

# An Introduction to Formal Languages and Automata

Peter Linz

CHAPTER 2

FINITE AUTOMATA

Module -1	Teaching Hours
<b>INTRODUCTION TO THE THEORY OF COMPUTATION AND FINITE AUTOMATA:</b> Three basic concepts, Some Applications, Deterministic Finite Accepters, Nondeterministic Finite Accepters, Equivalence of Deterministic and Nondeterministic Finite Accepters, Reduction of the Number of States in Finite Automata. <u><b>Text Book</b></u> 1: Chapter 1:1.2 - 1.3, Chapter 2: 2.1 - 2.4	<b>08 Hours</b>

PREFACE xi

<b>1 INTRODUCTION TO THE THEORY OF COMPUTATION</b>	<b>1</b>
1.1 Mathematical Preliminaries and Notation	3
Sets	3
Functions and Relations	6
Graphs and Trees	8
Proof Techniques	9
1.2 Three Basic Concepts	17
Languages	17
Grammars	20
Automata	26
1.3 Some Applications*	30
<b>2 FINITE AUTOMATA</b>	<b>37</b>
2.1 Deterministic Finite Accepters	38
Languages and Dfa's	41
Regular Languages	46
2.2 Nondeterministic Finite Accepters	51
Definition of a Nondeterministic Acceptor	51
Why Nondeterminism?	55
2.3 Equivalence of Deterministic and Nondeterministic Finite Accepters	58
2.4 Reduction of the Number of States in Finite Automata*	66

## 1 Introduction to the Theory of Computation

### 1.2 Three Basic Concepts

Languages

Grammars

Automata

### 1.3 Some Applications\*

## 2 Finite Automata

### 2.1 Deterministic Finite Accepters

Deterministic Accepters and Transition Graphs

Languages and Dfa's

Regular Languages

### 2.2 Nondeterministic Finite Accepters

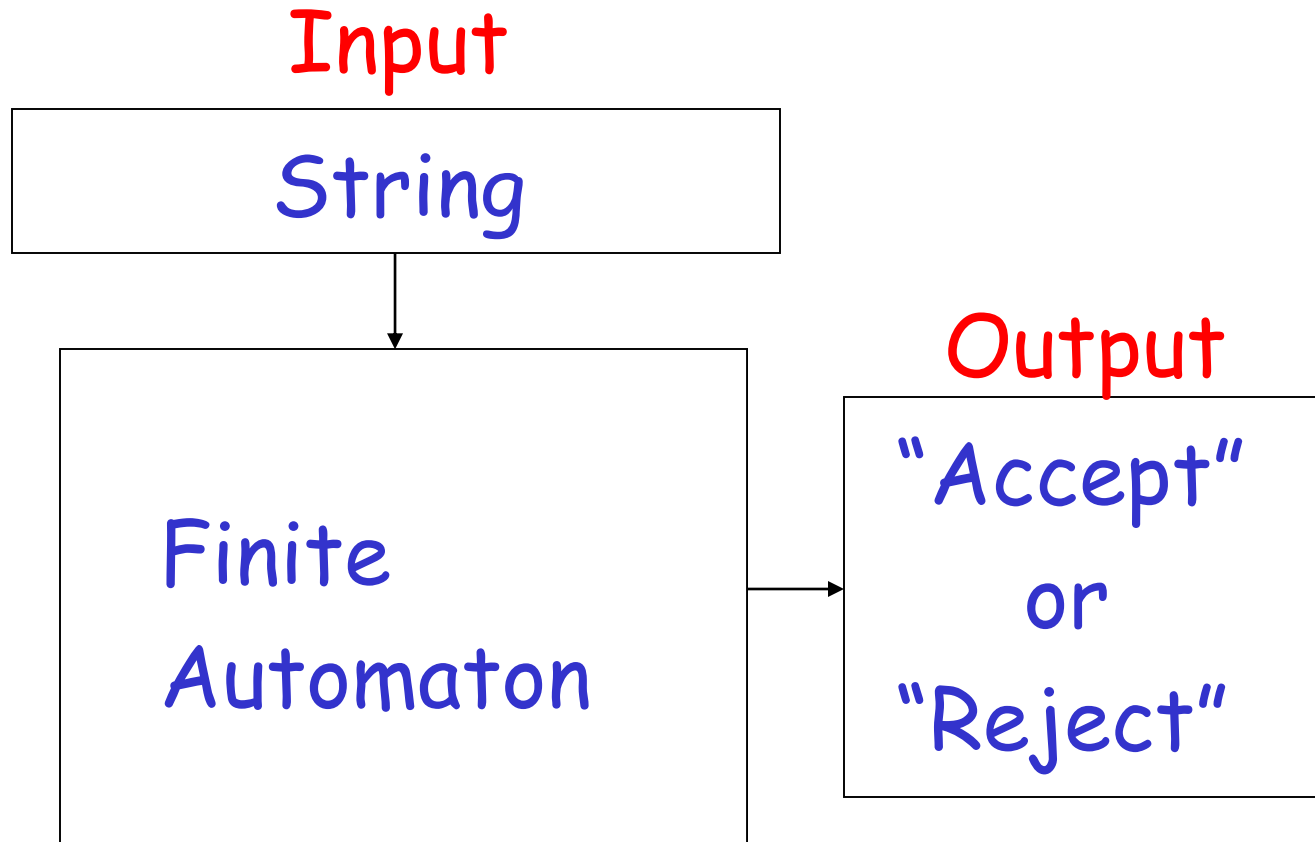
Definition of a Nondeterministic Acceptor

Why Nondeterminism?

### 2.3 Equivalence of Deterministic and Nondeterministic Finite Accepters

### 2.4 Reduction of the Number of States in Finite Automata\*

# Finite Automaton



# Formal Definition

## Finite Automaton (FA)

$$M = (Q, \Sigma, \delta, q_0, F)$$

$Q$  : set of states

$\Sigma$  : input alphabet

$\delta$  : transition function

$q_0$  : initial state

$F$  : set of accepting states

# Deterministic Finite Automata

## Deterministic Finite Automaton (FA)

(QUINTUPLE)  $M = (Q, \Sigma, \delta, q_0, F)$

$Q$  : set of states

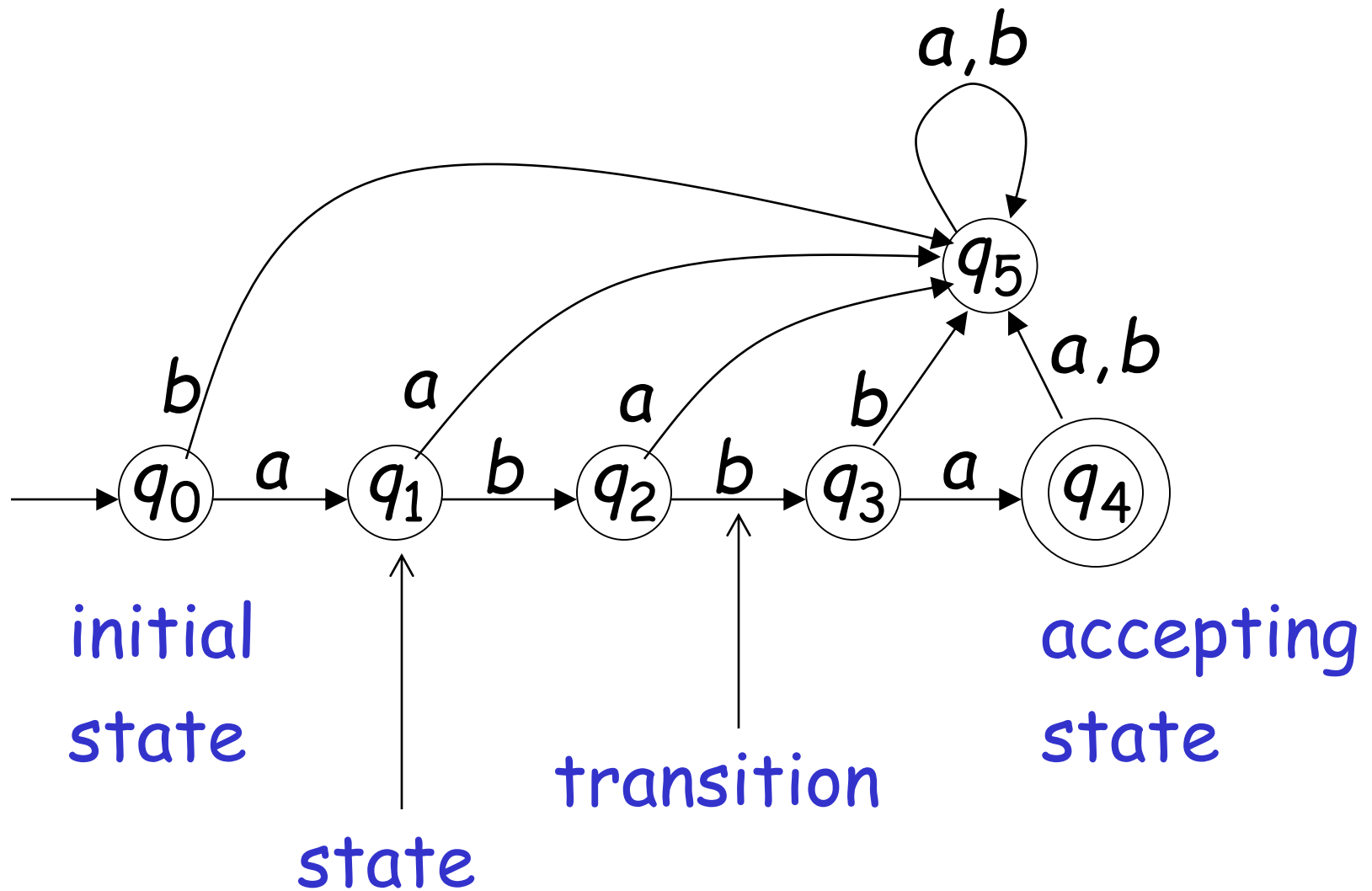
$\Sigma$  : input alphabet

$\delta$  :  $Q \times \Sigma \rightarrow Q$  - transition function E.g.  $\delta(q_0, a) = q_1$

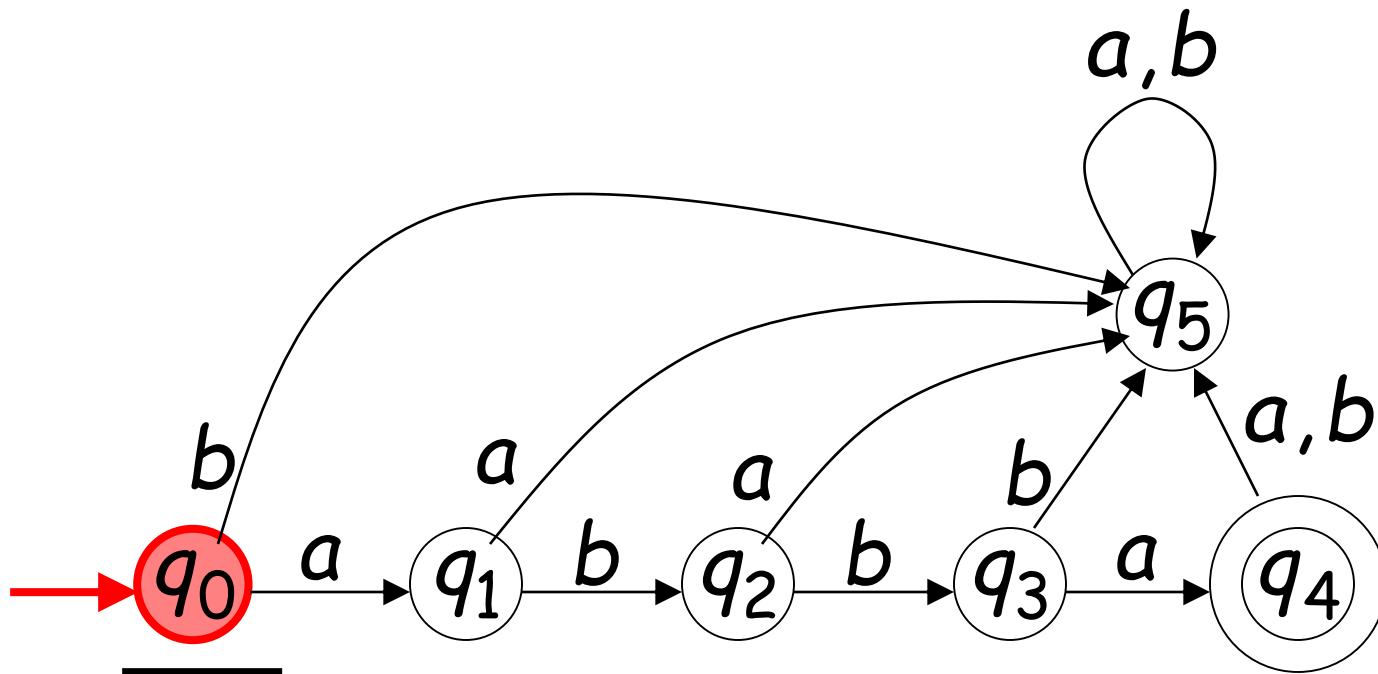
$q_0$  : initial state

$F$  : set of accepting states

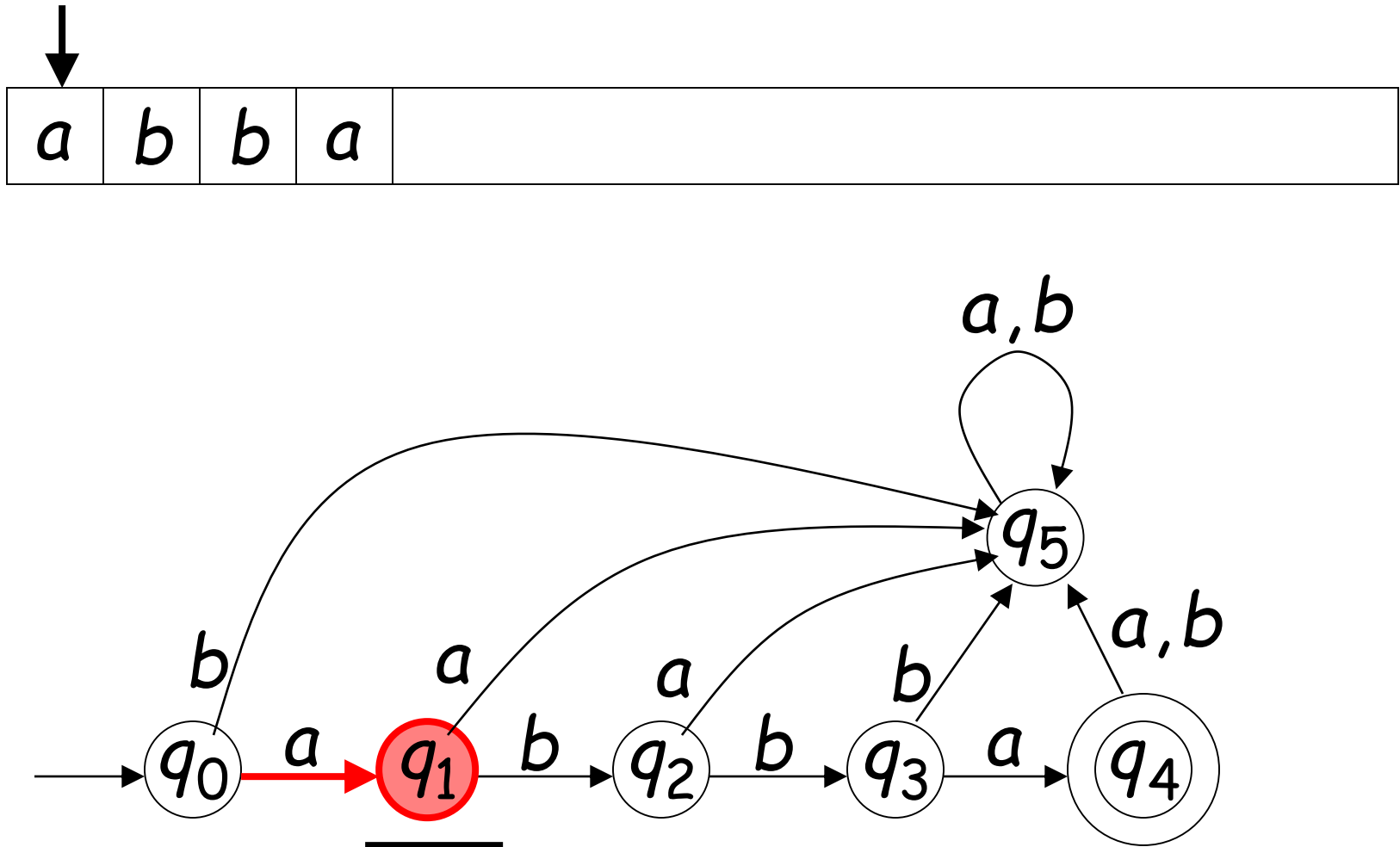
# Transition Graph



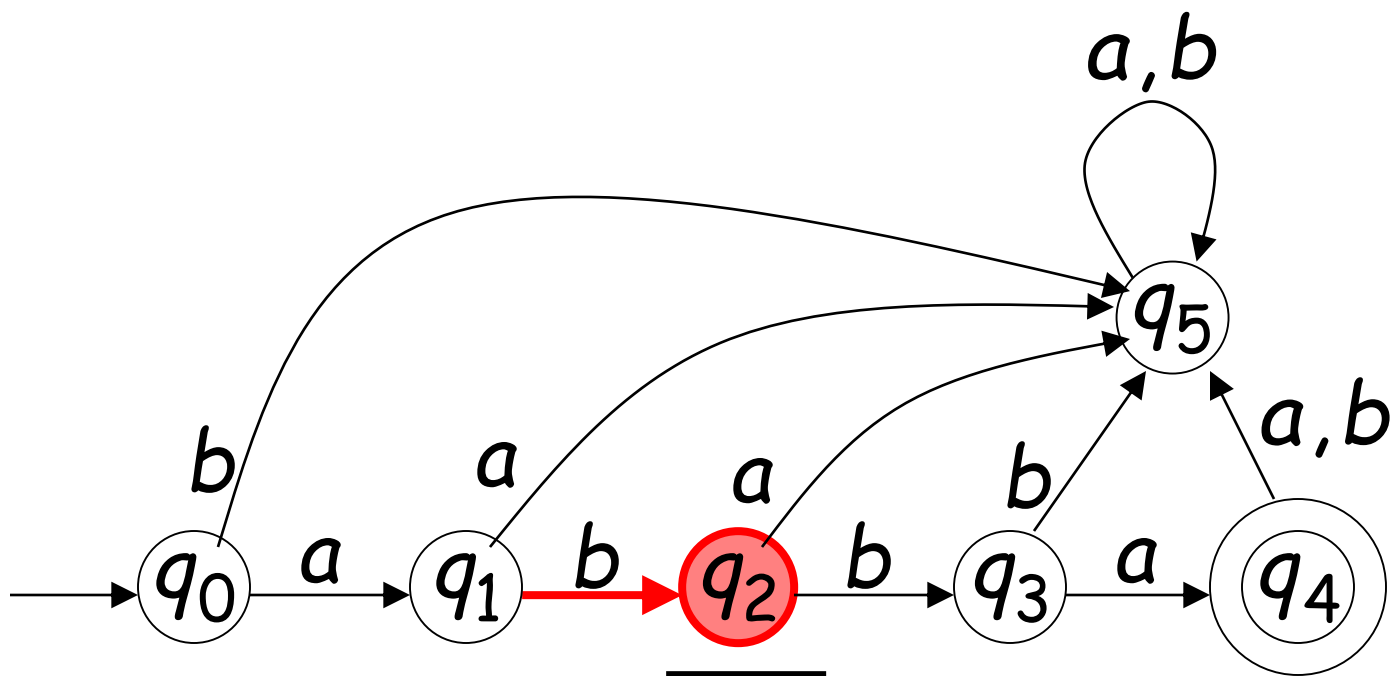
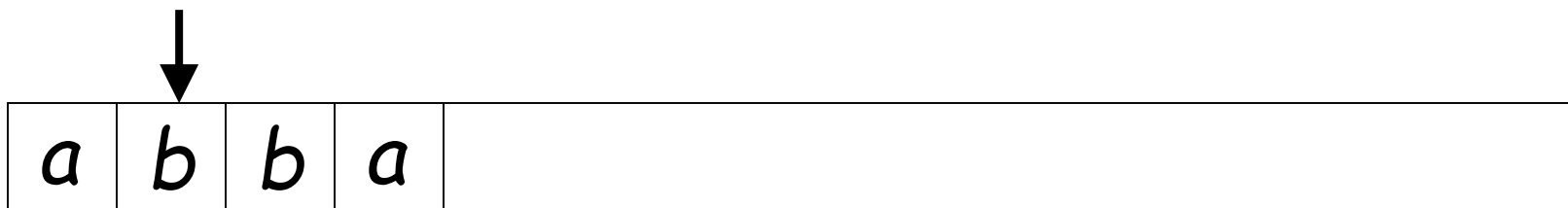
# Initial Configuration

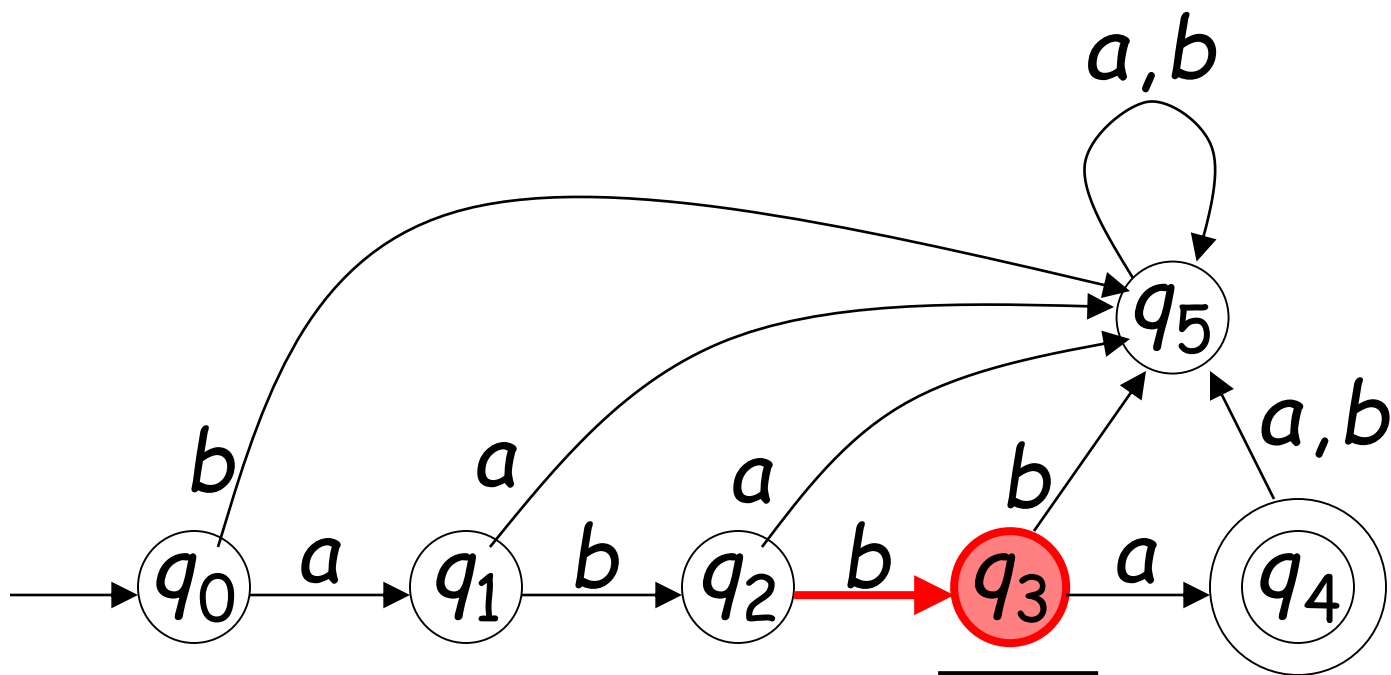
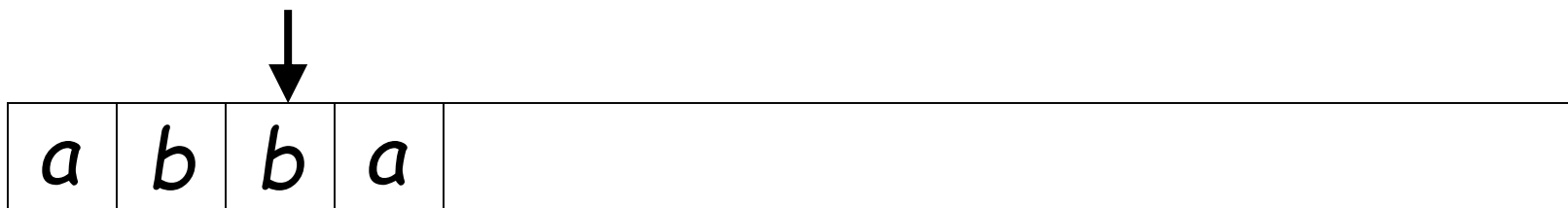


