

# Semantics and pragmatics of numerical approximation expressions

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# Introduction: why use *around*?

## Numerical approximation?

Approximation expressions: *around n*, *almost n*, *between n and m*...

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- 2 puts focus on one number, without specifying the boundaries (**vagueness**). Either the speaker is not sure, or he knows the age but is too "lazy" to give the exact number.
- hypothesis: *around* gives "something more" about one's epistemic state and one's priors (Égré and Verheyen 2018).

# Table of contents

- 1 Key parameters of *around*
  - Order of magnitude
  - Granularity
- 2 Two Bayesian models
  - RSA model
  - Probabilistic intervals
- 3 Experiments
  - Items
  - Metric
  - Design
- 4 Results
  - Pre-registered
  - Exploratory analyses
- 5 Conclusion
- 6 Appendices

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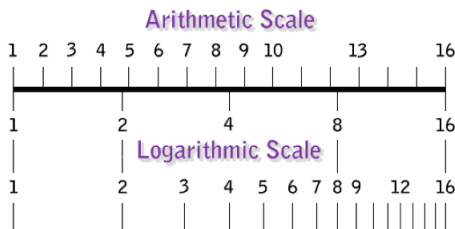
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- 5 He bought this sandwich for about 4€  $\rightsquigarrow [3.5; 4.5]$
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## Order of magnitude (Égré and Verheyen 2018)

Introspective judgments:

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## Intuition (Dehaene 2003)

- on a **log scale**, perceived spacing between consecutive numbers is “distorted”;
- bigger numbers means smaller spacing;
- so, a fixed-size interval contains more big numbers than small numbers



# Two Bayesian models

## Plan

- a model inspired by the Rational Speech Acts framework accounting for granularity effect;
- a model based on probabilistic intervals allowing for speaker uncertainty.

# A Rational Speech Acts (RSA) model

Principle (Lassiter and N. D. Goodman 2013; Bergen, Levy, and N. Goodman 2016)

- one set of **utterances** (each with a cost and possible meanings), one set of **observations** (numbers), one set of interval **thresholds** (numbers);

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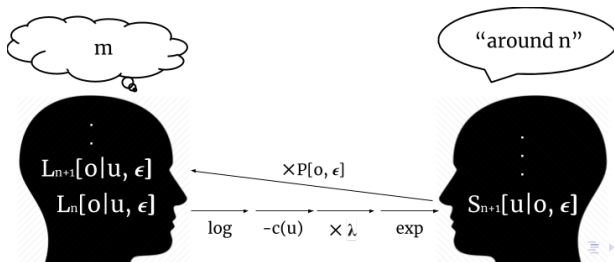
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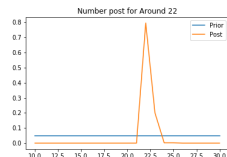
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- mutually recursive Bayesian updates (“I know that you know that I know...”);
- optimality = tradeoff between **cost** and **informativity**.





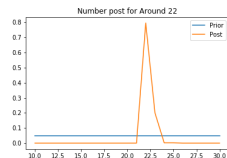


## The trick



- granularity encoded in the **cost function**!
- c/i tradeoff ensures that the probability drops at the level of next coarser number (more optimal, and yet not used).

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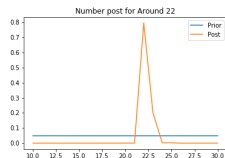
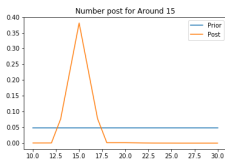
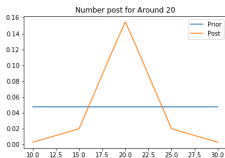


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## Caveats

- does not come for free...  
“good” granularity function?

# RSA and granularity



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“good” granularity function?
- RSA assumes that the speaker knows the exact number... not very realistic!

