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CAP4630 Assignment 5 Report

Note: I will give you three jupyter notebooks, one for 8 neurons, 128 neurons and a model with a 99% F1 score or higher.

There are minor differences in every notebook, the difference will be in the category "Build the Neural Network Model" and "Train the Neural Network (Only for a model with a 99% F1 score or higher)"

Part 1:

Finished, I explained the code and the concept of it in detail.

Part 2:

→The code used:

```
model = Sequential([
    # Input layer for flattened 28x28 pixel images (784 total inputs)
    Input(shape=(784,)),

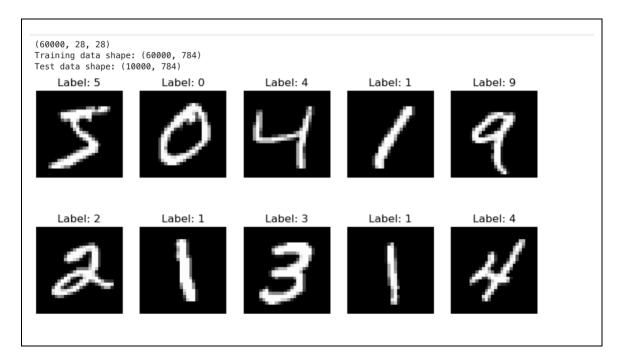
# Hidden layer with 8 neurons.
# ReLU activation introduces non-linearity and helps the model learn complex patterns in the data.
    Dense(8, activation='relu'),

# Output layer with 10 neurons (one for each digit class from 0 to 9).
# Softmax activation converts the output to probability values summing to 1.
    Dense(10, activation='softmax')
])
```

```
# Train the model using training data and evaluate on test data after each epoch.
# validation_data: used to calculate val_accuracy and val_loss after each epoch.
# epochs: number of complete passes through the training dataset.
# batch_size: number of samples used per gradient update.
# callbacks: includes the checkpoint defined above to save the best model.
history = model.fit(X_train, y_train, validation_data=(X_test, y_test), epochs=10, batch_size=32, callbacks=[checkpoint])
```

→Output of the Codes:

Load and Preprocess the MNIST Dataset



Build the Neural Network Model

Model:	"sequential_3	11
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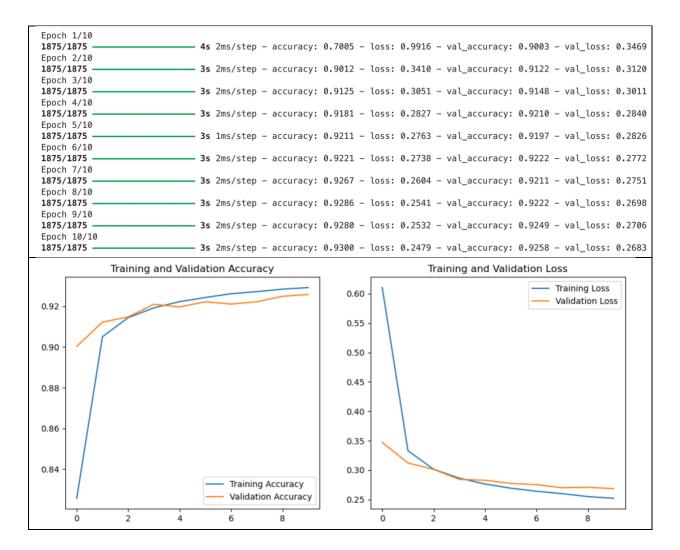
Layer (type)	Output Shape	Param #
dense_6 (Dense)	(None, 8)	6,280
dense_7 (Dense)	(None, 10)	90

Total params: 6,370 (24.88 KB)

Trainable params: 6,370 (24.88 KB)

