

# JACKY, WAI SU 蘇偉

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## EXPERIENCE

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| Sep 15 – Present | <b>NFTrinity Capital (Hong Kong) Limited – Global Multi Asset Class Asset Mgmt</b>   | <b>Hong Kong</b> |
|                  | <b>Senior Research Analyst</b>   |                  |
|                  | <ul style="list-style-type: none"><li>➤ Worked closely with CIO to manage the investments in TMT sectors. Involved in trading, sizing and the risk aspect of the portfolio</li><li>➤ Conducted in-depth fundamental bottoms-up research on companies and industries</li><li>➤ Built and maintained company and industry financial models, performed valuation analysis</li><li>➤ Met with investors, companies' management and site visits. Maintained company network</li><li>➤ Sector expertise – <b>Tech:</b> Hardware, Software, Internet, Semiconductors and Semi Equipment, Telecommunications and Telecom Equipment, Media. Industry knowledge in Consumer Discretionary and consumer staples.</li></ul>  |                  |
| May 10 – Aug 15  | <b>SAFE INVESTMENT COMPANY LTD</b>   | <b>Hong Kong</b> |
|                  | <b>Senior Investment Analyst / Portfolio Manager, Global TMT, Vice President</b>   |                  |
|                  | <ul style="list-style-type: none"><li>➤ Started managing a US TMT portfolio in 2015</li><li>➤ Worked closely with CIO and other portfolio managers the investments in TMT sectors</li><li>➤ Articulated insights and investment theses to CIO and CEO.</li><li>➤ Conducted in-depth fundamental bottoms-up research on companies and industries</li><li>➤ Built and maintained company and industry financial models, performed valuation analysis</li></ul>   |                  |
| May 07 – May 10  | <b>UBS AG - Prime Brokerage Collateral and Risk Manager</b>  | <b>Hong Kong</b> |
|                  | <ul style="list-style-type: none"><li>➤ Reviewed hedge fund clients' portfolios to ensure appropriate margin are applied. Understood clients' strategies and portfolios' risk exposures and factors. Met with clients to articulate margin philosophy. Handled all margin related queries. Conducted exposure and portfolio analysis on clients.</li><li>➤ Liaised with other collateral functions to facilitate stress-based cross-product margining</li><li>➤ Designed and prepared risk reports to evaluate risks and helped develop necessary controls and margin requirements for new structures and transactions</li><li>➤ Lead various development projects and UAT testing. Liaised with global representatives and developers to identify ways to minimise operational risk and enhance efficiency</li><li>➤ Product exposures: cash equity, fixed income, commodity, OTC, ETD and delta one products</li></ul> |                  |
| Aug 06 – Mar 07  | <b>GOLDMAN SACHS (ASIA) L.L.C - Prime Brokerage Equity Analyst</b>   | <b>Hong Kong</b> |
|                  | <ul style="list-style-type: none"><li>➤ Client Service – Handled clients' queries on trades, positions, pricing, corporate actions &amp; fees</li><li>➤ Transition – Prepared sample portfolio and legal documents. Coordinated with other departments (margin, credit, legal &amp; compliance) for new clients on boarding</li><li>➤ Projects – Provided training for middle office for process migration. Automated manual tasks by VBA</li></ul>  |                  |
| Jul 05 – Dec 05  | <b>HANG SENG BANK - Retail Credit Risk Analyst, Intern</b>   | <b>Hong Kong</b> |
|                  | <ul style="list-style-type: none"><li>➤ Developed and maintained the credit approval system on personal loans and mortgage loans. Converted banking policies into system programming language. Prepared personal &amp; mortgage loan analysis. Assisted manager to review loan approval strategy</li></ul>   |                  |

## EDUCATION

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| Sep 03 – Jun 06 | <b>THE CHINESE UNIVERSITY OF HONG KONG – BSc Risk Management Science</b> | <b>Hong Kong</b> |
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## ADDITIONAL INFORMATION

- Cantonese (native), English (fluent), Mandarin (fluent), Indonesian (basic)
- Chartered CFA holder & FRM holder
- Proficient with Microsoft Office, Bloomberg, Reuters, SAS, VBA, SQL, C
- UBS 2009 Q2 "Thank You Award" winner - quarterly award for top performing staff

## REFEREES

- **Mr. Haye Chan** Founder, Portfolio Manager, Agamemnon Capital
- **Mr. Kenneth Ma** Portfolio Manager, Safe Investment Company Ltd
- **Mr. Samuel Hui** Portfolio Manager, Safe Investment Company Ltd
- **Miss Diana Lu** Executive Director, UBS AG