### **COMP 4735: Operating Systems**

Lecture 7: Threads



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## Reading

- The following sections should be read before next Monday
  - it would also help to read this before your lab this week

Textbook Sections: 2.2 (Theory Part)

10.3.3 (Case Study Part)

- Yes, there will be a quiz next Monday in lecture on the above sections
  - A sample quiz will be posted on webct on Friday
  - This quiz (Quiz 4) covers material from all of the sections listed above

# Agenda

Key concepts for this lesson:

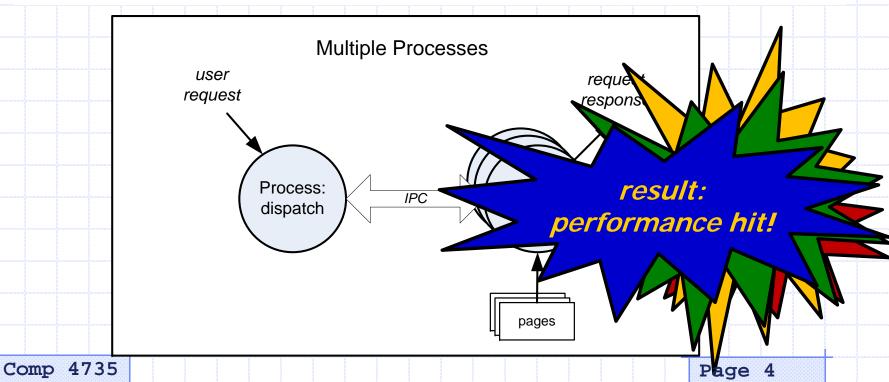
- What is a thread?
- Why do we need threads?
- How do threads work?
- The classic thread model.
- Multi-threaded programming examples.

# The Idea of Cooperating Processes

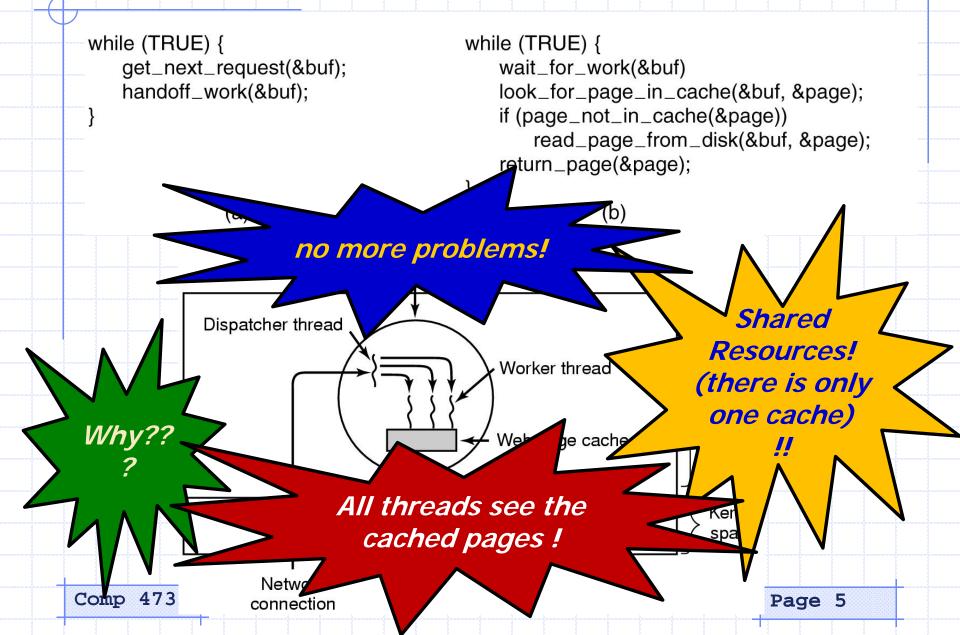
```
while (TRUE) {
    get_next_request(&buf);
    handoff_work(&buf);
}

if (page_not_in_cache(&buf, &page));
    read_page_from_disk(&buf, &page);
    return_page(&page);
}

(a)
while (TRUE) {
    wait_for_work(&buf)
    look_for_page_in_cache(&buf, &page);
    if (page_not_in_cache(&page))
        read_page_from_disk(&buf, &page);
    }
```