# Probabilistic intervals (Égré and Verheyen 2018)

## Principle: 2 levels of uncertainty

- when the speaker utters “around  $n$ ”, he thinks of a certain interval among a **set of possible intervals** (e.g. intervals centered around  $n$ );

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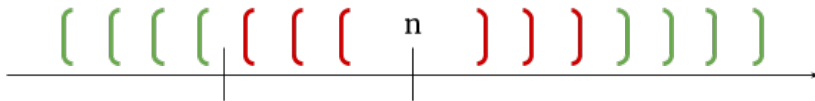
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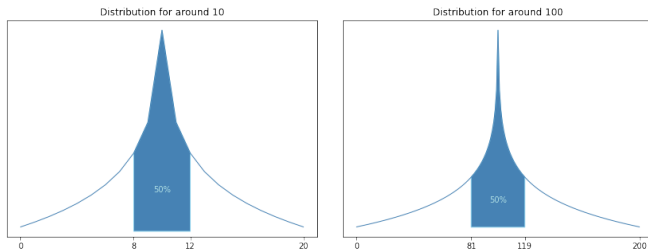
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- according to the listener, **each possible interval has a certain probability** (e.g. relatively narrow intervals might be more probable);
- and within a fixed interval, the “**real**” **number** is guessed according to a certain prior (e.g. central numbers might be more probable)
- use Bayes rule!



# A simulation with uniform priors

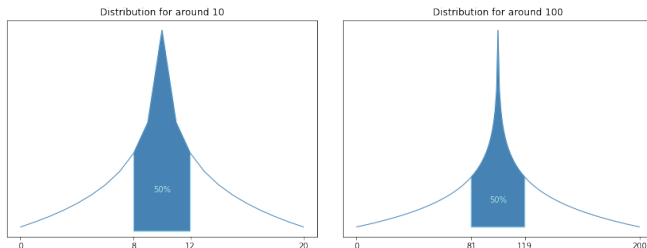


**Figure:** Curves generated using uniform distributions on intervals and numbers

## Properties

- Symmetrical, scales with magnitude, not granularity

# A simulation with uniform priors



**Figure:** Curves generated using uniform distributions on intervals and numbers

## Properties

- Symmetrical, scales with magnitude, not granularity
- **Increases the probability discrepancy between central and peripheral numbers** (posterior is more peaked than prior)... does *not* depend on priors.



# Experiments

# Goals

## What

- “(Around|between|almost...)  $n$  people came to the party”;

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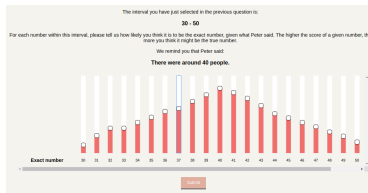
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- **prediction**: what should we measure and test?
- **design**: who sees what and when?

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- we can somewhat “force” domain equality by **dynamically determining the target *between* item**;
- but then, we cannot control for **order effects**...

Question		Answer
“Around 40?”	→	[ <b>32</b> ; <b>48</b> ]
Fillers	→	...
“Between <b>32</b> and <b>48</b> ?”	→	[32; 48]

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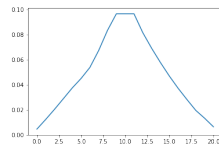
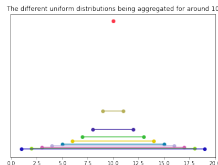
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- we should compare probabilities of numbers that are defined in both the *between* and *around* distributions at stake;
- we should average multiple ratios to increase robustness.

# Design

## Matched vs unmatched

- **matched-pairs**: comparing distributions defined on very similar domains becomes easier;
- **unmatched groups**: using averaged distributions may play in favor of our hypothesis for the wrong reasons
- indeed, when uniform distributions coming from different participants are “piled up”, it creates a peaked distribution!



(a) What happens in the model

(b) What could happen with the data

**Figure:** An unmatched design would emulate what happens at the individual level in the model using inter-individual data: big confusion!



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- ask 200 participants about *around*, *between* and *almost*;

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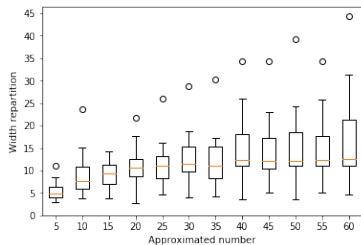
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- three possible numbers for the target trial: around 40, 50 or 60;
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- target *between* item generated dynamically after *around*.

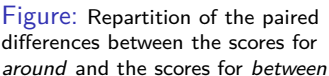
# Results



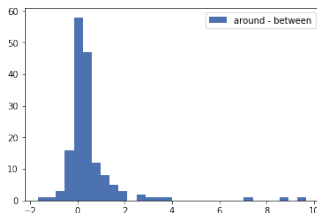
- effect of **granularity**: close numbers with different intrinsic salient granularities give rise to different intervals (**coarser = wider**);
- effect of **order of magnitude**: distant numbers with same granularity give rise to different intervals (**bigger = wider**); bigger numbers also give rise to more variable intervals.



