

## Merge Sort

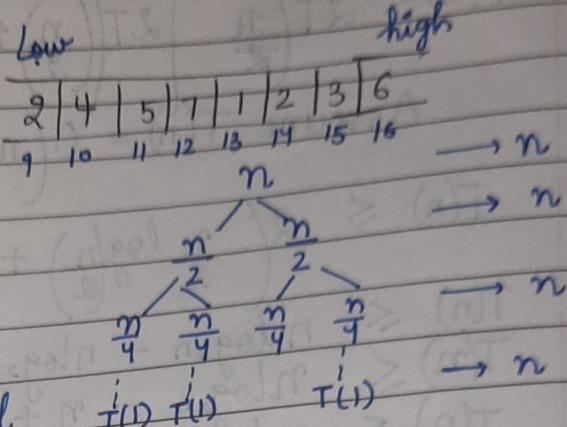
↳ Divide & Conquer

No cost in dividing

$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

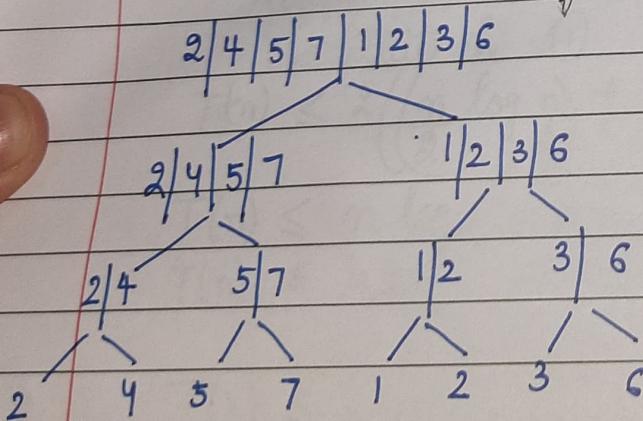
$$Ht = \lfloor \log_2 n \rfloor + 1$$

$k \times$  Cost at each level  
 $O(n \log n)$



How ~~not~~ break the stability of mergesort  
 Since Merge Sort is outplace. We require extra storage  
 Space Complexity  $O(n)$

$$\text{Low} = p \quad \text{High} = r \quad q = \left\lfloor \frac{(p+r)/2}{} \right\rfloor = \left\lfloor \frac{25/2}{} \right\rfloor = \left\lfloor \frac{12.5}{} \right\rfloor = 12$$



MergeSort( $A, p, r$ )

{ if ( $p < r$ )

$$\{ q = \lfloor (p+r)/2 \rfloor$$

MergeSort( $A, p, q$ )

MergeSort( $A, q+1, r$ )

Merge( $A, p, q, r$ )

$k \leftarrow p$  to  $r$   
 if ( $L[i] \leq R[j]$ )  
 $A[R] \leftarrow L[i]$   
 else  
 $A[k] \leftarrow R[j]$   
 $j \leftarrow j + 1$

$i \leftarrow i + 1$   
 $i = i + 1$   
 $j = j + 1$

$$L = \boxed{\begin{matrix} 2 \\ | \\ i \end{matrix}} \infty \quad R = \boxed{\begin{matrix} 4 \\ | \\ j \end{matrix}} \infty$$

$$A = \boxed{\begin{matrix} 2 & 4 \\ | & | \\ 5 & 7 \end{matrix}} \quad \boxed{\begin{matrix} 1 & 2 \\ | & | \\ 3 & 6 \end{matrix}}$$

$$\boxed{2 \ 4 \ \infty} \quad \boxed{5 \ 7 \ \infty} \quad \boxed{1 \ 2 \ 3 \ 6}$$

$$\boxed{\begin{matrix} 2 & 4 & 5 & 7 & \infty \\ | & | & | & | & | \\ i & \rightarrow & i & \rightarrow & i & \rightarrow & i & \rightarrow & i \end{matrix}} \quad \boxed{\begin{matrix} 1 & 2 & 3 & 6 & \infty \\ | & | & | & | & | \\ j & \rightarrow & j & \rightarrow & j & \rightarrow & j & \rightarrow & j \end{matrix}}$$

$$A = \boxed{\begin{matrix} 1 & 2 & 2 & 3 & 4 & 5 & 6 & 7 \end{matrix}}$$

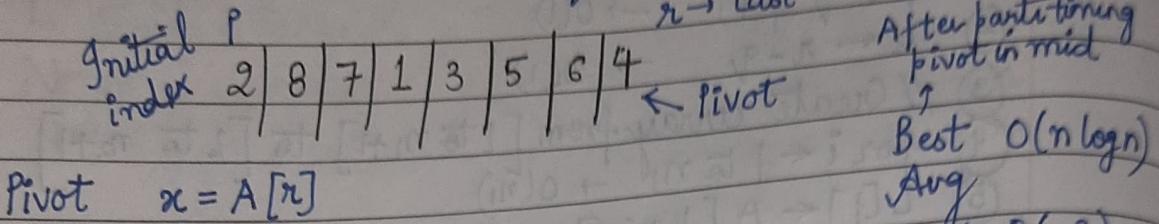
Merge( $A, p, q, r$ )

- ①  $n_1 \leftarrow q - p + 1 \rightarrow O(1)$
  - ②  $n_2 \leftarrow r - q \rightarrow O(1)$
  - ③ // Create array from [1 to  $n_1+1$ ] to [1 to  $n_2+1$ ]  $\rightarrow O(1)$
  - ④ for  $i \leftarrow 1$  to  $n_1 \rightarrow O(n_1)$
  - ⑤  $L[i] \leftarrow A[p+i-1] \rightarrow O(1)$
  - ⑥ for  $j \leftarrow 1$  to  $n_2 \rightarrow O(n_2)$
  - ⑦  $R[j] \leftarrow A[q+j] \rightarrow O(1)$
  - ⑧  $L[n_1+1] \leftarrow \infty \rightarrow O(1)$
  - ⑨  $R[n_2+1] \leftarrow \infty \rightarrow O(1)$
  - ⑩  $i \leftarrow 1 \rightarrow O(1)$
  - ⑪  $j \leftarrow 1 \rightarrow O(1)$
  - ⑫ for  $k \leftarrow p$  to  $r \rightarrow O(n)$
  - ⑬ if ( $L[i] \leq R[j]$ )  $\rightarrow O(1)$
  - ⑭ then  $A[k] \leftarrow L[i] \rightarrow O(1)$
  - ⑮  $i \leftarrow i+1 \rightarrow O(1)$
  - ⑯ else  $\rightarrow$
  - ⑰  $A[k] \leftarrow R[j] \rightarrow O(1)$
  - ⑱  $j \leftarrow j+1 \rightarrow O(1)$
- Total =  $O(n_1) + O(n_2) + O(n)$   
 $= O(2n)$   
 $= \underline{n}$

