

$$= n \left[ 1 - \frac{1}{7/8} \right] + n \log_3(7/8)$$

$$= n$$

## UNIT-2

Binomial Heap:- It is a collection of binomial tree, each of which satisfies regular heap property.

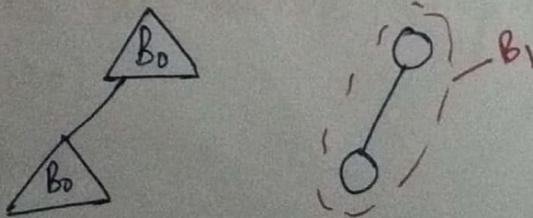
In this case, we are considering min. heap property.

Binomial Tree:- It is a building block for binomial heap.

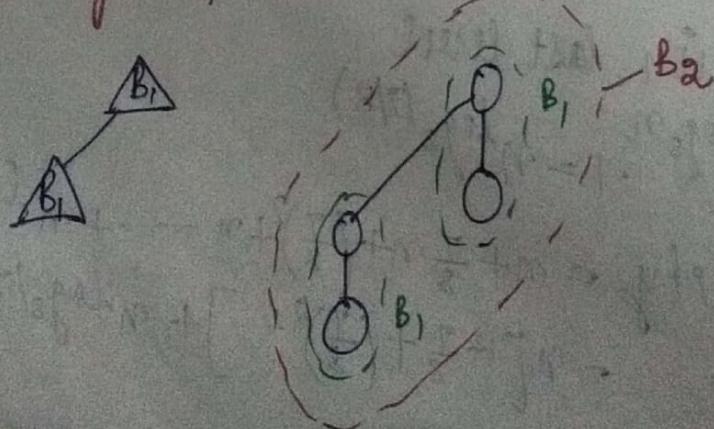
- Binomial tree  $B_k$  is an ordered tree defined recursively.
- 1)  $B_0$  is a single node:
- 2) Binomial tree  $B_k$  consists of  $2 B_{k-1}$  trees that are linked together. Root of one is leftmost child of root of another.

$$B_0 = \emptyset$$

$B_1$ : collection of 2  $B_0$  trees



$B_2$ : collection of 2  $B_1$  trees



## Properties of Binomial Tree :-

1) There are  $2^k$  nodes.

2) Height of binomial tree is exactly equal to  $\log_2 n$ .

3) No. of nodes at depth  $i = 0$  to  $k$

$$\left[ \begin{matrix} k \\ i \end{matrix} \right] = \frac{k!}{i!(k-i)!}$$

$$\left[ \begin{matrix} 3 \\ 0 \end{matrix} \right] = \frac{3!}{0!3!} = 1 \quad \left[ \begin{matrix} 3 \\ 1 \end{matrix} \right] = \frac{3!}{1!2!} = 3$$

$$\left[ \begin{matrix} 3 \\ 2 \end{matrix} \right] = \frac{3!}{2!1!} = 3 \quad \left[ \begin{matrix} 3 \\ 3 \end{matrix} \right] = \frac{3!}{3!0!} = 1$$

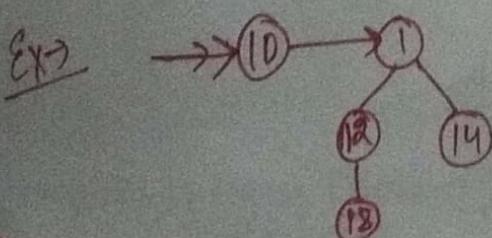
## BINOMIAL HEAP :-

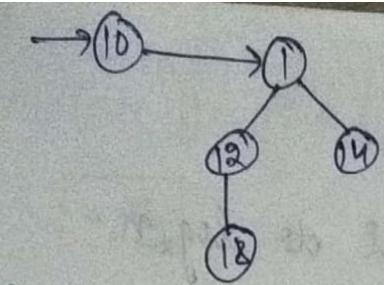
Binomial heap ( $H$ ) is a set of binomial tree that satisfies following properties:-

- 1) Each binomial tree obey min. heap property.
- 2) There are at most  $\lfloor \log_2 n \rfloor + 1$  binomial trees in binomial heap with  $n$  nodes.

## REPRESENTATION OF BINOMIAL HEAP :-

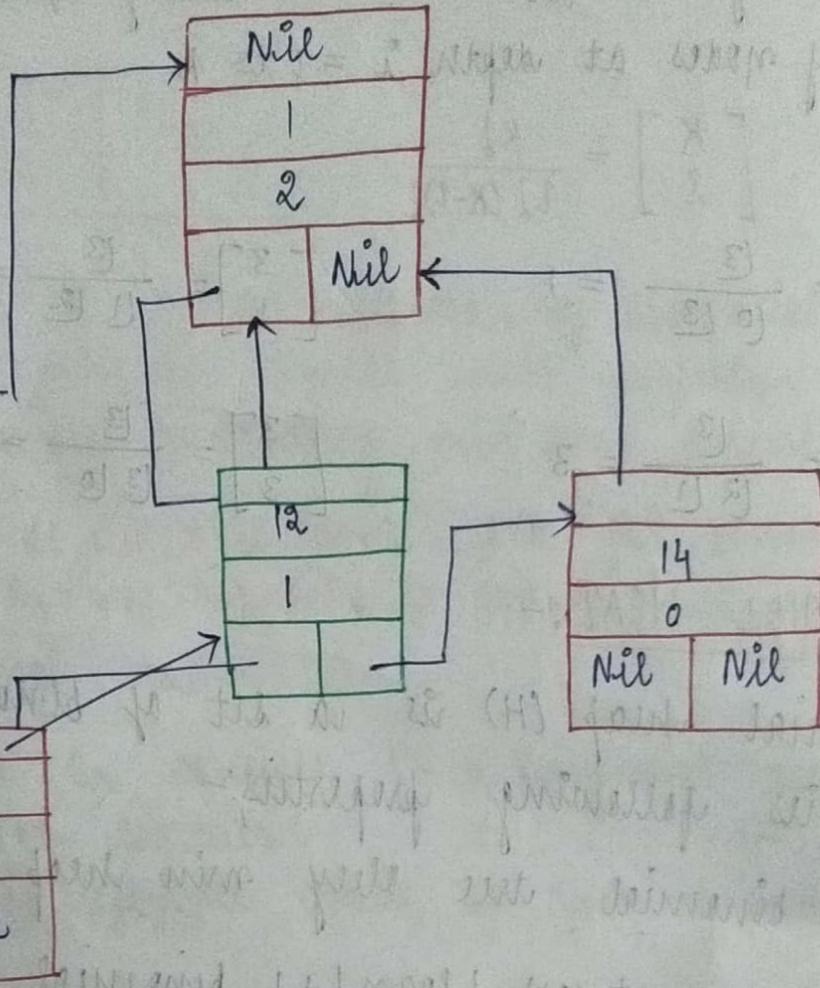
Pointer to parent	
Key	
Degree	
Pointer to child	Pointer to sibling





Representation :-

Nil	
10	
0	
Nil	Nil

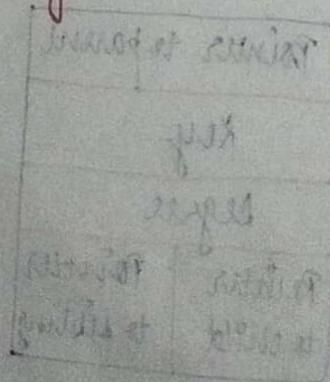


## OPERATIONS ON BINOMIAL HEAP:-

1) finding minimum key

Binomial Heap min (H)

- 1)  $y \leftarrow \text{nil}$
- 2)  $x \leftarrow \text{head}[H]$
- 3)  $\min \leftarrow \infty$
- 4)  $\text{while } x \neq \text{Nil}$
- 5) do if  $\text{key}[x] < \min$
- 6) then  $\min \leftarrow \text{key}[x]$

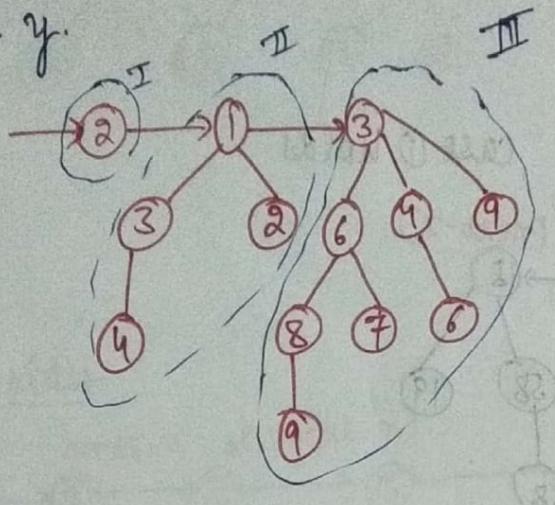


7)  $y \leftarrow x$

8)  $x \leftarrow \text{ sibling}[x]$

9) return  $y$ .

Ex:-



## 2) Union of binomial heap

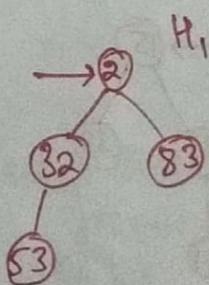
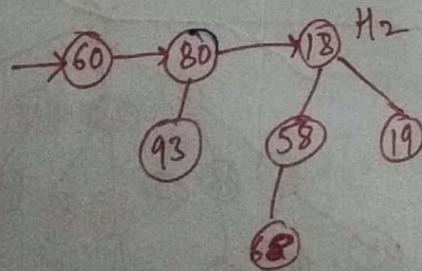
Case 1: If  $\text{degree}[x] \neq \text{degree}[\text{next}-x]$ , then move the pointers ahead.

Case 2: If  $\text{degree}[x] = \text{degree}[\text{next}-x] \neq \text{degree}[\text{sibling}[\text{next}-x]]$ , then move the pointers ahead.

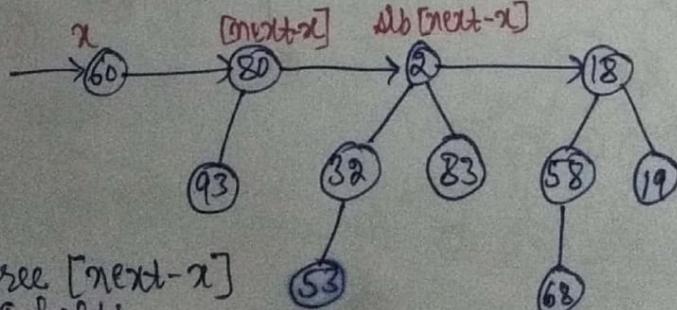
Case 3: If  $\text{degree}[x] = \text{degree}[\text{next}-x] \neq \text{degree}[\text{sibling}[\text{next}-x]]$  and  $\text{key}[x] < \text{key}[\text{next}-x]$ , then remove  $[\text{next}-x]$  from root list & attach it to  $x$ .

Case 4: If  $\text{degree}[x] = \text{degree}[\text{next}-x] + \text{degree}[\text{sibling}[\text{next}-x]] \& \text{key}[x] < \text{key}[\text{next}-x]$ , then remove  $x$  from root list & attach it to  $[\text{next}-x]$ .