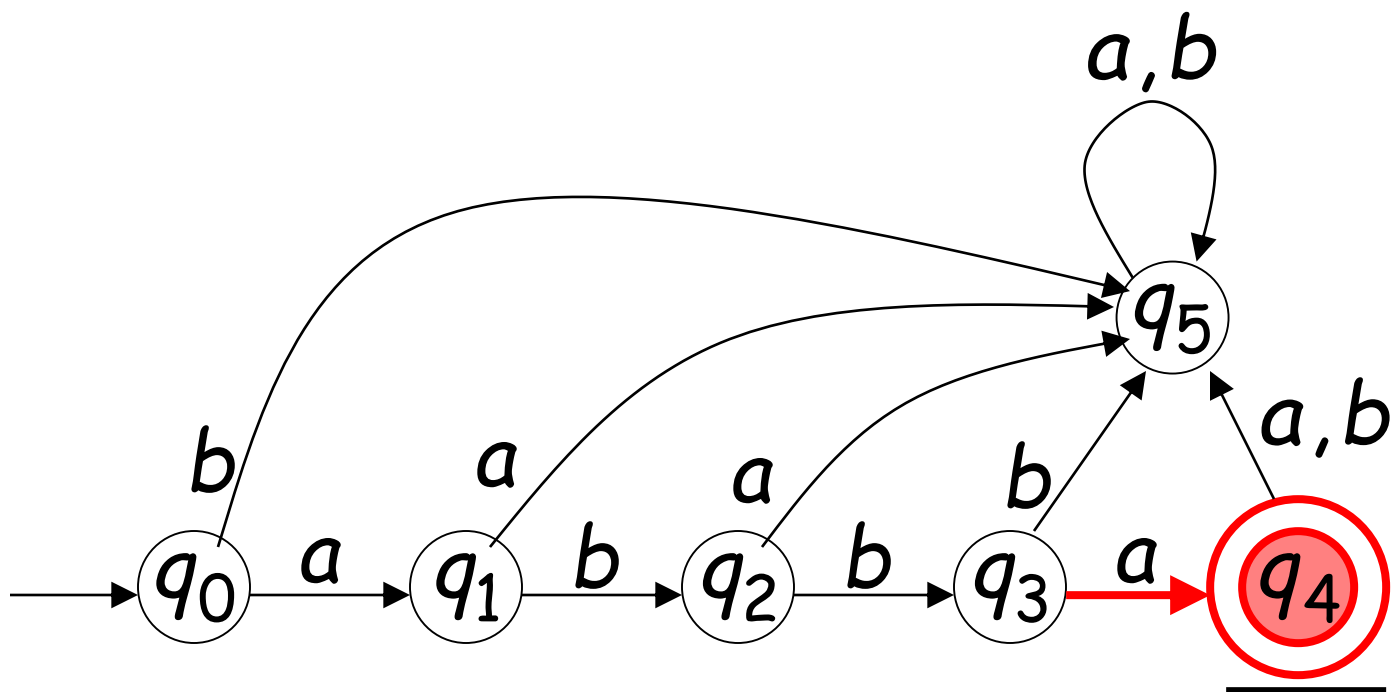
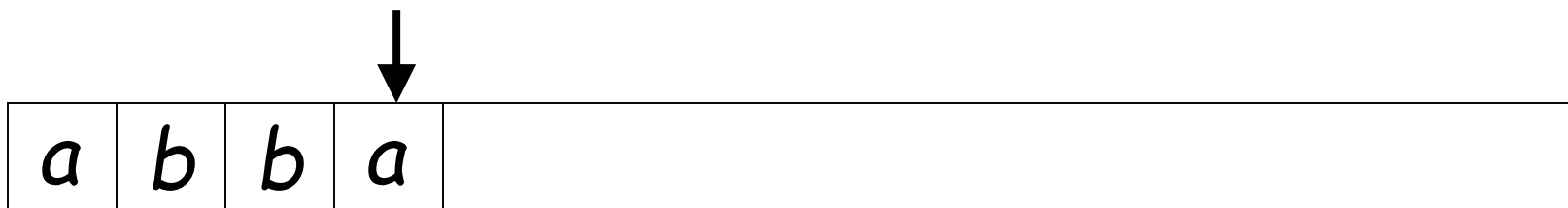
# Reading the Input



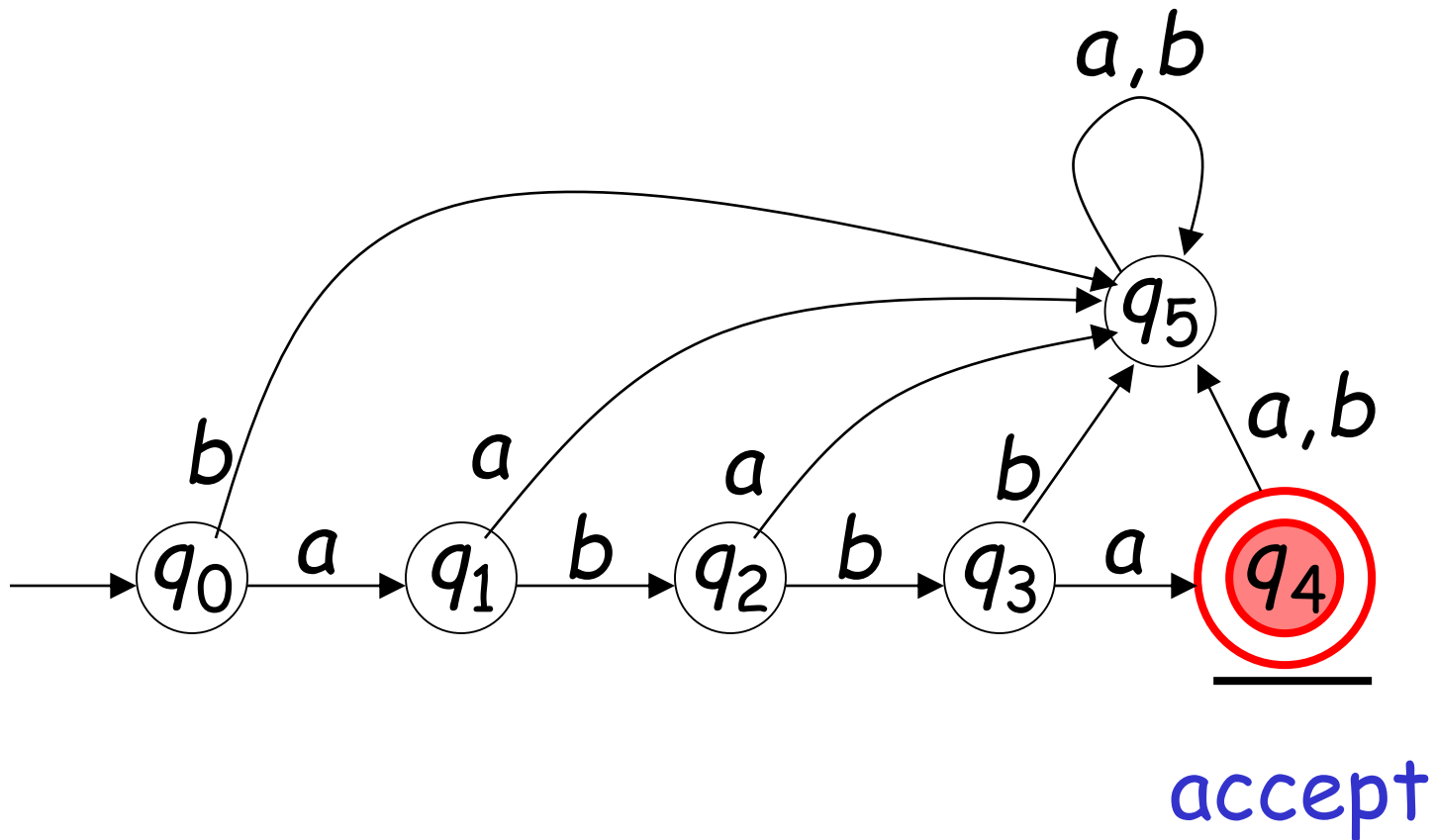
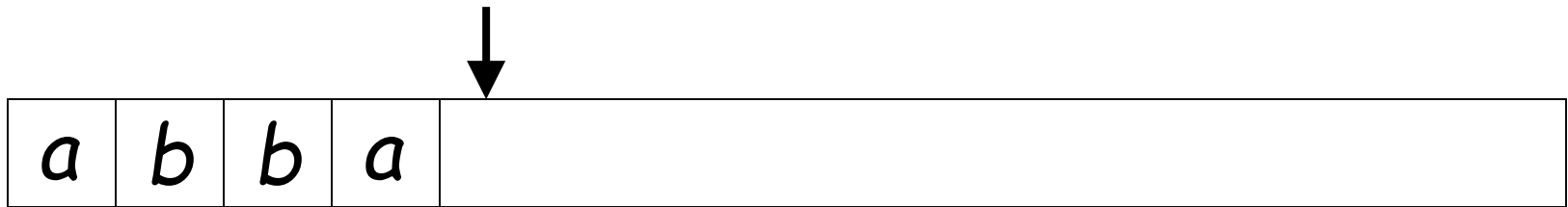




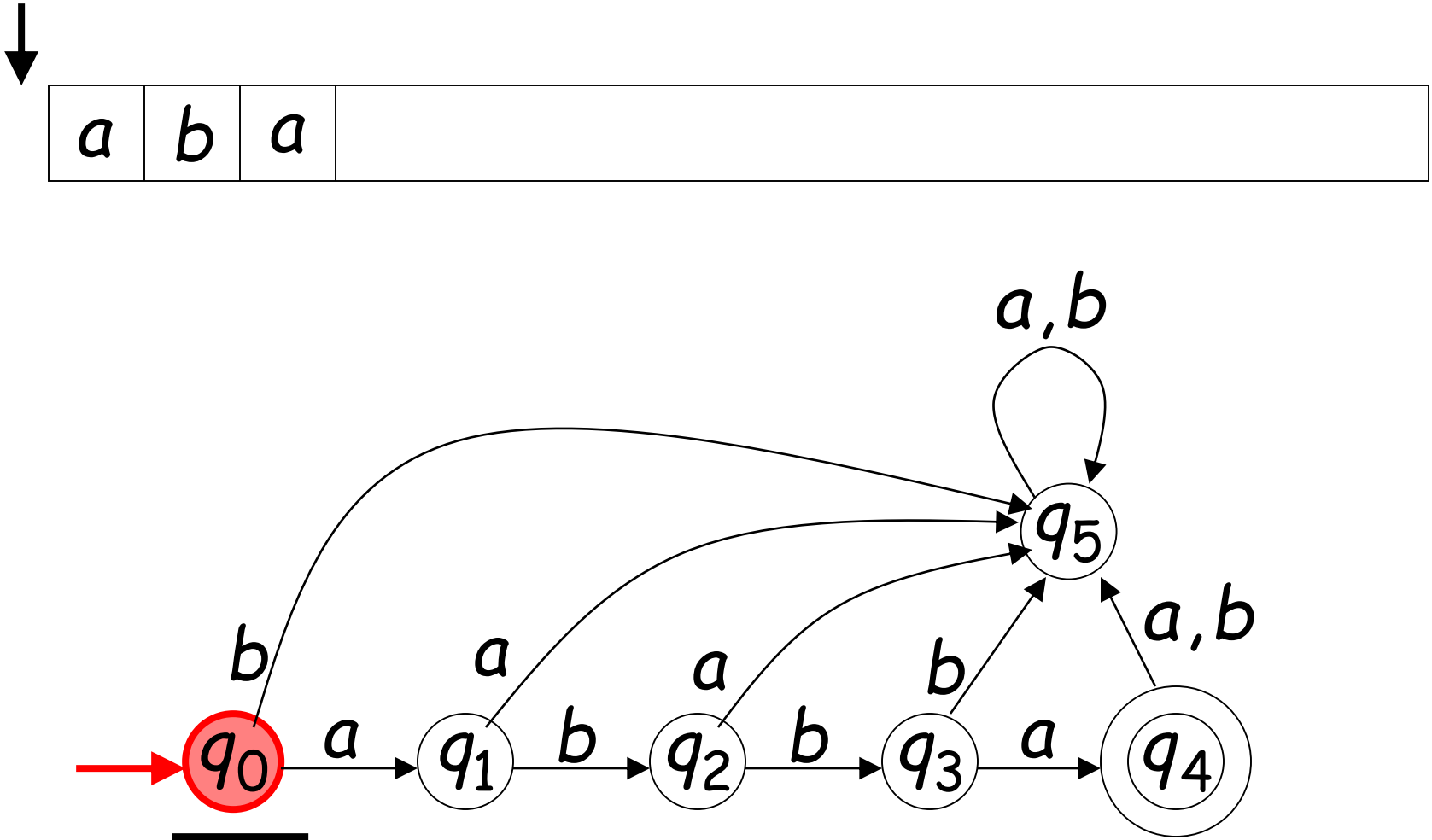


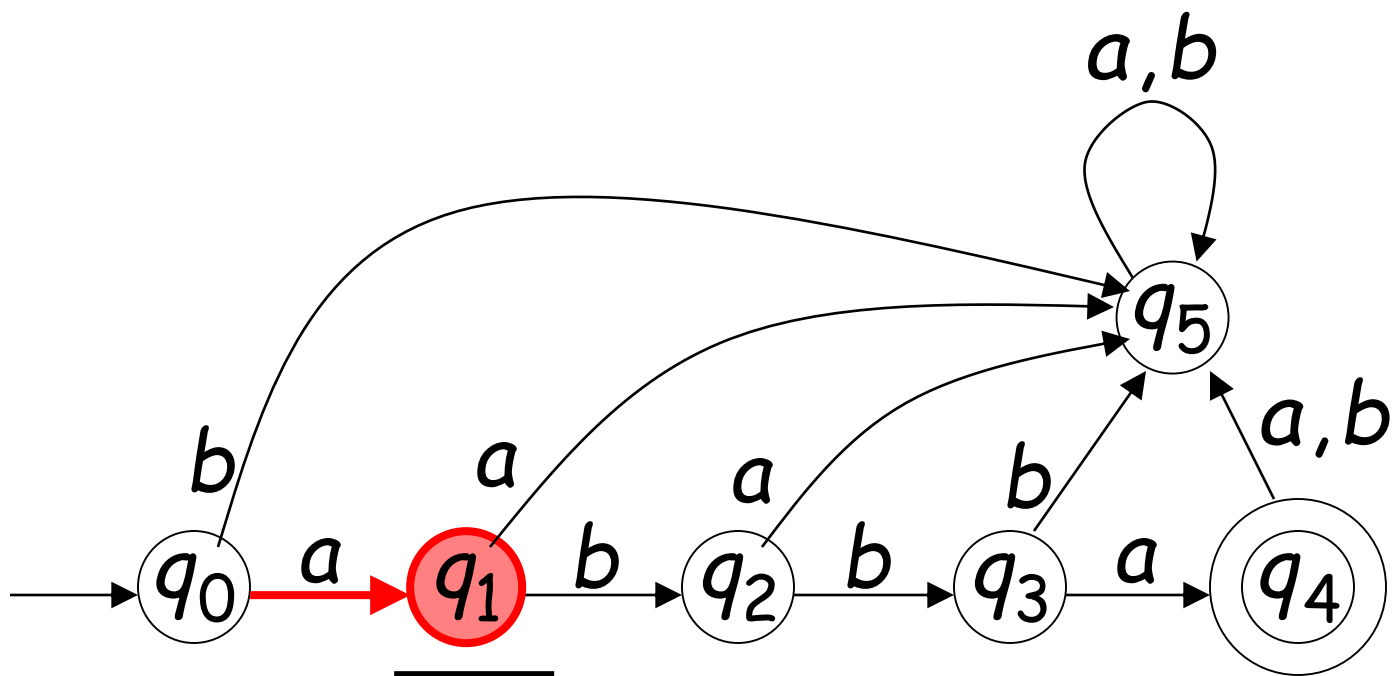
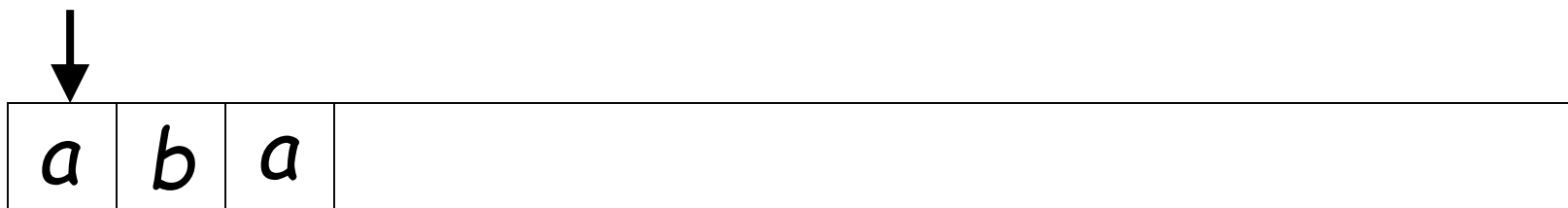


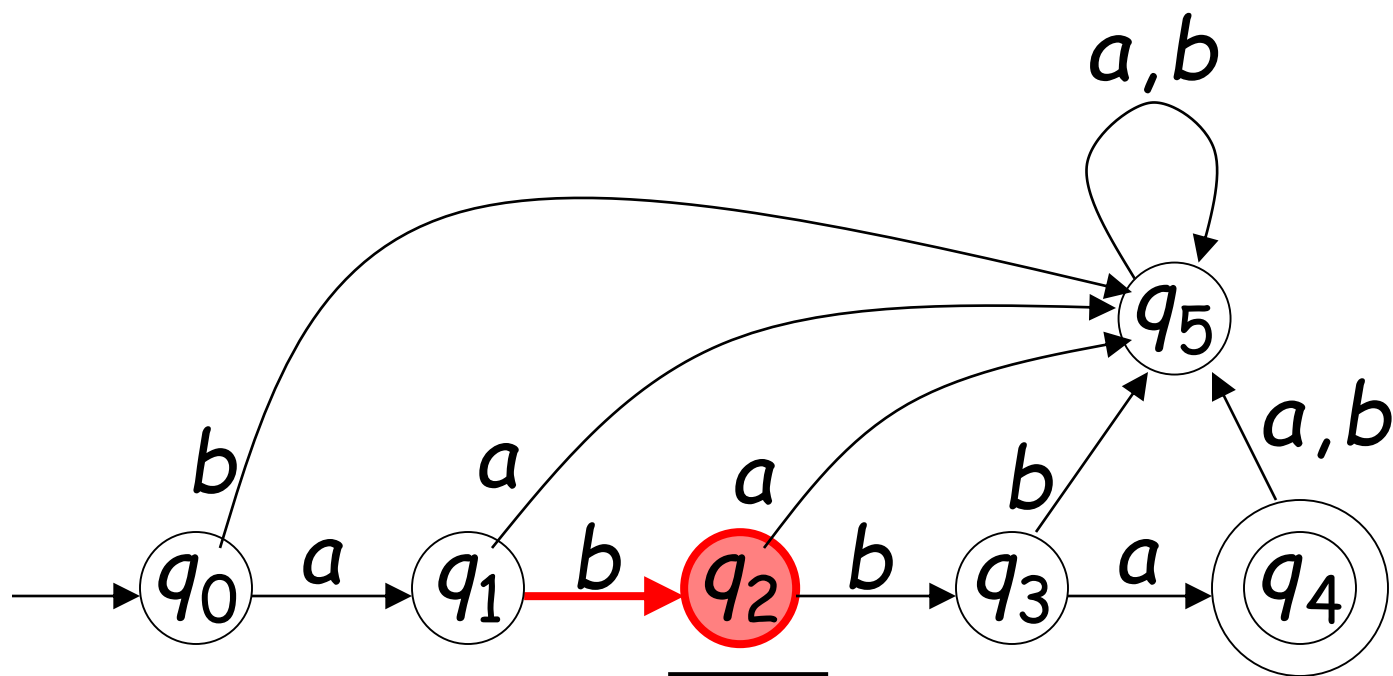
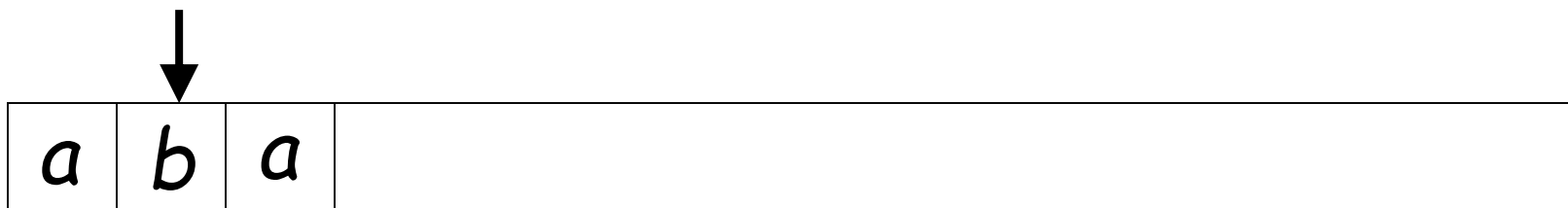
Input finished

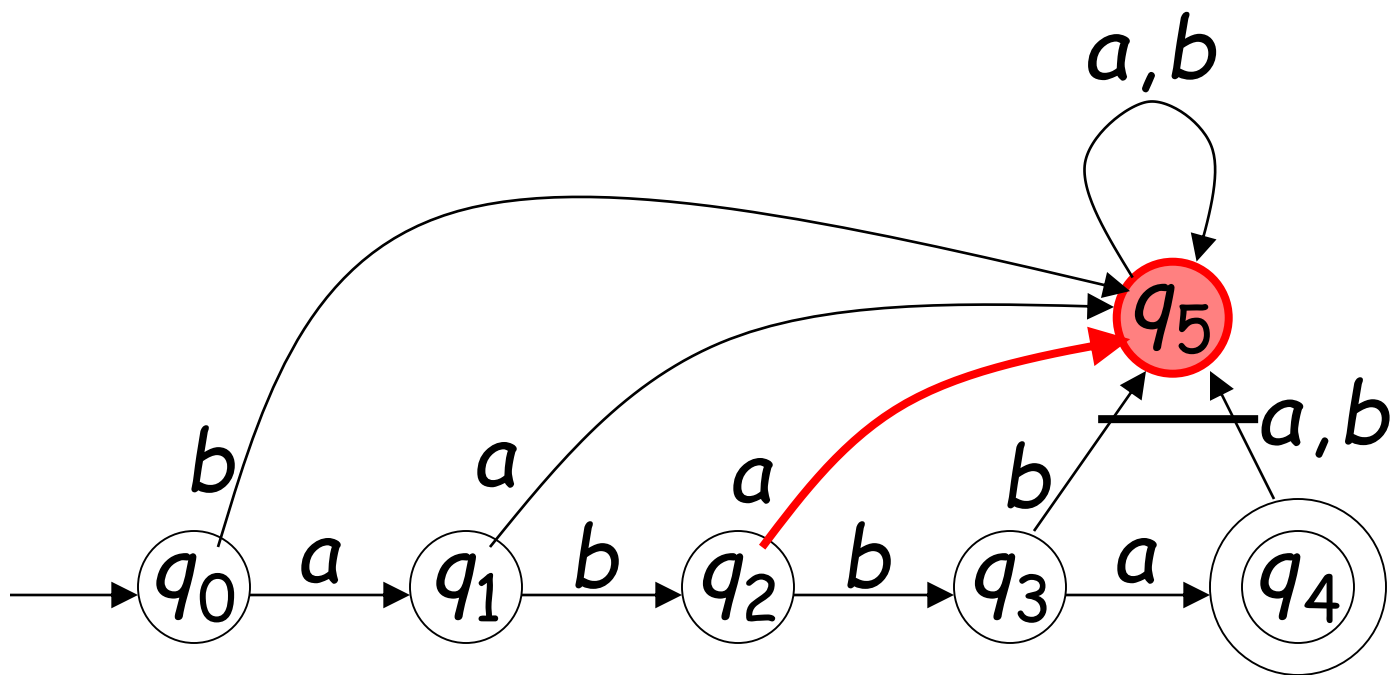
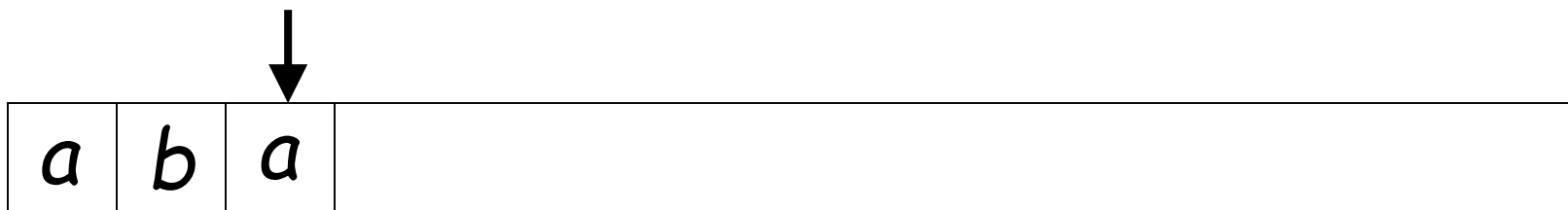