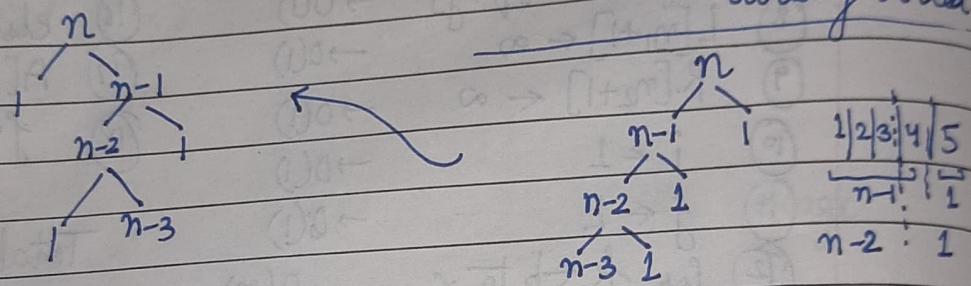
A sorting algorithm is called stable if it does not exchange relative pos of items with equal keys

Inplace Sorting  $\rightarrow$  If sorting is occurring within an array  
 We don't require external storage.

Space Complexity  $O(n)$

Space Complexity  $O(1)$ Not Stable  
Inplace sortingQuick Sort → Divide & conquer

If list is reverse sorted

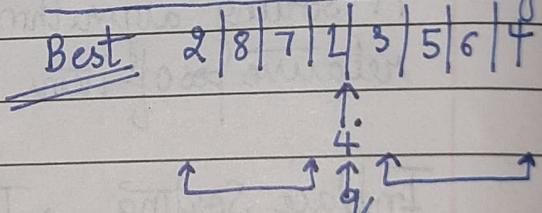


If array contains same no of elements  $4|4|4$ .  
Worst case occurs  
Complexity  $O(n^2)$

Worst Case

$$T(n) = T(n-1) + n$$

dividing



$$T(n) = 2T\left(\frac{n}{2}\right) + n$$

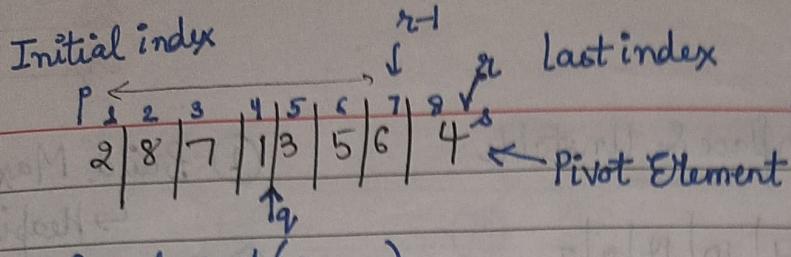
 $\Rightarrow O(n \log n)$ 

Avg  $T(n) = \frac{1}{3}T\left(\frac{n}{3}\right) + T\left(\frac{2n}{3}\right) + n$

$$T(n) = T\left(\frac{n}{5}\right) + T\left(\frac{4n}{5}\right) + n.$$

$$T(n) = T\left(\frac{n}{8}\right) + T\left(\frac{7n}{8}\right) + n$$

$$T(n) = T\left(\frac{n}{10}\right) + T\left(\frac{9n}{10}\right) + n$$



$$x = A[r]$$

$q \rightarrow$  pos of pivot element

Quick Sort( $A, p, r$ )

{ if ( $p < r$ )

{  $q \leftarrow \text{partitioning}(A, p, q, r)$

Quicksort( $A, p, q-1$ )

Quicksort( $A, q+1, r$ )

}

$i \leftarrow p-1$

~~for ( $j \leftarrow p$  to  $r-1$ )~~

$j \leftarrow p$  to  $r-1$

$i \leftarrow p-1$

$j$

$i=0 \ j=1$

$2 < 4 \ \text{True}$

$i \rightarrow i+1 \ 2 | 8 | 7 | 1 | 3 | 5 | 6 | 4$

$1 \ j=2$

Partitioning( $A, p, q, r$ )

{

$x \leftarrow A[r]$

$i \leftarrow p-1$

for  $j \leftarrow p$  to  $r-1$

{ if ( $A[j] \leq x$ )

{  $i \leftarrow i+1$

Exchange  $A[i] \leftrightarrow A[j]$

}

g

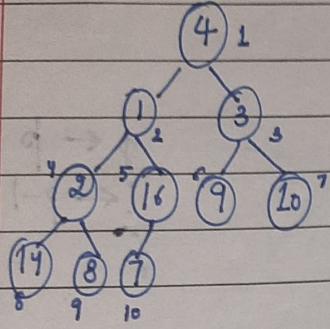
Exchange  $A[i+1] \leftrightarrow A[r]$

return  $i+1$

## Heap Sort Inplace & Unstable

4 | 1 | 3 | 2 | 16 | 9 | 10 | 14 | 8 | 7

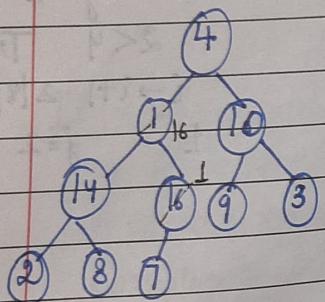
- ① Build Max Heap  
↳ Heapify algo
- ② Max Heap generated  
Heap Sort applied.



