

## WONG Hei Yi, Katie

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### OBJECTIVE

To obtain a position that will enable the use of my strong business skills and practical accounting knowledge with strong sense of responsibility and teamwork spirit.

### WORK & LEADERSHIP EXPERIENCE

#### PricewaterhouseCoopers Limited

Central

#### Senior Associate, Assurance – Financial Services

Sep 2014 – Present

- Specialize in banking and asset management industry, provide external financial audit services (including annual audit and interim review) to clients ranging from Big 4 state-controlled multinational commercial bank, investment trusts, index funds, master-feeder funds, funds-of-funds, SFC licensed corporations to securities firms
- Lead 2 teams with 6 members as a field-in-charge throughout the audit planning, execution and completion stage
- Analyze capital expenditure, revenue growth, and other financial statements line items (such as loans and advance balance, debt securities investment / issued, interbank placements, management fee expense, realized gains or losses of investment) by investigating client's business plan, currency fluctuations, product mix, and other macroeconomic factors to form an expectation in audit
- Perform credit review to assess credit risk and impairment indicator of bank borrowers and identify potential downgrade under HKMA's loan classification system
- Provide audit on regulatory reporting, such as banking returns in accordance with completion instructions issued by HKMA, and insurance returns in accordance with Insurance Companies (General Business) (Valuation) Regulation
- Conduct control walkthroughs on different banking cycles (such as credit, treasury, financial reporting) by discussing with departmental heads and managers and observing the operational flows
- Perform reconciliation of Net Asset Value and financial risk management with analysis of investment positions and exposures for over 10 funds

#### Banking clients include:

- **Agricultural Bank of China Limited - Hong Kong Branch**

(Branch of Agricultural Bank of China - listed in Shanghai (SSE: 601288) and Hong Kong (HKSE: 1288))

Principal Activity: Provision of banking and related financial services in Hong Kong

#### Asset management clients include:

- **Value Partners Funds**

(SFC authorized and non-SFC authorized funds launched and managed by Value Partners Group Limited - listed in Hong Kong (HKSE: 0806))

Principal Activity: To achieve capital growth / provide regular income through investing in companies established in Greater China region and Hong Kong / global bonds

- **Tracker Fund of Hong Kong (HKSE: 2800)**

Principal Activity: To provide investment results that closely correspond to the performance of the Hang Seng Index

- **Amundi HK Portfolios**

(SFC authorized funds launched and managed by Amundi Hong Kong Limited)

Principal Activity: To achieve capital growth / outperform the benchmark index with a lower volatility through investing in global equities, bonds, cash and money market instruments

#### Insurance clients include:

- **Taiping Reinsurance Company Limited**

(Subsidiary of China Taiping Insurance Holdings Company Limited - listed in Hong Kong (HKSE: 0966))

Principal Activity: Underwriting of global reinsurance business

#### PricewaterhouseCoopers Limited

Central

#### Trainee Assistant, Assurance – Entrepreneurial Group (Winter internship program)

Jan – Mar 2013

- Audited clients' books and records, participated in site audit, delivered great teamwork spirit and cooperated efficiently
- Assisted in preparation of working papers and performing relevant testing for different financial statements line items, such as operating expenses analysis, cash and bank balances, statutory record, journal vouchers review, walkthrough testing
- Performed administrative duties including external file preparation and proofreading financial reports to complete the preparation of final annual report

## PROFESSIONAL QUALIFICATION

Dec 2015 **Graduate of HKICPA Qualification Programme**, The Hong Kong Institute of Certified Public Accountants  
Present **Current candidate of CFA Program Level 2**, CFA Institute

## EDUCATION

Sep 2011 – Jun 2014 **The Hong Kong Polytechnic University**  
Bachelor of Business Administration (Hons) – Accountancy  
(CGPA: 3.05/4.0, Second Class Honours, Division 2)

## AWARDS/HONORS/CERTIFICATIONS

2013 Certificate of Merit (Top 40 out of 343 teams), QP Case Analysis Competition 2013, The Hong Kong Institute of Certified Public Accountants  
2013 Certificate of Merit, KPMG Business Administration Paper 2012, Hong Kong Federation of Business Students

## SPECIAL SKILLS & INTERESTS

Skills	Management skills (Planning, Organizing Data), Analytical and Problem Solving skills, Interpersonal and Communication skills, and Presentation skills
Training	Effective Use of Microsoft Office in Business Course, Welkin Professional Development and Training (Dec 2013)
Computing	Microsoft Office applications (Excel, Word, PowerPoint and Access) – Advanced user Peachtree accounting software – Intermediate level
Languages	Fluent English (written & spoken) Native Chinese (written & spoken) Fluent Putonghua
Interests	Travelling, Movies, Badminton

**A more detailed resume is available upon request**