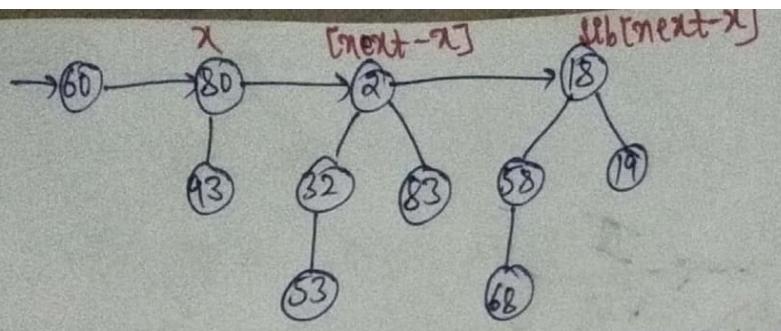
Ex:-



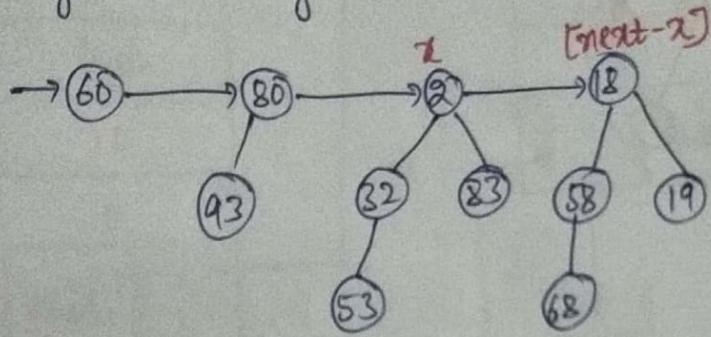
Merging:-



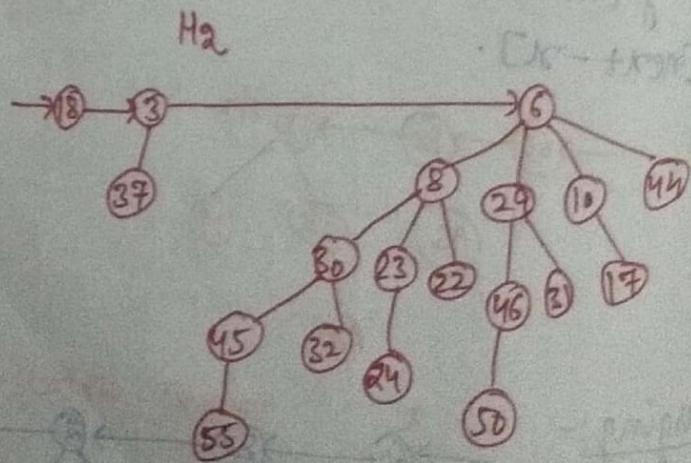
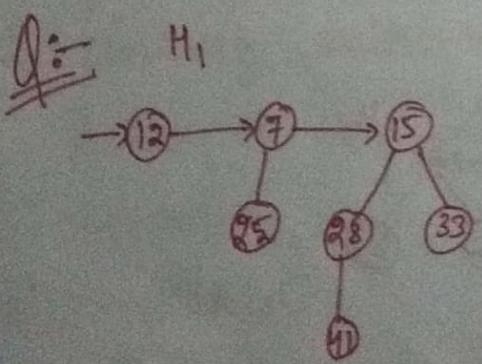
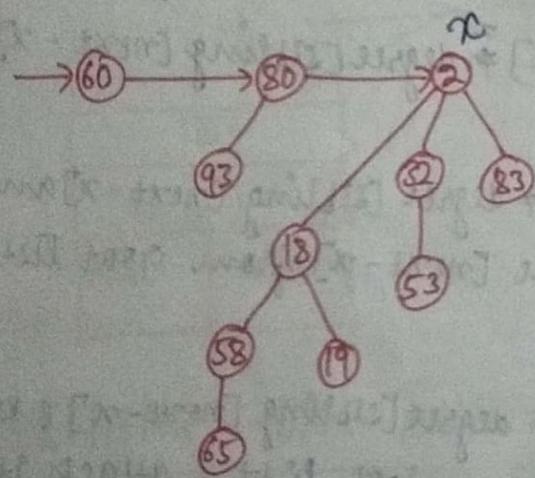
$\text{degree}[x] + \text{degree}[\text{next}-x]$   
Case 4 holds



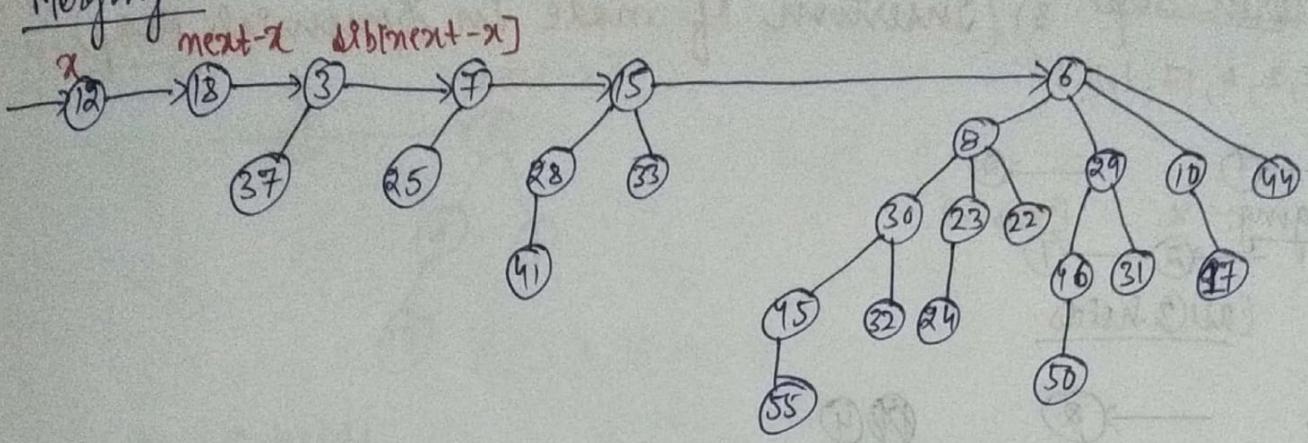
$\text{degree}[x] \neq \text{degree}[\text{next-}x]$ , case ① holds



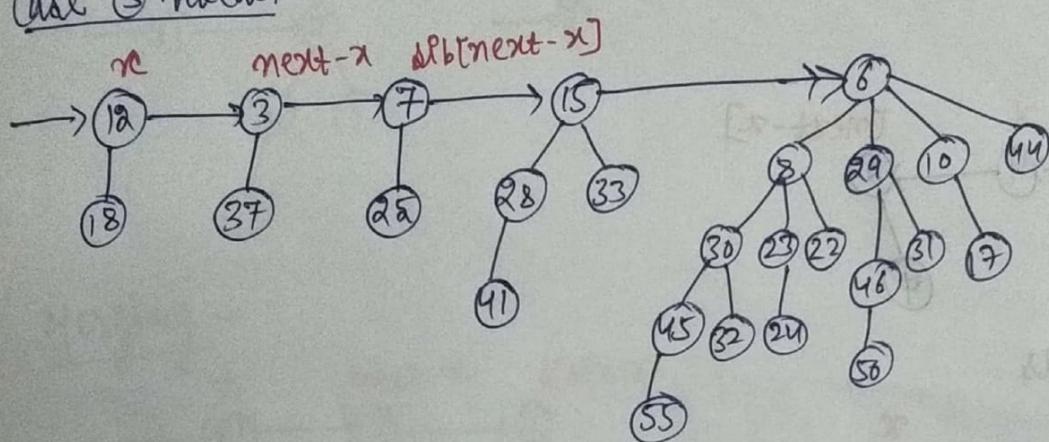
$\text{degree}[x] = \text{degree}[\text{next-}x] \neq \text{degree}[\text{sib(} \text{next-}x\text{)}]$   
 $\& \text{ Key}[x] \leq \text{Key}[\text{next-}x]$ , case ③ holds



Merging :-

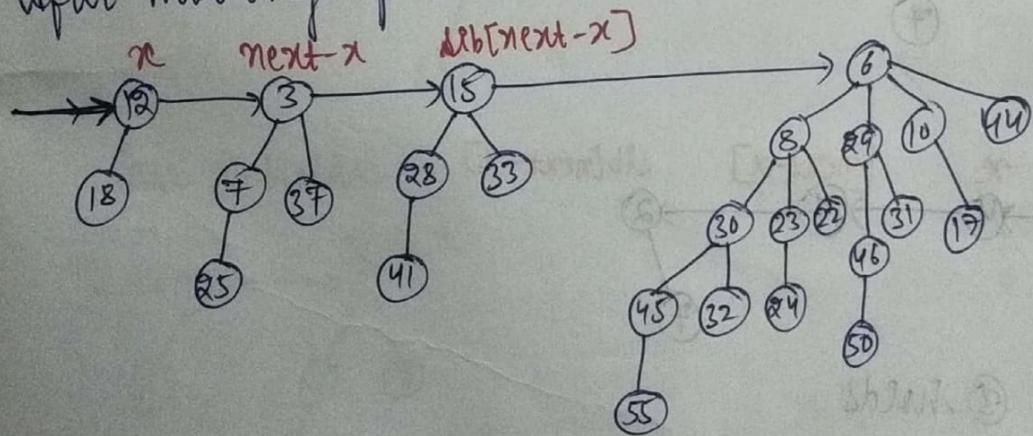


Case ③ holds:-



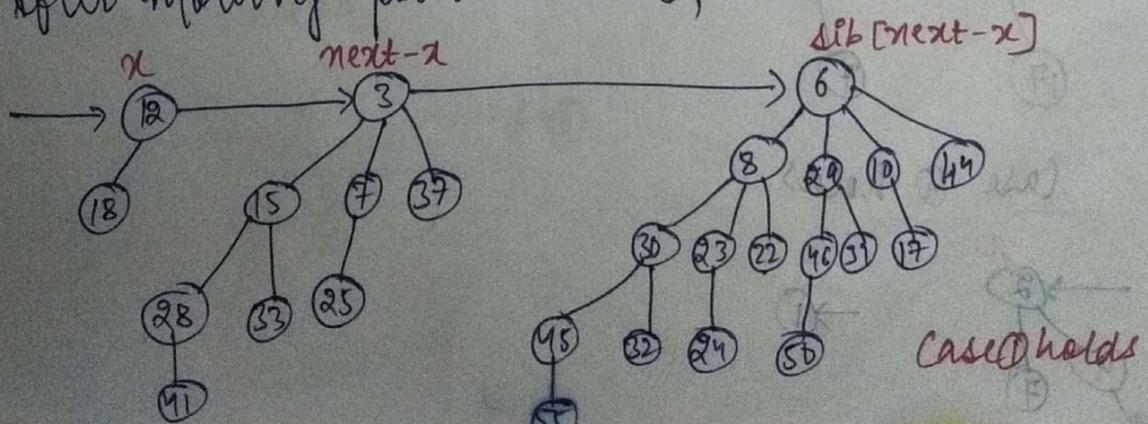
Case 2 holds:-

After moving pointers ahead, case ③ holds



Case ① holds:-

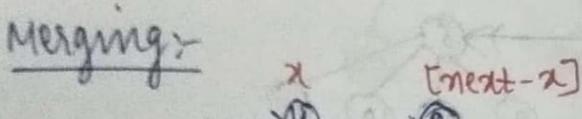
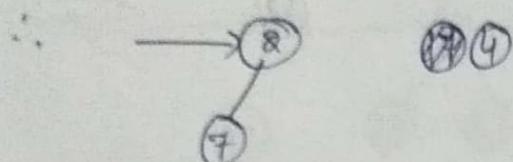
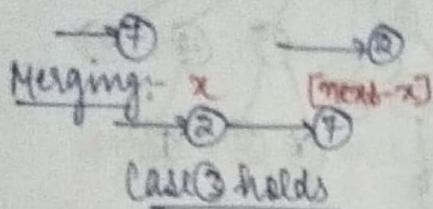
After moving pointer ahead, case ① holds



