Morpho-semantics of the French diminutive suffix $-et(te)^1$

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¹I want to thank Donca Steriade for having the brilliant idea to teach the basics of French diminutive formation in the Ling101 class I was TAing last semester. This is what made me wonder about cases of gender mismatch in that domain.

 French assigns grammatical gender (Masculine or Feminine) to nominals and is endowed with a quite productive "diminutive" suffix -et/-ette.

```
 \begin{array}{ccc} \text{(1)} & \text{a.} & \text{maison}_F \rightarrow (\text{maisonn-ette})_F \\ & \text{`house'} & \rightarrow \text{`small (cute) house'} \end{array}
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b. balcon<sub>M</sub> \rightarrow (balconn-et)<sub>M</sub> 'balcony' \rightarrow 'small (cute) balcony'
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- Because M-bases are often affixed with the M-variant of the diminutive (-et) and F-bases with the F-variant (-ette), traditional grammars implicitly assumed that -et and -ette were allomorphs dependent on the gender features of the base.
- A correlate of this assumption is that -et and -ette should be linked to the exact same diminutive semantics.

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(2) \operatorname{char}_{\mathbf{M}} \longrightarrow (\operatorname{char-ette})_{\mathbf{F}} (3) a. \operatorname{boule}_{\mathbf{F}} \longrightarrow (\operatorname{boul-et})_{\mathbf{M}} 'ball' \longrightarrow 'cannonball' 'chariot' \longrightarrow 'cart' b. \operatorname{boule}_{\mathbf{F}} \longrightarrow (\operatorname{boul-ette})_{\mathbf{F}} 'ball' \longrightarrow 'small ball'
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• The pairs in (2) and (3a) would be unexpected if the suffix simply agreed in gender with the base: rather, it seems that in at least certain cases, the suffix introduces its own gender (a phenomenon documented in other languages, cf. Kramer, 2015).

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- In this work, we bring support to a refinement of Milner's observation *via* a more systematic analysis of the French lexicon.
- We also recast the empirical observations in more formal terms, within the framework of Distributed Morphology.
- More specifically, we argue that frequency differences between:
 - 1. -et and -ette suffixation;
 - 2. M-to-F vs F-to-M gender-mismatches;
 - the number of "true" diminutives among the -et and -ette forms (regardless of the presence of a gender-mismatch)
- ...could be explained if we assume that:
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Roadmap

Data analysis

Methodology

Gender imbalances

Diminutive semantics

Formal analysis

Novel claims

Background on Distributed Morphology

Data analysis

- From a list of French words provided by the Linux OS (346,200 entries), we extracted and filtered nouns ending in -et and -ette.
- Extraction involved:
 - matching all words ending in -et or -ette;
 - generating, for each candidate form, an approximation of its base by truncation;
 - checking if the approximate base is close enough to an element of the lexicon if yes, the pair (base, diminutive) is added to our database.
- Filtering involved:
 - finding the exact base from which the word is derived using online resources (Larousse online dictionary, Wiktionary) and introspection
 - verifying that the base is a nominal.¹
- The dataset was supplemented by pairs generated via pure introspection (not all of them being documented in dictionaries)
- In total, 262 nouns in -ette and 146 nouns in -et were gathered.

¹Cases in which it was unclear whether the base was nominal, which happens, for instance, when the nominal is ambiguous with a deverbal, as in *déjeuner* ('(have) lunch'), were excluded.

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Observation 1: -ette vs. -et suffixation

