

CATALAN NUMBERS:

$$C_n = \frac{1}{n+1} \binom{2n}{n} = \frac{1}{2n+1} \binom{2n+1}{n+1}$$

$$C_n = \sum_{k=0}^{n-2} C_k C_{n-k-1}$$

Thm: # of circular arrangements of $(n+1)$ 1's and $(n-1)$ -1's is $C_n = \frac{1}{2n+1} \binom{2n+1}{n+1}$.

This is just counting circ perms after proving that all cyclic shifts of $(n+1)$ 1's and $n-1$'s are distinct (consider for contradiction that this doesn't hold; then, suppose shift is by k . Then, concatenate k copies of perm and count # 1's in 2 ways).

Ballot Sequences: A sequence of length $2n$ with n 1's and n -1's s.t. each initial segment has at least as many 1's as -1's.

Thm: # of ballot seq. of len n = $\frac{1}{n+1} \binom{2n}{n}$

Dyck Paths: From $(0,0)$ to $(2n, 0)$ — contained in $y \geq 0$; steps \nearrow, \searrow .

Dyck Paths to $(2n, 0)$ = C_n .

Recurrence:



TRIANGULATIONS OF AN $(n+2)$ -GON:

of ways to triangulate $(n+2)$ -gon = C_n

• Consider a defining edge e .

- in exactly 1 triangle. When you remove this triangle, left w/ k -gon, $(n+1-k)$ -gon

- So, $C_n = \sum_i C_i C_{n-i-2}$.

OF PLANE TREES ON $(n+1)$ NODES:

PLANE TREE: Has distinguished vertex v (root).

P has sequence of smaller (P_1, P_2, \dots, P_m) s.t. $\# P - 1 = \sum_{i=1}^m \# P_i$

Claim: C_n plane trees w/ $n+1$ nodes.

Pf: Note that a plane tree w/ $n+1$ nodes has n edges. Then, we can do a DFS of tree, marking a 1 when we go down an edge and a -1 when we come back up. This is a ballot seq!

HW PROBLEMS:

1.4: How many ways to choose (A, B) of subsets of $[n]$ such that $A \cap B \neq \emptyset$? $[4^n - 3^n]$

Insight: 4^n total ordered pairs w/ no restriction. 3^n pairs w/ $A \cap B = \emptyset$, as each elt has 3 choices

1.6: How many sets of 3 numbers $\in [20]$ s.t. no consecutive #'s in a set?

Soln: Bijection to words over $\{0, 1\}$ of length 20 w/ exactly 3 non-consec 1s. Once 0's are placed, $\binom{18}{3}$ ways to place 1s. (This is strong comp of 19 into 3).

2.2: Prove $\sum_{i=0}^k \binom{n}{i} (-1)^i = \binom{n-1}{k} (-1)^k$ for $0 \leq k \leq n$

$$\begin{aligned} (\pm x)^{n-k} &= (1-x)^n \cdot (\pm x)^{-1} \\ \sum_{k=0}^{n-1} \binom{n-1}{k} (-1)^k x^k &= \left(\sum_{k=0}^n \binom{n}{k} (-1)^k x^k \right) (1+x+x^2+\dots) \\ \binom{n-1}{k} (-1)^k &= \sum_{i=0}^k \binom{n}{i} (-1)^i \quad (\text{coeff of } x^k \text{ on both sides}) \end{aligned}$$

2.5 By integrating the Binomial Thm, evaluate:

$$1 - \frac{1}{2} \binom{n}{1} + \frac{1}{3} \binom{n}{2} - \dots + (-1)^n \frac{1}{n+1} \binom{n}{n}$$

$$\begin{aligned} (x+1)^n &= \sum_{k=0}^n \binom{n}{k} x^k \\ \frac{1}{n+1} (x+1)^{n+1} &= \sum_{k=0}^n \frac{1}{k+1} \binom{n}{k} x^{k+1} + C \Big|_{x=-1}^0 \\ \frac{1}{n+1} &= 0 - \sum_{k=0}^n \frac{1}{k+1} \binom{n}{k} (-1)^{k+1} \\ \frac{2}{n+1} &= \sum_{k=0}^n \frac{(-1)^k}{k+1} \binom{n}{k} = \text{original sum} \end{aligned}$$

