# **Q1** Constituent Parsing

20 Points

The problems in this section are based on the material covered in Week 6.

#### **Q1.1** Context Free Grammars

5 Points

Suppose we have the following CFG:

How many possible parse trees are there for the sentence "I like black cats and dogs"? Show the derivations for each possible parse, ie. the rules used and the order they are used in.

There are 2 possible parse trees for this sentence.

Derivations for the first parse:

Level 0-1:  $S \rightarrow NP VP$ 

Level 1-2: NP ightarrow NN VP ightarrow VB NP

Level 2-3: NN ightarrow I VB ightarrow like NP ightarrow NP and NP

Level 3-4: NP ightarrow JJ NP ightarrow NP ightarrow NN

Level 4-5: JJ ightarrow black NP ightarrow NN NN ightarrow dogs

Level 5-6: NN ightarrow cats

The first parse would indicate that I like black cats and I also like dogs.

Derivations for the second parse:

Level 0-1: S ightarrow NP VP

Level 1-2: NP ightarrow NN VP 
ightarrow VB NP

Level 2-3: NN ightarrow I VB ightarrow like NP ightarrow JJ NP

Level 3-4: JJ ightarrow black NP ightarrow NP and NP

Level 4-5: NP ightarrow NN NP ightarrow NN

Level 5-6: NN ightarrow cats NN ightarrow dogs

The second parse would indicate that I like cats and dogs that are black.

### **Q1.2** Context Free Grammars

5 Points

Suppose we have the following CFG:

 $\mathbf{S}$ NP VPNP ${
m DT~NN}$ NP $\rightarrow$  DT NNS VPVB NP $\operatorname{DT}$  $_{
m the}$ NN $\rightarrow$  $\operatorname{cat}$ NN $\operatorname{dog}$  $\rightarrow$ NNS $\operatorname{cats}$  $\rightarrow$  $\text{NNS} \quad \rightarrow \quad$  $\operatorname{dogs}$ VB $\rightarrow$ see VB $\rightarrow$ sees

This grammar can generate ungrammatical sentences, such as "the dog see the cat" and "the dogs sees the cat." What rule(s) would you need to add or change so that the grammar generates only grammatical sentences? List all the rules in your new grammar.

NN can only be followed by sees, and not by see. Similarly, NNS can only be followed by see, and not by sees. Hence, we first need to change VB. Then we need to change the rules VP to accommodate for two VB parses. We will also need to rename the NP rule so that we can change the rule for S such that it can yield grammatically correct sentences.

 $\mathsf{S} \to \mathsf{NP} \, \mathsf{VPS}$ 

 $\mathsf{S} \to \mathsf{NPS} \; \mathsf{VP}$ 

 ${\sf NP} \to {\sf DT} \; {\sf NN}$ 

 $\mathsf{NPS} \to \mathsf{DT} \; \mathsf{NNS}$ 

 $\mathsf{VP} \to \mathsf{VB} \; \mathsf{NP}$ 

 $\mathsf{VP} \to \mathsf{VB} \; \mathsf{NPS}$ 

 $\mathsf{VPS} \to \mathsf{VBS} \; \mathsf{NP}$ 

 $VPS \rightarrow VBS NPS$ 

 $\mathsf{DT} o \mathsf{the}$ 

 ${\sf NN} o {\sf cat}$ 

 $\mathsf{NN} o \mathsf{dog}$ 

 $\mathsf{NNS} \to \mathsf{cats}$ 

 $\mathsf{NNS} \to \mathsf{dogs}$ 

 $\mathsf{VB} \to \mathsf{see}$ 

 $VBS \rightarrow sees$ 

### Q1.3 Probabilistic Context Free Grammars

5 Points

Suppose we have the following PCFG:

$\mathbf{S}$	$\rightarrow$	NP VP	1.0
NP	$\rightarrow$	$\mathrm{DT}\mathrm{JJ}\mathrm{NN}$	1.0
VP	$\rightarrow$	VB	1.0
$\operatorname{DT}$	$\rightarrow$	the	1.0
JJ	$\rightarrow$	happy	0.5
JJ	$\rightarrow$	$\epsilon$	0.5
NN	$\rightarrow$	cat	1.0
VB	$\rightarrow$	sat	1.0

Convert this PCFG into Chomsky normal form. If you need new non-terminal symbols, use X,Y , and Z. Make sure you do not change the probabilities of sentences generated by this grammar.

