



Foo Chin Kiat Jeremy
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WORK EXPERIENCE

KPMG Services Pte Ltd

Assistant Manager - Financial Services Risk and Regulatory Compliance

Feb 2014- Present

- Working proficiency in Singapore's Banking Act, Securities and Futures Act ("SFA"), Financial Advisers Act ("FAA"), Monetary Authority of Singapore ("MAS") Notices and Guidelines, Singapore Exchange ("SGX") Rulebooks, Foreign Account Tax Compliance Act ("FATCA") and Common Reporting Standard ("CRS").
- Assisted in reviewing Customer and Product Risk Rating Framework for a Private Bank and recommended changes in accordance with best practices.
- Reviewed policies and procedures for both discretionary and advisory process for both Fund Management and Private Banking clients to identify areas or gaps and benchmarked against local and other industry practices such as MAS Guidance on Private Banking Controls and Hong Kong Securities and Futures Commission Code of Conduct.
- Led teams in Agreed Upon Procedures for Broker-Dealers who are clearing member firms of SGX-ST and SGX-DT, reviewing their credit policies, margin financing procedures and trading surveillance monitoring plan.
- Assisted in reviewing a prospective Foreign Bank Questionnaire and advised on potential licensing obligations in relation to the various financial/banking services.
- Conducted Internal Audit and Regulatory diagnostic reviews of Fund managers to assess the effectiveness of their corporate governance, investment management procedures, adequacy of their market conduct practices and marketing procedures.
- Reviewed Anti-Money Laundering Counter-Financing of Terrorism ("AML/CFT") policies and controls for fund managers and private banks, focusing on customer due diligence, screening, ongoing monitoring and suspicious transactions monitoring practices.
- Conducted regular internal and external trainings on principal regulations such as the Code of Collective Investment Schemes, Prevention of Money Laundering and Countering the Financing of Terrorism and Common Reporting Standard to Fund Managers and Private Banks.
- Reviewed and assisted to provide industry feedback on various consultation papers issued by regulators and industry bodies. These consultation papers included Enhancements to Regulatory Requirements on Customer's Moneys and Assets, Guidelines on Outsourcing and Refinements to the Non-Retail Investor Classes Regime under the SFA and FAA.

Associate - Tax Planning and Compliance

Jan 2013- Jan 2014

- Prepared and reviewed client's tax returns/computations and tax provisions for a portfolio of diversified clients comprising commodity, engineering, hospitals, retail, shipping and telecommunications companies and handled Inland Revenue Authority of Singapore ("IRAS") queries and disputes with clients under tight deadlines.

Ernst & Young LLP

Intern - Financial Services Assurance

Sept 2012-Dec 2012

Dec 2010-Feb 2011

- Assisted in the interims and final audit for Private Banks and Asset Management Firms.

CERTIFICATIONS

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| ▪ Chartered Accountant (Singapore) | Feb 2016 - Present |
| ▪ CMFAS Module 3 (Rules and Regulations for Fund Management) | Feb 2016 |

EDUCATION

Singapore Management University (<i>B.Acc, 2'nd major Finance</i>)	Aug 2008-Aug 2012
Temasek Junior College (<i>GCE 'A' Level</i>)	Jan 2004-Dec 2005
Anglican High School (<i>GCE 'O' Level</i>)	Jan 2000-Dec 2003