

WORK EXPERIENCE

Ernst & Young - Assurance Department (Financial Services Office), Senior **Nov 12-Present**

- Perform audits & compilations for listed and private financial institutions (e.g. BOC, Guotai Junan International Holdings Ltd (Stock code: 1788), ICBC (Asia) Trustee Company Ltd., Travelex HK, China Everbright Bank Co., Ltd., Segantii Capital Management Ltd. and Aetos Capital Real Estate,LP. etc.)
- 3-month secondment experience in Credit Suisse to conduct Hong Kong Equities Stock Borrow Loan, Short Selling reporting compliance review
- Review banking returns and issue independent auditor's assurance report on Sections 63(3A) and 63(3) of the Banking Ordinance
- Conduct risk assessment, evaluate business processes, test on controls and issue an ISAE 3402 report
- Review FRR & SFC correspondence to ensure the compliance policies & procedures have already been implemented properly

OTHER EXPERIENCES

Hong Kong Monetary Authority - Reserves Management Department (External **Jun 10-Jun 11**

Managers Division), Student Trainee

- Attended meetings with external fund parties & prepared meeting proceedings concerning fund products, market outlook & analysis
- Assisted in fund selection by conducting investment research & assessed fund products by reviewing RFP
- Prepared the performance report of the external managers and master custodians to Exchange Fund Advisory Committee

HSBC - Asia Pacific Finance Department (Management Information Systems), Intern **Jun 08-Aug 08**

- Collected, sorted, imported and analyzed different product data in a product costing teams
- Conducted user acceptance tests for new data entry and banking systems
- Assisted in housekeeping of records and report checking

EDUCATION AND QUALIFICATIONS

The Hong Kong Polytechnic University **Aug 12-Nov 12**

Ernst & Young Accountancy Conversion Programme

The Hong Kong University of Science and Technology (HKUST) **Sept 08-Jun 12**

Bachelor of Business Administration (BBA), Graduation Year: 2012

First major in Information Systems, second major in Marketing

Chinese University of Hong Kong (CUHK) **Sept 09-Jan 11**

Diploma in Professional Financial Planning

Completed all 6 Modules of the Registered CFPCM Certification Education Program

Hong Kong Securities Institute (HKSI) **Feb 10**

Qualified 2 Licensing Examinations for Securities and Futures Intermediaries
(Paper 7- Financial Markets & Paper 8- Securities)

State Language Commission of China-Putonghua Training and Testing Centre **Aug 09**

Putonghua Proficiency Test (Grade 3 Level A)

ACTIVITIES AND ACHIEVEMENTS

HKUST ISACA Student Group, Vice President **May 11-Jun 12**

- Assist in founding & establishing the career-oriented student group
- Liaise with the representatives of the professional entity- Information Systems Audit and Control Association® China HK Chapter to get its support and events sponsored

HKUST Language Center- Persuasive Presentation Competition **May 10**

Best Team of Presentation

SKILLS

Language: English (Fluent), Cantonese (Native), Mandarin (Fluent)

Computer: MS Word, Excel, PowerPoint, MS Visio, UML, REUTERS 3000 Xtra, Bloomberg