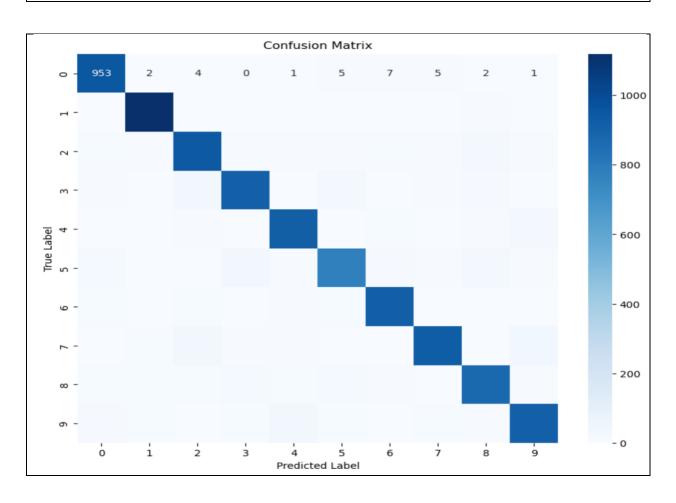
Non-trainable params: 0 (0.00 B)

Train the Neural Network

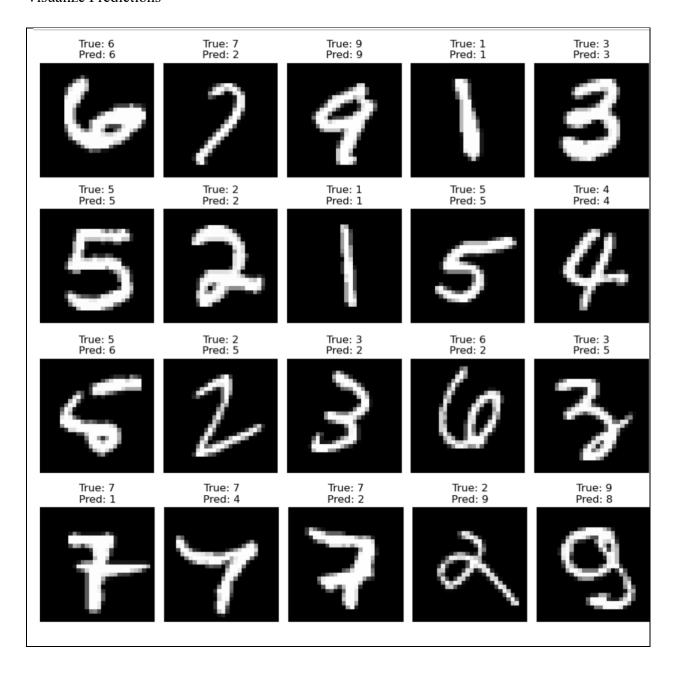


Evaluate the Model

313/313 — Test Accuracy	: 0.9258	—— 1s 1m	s/step – ad	ccuracy: 0.91	.29 - loss: 0.3095
313/313 ———	/ 313 ———— 0s 1ms/step				
	precision	recall	f1-score	support	
0	0.93	0.97	0.95	980	
1	0.96	0.99	0.97	1135	
2	0.90	0.91	0.91	1032	
3	0.92	0.90	0.91	1010	
4	0.92	0.94	0.93	982	
5	0.90	0.87	0.89	892	
6	0.94	0.96	0.95	958	
7	0.95	0.90	0.93	1028	
8	0.91	0.90	0.90	974	
9	0.92	0.90	0.91	1009	
accuracy			0.93	10000	
macro avg	0.93	0.92	0.92	10000	
weighted avg	0.93	0.93	0.93	10000	



Visualize Predictions



Part 3:

