

# Ng Cheuk Him, Leo

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## Professional Qualification

**Mar 2014**     **Certified Public Accountant, HKICPA**

**Jan 2017**     **Completed FRM Part 1, GARP**

## Academic Qualifications

**June 2010**     **The University of Queensland, Australia**

Master of Commerce (Professional Accounting) (CGA5.67/7.0)

**June 2007**     **The Hong Kong University of Science and Technology**

Bachelor of Engineering (Electronic Engineering) (Second Class Honors, Division I)

## Professional Employments

**Oct 2014 –**     **Ernst & Young - Manager (Financial Service – Wealth & Asset Management)**

**Present**

Specialize in asset management industry, including audit of private equity fund, hedge funds, RQFII bond funds, ETFs and SFC licensed corporations (Type 1, 4 & 9).

- Experience in reviewing financial statement and disclosure prepared under HKFRS, IFRS and USGAAP.
- Liaise specialists from both external and internal to draw conclusion of investment valuations, including real estates, convertible bonds and private equities.
- Communicate primary and component teams through reporting instructions to complete the group audit within agreed timeline.
- Monitor budget and resource to ensure project complete within agreed schedule.
- In-charge of group audit with multiple office by assigning different audit scope and audit work base on high risk areas identified.
- Coach and instruct junior staff to prepare audit work on high risk areas (e.g. performance fee, equalization, carried interest, valuation model and tax provision).
- Obtain understanding on key industry processes specific in investment decisions, NAV approval, KYC, performance fee approval, financial statement approval.
- Maintain client relationships, negotiate fees and prepare proposal.
- Mentor to junior staff, provide career counselling and conduct internal training.

### Major Clients:

Bank of China Group Investment (Private Investment company and management entity)

CPEChina Funds (Private equity funds)

Boyu Capital Funds (Private equity funds and SFC license Type 4,9)

Primaver Capital (Cayman) Funds (Private equity funds and management entity)

Argyle Street Management limited (SFC license Type 4,9)

UBS SDIC China Income Fund (SFC authorized Fund)

Value Partner's ETFs (HKEX: 03095/ 83095; 3046; 03081/83081; 3084; 3041 & 3060)

Dec 2010 – **BDO Limited - Senior Audit Associate**

- Oct 2014      In-charged of annual audit, interim review, of both listed and private companies. Engaged in Initial Public Offering of a hotel group and a toy manufacturer. Handled a large variety of clients including securities brokerage firm, private equity fund, property developer, hotel management, manufacturing and property management.
- Conducted test of control effectiveness after understanding the key operation process, internal control procedures and accounting systems of clients.
  - Assessed client's compliance of financial resource rule, reviewed monthly financial return to SFC and recalculated its required liquid capital.
  - Identified risky areas, designed audit procedures and responses to audit issues.
  - Reviewed draft financial statement, Business risk management questionnaire, Account disclosure document and prepared compliance report, audit questionnaire for SFC submission.
  - Commented on the valuation model of private investments and its fair value basis, also assessed the key assumption, data, and comparable multiple applied.
  - Reported on audit findings and adjustments, discussed with clients with reference to standards and regulations where necessary.
  - Reviewed the NRV of land parcel and property under development.
  - Performed cost analysis of development project by comparing budget and actual cost.
  - Performed tax review for Hong Kong and the PRC entities.
  - Reviewed HKEX application checklist based on listing rules; handled queries from sponsor and HKEX.
  - Analyzed cash flow forecast and prepared pro-forma financial information of substantial acquisition / disposal. Proof-read accountant report's figures before bulk printing.

Major Clients:

BTIG Hong Kong Limited (private security brokerage firm)

TR Capital advisor (private equity fund management)

Shanghai Zendai Property Limited (HKEX:755 - property developer)

Culture Landmark Investment Limited (HKEX:674 - media & property investment)

Quali-Smart Holdings Limited (HKEX:1348 - IPO client - manufacturing )

Link Holdings Limited (HKEX:8237 – IPO client hotel management)

**Others skills**

Computer	Microsoft Word, Excel, PowerPoint, Photoshop ,C++ , MYOB, 金碟, Bloomberg terminal
Availability	One month notice
Expected salary	HK\$680,000 per annual, negotiable