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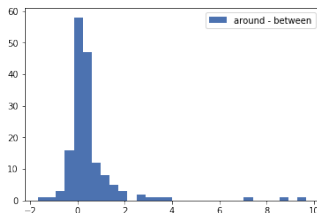


**Figure:** Repartition of the paired differences between the scores for *around* and the scores for *between*

## Hypothesis testing

- Wilcoxon signed-rank test for matched pairs
- Significant difference between the scores for *around* and the scores for *between* ( $n=162$ ,  $p=8.504 \times 10^{-13}$ , effect size=0.56)

# Main experiment



**Figure:** Repartition of the paired differences between the scores for *around* and the scores for *between*

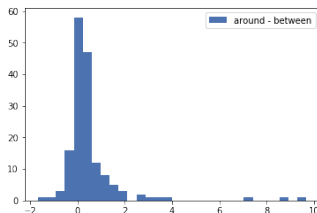
## Hypothesis testing

- Wilcoxon signed-rank test for matched pairs
- Significant difference between the scores for *around* and the scores for *between* ( $n=162$ ,  $p=8.504 \times 10^{-13}$ , effect size=0.56)

## Interpretation

- however, we cannot be sure that the effect is really due to a subjective difference between the two approximators...

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**Figure:** Repartition of the paired differences between the scores for *around* and the scores for *between*

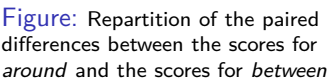
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- indeed, order effects were not controlled...

1. *Journal of Management Studies*, 1996, 33, 1, 1-14.



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- however, we cannot be sure that the effect is really due to a subjective difference between the two approximators...
- indeed, order effects were not controlled...
- and the variability of the number could have interfered.

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# Conclusion

## A mixed picture

- our results lack robustness;



# Conclusion

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- our results lack robustness;
- our custom score is difficult to interpret, because its properties are largely unknown.

# Conclusion

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- our custom score is difficult to interpret, because its properties are largely unknown.

## What's next?

- starting from the PI model, develop a more refined model dealing with *both* speaker uncertainty and granularity;

# Conclusion

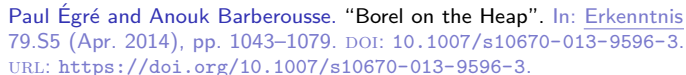
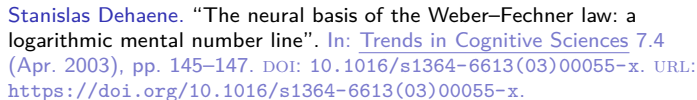
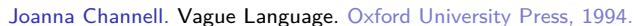
## A mixed picture

- our results lack robustness;
- our custom score is difficult to interpret, because its properties are largely unknown.

## What's next?

- starting from the PI model, develop a more refined model dealing with *both* speaker uncertainty and granularity;
- better control for order effects, which leads to go back to a static design and use a more appropriate model (mixed model).

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# Selected references II



Paul Égré. “Vague judgment: a probabilistic account”. In: [Synthese](#) 194.10 (May 2016), pp. 3837–3865. DOI: 10.1007/s11229-016-1092-2. URL: <https://doi.org/10.1007/s11229-016-1092-2>.



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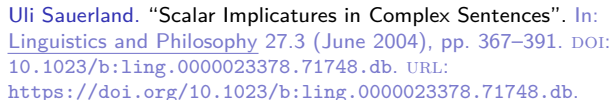
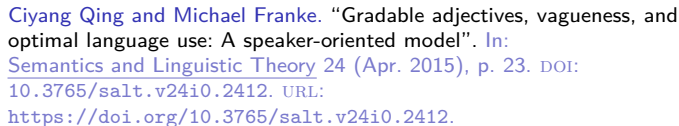
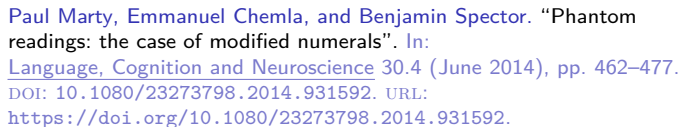
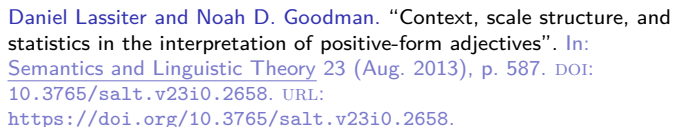