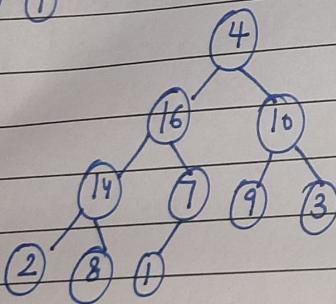
$\left[ \frac{10}{2} \right] \text{ to } 1$   
5 to 1

Root ko left child se compare

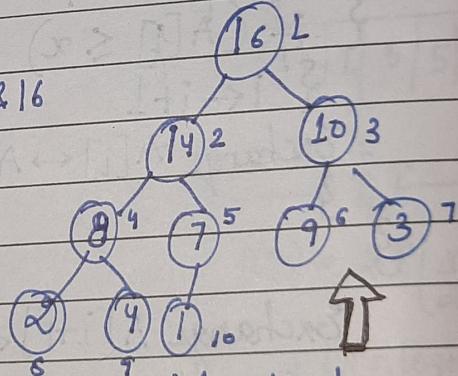
pos 3  
9 ko 3 recompare  
then 9 ko 10 se



→ To satisfy property 1 compared with 7

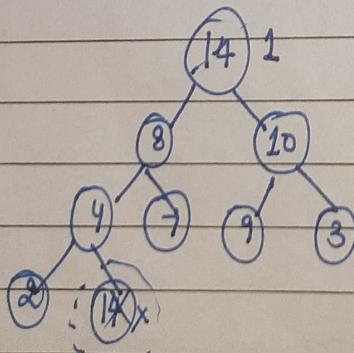


→ 4 & 16

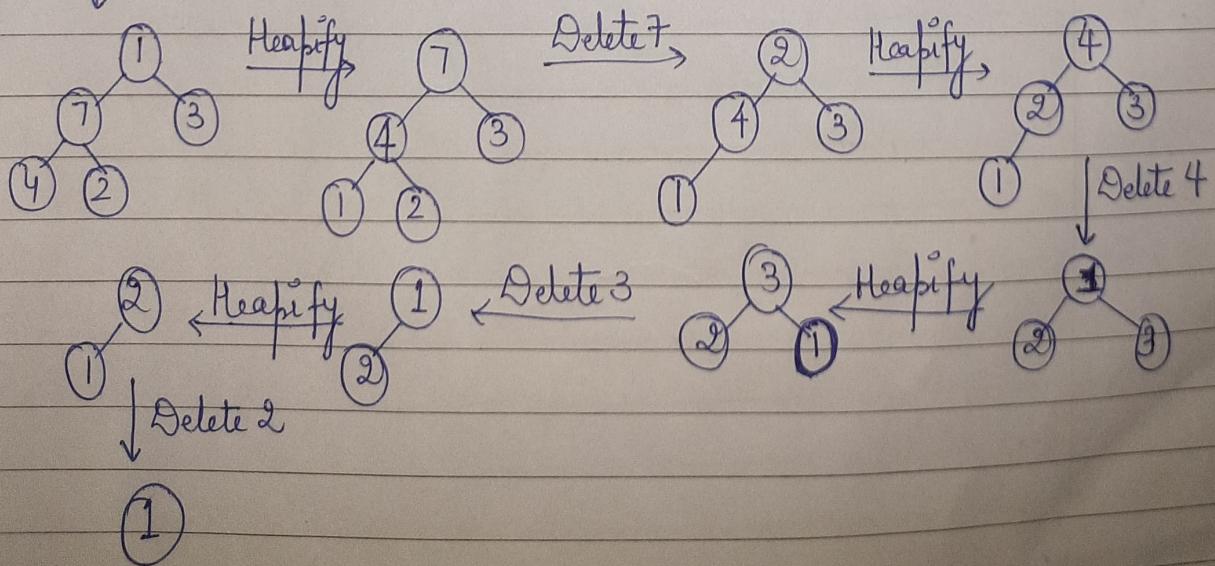
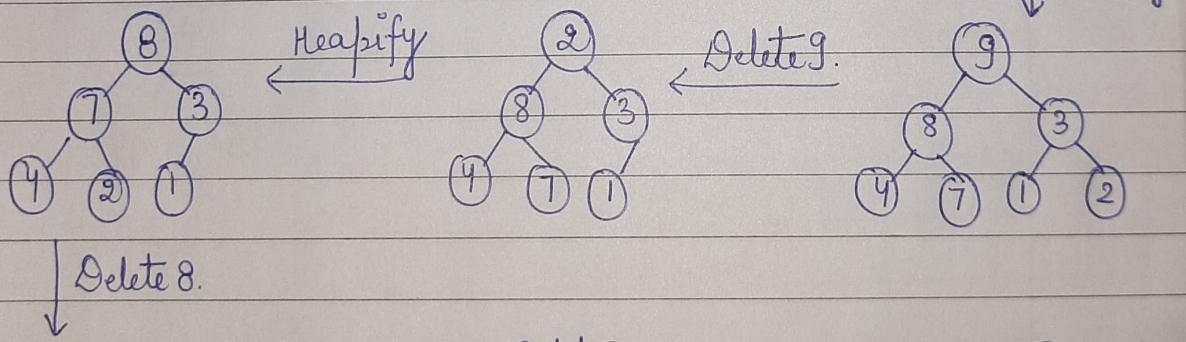
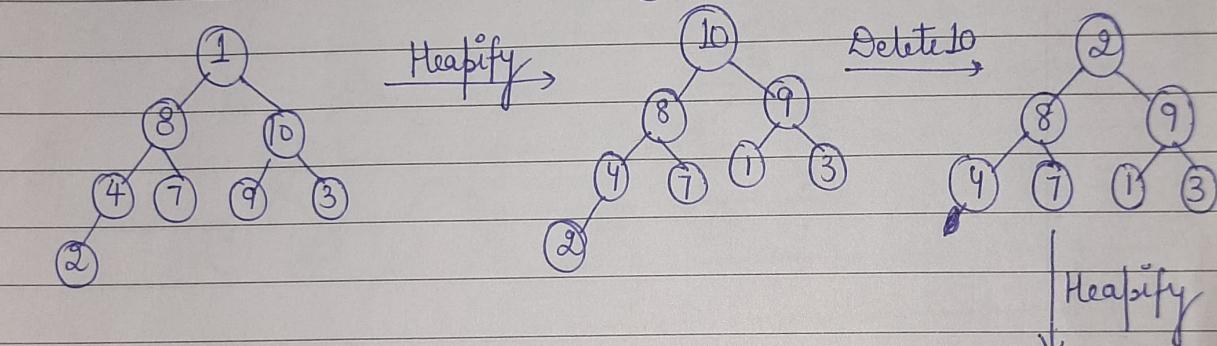
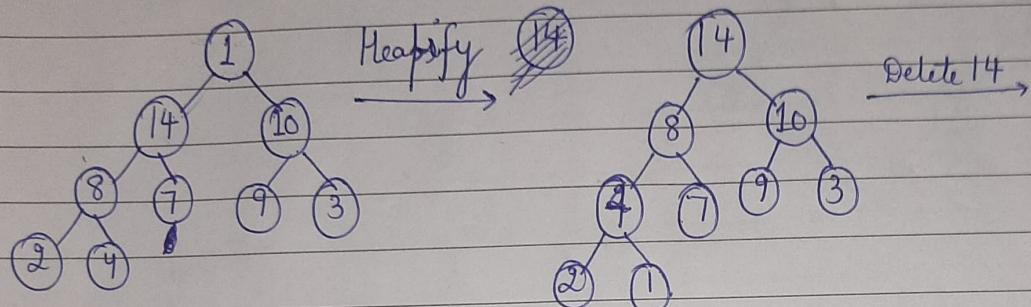
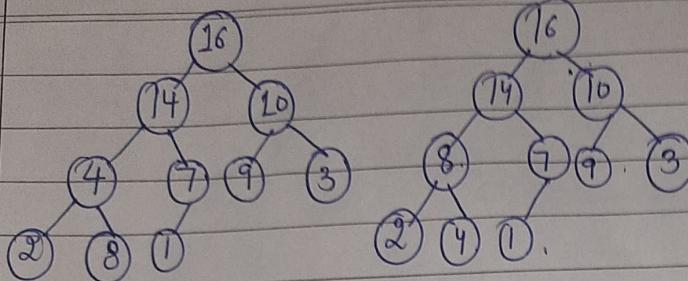