# Rejection



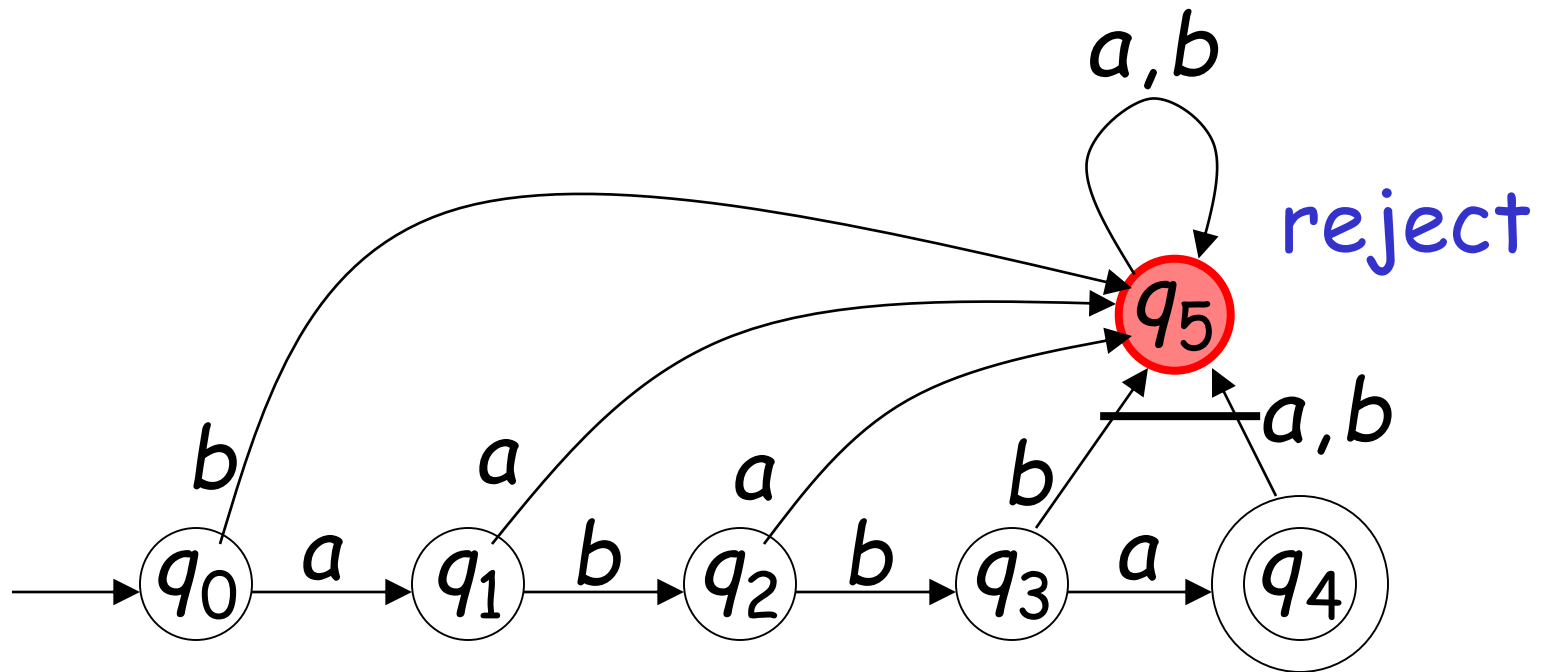
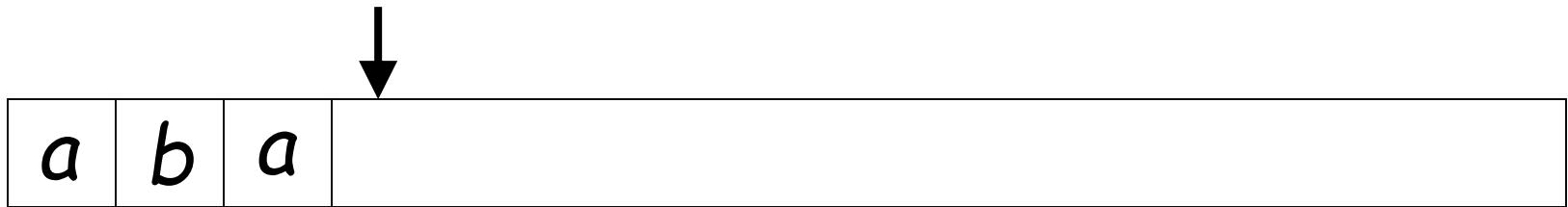




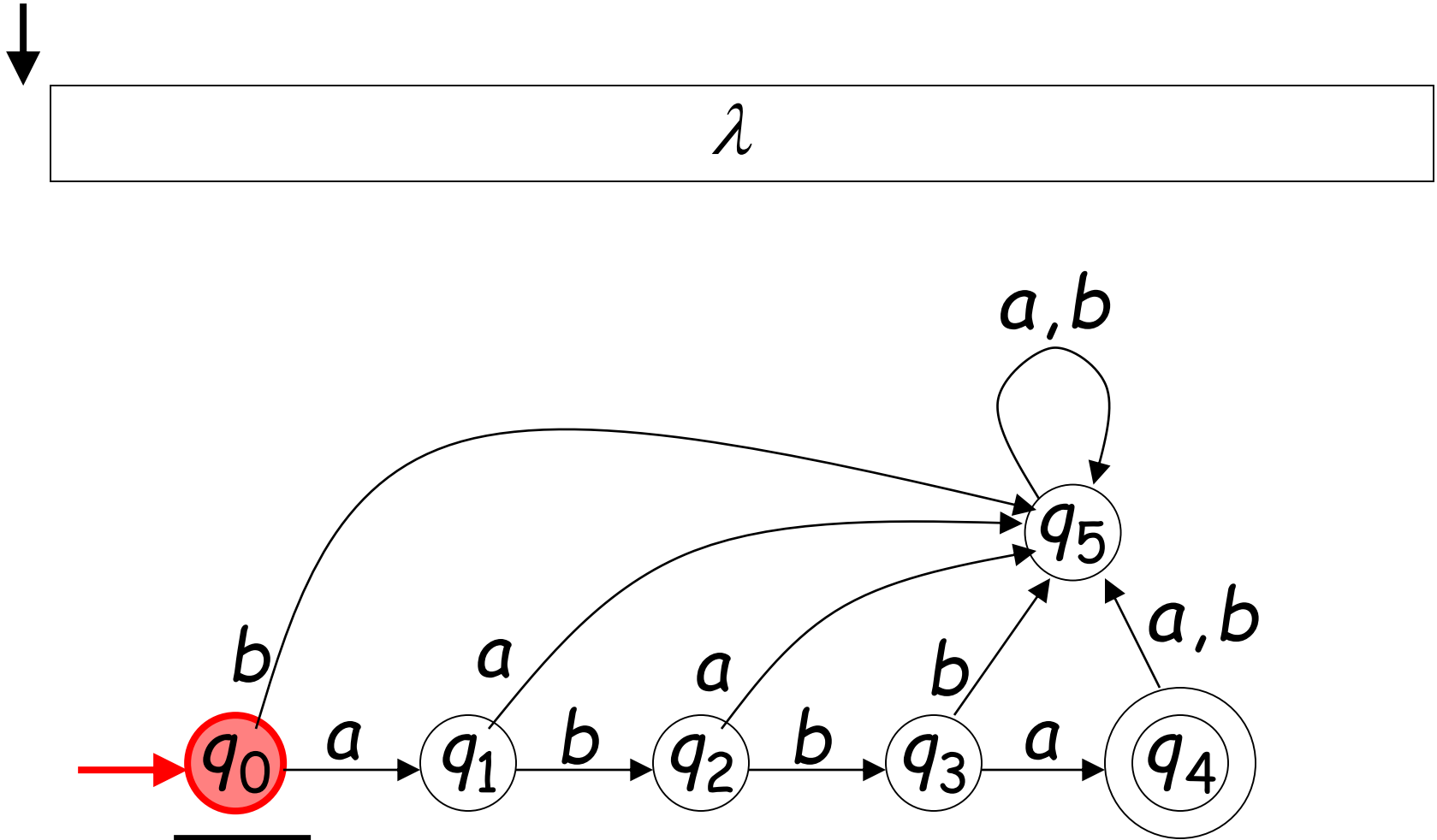




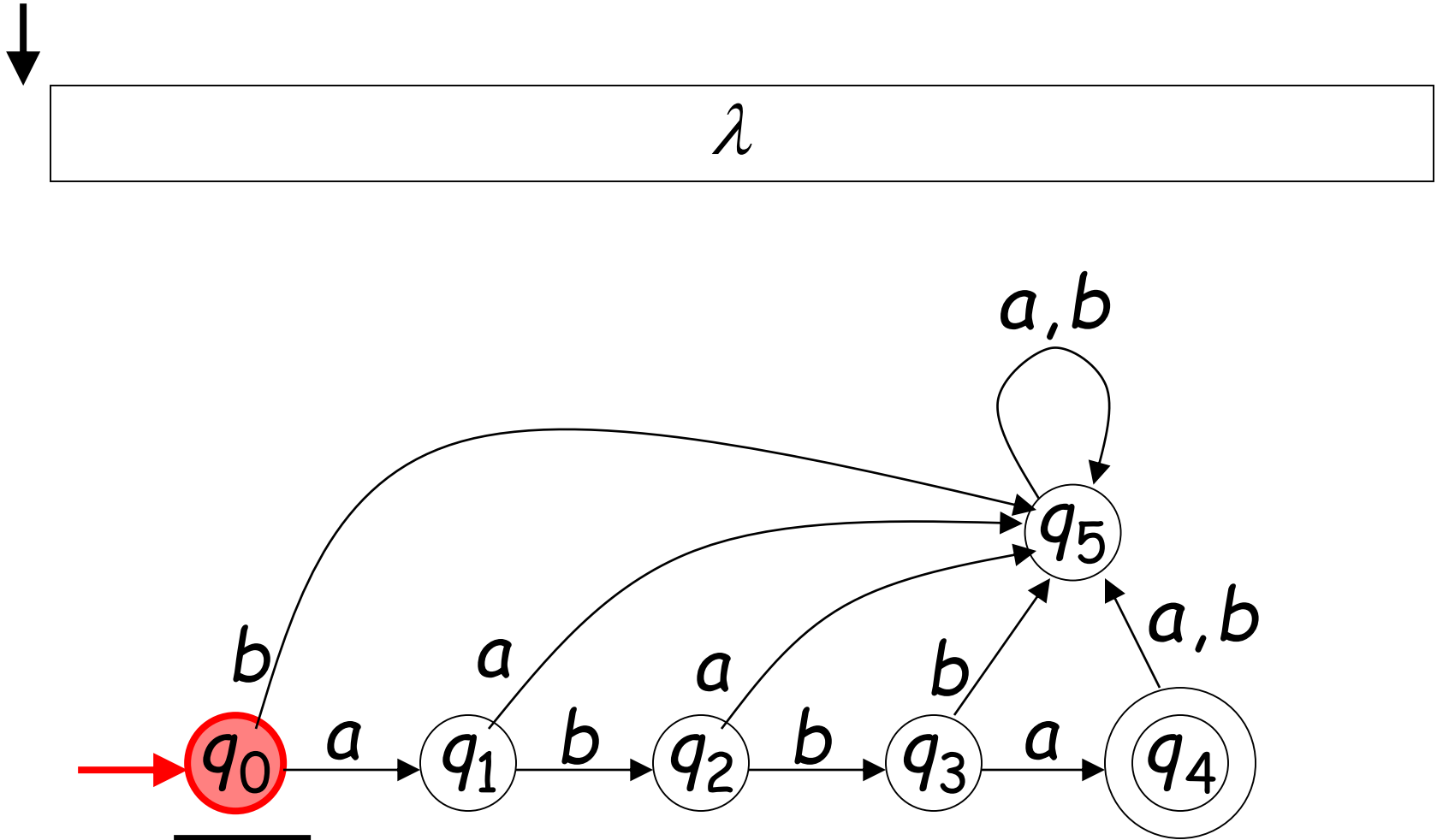
Input finished



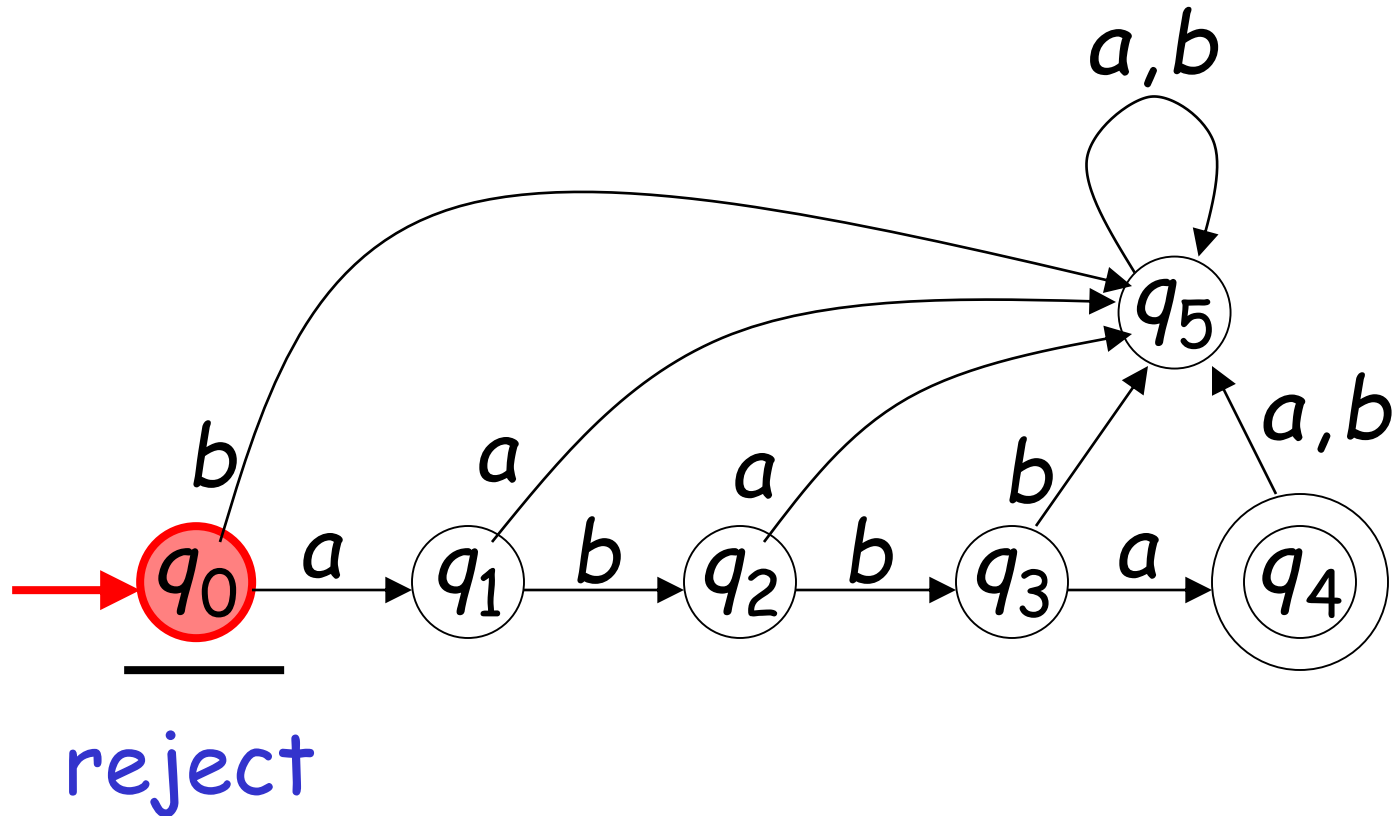
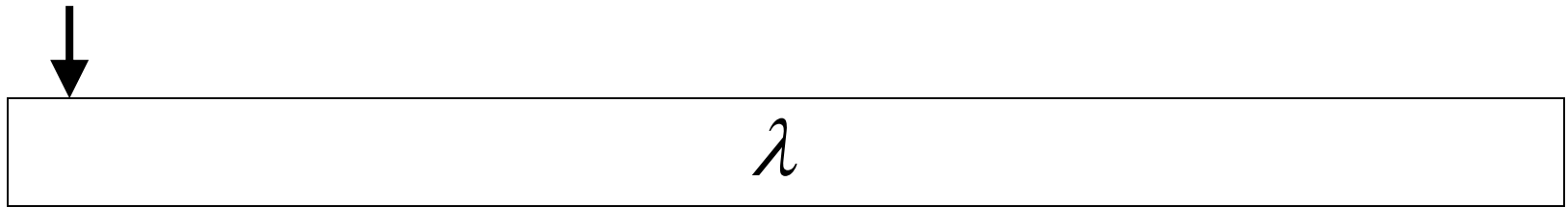
# Acceptance or Rejection?



# Initial State



# Rejection



- To visualize and represent FA , Transition Graph is used.
- Here in graph, vertices represents **states** and edges represent **transition**.
- The labels on the vertices are **name of the state** and label on edges represent the **input symbol**.
- In the below given graph,  $q_0$  is the initial state, vertices labelled as  $q_0$  and  $q_1$ .
- An edge from  $q_0$  to  $q_1$  represents a transition  $\delta(q_0, a) = q_1$
- The initial state will be shown by incoming unlabelled arrow not originating from any vertex.
- Final states are shown with double circle.



DFA M

# DFA

$$M = (Q, \Sigma, \delta, q_0, F)$$

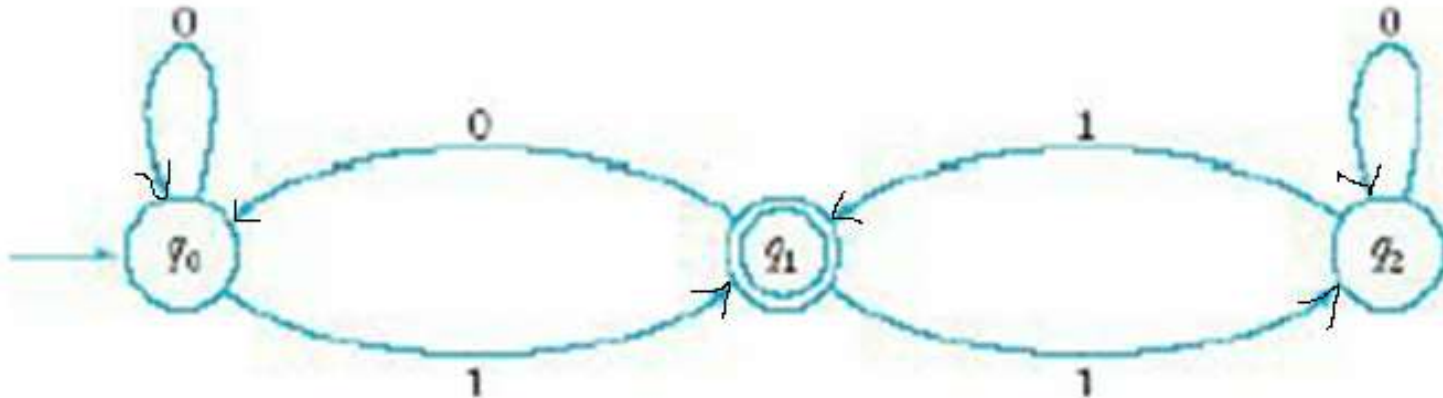
$$M = (\{q_0, q_1, q_2\}, \{0, 1\}, \delta, q_0, \{q_1\}),$$

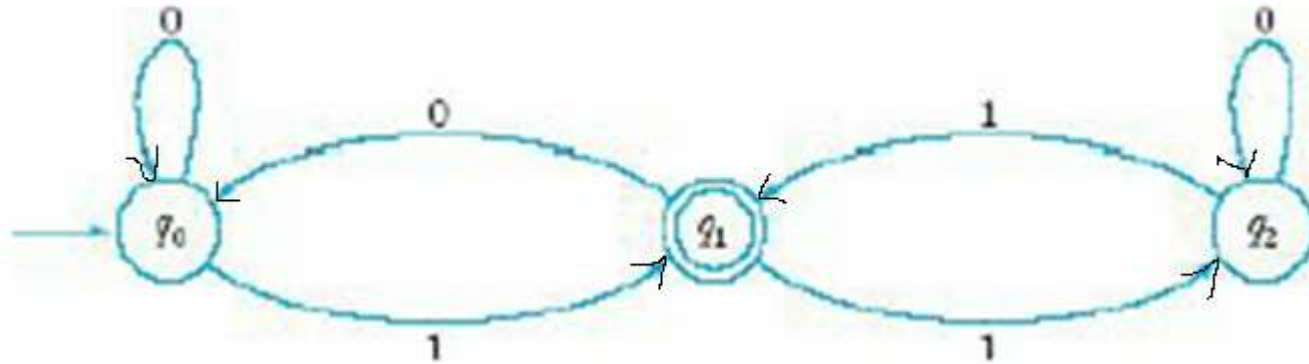
$Q \rightarrow \{q_0, q_1, q_2\}$

$\Sigma \rightarrow \{0, 1\}$        $\delta \rightarrow \text{transition}$        $\delta(q_0, 1) = q_1$

$q_0 \rightarrow \text{Initial state}$

$F \rightarrow \text{Accepter state}$





where  $\delta$  are given by

$$\begin{array}{ll}
 \delta(q_0, 0) = q_0, & \delta(q_0, 1) = q_1, \\
 \delta(q_1, 0) = q_0, & \delta(q_1, 1) = q_2, \\
 \delta(q_2, 0) = q_2, & \delta(q_2, 1) = q_1.
 \end{array}$$

The automaton will accept the strings 101, 0111, and 11001, but not 100 or 1100

# Some Initial DFAs...

1. DFA to accept an empty language  $L = \{ \emptyset \}$
2. DFA to accept an empty string  $L = \{ \Lambda \}$
3. DFA to accept exactly one "a"
4. DFA to accept zero or more "a"
5. DFA to accept at least one "a"
6. DFA to accept one "a" or one "b"



# Some Initial DFAs...

1. DFA to accept an empty language  $L = \{ \emptyset \}$



2. DFA to accept an empty string  $L = \{ \Lambda \}$  - If  $q_0$  is an accepting state, the automaton accepts the empty string.



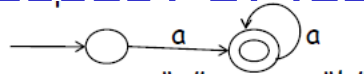
3. DFA to accept exactly one "a"



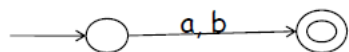
1. DFA to accept zero or more "a"



2. DFA to accept at least one "a"



3. DFA to accept one "a" or one "b"



# Extended Transition Function

$$\delta^* : Q \times \Sigma^* \rightarrow Q$$

Here the second argument of  $\delta^*$  is a **string**, rather than a single symbol  
For example....

$$\delta(q_0, a) = q_1$$

$$\delta(q_1, b) = q_2$$

then where  $ab$  is a string

$$\delta^*(q_0, ab) = q_2$$

We can define  $\delta^*$  recursively by

$$\delta^*(q, \lambda) = q \quad (1)$$

$$\delta^*(q, wa) = \delta(\delta^*(q, w), a) \quad (2)$$

# Contd...

$$\delta^* (q_0, ab) = \delta (\delta^* (q_0, a), b). \quad (3)$$

$$\begin{aligned} \delta^* (q_0, a) &= \delta (\delta^* (q_0, \lambda), a) \\ &= \delta (q_0, a) \\ &= q_1. \end{aligned}$$

So.... Substitute in Eq. (3)

$$\delta^* (q_0, ab) = \delta (q_1, b) = q_2,$$

# DFA contd...

- The language accepted by a dfa  $M = (Q, \Sigma, \delta, q_0, F)$  is the set of all strings on  $\Sigma$  accepted by  $M$ . In formal notation,

$$L(M) = \{w \in \Sigma^* : \delta^*(q_0, w) \in F\}$$

- Non-acceptance means that the DFA stops in a non-final state, so that

$$\overline{L(M)} = \{w \in \Sigma^* : \delta^*(q_0, w) \notin F\}$$

# DFA contd...

- Consider the dfa in Figure



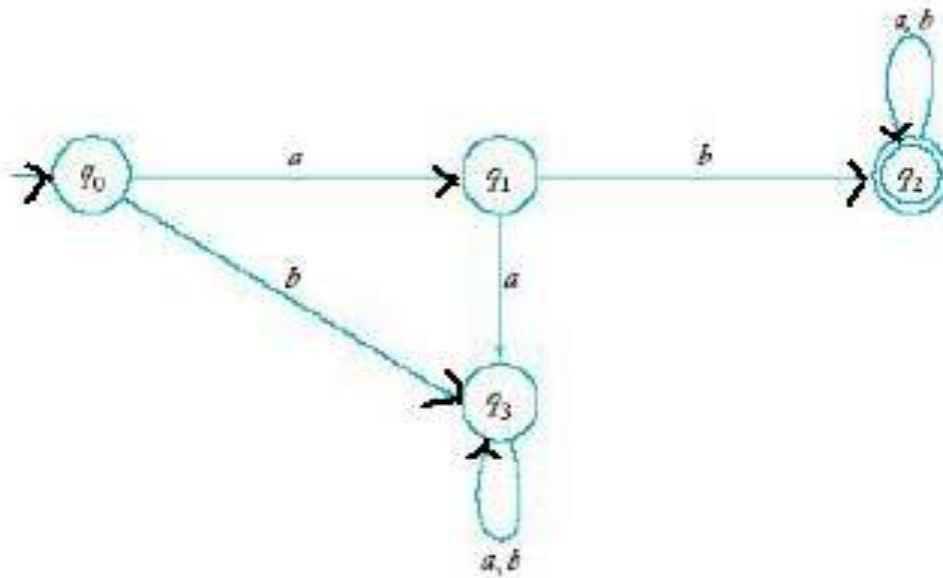
Transition Table

	a	b
q <sub>0</sub>	q <sub>0</sub>	q <sub>1</sub>
q <sub>1</sub>	q <sub>2</sub>	q <sub>2</sub>
q <sub>2</sub>	q <sub>2</sub>	q <sub>2</sub>

- Language for this is  $L = \{a^n b : n \geq 0\}$ .
- If the string is accepted ..it will go to accepter state that is q1 otherwise it will go to trap state q2 from where it cannot escape.

# DFA contd...

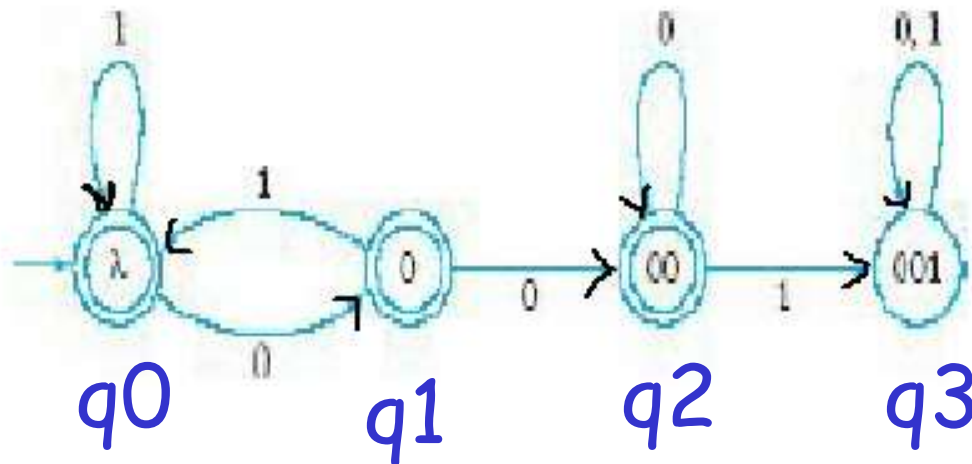
- Find a deterministic finite accepter that recognizes the set of all strings on  $\Sigma = \{a,b\}$  starting with the prefix  $ab$ .