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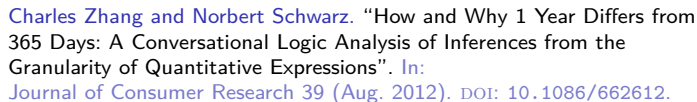
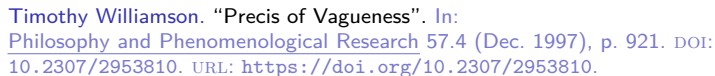
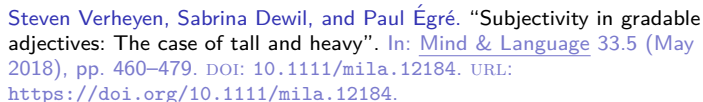
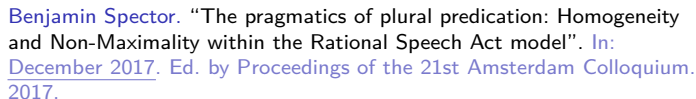


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# Additional data (many thanks to Steven Verheyen)

About intervals and social constraints:

- 1 – I ate around 10 cookies... –Liar, you ate 12 of them!!
- 2 – I ate around 10 cookies... –\*Liar, you ate 8 of them!!
- 3 – I helped around 10 children –\*Liar, you helped 12 of them!!
- 4 – I helped around 10 children –Liar, you helped 8 of them!!

## Role of valence

- negative valence: *around* closer to an upper bound (honesty)
- positive valence: *around* closer to a lower bound (humility)



# Proof of $W \propto n$ on log scale

Suppose we want a interval with a fixed size  $W$  around  $n$ , *on a log scale*. For the size  $W$  to remain fixed, what should be the value of the semi-width  $\epsilon$ , depending on  $n$ , on a liner scale?

$$\text{Size}([n - \epsilon; n + \epsilon]) = W \iff \log\left(\frac{n + \epsilon}{n - \epsilon}\right) \propto W$$

$$\iff \frac{n + \epsilon}{n - \epsilon} \propto \exp(W)$$

$$\iff n + \epsilon \propto \exp(W)(n - \epsilon)$$

$$\iff \epsilon(1 + \exp(W)) \propto n(\exp(W) - 1)$$

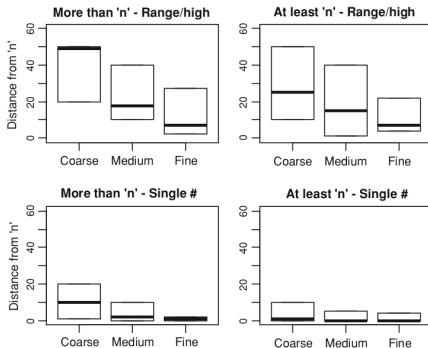
$$\iff \epsilon \propto \frac{n(\exp(W) - 1)}{1 + \exp(W)}$$

$$\iff \epsilon \propto n$$

# Granularity effects on quantified numerals (Cummins, Sauerland, and Solt 2012)

## Study

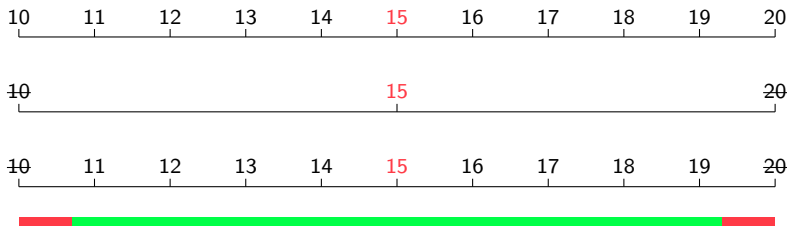
- tested *more than n* and *at least n*;
- pointwise estimate or intervals;
- with coarser granularities, average distance to  $n$  becomes larger;
- effect disappears when number is primed.



# Granularity as exhaustification

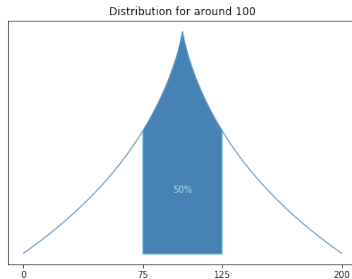
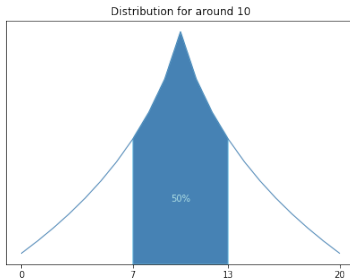
## Why granularity *implicatures*?