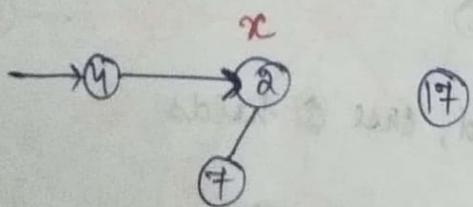
Case ① holds

Form Heaps 3) (Insertion of node in Binomial Heap)

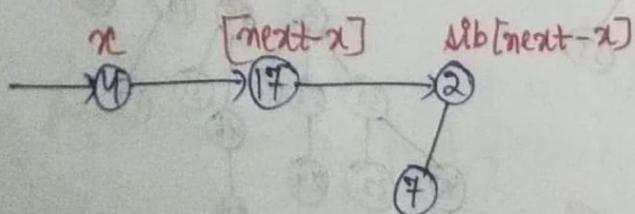
7, 2, 4, 17, 1, 11, 6



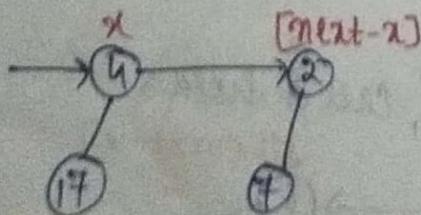
Case 0 holds



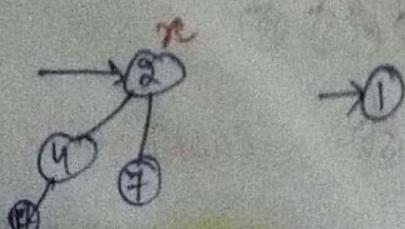
Merging:-



Case ③ holds

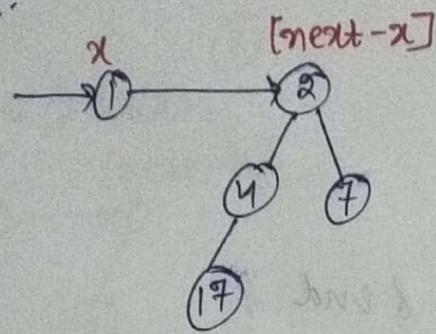


Case ④ holds

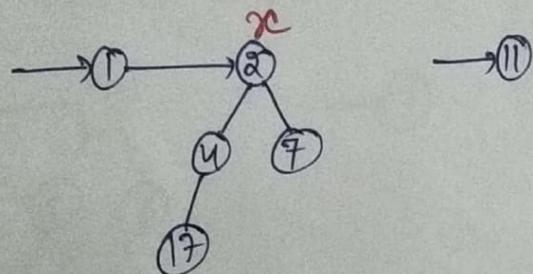


case ① holds

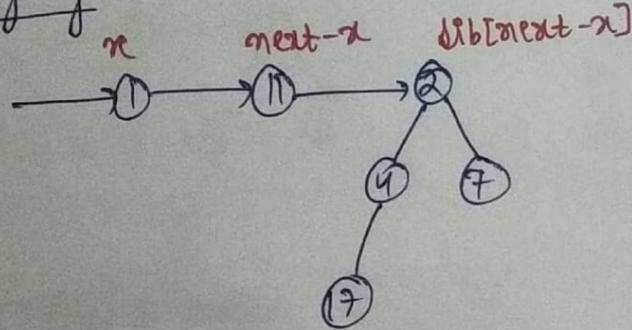
Merging :-



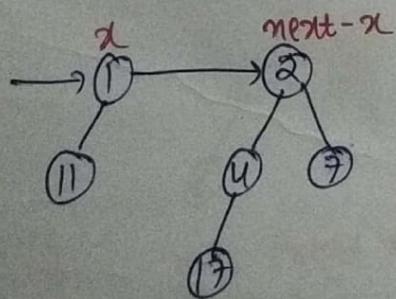
case ① holds



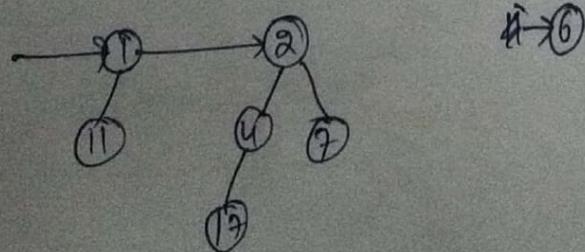
Merging :-



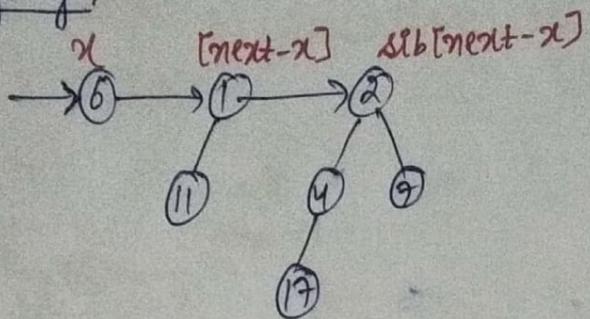
Case ③ holds:-



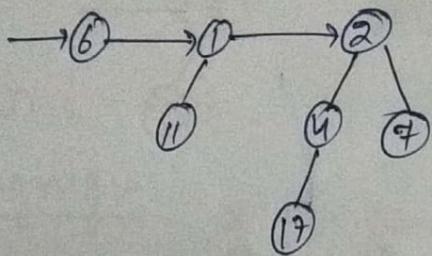
Case ① holds



Merging :-



case ① holds, then case ① holds & end

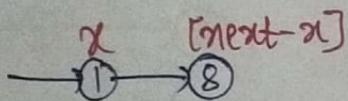


Q:- 8, 1, 6, 5, 7, 2

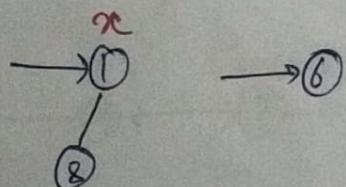
→ 8

→ 1

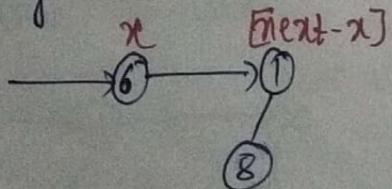
Merging :-



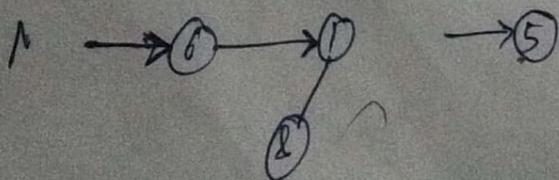
Case ③ holds:-

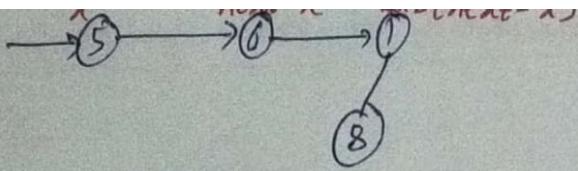


Merging :-

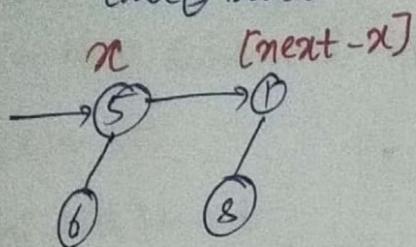


Case ① holds

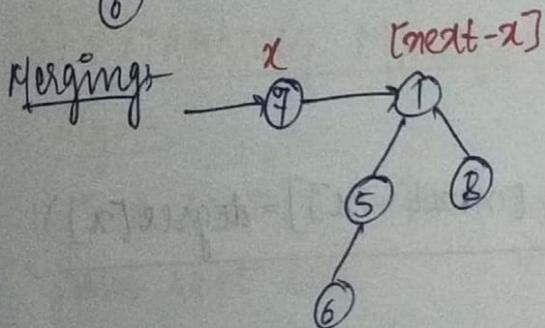
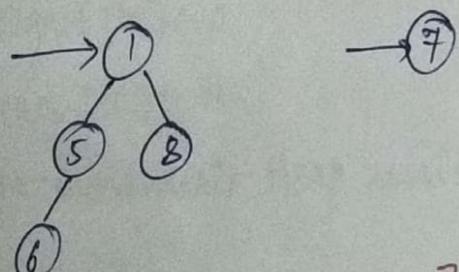




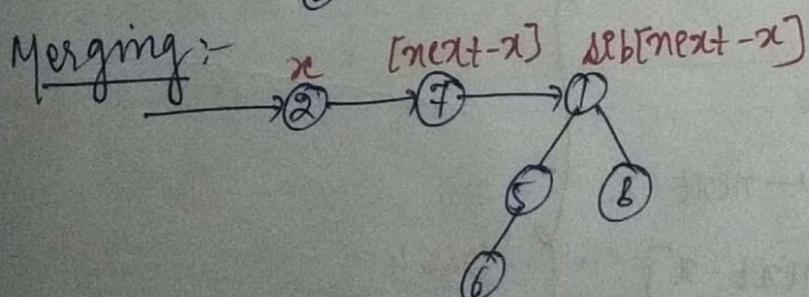
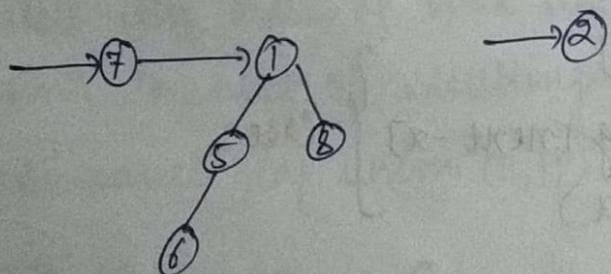
case ② holds



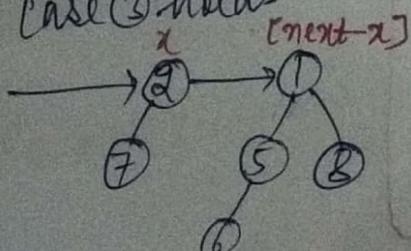
case ① holds



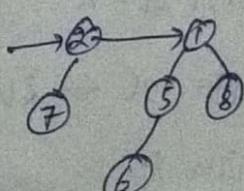
case ① holds



case ③ holds



case ① holds (end)



## UNION OF TWO BINOMIAL HEAP

Algo:-

Binomial Heap Union ( $H, H'$ )

- 1)  $H \leftarrow \text{Make-Binomial Heap}()$
- 2)  $\text{Head}[H] \leftarrow \text{Binomial-Heap Merge } (H_1, H_2)$

3) if  $\text{Head}[H] = \text{Nil}$

4) return  $H$ ;

5)  $\text{Prev\_x} \leftarrow \text{Nil}$

6)  $x \leftarrow \text{HEAD}[H]$

7)  $\text{next\_x} \leftarrow \text{sibling}[x]$

8) while  $\text{next\_x} \neq \text{Nil}$

9) do if  $\text{degree}[x] \neq \text{degree}[\text{next\_x}]$  or  
case 1

$\text{sibling}[\text{next\_x}] = \text{Nil} \& \text{degree}[\text{sibling}[\text{next\_x}]] = \text{degree}[x]$

case 2

10)  $\text{Prev\_x} \leftarrow x$

11)  $x \leftarrow \text{next\_x}$

12) else if  $\text{key}[x] \leq \text{key}[\text{next\_x}]$

13) then  $\text{sibling}[x] \leftarrow \text{sibling}[\text{next\_x}]$

14) Binomial link ( $\text{next\_x}, x$ )

15) else if  $\text{Prev\_x} = \text{Nil}$

16) then  $\text{Head}[x] \leftarrow \text{next\_x}$

17) else  $\text{sibling}[\text{Prev\_x}] \leftarrow \text{next\_x}$

18) Binomial link ( $x, \text{next\_x}$ )

19)  $x \leftarrow \text{next\_x}$

20)  $\text{next\_x} \leftarrow \text{sibling}[x]$

21) return  $H$ .