For CNY, we can have rules of the form: A  $\to$  B C or A  $\to$  a; where B & C are non-terminals and not the start symbol, and a is a terminal but not empty-string  $\epsilon$ 

In the above grammar, we have 3 rules violating CNF conditions. The given PCFG can be converted to Chomsky Normal Form as following:

```
\rightarrow NP VB
\mathbf{S}
                            1.0
NP

ightarrow DT X
                              0.5

ightarrow~{
m DT~NN}
NP
                             0.5
\mathbf{X}

ightarrow JJ NN
                             1.0
\operatorname{DT}

ightarrow \ the
                             1.0
JJ

ightarrow happy
                             1.0
NN

ightarrow cat
                             1.0
VB
                             1.0
        \rightarrow
               sat
```

As we can see, the probabilities of the sentences generated by the CNF are the same.

#### **Q1.4** Probabilistic Context Free Grammars

5 Points

Suppose we have the following PCFG:

$\mathbf{S}$	$\rightarrow$	NP VP	1.0
NP	$\rightarrow$	$\mathrm{DT}\mathrm{NN}$	1.0
VP	$\rightarrow$	MD VP	0.5
VP	$\rightarrow$	VB NP	0.5
$\operatorname{DT}$	$\rightarrow$	${ m the}$	1.0
NN	$\rightarrow$	$\operatorname{cat}$	0.5
NN	$\rightarrow$	can	0.5
MD	$\rightarrow$	can	1.0
VB	$\rightarrow$	see	1.0

Use the CKY algorithm to find the highest probability parse of the sentence "The cat can see the can". Show your work, ie. the dynamic programming table.

(Note that CKY for probabilistic PCFGs uses a three-dimensional dynamic programming table. Instead of trying to format a 3D table, simply use a 2D table, as in CKY for non-probabilistic CFGs, and show both the rule(s) being used and the associated probability in each table entry, as we do in lecture.)

	the	cat	can	see	the	can
$\overline{ ext{the}}$	DT(1.0)	NP(0.5)	_	_	_	S(0.0625)
$\operatorname{cat}$		NN(0.5)	_	_	_	_
can			$egin{aligned}  ext{NN}(0.5) \  extbf{MD}(1.0) \end{aligned}$	_	_	VP(0.125)
see			,	VB(1.0)	_	${ m VB}(0.25)$
$_{ m the}$					DT(1.0)	NP(0.5)
can						$\mathbf{NN}(0.5) \ \mathrm{MD}(1.0)$

Using the CKY algorithm, we get the following parse:

```
\begin{array}{c} {\rm S} \rightarrow {\rm NP\, VP} \\ {\rm NP} \rightarrow {\rm DT\, NN} \\ {\rm DT} \rightarrow the \\ {\rm NN} \rightarrow cat \\ {\rm VP} \rightarrow {\rm MD\, VP} \\ {\rm MD} \rightarrow can \\ {\rm VP} \rightarrow {\rm VB\, NP} \\ {\rm VB} \rightarrow see \\ {\rm NP} \rightarrow {\rm DT\, NN} \\ {\rm DT} \rightarrow the \\ {\rm NN} \rightarrow can \end{array}
```

# **Q2** Dependency Parsing

15 Points

The problems in this section are based on the material covered in Week 7.

### **Q2.1**

5 Points

Parse this sentence by hand and list the dependency relations. You can use arrows, as we do in lecture, or simply list pairs of (head, dependent).

head	$\rightarrow$	dependent
$\operatorname{root}$	$\rightarrow$	$\operatorname{think}$
$\operatorname{think}$	$\rightarrow$	I
$\operatorname{think}$	$\rightarrow$	like
like	$\rightarrow$	I
$\operatorname{think}$	$\rightarrow$	cats

This gives the pairs (root, think), (think, I), (think, like), (like, I), (think, cats)

### Q2.2

5 Points

Parse this sentence using shift-reduce parsing, the arc-standard transition system, and no arc labels. You can assume you have a perfet oracle that tells you the correct action to take at each time step. Show the stack, buffer, action, and relation set at each time step (you can use a table, as we do in lecture, or simply show a series of lists).