→ The code used:

```
model = Sequential([
    # Input layer for flattened 28x28 pixel images (784 total inputs)
    Input(shape=(784,)),

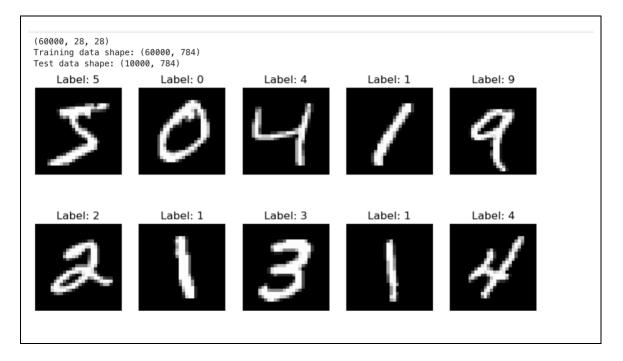
# Hidden layer with 8 neurons.
# ReLU activation introduces non-linearity and helps the model learn complex patterns in the data.
    Dense(8, activation='relu'),

# Output layer with 10 neurons (one for each digit class from 0 to 9).
# Softmax activation converts the output to probability values summing to 1.
    Dense(10, activation='softmax')
])
```

```
# Train the model using training data and evaluate on test data after each epoch.
# validation_data: used to calculate val_accuracy and val_loss after each epoch.
# epochs: number of complete passes through the training dataset.
# batch_size: number of samples used per gradient update.
# callbacks: includes the checkpoint defined above to save the best model.
history = model.fit(X_train, y_train, validation_data=(X_test, y_test), epochs=10, batch_size=32, callbacks=[checkpoint])
```

→Output of the Codes:

Load and Preprocess the MNIST Dataset



Build the Neural Network Model

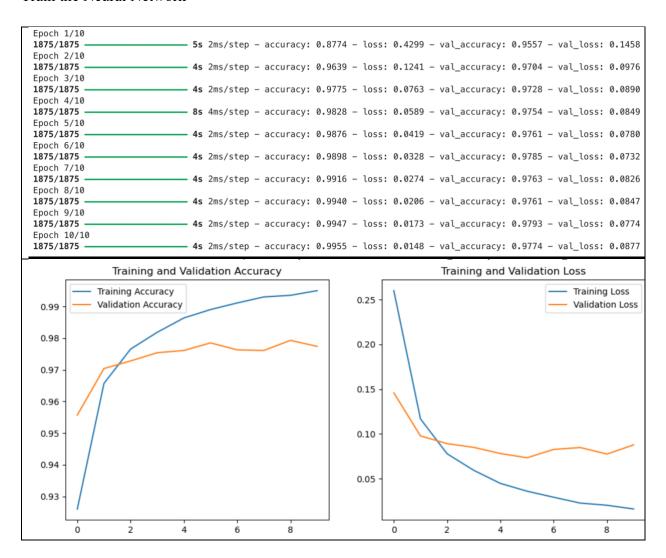
Model: "sequential_1"

Layer (type)	Output Shape	Param #
dense_2 (Dense)	(None, 128)	100,480
dense_3 (Dense)	(None, 10)	1,290

Total params: 101,770 (397.54 KB)
Trainable params: 101,770 (397.54 KB)

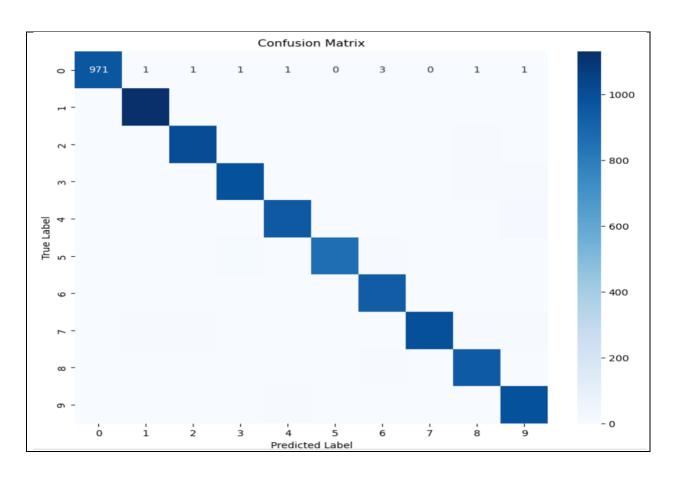
Non-trainable params: 0 (0.00 B)

