a \in \mathbb{Z}_m\}$ .

# Polynomial Functions over $\mathbb{Z}_m[\alpha]$

Let  $Stab_\alpha(\mathbb{Z}_m) = \{F \in \mathcal{P}(\mathbb{Z}_m[\alpha]) : F(a) = a \text{ for every } a \in \mathbb{Z}_m\}$ .

## Proposition

Let  $m = p_1^{n_1} \dots p_k^{n_k}$  where  $p_1, \dots, p_k$  are distinct primes and suppose that  $n_j > 1$  for  $j = 1, \dots, k$ . Then  $Stab_\alpha(\mathbb{Z}_m) = \{F \in \mathcal{P}(\mathbb{Z}_m[\alpha]) : F \text{ is represented by } x + h(x), h(x) \in \mathbb{Z}[x] \text{ where } h(x) \text{ is a null polynomial modulo } m\}$ .

# Counting Formulas

## Theorem

Let  $n > 1$ . The number of polynomial functions over  $\mathbb{Z}_{p^n}[\alpha]$  is given by  $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha])| = |\mathcal{F}(\mathbb{Z}_{p^n})|^2 \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .

# Counting Formulas

## Theorem

Let  $n > 1$ . The number of polynomial functions over  $\mathbb{Z}_{p^n}[\alpha]$  is given by  $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha])| = |\mathcal{F}(\mathbb{Z}_{p^n})|^2 \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .

## Theorem

Let  $n > 1$ . The number of permutation polynomials over  $\mathbb{Z}_{p^n}[\alpha]$  is given by  $|\mathcal{P}(\mathbb{Z}_{p^n}[\alpha])| = |\mathcal{F}(\mathbb{Z}_{p^n})| \times |\mathcal{P}(\mathbb{Z}_{p^n})| \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .

# Counting Formulas

## Proposition

Let  $1 < n \leq p$

$$|Stab_{\alpha}(\mathbb{Z}_{p^n})| = \begin{cases} p^{np} & \text{if } n < p, \\ p^{(p-1)p} & \text{if } n = p. \end{cases}$$

# Counting Formulas

## Theorem

For  $n \leq p$  the number of polynomial functions over  $\mathbb{Z}_{p^n}[\alpha]$  is given by

$$|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha])| = \begin{cases} p^{(n^2+2n)p} & \text{if } n < p, \\ p^{(p^2+2p-1)p} & \text{if } n = p. \end{cases}$$

# Counting Formulas

## Theorem

For  $n \leq p$  the number of polynomial functions over  $\mathbb{Z}_{p^n}[\alpha]$  is given by

$$|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha])| = \begin{cases} p^{(n^2+2n)p} & \text{if } n < p, \\ p^{(p^2+2p-1)p} & \text{if } n = p. \end{cases}$$

## Corollary

For  $n \leq p$  the number of permutation polynomials over  $\mathbb{Z}_{p^n}[\alpha]$  is given by  $|\mathcal{P}(\mathbb{Z}_{p^n}[\alpha])| = \begin{cases} p!(p-1)^p p^{(n^2+2n-2)p} & \text{if } n < p, \\ p!(p-1)^p p^{(p^2+2p-3)p} & \text{if } n = p. \end{cases}$

## Some Generalizations

### Theorem

Let  $\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k] = \{a + b_1\alpha_1 + \dots + b_k\alpha_k : \alpha_i\alpha_j = 0, a, b_i \in \mathbb{Z}_{p^n} \text{ for } i, j = 1, \dots, k\}$ .

## Some Generalizations

### Theorem

Let  $\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k] = \{a + b_1\alpha_1 + \dots + b_k\alpha_k : \alpha_i\alpha_j = 0, a, b_i \in \mathbb{Z}_{p^n} \text{ for } i, j = 1, \dots, k\}$ . Then:

- For  $n > 1$ ,  $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = |\mathcal{F}(\mathbb{Z}_{p^n})|^{k+1} \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .

## Some Generalizations

### Theorem

Let  $\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k] = \{a + b_1\alpha_1 + \dots + b_k\alpha_k : \alpha_i\alpha_j = 0, a, b_i \in \mathbb{Z}_{p^n} \text{ for } i, j = 1, \dots, k\}$ . Then:

- ① For  $n > 1$ ,  $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = |\mathcal{F}(\mathbb{Z}_{p^n})|^{k+1} \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .
- ② For  $n \leq p$ ,

$$\bullet \quad |\mathcal{F}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = \begin{cases} p^{(n^2+2n)p} p^{\frac{n(n+1)(k-1)p}{2}} & \text{if } n < p, \\ p^{(p^2+2p-1)p} p^{\frac{n(n+1)(k-1)p}{2}} & \text{if } n = p. \end{cases}$$

# Some Generalizations

## Theorem

Let  $\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k] = \{a + b_1\alpha_1 + \dots + b_k\alpha_k : \alpha_i\alpha_j = 0, a, b_i \in \mathbb{Z}_{p^n} \text{ for } i, j = 1, \dots, k\}$ . Then:

- ① For  $n > 1$ ,  $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = |\mathcal{F}(\mathbb{Z}_{p^n})|^{k+1} \times |Stab_\alpha(\mathbb{Z}_{p^n})|$ .
- ② For  $n \leq p$ ,

- $|\mathcal{F}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = \begin{cases} p^{(n^2+2n)p} p^{\frac{n(n+1)(k-1)p}{2}} & \text{if } n < p, \\ p^{(p^2+2p-1)p} p^{\frac{n(n+1)(k-1)p}{2}} & \text{if } n = p. \end{cases}$
- $|\mathcal{P}(\mathbb{Z}_{p^n}[\alpha_1, \dots, \alpha_k])| = \begin{cases} p!(p-1)^p p^{(n^2+2n-2)p} p^{\frac{n(n+1)(k-1)p}{2}} & \text{if } n < p, \\ p!(p-1)^p p^{(p^2+2p-3)p} p^{\frac{p(p+1)(k-1)p}{2}} & \text{if } n = p. \end{cases}$

## References

- Chen, Z. (1995). On polynomial functions from  $Z_n$  to  $Z_m$ . *Discrete Math.*, 137(1-3):137–145.
- Frisch, S. (1999). Polynomial functions on finite commutative rings. In *Advances in commutative ring theory (Fez, 1997)*, volume 205 of *Lecture Notes in Pure and Appl. Math.*, pages 323–336. Dekker, New York.
- Frisch, S. and Krenn, D. (2013). Sylow  $p$ -groups of polynomial permutations on the integers mod  $p^n$ . *J. Number Theory*, 133(12):4188–4199.
- Kempner, A. J. (1918). Miscellanea. *Amer. Math. Monthly*, 25(5):201–210.
- Kempner, A. J. (1921). Polynomials and their residue systems. *Trans. Amer. Math. Soc.*, 22(2):240–266, 267–288.