$\operatorname{STACK}$	BUFFER	ACTION	RELATION
root	I think I like cats	$\operatorname{shift}$	
$\mathrm{root},\mathrm{I}$	think I like cats	$\operatorname{shift}$	
${ m root}, { m I}, { m think}$	I like cats	$\operatorname{LeftArc}$	$\mathrm{I} \leftarrow \mathrm{think}$
${ m root,think}$	I like cats	$\operatorname{shift}$	
${ m root,think,I}$	like cats	$\operatorname{shift}$	
root, think, I, like	cats	$\operatorname{LeftArc}$	$\text{I} \leftarrow \text{like}$
root, think, like	cats	$\operatorname{shift}$	
root, think, like, cats		${ m RightArc}$	$\mathrm{like} \rightarrow \mathrm{cats}$
root, think, like		${ m RightArc}$	$\text{think} \rightarrow \text{like}$
root, think		${ m RightArc}$	$\mathrm{root} \to \mathrm{think}$
root		DONE	

## Q2.3

5 Points

Parse this sentence again, this time using the arc-eager transition system. Show your work as before.

$egin{array}{c ccccccccccccccccccccccccccccccccccc$	N
$egin{array}{c ccccccccccccccccccccccccccccccccccc$	
$\begin{array}{c ccccccccccccccccccccccccccccccccccc$	
$ ext{root, think, I} \mid  ext{like cats} \qquad \qquad  ext{LeftArc Pop} \qquad  ext{I} \leftarrow  ext{like}$	ık
, , , , , , , , , , , , , , , , , , ,	
, ,1 · 1   1·1   ,	
${ m root, think} \mid { m like, cats} \qquad \qquad \mid { m RightArc  Push} \mid { m think}  ightarrow { m like}$	ce
${ m root,think,like} \;   \; { m cats} \;   \; \; \; \; \; \; \; \; \; \; \; \; \; \; \; \; \; $	S
root, think, like, cats reduce	
root, think, like reduce	
root, think reduce	
root DONE	

The problems in this section are based on the material covered in Week 8.

Suppose we have a training corpus of three sentences:

1. the dog 2. little dog 3. black dog le chien petit chien chien noir

Now suppose we have a word-level statistical machine translation model with the parameters q(j|i,l,m) and  $t(f_i|e_j)$  initialized uniformly using this corpus.

#### Q3.1

5 Points

Perform one iteration of expectation maximization to update the parameters using the training corpus. State the values of the q's and t's at the end of this iteration, plus the intermediate values you calculated for  $p(a_i=j|f_i,e_j)$ . You can simply state the values; no need to show the arithmetic.

Due to uniform initialization, all q(j|i) values are 1/2 and all  $t(f_i|e_j)$  values are 1/4

For all 3 sentences:

$$p(a_1=1)=rac{1}{2}$$
  $p(a_1=2)=rac{1}{2}$   $p(a_2=1)=rac{1}{2}$   $p(a_2=2)=rac{1}{2}$ 

Expected counts:

$$c(\mathrm{the}) = 1$$
  $c(\mathrm{dog}) = 3$   $c(\mathrm{little}) = 1$   $c(\mathrm{black}) = 1$ 

Expected pair counts:

$$c(\text{the, le}) = \frac{1}{2} \quad c(\text{the, chien}) = \frac{1}{2} \quad c(\text{the, petit}) = 0 \quad c(\text{the, noir}) = 0$$

$$c(\text{dog, le}) = \frac{1}{2} \quad c(\text{dog, chien}) = \frac{3}{2} \quad c(\text{dog, petit}) = \frac{1}{2} \quad c(\text{dog, noir}) = \frac{1}{2}$$

$$c(\text{little, le}) = 0 \quad c(\text{little, chien}) = \frac{1}{2} \quad c(\text{little, petit}) = \frac{1}{2} \quad c(\text{little, noir}) = 0$$

$$c(\text{black, le}) = 0 \quad c(\text{black, chien}) = \frac{1}{2} \quad c(\text{black, petit}) = 0 \quad c(\text{black, noir}) = \frac{1}{2}$$