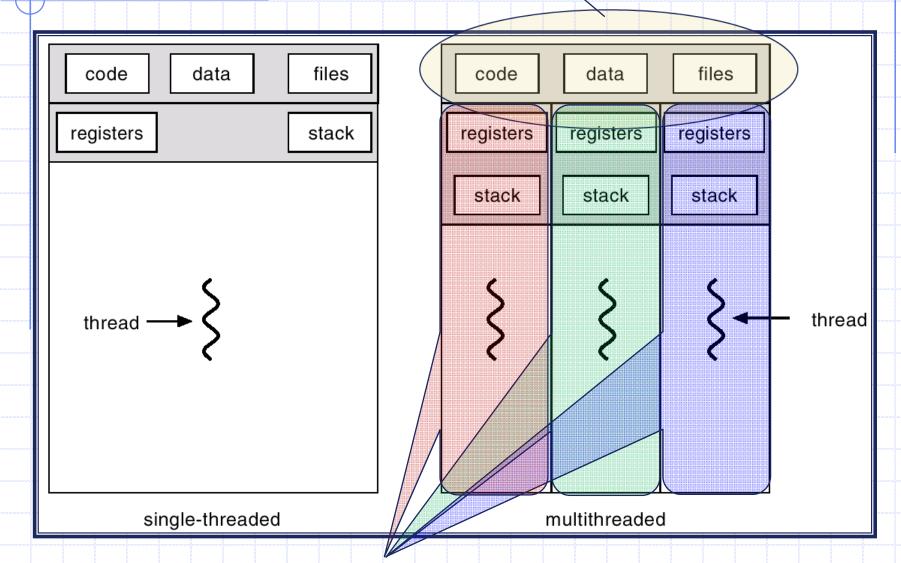


# Another Approach: Cooperating Threads



#### What are threads?

shared resources



# Why threads - 1?

- faster create/destroy
  - process creation takes time
    - need to create an address space
    - allocate blocks of memory
    - write or copy values into memory (possibly from disk)
    - context switch is required each time we create a process
  - if we can reduce the creation time, application performance will be faster
  - threads achieve this because they do not have to create a complete address space and process table each time

# Why threads - 2?

- 2. faster context switch
  - consider multiple cooperating processes
  - they require numerous context switches to work together
  - context switches are expensive from an OS point of view
    - need to save entire state
    - need to load a new context including address space
    - need to run the scheduler
  - it would be much quicker if we could keep the same context loaded and just switch the execution stack and PC registers
  - threads achieve this because control can be passed between threads without performing a compete context switch

# Why threads - 3?

- 3. increased parallelism ( ... pseudo-parallelism )
  - consider cooperating processes
    - they need to share information to work together
    - if IO is required, the process must block
  - traditionally we use IPC to achieve cooperation (pseudo-parallelism)
    - need to formulate/send a message, or
    - need to make a system call to use a pipe or socket or whatever
    - need to design carefully to ensure IPC works
  - it would be much easier if we could just define a few variables and have them shared between the units of execution (threads)
  - threads achieve this because all the threads in a process share the same address space, so they can define global variables that are visible to all threads

# Why threads - 4?

- Summary of benefits of threads:
  - increased performance of the system
  - increased pseudo-parallelism within a process

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- 1. Which one of the following programs would not see an increase in performance through the use of multiple threads?
  - a) an application that performs a lot of IO
  - b) an application that uses mostly CPU and very little IO
  - c) an application that is already designed for multiple processes
  - d) an application that does not have a GUI (ie: a console app)
  - e) all of the above would benefit from using multi-threading

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- 2. Which one of the following is an advantage of using threads?
  - a) global variables are easier to code and are supported better
  - b) threads support multiple instances of a program (such as a window) whereas processes do not
  - c) threads allow for a shared program stack whereas processes do not
  - d) a program can continue to execute while waiting for IO
  - e) none of the above is an advantage

#### **Traditional Process Model**

Conceptually, the Process Model is based on two concepts:

- 1. resource grouping
  - resources are *things that a process uses*, such as
    - program text, data, files, alarms, etc.
  - these items are grouped together and allocated to the process

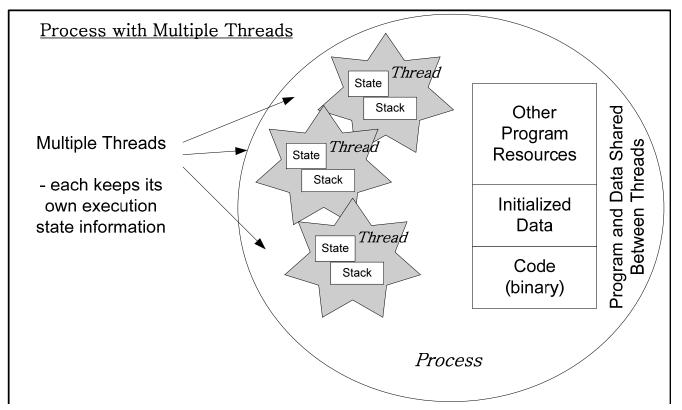
#### non-multi-threaded

#### 2. execution

- each traditional process has a single thread of execution
- this thread of execution defines the place in the program that is running, as well as the *current state of execution*, specifically
  - next instruction (PC), register values, history or procedure calls (stack) etc

## Thread Model (1)

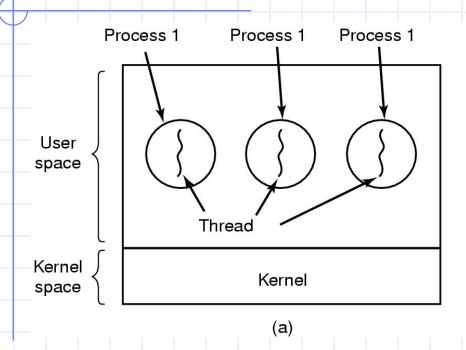
Multi-threading: multiple threads in one process

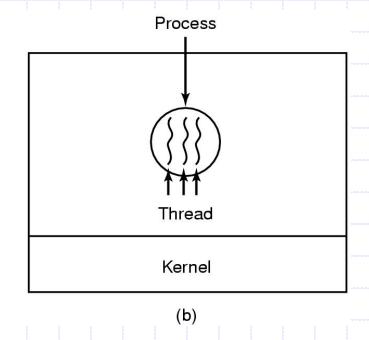


#### How?

- Separate the stuff that is needed for execution from the resources
- Allow multiple threads of execution to exist and operate on a single group of resources

# Thread Model (2)





- The process concept still exists in the Thread Model
  - process defines the environment (address space, program, files, etc)
- The thread(s) are created as needed to execute the program(s)
  - threads define the execution state(s)

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## What to put in the thread?