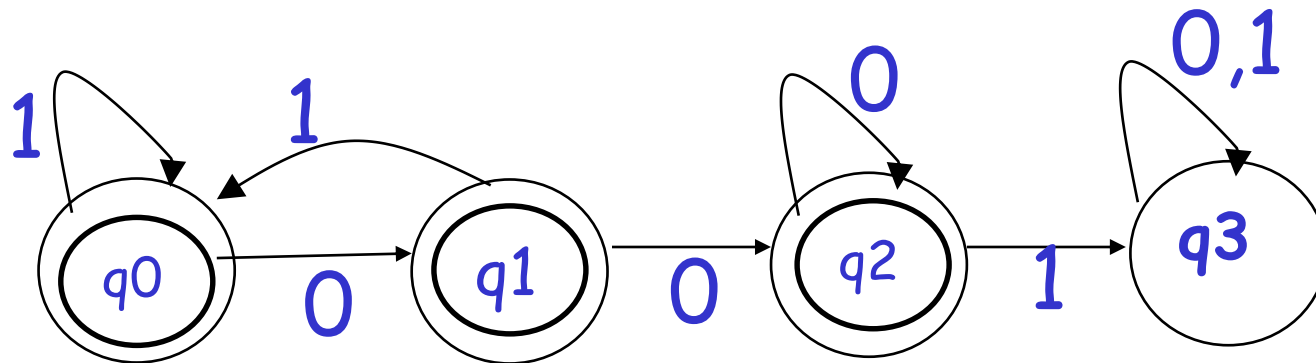
# DFA contd...

- Find a dfa that accepts all the strings on  $\{0,1\}$ , except those containing the substring 001.

- Fig 1

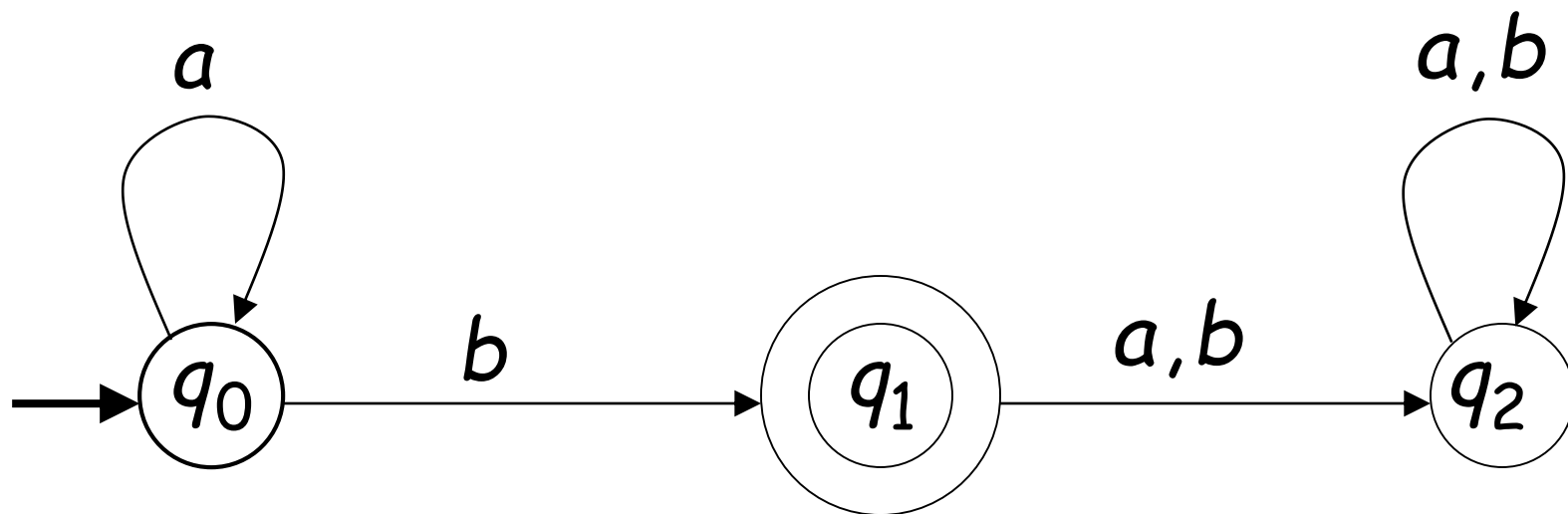


- Fig 2



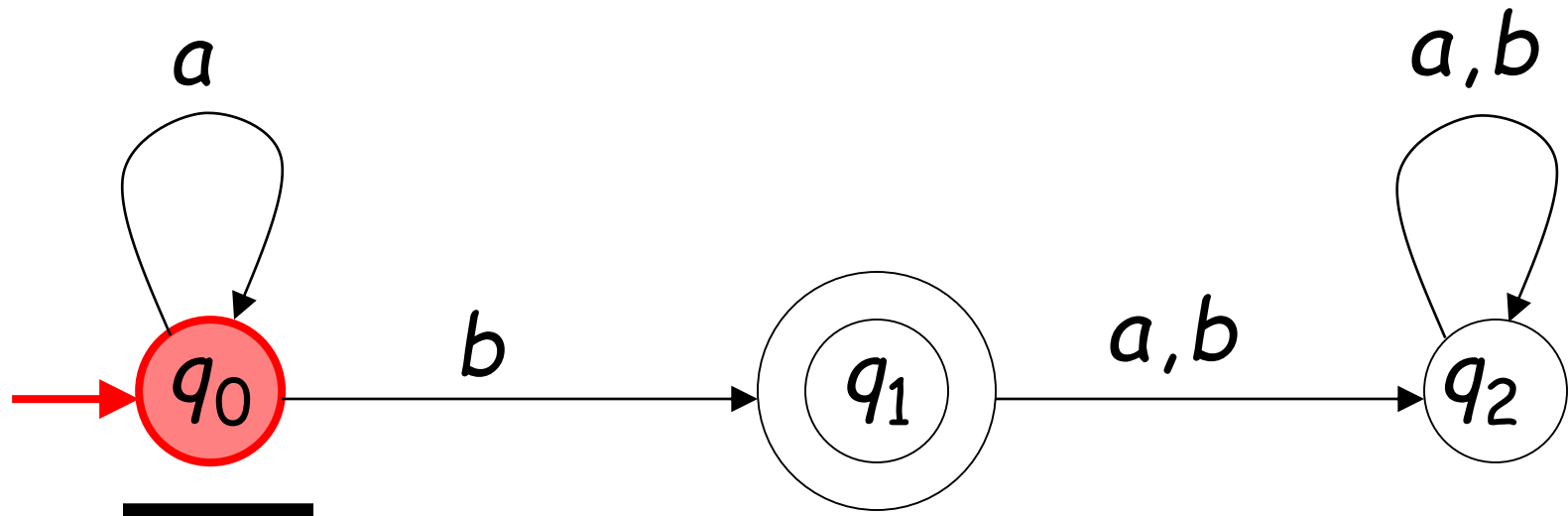
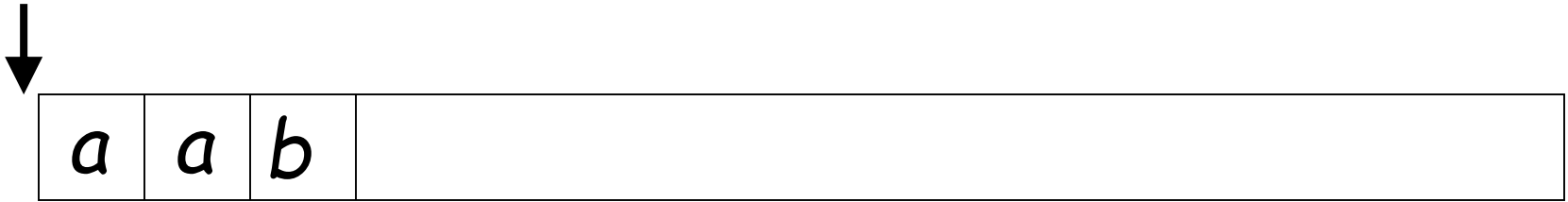
- Fig 1 is same as Fig 2

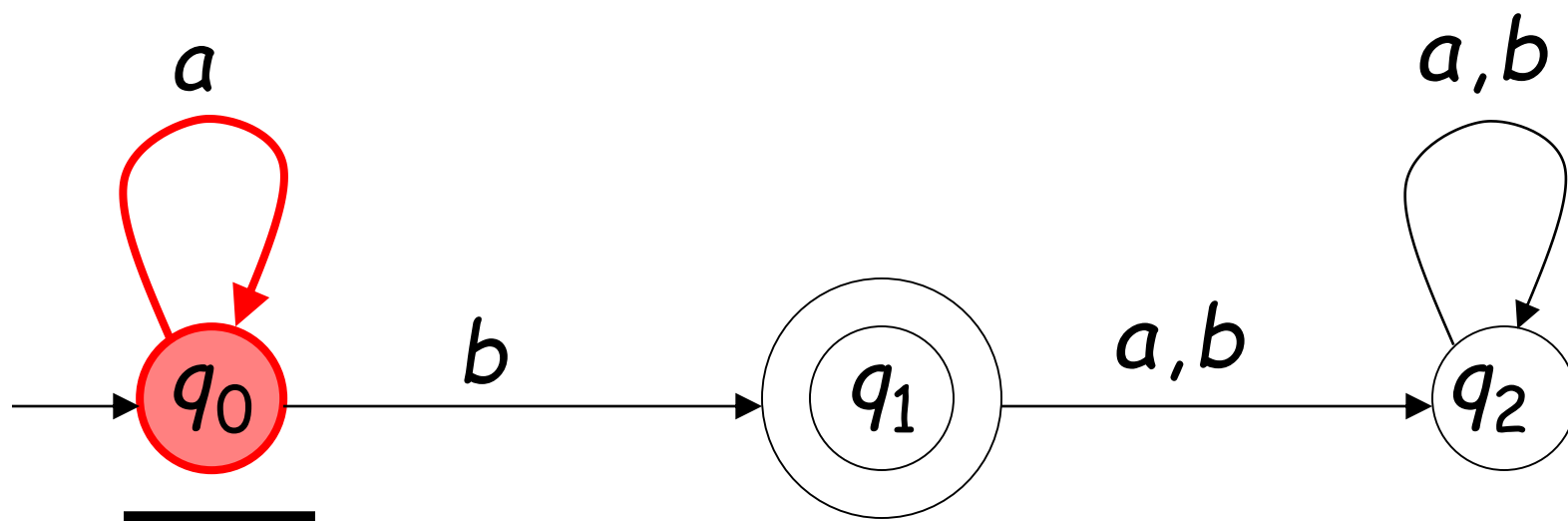
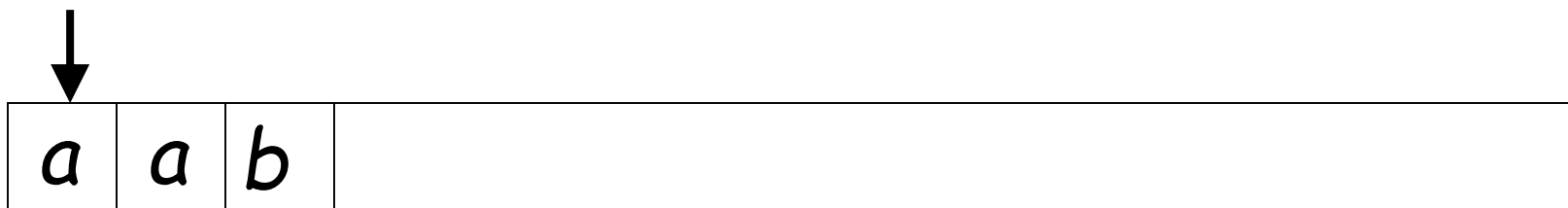
# Language?

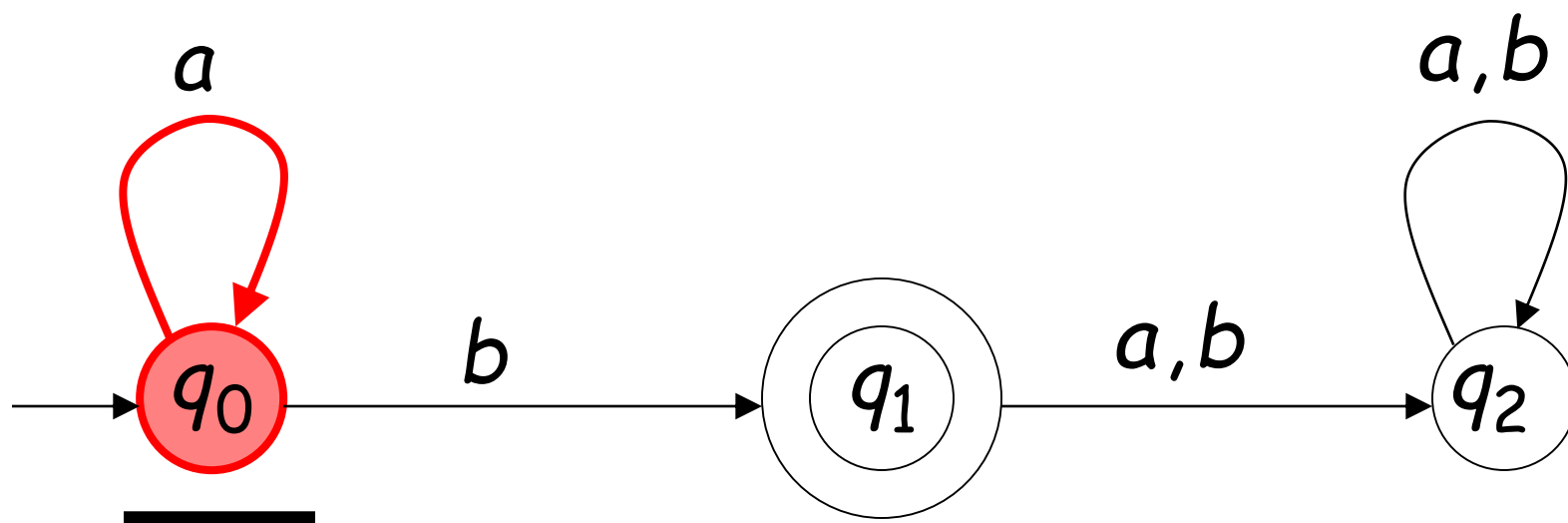
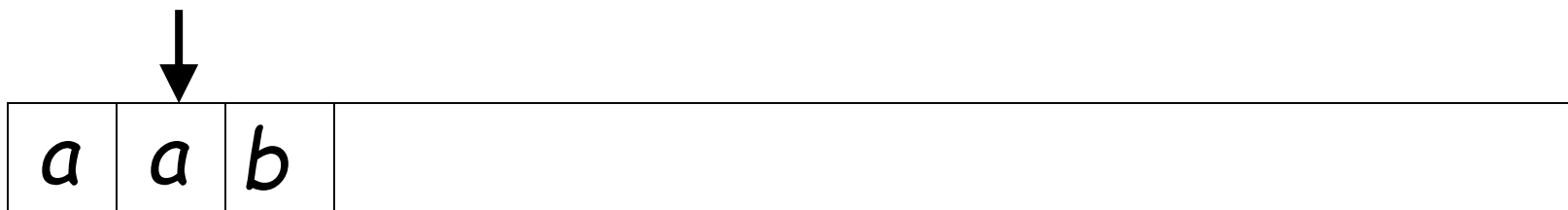


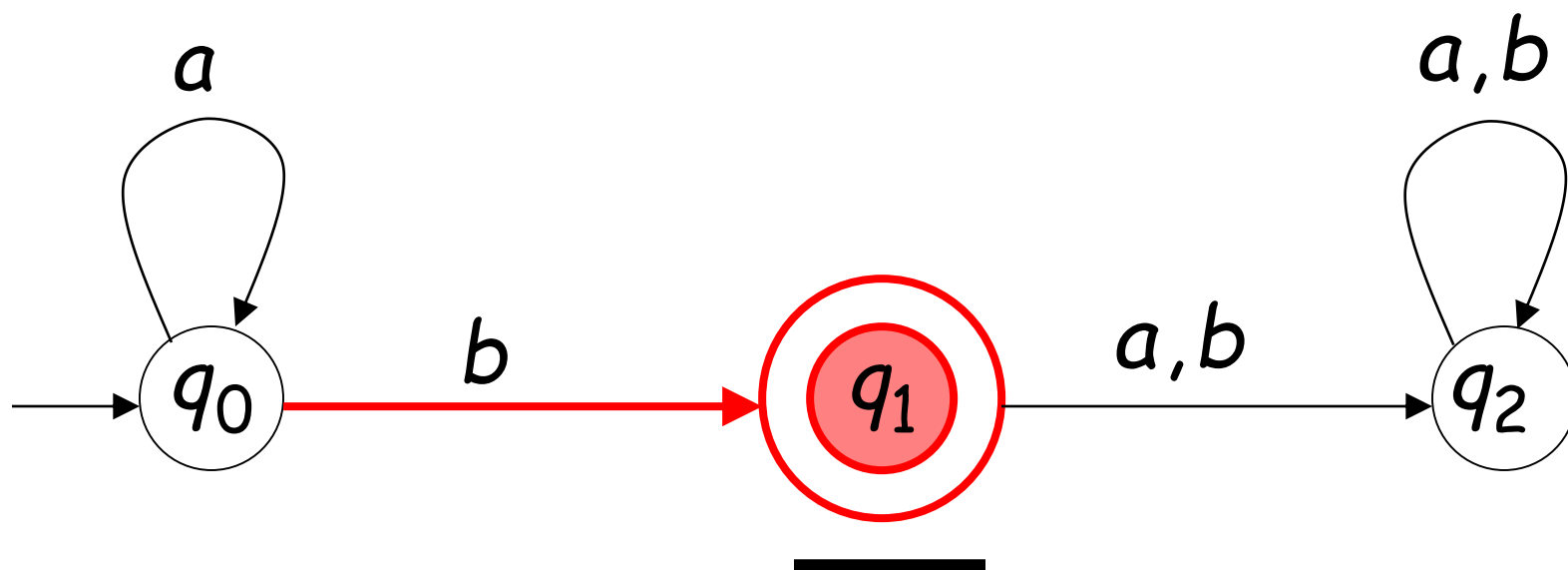
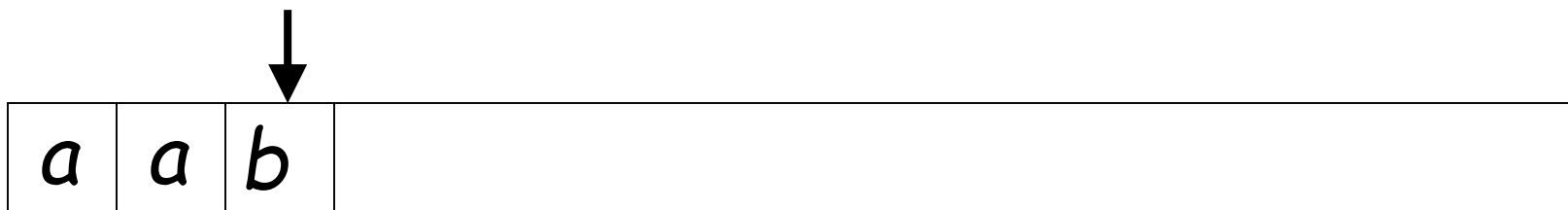


# Another Example

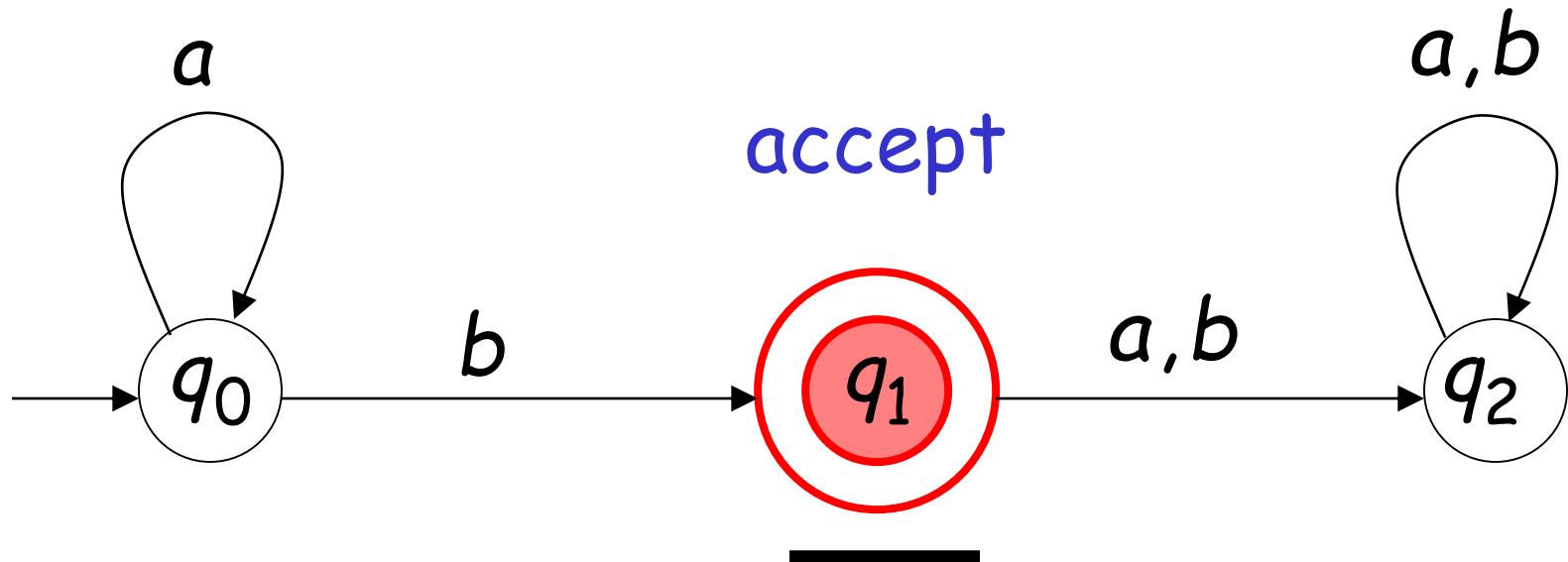




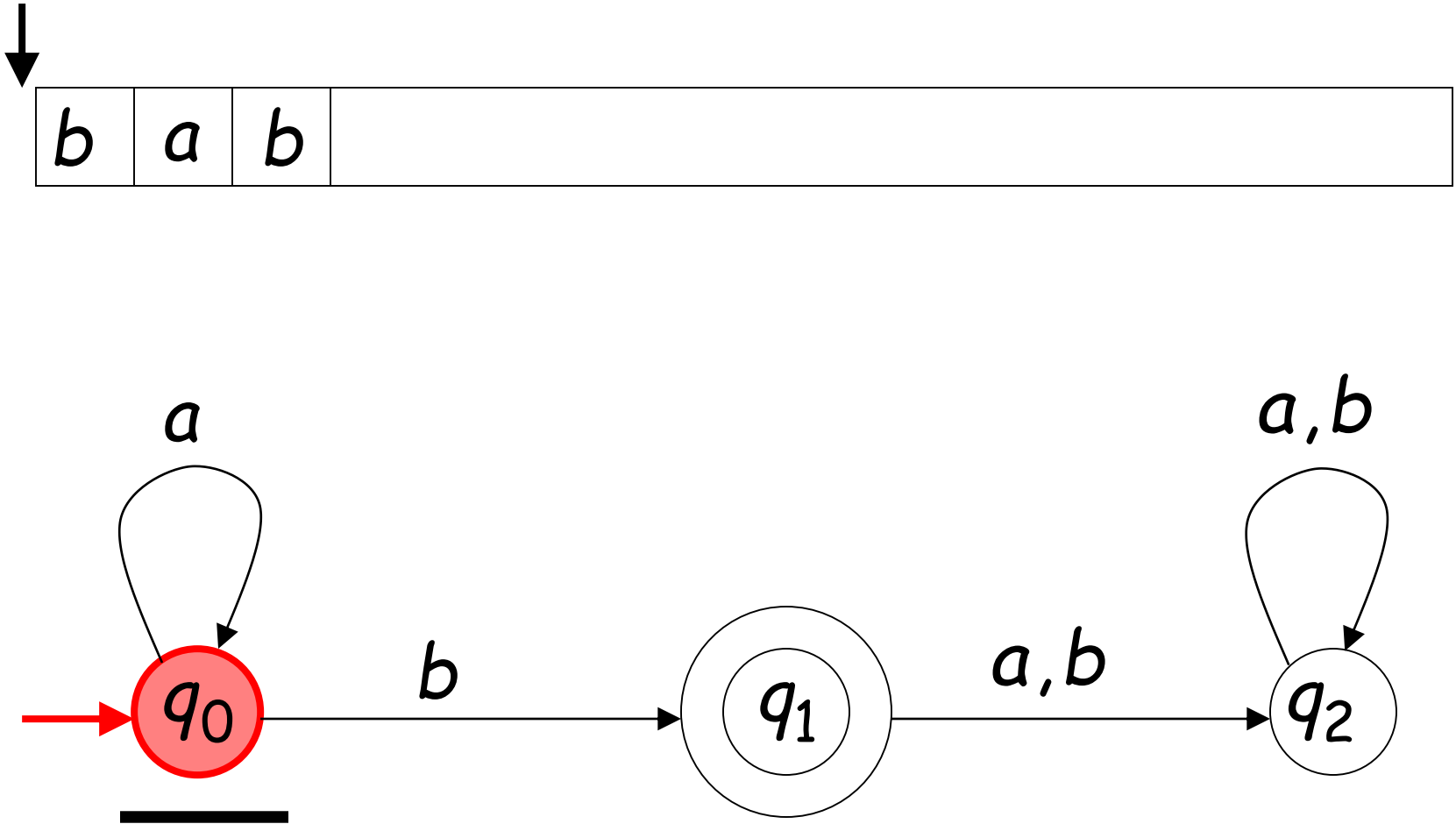


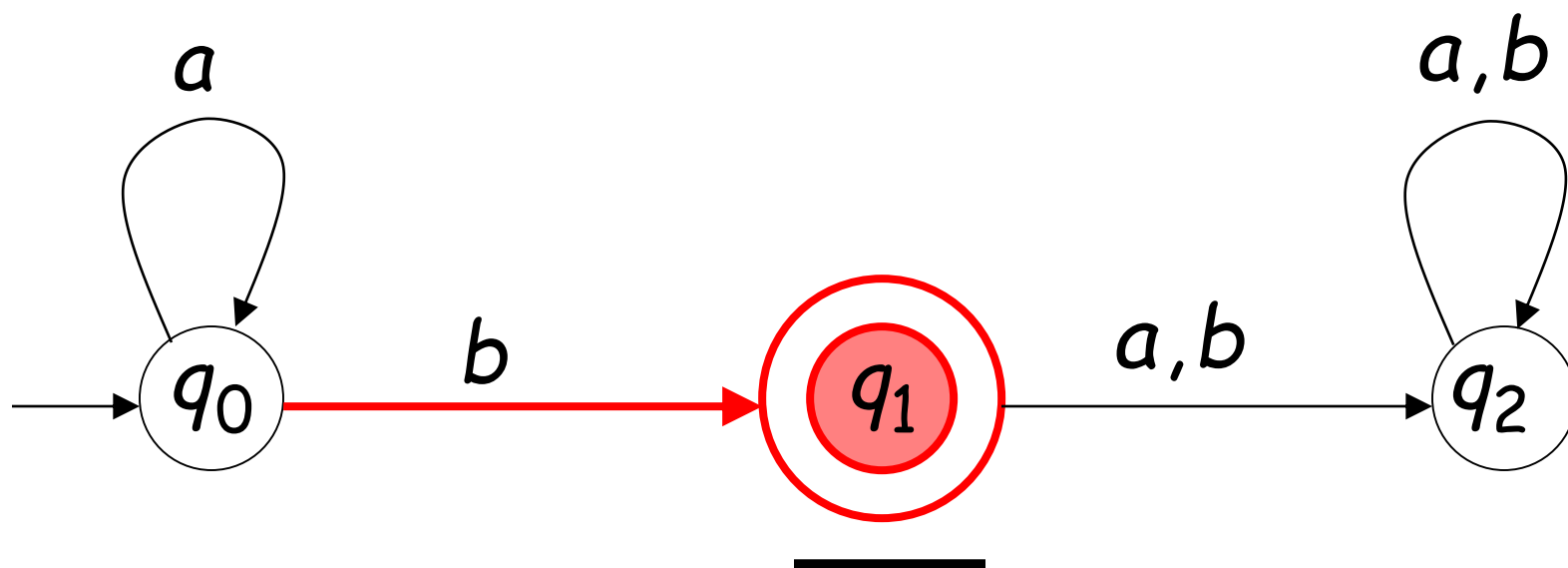
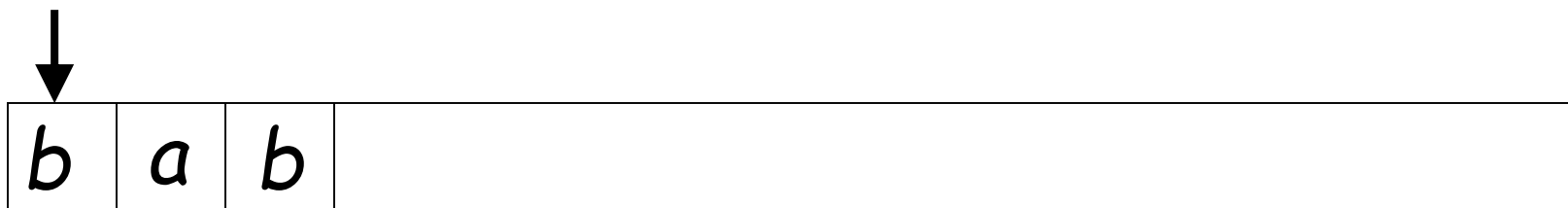


