

WORK EXPERIENCE

Goldman Sachs (Asia) LLC *Private Wealth Management*, Wealth Management Professional Jun 2015 - Present

- **Private Bank sales support and client service**

Support Private Wealth Advisors in all aspects, from KYC/account opening, sales pitches to ongoing client management
Act as the hub to other divisions of the firm to track portfolios and respond professionally to clients' inquiries

Achievements: Outstanding Performance Award (2016), committee member of Asia Remediation Taskforce (2017)

Hong Investment Advisors Limited *An Asia (ex-Japan) macro hedge fund*, Associate May 2012 - Jun 2015

- **Middle office operations and regulatory reporting**

Monitored portfolio risk measures, collateral margin calls, counterparty utilization and risk capital limits at prime brokers
Compiled financial regulatory filings to HK SFC and U.S. CFTC NFA on investment holdings and capital adequacy
Assisted in the fund registration with UK FCA for AIFMD compliance and completed the annual reporting template

- **Portfolio valuation and investor relations**

Verified third-party pricing sources on Bloomberg for MTM valuation and P&L attribution at Asia close on T0
Consolidated monthly fund factsheets and performance reports with risk/return metrics and investment commentaries

- **Fund launch and onboarding projects**

Coordinated with brokers, custodians and fund administrators on legal documentation, workflow design and system integration
Conducted UAT on vendor IT applications e.g. Traiana, MarkitServ, Paladyne PMS and other web reporting portals by brokers
Built VBA in vendor database, MS Excel and Outlook to automate reconciliation, data retrieval and management reporting

Product expertise: FX forwards (DF/NDF), FX swaps, interest rate swaps, futures & options and structured notes

Fund regulations: offshore master/feeder funds; EU AIFMD, US/UK FATCA on marketing and investor base

Riverwest Advisors Limited *A Japanese long/short equity hedge fund*, Analyst Jul 2011 - Apr 2012

- **Trade support to Japanese fund managers**

Validated trades in Japanese equity and equity derivatives on T0, and reconciled cash, positions and NAV estimate on T1
Reported directly to Japanese traders on real-time short selling positions, margin requirement and upcoming corporate actions
Prepared and disseminated monthly reports (Japanese and English) to institutional investors and high net worth individuals

Product expertise: equity and equity derivatives (Japan, HK/China), FX swaps for USDJPY hedge and commodity futures

Bank Consortium Trust Company Limited *A leading pension fund provider in Hong Kong* Aug 2008 - Aug 2008

- **Corporate Communications Intern**

Collected investment and product information to prepare the monthly fund factsheets for HK MPF and ORSO pension schemes

Hongkong and Shanghai Banking Corporation, Service Ambassador May 2008 - Jul 2008

- **Customer Service - Retail Banking**

Promoted self-service banking machines and facilitated cross-sales between Counter Service team and Relationship Managers

EDUCATION

The Chinese University of Hong Kong, B.Sc. in Quantitative Finance, Minor in Statistics Sep 2007 - Jul 2011

Technical University of Denmark, Summer Programme on Environmental Science Jun 2011 - Jul 2011

Kyoto Sangyo University, Global Japan Programme Sep 2009 - Aug 2010

Cheung Chuk Shan College, 8A1C HKCEE Science discipline Sep 2001 - Jul 2007

PROFESSIONAL QUALIFICATIONS

Certified FRM, HK SFC Licensed Representative (Type 1 & 2), CFA Level III passed

SKILLS

Languages: Native in Cantonese. Fluent in English, Mandarin and Japanese (JLPT N1)

Computer: Bloomberg, MS Office (Access, Word, Excel, Powerpoint, Outlook), VBA macros, Salesforce.com

HOBBIES & INTERESTS

Travelling, yoga, pilates, and tennis