KEY: DON'T FORGET CONSTANT OF INTEGRATION

INCLUSION - EXCLUSION PRINCIPLE:

$$\begin{aligned} |S - (A_1 \cup A_2)| &= |S| - |A_1| - |A_2| + |A_1 \cap A_2| \\ |S - \bigcup_{i \in [n]} A_i| &= \sum_{j=0}^n (-1)^j \sum_{I \in \binom{[n]}{j}} |\bigcap_{i \in I} A_i| \\ |S| &= (1\text{-way}\cap) + (2\text{-way}\cap) - (3\text{-way}\cap) + \dots \end{aligned}$$

Eg: Count the number of surjective functions from $X = [n]$ to $Y = [k]$.

$S = \{\text{functions from } X \rightarrow Y\}$ ($\# S = k^n$)

$A_i = \{\text{fns } f: X \rightarrow Y \text{ s.t. } i \text{ is not in } \text{Im}(f)\}$

Note $\# A_i = (k-1)^n$.

Also, $\#\text{-wise intersections} = (k-j)^n$.

So, this is: $\sum_{j=0}^k (-1)^j \binom{k}{j} (k-j)^n$.

COROLLARY: (STIRLING NUMBERS)

$$S(n, k) = \frac{1}{k!} \sum_{j=0}^k \binom{k}{j} (-1)^j (k-j)^n$$

As $S(n, k)$ is # of unordered parts into k nonempty blocks, can think of it like a surjective function ($\frac{1}{k!}$ for unordered).

EULER'S TOTIENT FUNCTION:

$$\phi(n) = \#\{k \in [n] : k \text{ relatively prime to } n\}$$

$$\phi(n) = n \prod_{j=1}^r (1 - \frac{1}{p_j})$$

if n has prime factors p_1, p_2, \dots, p_r

COUNT WEAK COMPS OF 7 w/ 7 parts s.t. no part = 2.

$$S: \text{All weak comps} \rightarrow \binom{n+k-1}{k-1}$$

$$A_i: \{x \in S \mid x_i = 2\}, 1 \leq i \leq 7$$

$$\binom{10}{5} \text{ weak comps w/ } x_i = 2$$

$$\binom{7}{4} \text{ weak comps w/ } x_i, x_j = 2, \text{ etc.}$$

DERANGEMENT: $w \in S_n, \forall i \in [n], w_i \neq i$

S : All perms

$$A_i: w \in S \text{ s.t. } w_i = i$$

$$\text{So, # derangements} = n! \sum_{k=0}^n \frac{(-1)^k}{k!}$$

Note that $d_n \approx \frac{1}{e}$ as $n \rightarrow \infty$

COUNT PERMS w/ ASCENTS / DESCENTS

S : All perms w/ just ascent conditions satisfied

A_i : i^{th} descent condition violated

Idea: Conditions going one way easy to count:

$$w_1 < w_2 < w_3, w_5 < w_6 < w_7 \rightarrow \binom{7}{3} \binom{4}{3}$$

Problem 8. For $n \geq 0$, prove that

$$\sum_{k=1}^n c(n, k) x^{n-k} = \prod_{k=1}^{n-1} (1+kx) \quad \text{HW 3.8}$$

where $c(n, k)$ is the signless Stirling number of the first kind.

Solution. We know that

$$\sum_{k=1}^n c(n, k) x^k = \prod_{k=1}^{n-1} (x+k).$$

Letting $x \mapsto x^{-1}$ and multiplying everything by x^n , we get

$$\sum_{k=1}^n c(n, k) x^{n-k} = x^n \left(\prod_{k=1}^n c(n, k) x^{-k} \right) = x^n \prod_{k=0}^{n-1} (x^{-1} + k) = \prod_{k=0}^{n-1} (1+kx).$$

(6) Find the recurrence relation for the number a_n of bees in the n th previous generation if a male bee is born asexually from a single female and a female bee has the normal male and female parents. The ancestral chart below shows that $a_1 = 1, a_2 = 2, a_3 = 3$.

HW 4.6 KEY: Partition into two recurrences!