Train the Neural Network

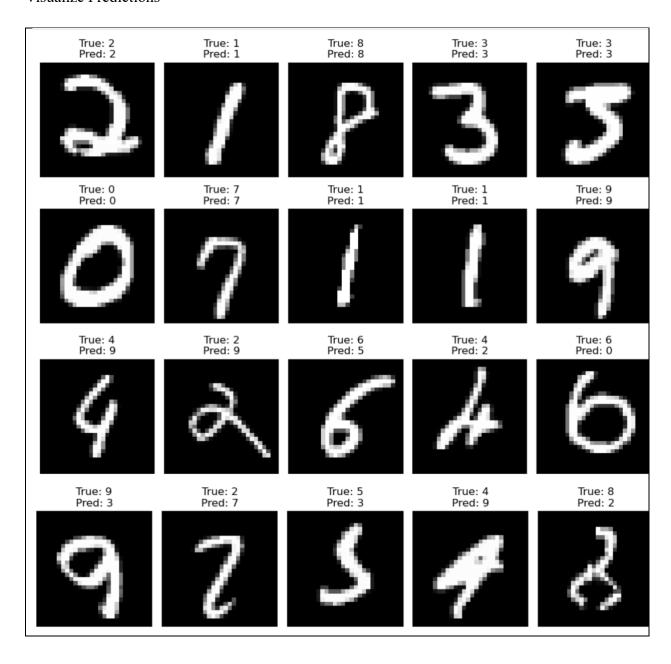


Evaluate the Model

313/313 —		1s 1m:	s/step – ad	ccuracy: 0.97	763 - loss: 0.0865
Test Accuracy	: 0.9793			,	
313/313 ———		—— 0s 1m:	s/step		
	precision	recall	f1-score	support	
0	0.99	0.99	0.99	980	
1	0.99	1.00	0.99	1135	
2	0.98	0.98	0.98	1032	
3	0.97	0.98	0.98	1010	
4	0.98	0.97	0.98	982	
5	0.99	0.97	0.98	892	
6	0.98	0.98	0.98	958	
7	0.99	0.97	0.98	1028	
8	0.97	0.97	0.97	974	
9	0.96	0.98	0.97	1009	
accuracy			0.98	10000	
macro avg	0.98	0.98	0.98	10000	
weighted avg	0.98	0.98	0.98	10000	



Visualize Predictions



Part 4:

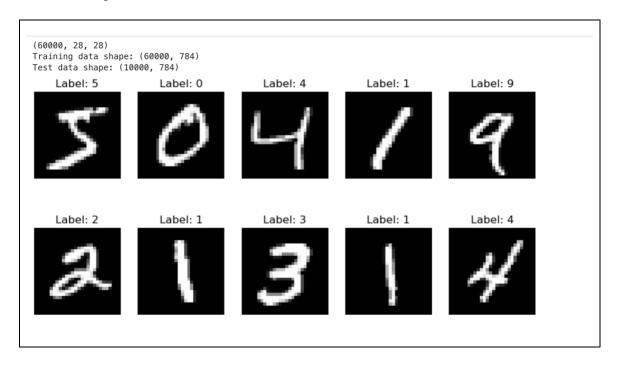
→ The code used:

```
model = Sequential([
    # Input layer for flattened 28x28 pixel images (784 total inputs)
    Input(shape=(784,)),
    # A wide <mark>512-neuron</mark> layer captures rich, <mark>high-level</mark> patterns in the data. ReLU adds non-linearity.
    Dense(512, activation='relu'),
    # BatchNorm keeps activations well-scaled, which speeds up convergence and adds a bit of regularisation.
    BatchNormalization(),
    # Dropout randomly deactivates 20 % of the neurons during training to restrict over-fitting.
    Dropout(0.20),
    # Halve the width to 256 neurons, pushing the model to learn a more compressed representation.
    Dense(256, activation='relu'),
    BatchNormalization(),
    Dropout(0.20),
    # Another reduction (128 neurons) for deeper feature abstraction.
    Dense(128, activation='relu'),
    BatchNormalization(),
    Dropout(0.20),
    # Final dense layer (64 neurons) refines features just before classification.
    Dense(64, activation='relu'),
    BatchNormalization().
    Dropout(0.20),
    # Output layer with 10 neurons (one for each digit class from 0 to 9).
    # Softmax activation converts the output to probability values summing to 1.
    Dense(10, activation='softmax')
])
```

```
# Train the model using training data and evaluate on test data after each epoch.
# validation_data: used to calculate val_accuracy and val_loss after each epoch.
# epochs: number of complete passes through the training dataset.
# batch_size: number of samples used per gradient update.
# callbacks: includes the checkpoint defined above to save the best model.
history = model.fit(X_train, y_train, validation_data=(X_test, y_test), epochs=40, batch_size=128, callbacks=[checkpoint])
```

