

Yuko Takahata

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PROFESSIONAL EXPERIENCE

Mitsubishi UFJ Trust & Banking Corporation Singapore Branch

Assistant Manager

Singapore

April 2016 - Present

- Legal

- Prepare for short-term and long-term facility loan agreements to be entered into with clients based in Southeast Asian countries
- Research on the laws of Southeast Asian countries in which the company is exploring business, including on registration for business activities or foreign exchange transactions and tax act.

- Compliance

- Establish procedures related to anti-money laundering in accordance with the regulations issued by the Monetary Authority of Singapore (“MAS”), implementing new internal rule and delivering training to related employees based on the discussion with the MAS and statutory auditor
- Research on Common Reporting Standard issued by Organization for Economic Co-operation and Development (OECD), provide lecture to the related employees and implement new procedures to put into place the above standard

Invesco Asset Management (Japan) Limited

Legal Counsel

Tokyo

September 2013 – March 2016

- Asset Management

- Contribute to launch of offshore funds to be invested into by various Japanese major financial institutions, reviewing prospectuses and investment management agreements (IMAs) and liaising with legal teams outside Japan
- Provide legal support on the launch of Japanese funds whose scheme is epoch-making; analyzing potential legal or compliance risks and preparing for necessary agreements
- In charge of all legal matters for marketing and managing the largest retail investment fund in Japan (asset under management is approximately USD1.5billion as of the end of September 2016)
- Draft and negotiate inter-company IMAs, investment advisory agreements, service level of agreements and loan agreements with legal teams outside Japan, which is within priority areas of the coming inspection by the regulator
- Draft IMAs and other documents to be entered into with or delivered to the Japanese pension clients and non-Japanese sovereign wealth funds as well as establishing compliance procedures newly required under the amended regulations
- Lead legal team consisting of lawyers in Hong Kong, Luxemburg and Frankfurt to accomplish the merger of offshore funds one of which is solicited in Japan and to provide legal support for soliciting the fund in Japan
- Provide legal analysis on operational errors occurred in offshore fund to the regulator, fund managers and operation teams and negotiate with each of them based on the analysis to solve all the related legal issues in a most favourable way in a timely manner

- Corporate Secretary

- Facilitate shareholders' meetings, board of directors' meetings and executive committee meetings

- Human Resources

- Implement company rules including work rules, explaining to members of executive committee and all employees
- Provide legal advice on new benefits for employees

- General Corporate
 - Advise on issues related to the Japanese regulations including but not limited to, the Corporate Act, Intellectual Property Law and Personal Information Protection Law
 - Review various agreements on operation, IT and corporate services
- Other – Assume the role of Tokyo Head of Legal in its absence, including attendance of management meetings, considering the legal team's improvement plan, support of global project from the Japanese regulatory perspective and report to the Asia Pacific Head of Legal based in Hong Kong
- Awarded Invesco Principal At Work of 2015 based on my team work and highly professional legal services, both of which contributed to the company's success on business for one major Japanese institutional client

Nikko Asset Management Co., Ltd.

Tokyo

Legal Counsel (seconded staff)

July 2012 – August 2013

- Establish compliance procedures for business for pension clients, drafting template agreements and statutory documents and advising operation or product team on regulatory requirements
- Solved historical tax issues, analyzing the past and current procedures in light of tax act and negotiating with the regulators
- Conduct compliance training for all employees on insider trading, protection of personal information and bribery

Anderson Mori & Tomotsune Law Firm

Tokyo

Associate

January 2011 – August 2013

- Appointed as corporate counsel of Japanese IT company and handled matters related to intellectual property laws and general corporate
- Manage disclosure matters for non-Japanese company whose stocks are listed on Tokyo Stock Exchange and for several foreign investment funds solicited in Japan
- Represent trust bank in lawsuits in connection with securitization
- Provide advice to financial institutions on investment funds, loans and derivative

E D U C A T I O N

The Legal Training and Research Institute of the Supreme Court of Japan (2009-2010)
Saitama, Japan

The University of Tokyo School of Law (J.D., 2009)

Tokyo, Japan

- Thesis on punitive compensation
- Selected, based on academic performance, for externship at Mori Hamada & Matsumoto Law Firm (August 2008)

The University of Tokyo (LL.B., 2007)

Tokyo, Japan

- Selected, based on academic performance, for *Law and Negotiation Seminar* (2005)

P U B L I C A T I O N S & L E C T U R E S

- *The issues left by the Supreme Court decision of Takefuji case*, International Taxation (April 2011) (co-author)
- Lecture for lawyers at Daiichi Tokyo Bar Association based on the above article (April 2011)

ADDITIONAL

- Languages: Japanese and English
- Executive committee member of Japan In-House Lawyer Association (2015-2016) – organize and arrange seminars for fellow lawyers for enhancement of their professional skills
- Volunteer activities: organize volunteer activities including regular cleaning of town, charity events for Japanese financial institution and blood donation (2015-2016)
- Admitted member of Minato-ku Archery Association (2015-2016)