

Resume for Walter WOO Wing Hong

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Summary

- **Research Analyst** with greater focus on the **Consumer** and **Smid cap** sectors, including but not limited to **Sports, Movies, Textiles, HK retails, Footwear & Apparel, Food & Beverage, Restaurant, Hotel & Gaming, Auto dealers**. Also, have sound knowledge on macro side of the **Chinese economy** as well as other **Asia X Jap Countries**
- Awarded the **Second Runner-up** in the **HKSFA Best Research Report Competition 2016 – Sector Report category**
- Relatively **wide exposure** to **financial functions**, having been involved in multiple activities on both **buy side** and **sell side**. Able to make profitable **short term trading calls** as well as discovering **gems with longer term re-rating** potential. Top picks like Pou Sheng (3813 HK) and Best Pacific (2111 HK) recorded over 50% and 80% return in 8 months after initiation in Dec2015.

Education Background

2007 – 2010

Imperial College London, UK

B.Sc in Mathematics with Statistics for Finance (Second Class Honors)

2005 – 2007

St. Bees School, UK

GCE A-Levels: Mathematics (A), Further Mathematics (A), Physics (A) and Economics (B), Grade I,S and I in OCR STEPS 1,2 and 3 Paper, Distinction in AEA Mathematics

2000 – 2005

Wah Yan College, Hong Kong

Work Experience

2015 Jun- 2016 Nov

Oriental Patron Financial Group [Link](#)

Research Analyst

Equity Research

- Covering the HK/ China consumer discretionary in general, sports, movies, HK retails, textiles, food & beverage in particular. Update industry news on a daily basis, issue timely comments, views and calls on names via emails, reports, or messages in Wechat group for investors
- Published a 200 pages Global sporting goods sector initiation report “[How technology changes the way we sport](#)”, identifying top beneficiaries in the supply chain in the context of rising popularity of sports, from suppliers to brand owners, and distributors in HK/China as well as in the overseas. **Companies covered:** Pou Sheng 3813, King maker 1170, Best Pacific 2111, Xtep 1368, Li Ning 2331, Anta 2020, 361 Degrees, Peak 1968, won the **Second Runner-up** in the **HKSFA Best Research Report Competition 2016**
- Issued a 70 pages China movie sector “[Riding on the middle class to double the box office](#)”, highlighting cinemas or dominant screening equipment makers as the best way to invest in the movie sector with robust box office growth in China. **Companies highlighted:** IMAX 1970, SMI 198, OSGH 1132, Nan Hai Corp 680
- Non-Rated notes
Mainland Headwear 1100, Win Hanverky 3322, Regina miracle 2199, Man Wah 1999, IHR 1373, Dream International 1126

2014 Nov-2015 Jun

Sunwah Kingsway Financial Services Ltd. (Stock Code: 188.HK) [Link](#)

Research Analyst

Equity Research

- Covering the HK/ China consumer in general, maintain financial models, publish a wide range of reports for both official and non-rated coverage. Conduct due diligence, channel check and relevant research, and provide insight on the industry to clients
- Companies under coverage
Lady Shoes: Belle 1880, Daphne 210
Apparel: Bossini 592, Lilang 1234, Trinity 891, YGM Trading 375
Gold and jewellery: Chow Tai Fook 1929, Luk Fook 590, Chow Sang Sang 116
Restaurant and Hotel: Future Bright 703, Dorsett Hospitality 2266
Household goods: Man Wah 1999

2011 Jul-2014 Mar

JK Capital Management (An affiliate of La Francaise AM) [Link](#)

Research Analyst

Company Research

- Covering the consumer and industrial sectors in general, provide updates on industries, look after holdings in portfolio, generate new investment ideas
- Special focus on Value Stocks and small/mid caps, particularly those under-covered stocks but with good multiples and interesting prospects, and those stocks ignored or disliked by most of the investors
- Companies covered
Consumer Discretionary: Golden Eagle 3308, Intime 1833, Parkson 3368, Prada 1913, Chow Tai Fook 1929, Belle 1880, Daphne 210, Anta 2020, Li Ning 2331
Consumer Staples: Want Want 151, Mengniu 2319, UPC 220, Tingyi 322, Hengan 1044
Textiles: Shenzhou 2313, Pacific Textiles 1382, Texhong 2678, Luen Thai 311
Electronics: Haier 1169, Gome 493, Fujikon 927, Sunny Optical 2382, Vtech 302, Eva 838, Skyworth 751, TCLM 1070

Macro Research

- Follow the macro numbers about China as well as other Asia x Jap countries, and also the US/ EU when they are impacting the region. Tracking many different indicators, says GDP, PMI, CPI, PPI, food prices, IP, industrial profits, FAI, retail sales, exports/ imports, electricity output, OECD indicator, new loans and TSF growth, global trades growth and major central banks' activity, etc., produce monthly review on them
- Make my own comments and calls based on my predictions of the outlook of the said economies

Portfolio Management

- Maintain portfolio statements using the excel spreadsheets, validate inputs from custodians, making sure the cash, past transactions as well as any corporate actions are orderly booked, in order to produce daily valuations
- Help produce the monthly reports of our funds, as well as the power points for roadshows
- Other major projects: help building the infrastructure for new separate accounts, help setting up the OMGEO system, VBA for aggregate trades (i.e. the allocation methods), new template for monthly report.

2010 Nov-2011 Jul

Haitong International Securities Company Ltd. (Stock Code: 665.HK) [Link](#)
Sales Assistant / Junior Sales Trader

Market Update

- Digest daily news from various sources, making notes and generating trading ideas

Macro Update

- Monitoring PBOC actions and its impact on the liquidity of the system, and track the Chinese economy via different indicators, follow major currency pairs like EURUSD, USDKHKD, precious metals and oil prices, linking movements to breaking global news

Trading

- Handling clients' stock borrowing requests, assisting my senior to quote and trade bonds

Professional Qualifications: Hong Kong Securities Institute - Paper 1 (passed),
Chartered Financial Analyst - Level 1 Exam (passed),
Chartered Alternative Investment Analyst - Level 1 Exam (passed)

Language Proficiency: English (Fluent), Mandarin (Fluent), and Cantonese (Fluent)

Technology Proficiency: Bloomberg, Excel VBA, Microsoft Office (Word, Excel, PowerPoint), Maple, MatLab, Photoshop, R Statistics

Extra-curricular Activities: Completed **Hong Kong Standard Chartered Marathon** within 5 hours (2014 and 2016).

Studying technical analysis on the stocks prices' movement, able to use SMA, EMA, Bollinger band, MACD, slow STC, RSI, Williams, Volumes+, Ichimoku, Fibonacci to give ideas about the trend, major pivots, resistances and supports on a stock

Active Volunteer with The **Neighborhood Advice-Action Council Tung Chung Integrated Services Centre** (2003-2010)

Seminar officer of the **Imperial College Public Awareness and Social Services Society** (2009) and editor of the society's magazine "PASTIMES" (2009)

Member of the dance crew of the Variety Show presented by the **Imperial College Chinese Society** (2008 and 2009)

Participated in an essay competition "**Young Economist of the Year**" hosted by the **Royal Economic Society** (2007)

Captain of the team, representing St. Bees School to take part in the **Bank of England/ TIMES Interest Rate Challenge** (2007)