Case 3

Case 4

## Insertion of node in Binary Heap

Algo:-

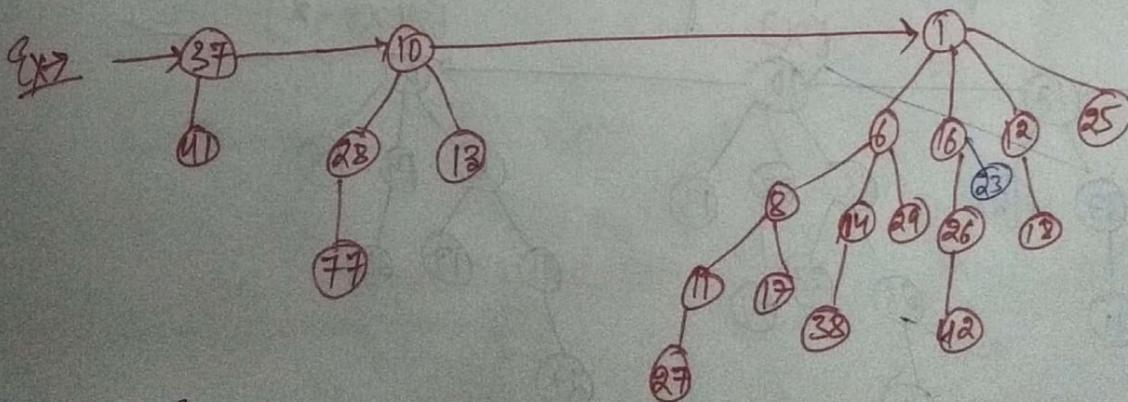
## Binomial Heap insert ( $H, x$ )

- 1)  $H' \leftarrow$  Make Binomial Heap
  - 2)  $P(x) \leftarrow \text{Nil}$
  - 3)  $\text{child}[x] \leftarrow \text{Nil}$
  - 4)  $\text{ sibling}[x] \leftarrow \text{Nil}$
  - 5)  $\text{degree}[x] \leftarrow 0$
  - 6)  $\text{Head}[H'] \leftarrow x$
  - 7)  $H \leftarrow \text{Binomial Heap union}(H, H')$

#### 4) EXTRACTING MINIMUM KEY NODE :-

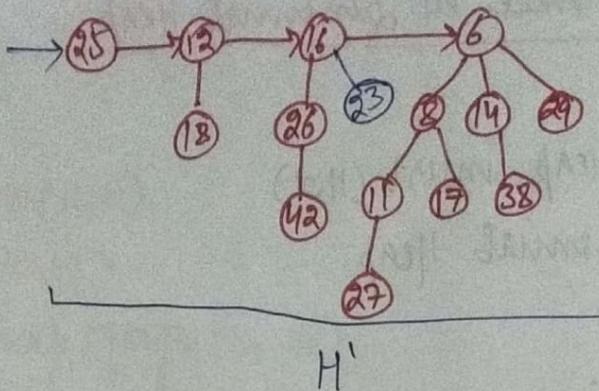
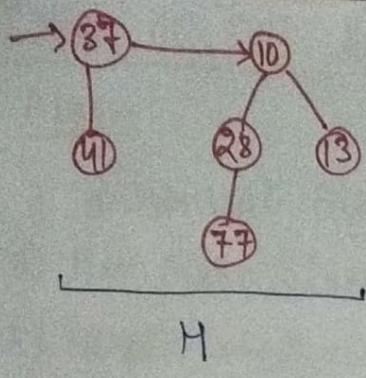
Algo!

- 1) find root  $x$  with min. key in root list of  $H$  & remove  $x$  from root list.
  - 2)  $H' \leftarrow \text{Make Binomial Heap}()$
  - 3) Reverse the order of link list of  $x$  children & set  $\text{Head}(H)$  to point to head of resulting list.
  - 4)  $H \leftarrow \text{Binomial Heap union } (H, H')$
  - 5) Return  $x$ .



Remove 1

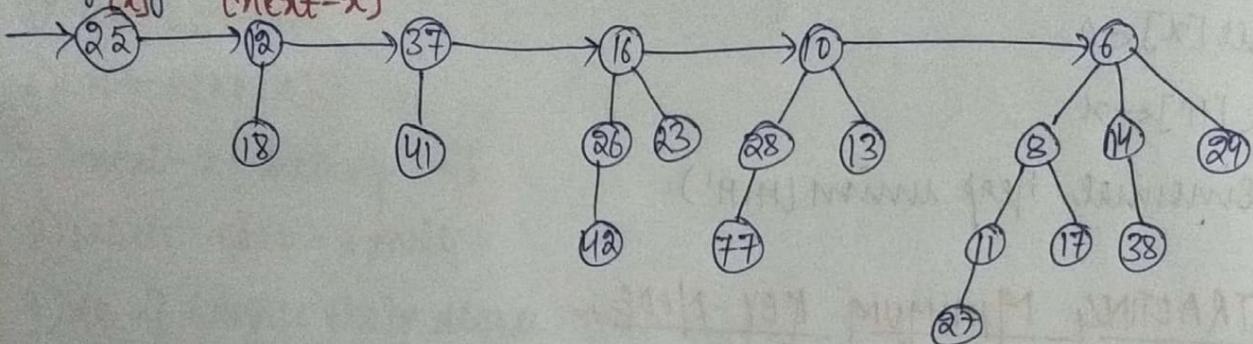
[Min-root value]



Perform Union:

Merging  
[x]

[next-x]



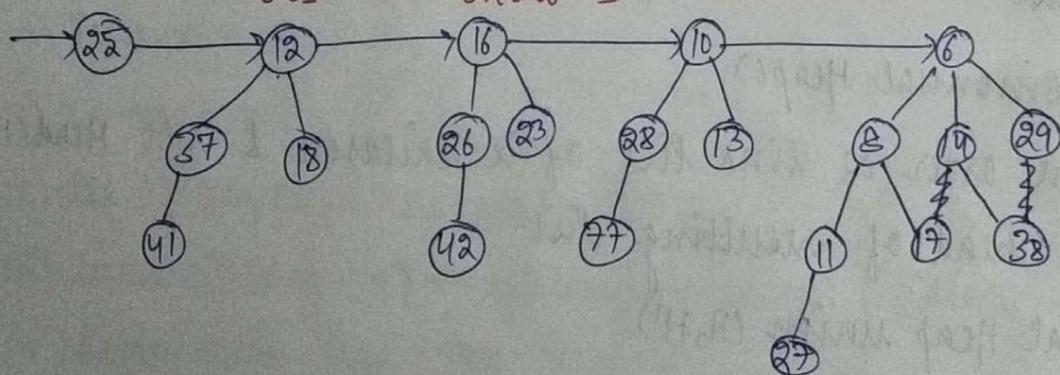
Case ① holds, move header

Then case ③ holds

[x]

[next-x]

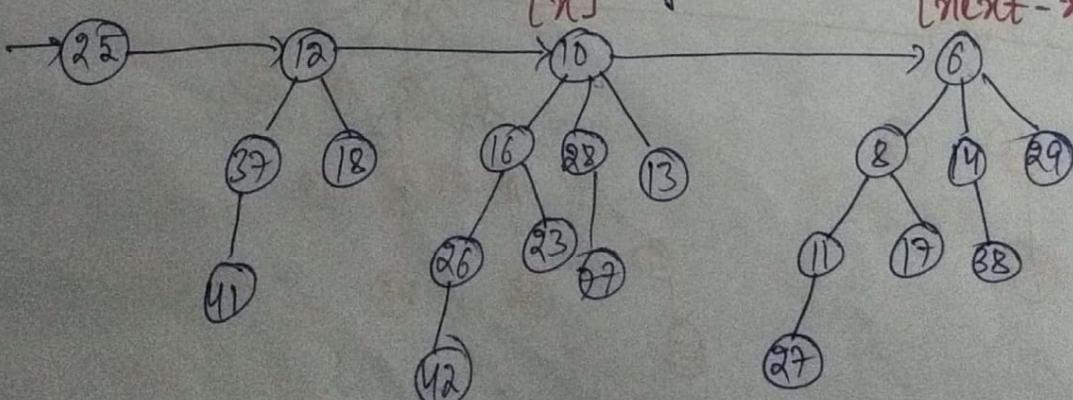
sib[next-x]



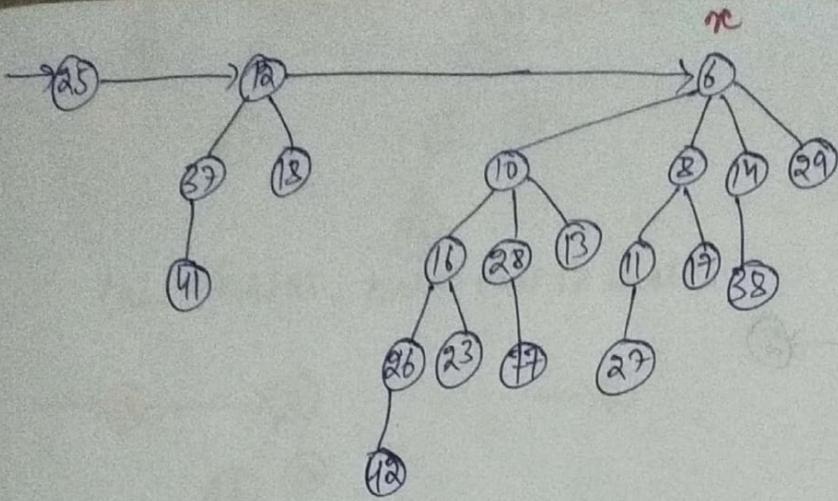
Case 2 holds, after moving ahead, case ④ holds

[x]

[next-x]