→Output of the Codes:

Load and Preprocess the MNIST Dataset



Build the Neural Network Model

Model: "sequential_1"

Layer (type)	Output Shape	Param #
dense_5 (Dense)	(None, 512)	401,920
batch_normalization_4 (BatchNormalization)	(None, 512)	2,048
dropout_4 (Dropout)	(None, 512)	0
dense_6 (Dense)	(None, 256)	131,328
batch_normalization_5 (BatchNormalization)	(None, 256)	1,024
dropout_5 (Dropout)	(None, 256)	0
dense_7 (Dense)	(None, 128)	32,896
batch_normalization_6 (BatchNormalization)	(None, 128)	512
dropout_6 (Dropout)	(None, 128)	0
dense_8 (Dense)	(None, 64)	8,256
batch_normalization_7 (BatchNormalization)	(None, 64)	256
dropout_7 (Dropout)	(None, 64)	0
dense_9 (Dense)	(None, 10)	650

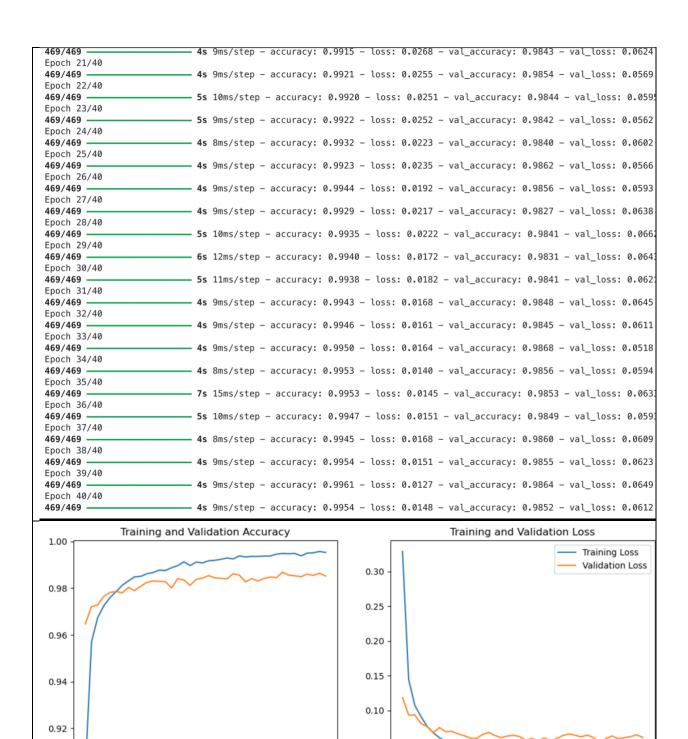
Total params: 578,890 (2.21 MB)

Trainable params: 576,970 (2.20 MB)

Non-trainable params: 1,920 (7.50 KB)

