

EDUCATION

The Chinese University of Hong Kong, Faculty of Law, Hong Kong Aug 2013 (obtained)
Juris Doctor

Indiana University Bloomington, Kelley School of Business, United States Aug 2010 (obtained)
Bachelor of Science in Business

♦ Double Majors: Accounting, Finance; Minor: Psychology

♦ Scholarship: IU Special Merit Scholarship for Academic Merit, 2007 – 2008; Dean's List 2007 – 2008

Sacred Heart Canossian College, Hong Kong 2000 – 2006

PROFESSIONAL QUALIFICATIONS

♦ HKSFC type 1 and 4 licenses

♦ Passed Level 1 of the Chartered Financial Analyst (CFA)

♦ Associate Diploma in Recital in Solo Piano (ATCL) issued by Trinity College London

ASSET MANAGEMENT WORK EXPERIENCE

Janus Henderson Investors, Hong Kong Aug 2015 – Present
Sales Associate

♦ Maintain and develop client relationships with retail and private banks, insurance companies, sovereign wealth funds and national pensions in Hong Kong, Singapore, Greater China and Macau

♦ Advise on investment trends and assets allocations that cover firm-wide products including equities, fixed income, multi-assets and hedge funds

♦ Provide customized sales services such as regular portfolio and market updates through briefings and meetings; coordinate seminars and outlook events for clients and their end-clients; respond to clients' enquiries and requests

♦ Assist in onboarding new clients, including negotiating distribution agreements, liaising with compliance and operations for account opening and AML/KYC process

BOCI Prudential Asset Management Limited, Hong Kong Aug 2014 – Aug 2015
Business Analyst, Quantitative Strategy Business Unit

♦ Business Development:

- Prepared pitch books, marketing materials and performance reports for institutional and HNWI
- Established and maintained client relationships; identified market opportunities and organized marketing events

♦ Product Development:

- Collaborated with portfolio managers and internal and external parties to propose investment strategies and set up various private funds
- Reviewed existing products; coordinated with internal departments in relation to managing products' risks, sales, training, reporting, incentive, marketing and operations

OTHER WORK EXPERIENCE

Ipsos – Loyalty Division, Hong Kong Dec 2013 – Jul 2014
Research Executive

♦ Specialized in quantitative and qualitative research

♦ Conducted product testing research, industry due diligence, branding and competitive analysis

♦ Developed strategies for generating business and growth in the banking and luxury products segments

Cook Medical, United States Oct 2010 – May 2011
Consultant, Interventional Radiology Division

♦ Communicated with regulatory authorities concerning product approvals and regulatory compliance

♦ Prepared new product submissions to the Food and Drug Administration and internal regulatory bodies

♦ Liaised with different internal and external parties involved in the clinical trial for medical devices

LEADERSHIP

The National Society of Collegiate Scholars (N.S.C.S), *Member* Jan 2008 – May 2011

♦ Attended exchange programmes among different chapters in the United States

ADDITIONAL SKILLS

♦ Fluent in written and spoken English, Mandarin and Cantonese

♦ Proficient in Microsoft Office, Bloomberg Terminal and Morningstar