Max Heap

1 & 16 swap. & then decr. heap size

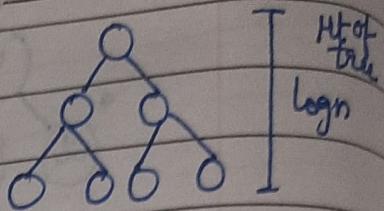


16	14	10	9	8	7	4	3	2	1
----	----	----	---	---	---	---	---	---	---



# Build Max-heap(A)

- ① Heap-size [A]  $\leftarrow$  length[A]  $O(1)$
- ② for  $i \leftarrow \lfloor \text{Length}(A/2) \rfloor$  down to 2
- ③ do Max-heapify(A, i)



## Max-heapify(A, i)

- ①  $l \leftarrow \text{left}(i)$   $O(\log n)$
- ②  $r \leftarrow \text{right}(i)$
- ③ if  $l \leq \text{heapSize}[A]$  and  $A[l] > A[i]$
- ④ then largest  $\leftarrow l$
- ⑤ else largest  $\leftarrow i$
- ⑥ if  $r \leq \text{heapSize}[A]$  and  $A[r] > A[\text{largest}]$
- ⑦ then largest  $\leftarrow r$
- ⑧ if largest  $\neq i$
- ⑨ then Exchange  $A[\text{largest}] \leftrightarrow A[i]$
- ⑩ Max-heapify(A, largest)

## HeapSort(A)

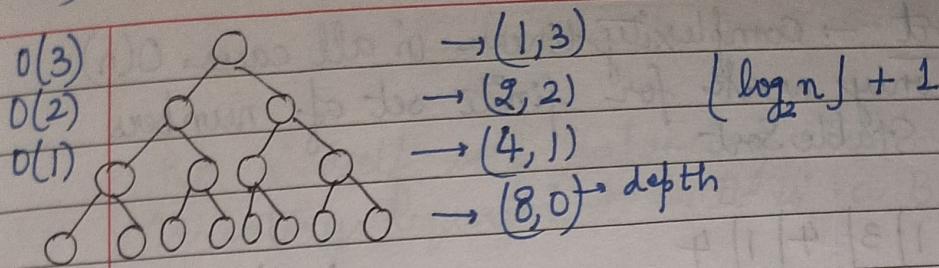
- ① Build Max-Heap(A)  $O(n)$
- ② for  $i \leftarrow \text{length}(A)$  down to 1  $n \text{ times}$
- ③ exchange  $A[i] \leftrightarrow A[1]$   $O(1)$
- ④  $\text{HeapSize}[A] \leftarrow \text{HeapSize}[A] - 1$   $O(1)$
- ⑤ do Max-heapify(A, i)  $O(\log n)$

$n + n \log n$

$O(n \log n)$

$O(h)$  - single node considered.

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Complete BT or Almost Complete BT  $\left[ \frac{n}{2^{h+1}} \right]$

$$\text{no of nodes at height } 0 = \left[ \frac{15}{2} \right] = [7.5] = 8$$

$$\dots \quad \dots \quad \dots \quad \dots \quad 1 = \left[ \frac{15}{4} \right] = 4$$

$$2 = \left[ \frac{15}{8} \right] = 2$$

Running time at one node =  $O(h)$

Total amt of Work done in a heap

$$\sum_{h=0}^{\log n} \frac{n}{2^{h+1}} * O(h)$$

$$\sum_{h=0}^{\log n} \frac{n}{2^{h+1}} * ch$$

$$\sum_{h=0}^{\log n} \frac{n}{2^{h+1}} * ch = \frac{n * c}{2} \sum_{h=0}^{\log n} \frac{h}{2^h}$$

$$\leq \frac{nc}{2} \sum_{h=0}^{\infty} \frac{h}{2^h} \rightarrow \text{Harmonic Series}$$

$$\frac{nc * 2}{2}$$

$$\leq cn$$

$$= \underline{\underline{O(n)}}$$

Counting Sort → Complexity linear in all cases =  $O(n)$   
 ↳ only work for finite set of numbers  
 Stable Sort

$$A = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & 3 & 6 & 4 & | & 1 & 3 & 4 & | & 1 & 4 \\ \hline & 1 & 2 & 3 & | & 4 & 5 & 6 & | & 7 & 8 \\ \hline \end{array}$$

Auxiliary array  
vary from 0 to Max

Stable Auxilliary  $\rightarrow O(n)$

$$C = \begin{array}{|c|c|c|c|c|c|c|} \hline & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ \hline & 0 & 2 & 0 & 2 & 3 & 0 & 1 \\ \hline \end{array}$$



Last index represent  
(for each element)

Cumulative array  $C =$

$$\begin{array}{|c|c|c|c|c|c|c|c|} \hline & 0 & 2 & 2 & 4 & 7 & 7 & 8 \\ \hline \end{array}$$

