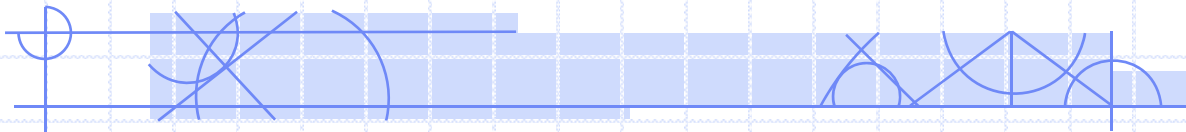


# COMP 4735: Operating Systems Concepts

## Lecture 10: Memory Management



Rob Neilson

[rneilson@bcit.ca](mailto:rneilson@bcit.ca)

# Administrative

- No quiz this week.
- No quiz next week.
- Next quiz (on Memory Management) is March 30<sup>th</sup>.
- Wednesday this week: no lecture. Go to career day, get a job, make money, do stuff like that.

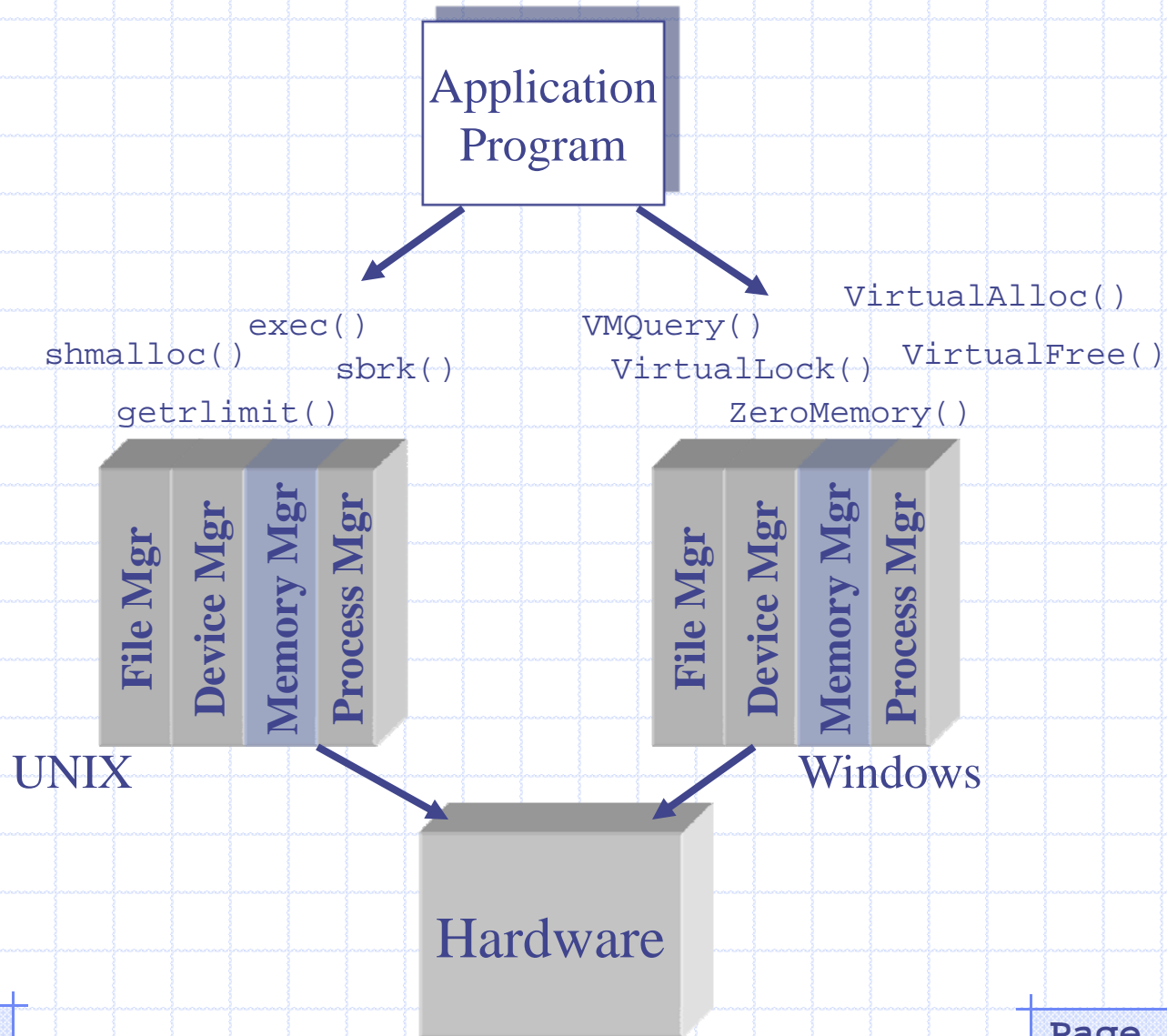
# Acknowledgements ...

- *some of the slides in this lesson are courtesy of Jonathan Walpole, who teaches at Portland State University*

# Today's Topics

- What is a Memory Manager?
- The Address Spaces Abstraction
- Static Address Binding
- Memory Allocation & Partitioning Strategies
- Dynamic Address Space Binding
- Swapping Systems

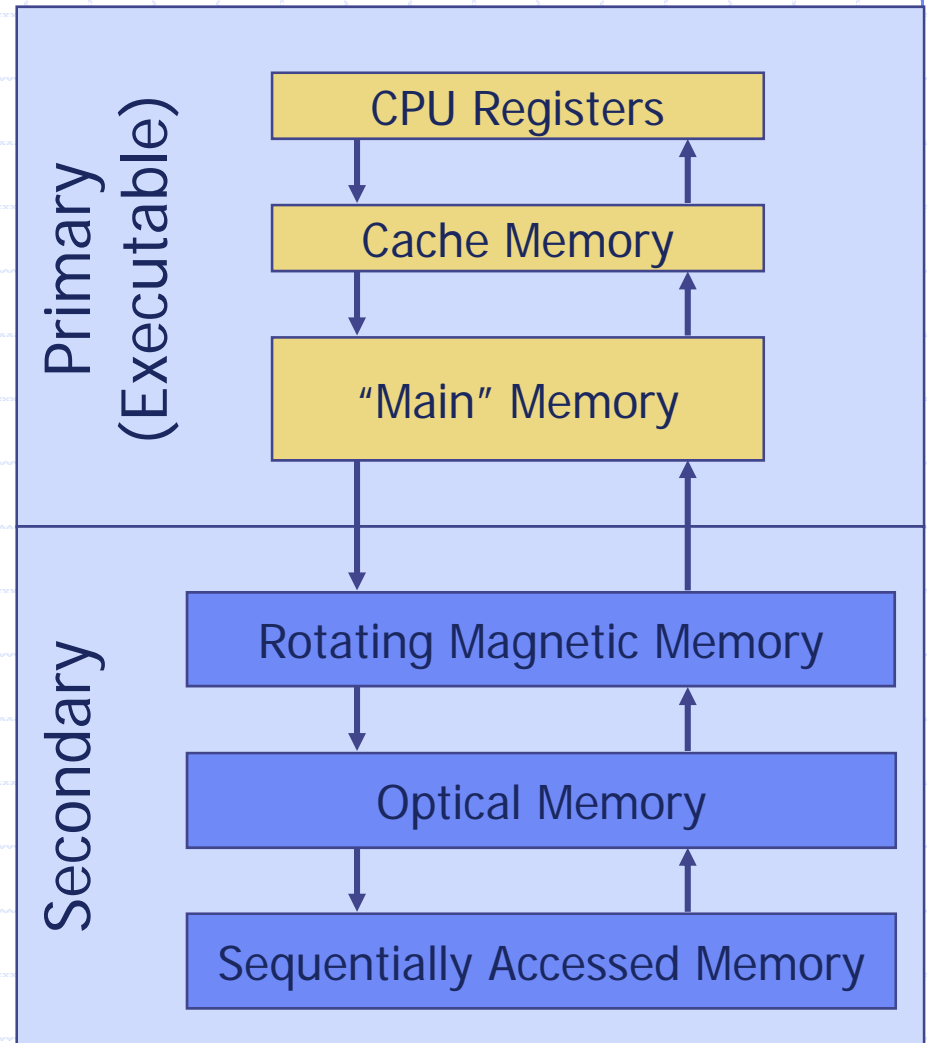
# What is a Memory Manager?



# Primary vs Secondary Memory

Memory Manager is responsible for

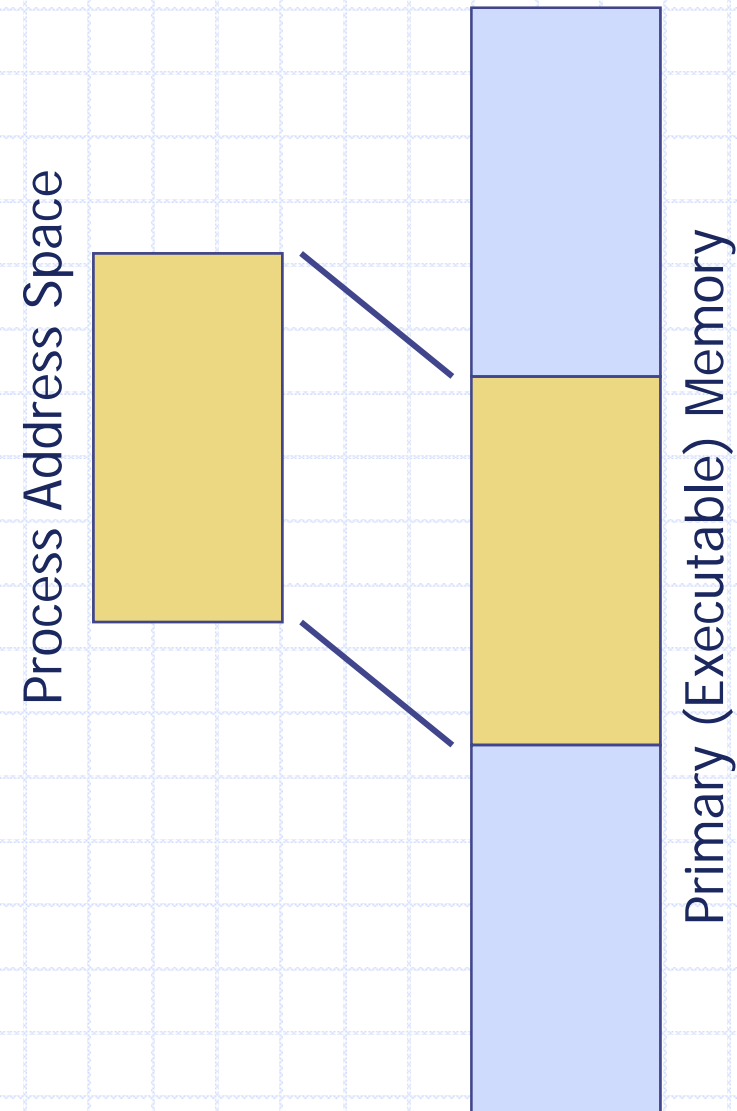
- automatically moving programs and data back and forth between primary and secondary memory



# Address Space Abstraction

Memory Manager is responsible for

- automatically moving programs and data back and forth between *primary* and *secondary* memory
- managing the mapping of addresses between a processes address space and physical memory (binding)

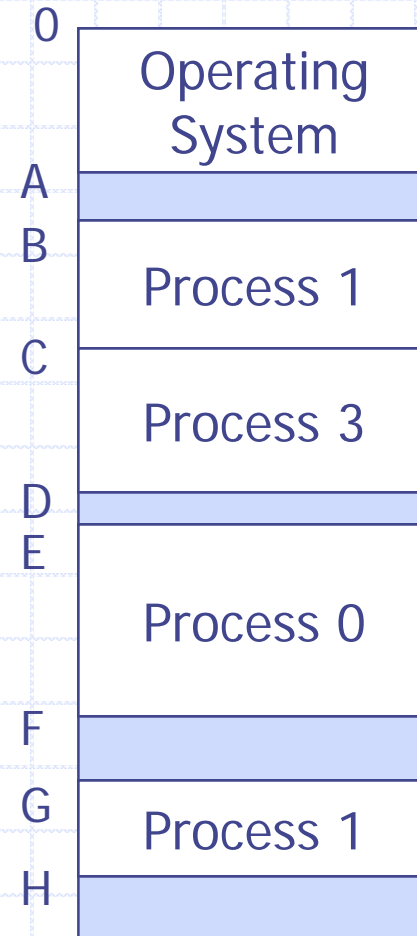


# Memory Allocation

Memory Manager is responsible for

- automatically moving programs and data back and forth between *primary* and *secondary* memory
- managing the mapping of addresses between a processes address space and physical memory
- allocating/releasing blocks of memory as requested by processes

*Physical Memory*

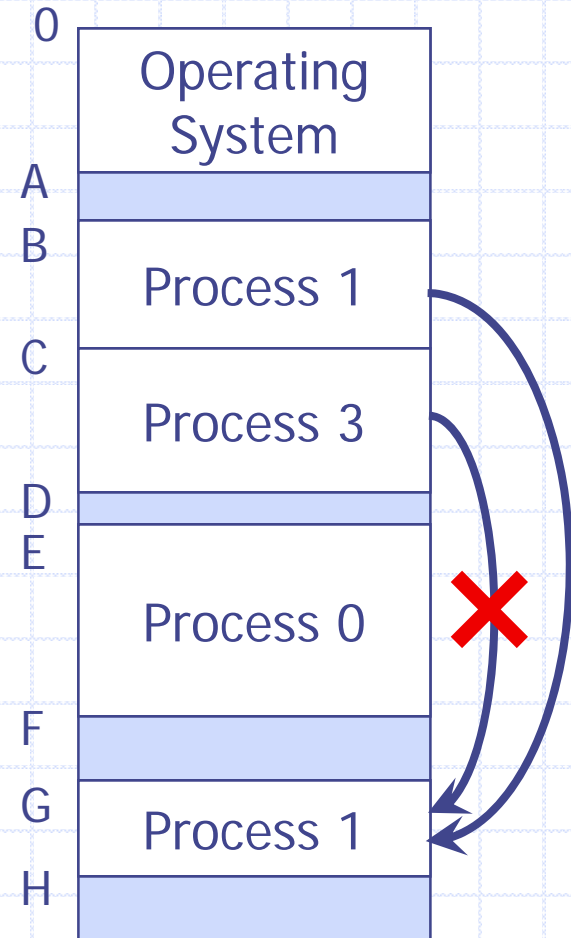




# Isolation

Memory Manager is responsible for

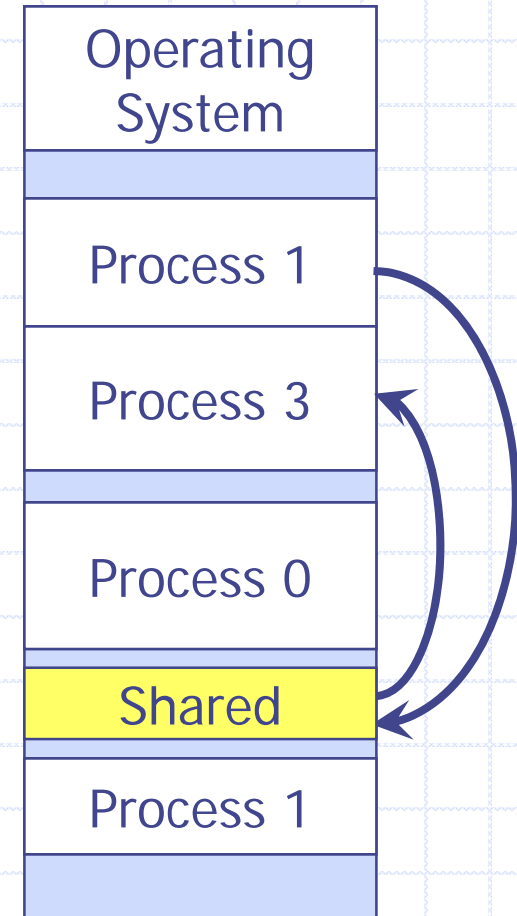
- automatically moving programs and data back and forth between *primary* and *secondary* memory
- managing the mapping of addresses between a processes address space and physical memory
- allocating/releasing blocks of memory as requested by processes
- ensuring that processes have exclusive use of the blocks of memory that are allocated to them



