

# Fok Wai Yan Vanessa

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## Work Experience

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01/2016 – Present      PricewaterhouseCoopers, Financial Services      Senior Associate

### Annual audit and Compliance review:

#### *SFC Licensed Corporations*

1) Franklin Templeton Investments (Asia) Ltd.

- Investment management company with Type 1 and Type 9 licenses under SFC regulation
- Registered Mandatory Provident Fund Corporate Intermediary under the Mandatory Provident Fund Schemes Ordinance
- Senior in charge of leading team of 4 and perform high level review of overall audit
- Cooperate with PwC US and Singapore for centralized work arrangement
- Review Audited Financial Statements, FRR, ADD, draft SFO Compliance Report and MPFA s.74(5)(b) Report

2) RAYS Capital Partners Ltd.

- Investment management company with Type 4 and Type 9 licenses under SFC regulation
- Completed full audit of significant sections such as Revenue and Tax
- Provide coaching to junior staff and review their work
- Review Audited Financial Statements
- Review of FRR, ADD, draft Audit Questionnaire and SFO Compliance Report

3) Royal Bank of Canada – Investment Management (Asia) Ltd. & Investment Service (Asia) Ltd.

- Type 1, Type 2, Type 4, Type 5 and Type 9 licenses under SFC regulation
- Review of FRR, ADD and draft Audit Questionnaire and Compliance Report for SFC submission

#### *Funds*

1) Simplex Funds – Hedged Funds

- 8 Cayman Funds which mainly invest in listed equities and derivatives
- Completed full audit of all sections and review ISAE 3402 Reports for system control reliance
- Review Audited Financial Statements

2) XIO Diamond & Sky L.P. – Private Equity Funds

- Cayman Funds which co-invest in a marketing private company
- Investment valuation
- Review Audited Financial Statements

10/2013 – 12/2015      Ernst & Young, General Assurance      Staff Accountant & Senior

### Annual audit and System audit:

1) Paliburg Holdings Limited (0617.HK)

- Property investment company listed in Hong Kong Stock Exchange
- Perform financial analysis for consolidated accounts such as Equity and debt investments, Investment Property, Bank Borrowings, Tax, etc.
- Prepare disclosure notes for announcement, annual report and interim report
- Review Audited Financial Statements for singleton companies

2) Kwoon Chung Bus Holdings Limited (0306.HK)

- Bus operating company listed in Hong Kong Stock Exchange, which mainly provides services for PRC and HK routes
- Review consolidated Bank Borrowings, Property, plant and equipment, Debtors, Cost of Sales, etc.
- Perform system audit for Shenzhen operation
- Prepare disclosure notes for announcement and annual report

## **Professional Qualification**

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2017 – Present                      Certified Public Accountant under HKICPA

## **Skills**

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### Language

Written                                English (Fluent), Chinese (Fluent), Japanese (Fluent)

Spoken                                English (Fluent), Cantonese (Fluent), Mandarin (Fluent), Japanese (Fluent)

### Computer

Microsoft Word, Excel, PowerPoint, entry level of Bloomberg, MYOB and Oracle

## **Education & Certificates**

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09/2010 – 10/2013                The Hong Kong Polytechnic University  
BBA (Hons) Major in Accountancy

2012                                    IELTS  
Band 7.5

2011                                    Japanese Language Proficiency Test, N1 Level  
Pass with Distinction

09/2003 – 07/2010                Methodist College  
Biology Stream