Case ⑥ holds:-



Q:- Construct Binomial Heap

25, 31, 38, 76, 5, 60, 38, 8, 30, 15, 35, 17, 23, 53, 27, 43, 65, 48

→ 25 → 31

Merging :-  
 n [next-x]  
 → 25 → 31

Case 3

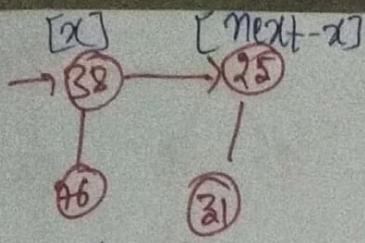
→ 25 → 38  
 31

Merge :-  
 n [next-x]  
 → 25 → 38 → 31 → 25 → 31

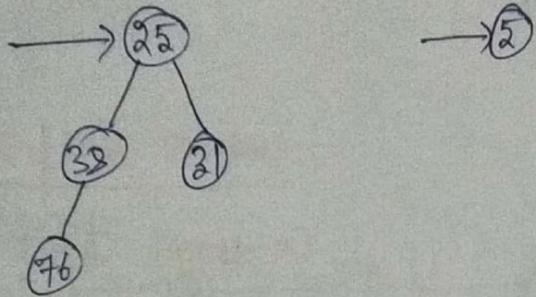
Case 1 :-

→ 38 → 25 → 76  
 31  
 [x] [next-x] lib [next-x]  
 → 38 → 76 → 25  
 31

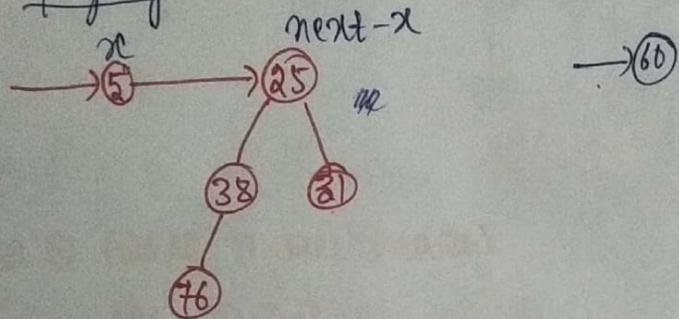
Case 3 holds :-



Case 4:-

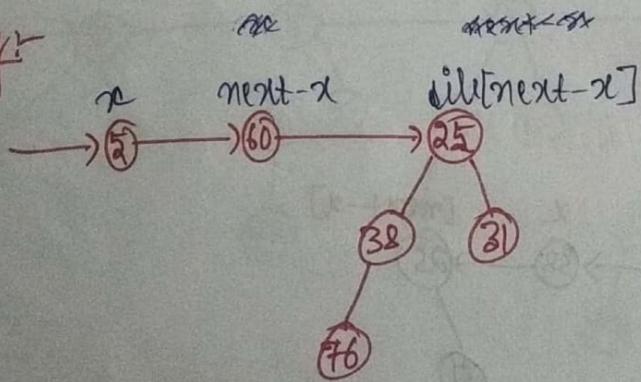


Merging:-

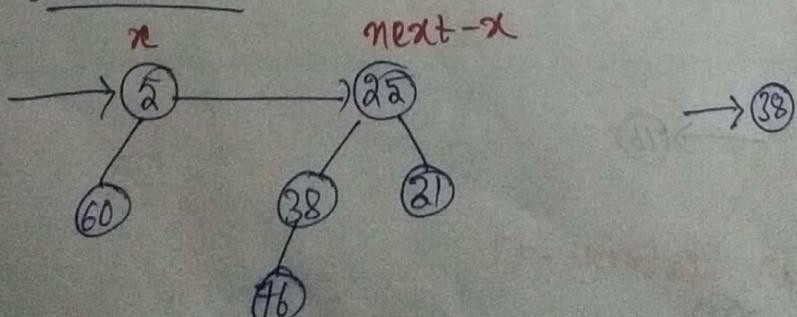


Case 1 holds

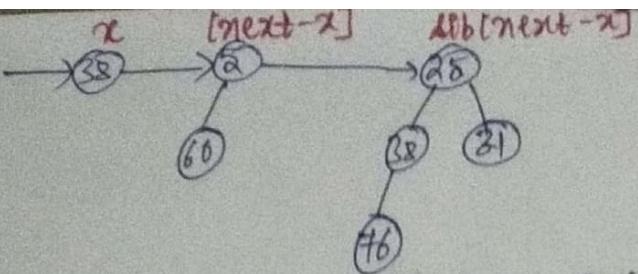
Merging:-



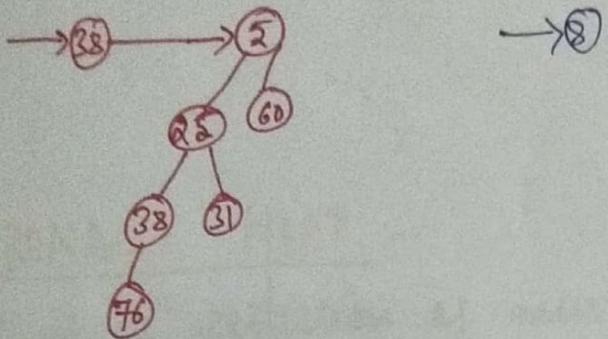
Case 2 holds



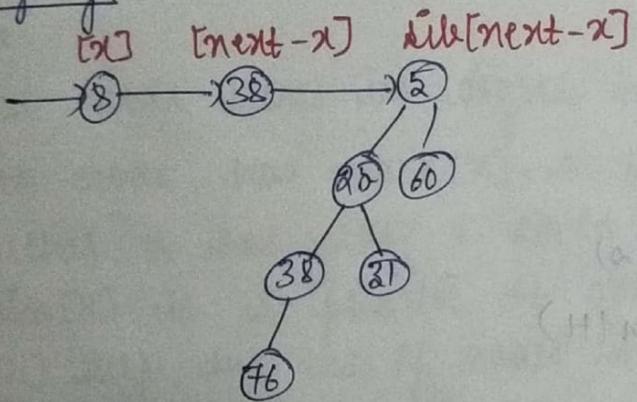
Case 0 holds



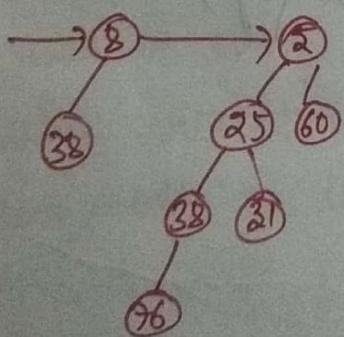
case 1 holds, then case 1B holds



Merging:-



Case ③ holds



## Decreasing a key

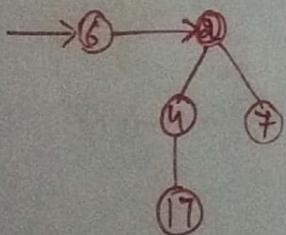
Binomial Heap Decrease ( $H, x, k$ )

- 1) if  $k > \text{key}[x]$
- 2) then error "new key is greater than  $x$ ".
- 3)  $\text{key}[x] \leftarrow k$
- 4)  $y \leftarrow x$
- 5)  $z \leftarrow P[y]$
- 6) while  $z \neq \text{nil}$  &  $\text{key}[y] < \text{key}[z]$
- 7) do exchange  $\text{key}[y] \leftrightarrow \text{key}[z]$
- 8)  $y \leftarrow z$
- 9)  $z \leftarrow P[y]$

## Deleting a node

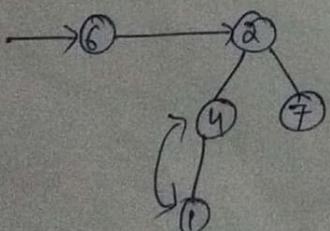
Binomial Heap Delete ( $H, x$ )

- 1) Binomial Heap decrease ( $H, x, -\infty$ )
- 2) Binomial extract minimum ( $H$ )

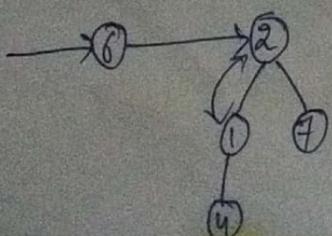


$\Leftarrow \frac{1}{e} \rightarrow$  (Decreasing a Key)

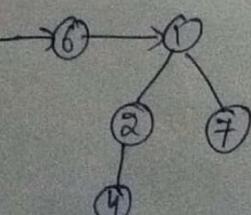
$1 < 17$   
therefore,  $1 \rightarrow 17$



(Not a min. heap)



$\Rightarrow$



## FIBONACCI HEAP :-

- It is a collection of min-heap ordered tree but tree in fibonacci heap is not constrained to be a binomial tree.
- Each node stores its degree in  $\text{degree}[x]$ .
- Each node has  $\text{mark}[x]$ , a boolean field indicating whether  $x$  has lost a child.
- $\text{min}[H]$  is a pointer to minimum root in root list.
- $n[H]$  keeps the no. of nodes in  $H$ .

## Mark Nodes :-

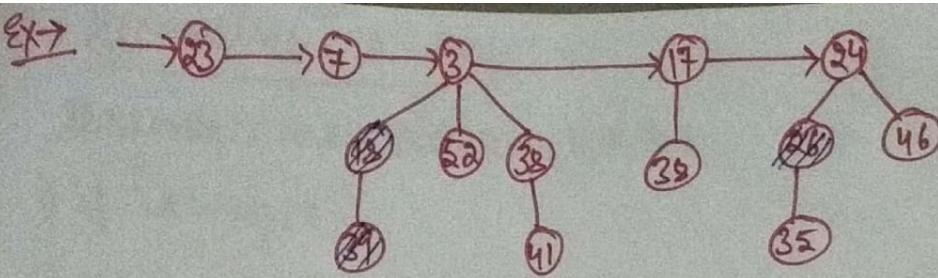
- 1) A node  $x$  will be marked if  $x$  has lost a child.
- 2) Newly created nodes are unmarked.
- 3) When node  $x$  becomes the child of another node, then it will be unmarked.

## POTENTIAL FUNCTION :-

$$\phi(H) = t(H) + 2m(H)$$

where  $m(H)$  = no. of marked nodes

$t(H)$  = no. of nodes in root list.



$$t(H) = 5$$

$$m(H) = 3$$

$$\begin{aligned}\Phi(H) &= 5 + 2 \times 3 \\ &= 5 + 6\end{aligned}$$

$$\boxed{\Phi(H) = 11}$$

## Operations on Fibonacci Heap

- 1) Creating a new fibonacci heap
- 2) Inserting a node
- 3) Finding the minimum node
- 4) Union of 2 fibonacci heaps
- 5) Extracting a min. node → Imp.
- 6) Decreasing the key.
- 7) Deleting a node

- 1) Creating a new fibonacci heap

- Make-fib-heap() procedure allocates m/r & returns fib. heap object.

no. of nodes,  $n(H) = 0$

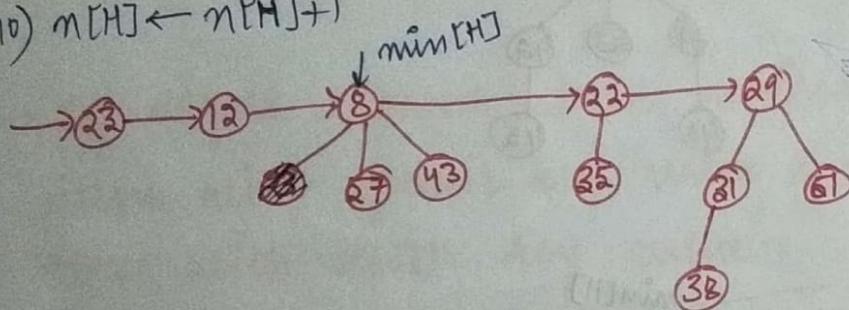
$\min[H] = \text{NIL}$

∴  $\boxed{\text{Complexity} = O(1)}$

## 2) Inserting a node

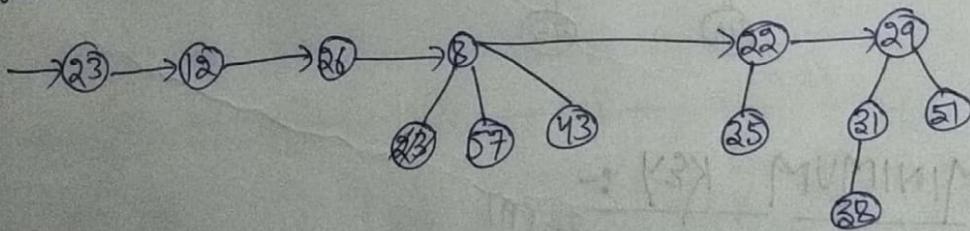
fibHeap insert( $x$ )

- 1)  $\text{degree}[x] \leftarrow 0$
- 2)  $\text{P}[x] \leftarrow \text{nil}$
- 3)  $\text{child}[x] \leftarrow \text{nil}$
- 4)  $\text{left}[x] \leftarrow x$
- 5)  $\text{right}[x] \leftarrow x$
- 6)  $\text{mark}[x] \leftarrow \text{false}$
- 7) Concatenate the root list containing  $x$  with root list  $H$ .
- 8) if  $\text{min}[H] = \text{nil}$  or  $\text{key}[x] < \text{key}[\text{min}[H]]$
- 9) then  $\text{min}[H] \leftarrow x$
- 10)  $n[H] \leftarrow n[H] + 1$



insert 26:-

26  
concatenate it with root list & insert before  $\text{min}[H]$ .



check inserted element  $< \text{min}[H]$ , if yes mark inserted element as  $\text{min}[H]$ .