↑ 1 index 1

$$A = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & 1 & 2 & 3 & 4 & 5 & 6 & 8 \\ \hline & 3 & 6 & 4 & | & 1 & 3 & 4 & | & 1 & 4 \\ \hline \end{array}$$

$$B = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & 1 & 1 & 3 & 3 & 4 & 4 & 4 & 6 \\ \hline & 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ \hline \end{array}$$

$$C = \begin{array}{|c|c|c|c|c|c|c|c|} \hline & 0 & 2 & 2 & 4 & 7 & 16 & 87 \\ \hline & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ \hline \end{array}$$

$$\begin{array}{|c|c|c|c|c|c|c|} \hline & 0 & 0 & 2 & 2 & 4 & 7 & ? \\ \hline & 0 & 1 & 2 & 3 & 4 & 5 & 6 \\ \hline \end{array}$$

for  $j \leftarrow \text{length}[A]$  down to  
 $j \leftarrow 8$  to 1

Counting Sort( $A$ )

1. for  $i \leftarrow 0$  to  $k$
  2.  $c[i] \leftarrow 0$
  3. for  $j \leftarrow 1$  to  $n$
  4. do  $c[A[j]] \leftarrow c[A[j]] + 1$
  5. for  $i \leftarrow 0$  to  $k$
  6. do  $c[i] \leftarrow c[i] + c[i-1]$
  7. for  $j \leftarrow \text{length}[A]$  down to 1
  8. do  $B[c[A[j]]] \leftarrow A[j]$
  9.  $c[j] \leftarrow c[j] - 1$
- ] Initialisation  $O(k)$
- ] frequency  $O(n)$
- ] count
- ] cumulative array  $O(k)$
- ] for indexing
- ] stable
- ] Sorted array  $O(n)$

## Unit - 2

### Red Black Tree

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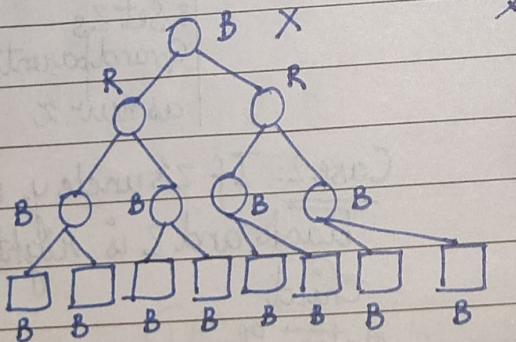
Red parent ka  
Red child x

RB is itself self balancing tree  
Mandatory Requirement  $\rightarrow$  It is a Binary Search Tree

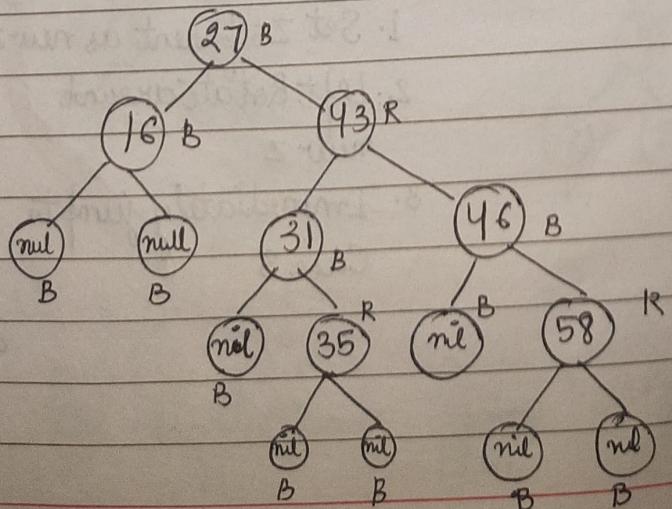
Red Black is a BST which satisfy

1. Every node in RB tree should be red or black
2. Root node is always black
3. Leaf nodes are always black.
4. If node is red then both of its children must be black
5. For each node every path from node to leaf contains same number of black nodes (all path from node to leaf have same black height)

Black Height  $\rightarrow$  A Black Height of a node X is number of black nodes including leaf nodes on the path X to leaf but not counting X.



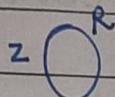
Black Height = 2



$$\begin{aligned}
 bh(46) &= 1 \\
 bh(58) &= 1 \\
 bh(43) &= 2 \\
 bh(27) &= 2
 \end{aligned}$$

# Insertion in Red-B Tree

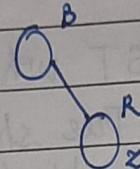
Z is a root node



Action: Simply Color it Black

Z is not root node

Z's Parent is Black



Action: Nothing to do  
Because Red can be  
child of 1 Black

Z's Parent is Red

Z's Parent is  
left child of  
grandparent of  
Z

Z's Parent is  
right child of  
grandparent of  
Z

Case 1: If Z's  
uncle y is red  
& Z is left or  
right child.

Same.

## Action Plan

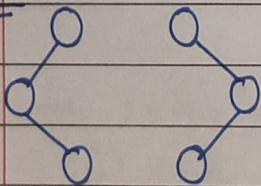
1. Make Z's Parent & Uncle y Black

2. Make Z's Grandparent Red

3. Set Z's Grandparent as new Z

## Zig Zag Tree

Case 2:



Case 2: If z's uncle y is black and Z is right child

## Action Plan

1. Set Z's parent as new Z
2. Left Rotate around new Z
3. Immediately jump to Case 3

Case 2: If Z's

uncle y is black & Z is left child

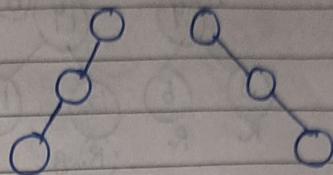
## Action Plan

1. Same
2. Right Rotation around new Z
3. Immediately jump to Case 3

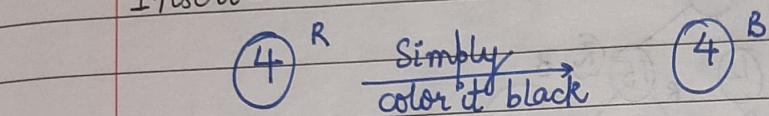
Case 3: If z's uncle y is black  
and z is left child