$Derived \to$	-ette	-et	Both	Total
Base ↓	only	only		
Feminine	186 (138)	15 (5)	32 (23/7)	233
Masculine	34 (12)	89 (54)	10 (3/6)	133
Total	220	104	42	366

Table 1: Dataset statistics; segender-preserving suffixation; F-to-M mismatches; M-to-F mismatches. The number of true diminutives for each count is put in parentheses; for bases with both a -ette and a -et form, the format is (# true -ette diminutives/ # true -et diminutives).

- Quantitatively (and regardless of the gender of the base), -ette suffixation is around 1.8 times more frequent than -et suffixation $(220+42/104+42 \sim 1.8)$.
- Qualitatively, generating -ette-forms by introspection appeared easier.
- This all suggests that -ette is overall more productive than -et.

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Observation 2: M-to-F vs. F-to-M mismatches

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The proportion of gender-mismatches is higher for M-bases (M-to-F mismatch) than F-bases (F-to-M mismatch):

$$\begin{split} \hat{\mathbb{P}}[\text{-et-form}|\text{F-base}] &= {}^{15+32}/{}_{233} = {}^{47}\!/{}_{233} \sim 20\% \\ &<_{p=.006} \\ \hat{\mathbb{P}}[\text{-ette-form}|\text{M-base}] &= {}^{34+10}\!/{}_{133} = {}^{44}\!/{}_{133} \sim 33\% \end{split}$$

 The amplitude of this discrepancy is approximately the same as the one recorded for -et/-ette forms in general (33/20~1.8).

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 More gender-matching forms than gender-mismatching ones appear to have a true diminutive semantics:

$$\begin{split} \hat{\mathbb{P}}[\mathsf{DIM}|\textbf{F-base-ette} \text{ or } \textbf{M-base-et}] &= {}^{138+23+54+6}/{}_{186+32+89+10} \sim 70\% \\ &>_{p<.00001} \\ \hat{\mathbb{P}}[\mathsf{DIM}|\textbf{F-base-et} \text{ or } \textbf{M-base-ette}] &= {}^{5+7+12+3}/{}_{15+32+34+10} \sim 30\% \end{split}$$

 This is in line with Milner's observation about the semantic effects of gender-mismatch.

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$Derived \to$	-ette	-et	Both	Total
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Feminine	186 (138)	15 (5)	32 (23/7)	233
Masculine	34 (12)	89 (54)	10 (3/6)	133
Total	220	104	42	366
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 More gender-matching forms than gender-mismatching ones appear to have a true diminutive semantics:

$$\begin{split} \hat{\mathbb{P}}[\mathsf{DIM}|\textbf{F-base-ette} \text{ or } \textbf{M-base-et}] &= {}^{138+23+54+6}/{}_{186+32+89+10} \sim 70\% \\ &>_{\textit{p}<.00001} \\ \hat{\mathbb{P}}[\mathsf{DIM}|\textbf{F-base-et} \text{ or } \textbf{M-base-ette}] &= {}^{5+7+12+3}/{}_{15+32+34+10} \sim 30\% \end{split}$$

 This is in line with Milner's observation about the semantic effects of gender-mismatch.

Observation 4: a suffix-driven asymmetry

$\begin{array}{c} Derived \to \\ Base \downarrow \end{array}$	-ette only	-et only	Both	Total
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- However, an asymmetry driven by the gender of the suffix arises in both "match" and "mismatch" cases!
 - non-mismatching F-forms in -ette are more likely to be diminutive than non-mismatching forms in -et:

$$\hat{\mathbb{P}}[\mathsf{DIM}|\mathsf{F} ext{-base-ette}] = {}^{138+23}\!/{}_{186+32} \sim 74\%$$
 $>_{p=.02}$
 $\hat{\mathbb{P}}[\mathsf{DIM}|\mathsf{M} ext{-base-et}] = {}^{54+6}\!/{}_{89+10} \sim 60\%$

 the same patterns holds for mismatching forms (although non-significant due to small sample sizes).²

 $^{^{2}}$ P[DIM|M-base-ette] = $^{12+3/34+10} \sim 34\% > P[DIM|F-base-et] = <math>^{5+7/15+32} \sim 26\%$

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Zooming on observation 4: puzzling gender-matching examples

Even if the gender-matching forms are more likely than the
mismatching ones to exhibit a true diminutive semantics, pairs like
those in (4) and (5) exemplify some kind of loose semantic
relationship, for both genders.

