

PROFESSIONAL EXPERIENCE

**IvyRock Asset Management (HK) Limited
Operations & Investor Relations Associate****Hong Kong
August 2016 – present**

- SFC Type 9 Licensed Representative
- Handle daily trade support, settlement, Funds valuations and performance, monthly NAV calculation, directly report to COO, Fund operations and investment support
- Develop and maintain relationship with high net worth individuals and financial institution investors, including new client onboarding KYC/ AML review and perform certified true copies for investors, assist clients for additional subscriptions, transfer of shareholdings and positions with change in UBO and redemptions
- Ensure weekly, monthly, quarterly and annually fund performance updates and communicated to all clients
- Prepare and compile tailored responses to clients qualitative and quantitative questions, including monthly Investor Market Value Statement, annual Professional Investor assessment, audit, tax, and operational DDQs
- Conduct industry research and assist in analysis with the investment team, analyst, CIO and Performance Managers for investor's queries and requests

**PricewaterhouseCoopers Limited, Hong Kong ("PwC Hong Kong")
Senior Audit Associate, Assurance - Financial Services****Hong Kong
June 2014 – August 2016**

- Involved in a wide range of financial audit assurance engagement for the world's top-tier investment banks and Chinese state-owned bank, Hong Kong Branch operations. Evaluate internal control, provide audit assurance service and conduct compliance review of banking returns services in accordance of regulations of Hong Kong Monetary Authority ("HKMA"). Act as a key senior mainly responsible for treasury, financial reporting, credit and deposit cycles. Tested on valuations of various financial products such as bonds, medium term notes, certificate of deposits, interest rate swap and etc.

**Ernst & Young, Hong Kong
Transfer Pricing Analyst, International Tax - Financial Services****Hong Kong
February 2013 – May 2014**

- Involved in a wide range of the global/ regional transfer pricing engagements in the Financial Services industries for the world's largest Banking Capital Markets, Insurance and Asset Management clients. Provide transfer pricing advisory services for multinational clients (i.e. reviewing and planning in accordance with OECD Guidelines and Asia Pacific transfer pricing regulatory frameworks).

**CYS Chartered Accountants & Business Advisors
Accountant****Brisbane, Australia
July 2011 – November 2012**

- Preparation of financial statements in accordance with the requirements of The Australian International Financial Reporting Standard "AIFRS" and Corporation Acts. Attending to tax consulting services together with lodgment with the Australian Taxation Office "ATO".

**Ruby C.Y. Siu Certified Public Accountants
Auditor****Hong Kong
February 2010 – August 2010**

- Handling assurance services of small and medium enterprises in according with IFRS and HKFRS.

EDUCATION

**Queensland University of Technology ("QUT"), Gardens Point Campus
Master of Business (M. Bus), Majoring in Advanced Accounting****Brisbane, Australia
June 2008 – July 2009**

Courses taken: Advanced Managerial Finance, Security Analysis, Financial Analysis and Business Valuation, etc.

**Queensland University of Technology ("QUT"), Gardens Point Campus
Bachelor of Business (B. Bus), Majoring in Professional Accounting****Brisbane, Australia
February 2006 – December 2007**

Courses taken: Financial Accounting, Company Accounting, Taxation Law, Quantitative Analysis and Finance and etc.

QUALIFICATIONS/ PROFESSIONAL BODY MEMBERSHIP

CPA Australia Qualified Full membership, Certified Accountant since 2014**Australia & Hong Kong**