# Sharing

Memory Manager is responsible for

- automatically moving programs and data back and forth between primary and secondary memory
- managing the mapping of addresses between a processes address space and physical memory
- allocating/releasing blocks of memory as requested by processes
- ensuring that processes have exclusive use of the blocks of memory that are allocated to them
- facilitating shared access to blocks of memory by multiple processes (based on capabilities supported by the OS)

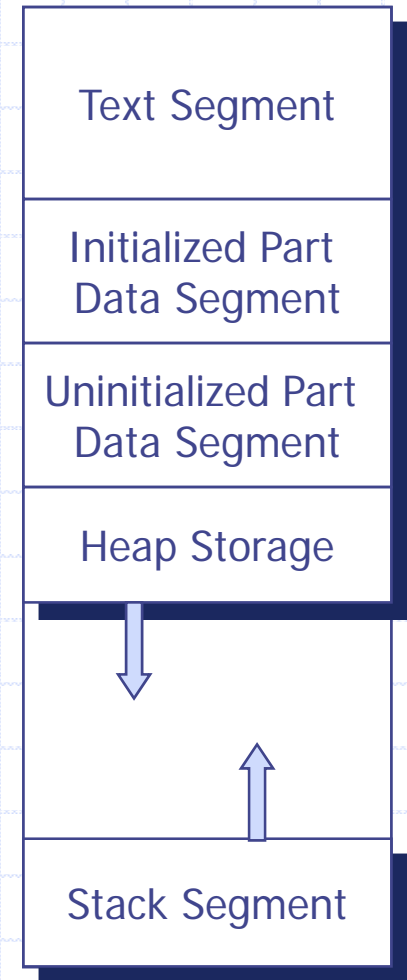




# Address Space Abstraction

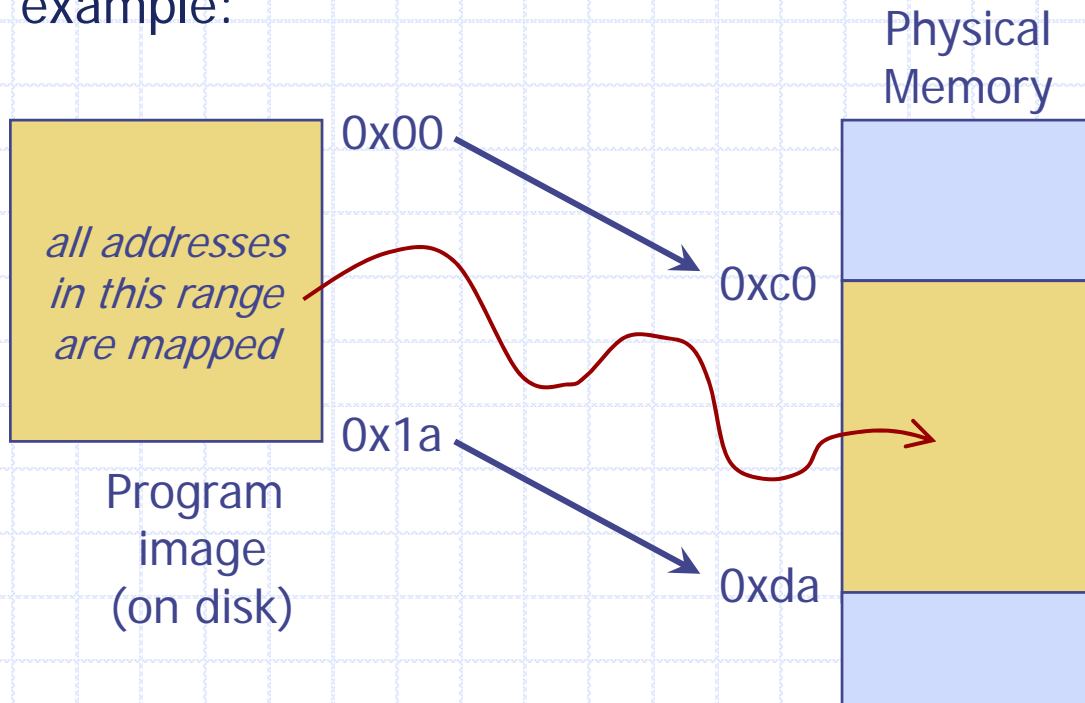
# Memory Management

- Memory is presented as a linear array of bytes
  - Holds OS and programs (processes)
- Recall, processes are defined by an *address space*, consisting of text, data, and stack segments
- Process execution
  - CPU fetches instructions from the text region according to the value of the program counter (PC)
  - Each instruction may request additional operands from the data or stack region



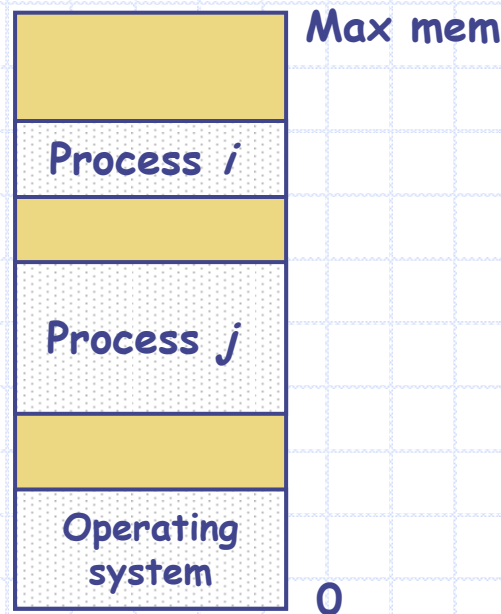
# Addressing Memory

- We cannot know ahead of time where in memory a program will be loaded!
  - we don't know what memory is available or allocated
- Memory Manager uses the notion of *address binding* to map programs (processes) into physical memory
- For example:



# Multiple Processes

- in the simplest case, there are many processes mapped into different places in physical memory, and each process is mapped into one contiguous block of memory

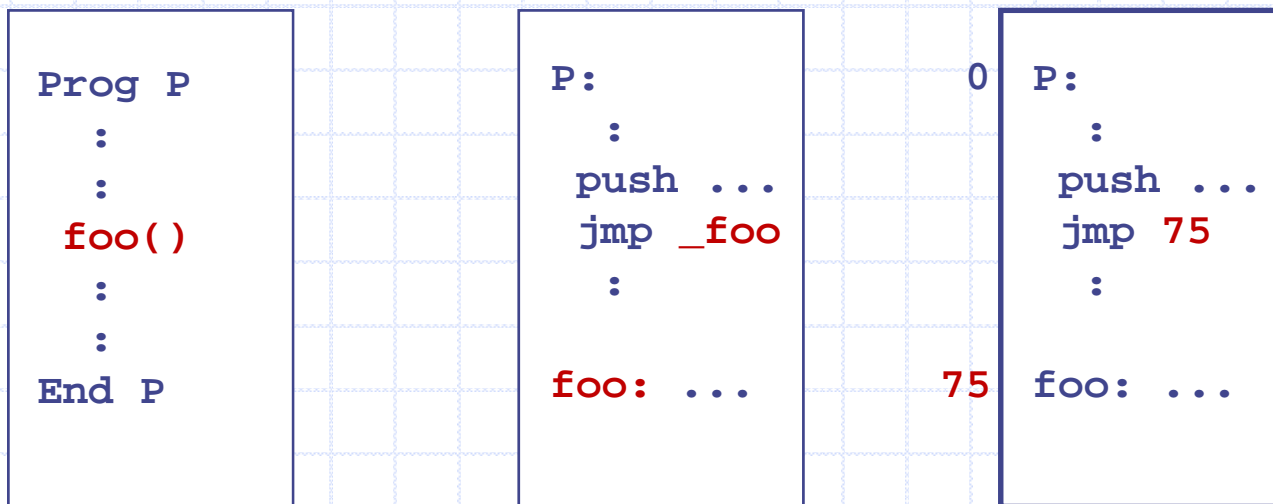




Consider how a program gets organized / laid out within the process ...

# Compiler

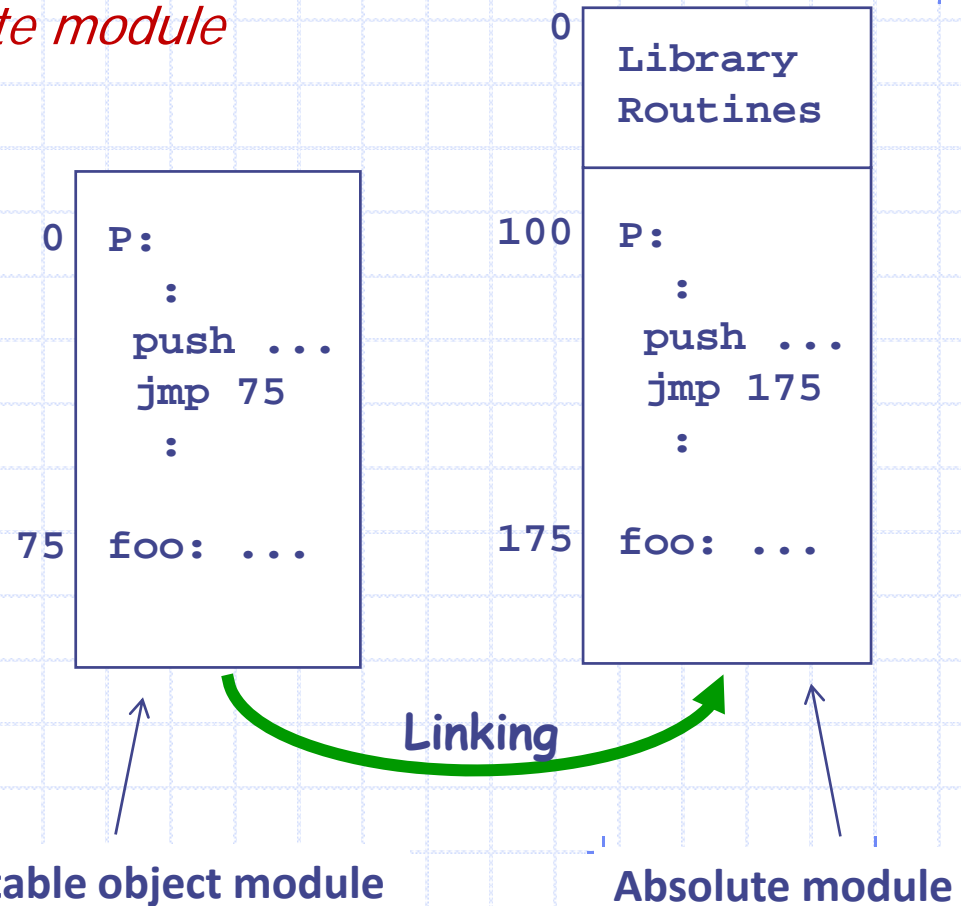
- Compiler produces code containing embedded addresses
  - these addresses can't be absolute ( physical addresses)
  - compiler (and assembler) produces a *relocatable object module*





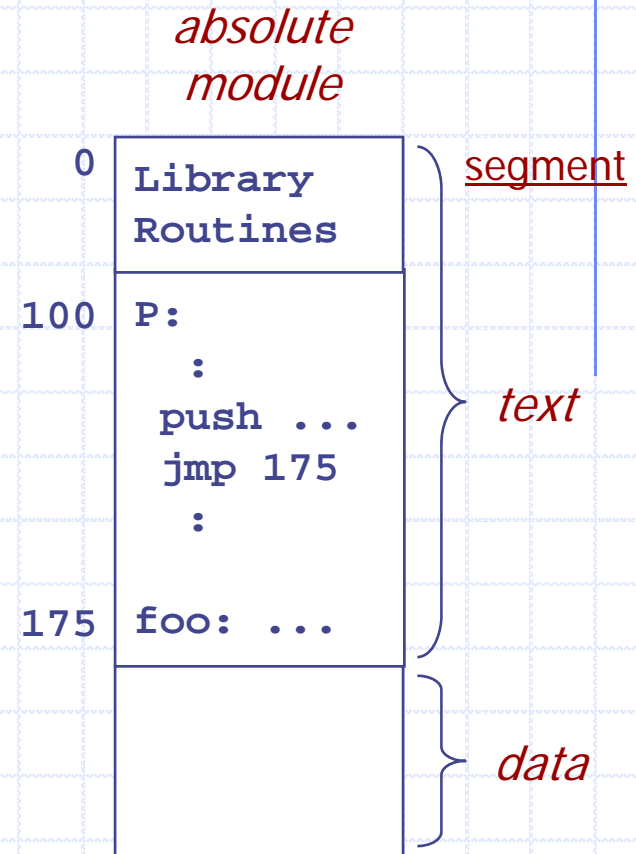
# Linker

- Linker combines pieces of the program
  - combines the program with other object modules (eg: library calls)
  - **linker produces an *absolute module***
  - linker assumes the program will be loaded at address 0
  - *the organization of the absolute module defines the address space*



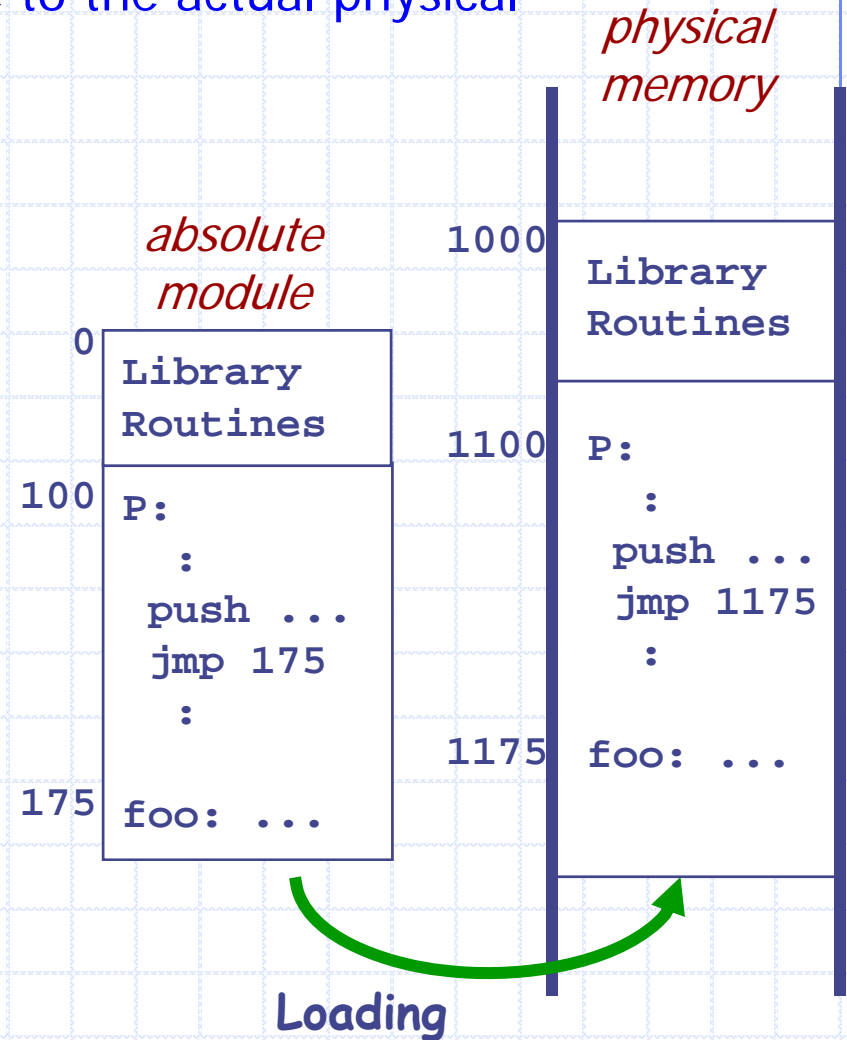
# Linker (cont)