- granularity conveys a salient scale, potentially different from unit-induced scale: 2-by-2, 5-by-5, 10-by-10 etc.
- a granularity implicature is an exhaustification process pretty much alike “*exac/ty*”-implicatures with bare numerals...
- ... but on the salient scale! (plus convexity assumption)



**Figure:** Granularity implicature for *around 15*: exhaustification on a 5-by-5 scale, then back to 1-by-1 scale (scale assumed for the given unit)

# Probabilistic unconstrained intervals



## Properties

- intervals containing  $n$ , but not necessarily centered around  $n$ ;
- scales with magnitude;
- less peaked.

# RSA formulae

$$\mathbb{L}_0[k|u, \epsilon] \propto \mathbb{1}_{\{k \star \epsilon\}} \mathbb{L}_0[k] \quad [\text{base case}]$$

$$\mathbb{S}_1[u|k, \epsilon] \propto \exp(\lambda(\log(\mathbb{L}_0[k|u, \epsilon]) - c(u))) \quad [\text{inductive case}]$$

$$\mathbb{L}_1[k, \epsilon|u] \propto \mathbb{S}_N[u|k, \epsilon] \mathbb{L}_0[k, \epsilon] \quad [\text{inductive case}]$$

$$\mathbb{L}_1[k|u] \propto \sum_{\epsilon} \mathbb{L}_1[k, \epsilon|u] \mathbb{L}_0[\epsilon] \quad [\text{"Post on } k"]$$

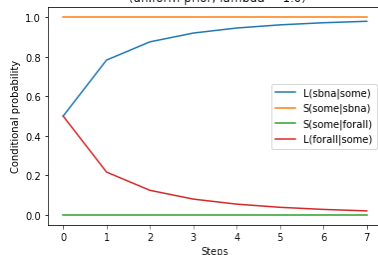
$$\mathbb{L}_1[\epsilon|u] \propto \sum_k \mathbb{L}_1[k, \epsilon|u] \mathbb{L}_0[k] \quad [\text{"Post on } \epsilon"]$$

## Explanations

- first step: for fixed  $u$  (e.g. “around  $x$ ”) and  $\epsilon$ , just keep the observations that are in  $[n-\epsilon; n+\epsilon]$ ; all the other have 0 probability.
- speaker step: the softmax allows to pick some non optimal possibilities with a non-zero (but very small) probability

# RSA with quantifiers (replication)

Evolution of conditional probabilities for speaker and listener regarding the utterance of “some” and its expected meanings (uniform prior,  $\lambda = 1.0$ )



## Some vs all

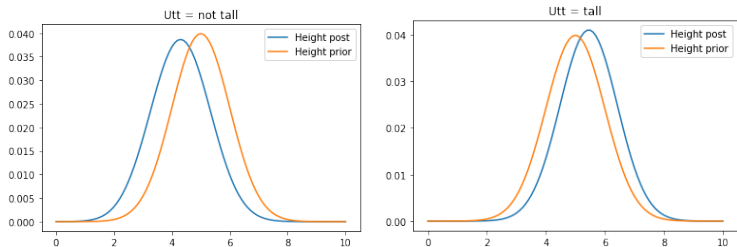
- at the beginning, “some” can mean all ( $\forall$ ) are some but not all ( $\exists \neg \forall$ ), and “all” definitely means  $\forall$ .
- this asymmetry causes the meaning of “some” to converge to  $\exists \neg \forall$  after a few iterations.

## Caveats

- Sensitive to parameter  $\lambda$ !
- $\lambda$  is the temperature, higher  $\lambda$  means faster convergence but possibly to a “wrong” optimum.



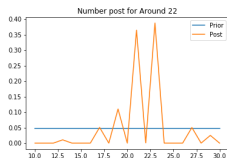
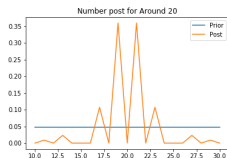
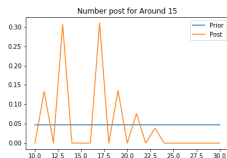
# RSA with gradable adjectives (replication)



## Properties

- A negative utterance ("not tall"), shifts the height prior to the **left**: the listener expects the person to be **smaller**;
- A positive utterance ("tall"), shifts the height prior to the **right**: the listener expects the person to be **taller**;
- "Not tall" has a bigger effect on the prior, because it is more costly. If it has been uttered, then the person is *really* small