Action Plan

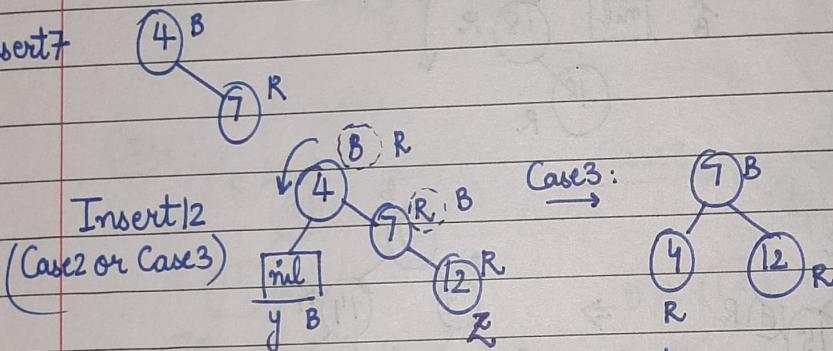
1. Make z's Parent black
2. Make z's grandparent Red
3. Right Rotate around z's grandparent



Insertion in RB Tree 4, 7, 12, 15, 3, 5, 14, 18, 16.

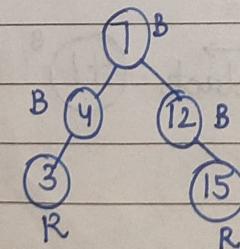


Insert 7

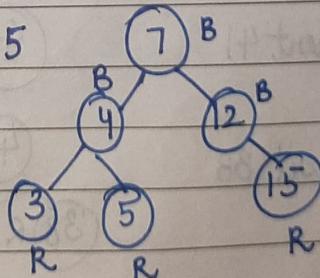


Root node can never be red

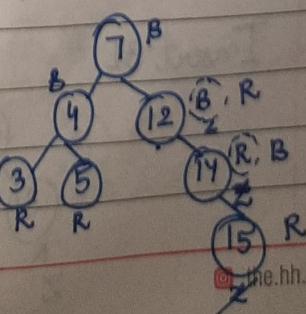
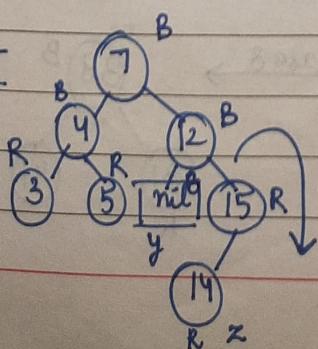
Case 1:

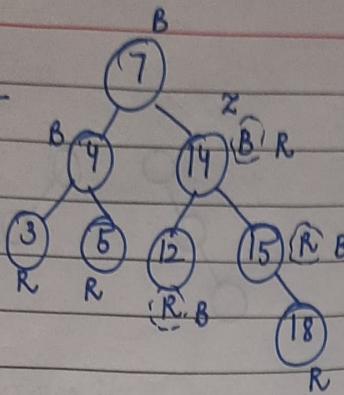
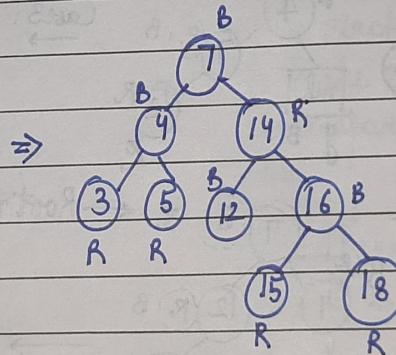
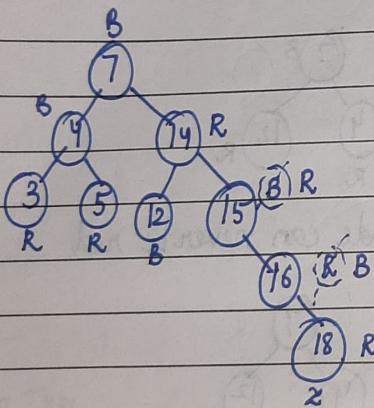
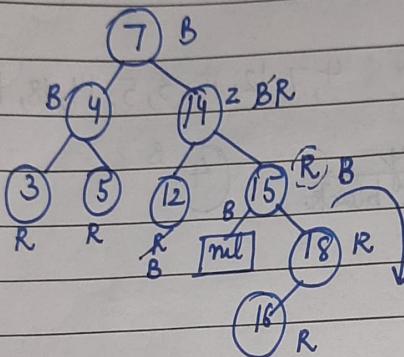


Insert 5



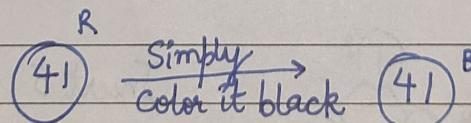
Insert 14



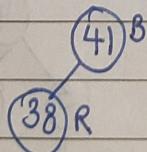
Case 3:Insert 16

D 41, 38, 31, 12, 19, 8.