Train the Neural Network

```
Epoch 1/40
2025-04-18\ 20:55:27.227181:\ W\ external/local\_xla/xla/tsl/framework/cpu\_allocator\_impl.cc:83]\ Allocation\ of\ 188160000\ external/local\_xla/xla/tsl/framework/cpu\_allocator\_impl.cc:83]
ds 10% of free system memory.
469/469
                             - 7s 9ms/step - accuracy: 0.8214 - loss: 0.5887 - val_accuracy: 0.9647 - val_loss: 0.1186
Epoch 2/40
469/469
                            - 4s 9ms/step - accuracy: 0.9553 - loss: 0.1502 - val_accuracy: 0.9721 - val_loss: 0.0934
Epoch 3/40
469/469
                            - 4s 9ms/step - accuracy: 0.9676 - loss: 0.1068 - val_accuracy: 0.9728 - val_loss: 0.0935
Epoch 4/40
469/469 -
                            - 4s 9ms/step - accuracy: 0.9736 - loss: 0.0890 - val_accuracy: 0.9765 - val_loss: 0.0813
Epoch 5/40
469/469
                            - 4s 9ms/step - accuracy: 0.9766 - loss: 0.0751 - val_accuracy: 0.9782 - val_loss: 0.0767
Epoch 6/40
                            - 4s 9ms/step - accuracy: 0.9783 - loss: 0.0680 - val_accuracy: 0.9786 - val_loss: 0.0680
469/469
Epoch 7/40
469/469
                            – 4s 9ms/step – accuracy: 0.9813 – loss: 0.0591 – val_accuracy: 0.9780 – val_loss: 0.0754
Epoch 8/40
469/469
                            - 8s 16ms/step - accuracy: 0.9846 - loss: 0.0503 - val_accuracy: 0.9803 - val_loss: 0.0695
Epoch 9/40
469/469
                            - 4s 9ms/step - accuracy: 0.9851 - loss: 0.0491 - val_accuracy: 0.9790 - val_loss: 0.0700
Epoch 10/40
469/469
                            - 4s 9ms/step - accuracy: 0.9869 - loss: 0.0422 - val_accuracy: 0.9809 - val_loss: 0.0664
Epoch 11/40
469/469
                            - 4s 9ms/step - accuracy: 0.9864 - loss: 0.0440 - val_accuracy: 0.9825 - val_loss: 0.0638
Epoch 12/40
                            - 4s 9ms/step - accuracy: 0.9877 - loss: 0.0392 - val_accuracy: 0.9831 - val_loss: 0.0603
469/469
Epoch 13/40
469/469
                            - 4s 8ms/step - accuracy: 0.9881 - loss: 0.0372 - val_accuracy: 0.9829 - val_loss: 0.0596
Epoch 14/40
469/469
                            - 4s 9ms/step - accuracy: 0.9876 - loss: 0.0388 - val_accuracy: 0.9828 - val_loss: 0.0654
Epoch 15/40
469/469 -
                            – 4s 9ms/step – accuracy: 0.9892 – loss: 0.0333 – val_accuracy: 0.9801 – val_loss: 0.0684
Epoch 16/40
469/469
                            - 4s 9ms/step - accuracy: 0.9908 - loss: 0.0301 - val_accuracy: 0.9841 - val_loss: 0.0640
Epoch 17/40
469/469
                            - 4s 9ms/step - accuracy: 0.9914 - loss: 0.0267 - val_accuracy: 0.9835 - val_loss: 0.0608
Epoch 18/40
                             - 4s 8ms/step - accuracy: 0.9903 - loss: 0.0294 - val_accuracy: 0.9812 - val_loss: 0.0631
469/469
Epoch 19/40
469/469
                            - 4s 8ms/step - accuracy: 0.9913 - loss: 0.0271 - val_accuracy: 0.9838 - val_loss: 0.0643
Epoch 20/40
```