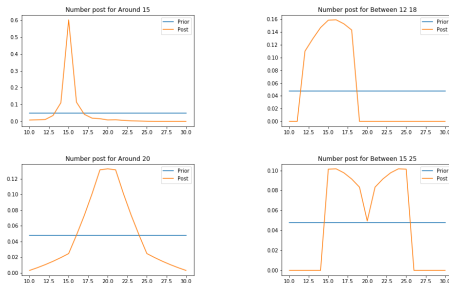
# RSA with competing *around* and *exactly*



## Observations

- “simple” numbers are strongly dispreferred in the interpretation of *around*  $n$ , even though they are close to  $n$ , or even equal to  $n$ ;
- indeed, saying an exact version of these numbers appears as strictly optimal, but this has not been done!

# RSA with competing *around* and *between*

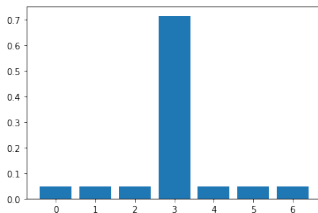


**Figure:** Prior and posterior distributions for the actual number  $k$ , for different target numbers (15, 20) and approximators (*around*, *between*)

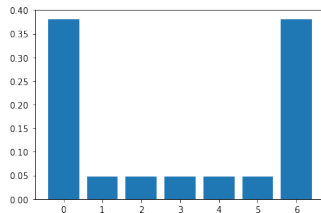
## Observations

- *around* is very peaked as in other simulations;
- *between* is “anti-peaked”: if the bounds are as they are, their probability *must* be high enough (otherwise, narrower bounds would have been more optimal).

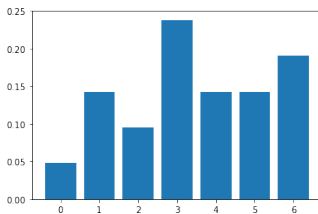
# Arguments for a robust score (not a just one ratio)



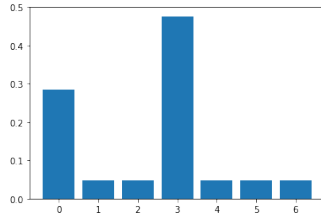
(a) True positive,  $s = 15.0$



(b) True negative,  $s = 0.125$



(c) False positive,  $s = 5.0$



(d) False negative,  $s = 1.67$

# PI formula

## Bayesian reasoning

- we assume that the speaker chooses an interval in the set of all-positive intervals centered around  $n$ ;
- for all  $i \in [0; n]$ , we call  $\mathcal{A}_i^n$  the event “speaker chooses interval  $[n - i; n + i]$ ”;
- this constitutes a partition of the possible events;
- if we consider event  $\mathcal{A}_i^n$ , the probability that the speaker chooses number  $k$  given  $\mathcal{A}_i^n$  is  $\mathcal{P}[k|\mathcal{A}_i^n]$ .
- note that if  $k < n - i$  or  $k > n + i$ , i.e.  $i < |n - k|$ ,  $\mathcal{P}[k|\mathcal{A}_i^n] = 0$

$$\text{Bayes: } \mathbb{P}[k] = \sum_{i=0}^n \mathcal{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n] = \sum_{i=0}^{|n-k|-1} \mathcal{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n] + \sum_{i=|n-k|}^n \mathcal{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]$$

# Ratio inequality

We assume that  $|n - k| < |n - k'|$ , i.e.  $k$  closer to  $n$  than  $k'$ .