$$t_{MLE}(f|e) = rac{c(e,f)}{c(e)}$$

$$\begin{array}{ll} t(\text{le}|\text{the}) = \frac{1}{2} & t(\text{le}|\text{dog}) = \frac{1}{6} & t(\text{le}|\text{little}) = 0 & t(\text{le}|\text{black}) = 0 \\ t(\text{chien}|\text{the}) = \frac{1}{2} & t(\text{chien}|\text{dog}) = \frac{1}{2} & t(\text{chien}|\text{little}) = \frac{1}{2} & t(\text{chien}|\text{black}) = \frac{1}{2} \\ t(\text{petit}|\text{the}) = 0 & t(\text{petit}|\text{dog}) = \frac{1}{6} & t(\text{petit}|\text{little}) = \frac{1}{2} & t(\text{petit}|\text{black}) = 0 \\ t(\text{noir}|\text{the}) = 0 & t(\text{noir}|\text{dog}) = \frac{1}{6} & t(\text{noir}|\text{little}) = 0 & t(\text{noir}|\text{black}) = \frac{1}{2} \end{array}$$

$$q_{MLE}(j|i,l,m) = rac{p(a_i=j|f_i,e_j)}{c(i,l,m)}$$

$$q(1|1) = rac{1}{2}$$
  $q(1|2) = rac{1}{2}$   $q(2|1) = rac{1}{2}$   $q(2|2) = rac{1}{2}$ 

### Q3.2

5 Points

Perform a second iteration of expectation maximization to update the parameters again. As before, state the values of the q's and t's at the end of this iteration, plus the intermediate values you calculated for  $p(a_i=j|f_i,e_j)$ .

For sentence 1:

$$p(a_1=1)=rac{1}{2}$$
  $p(a_1=2)=rac{1}{2}$   $p(a_2=1)=rac{1}{4}$   $p(a_2=2)=rac{3}{4}$ 

For sentence 2:

$$p(a_1=1)=rac{1}{2}$$
  $p(a_1=2)=rac{1}{2}$   $p(a_2=1)=rac{1}{4}$   $p(a_2=2)=rac{3}{4}$ 

For sentence 3:

$$p(a_1=1)=rac{1}{2}$$
  $p(a_1=2)=rac{1}{2}$   $p(a_2=1)=rac{3}{4}$   $p(a_2=2)=rac{1}{4}$ 

Updated values for  $t_{MLE}(f|e)$ :

$$\begin{array}{ll} t(\text{le}|\text{the}) = \frac{1}{2} & t(\text{le}|\text{dog}) = \frac{1}{6} & t(\text{le}|\text{little}) = 0 & t(\text{le}|\text{black}) = 0 \\ t(\text{chien}|\text{the}) = \frac{1}{2} & t(\text{chien}|\text{dog}) = \frac{1}{2} & t(\text{chien}|\text{little}) = \frac{1}{2} & t(\text{chien}|\text{black}) = \frac{1}{2} \\ t(\text{petit}|\text{the}) = 0 & t(\text{petit}|\text{dog}) = \frac{1}{6} & t(\text{petit}|\text{little}) = \frac{1}{2} & t(\text{petit}|\text{black}) = 0 \\ t(\text{noir}|\text{the}) = 0 & t(\text{noir}|\text{dog}) = \frac{1}{6} & t(\text{noir}|\text{little}) = 0 & t(\text{noir}|\text{black}) = \frac{1}{2} \end{array}$$

Updated values for  $q_{MLE}(j|i,l,m)$ :

$$q(1|1)=rac{1}{2}$$
  $q(1|2)=rac{5}{12}$   $q(2|1)=rac{1}{2}$   $q(2|2)=rac{7}{12}$ 

# **Q4** Late Penalty

0 Points

**QUESTION 4** 

Late Penalty

This problem intentionally left blank.

Homework 3 Written	• UNGRADED
STUDENT Adithya lyer	
TOTAL POINTS - / 45 pts	
QUESTION 1	
Constituent Parsing	20 pts
1.1 — Context Free Grammars	5 pts
1.2 — Context Free Grammars	5 pts
1.3 Probabilistic Context Free Grammars	5 pts
1.4 Probabilistic Context Free Grammars	5 pts
QUESTION 2	
Dependency Parsing	15 pts
2.1 — (no title)	5 pts
2.2 — (no title)	5 pts
2.3 (no title)	5 pts
QUESTION 3	
Machine Translation	10 pts
3.1 (no title)	5 pts
3.2 (no title)	5 pts

0 pts