## 3) finding min. node

Min. node of fib. heap is given by pointer  $\text{min}[H]$ .

<u>Complexity = O(1)</u>
--------------------------

# 1) Union of 2 Fibonacci heaps:

file\_Heap-Union ( $H_1, H_2$ )

1)  $H \leftarrow \text{make\_file\_heap}()$

2)  $\min[H] \leftarrow \min[H_1]$

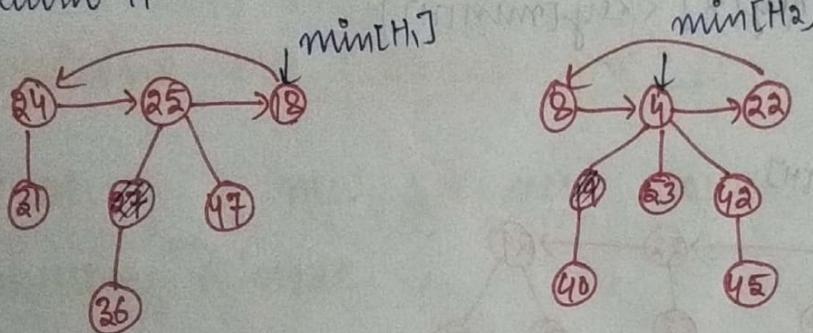
3) Concatenate the root list of  $H_2$  with root list  $H$ .

4) if ( $\min[H_1] = \text{Nil}$ ) or ( $\min[H_2] \neq \text{nil} \& \min[H_2] < \min[H_1]$ )

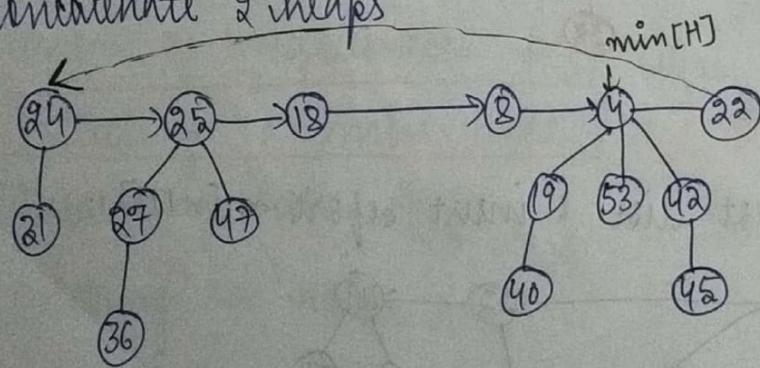
5) then  $\min[H] \leftarrow \min[H_2]$

6)  $n[H] \leftarrow n[H_1] + n[H_2]$

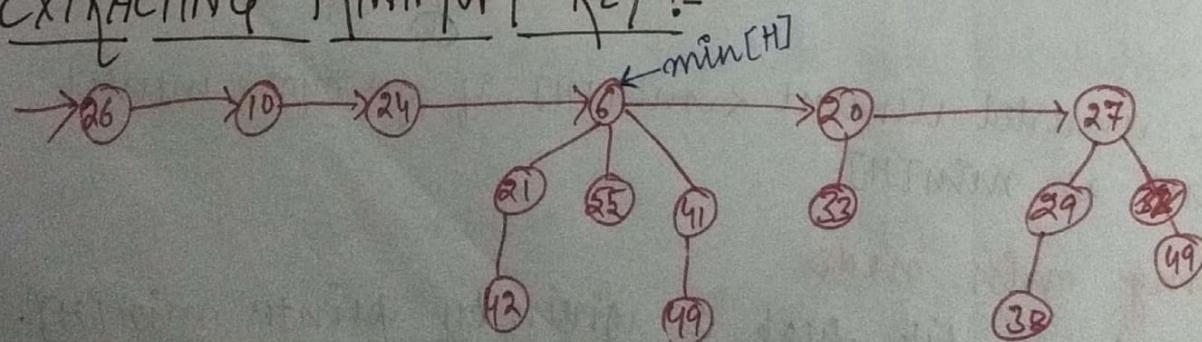
7) return  $H$ .



Concatenate 2 heaps

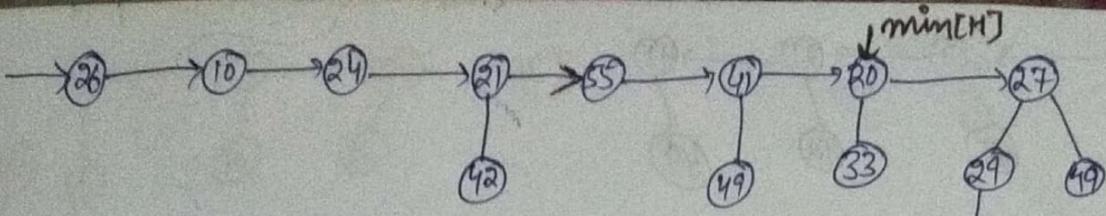


EXTRACTING MINIMUM KEY :-



1) Remove min. node i.e. 6

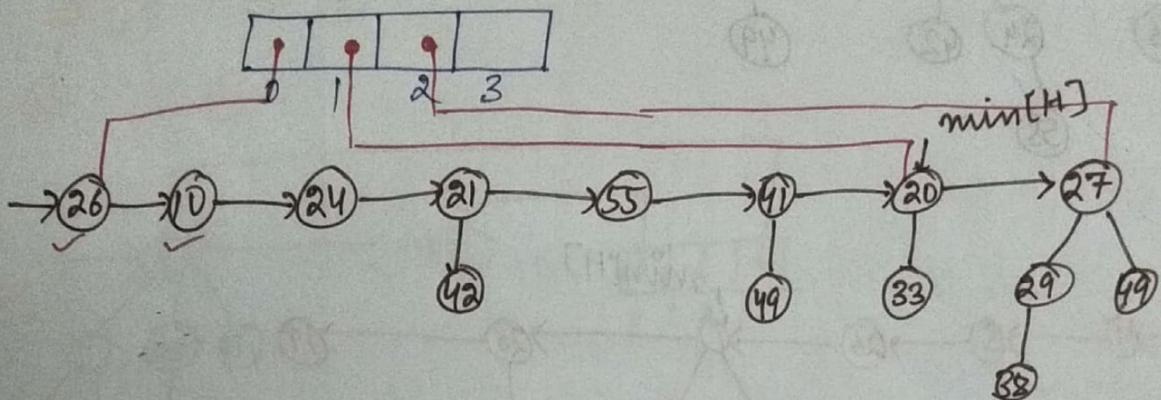
Step 2: ( $\min[H]$  shifts to right of 6)



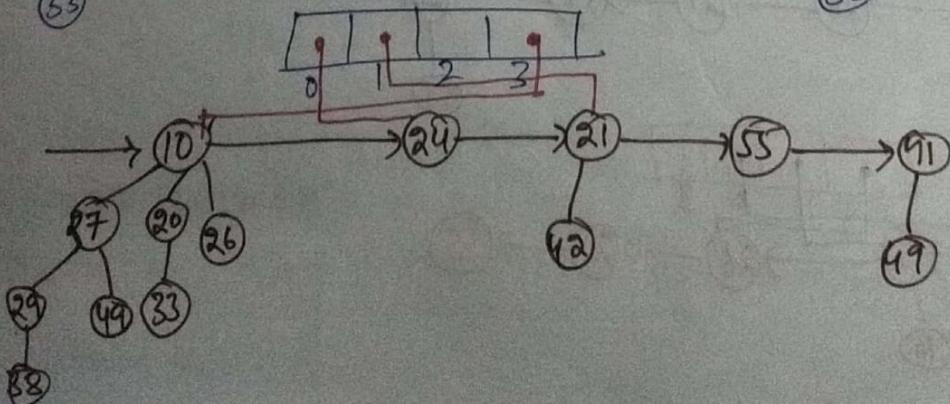
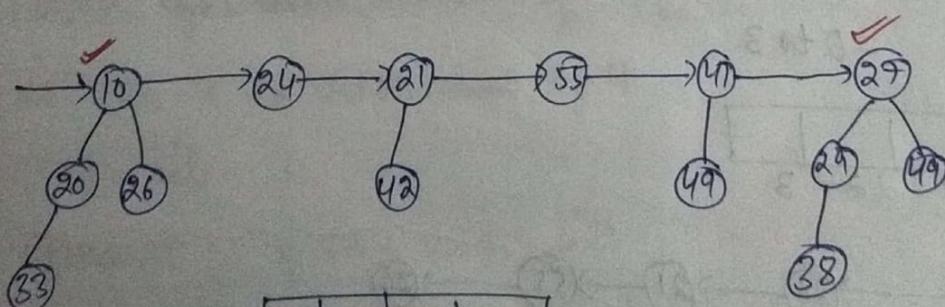
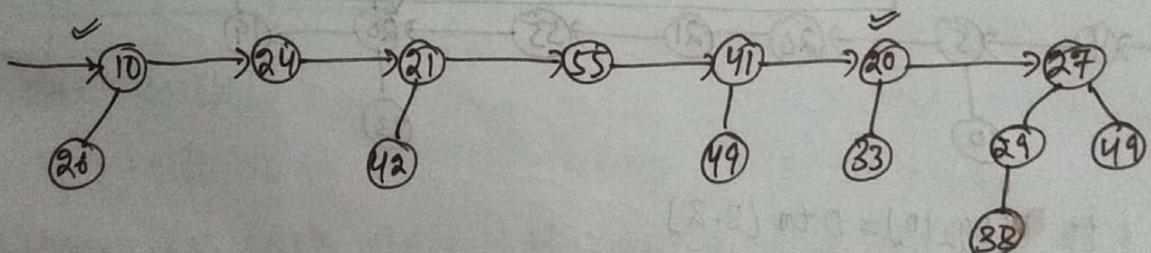
Step 3: Create array from 0 to  $\lceil \log_2 n \rceil$   $\lceil \log_2 n \rceil$   
no. of nodes