$$\begin{aligned}
 \frac{\mathbb{P}[k|'\text{around } n']}{\mathbb{P}[k'|'\text{around } n']} &= \frac{\sum_{i=|n-k|}^n \mathbb{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]}{\sum_{i=|n-k'|}^n \mathbb{P}[k'|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]} \\
 &= \frac{\sum_{i=|n-k|}^{|n-k'|-1} \mathbb{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n] + \sum_{i=|n-k'|}^n \mathbb{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]}{\sum_{i=|n-k'|}^n \mathbb{P}[k'|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]} \\
 &\geq \frac{\sum_{i=|n-k'|}^n \mathbb{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]}{\sum_{i=|n-k'|}^n \mathbb{P}[k'|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]} \\
 &\geq \frac{\mathbb{P}[k|\mathcal{A}_n^n] \mathbb{P}[\mathcal{A}_n^n]}{\mathbb{P}[k'|\mathcal{A}_n^n] \mathbb{P}[\mathcal{A}_n^n]} + \frac{\sum_{i=|n-k'|}^{n-1} \mathbb{P}[k|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]}{\sum_{i=|n-k'|}^{n-1} \mathbb{P}[k'|\mathcal{A}_i^n] \mathbb{P}[\mathcal{A}_i^n]} \\
 &\geq \frac{\mathbb{P}[k|\mathcal{A}_n^n] \mathbb{P}[\mathcal{A}_n^n]}{\mathbb{P}[k'|\mathcal{A}_n^n] \mathbb{P}[\mathcal{A}_n^n]} \\
 \frac{\mathbb{P}[k|'\text{around } n']}{\mathbb{P}[k'|'\text{around } n']} &\geq \frac{\mathbb{P}[k|\mathcal{A}_n^n]}{\mathbb{P}[k'|\mathcal{A}_n^n]} \\
 \text{ratio of the posteriors} &\geq \text{ratio of the priors}
 \end{aligned}$$

# Score formula

$\mathbb{A}$ ,  $\mathbb{B}$  are respectively the *around* and the *between* distributions.  $E$  is the set of salient numbers: bounds of *between* and target value of *around*.

$$\forall x \in \text{Supp}(\mathbb{A} \cap \mathbb{B}) \quad , \quad d(x) = |n - x|$$

$$E = \{n, \min(\text{Dom}(\mathbb{B})), \max(\text{Dom}(\mathbb{B}))\}$$

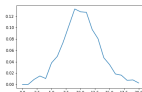
$$F = \{(x, y) \in \text{Supp}(\mathbb{A} \cap \mathbb{B}) \mid d(x) < d(y) \wedge x, y \notin E\}$$

$$s(\mathbb{P}) = \frac{1}{|F|} \sum_{(x,y) \in F} \frac{\mathbb{P}(x)}{\mathbb{P}(y)}$$

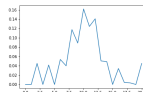
# Benchmark of the scores (1)

## Goal

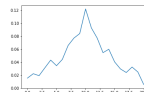
- compare different versions of our score with more standard metrics (mass ratio, kurtosis);
- use artificial distributions (uniform, Gaussian, Laplace with different std), more or less noisy (4 levels);
- compare how the different metrics sort the distributions.



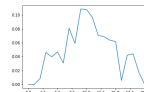
(a) Gaussian,  
spread=0.3,  
noise=0.01



(b) Gaussian,  
spread=0.3,  
noise=0.05



(c) Laplace,  
spread=0.3,  
noise=0.01



(d) Laplace,  
spread=0.3,  
noise=0.05

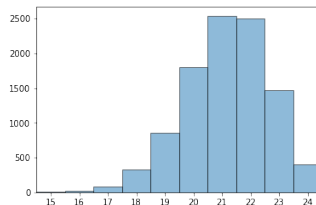
**Figure:** Example of noisy distributions generated for benchmarking the peakedness scores



## Benchmark of the scores (2)

Score	Transpositions
Simple ratio	17
Averaged ratio	16
Mass ratio	15
Kurtosis	14

**Table:** Number of transpositions needed to change the ordering induced by a given score into the “gold-standard” ordering.



**Figure:** Distribution of the number of transposition needed to transform a random permutation of size 25 into the identity permutation (10,000 trials)

### Alternative

- number of transpositions gives the same importance to “big” swaps and to “small” swaps;
- by using the sum of the distances between each item and its position in the “gold standard” ordering, we take these effects into account...

# Designs (pilots)

Block	Numbers	Conveyed g	Critical trials	Control trials	Total
Block 1	{20, 40, 60}	20	3	3	6
Block 2	{20, 40, 60}	20	6	3	3
Block 3	+{10, 30, 50}	10	9	9	18
Block 4	+{10, 30, 50}	10	9	9	18
Block 5	+{5, 15, 25, 35, 45, 55}	5	24	24	48
Block 6	+{5, 15, 25, 35, 45, 55}	5	24	24	48
Block 7	{(2, 60), (40, 60), (15, 25)}	—	0	3	3
		Total	72	72	147

Table: Pilot 1

Approximator	Number	Lower	Upper bound
almost	20	NA	NA
at least	110	NA	NA
around	target	NA	NA
less than	15	NA	NA
between	NA	0	20
at most and at least	NA	80	100
around	70	NA	NA
between	NA	target	target

Table: Pilot 2

Approximator	Number	Lower	Upper	Roundness
between	NA	86	93	non round
around	70	NA	NA	round
almost	25	NA	NA	round
around	target	NA	NA	round
almost	90	NA	NA	round
between	NA	13	24	non round
almost	60	NA	NA	round
around	20	NA	NA	round
between	NA	target	target	?