0.05

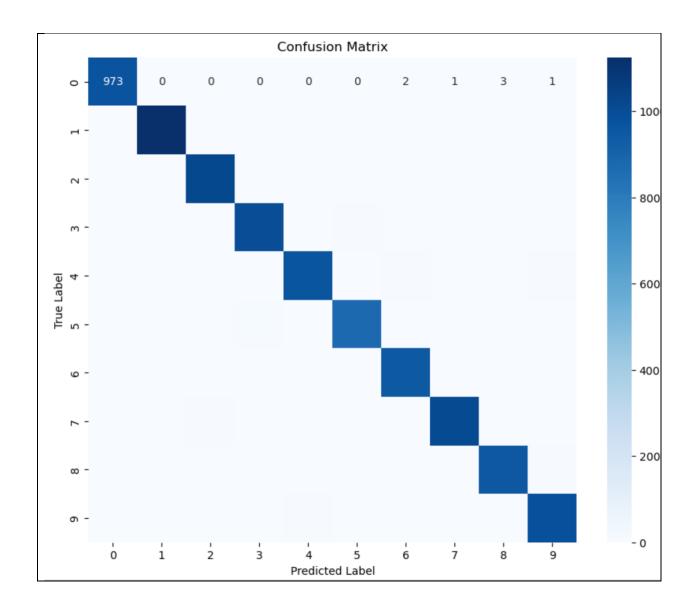
0.00

Training Accuracy Validation Accuracy

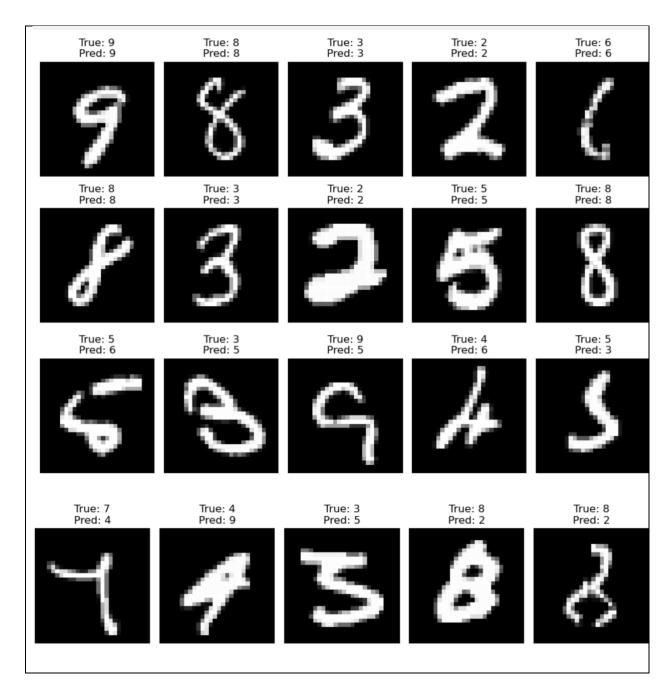
0.90

Evaluate the Model

313/313 ——— Test Accuracy	v: 0.9868	—— 1s 2m	s/step – ad	ccuracy: 0.98	21 - loss: 0.0661
313/313 ——		—— 1s 2m	s/step		
	precision		f1-score	support	
0	0.99	0.99	0.99	980	
1	0.99	0.99	0.99	1135	
2	0.99	0.99	0.99	1032	
3	0.98	0.99	0.98	1010	
4	0.99	0.99	0.99	982	
5	0.99	0.98	0.98	892	
6	0.98	0.99	0.99	958	
7	0.99	0.98	0.99	1028	
8	0.98	0.98	0.98	974	
9	0.99	0.98	0.98	1009	
accuracy			0.99	10000	
macro avg	0.99	0.99	0.99	10000	
weighted avg	0.99	0.99	0.99	10000	



Visualize Predictions



Part 5 – Discussion Questions:

- 1. Epochs
- What is an epoch?

An epoch is one complete pass through the entire training dataset by the neural network.

Why are epochs used?

Epochs allow the model to gradually adjust its weights and learn from the data through repeated training cycles.

• What happens when the number of epochs changes?

Increasing epochs can improve learning but may cause overfitting. Decreasing epochs can result in underfitting if the model doesn't learn enough.

2. Batch Size

• What is batch size and its role?

Batch size is the number of samples the model processes before updating the weights. It determines how many examples are passed through the network at once during training.

• Effects of changing batch size:

A larger batch size trains faster but may generalize poorly. A smaller batch size can improve performance but increases training time and noise in updates.

3. Dropout

• What is Dropout?

Dropout is a regularization technique where some neurons are randomly turned off during training to prevent over-reliance on specific neurons.

• How does it help?

It reduces overfitting and improves generalization by forcing the model to learn more distributed and robust representations. Typical dropout rates are 0.2–0.5; rates above 0.5 often under-fit the data.