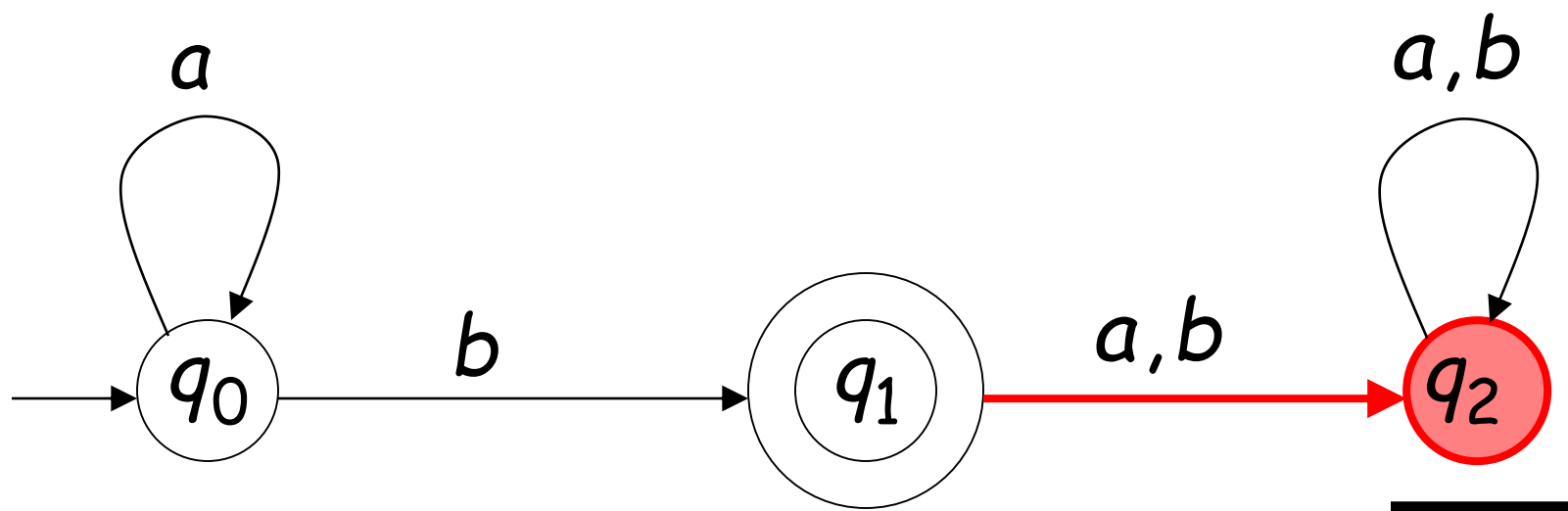
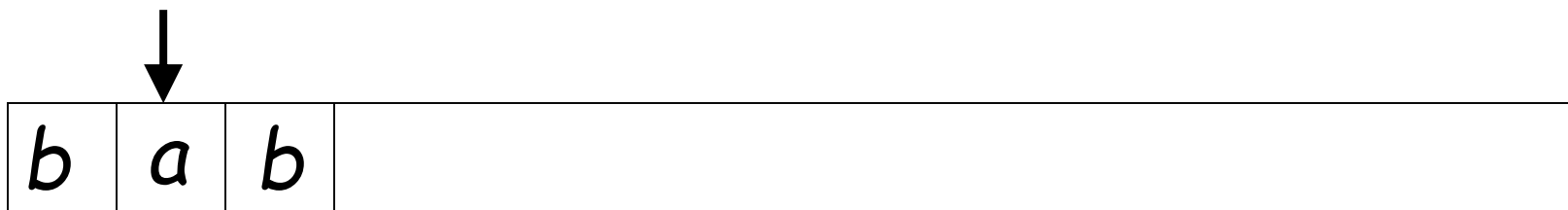
Input finished



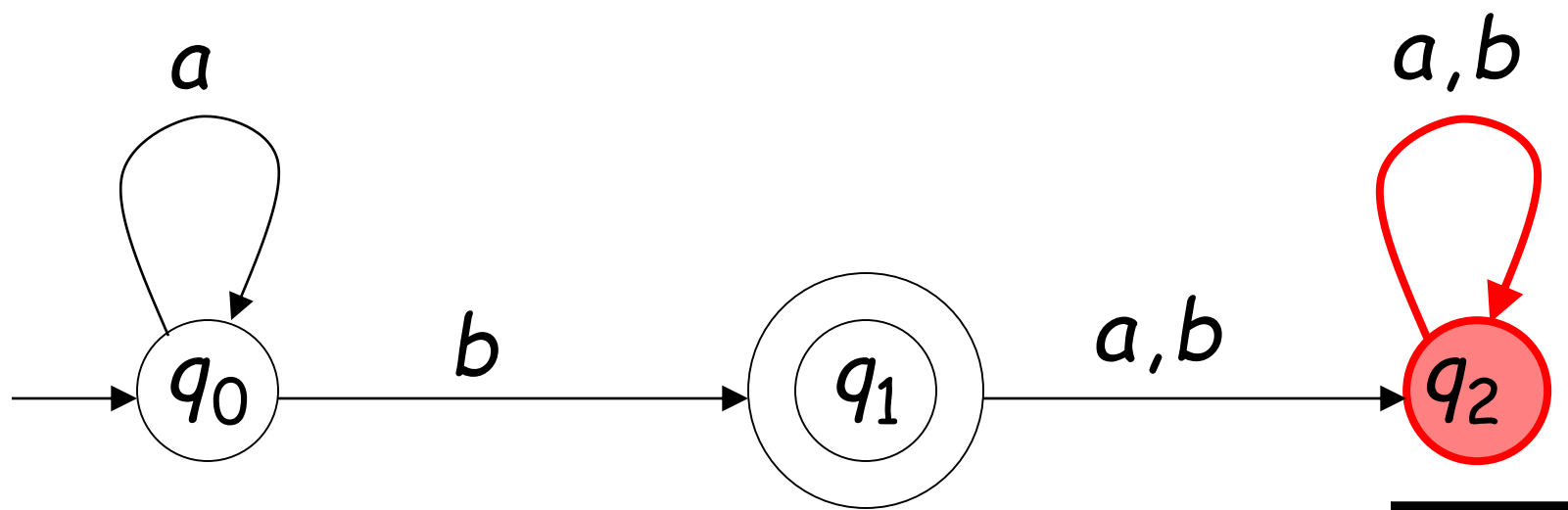
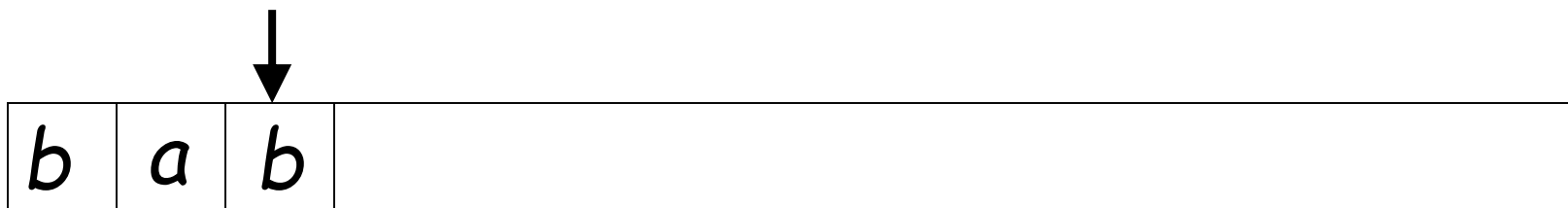
# Rejection Example



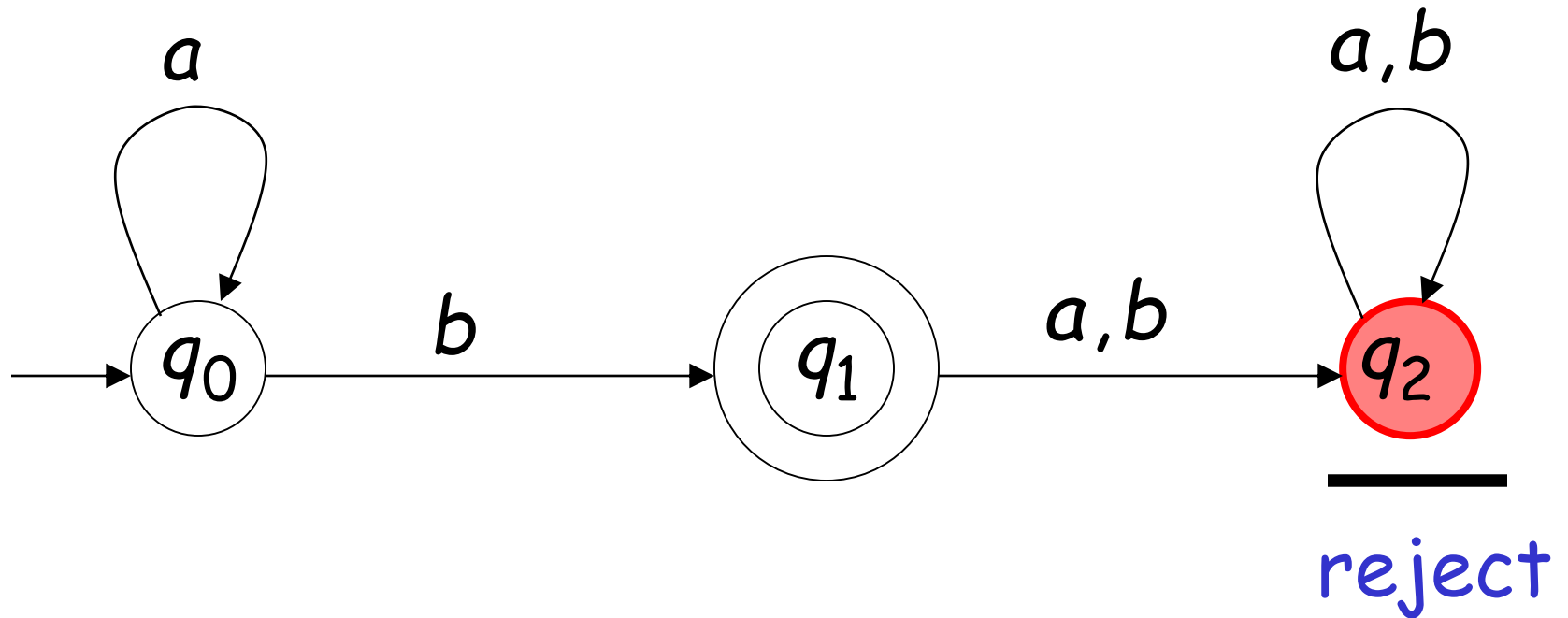
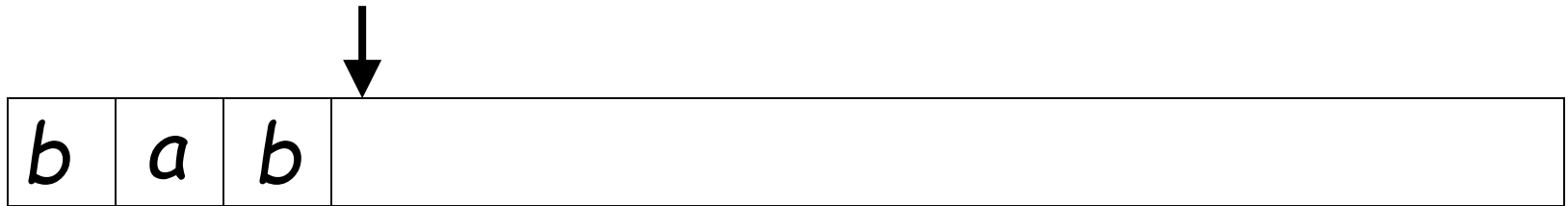








Input finished



# Languages Accepted by FAs

FA

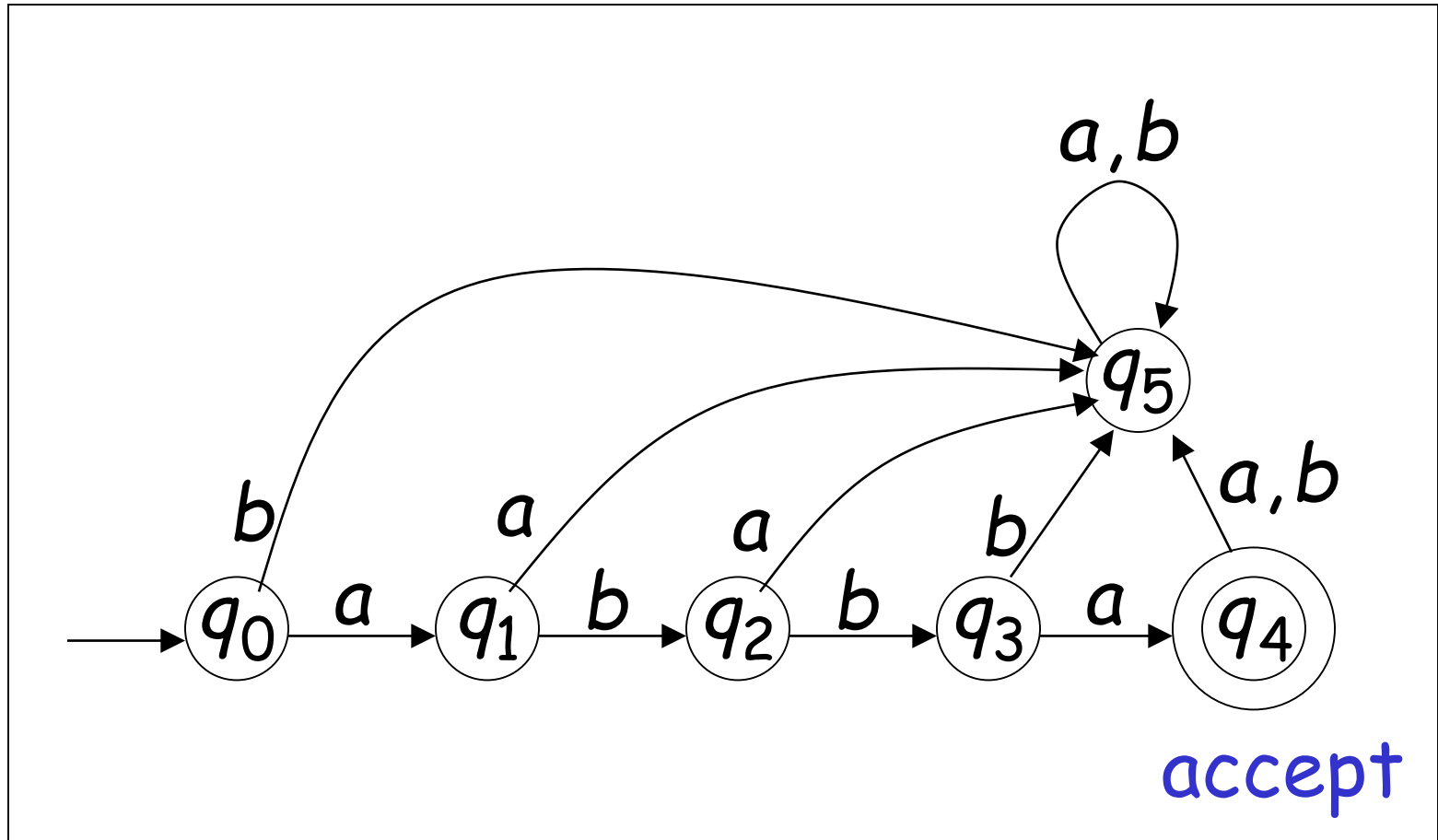
Definition:

