

Yu Chun, Polo

Address: Rm1, 4/F, Lok Po House, 2 Po Tuck Street, Shek Tong Tsui, HK

Telephone: 9837 1274

Email: polo0916@gmail.com

EDUCATION

The Chinese University of Hong Kong
BBA in Professional Accountancy, Second Honor

Graduated in May 2010

PROFESSIONAL QUALIFICATION

CPA, Hong Kong Institute of Certified Public Accountants

November 2013

WORKING EXPERIENCES (last position)

Ernst & Young - Senior Accountant, Assurance

Oct 2010 – Jan 2014

PricewaterhouseCoopers – Manager, Assurance

Jan 2014 – May 2016

3H Health Investment Management (HK) Ltd. – Finance manager

Jul 2016 – Present

3H Health Investment Management (HK) Ltd. (Private equity)

Primary responsibility:

- Handle Month end for the private equity fund and management company
- Handle daily expense approval
- Liaise with auditors (both fund, management company and SPVs)
- Prepare capital call calculation, drawdown notice, expense allocation
- Communicate with the LPs to explain the calculation of equalization amount and the waterfall/carried interest
- Manage SPV companies and their bank accounts
- Coordinate / Instruct external administrator of the funds on fund operation issues
- Liaise with tax adviser for the investment structure and the fund to minimize the tax exposure
- Liaise with bank for currency exchange and possibility of setting up bridge loan
- Assist investment team to liaise with bank for bank proofing documents and Law firm for notarization
- Set up internal procedure/template
- Prepare quarterly report and annual account in U.S. GAAP and "letter to the investor"

PricewaterhouseCoopers (Auditing)

Main client portfolios:

China Citic Bank International

DBS Bank (Hong Kong) Limited (“Macau Branch”)

Enhanced Investment Products Limited (license type 4, 5, 6, 9)

Hong Kong Exchanges and Clearing Limited (0388)

Leopard Cambodia Fund, L.P

TPG Capital, Limited (license type 1, 4)

Westpac Banking Corporation’s HK Branch

WORKING EXPERIENCES (continued)

PricewaterhouseCoopers (Auditing)

Primary responsibility:

- Conduct compliance review with regard to SFC regulations, including Financial Resources Rules (FRR) and Business and Risk Management Questionnaire (BRMQ)
- Draft Audit Committee report
- Discuss accounting treatment with management
- Perform assessment on the methodology and assumptions in the cash flow projections
- Perform investment valuation, financial risk analysis and business plans feasibility studies
- Experience in global coordination of interoffice works with PwC UK, China, Cayman Islands in various engagements

Ernst & Young (Auditing)

Main client portfolios:

Asia Financial Holdings Limited (0662)

Asia Insurance

ICG Investment Management (Asia) Limited (license type 4, 9)

Primary responsibility:

- Audit planning, risk assessments and designing audit procedures;
- Act as senior in-charge and participated in audit field work in Hong Kong, including performing detailed analytical review on significant account balances by investigating material or unusual fluctuations
- Conduct compliance review with regard to SFC regulations, including Financial Resources Rules (FRR) and Business and Risk Management Questionnaire (BRMQ)
- Review the financial controls of the company's business flow, identified internal control weaknesses and designed tests of control procedures with respect to the key internal control areas and upon change of business
- Review the preparation of consolidated financial statements and consolidation schedule
- Perform investment valuation, financial risk analysis and business plans feasibility studies
- Prepare Letter of Representation, Going Concern Checklist, Hong Kong Companies Ordinance and Disclosure Checklist

LANGUAGES

English (Fluent), Mandarin (Fluent), Cantonese (Native)

CURRENT SALARY

HK\$57,750 * 13 months

EXPECTED SALARY

HK\$63,000

AVAILABILITY

1 month notice