```
(4) a. \operatorname{oeil}_{\mathbf{M}} \to (\operatorname{oeill-} et)_{\mathbf{M}}

'eye' \to 'eyelet'

b. \operatorname{arc}_{\mathbf{M}} \to (\operatorname{arch-} et)_{\mathbf{M}}

'bow (archery)' \to 'bow (music)'
```

- (5) a. barre_F \rightarrow (barr-ette)_F 'bar (construction)' \rightarrow 'hair-clip'

 b. coquille_F \rightarrow (coquill-ette)_F 'shell' \rightarrow 'elbow pasta'
- These data suggest that mismatches per se cannot explain all the semantic variation there is in seemingly diminutive forms: something about the suffixes themselves, and how they combine with their base, must be at play.

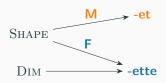
Upshot

- Effect of gender agreement between the base of the derived form: gender-matching forms are more likely than gender-mismatching ones to lead to a true diminutive semantics, in line with previous work.
- Effect of the suffix's gender alone: -ette appears more productive than -et and also more likely to lead to a diminutive semantics, and interestingly those two facts somewhat extend to mismatching forms (which were previously thought to be plain lexicalizations).
- We take this last point as evidence that -ette is (sometimes, at least) distinct from the allomorph of -et.

Formal analysis

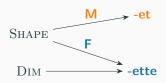
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- Contra previous accounts, we claim that -ette is ambiguous between
 an allomorph of -et and a separate (feminine) suffix -ette, which we
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- We take that -et has a looser semantics, which only involves a similarity with the base w.r.t. a salient feature, usually shape (so we write -et = Shape for brevity). Milner (1989) and Delhay (1999) had made a similar observation already, but mostly for cases of gender-mismatch.



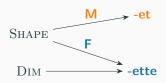
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Taking stock

- -et being the realization of SHAPE and -ette being that of either SHAPE+AGREE or DIM can explain why -ette is more frequent than -et across the board, and more likely to yield a diminutive semantics.
- Now we have to devise the exact conditions under which each
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- Distributed Morphology (**DM**, Halle and Marantz (1993)) assumes that there is no divide between syntax and morphology.
- The functions that other theories ascribe to the "lexicon" are "distributed" among other components of the grammar:
 - the Formative List provides the input for syntax in the form of feature bundles (no phonology at that point!);
 - syntactic operations (MERGE, MOVE, AGREE) apply to formatives;
 - the **Exponent List** is used for (post-syntactic) vocabulary insertion.
- In DM, roots (formatives) are proposed to be category-neutral.
 They undergo categorization by functional elements or heads: n, a, v...



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- Subsequent work within the DM framework (Marantz, 1997, 2001; Arad, 2003, 2005), points out the crucial distinction between creating words from roots and creating words from existing words, that is, from roots that are already merged with some word-creating head.
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 - For instance, affixes which shift stress (like -ity) also tend to lead to semantically less predictable meanings than otherwise similar affixes, (like -ness) which do not shift stress (Aronoff, 1976).
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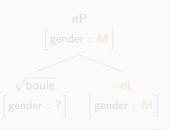
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Accounting for gender-mismatching forms

- Following this literature, we want to suggest that gender-mismatching forms result from a merger of the DIM (exponent:-ette) or SHAPE (exponent:-et) suffix with mismatching gender at the root-level.
- At that level, the suffix plays the role of a categorizing head and can superimpose its own gender to the category-neutral root.
- Also, both suffixes (but SHAPE in particular), act on semantically underspecified roots, and as such lead to looser meanings.



(a) Something akin to the root $\sqrt{\text{ball}}$ (\simeq ball) in terms of SHAPE



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- Gender-matching forms on the other hand, have their suffix merged above the nominalizing head n (which we assume hosts gender features). In that case the suffix simply has to agree in gender with the already categorized noun.
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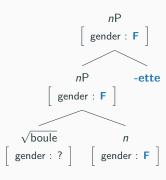


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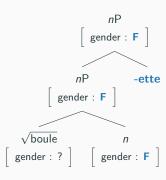


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Further predictions

- This analysis, making use of both exponent ambiguity and of the
 existence of two distinct levels of morphological derivation, explains
 why gender-mismatching forms are less likely to be diminutive, while
 still exhibiting a gender-related asymmetry.
- In particular, we predict M-to-F forms in -ette to exhibit a
 diminutive semantics (contributed by -ette, which is unambiguously
 DIM in that case), but not on the "right"/most salient entity (due to
 root-underspecification). This might be the case for the pairs below.
- (6) a. cigare_M → (cigar-ette)_F
 'cigar' → 'cigarette'
 b. disque_M → (disqu-ette)_F
 'CD, (hard) disk' → 'floppy disk'

base	suffix	level	form	semantics
М	Shape	1 /2	-et	loose on exact base
	Dim	1	-ette	diminutive on noisy root
	Shape	1	-et	loose on noisy root
F	F SHAPE+AGR 2	-ette	loose on exact base	
	Dim	1/2	-ette	diminutive on exact base

Table 2: Summary of the predictions. '1'=root-level derivation; '2'=above n. Struckthrough level are ruled out by our condition "MERGE the highest".

Conclusion

- We argued that the difference in productivity and transparency between -ette and -et was due to -ette being ambiguous between an allomorph of -et (not purely diminutive) and DIM.
- We showed the discrepancy was modulated by gender-mismatches, which we argued were the result of root-level derivation and therefore linked to extra semantic noise. The full set of predictions is summarized in Tab. 2.
- Crucially, our account provided a morphosyntactic explanation as to why gender-mismatches correlate with some form of *semantic* mismatch; previous accounts positing lexicalization did not really address this.

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- What about other syntactic categories, such as adjectives (e.g. mignonet, longuet, gentillet)?
- We may try to approximate probabilities for each possible parse (with DIM, SHAPE, high or low), to see if they more or less match our empirical statistics.
- Lastly, we could try to see if the semantic relations we talked about are somewhat captured by statistical models of language such as word embedding models, which come with ways to quantitatively measure semantic similarity and the variability in the "direction" (~ meaning) of morphological transformations (Benbaji et al., 2022; Hénot-Mortier, 2022; Benbaji et al., 2023).

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Thank you!

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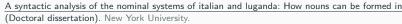
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A remaining puzzle(?)

- Why are ⁶⁰/₉₉ M-forms in -et diminutive, given that we predict the more general SHAPE relationship to hold in that case?
- We think this may be due to some form of morphological reanalysis targeting a specific subset of the -et-forms.
- Indeed, a DIM-meaning is more likely to arise for bases ending in in/on/eau (38/41), which already have a fossilized diminutive flavor:³
- Such endings were also the preferred targets for applying -et productively.
- This suggests that they were perhaps re-analyzed as proper morphemes (contributing the DIM semantics) by the action of -et suffixation.

³We use this denomination because most of the nominals from the dataset with such endings (e.g. cochon, 'pig', champignon, 'mushroom') were morphologically simplex; yet, the same endings are common in proper names (Antoine \rightarrow Antonin; Marie \rightarrow Marion; Boucher \rightarrow Bouchereau...) and appear consistently diminutive.