The language  $L(M)$  contains  
all input strings accepted by  $M$

$$L(M) = \{ \text{strings that bring } M \\ \text{to an accepting state} \}$$

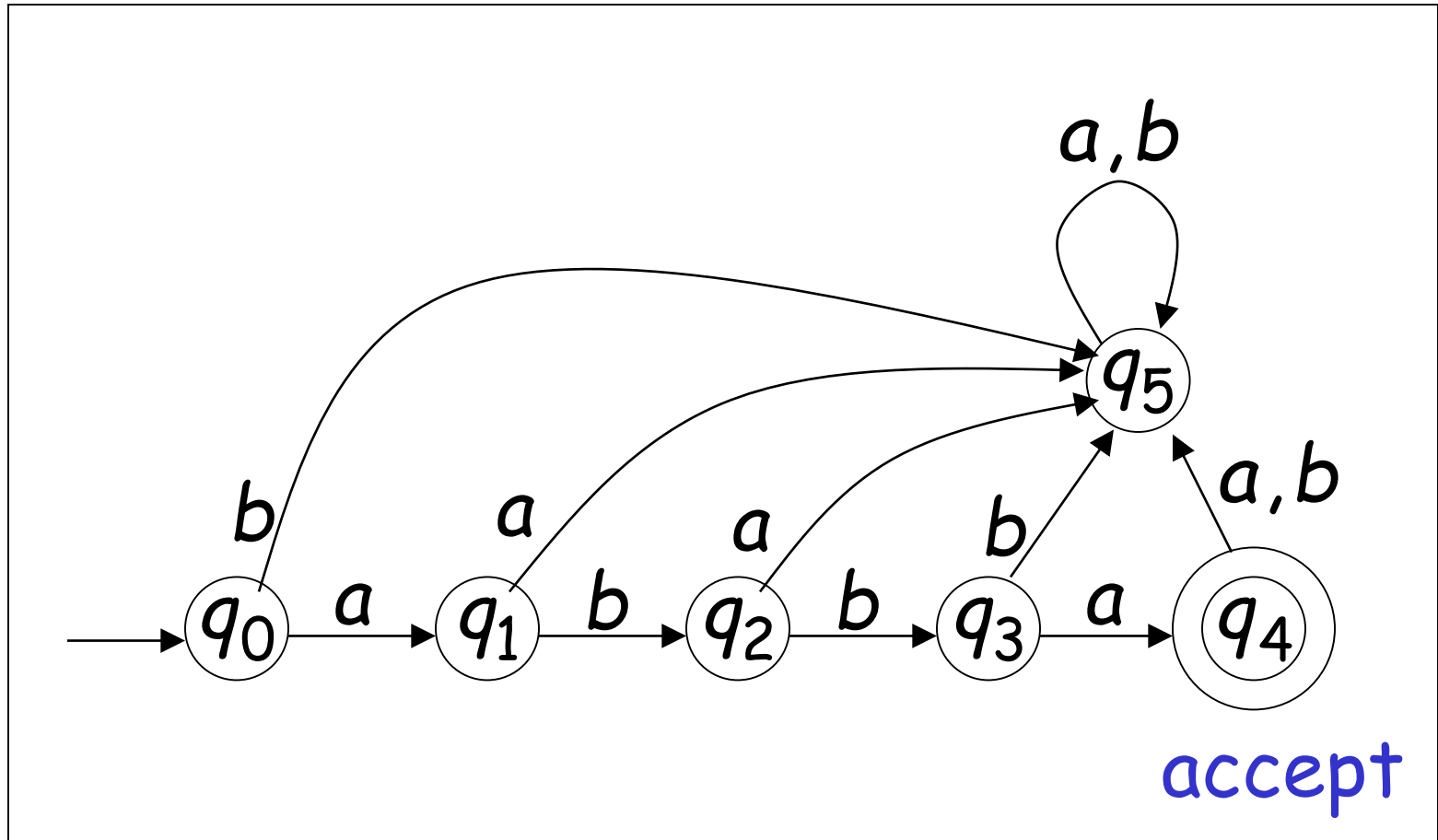
Example:  $L(M) = ?$

$M$



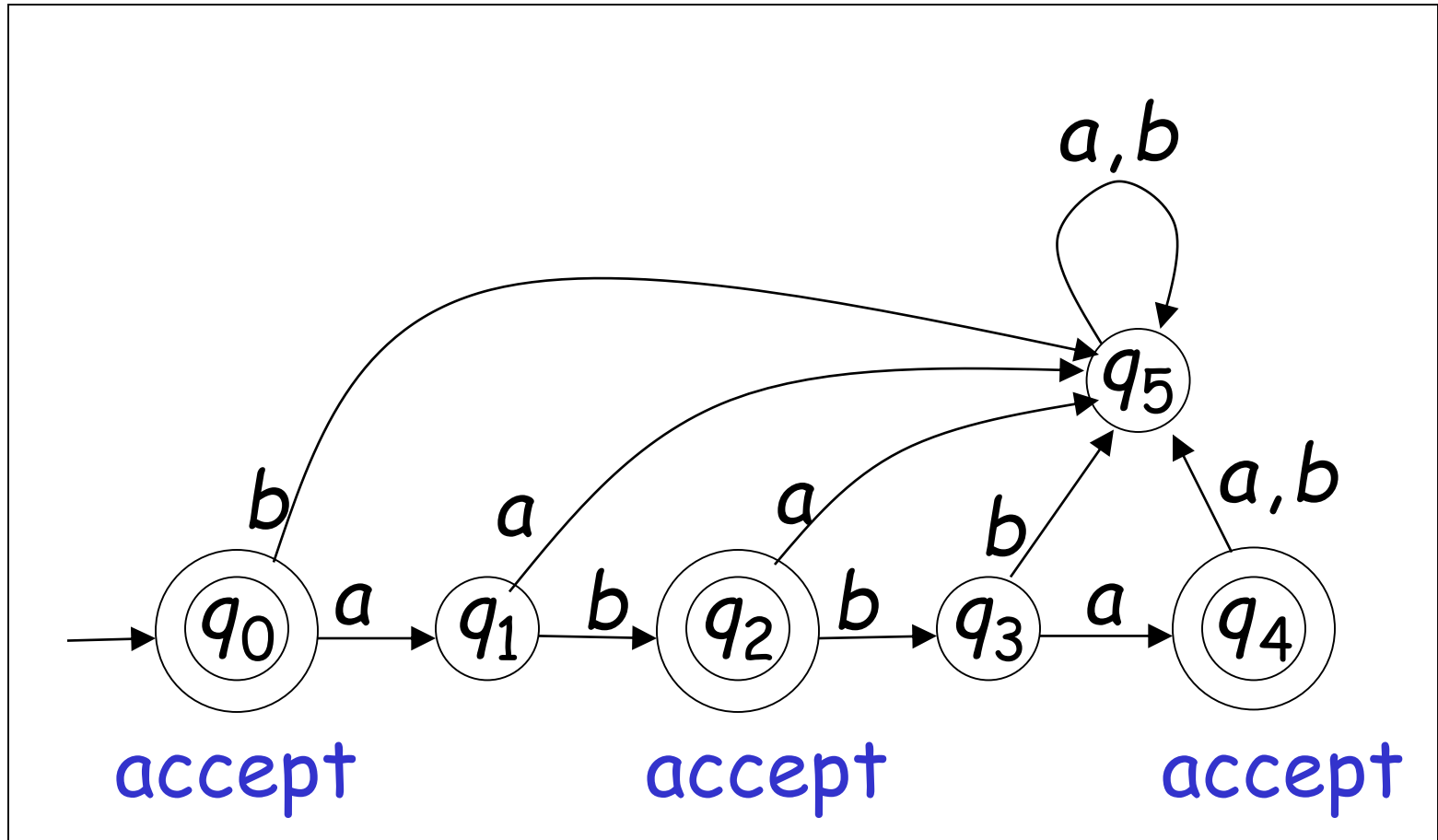
# Example

$M$



Example:  $L(M) = ?$

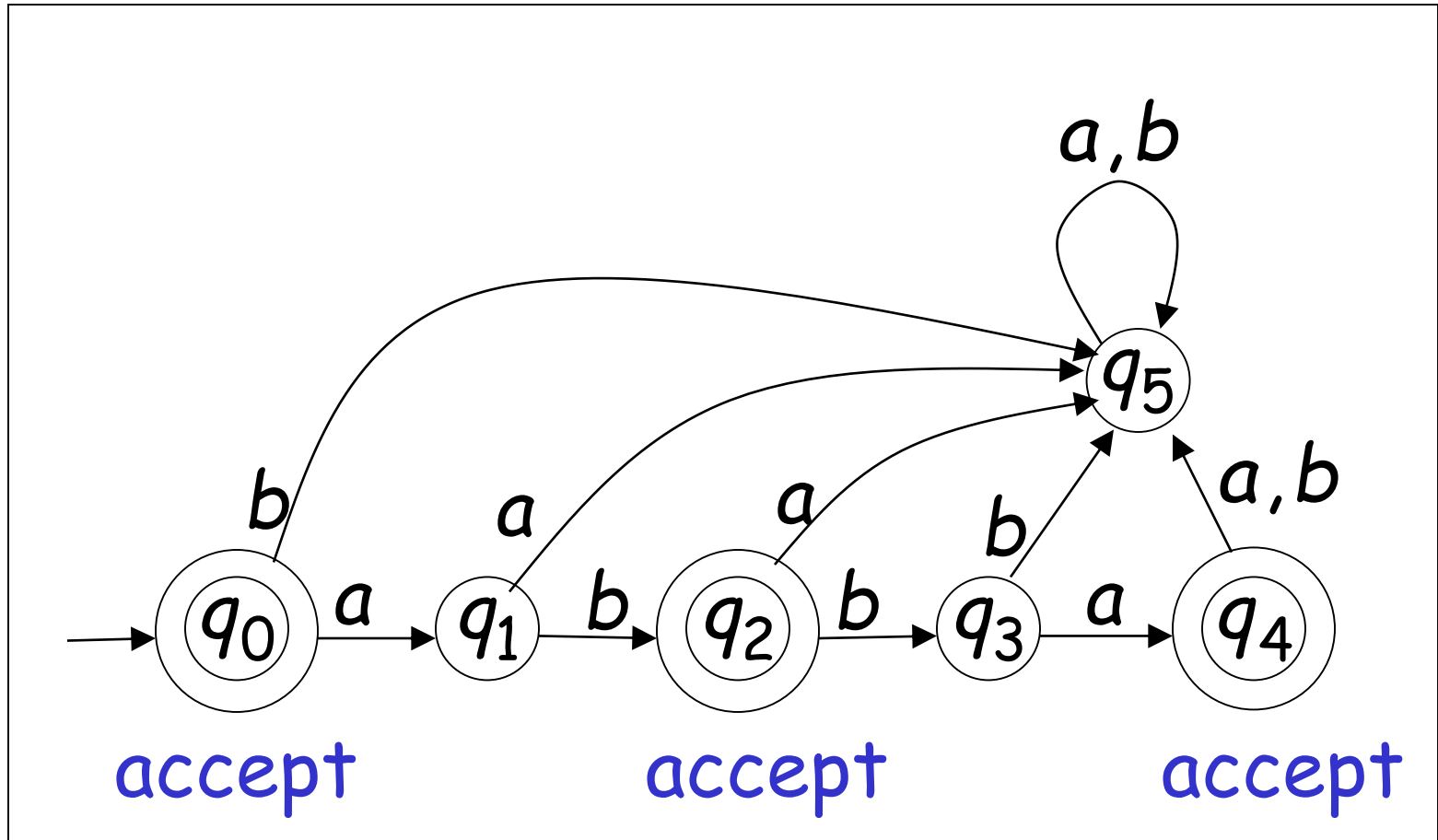
$M$



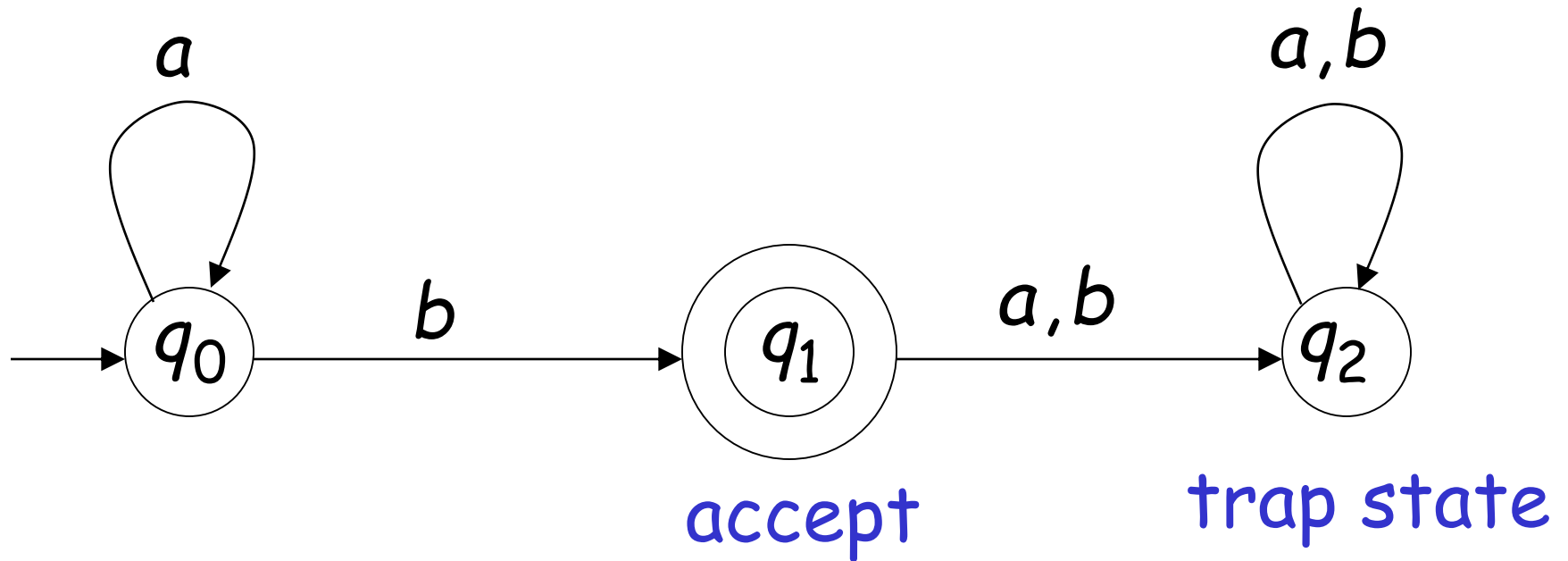
# Example

$$L(M) = \{\lambda, ab, abba\}$$

$M$



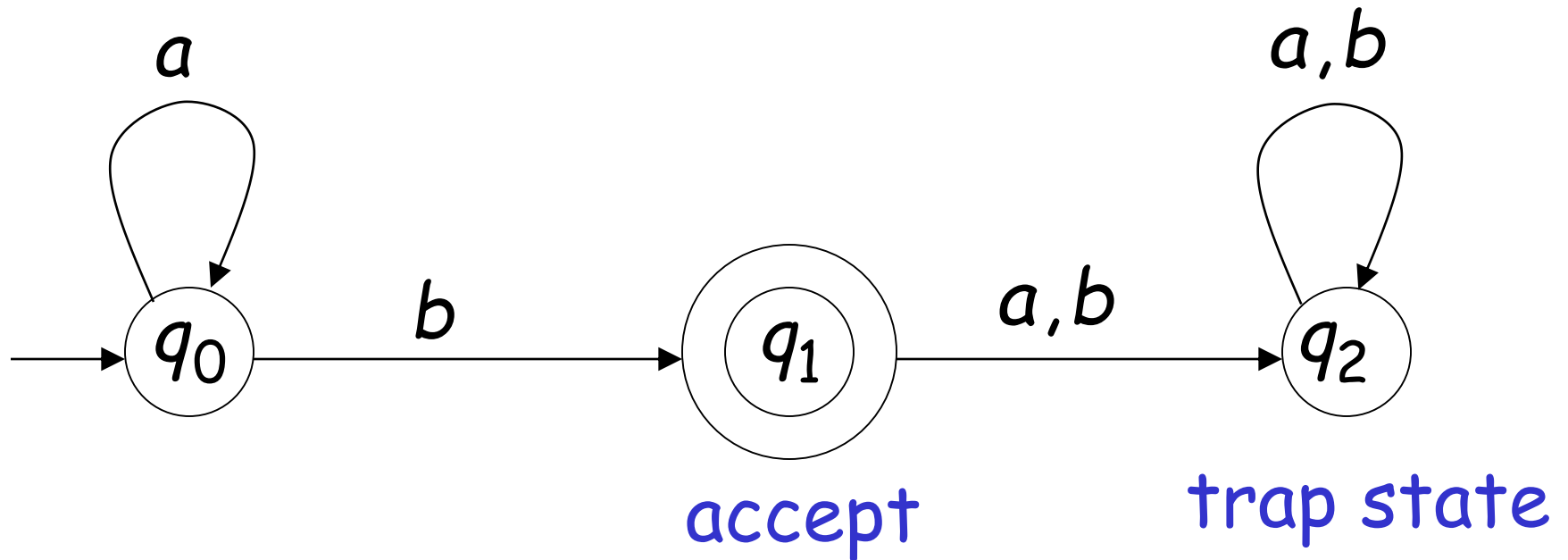
Example:  $L(M) = ?$





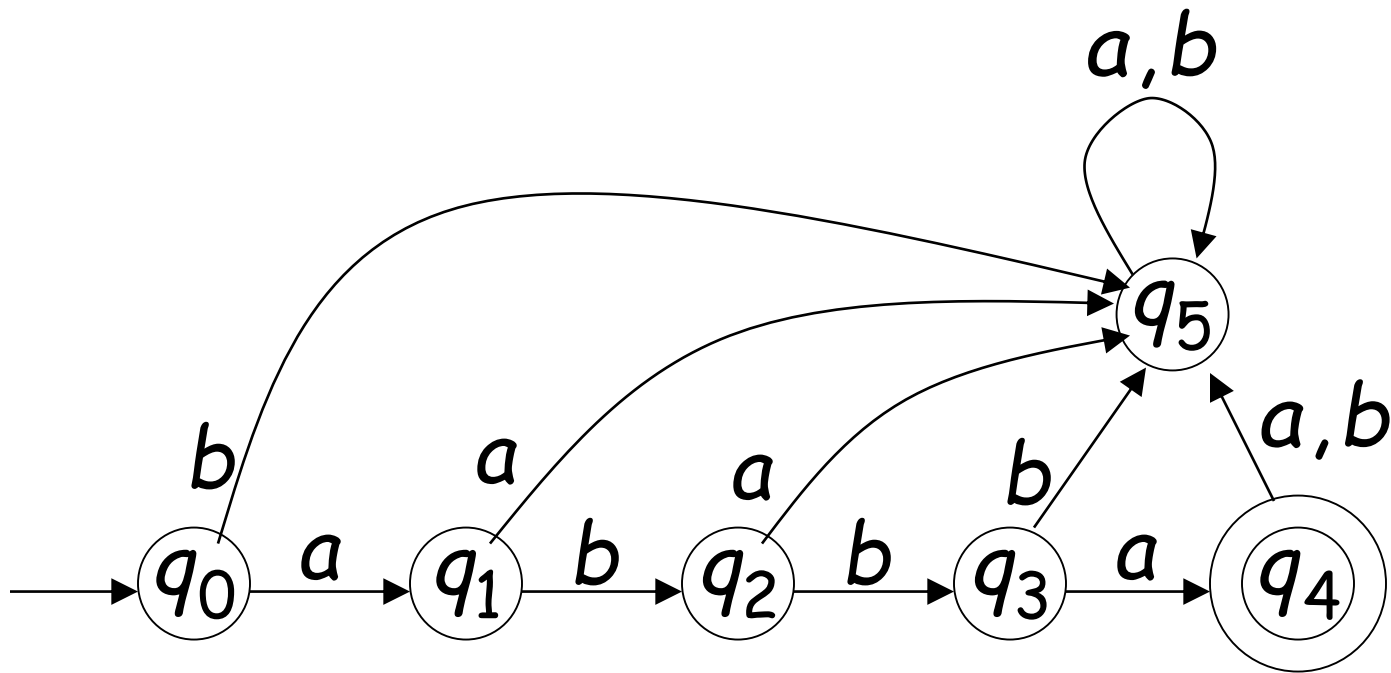
# Example

$$L(M) = \{a^n b : n \geq 0\}$$



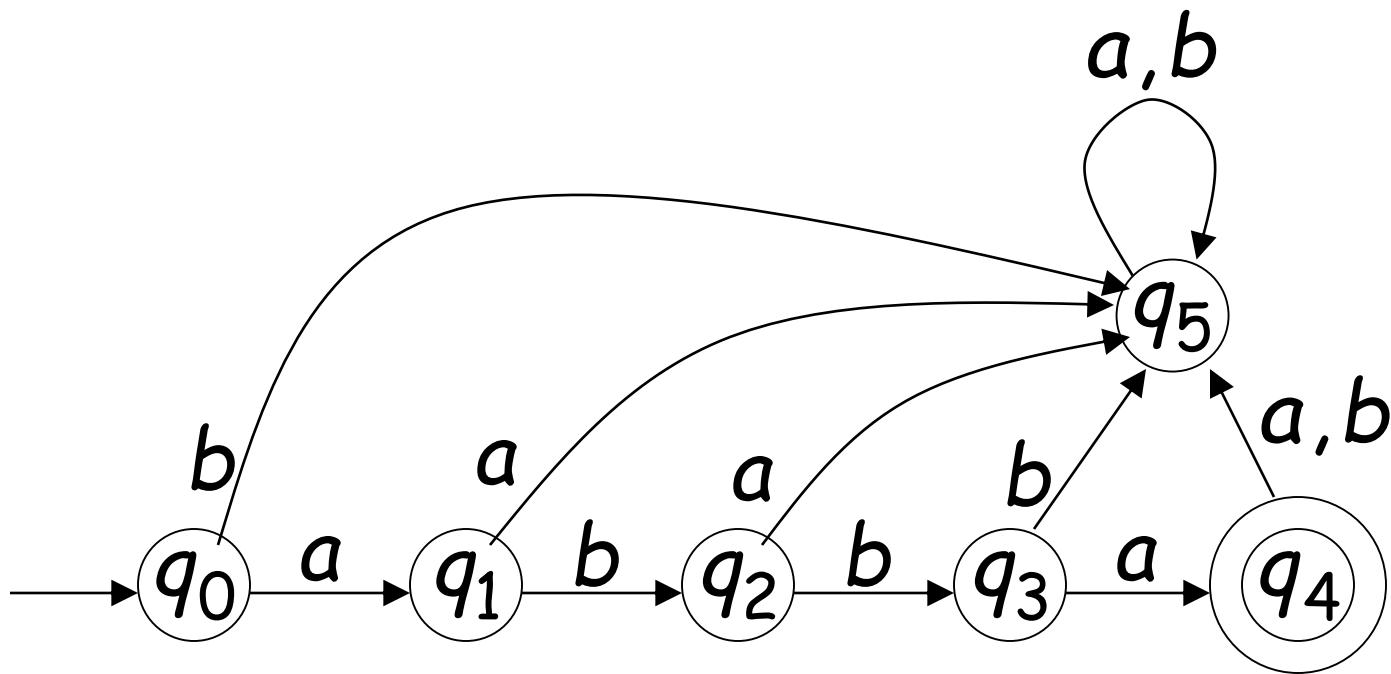
# Input Alphabet $\Sigma$

$$\Sigma = \{a, b\}$$

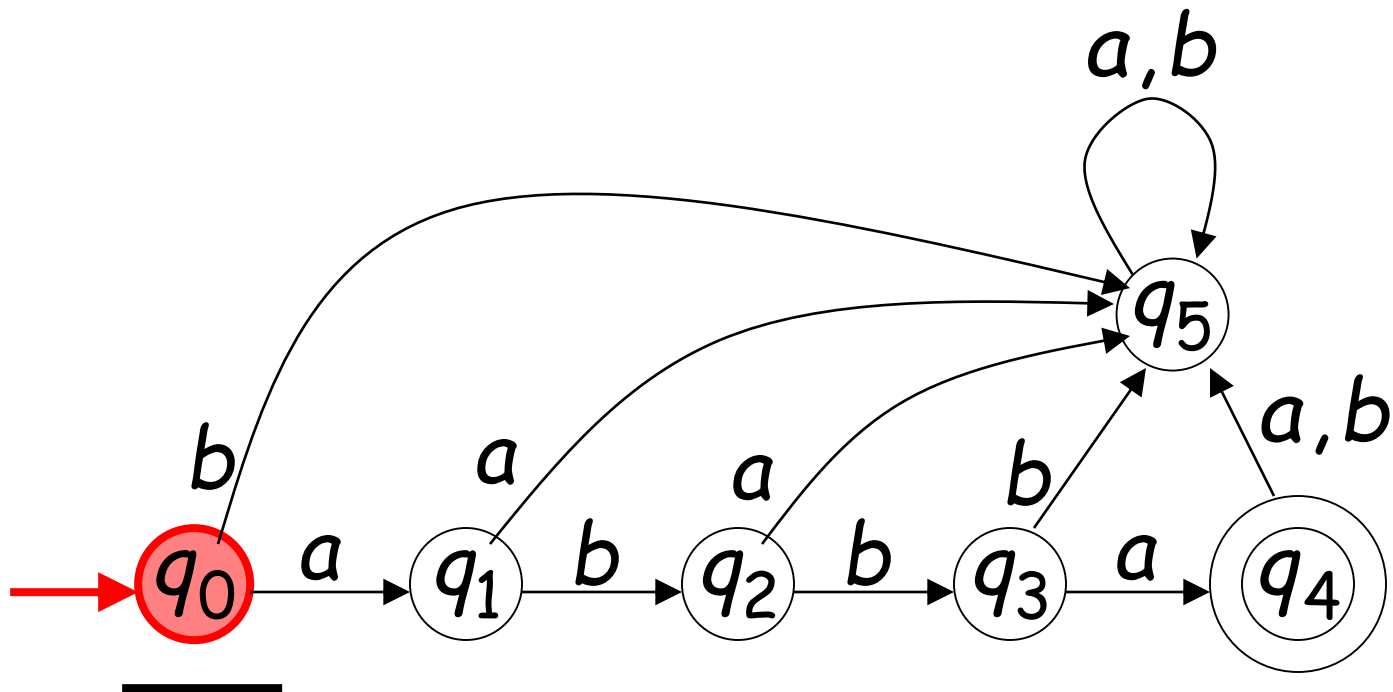


# Set of States $Q$

$$Q = \{q_0, q_1, q_2, q_3, q_4, q_5\}$$

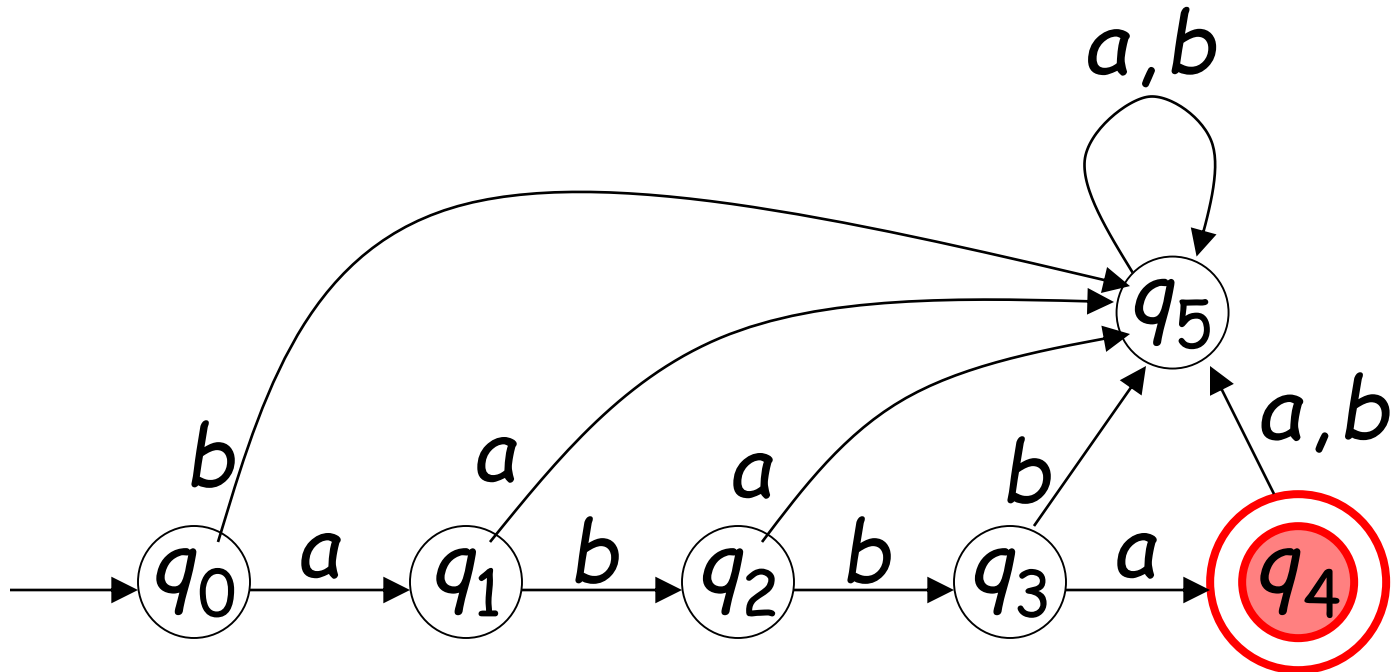


# Initial State $q_0$



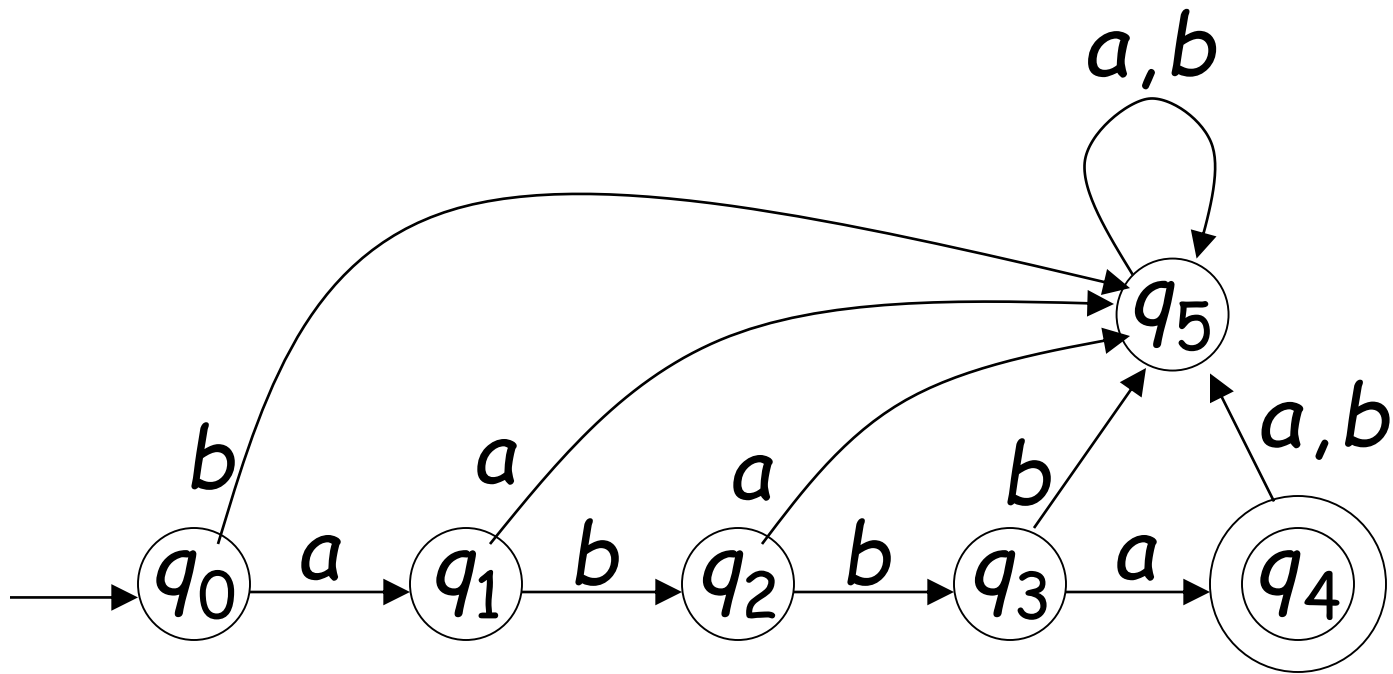
# Set of Accepting States $F$

$$F = \{q_4\}$$

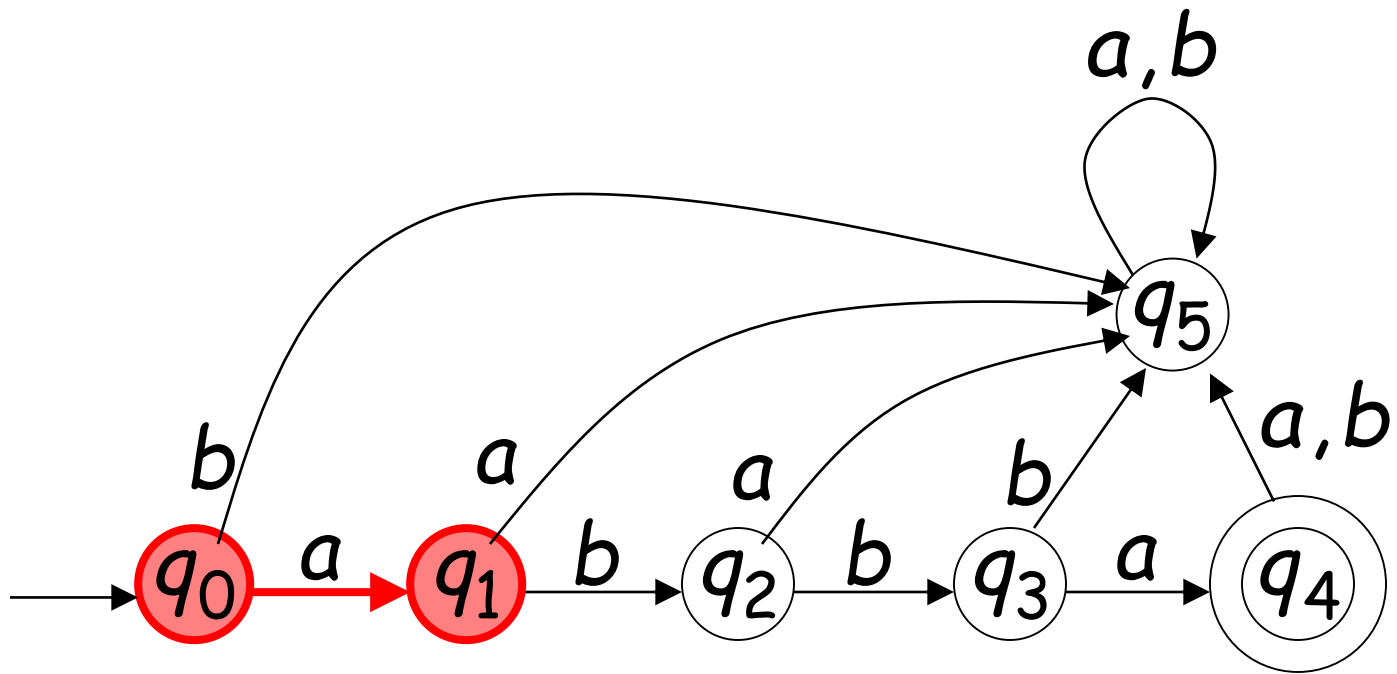


# Transition Function $\delta$

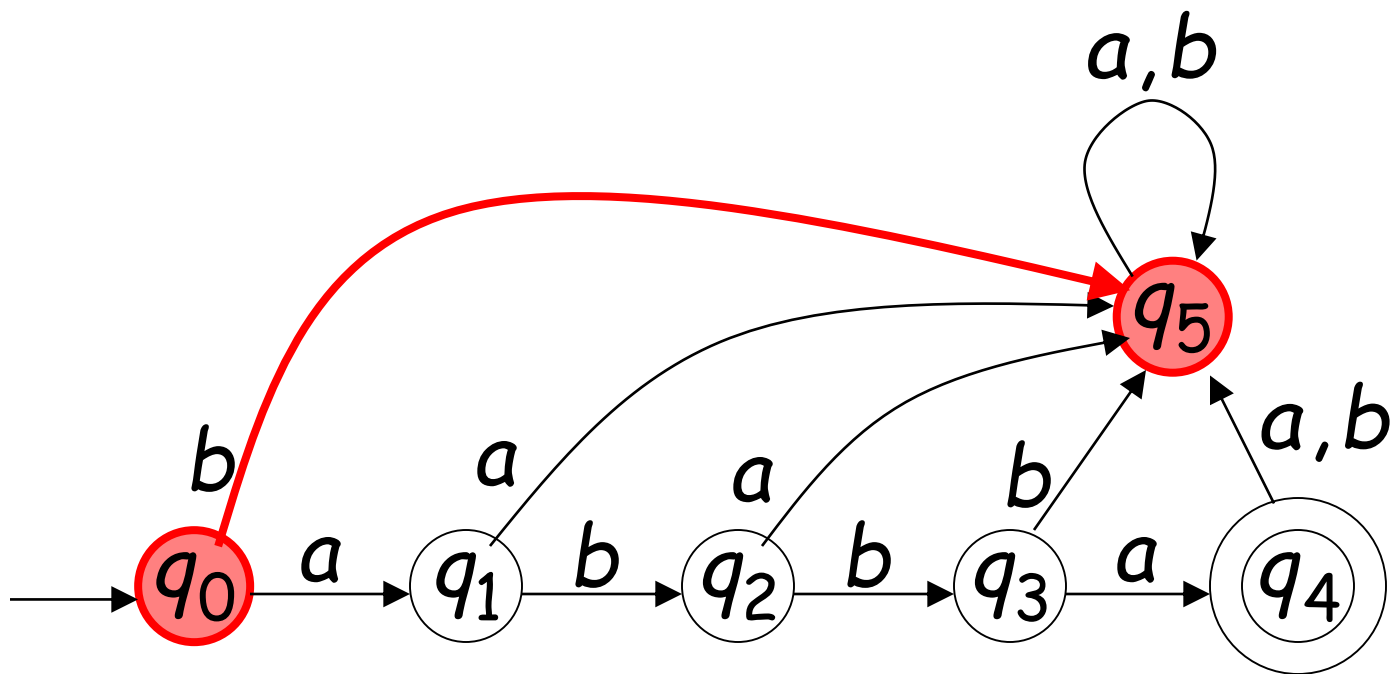
$$\delta : Q \times \Sigma \rightarrow Q$$



$$\delta(q_0, a) = q_1$$