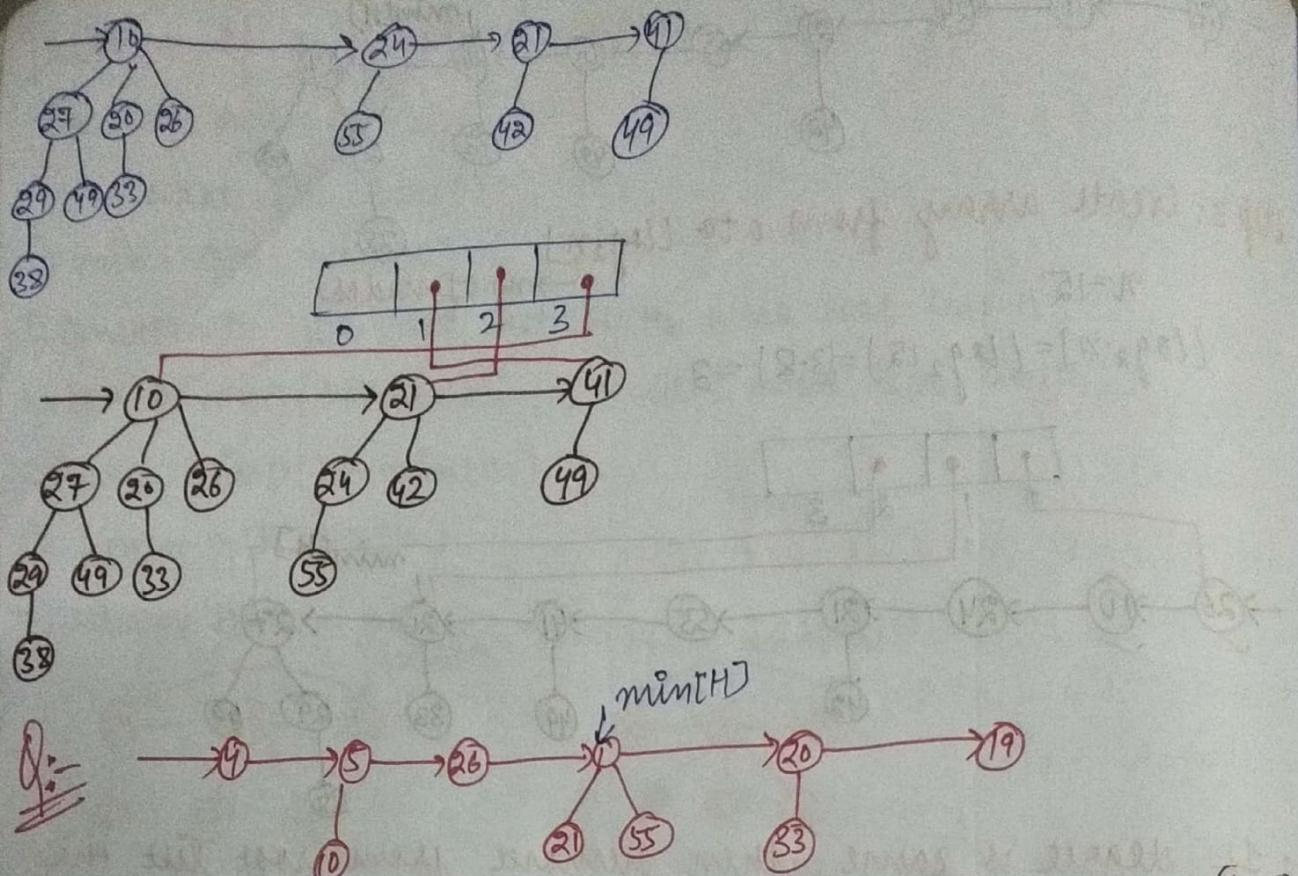
$$n=15$$

$$\lceil \log_2 15 \rceil = \lceil \log_2 15 \rceil = \lceil 3.8 \rceil = 3$$

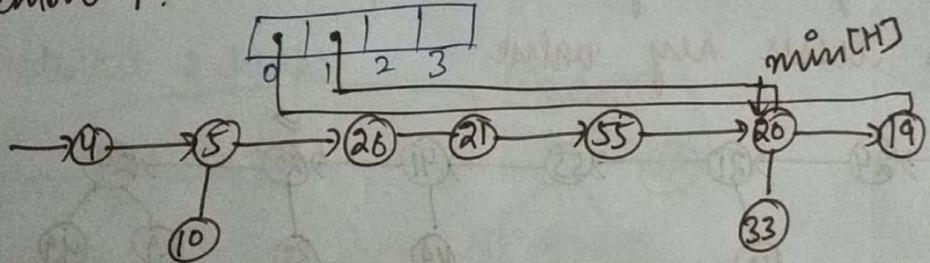


→ If degree is same, then remove from root list the item with greater key value & make it left child of item with lesser key value.

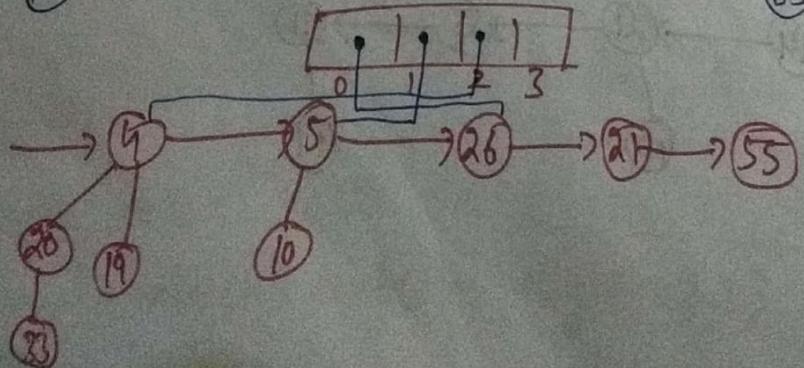
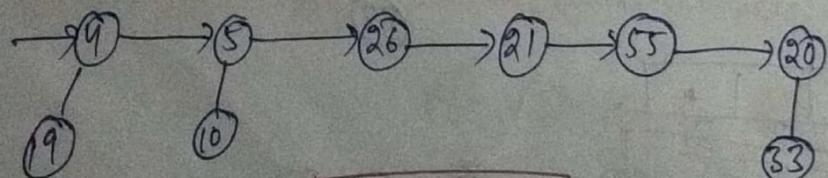
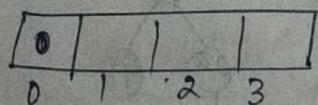


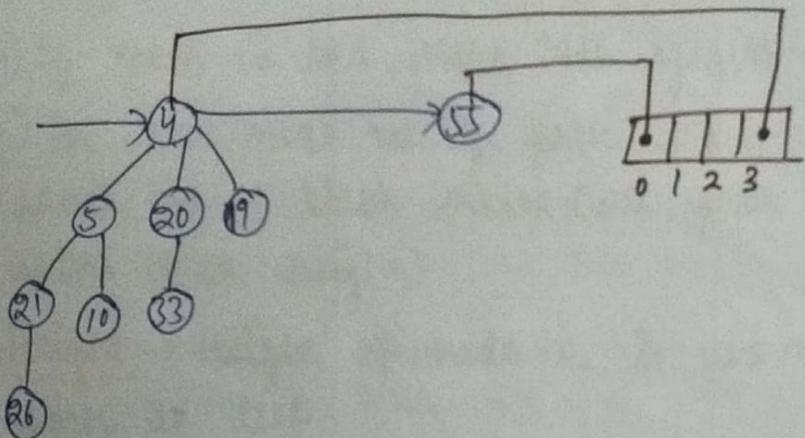
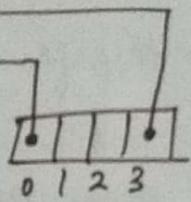
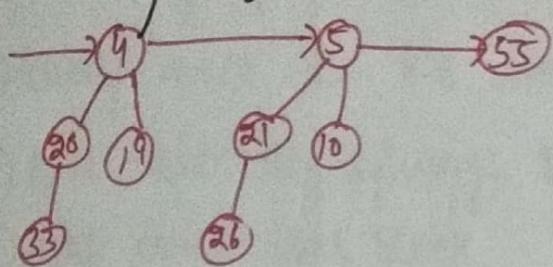
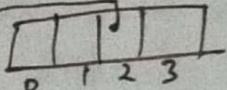
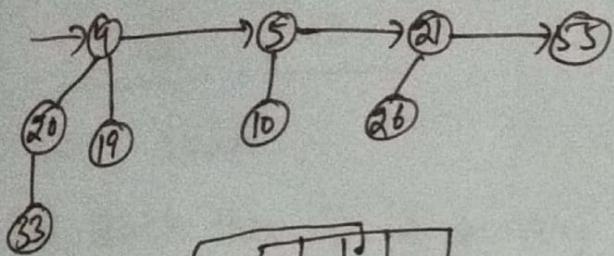


Remove 1 :-



$$0 \text{ to } \lceil \log_2 10 \rceil = 0 \text{ to } \lceil 3.2 \rceil \\ = 0 \text{ to } 3$$





## ALGORITHM:-

- 1)  $z \leftarrow \min[H]$
- 2) If  $z \neq \text{nil}$
- 3) then for each child  $x$  of  $z$
- 4) do add  $x$  to root list of  $H$
- 5)  $P(x) \leftarrow \text{nil}$
- 6) Remove  $z$  from root list
- 7) If  $z = \text{right}[x]$
- 8) then  $\min[H] \leftarrow \text{right}[x]$
- 9) CONSOLIDATE [ $H$ ]
- 10)  $m[H] \leftarrow m[H] - 1$
- 11) return  $z$

## CONSOLIDATE(H) ✓

- 1) for  $i \leftarrow 0$  to  $\lfloor \log_2 n \rfloor$
- 2) do  $A[i] \leftarrow \text{nil}$
- 3) for each node  $w$  in root list
- 4) do  $x \leftarrow w$
- 5)  $d \leftarrow \text{degree}[x]$
- 6) while  $A[d] \neq \text{nil}$
- 7) do  $y \leftarrow A[d]$
- 8)  $\text{key}[x] \leftrightarrow \text{key}[y]$
- 9) then exchange  $x \leftrightarrow y$
- 10) fib-Heap-link(H,  $y$ ,  $x$ )
- 11)  $A[d] \leftarrow \text{nil}$
- 12)  $d \leftarrow d + 1$
- 13)  $A[d] \leftarrow x$
- 14)  $\text{min}[H] \leftarrow \text{nil}$
- 15) for  $i \leftarrow 0$  to  $\lfloor \log_2 n \rfloor$
- 16) do if  $A[i] \neq \text{nil}$
- 17) then add  $A[i]$  to root list H
- 18) if  $\text{min}[H] = \text{nil}$  or  $\text{key}(A[i]) < \text{key}(\text{min}[H])$
- 19) then  $\text{min}[H] \leftarrow A[i]$

fib-Heap-link(H,  $y$ ,  $x$ )

- 1) Remove  $y$  from root list H.
- 2) Make  $y$  a child of  $x$  incrementing  $\text{degree}[x]$
- 3) make  $\text{mark}[y] \leftarrow \text{false}$

# R-B Tree

Red      Black

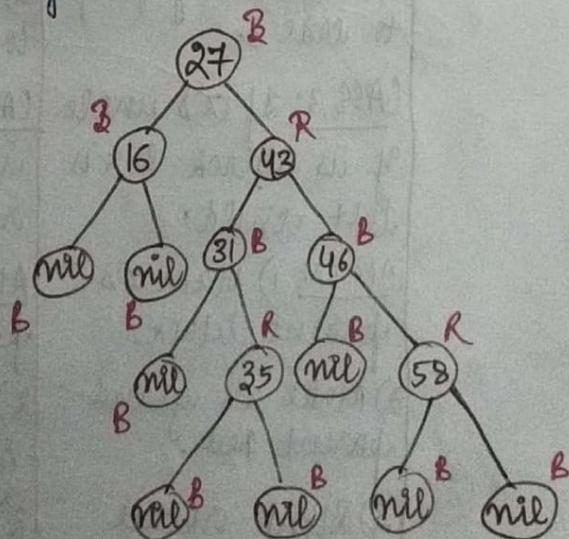
Red-black tree is a binary search tree which satisfies the following properties:-

- 1) Every node in R-B tree is either red or black.
- 2) Root node is always black.
- 3) Leaf nodes are black.
- 4) If node is red, then both of its children must be black.
- 5) For each node, every path from node to leaf contains same no. of black nodes (all paths from node to leaf have same black height).