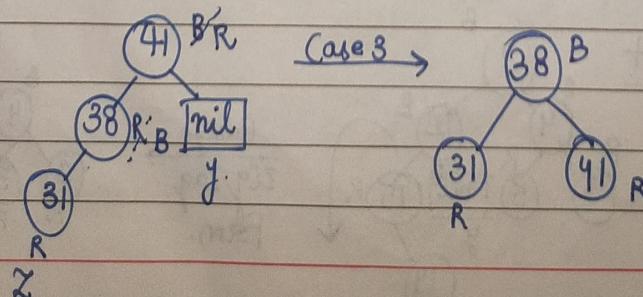
Insert 41



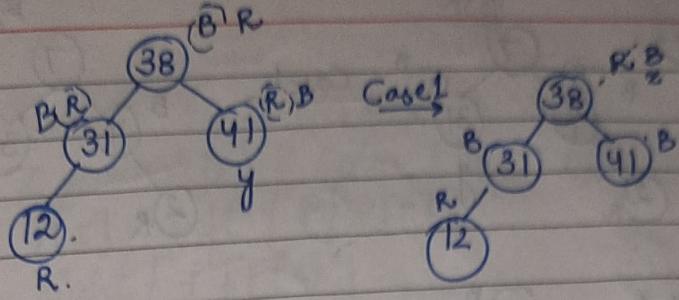
Insert 38



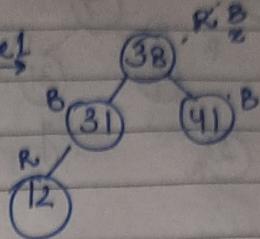
Insert 31



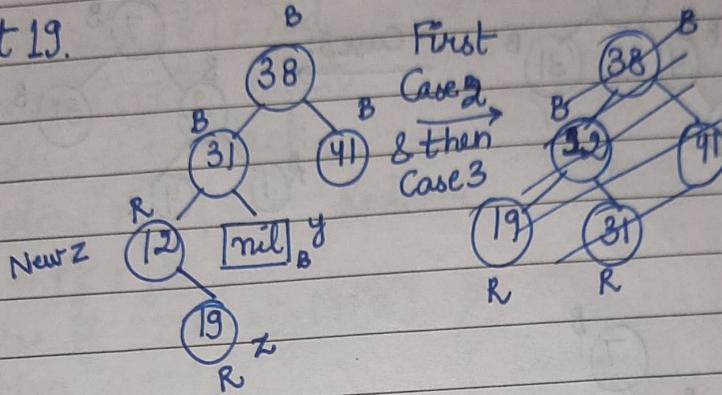
Insert 12



Case 1



Insert 19.

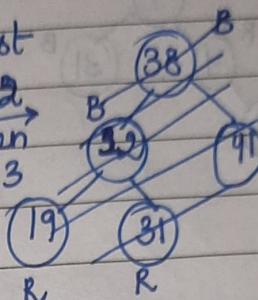


First

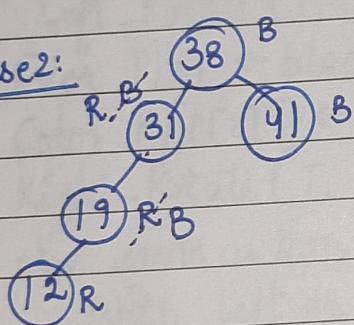
Case 2

& then

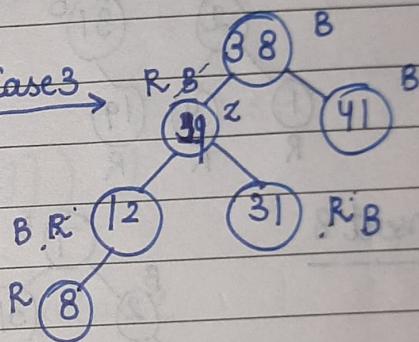
Case 3



Case 2:

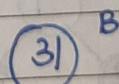
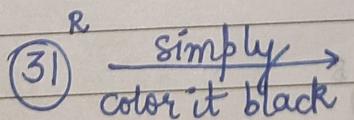


Case 3

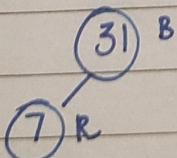


D. Insert 31, 7, 4, 2, 1, 19, 8.

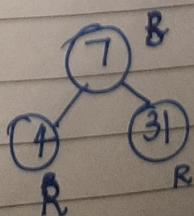
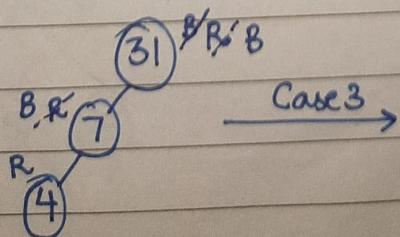
Insert 31



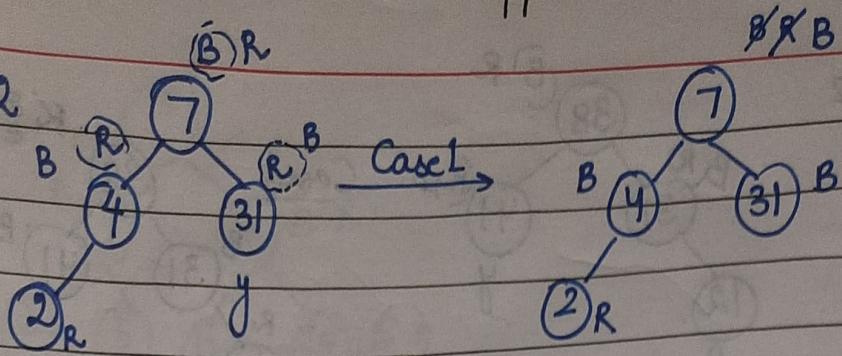
Insert 7



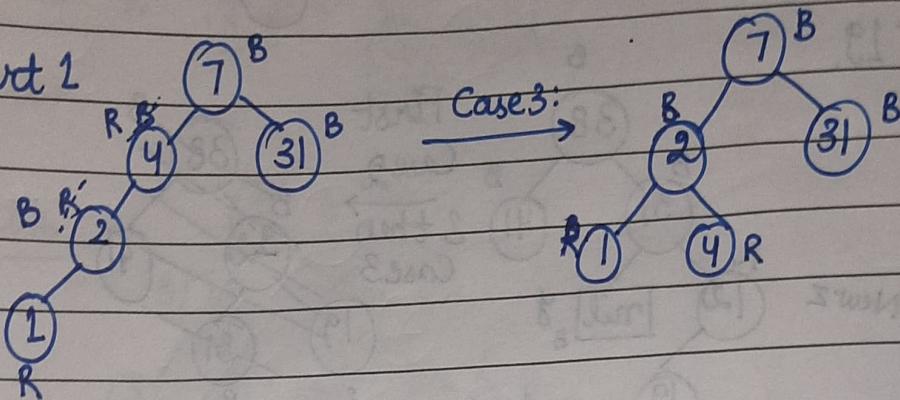
Insert 4



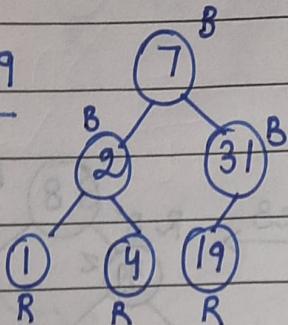
Insert 2



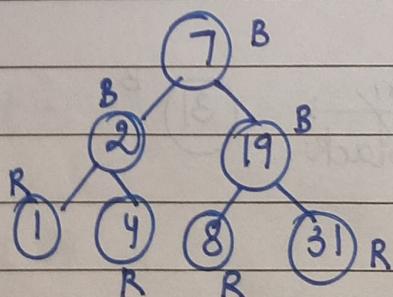
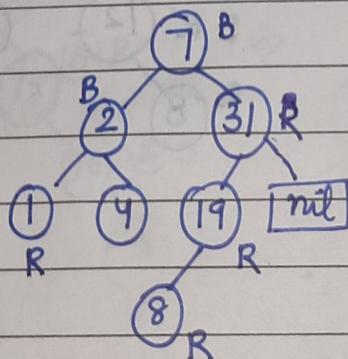
Insert 1