- In addition to resolving external references, linker also structures the program into segments, thereby defining the address space
- The absolute module is basically an image of the process, and includes all the non-empty segments
- The absolute module is of course much smaller than the entire address space



# Loader

- Loader maps the absolute module to the actual physical memory
  - first it **allocates a block of physical memory** that can hold the process
  - next, all **addresses in the absolute module are modified** (mapped) to match the allocated physical memory addresses
  - finally, the **image (code, data etc) is copied** from secondary storage into the physical memory



# Static Relocation

- we have been discussing a three step technique for mapping a program into memory:
  1. compile: produce a relocatable object
  2. link: produce an absolute module
  3. load: map the module into a range of allocated memory
- this technique is known as ***Static Relocation***
  - note: sometime referred to as *static address binding*
- in this technique, the address space is essentially defined by the structure of the absolute module
- the address binding occurs at when the module is loaded
  - ie: at load time

# Another Example

- consider the following segment of a C program
  - it contains one static variable (with global scope)
  - it contains one function
  - it contains one function call to an external reference (put\_record is extern)

```
...  
static int gVar;  
...  
int proc_a(int arg){  
    ...  
    gVar = 7;  
    put_record(gVar);  
    ...  
}
```

# Another Example (after compilation)

## Code Segment

<u>Relative Address</u>	<u>Generated Code</u>
0000	...
...	
0008	entry <b>proc_a</b>
...	
0220	load =7, R1
0224	store R1, 0036
0228	push 0036
0232	call 'put_record'
...	
0400	External reference table
...	
0404	'put_record' 0232
...	
0500	External definition table
...	
0540	' <b>proc_a</b> ' 0008
...	
0600	(symbol table)
...	
0799	(end of code segment)

## Data Segment

<u>Relative Address</u>	<u>Generated variable space</u>
...	
0036	[Space for <b>gVar</b> ]
...	
0049	(end of data segment)

**relocatable  
object module  
(includes two  
segments)**

```
...
static int gVar;
...
int proc_a(int arg){
    ...
    gVar = 7;
    put_record(gVar);
    ...
}
```

# Another Example (after linking)

## Code Segment

<u>Relative Address</u>	<u>Generated Code</u>
0000	(Other modules)
...	
1008	entry <b>proc_a</b>
...	
1220	load =7, R1
1224	store R1, 0136
1228	push 0136
1232	call 2334
...	
1399	(End of proc_a)
...	
2334	entry <b>put_record</b>
...	
2670	(optional symbol table)
...	
2999	(end of code segment)

## Data Segment

<u>Relative Address</u>	<u>Generated variable space</u>
...	
0136	[Space for <b>gVar</b> ]
...	
1000	(end of data segment)

**absolute program**

- the program is relocated to 1000 to make room for other object modules being linked in
- the original data segment is relocated to 100 to make room for other data
- external entry points are resolved



# Another Example (after loading)

- the memory manager allocates 4000-8000 to this process
- the absolute program is mapped into this address range

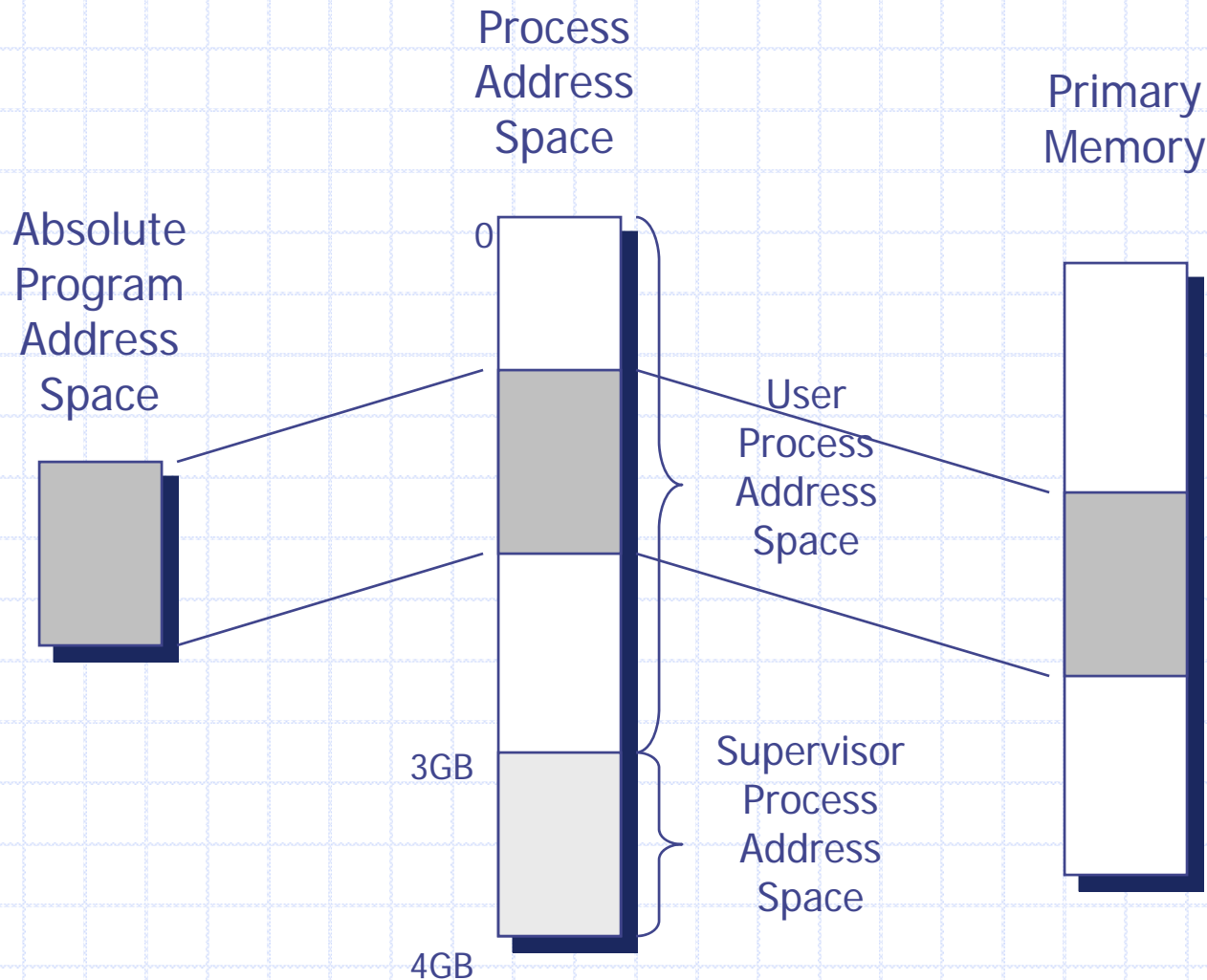
<i>Physical Address</i>	<i>Generated Code</i>
0000	(Other process's programs)
...	
4000	(Other modules)
...	
5008	entry    proc_a
...	
5220	load     =7, R1
5224	store    R1, 7136
5228	push     7136
5232	call     6334
...	
5399	(End of proc_a)
...	
6334	entry    put_record
...	
6670	(optional symbol table)
...	
6999	(end of code segment)
7000	(start of data segment)
...	
7136	[Space for gVar variable]
...	
8000	(Other process's programs)



# Modern Address Binding Mechanisms

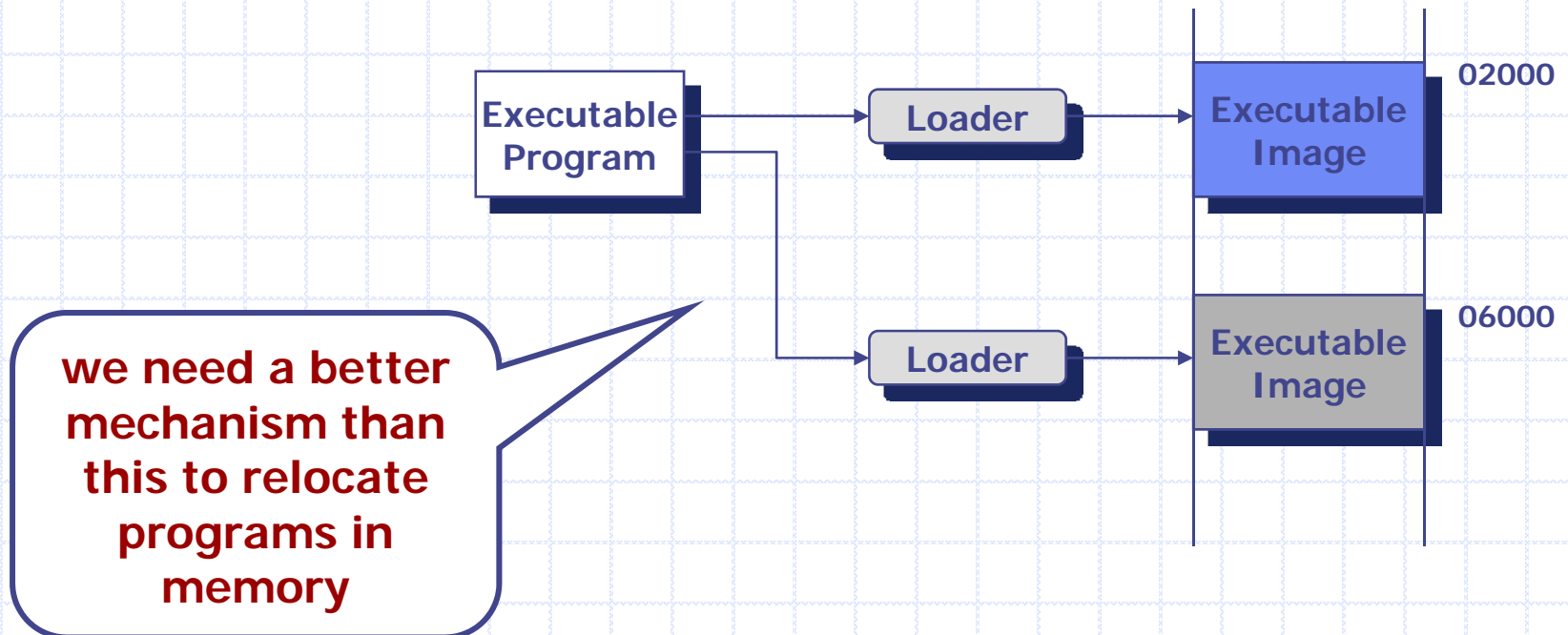
- the modern process has a predefined address space
- for example linux reserves
  - 0 – 3GB for the user addressable locations
  - 3GB – 4GB for supervisor mode instructions
- with this process architecture predefined, the loader *binds the absolute module into the process address space* instead of into physical memory
- the memory manager can then wait until *run-time* to *map the process address space into physical memory*
  - this allows the memory manager to make use of virtual memory and paging techniques (to be discussed in a subsequent lesson)
  - this also provides support for any “loadable module” mechanisms that the OS might provide

# Program and Process Address Spaces



# Relocating Programs in Memory

- in the previous discussion, a program always runs from the same memory location(s) after it has been loaded
- as we will see later, this can lead to **memory fragmentation**
- one method for dealing with fragmentation is to move programs around, to different locations
  - this requires us to **re-run the loader** on a program

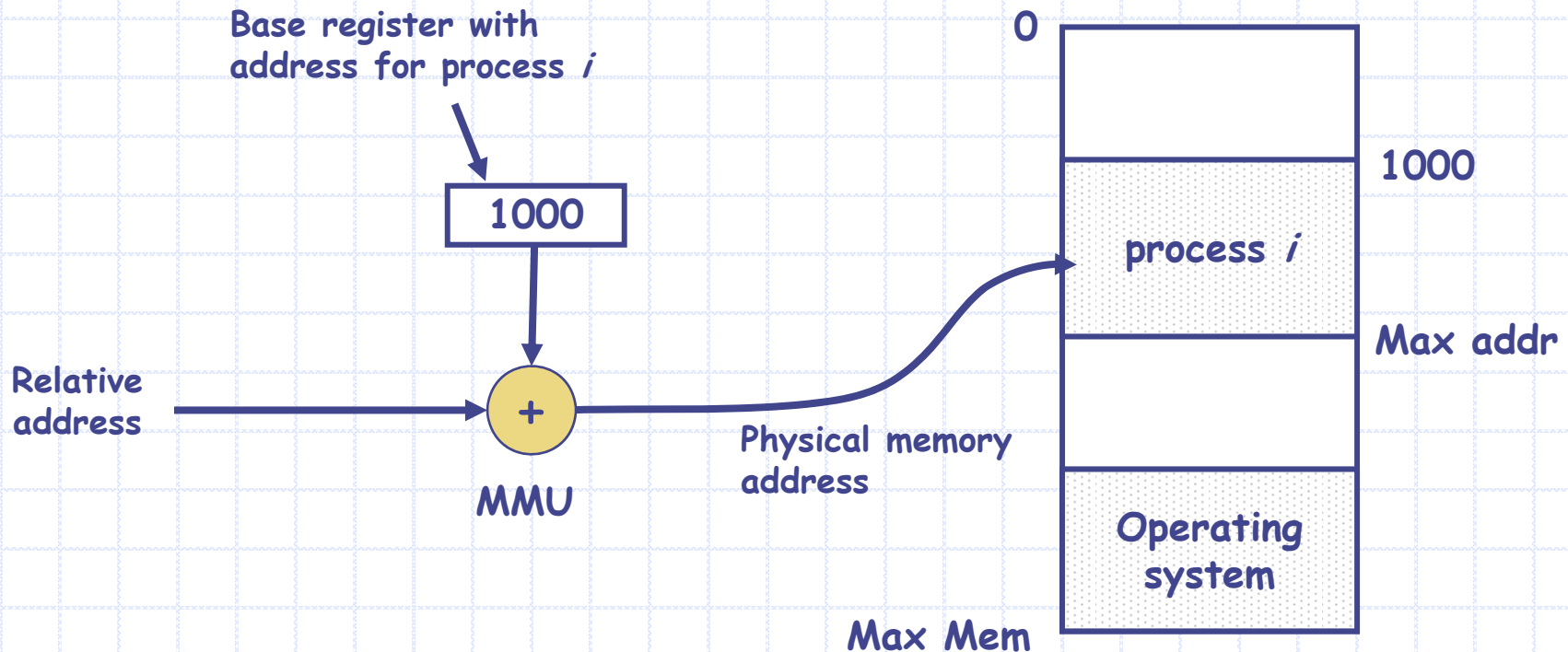


# Dynamic Relocation

- Dynamic Relocation is:
  - mapping the physical memory to the program (or address space) at run-time
  - requires additional hardware support such as:
    - base & limit registers
- Simple runtime relocation scheme
  - use 2 registers to describe a program loaded into a partition in memory
    - **base register** defines the beginning of the program in memory
    - **limit register** is loaded with the length of the program in memory
- For every address generated, at runtime...
  - add address to the **base** register to give **physical** memory address
  - compare physical address to the **limit** register (& abort if larger)