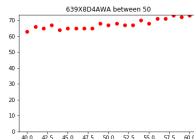
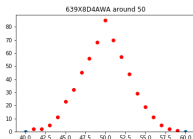
Table: Pilots 3 and 4

# Design (main experiment)

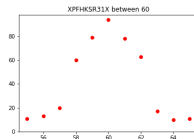
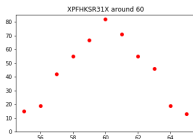
Approximator	Number	Lower	Upper	Roundness	Position
between	NA	80	90	round	randomized
between	NA	13	24	non round	randomized
around	70	NA	NA	round	randomized
around	36	NA	NA	non round	randomized
almost	60	NA	NA	round	randomized
almost	24	NA	NA	non round	randomized
around	target	NA	NA	round	4
between	NA	target	target	?	8

Table: Main experiment

# Some participants' densities



(a) Participant with biggest score difference  
( $s(\text{around}) - s(\text{between})$ )



(b) Participant with smallest score  
difference ( $s(\text{around}) - s(\text{between})$ )

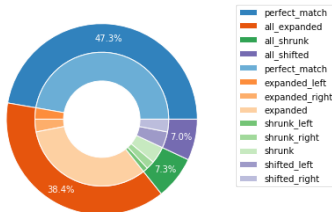
## Observation

- the biggest bias toward a peaked *around* appears bigger than the biggest bias toward a peaked *between*...
- if not all the participants comply to our model, at least they do not exactly follow the inverse tendency!

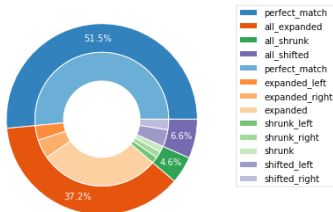


# Study of *between*-intervals (2)

Answers to "between" items



Answers to target "between" items



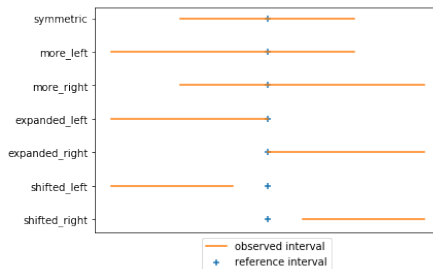
## Observations

- perfect match in half of the cases;
- the predominant type of "error" is interval expansion, and especially cases of strict expansion (both sides);
- "phantom readings" ("at least(between(n, m))", Marty, Chemla, and Spector 2014) are not prominent in our case...normal given syntactic structure!

## Study of *around*-intervals (1)

## Goals

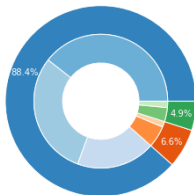
- evaluate the performance of the participants for the *around*-trials (interval task);
- study the different kinds of “errors” related to the *around*-intervals;
- see whether there is a rationale behind these “errors”



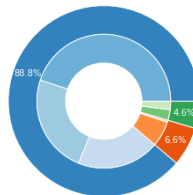
	Target	All
# of trials	196	588
# of non-overlapping	9	29
% of non-overlapping	4.59 %	4.93 %

## Study of *around*-intervals (2)

### Answers to "around" items



### Answers to target "around" items



## Observations

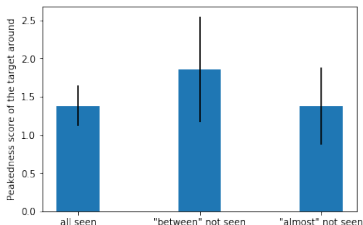
- $n$  “strictly” contained in the interval (not a bound) in almost 90% of the cases;
- bias toward left: cannot be explained by log scale...
- granularity? Not only because targets are skewed;u
- no “phantom readings”.



# Exploratory analysis – Effect of available alternatives

## Available alternatives and order effects

- some participants may face the first target item (*around*) having seen all kinds of approximators (*around*, *between* and *almost*), or a strict subset of them;
- given what they know about the possible alternatives, they would want to give contrastive distributions;



## Test

- we compared the peakedness of target *around* items depending on the alternatives already presented (MW+Bonferroni)
- no huge difference.