Insert 19



Insert 8



## Algorithm

R-B Tree Insert - fixup ( $T, z$ )

1. While  $z \neq \text{root}[T]$  & Color  $P[z] = \text{red}$

2. do if  $P[z] = \text{left} [P[P[z]]]$

3.    $y \leftarrow \text{right} [P[P[z]]]$

Case 1: { 4. if color[y] = red

5. Color  $P[z] \leftarrow \text{black}$

6. Color  $[y] \leftarrow \text{black}$

7. Color  $[P[P[z]]] \leftarrow \text{Red}$

8.  $z \leftarrow P[P[z]]$

9. else if  $z \leftarrow \text{right}[z]$  }

10. then  $z \leftarrow P[z]$  } Case 2

11. Left-Rotate ( $T, z$ ). }

12. Color  $P[z] \leftarrow \text{Black}$  }

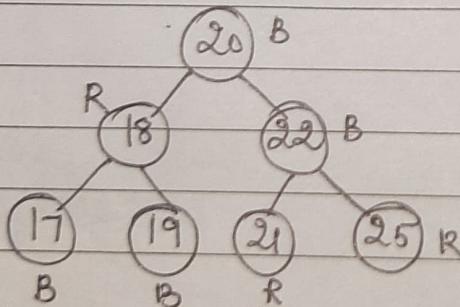
13. Color  $P[P[z]] \leftarrow \text{Red}$  } Case 3

14. Right-Rotate ( $T, P[P[z]]$ ) }

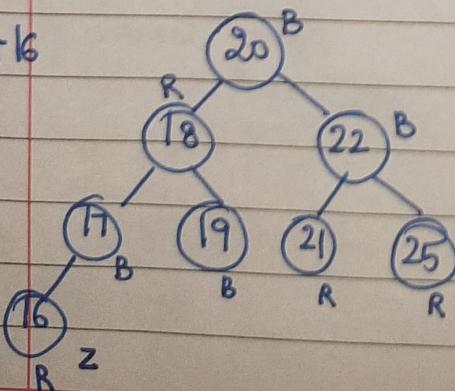
15. else same as then clause

With left or right exchange

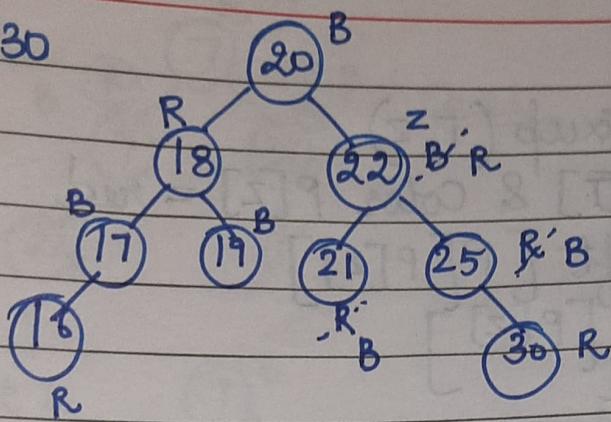
16. Color  $\text{root}[T] \leftarrow \text{Black}$



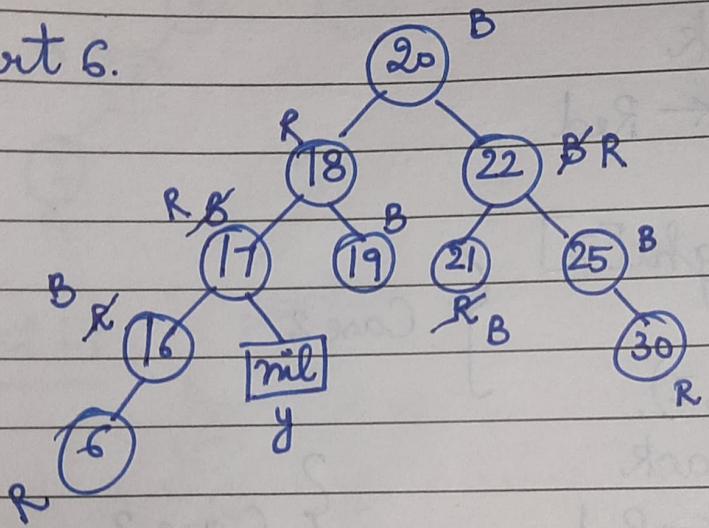
Insert 16, 30, 6



Insert 30



Insert 6.



## \* Deletion from BST tree

Let  $x$  be a node which takes the position of deleted node

$x$  is the root node

Simply color it  
black

$x$ 's Color is Red

Simply color it  
black

$x$  is not root node

$x$ 's color is Black

$x$  is left child  
of Parent

$x$  is right child  
of Parent.

Case1:  $x$  sibling  
 $w$  is red.

Action

1. Make  $w$  black
2. Make  $x$  Parent  
Red

3. Left Rotate  
around  $x$  Parent
4. Set right child of  
 $x$  Parent as new  $w$

3. Right Rotate around  
 $x$  Parent
4. Set left child  
of  $x$  Parent as new  
 $w$

Case2:  $x$  Sibling  $w$  is  
black &  $w$ 's both  
children are black

Action Make  $w$  red  
and set  $x$  Parent as  
new  $x$

Case4:  $x$  sibling  $w$  is black  
&  $w$ 's right child is  
red

1. Set  $w$ 's color as  
 $x$  Parent color
2. Make  $x$  Parent black
3. Make  $w$ 's right child  
black
4. Left Rotate around  
 $x$  parent

Case3:  $x$  Sibling  $w$  is  
black and  $w$ 's left  
child is red and  
right child is black

Action Make  $w$ 's left  
child black.

2. Make  $w$  red
3. Right rotate around  
 $w$
4. Set right child of  $x$   
Parent as new  $w$

Case3:  $x$  sibling  $w$   
is black &  $w$ 's right  
child is red & left  
child is black

1. Make  $w$ 's right  
child black
2. Make  $w$  red
3. Left rotate around  
 $w$
4. Set left child  
of  $x$  Parent as  
new  $w$