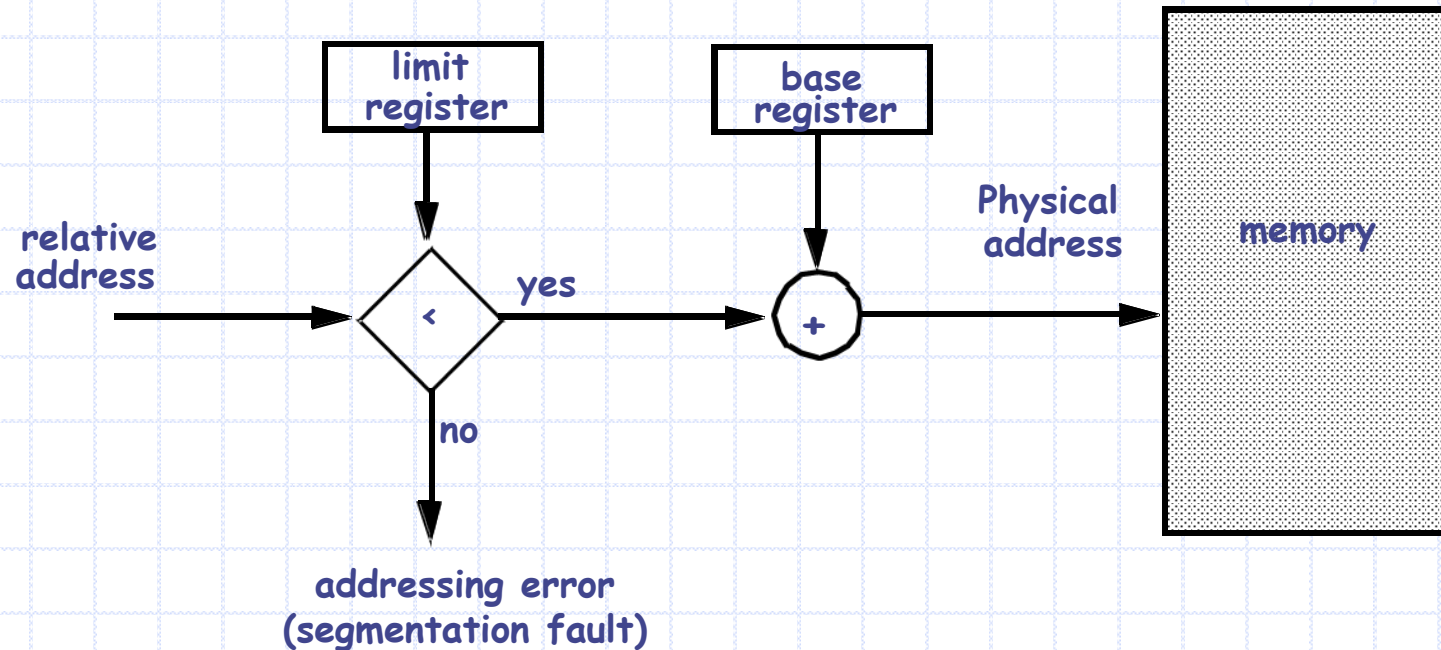
# Dynamic relocation with a base register

- Memory Management Unit (MMU) - dynamically converts logical addresses into physical address
- MMU contains base address register for running process



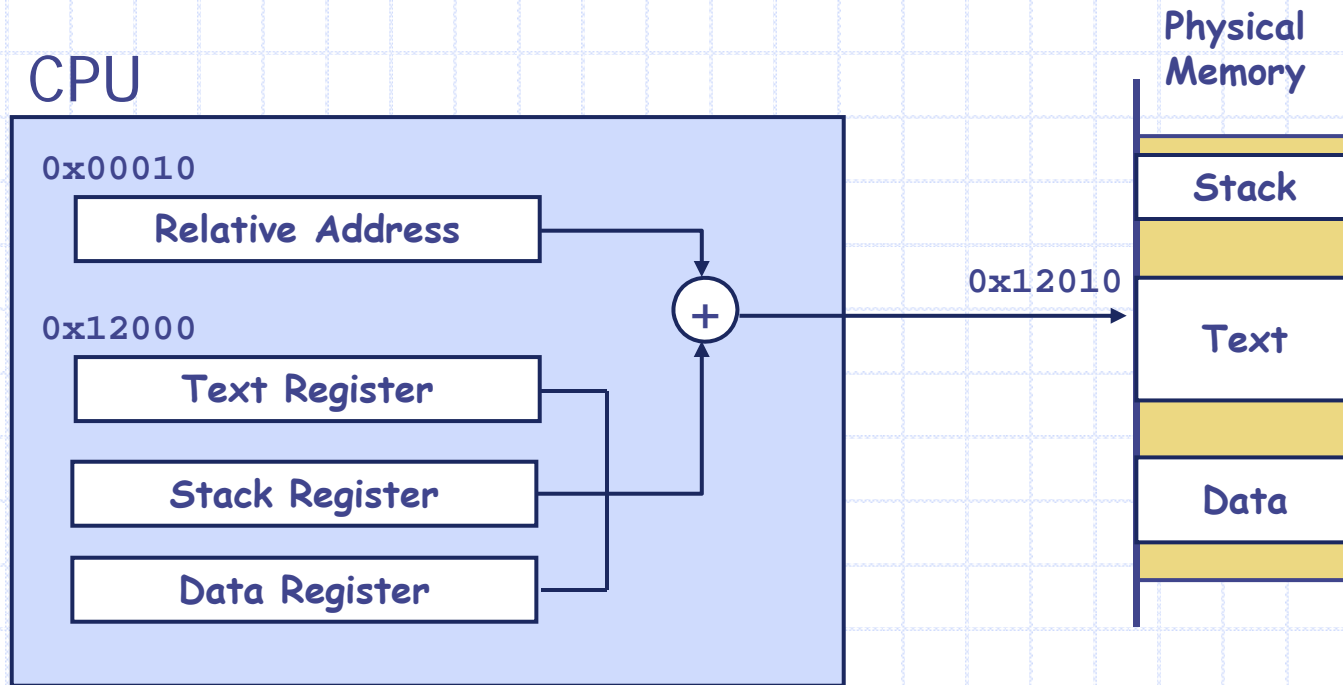
# Protection using base & limit registers

- Memory protection
  - **Base** register gives starting address for process
  - **Limit** register limits the offset accessible from the relocation register



# Multiple Base Registers

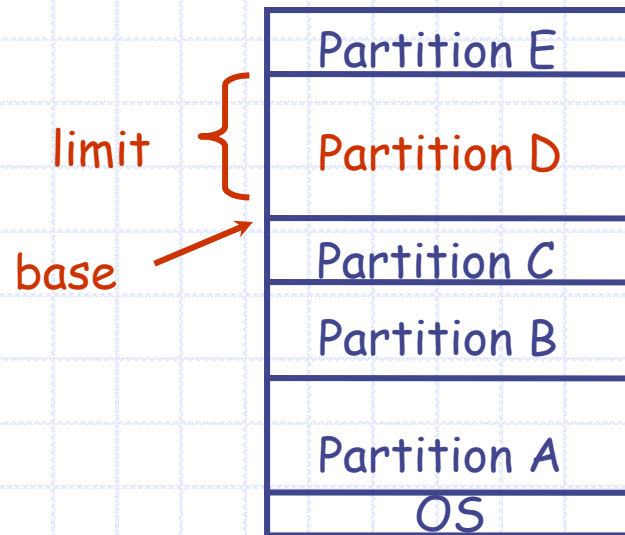
- we can use a different base register for each segment in the address space, for example:



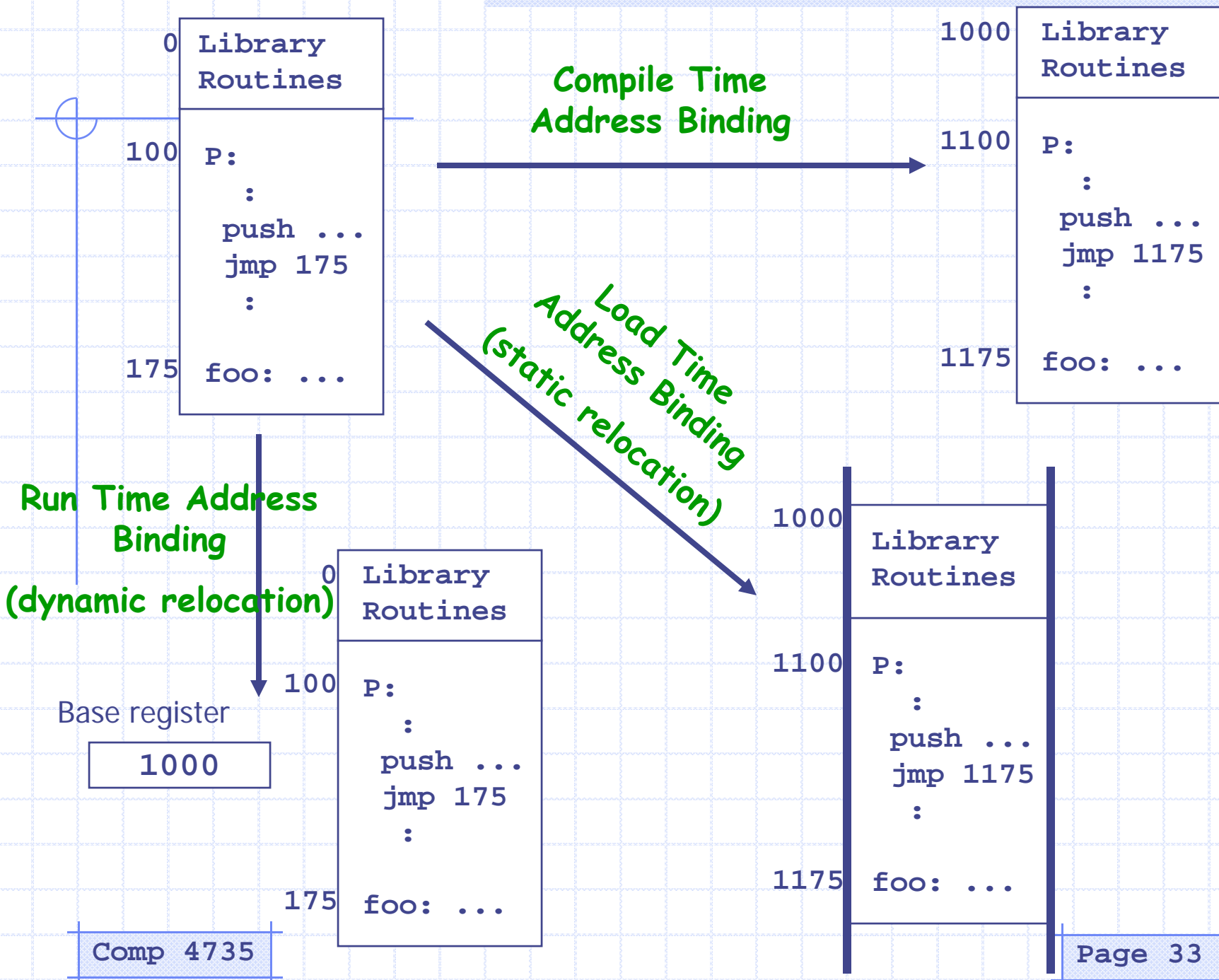


# Multiprogramming with base and limit registers

- Multiprogramming: a separate memory block (partition) for each process
- What happens on a context switch?
  - Store process A's **base** and **limit** register values
  - Load new values into **base** and **limit** registers for process B

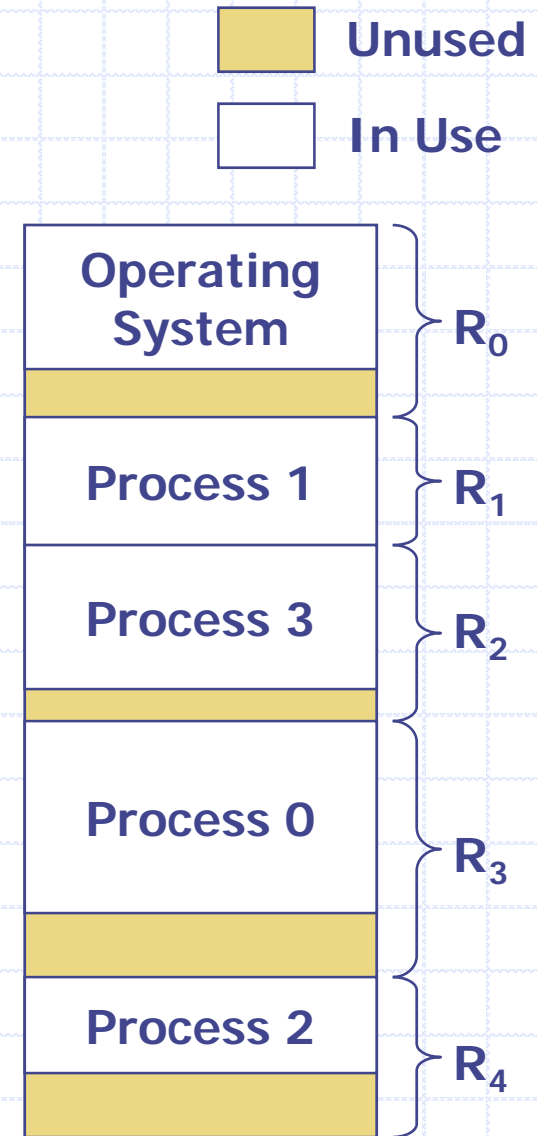






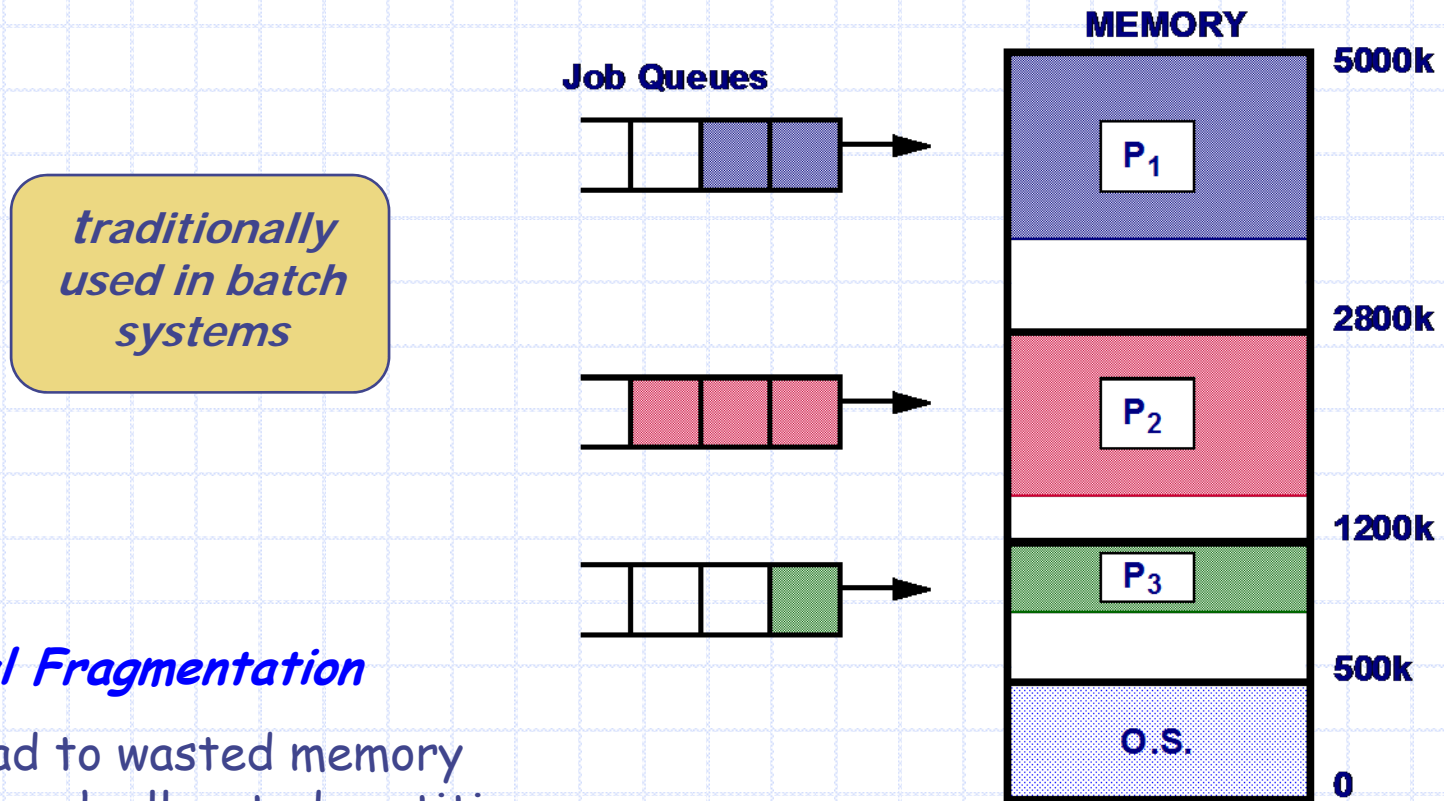
# Memory Allocation

- to support multiprogramming, we need to allocate separate blocks (partitions) of memory to each process
- there are three basic strategies for doing this:
  1. use Fixed Size Partitions
  2. use Variable Size Partitions
  3. dynamically allocate memory as needed (pages ... aka virtual memory)



