

PROFESSIONAL EXPERIENCES

Audit Senior (Global Financial Services Industry)– Deloitte & Touche LLP (Dec 2014 – Jan 2017)

Key Achievements:

- Attained above average rating for Year 2 Associate annual appraisal (FY 15/16).
- Successfully lead and managed an audit team at Year 2 Associate level.

Core member actively involved in external audit engagements comprising of the following industries:
Insurance Broker, Commodities, Asset/Fund Management

Overview:

- Leading the audit team in planning, test of controls, review of client's financial statements and workings to produce the financial statements and for MAS Forms submission.
- Raising Management Letter Points throughout the course of audit to address deficiencies or improvements in the client's processes.
- Worked on planning and setting of audit risks and procedures with partner and manager.

Detailed work performed:

- Performed J-SOX test of controls to ensure that internal controls are adequate and reliable.
- Work done on substantive testing to ensure that the client's workings are reasonable.
- Quarterly review of the company's management accounts to report to their head office.
- Performed reasonableness valuation review of the inventories and derivatives.
- Performed procedures as stated by the client for vendor registration requirements.
- Documented walkthrough to understand the client's flow of processes, and identifying the controls in relation to the processes.
- Performed valuation review for the funds or asset in management.

Regulatory/ Compliance work:

- Ensure that the client is up-to-date and in line with the regulations as set out by Monetary Authority of Singapore (MAS) for the respective industries.
- Review the client's internal audit report to assess that it has been adequately addressed.
- *Asset/ Fund Management*: For Capital Markets Services (CMS) license holders, review and assess that there is compliance with the Securities and Futures Act.
- *Insurance Broker*: Ensure that client is in line with the respective regulations as set out in the Insurance Act (Exempt Insurance Brokers) and the Financial Advisors Act.

Customer Due Diligence (CDD) Analyst – Standard Chartered Bank (Sep 2014 – Nov 2014)

- In the Business-As-Usual (BAU) team handling SME customers sector.
- Conduct periodic review on the client's business with the directors.
- Generate report based on the customer review and review cases to 100% accurate in terms of data content; and all CDD documents are captured to fulfill SME AML/ CDD Procedures and local requirements for proper AML/CDD control.

EDUCATION

University of London (Aug 2010 – Aug 2014)

Bachelor of Science (Honours) in Accounting and Finance

Pioneer Junior College (Mar 2007 – Nov 2009)

GCE "A" Levels

- Awarded Certificate of Achievement- PJC Leadership Award (Audio Visual Club)
- President, PJC Audio Visual Club

ADDITIONAL INFORMATION

Computer skills: Microsoft Office, SAP

Languages: Proficient in English and Mandarin (spoken and written)