

PROFESSIONAL SUMMARY

- Qualified CFA, FRM & CPA
- 6 years solid experience in audit of investment banks and PE funds
- 2 years practical experience in treasury operation, cash management, financial control, consolidation, budgeting, management reporting and tax reporting in a boutique alternative investment manager
- Looking for corporate accounting roles in growing financial institutions with wider business exposure

PROFESSIONAL QUALIFICATIONS

- CPA Australia since 2014
- Chartered Financial Analyst (CFA) since 2013
- Hong Kong Institute of Certified Public Accountants (HKICPA) since 2011
- Chartered Financial Risk Manager (FRM) since 2010

WORK EXPERIENCE

PAG

Finance Manager, Group Finance

Aug 2014 – Jun 2016

Financial management and reporting: Finance Manager of a leading Asian focused alternative investment manager with US\$16b+ AUM

- Handled full set of accounts for Hong Kong, Australia and USA investment advisors
- Prepared quarterly group consolidated results for management and covenant reporting
- Prepared annual consolidated financial statements for audit purpose
- Reviewed and consolidated annual budget from business and analysed the operating results
- Oversight day to day treasury operation, cash management and projection
- Coordinated with external consultant for tax filing and other regulatory compliance
- Experienced in financial control, including budgetary control, internal control and cost allocation

Key achievements

Setup an internal shared service centre: Established a centralised shared service centre and cost sharing policies for all business units/regions regarding various group level operating expenses. Eliminated redundant activities and resources and improved operational efficiency across multiple business units/regions.

Setup a group treasury hub: Replaced the existing group loan and set up a group treasury vehicle for internal financing. Transferred the group borrowing cost to business unit and enhanced tax efficiency.

Oracle ERP (General Ledger) upgrade and report automation: Worked closely with Oracle project implementation team to upgrade existing Oracle ERP system. Automated part of the month end closing processes which enhanced management reporting accuracy and timeliness.

Group transfer pricing documentation: Coordinated with external tax consultants on a group transfer pricing studies covering Hong Kong, Japan, Singapore and Australia. Strengthened the existing TP policies and reduced the tax risk with a more extensive TP documentation.

PricewaterhouseCoopers, Hong Kong
Manager, Assurance, Financial Services Practice,

Sep 2008 – Apr 2014

Audit and assurance: Engagement manager to provide audit and assurance services to investment banks and PE funds

- Prepared and reviewed audited financial statements and annual reports for financial institutions (including BOCI, CLSA, FountainVest Partners and Unitas Capital) under IFRS/HKFRS/US GAAP
- Reviewed compliance returns (FRR and banking returns) for regulatory bodies (SFC and HKMA)
- Performed testing on clients' key controls covering banking treasury, credit and financial reporting. Submitted findings to management with control recommendations.
- Managed day to day audit engagements, including staffing, resource management, review of audit files, and maintain client relationships
- Managed regional coordination to share findings and audit comfort among audit teams, managements, internal specialists and external experts across the region to address valuation, deal structure, tax, transfer pricing and legal issues
- Advised clients complex accounting application including share-based payment, share swap, business combination, financial instruments and intangible asset valuation

Advisory services: Executed a number of special projects in compliance reporting and capital market transactions

- Carried interest calculation on a deal exit
- Treatment of short term connected parties transactions under Banking Ordinance
- Involved in listing applications for IPO and warrant issuances
- Treatment of listed warrants, accumulators and other derivative products in FRR computation
- Reviewed acquisition target's non-performing loan portfolio for financial due diligence

OTHER RELEVANT EXPERIENCE

Financial statement analysis: Good understanding of financial and capital markets. Practical experience in market research in respect of financial and non-financial information from both public and non-public sources for financial due diligence.

Market risk management: Comprehensive knowledge in market risk measurement, management and review process (e.g. application of VaR measurement, back testing, stress testing and PnL attribution & substantiation). Familiar with hedging techniques using forwards, futures and options.

Product knowledge: Developed good understanding and interest on treasury products (e.g. use of equities, fixed incomes, repo, SBL, FX and interest rate derivatives) through auditing various investment banks, brokerage houses and investment funds.

EDUCATION

The Chinese University of Hong Kong
BBA in Professional Accountancy (First Class Honor)

Sep 2004 – Jun 2008