# Fixed Partition

- Memory is divided into fixed size partitions
- Processes loaded into partitions of equal or greater size



## *Internal Fragmentation*

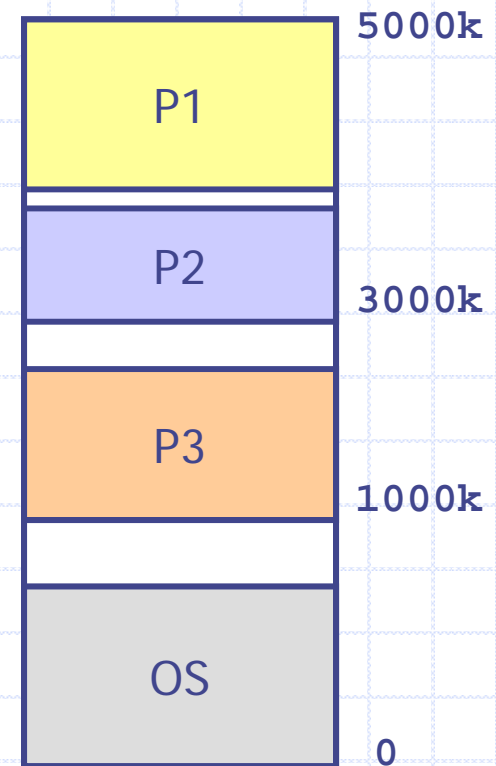
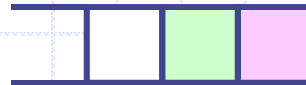
- can lead to wasted memory inside each allocated partition

# Variable Partition

- Memory allocated to fit processes exactly
  - processes are loaded into regions of memory that are equal or greater size
  - there is really only one queue of jobs in this case

*traditionally used  
in swapping  
systems*

Job Queue

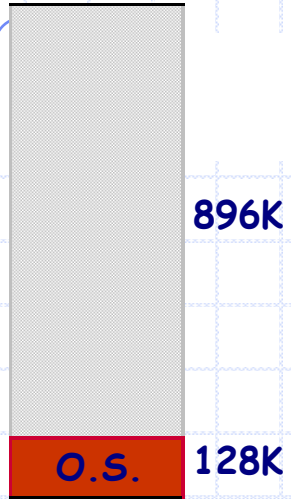


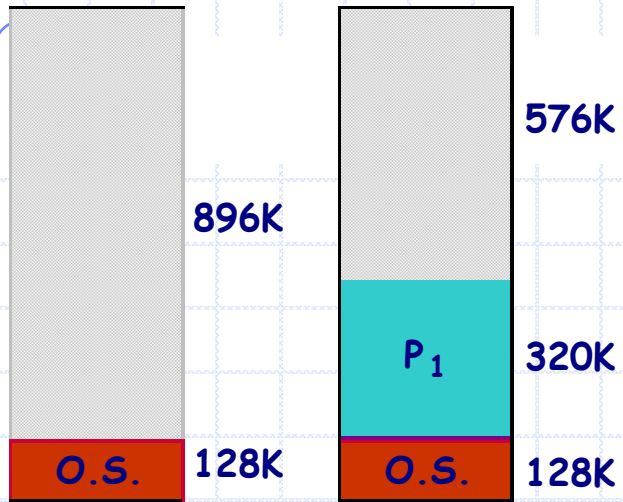
## *External Fragmentation*

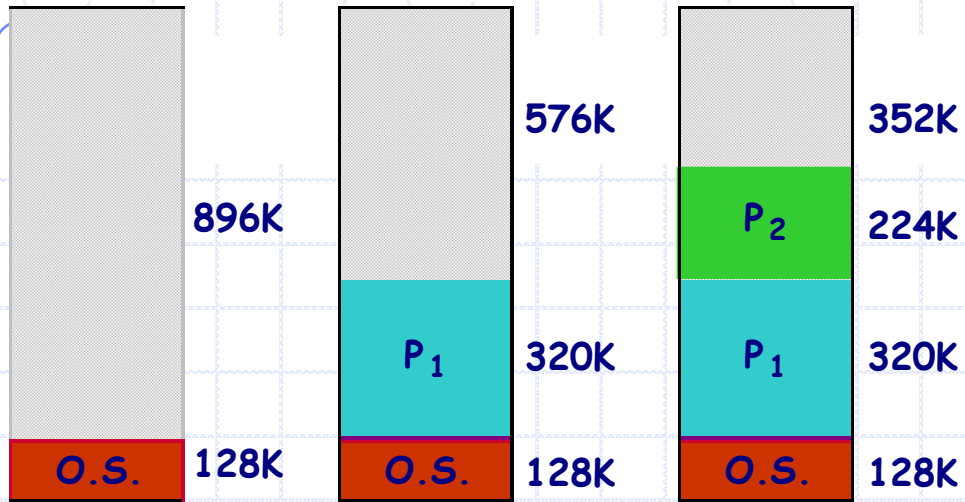
- can lead to wasted memory outside each allocated partition

# Fragmentation in Variable Partition Strategies

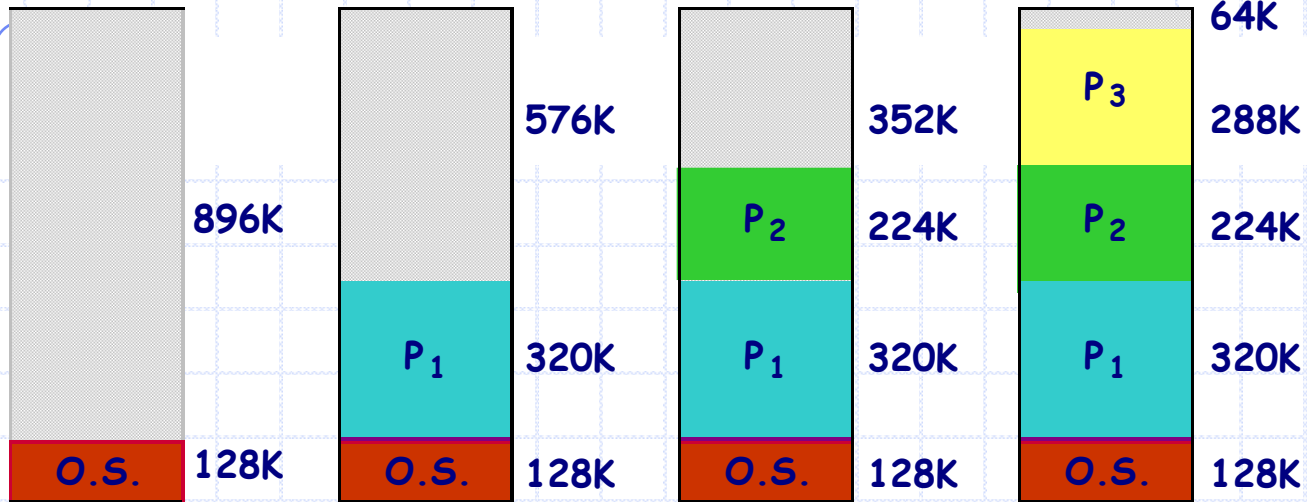
- in most types of systems we are continually loading and unloading processes
- this leads to fragmentation, for example ...

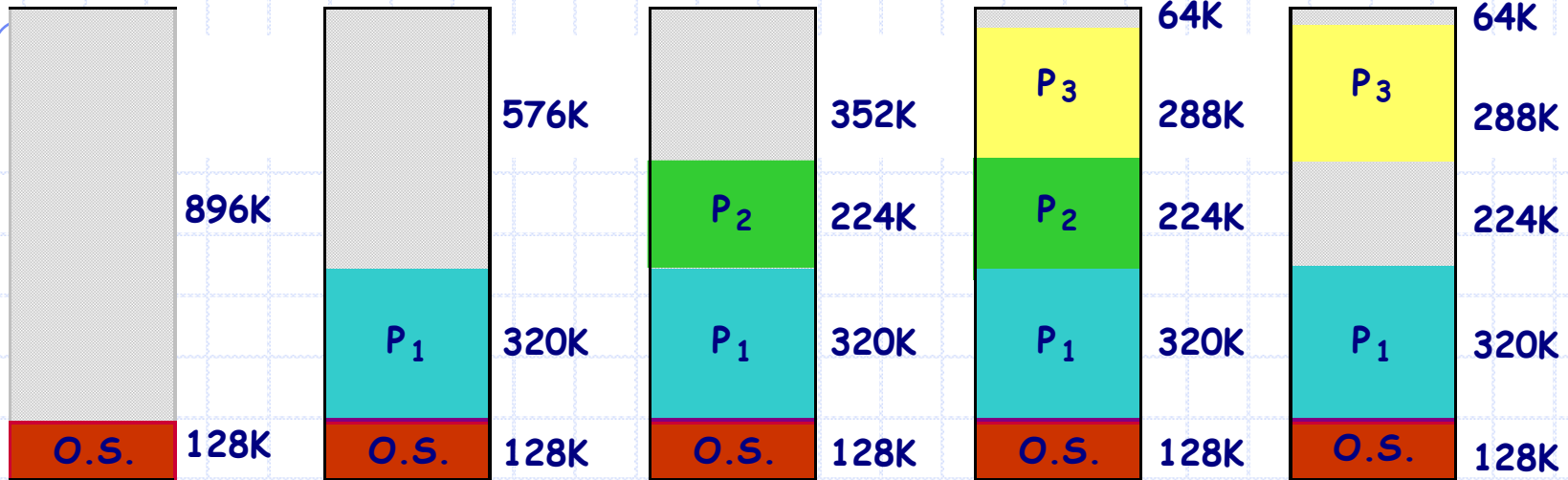


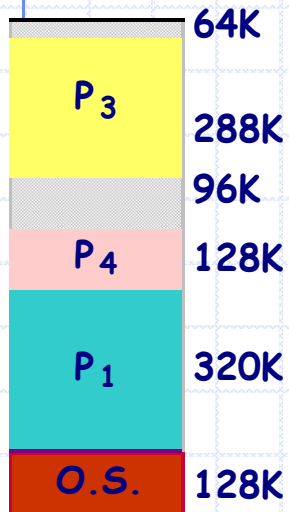
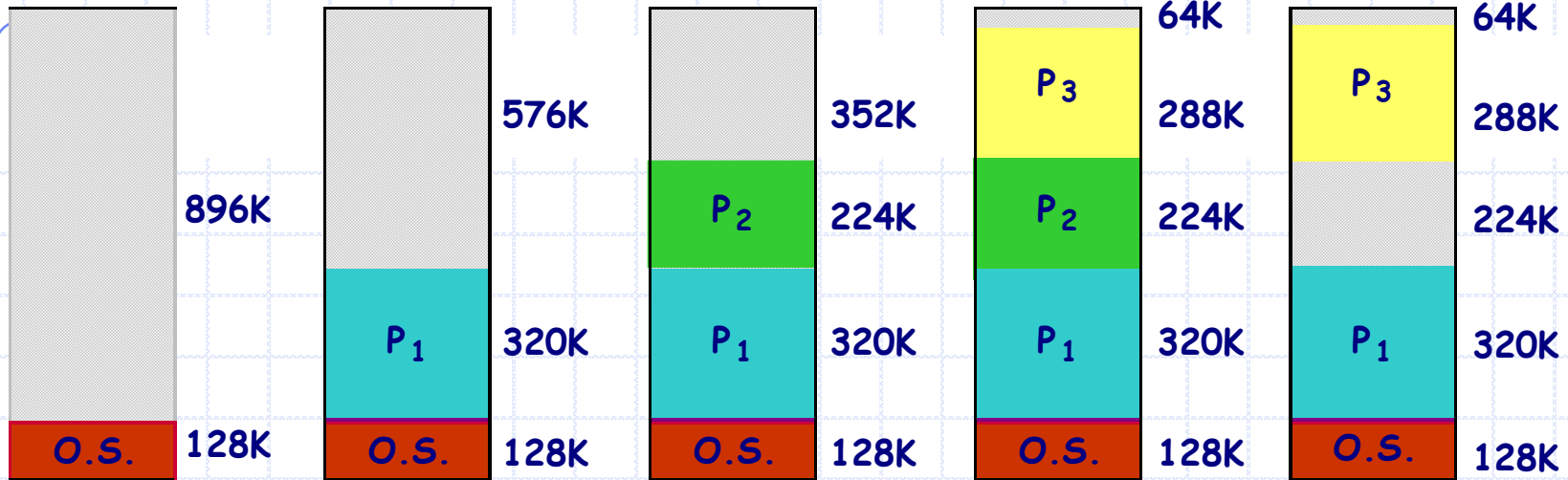


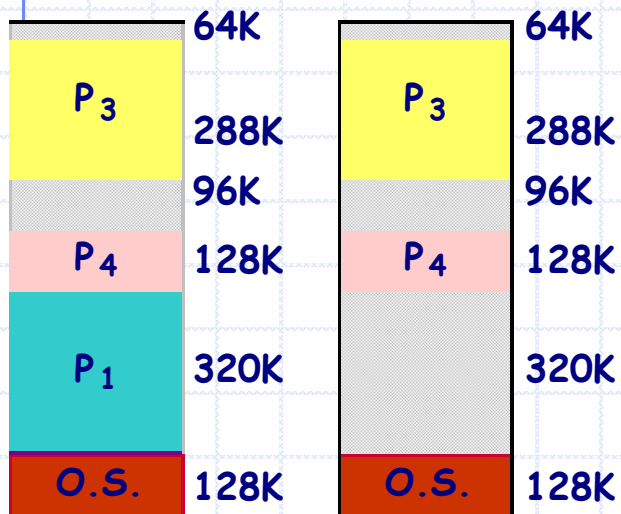
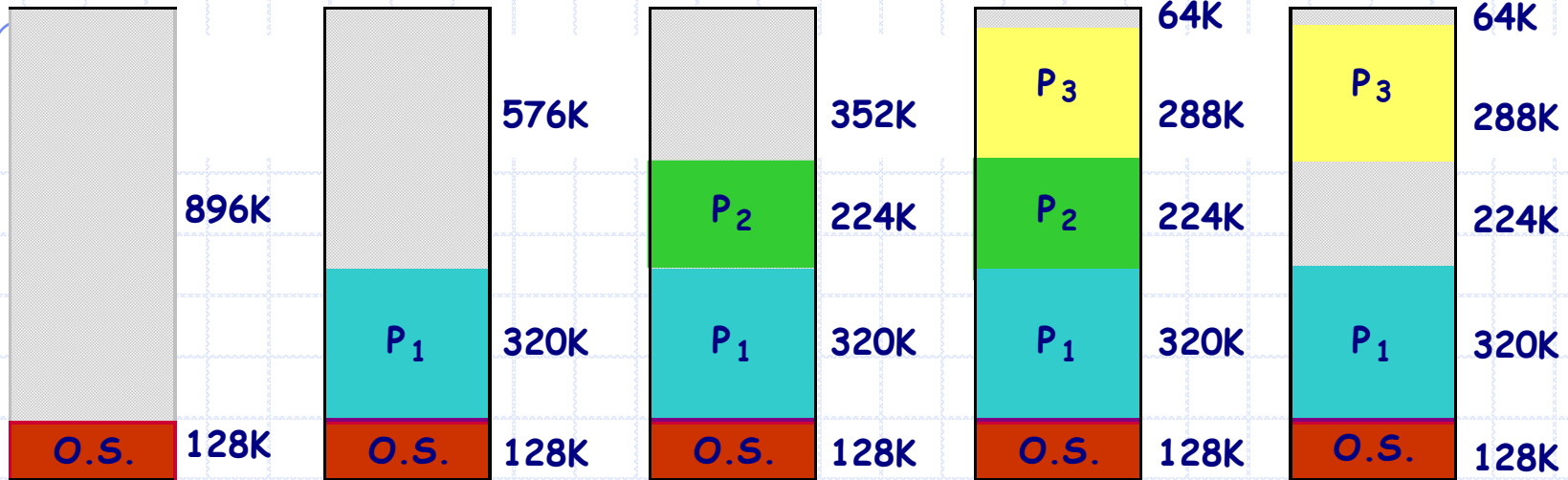


