

# Yaju (Andrew) Lee

+852-9600-9822 • [b91704040@gmail.com](mailto:b91704040@gmail.com) • Flat B, 22F., 161-165 Lockhart Road, Wan Chai, Hong Kong

<b>Education</b>	<b>University of Michigan</b> <i>Master of Science in Financial Engineering</i> ➤ Core courses taken: Stochastic calculus, Statistics in Finance, Markov Chain, Fixed Income, Financial Engineering and Valuation. Proficient in statistics skills such as Principal and Component Analysis. <b>Ann Arbor, MI</b> <i>July 2009 ~ December 2010</i>
	<b>National Taiwan University</b> <i>Bachelor of Business Administration, Department of International Business</i> ➤ Key focuses on Marketing, Finance and Strategy, with alternative interests in Mathematics covering Multivariate Analysis, Linear Algebra, Ordinary Differential Equation and Advanced Statistics. <b>Taipei, Taiwan</b> <i>September 2002 ~ June 2006</i>
<b>Experience</b>	<b>Maxpro Investment Company</b> [Company Info] Maxpro Investment Company is a newly-established Taiwan-based venture capital, focusing on Biotech, Medical devices, Consumer Products and Mobile Internet sectors in Greater China. Maxpro Investment has 2 funds with total size of US\$30 million under management. <i>Investment manager, Asset Management</i> <b>Taipei, Taiwan</b> <i>October 2014 ~ January 2017</i> ➤ <b>Fund formation – set up the fund as one the four founders</b> <ul style="list-style-type: none"><li>Responsible for drafting Private Placement Memorandum (PPM), Articles of Association and the contracts with the custodian bank and the fund administration.</li></ul> ➤ <b>Investment – led investment projects across Greater China and the United States</b> <ul style="list-style-type: none"><li>Led the \$1million deal of SyneuRx(6575 TT), the first new drug company qualified for FDA's Breakthrough Therapy Designation program in Taiwan, which generated 2.8x returns with 3500% IRR.</li><li>Led the investment evaluation projects and post management of ACT Genomics (Taiwan Biotech; \$1.5million), Synermore Biologics (Taiwan Biotech; \$1million), Foresee Pharmaceuticals (Taiwan Biotech; 0.5million), Detekt Technology (Taiwan 3D printing; \$0.5 million), Mosa Industrial (Taiwan F&amp;B), Didi Kuaidi (China Internet), DiangPing (China Internet) and Cold Genesys (US Biotech)</li></ul>
	<b>IIH Assets Management Group</b> [Company Info] IIH (Integral Investment Holdings) is an Asia-based asset management firm engaged in private equity and venture capital, focusing on leading private companies in Consumer Products, Healthcare, Clean & Alternative Energy and Mobile Internet sectors in Greater China. IIH has 4 funds with total size of US\$200 million under management. <i>Investment Analyst, Asset Management</i> <b>Shanghai, China / Taipei, Taiwan</b> <i>November 2012 ~ June 2014</i> ➤ Responsible for a US\$54 million management buyout deal of a Chinese chain restaurant with 100+ stores <ul style="list-style-type: none"><li>Independently executed the due diligence and conducted all the required financial analysis.</li><li>Involved closely in the negotiation; successfully convinced a bank to lend the management US\$25 million by providing and presenting financial/operational analysis that eased the bank's initial concerns.</li></ul> ➤ Involved in 20+ investment deals across various sectors including Intech Biotech (Taiwan Biotech; \$2 million), TUTK (Taiwan IoT; \$4 million), Reber Genetics (Taiwan Biotech), Yonho (China F&B), Ji-Guang (Taiwan F&B), Winchannel (China Internet), Dopool (China Internet) and Exbox (China Internet).
	<b>Yuanta Securities Investment Consulting Co. Ltd</b> [Company Info] Yuanta Securities, under Yuanta FHC (2885 TT), is the largest securities house in Taiwan, with dominant market share of nearly 12% in brokerage and 20% in margin financing business. <i>Research Associate, Upstream Tech Team, Equity Research</i> <b>Taipei, Taiwan</b> <i>June 2011 ~ October 2012</i> ➤ Worked closely with the Head of Upstream Tech in covering 30+ tech stocks across various sectors including Foundry, IC Assembly & Testing, IC design, TFT, Touch panel, memory, LED and PCB. ➤ Given opportunity and responsibility to pen reports on behalf of senior analysts, visit companies, build/adjust models, present in internal meetings, and respond queries raised by distribution team. ➤ Collaborated with Yuanta IBD team and independently wrote a research report of Asmedia (5269 TT) – a subsidiary of Asustek (2357 TT) with focus on high-speed interface transmission chips (e.g. USB 3.0 and thunderbolt). This later helped the firm successfully secure the OTC listing deal. ➤ Analyzed iML (3638 TT) through its p-gamma IC business in iPad and Macbook. View: Downgrade to HOLD given lack of catalysts. Stock underperformed TAIEX by 30% in 2H12.
	<b>J.P. Morgan Securities Limited</b> <i>Intern, Asia Pacific Semiconductors Team, Equity Research</i> <b>Taipei, Taiwan</b> <i>July 2010 ~ August 2010</i> ➤ Worked closely with the Asia Pacific Semiconductors team in assisting analysts' coverage on Taiwan foundry, IC assembly and testing, IC design, and DRAM sectors.

<b>Skills</b>	Proficient in Microsoft Excel/Word, Bloomberg, and TEJ. Familiar with Matlab and R
<b>Languages</b>	Chinese (native) and English (fluent)
<b>License</b>	Senior Securities Specialist
<b>Interests</b>	Basketball, Boxing, and travelling