

## DENNIS CHIEN

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### Work Experience

July 2015 - **HSZ GROUP**

Present

*A Swiss investment management company specialised in listed Chinese equities and ILS investments*

#### **Analyst (buy-side)**

- Work with the team to manage HSZ China Fund, a long-only equity fund, with AUM of USD 110 million
- Focus on long-horizon thematically driven investments with concentration on privately-owned Chinese enterprises listed in Hong Kong, China, U.S. and Taiwan
- Responsible for the TMT sector with focus on the areas of internet, smartphone supply chain, online games, cloud computing, artificial intelligent, virtual reality and augment reality
- Make investment recommendations to CIO, backed by stock note, PPT presentation, financial model, fund flow analysis and various valuation models, including IRR, DCF, PE, etc
- Perform analysis and due diligence study through products/services trial, peers comparison, site visit, interview with managements, industry conference and exhibition, upstream & downstream channel checks, financial reports, result briefing, brokers' reports, CCASS changes, behaviour finance study, etc.
- Assist in trade execution, portfolio rebalancing, examine relative attractiveness of each stocks
- Assist in presentation toward investors of the fund
- Solid track record in 2017 to date
  - Returns: AAC Technologies (+120%), Hikvision (+85%), Goertek (+42%), Sunny Optical (+80%), Kingdee (+36%), Tencent (+94%), Alibaba (+126%)

Aug 2014 -

July 2015

#### **CHINA INVESTMENT SECURITIES (HONG KONG) FINANCIAL HOLDINGS LTD.**

*A Chinese brokerage firm, a CICC company*

#### **Research Analyst (sell-side)**

- Perform research with a focus on internet and technology sectors listed in Hong Kong
- Optimize fundamental data and further develop stocks initiation & recommendation and insight by working closely with senior managements of companies under coverage
- Build and maintain financial models
- Work closely with Investment Banking team and lawyers in building financial models, writing comprehensive research reports and conducting roadshows for the IPO project of China Partytime Culture (1532.HK)
- Organize and attend road shows for covered stocks for institutional fund managers and investors
- Conduct presentation toward institutional sales and fund managers on a regular basis
- Build strong relationships with senior management of listed companies, institutional investors, fund managers, and sales & trading team

Nov 2011 -

July 2014

#### **ARIES CONSULTING LTD.**

*An Asian consulting firm with a focus on investor relations*

**Senior Research Associate** (Nov 2013 – July 2014)

**Research Associate** (Nov 2011 – Oct 2013)

- Conduct company research through data analysis, research report analysis and quantitative research
  - Build & maintain financial models of Hong Kong / PRC / Singapore listed companies
  - Develop investment stories and tailored outreach strategies for public listed companies on regional stock exchanges
  - Write industry reports specialise in TMT and Consumer sector
  - Maintain good relationships with listco managements
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### Education

Sep 2016 -

Present

#### **UNIVERSITY OF HONG KONG**

##### **Master of Finance (Part-time)**

Modules include Corporate Finance and Asset Valuation, Investment Analysis and Portfolio Management, Financial Statement Analysis and Business Ethical Standards, Derivative Securities, Mathematical Techniques in Finance, Spreadsheet Modelling in Finance, Fixed Income Securities and Interest Rate Modelling

Sep 2008 -

Jul 2011

#### **UNIVERSITY OF LEICESTER (UNITED KINGDOM)**

##### **B.A. Financial Economics**

Core modules include Advanced Microeconomics, Corporate Finance and the Capital Market, Accounting, International Finance, Financial Derivatives, Investment Management, Management Science, Principle of Finance, Statistics for Economics & Business, Introductory Econometric, Macroeconomics & Finance, Money and Banking and Foundations of Macroeconomics Theory

**Achieved Grade: First Class Honours**

Sep 2005 –  
Aug 2007

**YEW CHUNG INTERNATIONAL SCHOOL (HONG KONG)**

**International Baccalaureate (IB) Diploma**

Overall score of 30 points: Higher Level include Economics (6), Geography (5), Mathematics (3), and Standard Level include Chinese (5), English (5), Physics (5), Extended Essay and Theory of Knowledge (1)

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**Others**

Licenses      SFC Type 4 Rep Advising on Securities & Type 9 Rep Asset Management

Languages      Proficient in English, Cantonese and Mandarin

Computer Skills      Microsoft Words, PowerPoint, Excel, VBA (Basic), Bloomberg, Wind Financial Terminal, Reuters, Thomson One Analytics, Eviews

Notice Period      3 Months