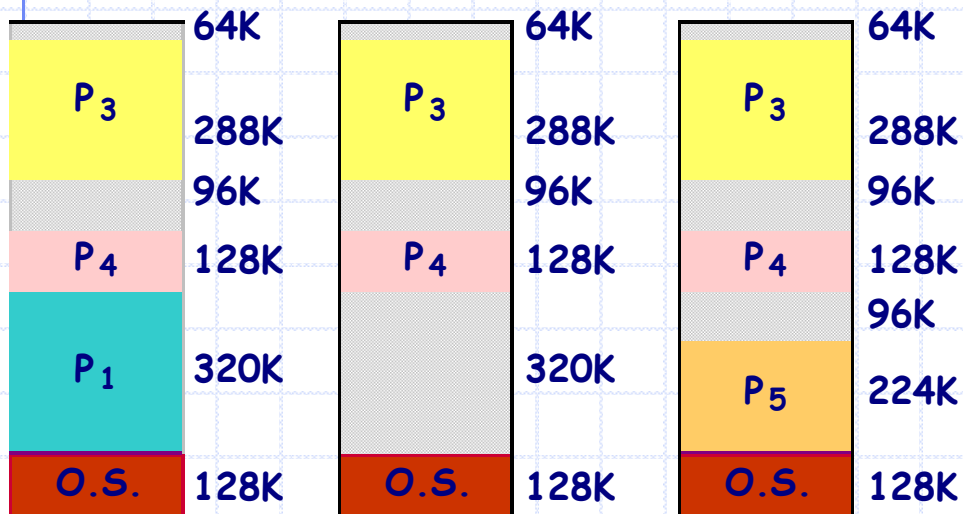
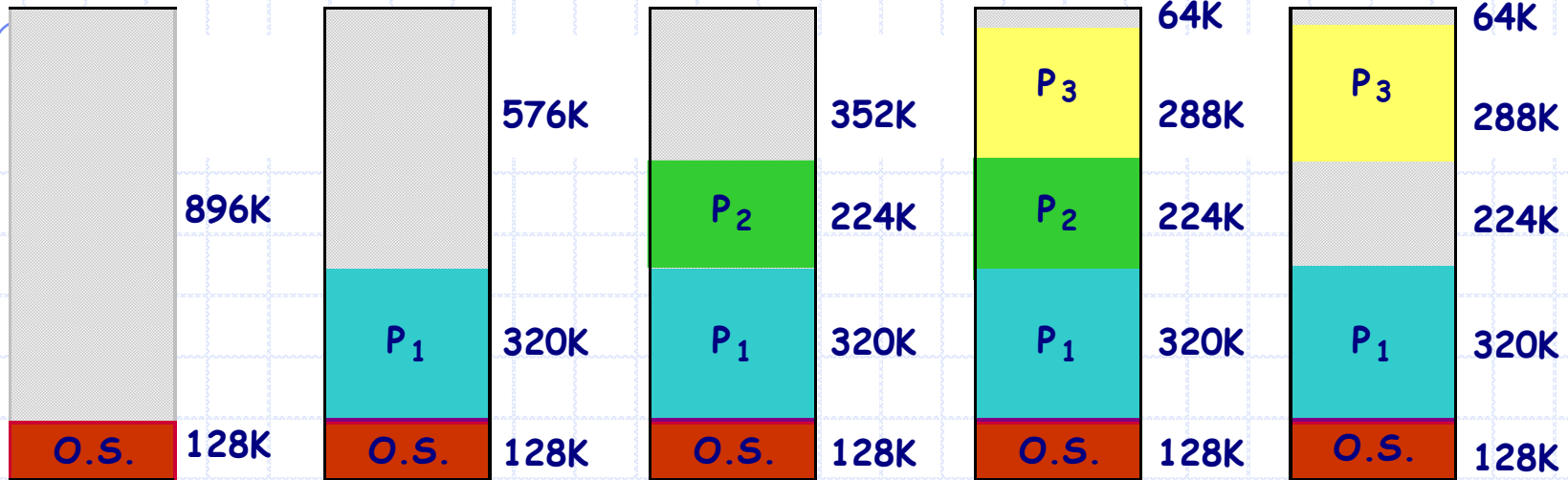


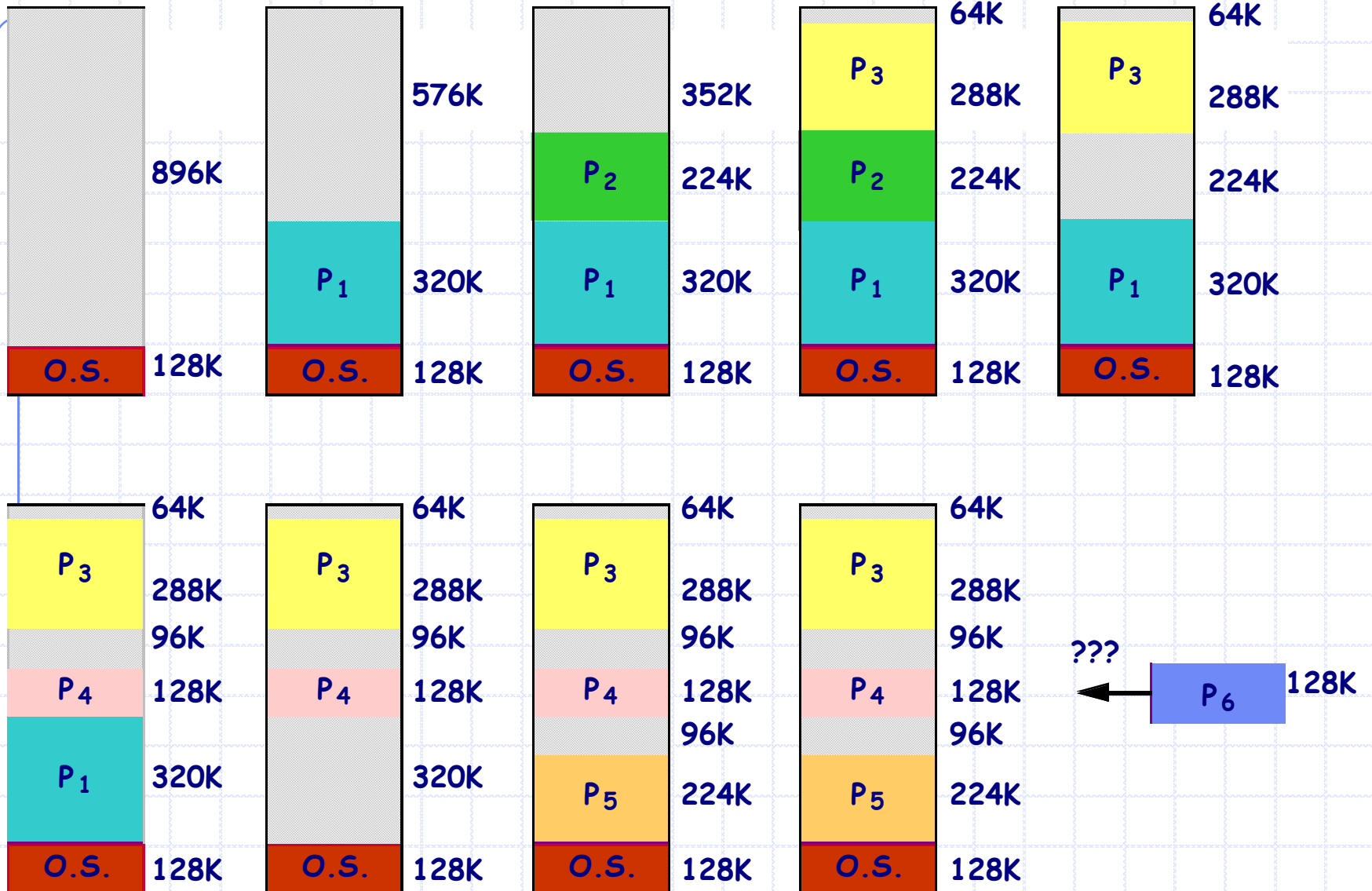






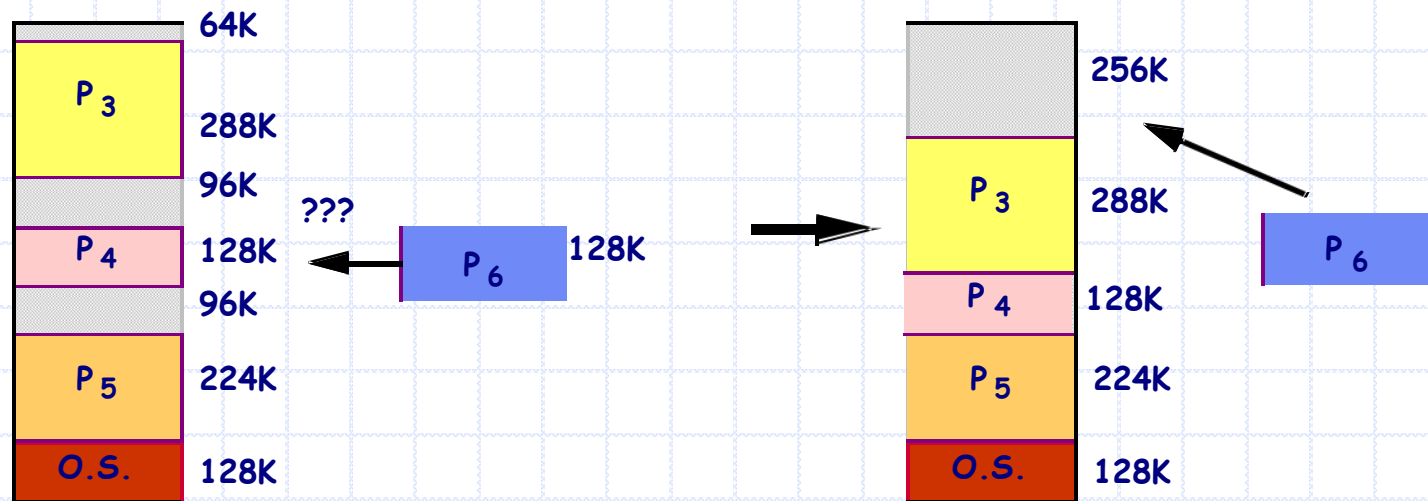






# Dealing with fragmentation

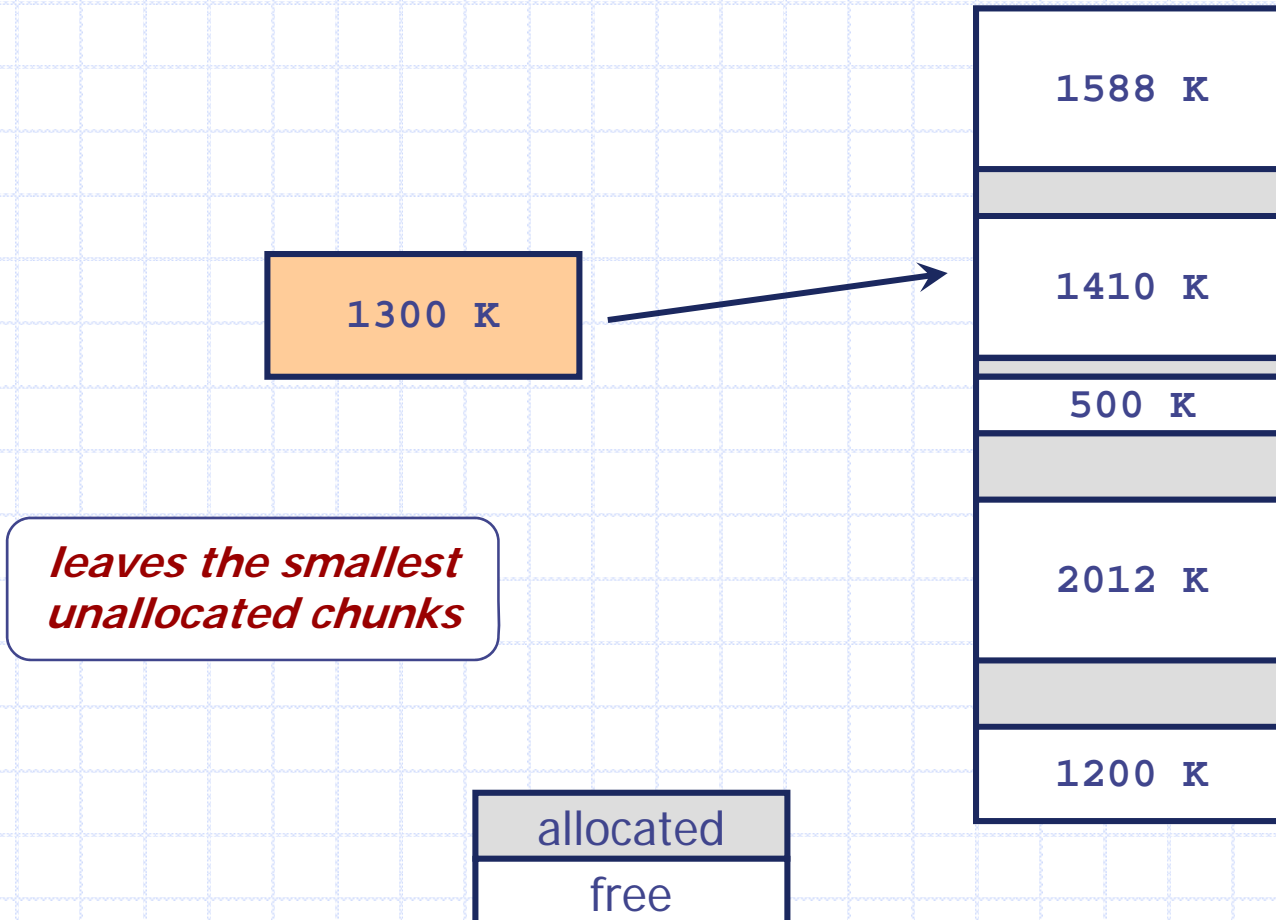
- *Compaction* – from time to time we move the processes around to collect all free space into one contiguous block



- Allocation Strategies: First-fit, best-fit, worst-fit
  - these affect the degree of fragmentation