#### Per process items

Address space

Global variables

Open files

Child processes

Pending alarms

Signals and signal handlers

Accounting information

#### Per thread items

Program counter

Registers

Stack

State

- the OS keeps separate tables for process specific items and thread specific items
- in other words, each process now has:
  - one process table
  - many thread tables (one for each thread of execution)

#### Facts about the Thread Model

- every process has at least one thread
- threads are scheduled for execution
  - each thread has its own execution stack, state information
- each thread has a state transition model
  - threads can be in different states from each other
- threads block independently
  - one blocked thread does not stop the other threads in the process
- execution switches back and forth between threads, not processes
- all threads in a process share an address space, resources, global variables
- no protection between threads
  - all threads can read and write the same memory locations
  - this means that one thread could destroy another threads work

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- 3. Assume that a multi-threaded application is blocked waiting to write to the parallel port. Which one of the following statements is true?
  - a) all threads in the process are blocked
  - b) at least one thread in process is blocked
  - c) at most one thread in the process is blocked
  - d) the process is blocked but the threads continue to execute
  - e) the write system call is non-blocking, so nothing is blocked

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- 4. Assume that you compile and run the helloworld.c program on a multi-threaded OS. How many threads are created?
  - a) zero
  - b) one
  - c) two
  - d) four
  - e) there is not enough information to answer the question

```
#include <stdio.h>
int main(int argc, char* argv[]) {
   printf("Hello, world!\n");
   return 0;
}
```

# Thread Related System Calls

- We are going to need some way to manage threads if we are to write multi-threaded applications.
- Typically we will have:
  - thread\_create()
    - create a new thread in the current process
    - causes a new stack and thread table to be initialized
    - typically you specify the procedure to run in the thread (ie: to initialize the threads program counter to the desired entry point in the code)
  - thread\_exit()
    - terminate the thread
    - other threads in the process will continue to run

# Other Thread Related System Calls

- Sometimes we want to synchronize the actions of threads. The following system calls allow us to do this:
  - thread\_join()
    - the thread running this command will block and wait for a specified thread to exit
    - for example, maybe this is a thread that waits for a window to be closed before it allows another one to be created
  - thread\_yield()
    - give up CPU and let another thread run
    - this command exists to enable sharing between threads
    - most useful for CPU bound threads that need to cooperate with other thread sin the same process
    - only really makes sense for user-space threads, which we will discuss shortly

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## Sample Multi-threaded Program (C / Pthreads)

```
#include <pthread.h>
#include <stdio.h>
#include <stdlib.h>
void *t hello world (void *tid) {
  printf ("Hello world - I am thread %d\n", tid);
  pthread exit(0);
int main (int argc, char *argv[])
  pthread t threads[10];
   int rc, i;
   for (i=0; i < 10; i++) {
      printf("In main. Creating thread %d\n",i);
      rc = pthread create(&threads[i], 0, t hello world, (void *)i);
      if (rc != 0) {
         printf ("pthread_create: error code %d\n", rc);
         exit(-1);
   exit(0);
```

## Sample Multi-threaded Program (java)

```
public class Hello implements Runnable {
  private int myNumber;
   public Hello(int number) {
     myNumber = number;
   public void run() {
      System.out.println("Hello from thread " + myNumber);
   public static void main(String args[]) {
      Thread threads[] = new Thread[10];
      for (int i=0; i<10; i++) {
         threads[i] = new Thread(new Hello(i));
         threads[i].start();
```

5. Assume that you compile and run the program shown below on a multi-threaded OS. How many threads are created?

```
zero
           #include <pthread.h>
  one
           #include <stdio.h>
           #include <stdlib.h>
   two
d) three
           void *bye (void *id) {
              printf ("I am about to die ... %d\n", id);
   four
              pthread exit(0);
           int main (int argc, char *argv[])
              int i=1;
              int rc;
              pthread t threads[3];
              rc=pthread create(&threads[i], 0, bye, (void *)i);
              exit(0);
```

- 6. Which of the following Pthread library calls would you make if you want your program to block and wait for a specific thread to finish executing?
  - a) Pthread\_block()
  - b) Pthread\_exit()
  - c) Pthread\_join()
  - d) Pthread\_wait()
  - e) Pthread\_yield()
  - f) none of the above

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#### **Next Class**

- User Space Threads
- Kernel Space Threads
- Threads in Linux

# The End Comp 4735 Page 27