Note that $a_n = m_n + f_n$, as each bee is male or female. Then, $m_n = f_{n-1}$ (as each female in the next gen must have a male parent), and $f_n = m_{n-1} + f_{n-2} = a_{n-2}$ as both males and females in next gen require a female parent. So, $a_n = f_{n-1} + f_{n-2} = a_{n-2} + a_{n-3}$.

(7) Prove that the number of permutations $w_1 \dots w_n \in S_n$ satisfying the condition $(*)$ there are no indices $i < j < k$ for which $b_{i,j} < b_{i,k} < b_{j,k}$ is a Catalan number. For example, when $n = 3$, all permutations in S_3 satisfy $(*)$ except 231.

We will partition these on w_3 . Let $w_3 = m$. Then, as $w_k < w_2 < w_1$ for all $j < k$, we must have $(m+1 \dots n)$ appearing before $(1 \dots m-1)$. So, we can partition the remainder of the permutation into two parts, each of which individually satisfy this condition. So, summing over all choices for w_3 , we have

$$T(n) = \sum_{k=2}^{n-1} C_{k-1} C_{n-k} = \sum_{i=0}^{n-2} C_i C_{n-i-2}.$$

This is precisely the recurrence for the Catalan numbers.

PARTITIONS: A partition of n

is a sequence $\lambda = (\lambda_1, \lambda_2, \dots)$ such that $\lambda_1 \geq \lambda_2 \geq \dots \geq 0$ (implies $\lambda_i = 0$ for all $i \geq i'$) and $\sum \lambda_i = n$.

• Can think of this as an unordered weak comp.

$$\begin{aligned} \text{Thm: } \sum_{n \geq 0} p(n) x^n &= \prod_{k \geq 1} (1 + x^k + x^{2k} + \dots) \\ &= \prod_{k \geq 1} \frac{1}{1-x^k} \end{aligned}$$

Pf: Let A be set of all partitions.

$$\alpha(a) = n \text{ for } a \in A.$$

Then, $a = (b_1, b_2, \dots)$, where b_i : Contribution from blocks of size i

$$S_0, B_1 = \{0, 1, 2, 3, \dots\}$$

$$B_2 = \{0, 2, 4, \dots\}, \text{ etc.}$$

$$\text{So, } \sum_{B_i} x^{B_i(b_i)} = 1 + x^1 + x^{2^2} + \dots$$

Thm: Gen func w/ each part $\leq k$

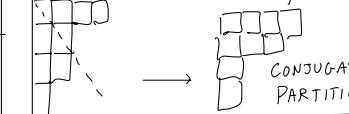
$$\text{is } \frac{1}{(1-x)(1-x^2) \dots (1-x^k)} \quad (\text{sets } B \text{ from } 1 \rightarrow k)$$

Thm: Gen func w/ all distinct parts:

$$(1+x)(1+x^2)(1+x^3) \dots$$

Thm: # of partitions s.t. each part odd equals # of partitions into distinct parts.

Thm: # of parts = $k \iff$ len of longest part = k



6.3 Let $F(n, k)$ be # of partitions of $[n]$ into k blocks such that each block contains at least 2 elements. Express F in terms of $S(n, k)$ - Stirling #s of 2nd kind

$S :=$ All partitions into k nonempty

$A_i := x \in S$ s.t. i is in 1-elt block

Note $\# A_i = S(n-1, k-2)$.

$\# (\text{j-wise } \cap \text{ of } A_i \text{'s}) = S(n-j, k-j)$.

$$\text{So, } F(n, k) = \sum_{j=0}^k \binom{n}{k} S(n-j, k-j) (-1)^j$$

5.6 Show that the number of sequences

$1 \leq a_1 \leq a_2 \leq \dots \leq a_n$ such that

$a_i \leq i, 1 \leq i \leq n$ is C_n .

Bijection to ballot seq: Let $a'_i = a_i + i - 1$.

Then, a'_i describes positions of 1 in B.S.

Prove correctness of f (every sequence actually produces a B.S.) and f^{-1} (every B.S. can be transformed to seq.).

Idea: each term describes how many "off" from earliest position each 1 is