# Best Fit Memory Allocation

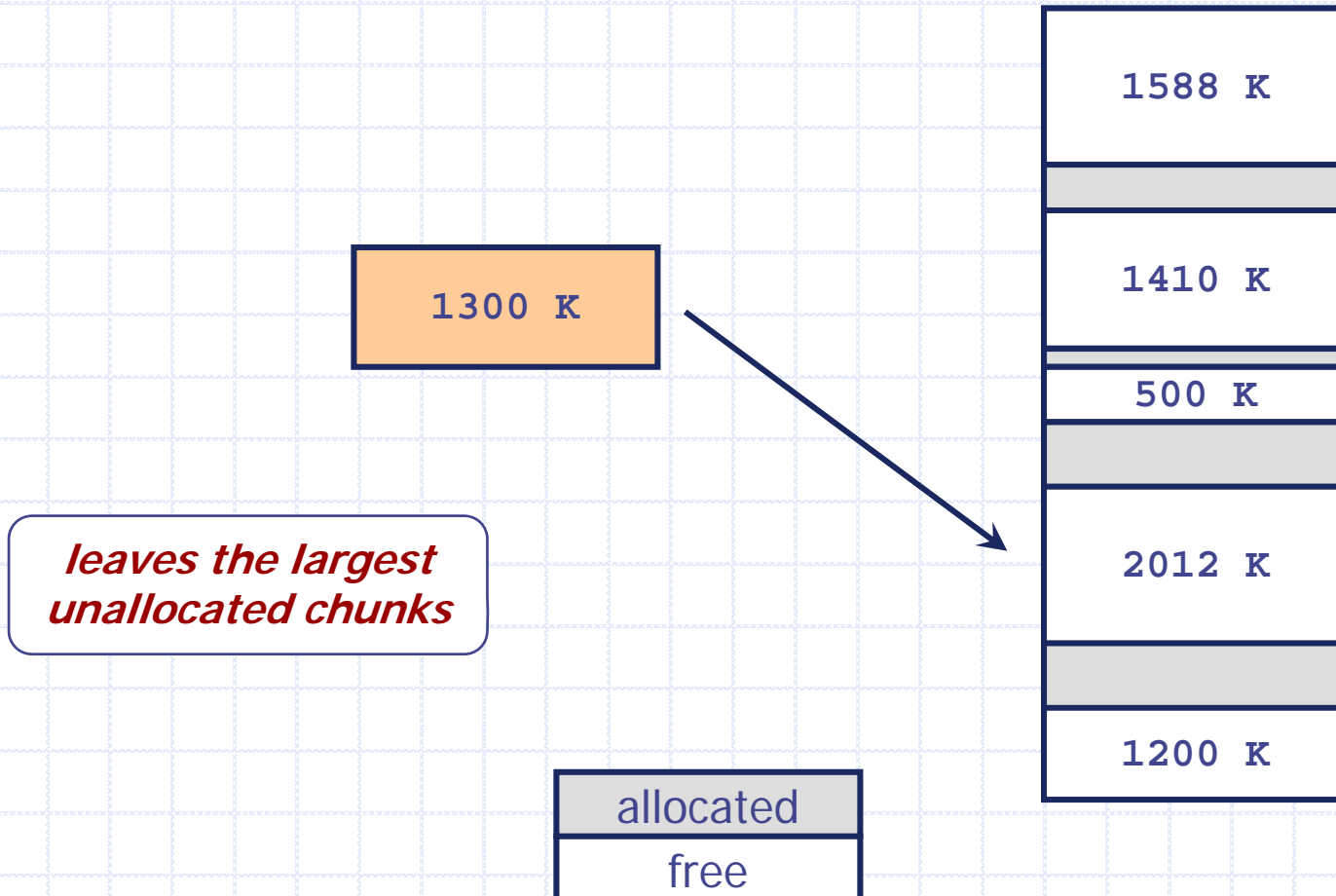
- allocate the smallest block of free memory in which the process will fit





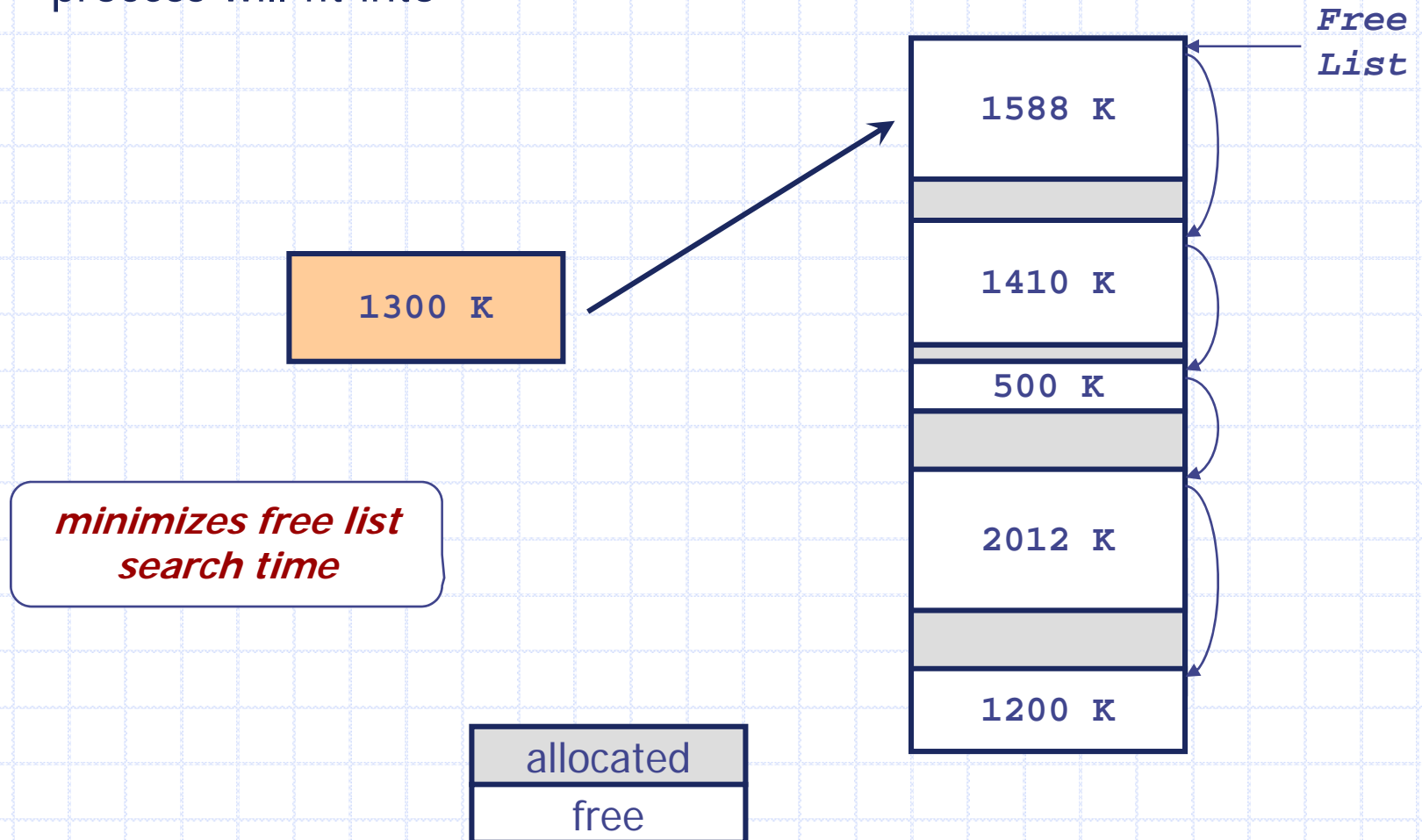
# Worst Fit Memory Allocation

- allocate the largest block of free memory
  - as long as the process will fit



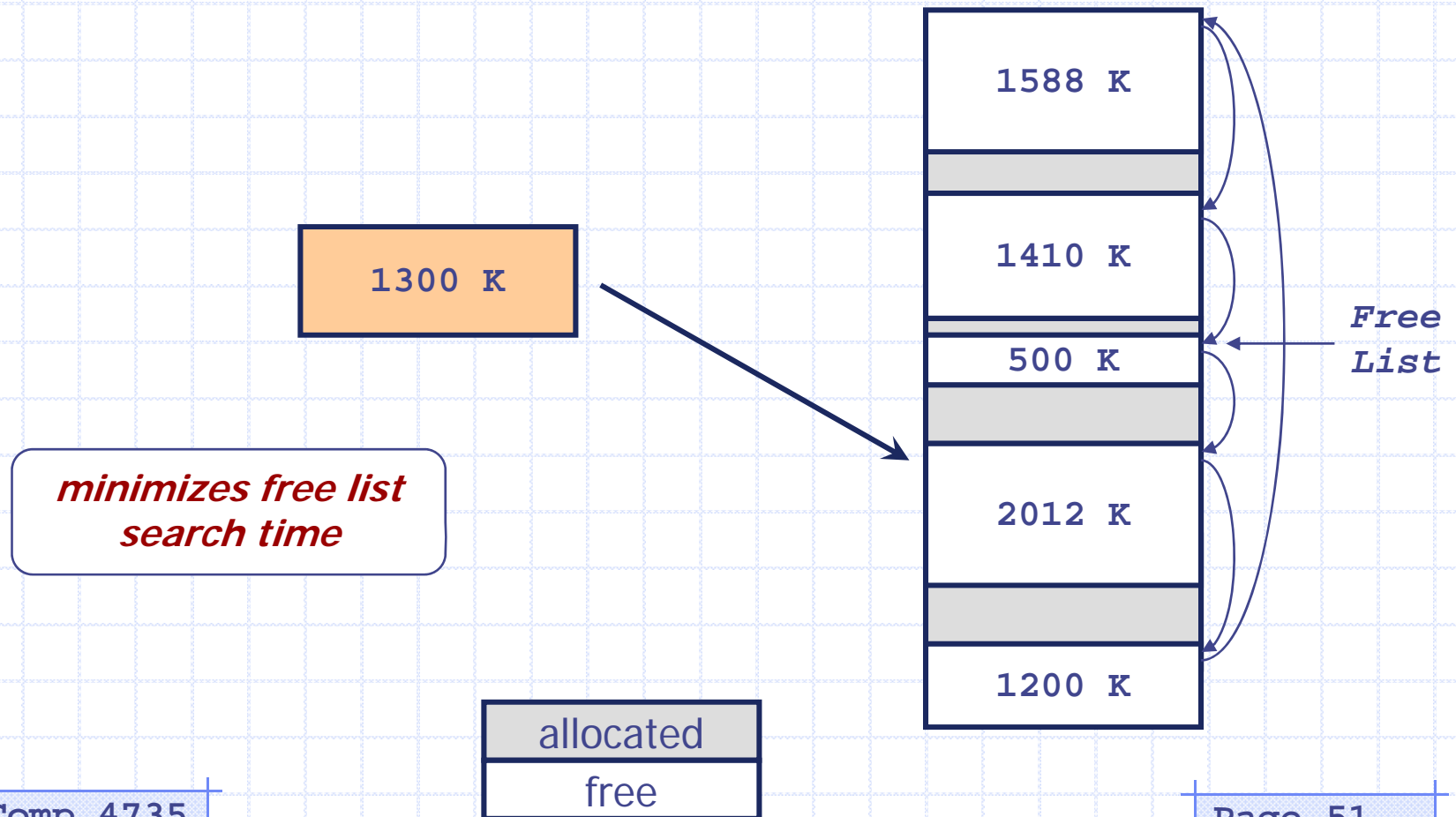
# First Fit Memory Allocation

- allocate the first block of memory (from the free-list) that the process will fit into



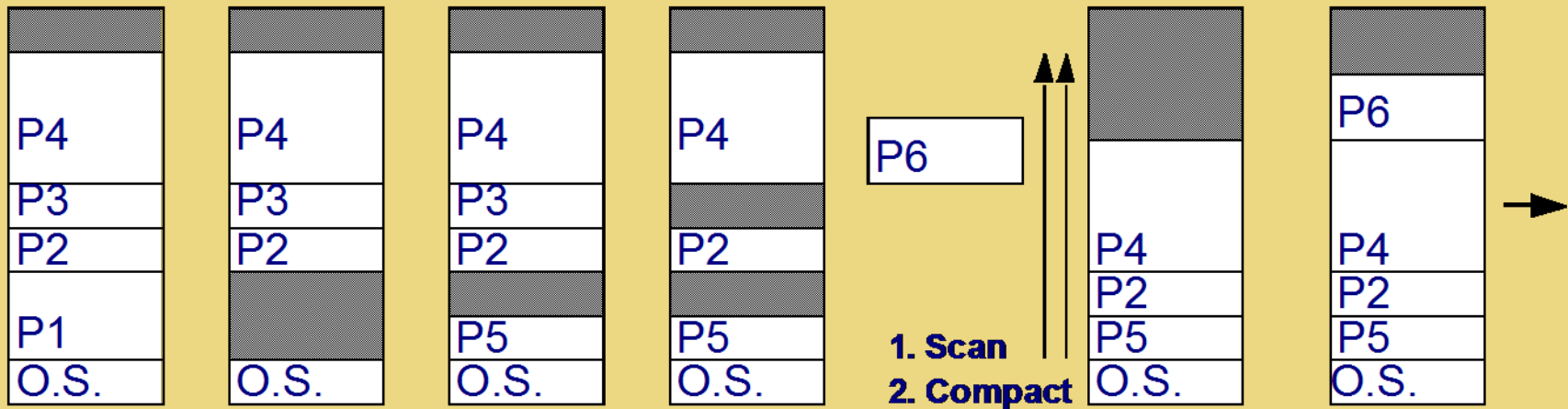
# Next Fit Memory Allocation

- similar to first fit, but free-list is a circular list
  - start search at the first free block following the last one allocated