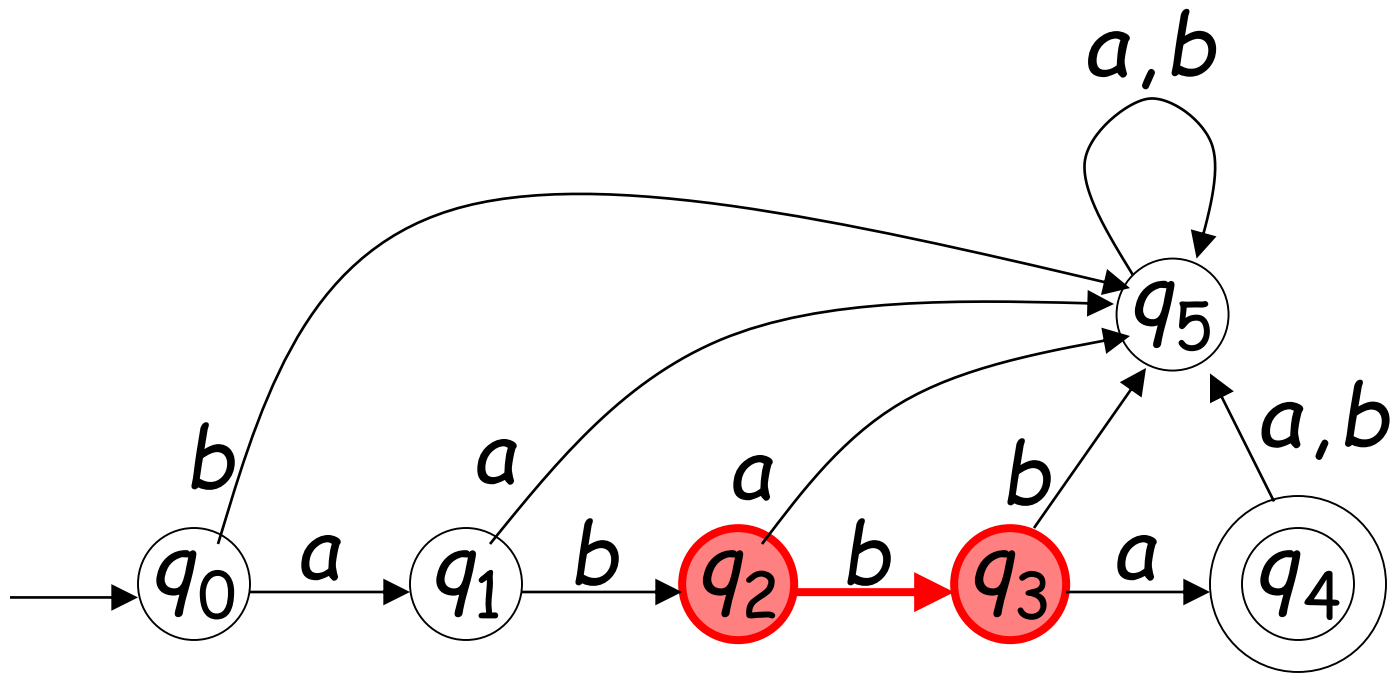


$$\delta(q_0, b) = q_5$$



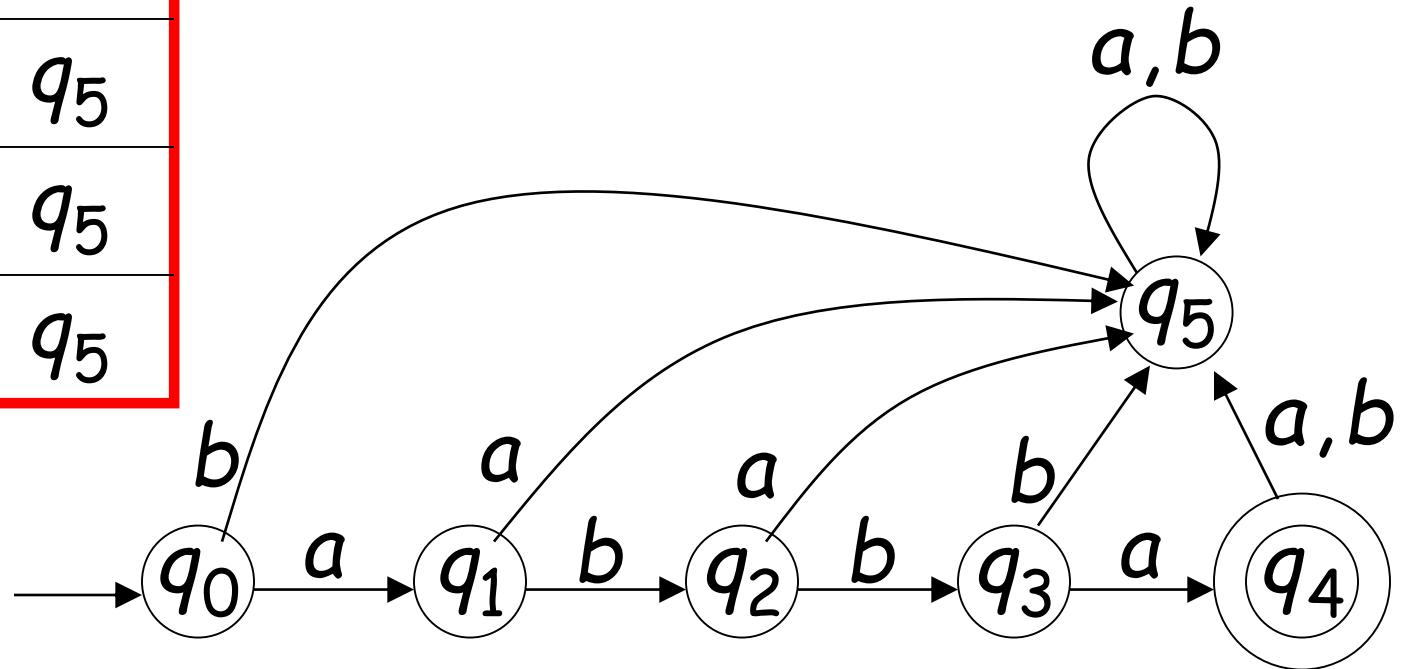


$$\delta(q_2, b) = q_3$$



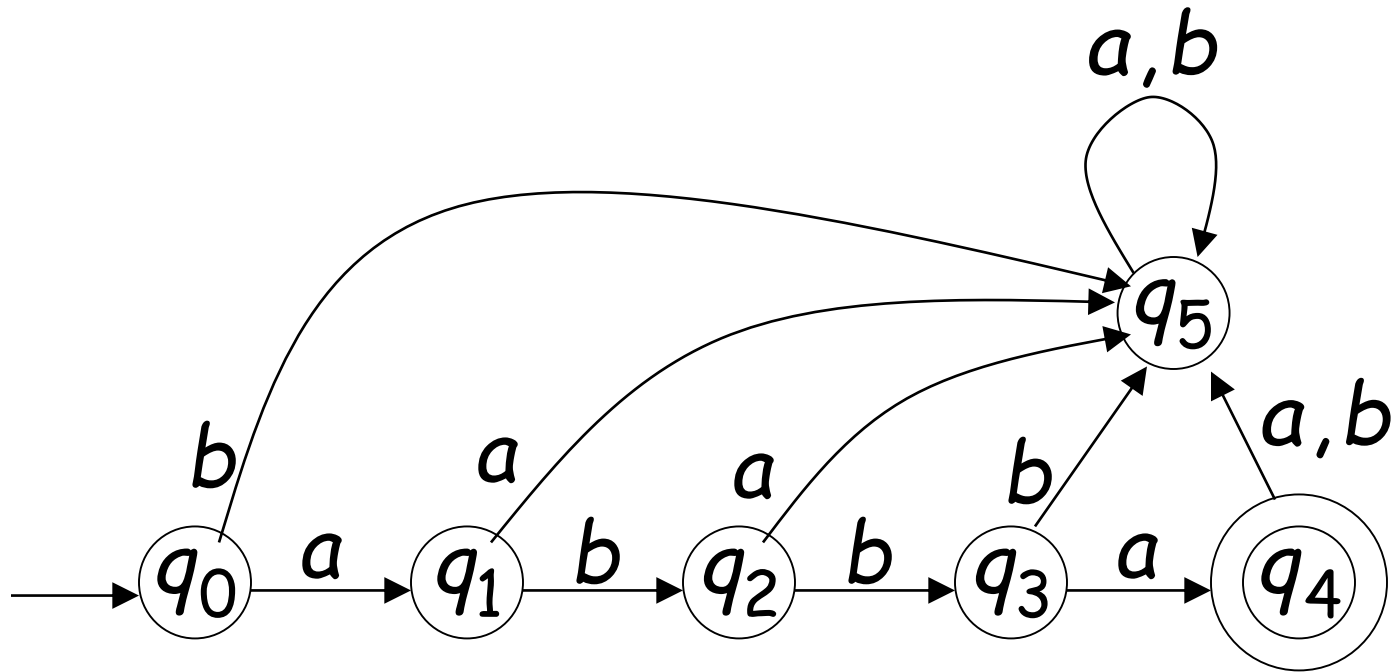
# Transition Function $\delta$

$\delta$	$a$	$b$
$q_0$	$q_1$	$q_5$
$q_1$	$q_5$	$q_2$
$q_2$	$q_5$	$q_3$
$q_3$	$q_4$	$q_5$
$q_4$	$q_5$	$q_5$
$q_5$	$q_5$	$q_5$

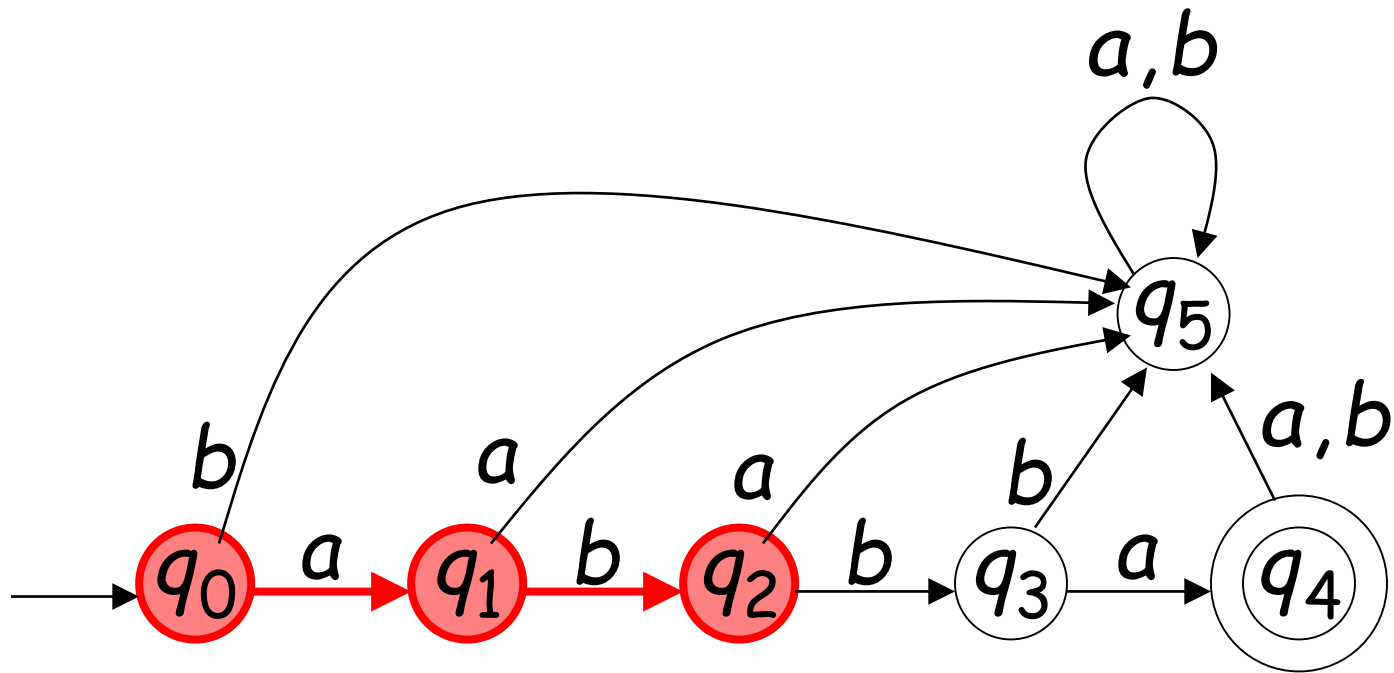


# Extended Transition Function $\delta^*$

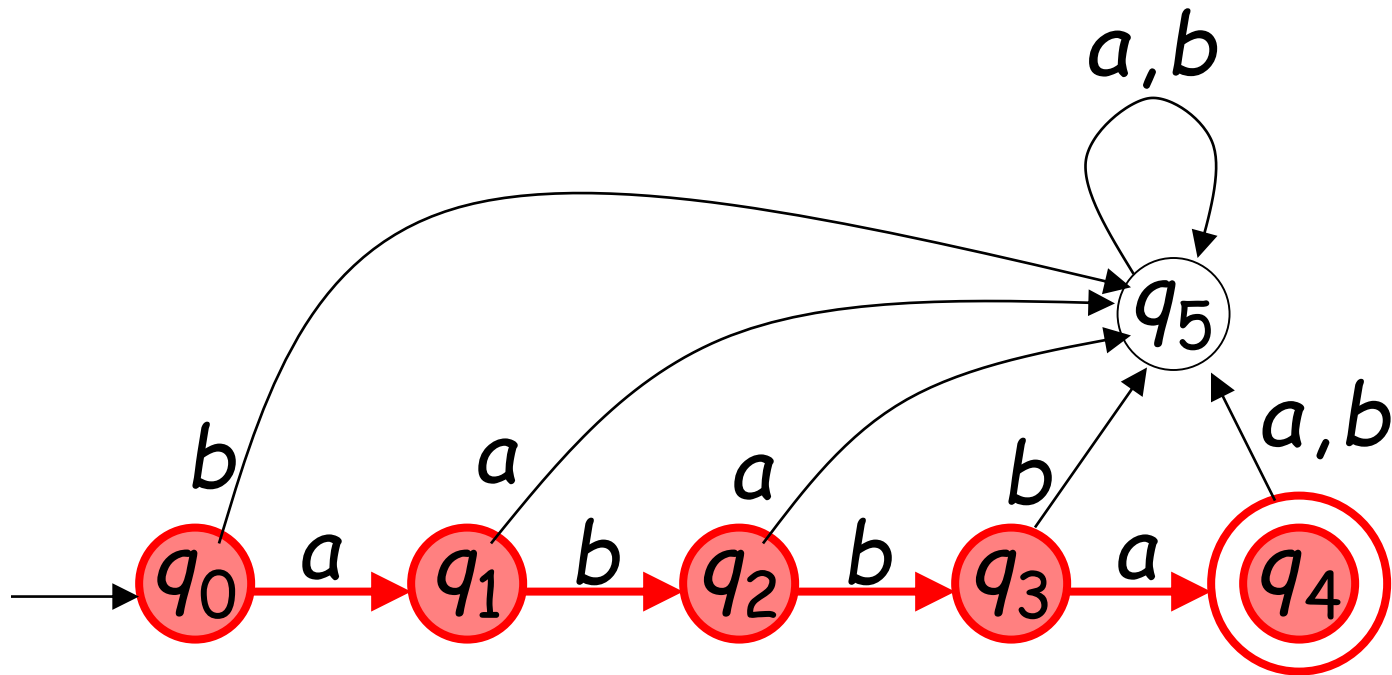
$$\delta^*: Q \times \Sigma^* \rightarrow Q$$



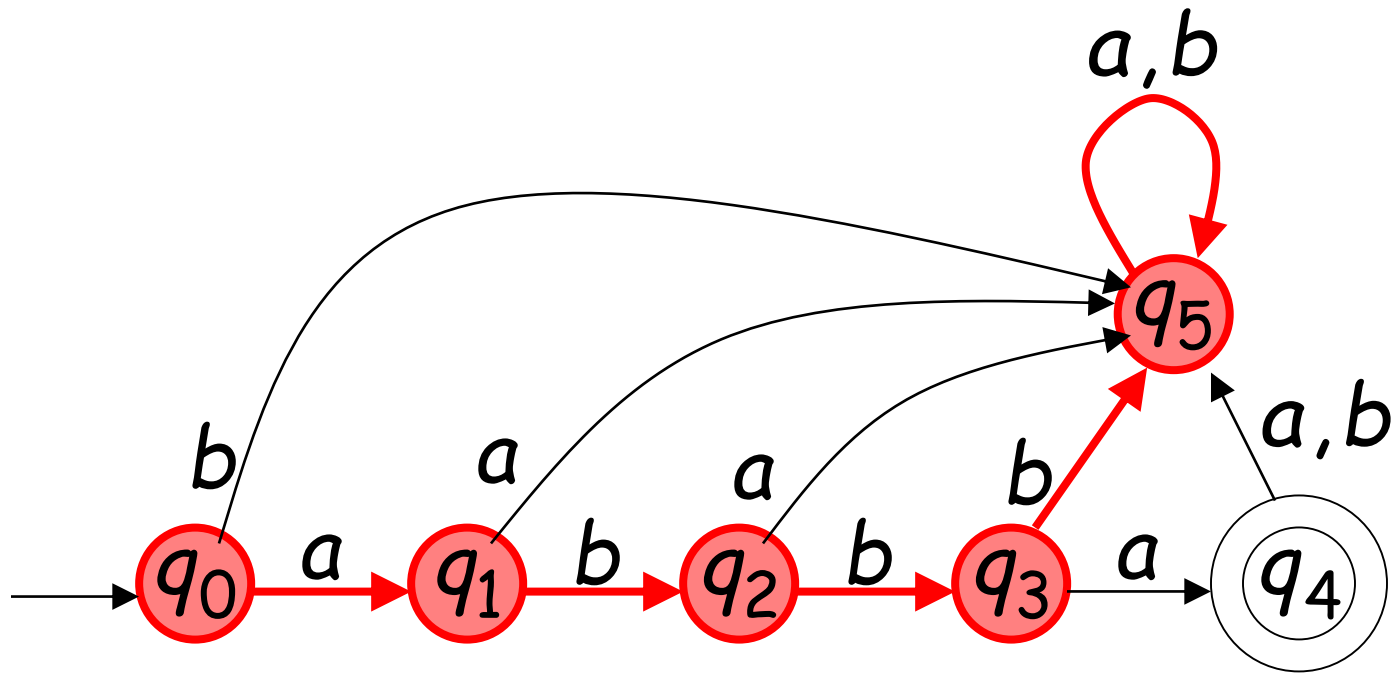
$$\delta^*(q_0, ab) = q_2$$



$$\delta^*(q_0, abba) = q_4$$

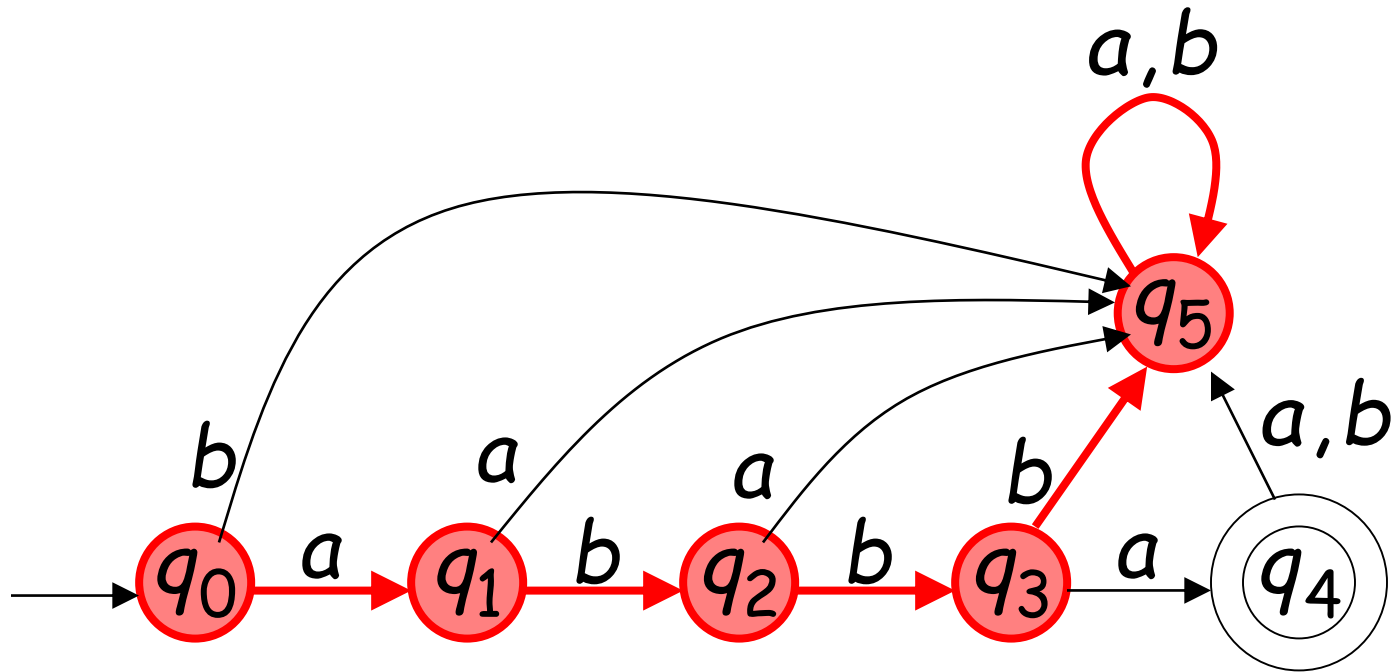


$$\delta^*(q_0, abbbaa) = q_5$$



**Example:** There is a walk from  $q_0$  to  $q_5$   
with label  $abbbaa$

$$\delta^*(q_0, abbbaa) = q_5$$



$$\delta^*(q_0, ab) =$$

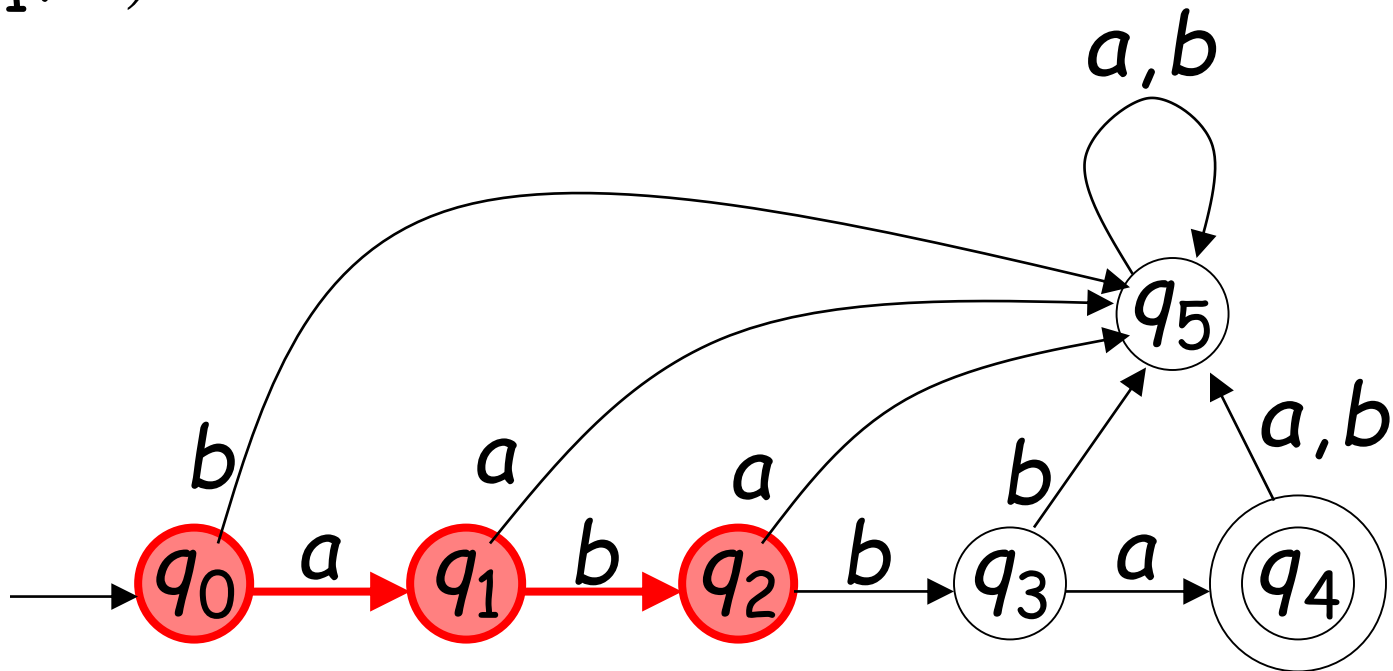
$$\delta(\delta^*(q_0, a), b) =$$

$$\delta(\delta(\delta^*(q_0, \lambda), a), b) =$$

$$\delta(\delta(q_0, a), b) =$$

$$\delta(q_1, b) =$$

$$q_2$$





# Language Accepted by FAs

For a FA  $M = (Q, \Sigma, \delta, q_0, F)$

Language accepted by  $M$  :

