

## **QIAN BOWEN**

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### **SUMMARY**

- Senior Manager with the Financial Services Assurance in PricewaterhouseCoopers LLP , Singapore (PwC)
- Over 11 years of experience with PwC, providing assurance services to clients in a wide range of industries with focus on asset and wealth management industry
- Proficient in leading engagement teams in the design of effective walk-through and review of financial and operational internal controls across a spectrum of the fund management company's business activities, such as Portfolio management and trade execution, Pricing and valuation, Client administration and reporting, and Fund accounting.
- Excellent in client relationship management and project management with an audit portfolio comprising 8-12 clients and over \$1 million annual audit fee revenue
- Good knowledge in asset management rules and regulations in Singapore , experience in MAS/CPFIS/CCIS compliance review for licensed and registered fund management companies and unit trusts
- Selected as a high performer from PwC Singapore to be seconded to PwC Channel Islands, UK as an audit manager from December 2013 to May 2015, working primarily on private equity related assurance work
- Double promoted from Associate 1 to Senior Associate 1 in PwC Singapore
- Fellow member of the Association of Chartered Certified Accountants (ACCA), Member of Institute of Singapore Chartered Accountants (CA Singapore) and Certified Financial Risk Manager (FRM, GARP)

## WORK EXPERIENCE

### **July 2010 - Present      Financial Services Assurance, PricewaterhouseCoopers LLP**

*(seconded to PwC Channel Islands from December 2013 to May 2015)*

- Lead audit teams, develop risk-based audit plans, review internal control procedures, assess control environment, audit financial statements for financial service clients including fund management companies, private equities, exchange traded funds, hedge funds and unit trusts
- Manage audit resource and budget, ensure quality deliverables and meet the reporting deadlines
- Identify internal control/compliance issues during the audit, prepare audit finding reports and make recommendations to the management
- Perform regulatory compliance audit for fund management companies (CMS license holders, CPF Investment Schemes and Code of Collective Investment Schemes)
- Initiate and maintain regular communication with key management and other stakeholders (e.g. Audit Committee)
- Draft internal guidance for audit methodology and risk management policies
- Coach and conduct performance appraisals for junior staff
- Develop training materials for internal Learning and Development and conduct training courses for audit staff in the audit methodology for fund Management companies, unit trusts and private equity audits
- Interview and recruit junior audit staff

### **December 2013 - PricewaterhouseCoopers, Channel Islands, UK**

**May 2015**      *(18 months secondment)*

- Lead and managed a team of 3 to 8 members for various audit engagements of different types of Private Equity funds, such as direct investment, fund of funds, venture capital, mezzanine debts, real estate
- Reviewed financial statements prepared under IFRS/US GAAP/LPA
- Assessed management fee/carried interest calculation and waterfall/investor allocations

**June 2005 - General Assurance, PricewaterhouseCoopers LLP**

**June 2010**

- Planned and executed risk-based audit fieldwork for a wide range of clients from different industries, e.g. manufacturing, trading, shipping, hospitality, real estates, retail
- Reviewed financial statements, drafted audit finding reports and communicated to management

**May 2004- Internship, C Y Ng & Co**

**June 2004**

- Assisted audit seniors to complete the audit assignments
- Received overall grade of A for the internship

## **QUALIFICATION / CERTIFICATION**

**2010 Chartered Certified Accountant (ACCA)**

The Association of Chartered Certified Accountants, UK

*(Placed No. 7 of the Top 30 ACCA Affiliates in Final Module, Singapore)*

**2015 Chartered Accountant of Singapore (CA Singapore)**

Institute of Singapore Chartered Accountants

**2016 Certified Financial Risk Manager (FRM®)**

Global Association of Risk Professionals (GARP)

### **Capital Markets and Financial Advisory Services (CMFAS) Examinations**

Module 1A - Rules and Regulations for Dealing in Securities (2013)

Module 3 - Rules & Regulations for Fund Management (2016)

## **EDUCATION**

**2008**

**Oxford Brookes University**

Bachelor of Science (First Class Honours) in Applied Accounting

**2005**

**Singapore Polytechnic**

Diploma in Accountancy

*(Placed on School Honour Roll for 5 out of 6 semesters)*

## **LANGUAGE**

English and Chinese (Spoken and Written)

## **SKILLS**

Microsoft office (Word, Excel and PowerPoint)

## **REFERENCES**

Available upon request