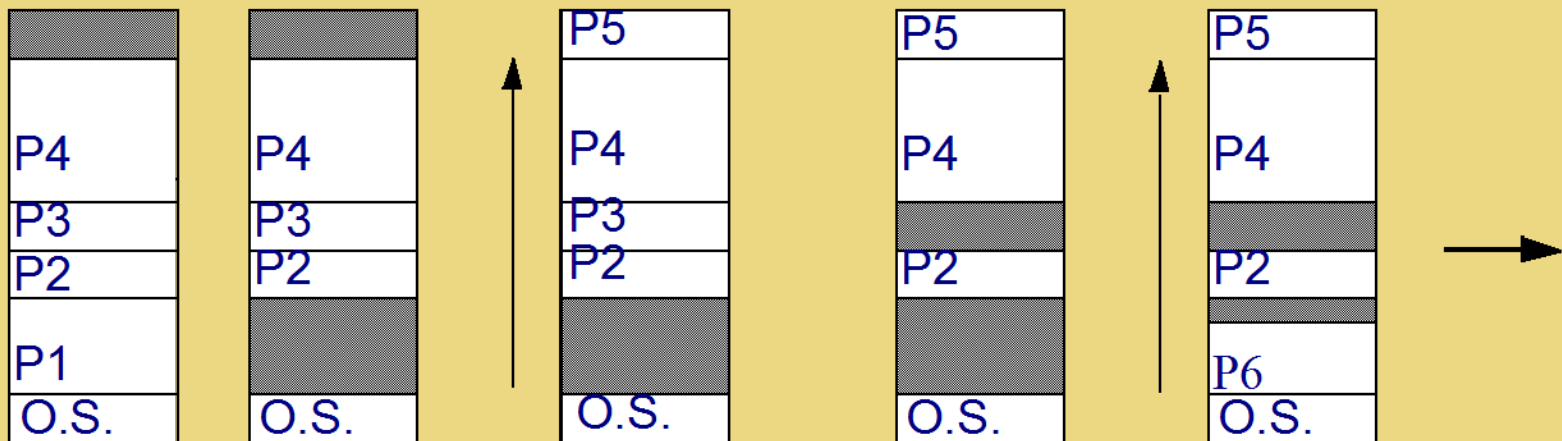


# Influence of allocation policy

## FIRST-FIT



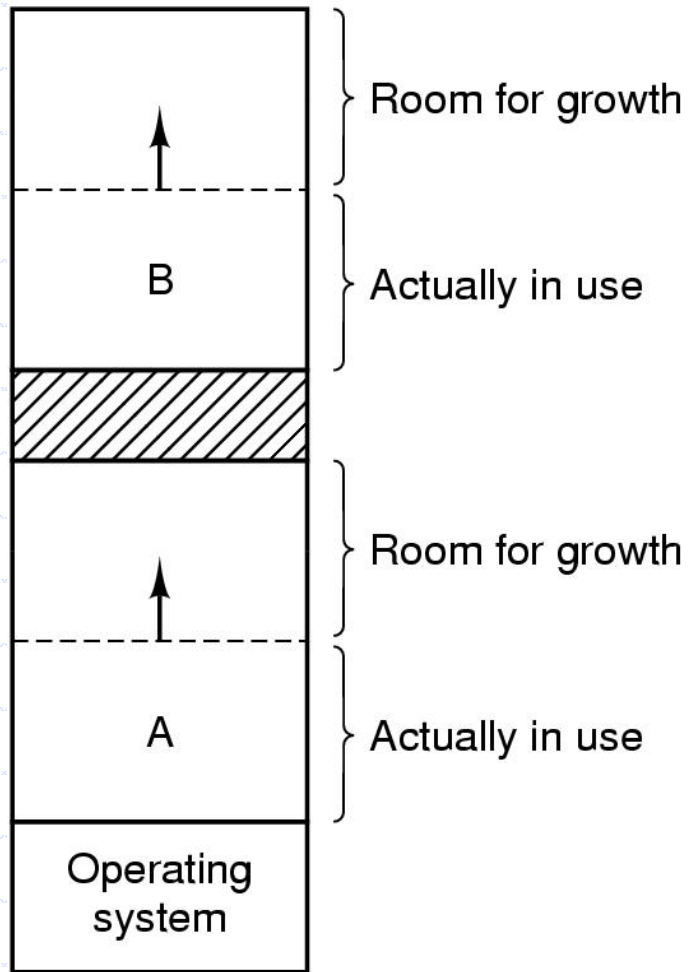
## BEST-FIT



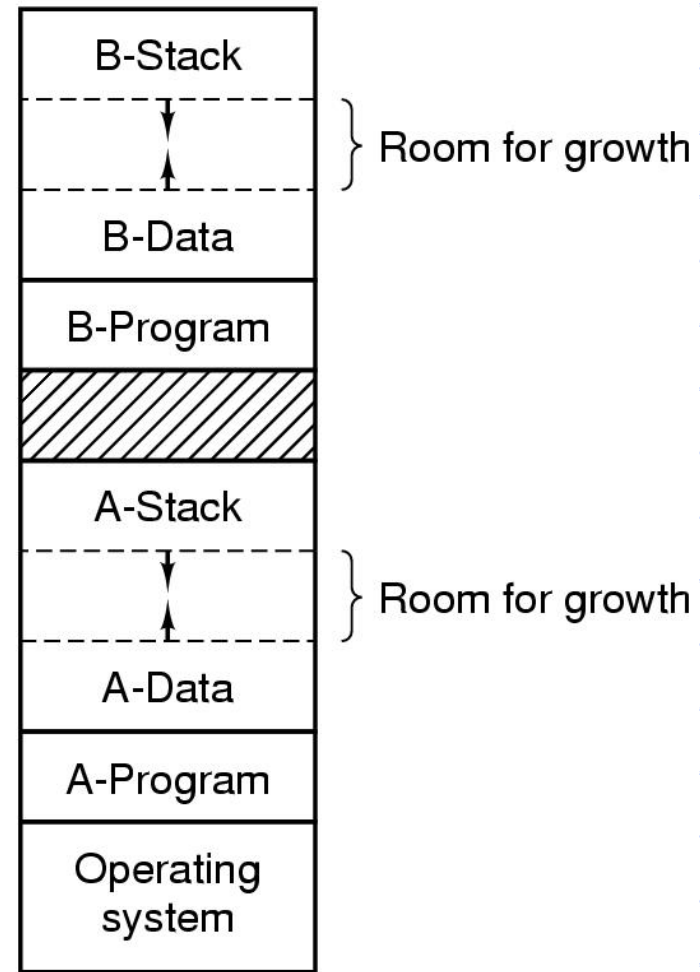
# How big should partitions be?

- Programs may want to grow during execution
  - More room for stack, heap allocation, etc
- Problem:
  - If the partition is too small programs must be moved
  - Requires modification of base and limit regs
  - Why not make the partitions a little larger than necessary to accommodate “some” growth?
- Fragmentation:
  - **External fragmentation** = unused space between partitions
  - **Internal fragmentation** = unused space within partitions

# Allocating extra space within partitions



(a)



(b)

# Managing free memory

- Each chunk of memory is either
  - Used by some process or unused (“free”)
- Operations
  - **Allocate** a chunk of unused memory big enough to hold a new process
  - **Free** a chunk of memory by returning it to the **free pool** after a process terminates or is swapped out

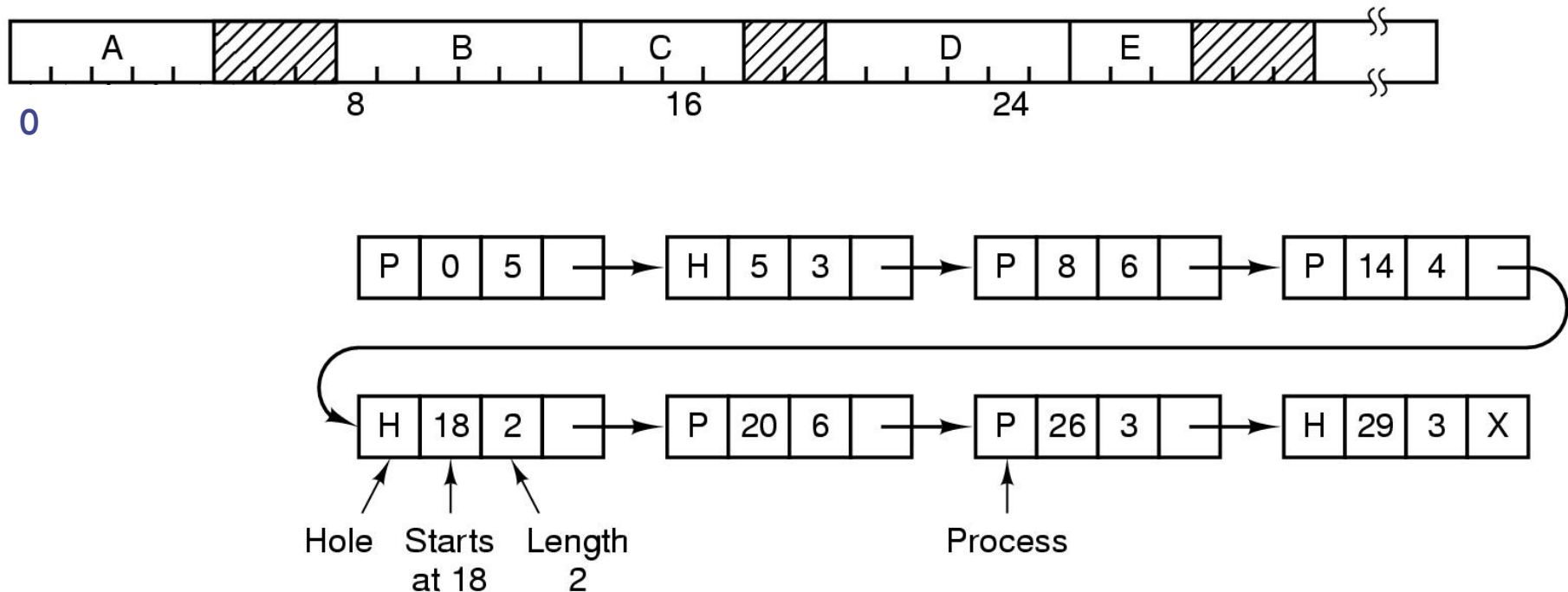
# Managing memory with linked lists

- Problem - we need to keep track of used and unused memory
- Technique: use a Linked List
- Keep a list of elements
- Each element describes one unit of memory
  - Free / in-use Bit (“P=process, H=hole”)
  - Starting address
  - Length
  - Pointer to next element



# Managing memory with linked lists

- the free-list structures indicate where processes and holes start
- each block of memory (free or otherwise) is on the list

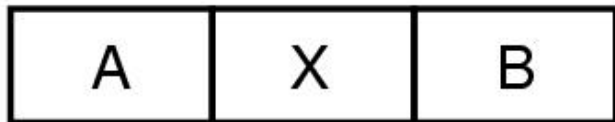


# Merging holes

- Whenever a unit of memory is freed we want to merge adjacent holes ...

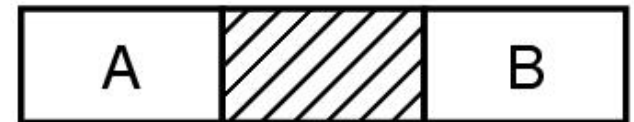
# Merging holes

Before X terminates



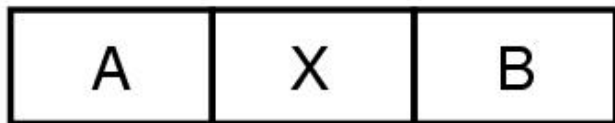
becomes

After X terminates



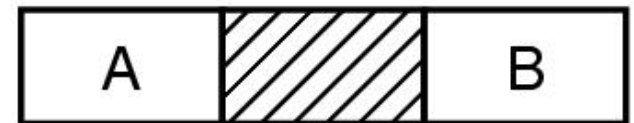
# Merging holes

Before X terminates

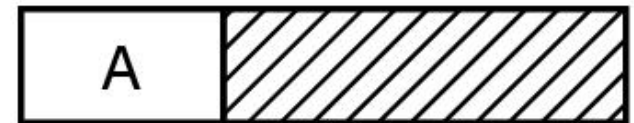
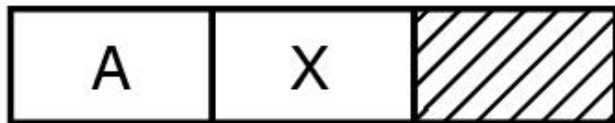


becomes

After X terminates

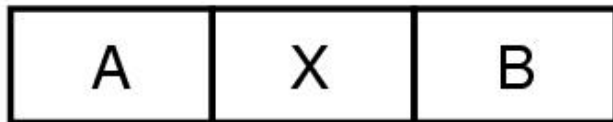


becomes

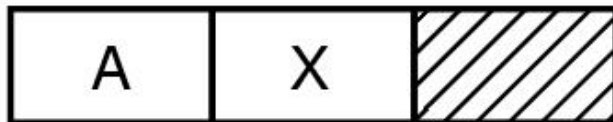


# Merging holes

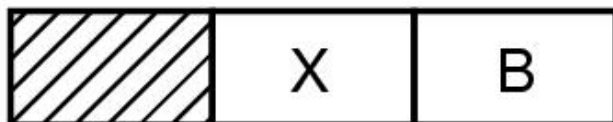
Before X terminates



becomes

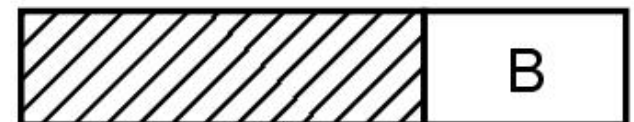
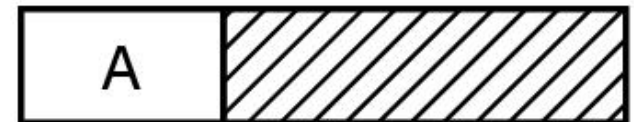
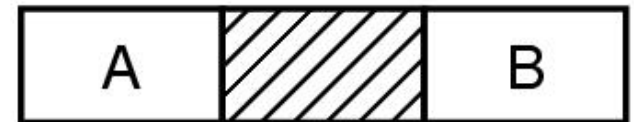


becomes



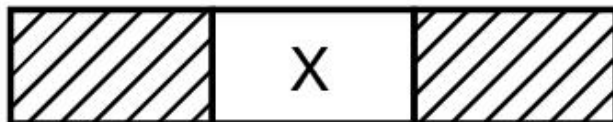
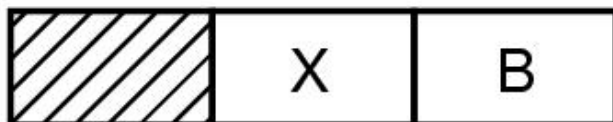
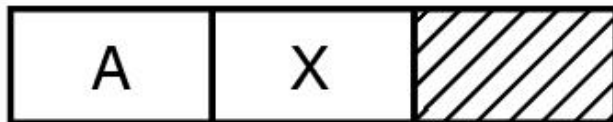
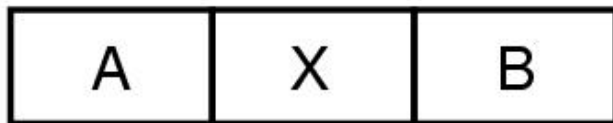
becomes

After X terminates



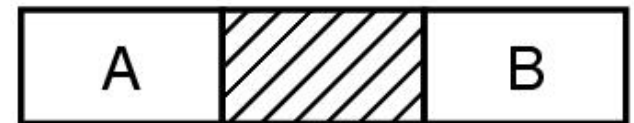
# Merging holes

Before X terminates

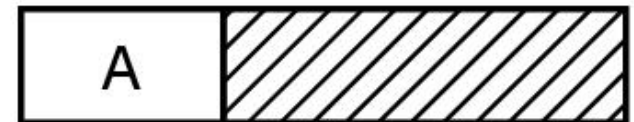


becomes

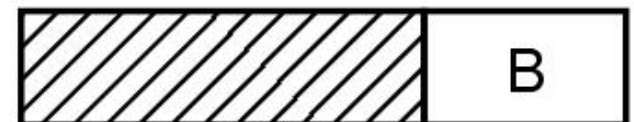
After X terminates



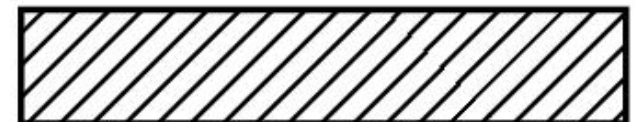
becomes



becomes



becomes

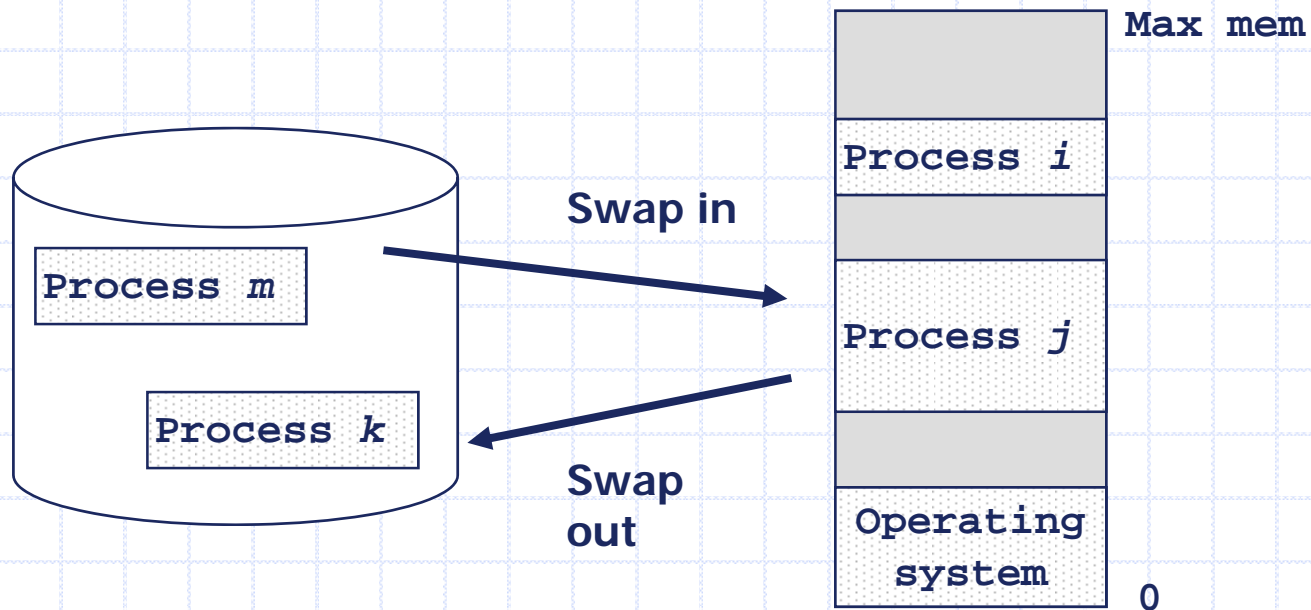


# Swapping

- When a program is **running**...
  - The entire program must be in memory
  - **Each program is put into a single partition**
- When the program is **not running**...
  - May remain resident in memory
  - **May get "*swapped*" out to disk**
- If we consider the life of a program over time...
  - Programs come into memory when they get **swapped in**
  - Programs leave memory when they get **swapped out**
  - A program may execute out of many partitions as it is swapped into and out of memory

# Basics - swapping

- Benefits of swapping:
  - Allows multiple programs to be run concurrently
  - ... more than will fit in memory at once





# The End