$$L(M) = \{w \in \Sigma^* : \delta^*(q_0, w) \in F\}$$



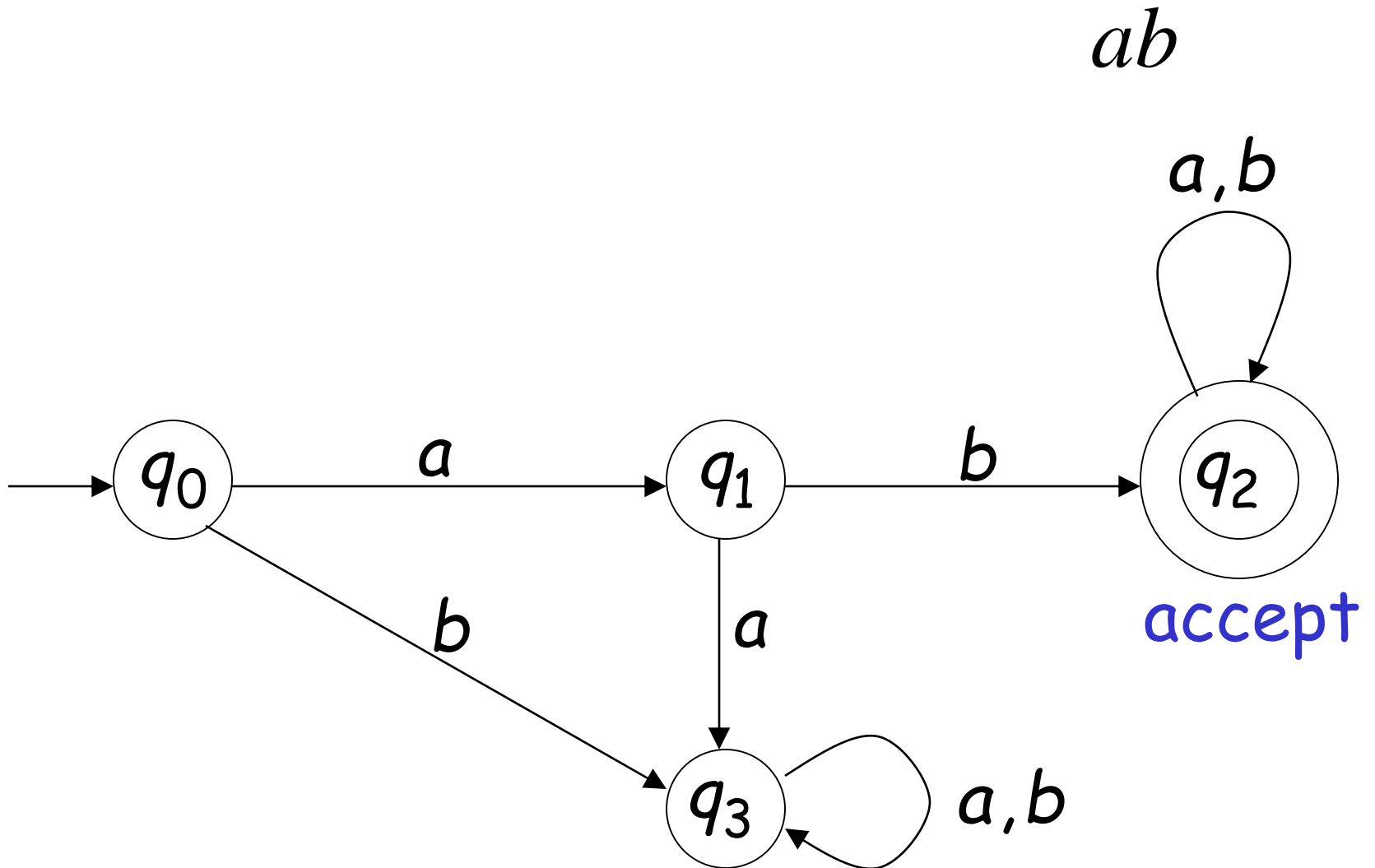
# Observation

Language rejected by  $M$  :

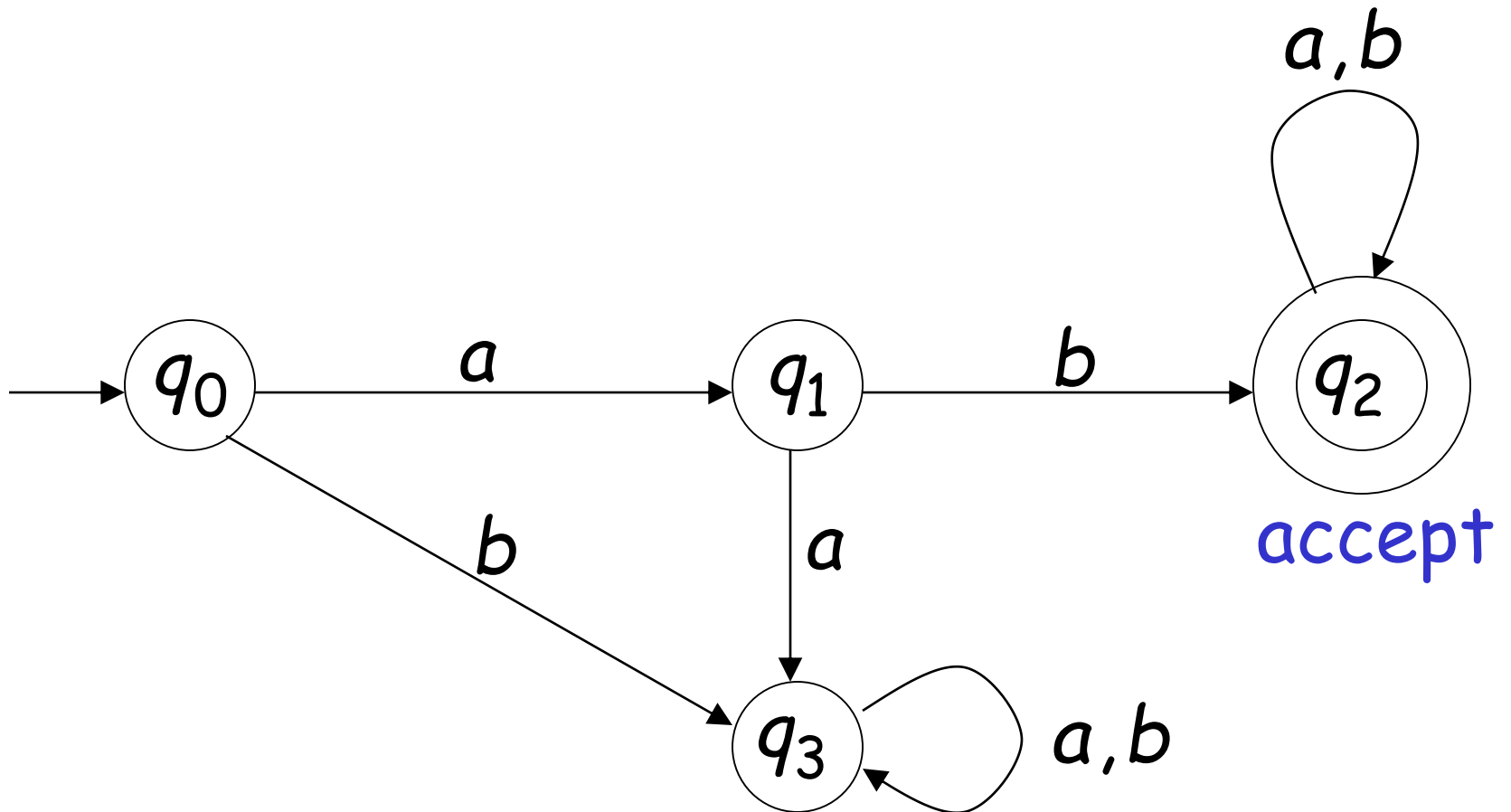
$$\overline{L(M)} = \{w \in \Sigma^* : \delta^*(q_0, w) \notin F\}$$



$L(M) ?$

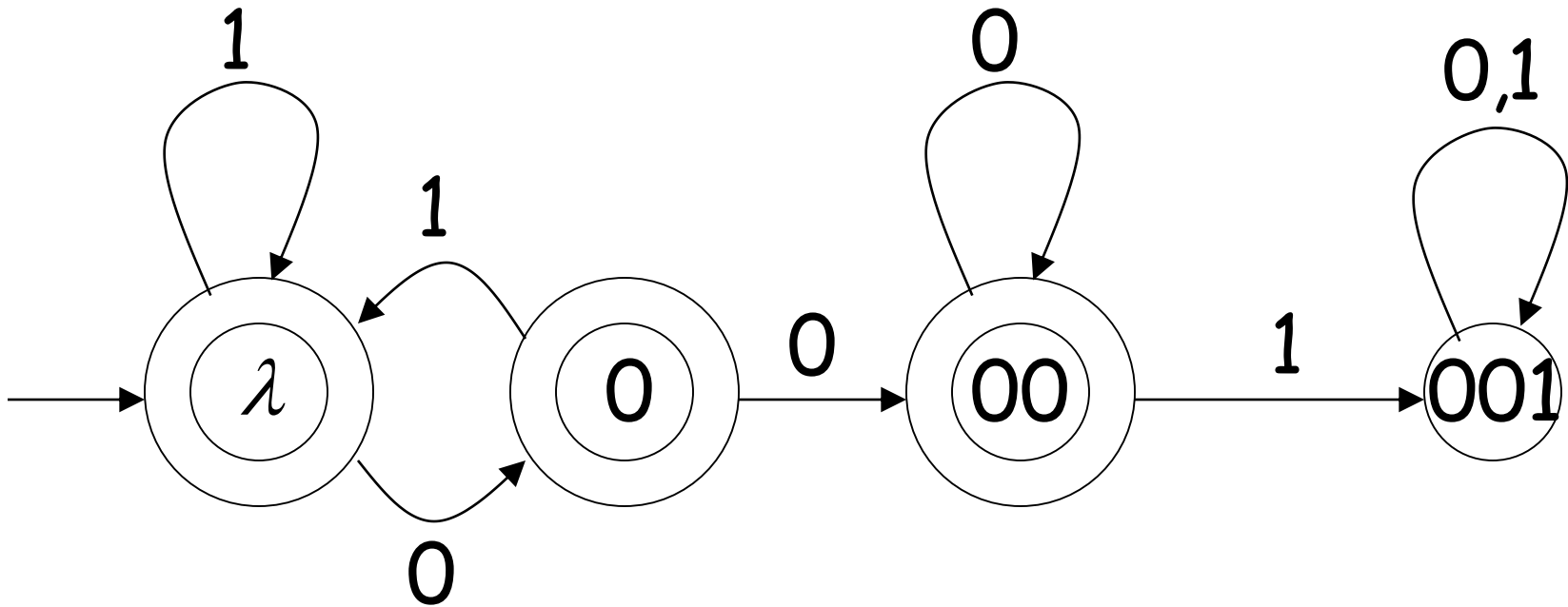


# Example

$$L(M) = \{ \text{all strings with prefix } ab \}$$


Try-Starting with a and ending with b

$L(M)?$

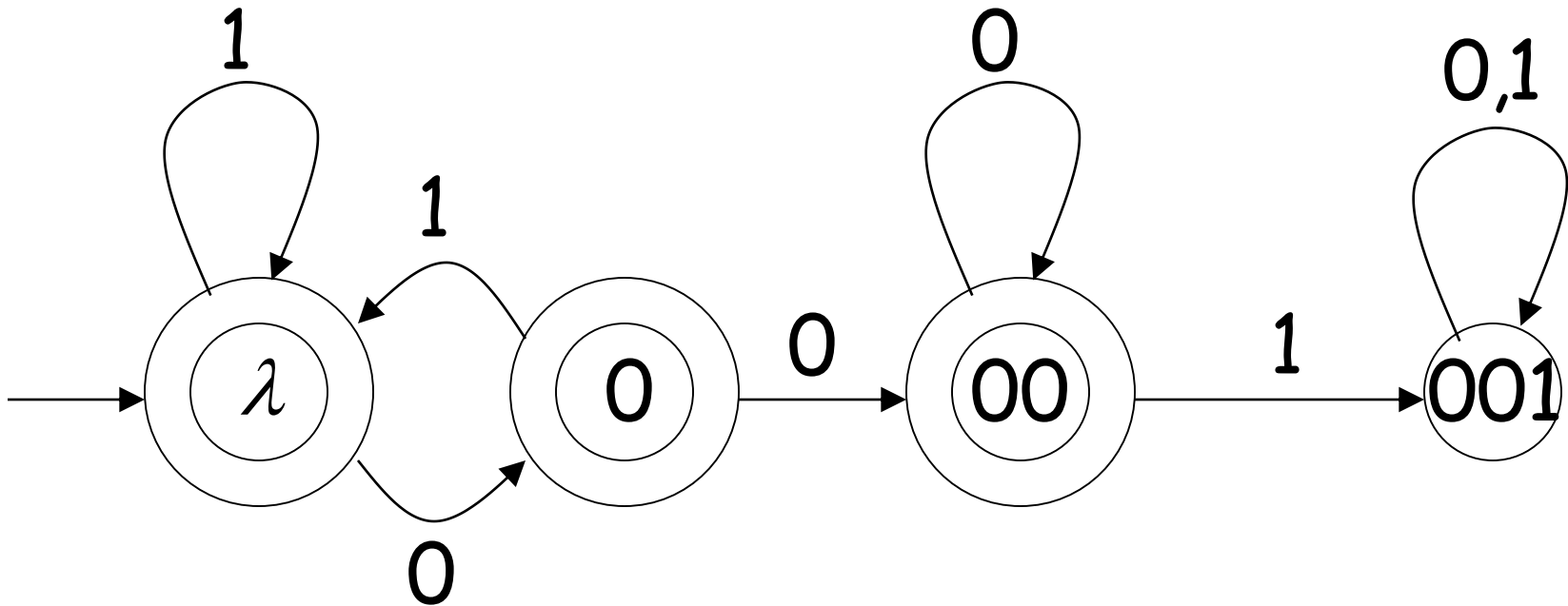


# Example

$L(M) = \{ \text{all strings without} \\ \text{substring } 001 \}$

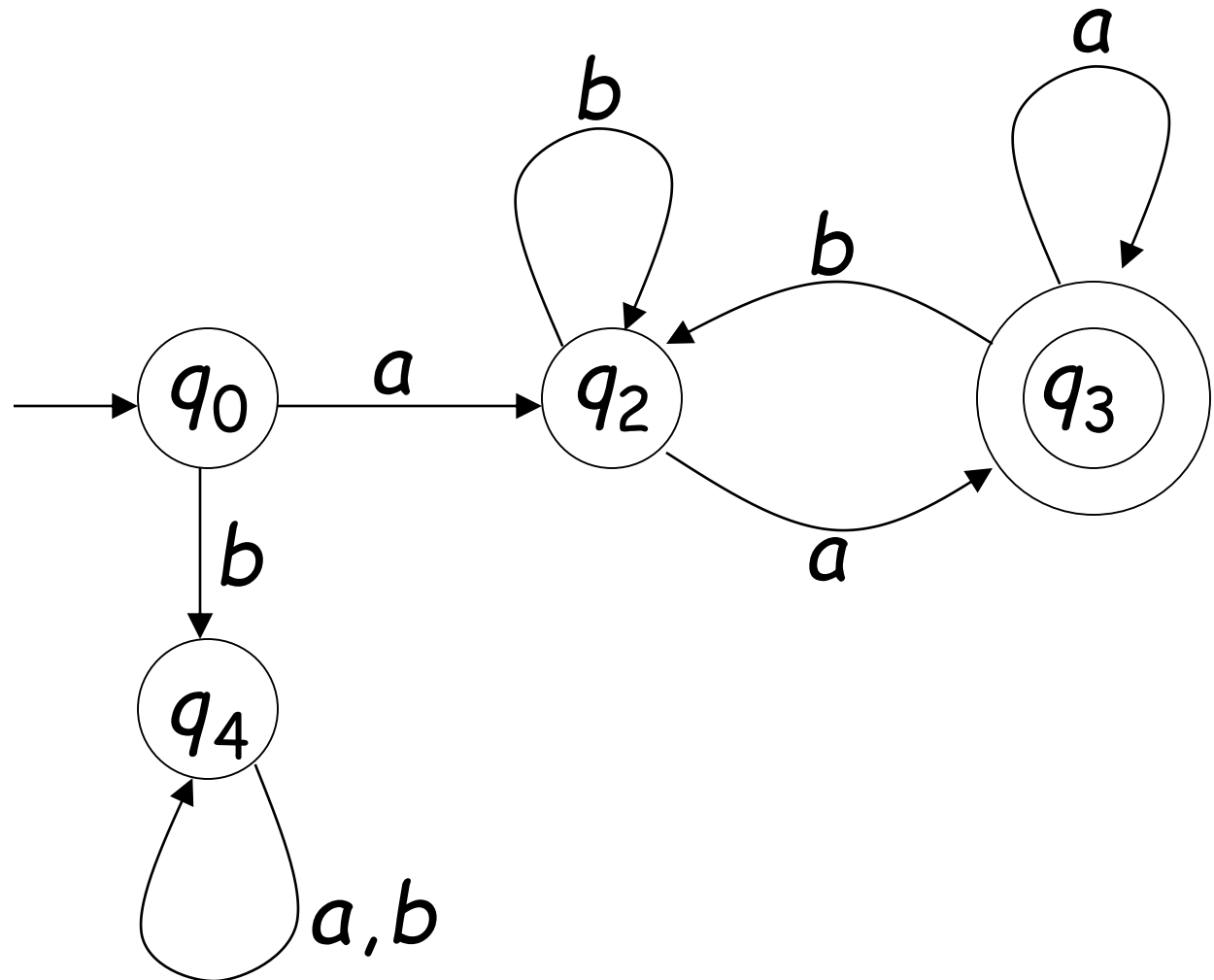
# Example

$L(M) = \{ \text{all strings without substring } 001 \}$



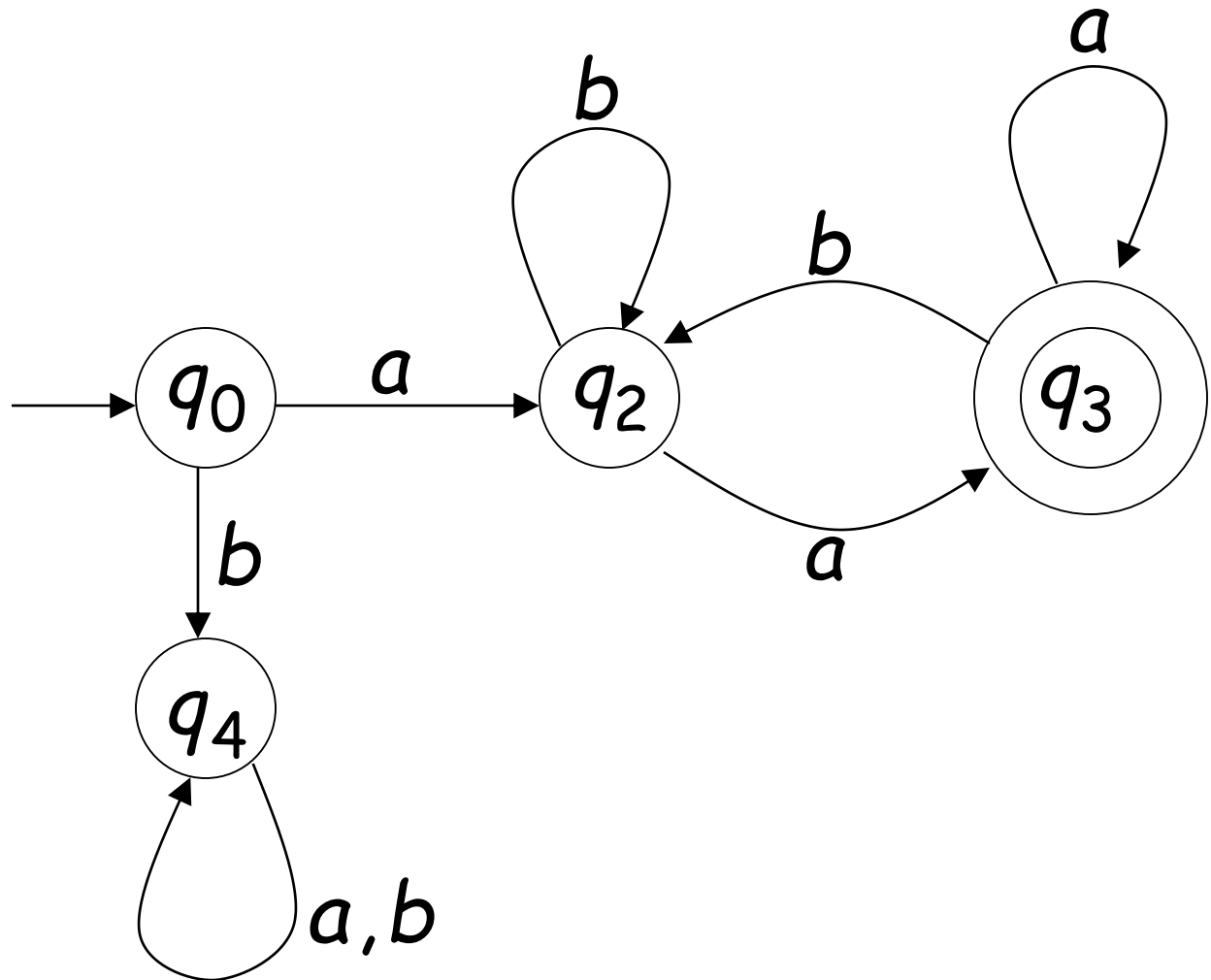


$L(M) ?$



# Example

$$L(M) = \{awa : w \in \{a,b\}^*\}$$



# Regular Languages

## Definition:

- A language  $L$  is called **regular** if and only if there exists some deterministic finite acceptor  $M$  such that

$$L = L(M)$$

## Observation:

All languages accepted by DFAs  
form the family of regular languages

## Examples of regular languages:

$\{abba\}$      $\{\lambda, ab, abba\}$

$\{awa : w \in \{a,b\}^*\}$      $\{a^n b : n \geq 0\}$

$\{ \text{all strings with prefix } ab \}$

$\{ \text{all strings without substring } 001 \}$

Can you draw a DFA for this Language...

$$L = \{a^n b^n : n \geq 0\}$$

There exist languages which are not Regular:

**Example:**  $L = \{a^n b^n : n \geq 0\}$

There is no FA that accepts such a language