Height → Height of node  $x$  is the no. of edges in longest path to leaf.

Black height of a node → Black height of a node  $x$  is no. of black nodes including leaf nodes on the path  $x$  to leaf but not counting  $x$ .

- Black height of a tree is black height of its root.



Black height (BH)  $bh(n) = 2$

## INSERTION IN RED-BLACK TREE

New node to be inserted is always red.

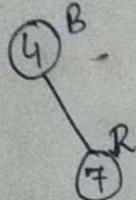
Z is root node	X is a root node		
Z's parent is black	Z's parent is red	Z's parent is right child of grandparent of Z	Z's parent is left child of grandparent of Z
3) $R \rightarrow B$ simply color it black.	 There is nothing to do by adding red to parent black	<u>Case 1:</u> If Z's uncle y is red & Z is left or right child, <u>Action:</u> 1) Make Z's parent & uncle black. 2) Make Z's grandparent red 3) Set Z's grandparent as new Z.	<u>Case 1:</u> <u>Action:</u> Same
		<u>CASE 2:</u> If Z's uncle y is black and Z is right child, <u>Action:</u> 1) Set Z's parent as new Z 2) Left rotate around Z. 3) Immediately jump to case 3.	<u>CASE 2:</u> If Z's uncle y is black & Z is left child, <u>Action:</u> 1) Set Z's parent as new Z. 2) Right rotate around Z. 3) Immediately jump to case 3.
		<u>CASE 3:</u> If Z's uncle y is black & Z is left child, <u>Action:</u> 1) Make Z's parent black. 2) Make Z's grandparent red. 3) Right rotate around Z's grandparent.	<u>CASE 3:</u> If Z's uncle y is black & Z is right child, <u>Action:</u> 1) Make Z's parent black. 2) Make Z's grandparent red. 3) Left rotate around Z's grandparent.

Q: Insert 4, 7, 12, 15, 3, 5, 14, 18, 16 into empty R-B tree.

Insert 4

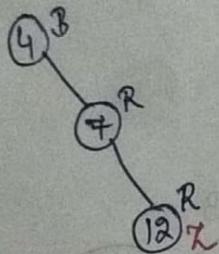
①  $(4)^R \rightarrow$  simply color it black       $(4)^B$   
as root node cannot  
be red

② Insert 7

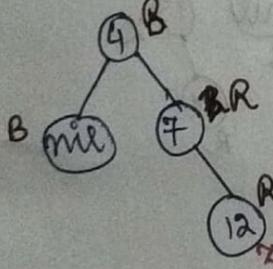


$\rightarrow$  As red can be child of black node.

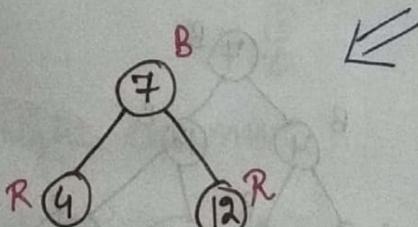
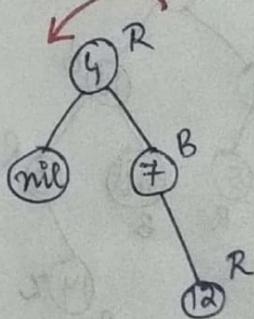
③ Insert 12



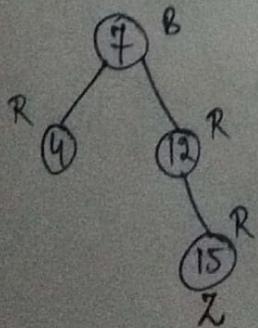
Red cannot be child of red



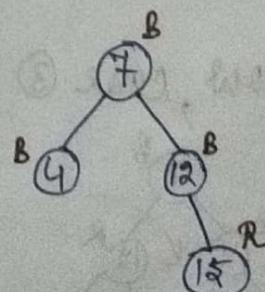
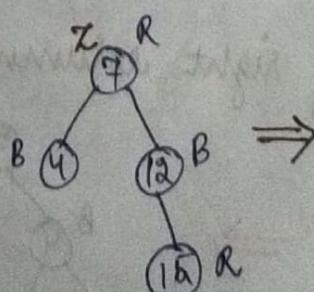
Case ③ of right column  $\Rightarrow$   
holds.



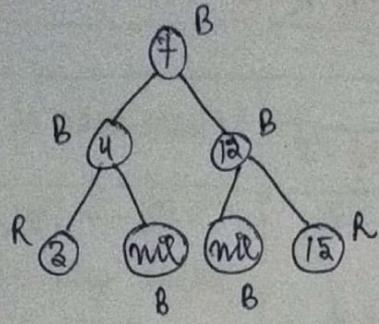
④ Insert 15



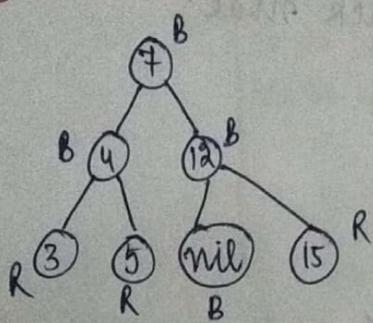
Case ① holds



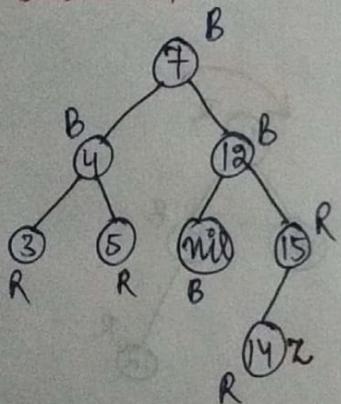
⑥ Insert 3



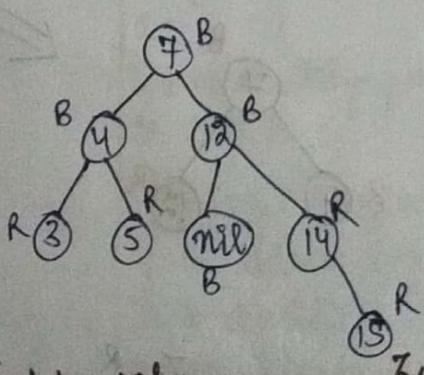
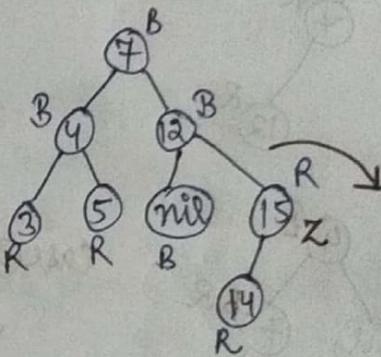
⑥ Insert 5



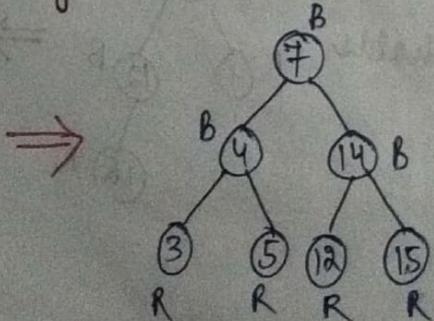
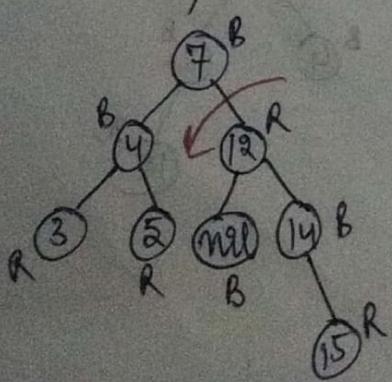
⑦ Insert 14



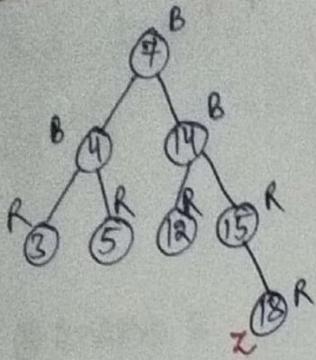
case ② of  
right column  
holds:



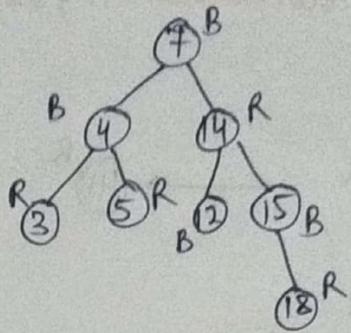
Now, case ③ of right column.



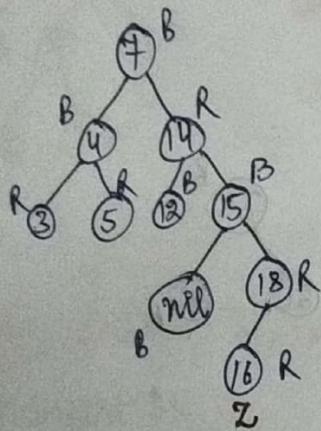
⑧ Insert 18



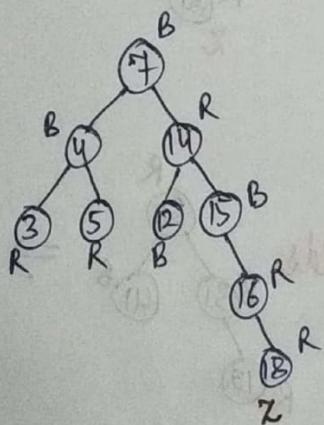
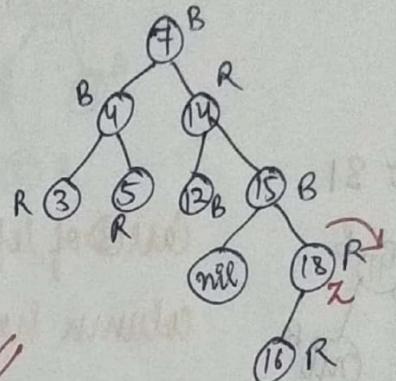
case ① holds



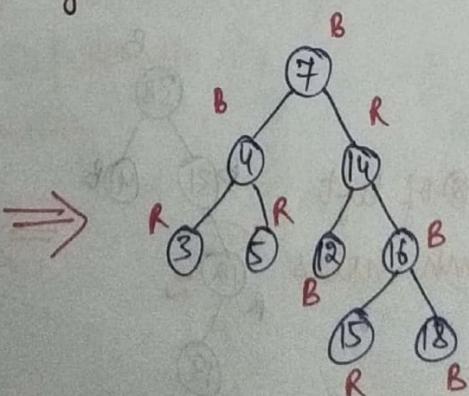
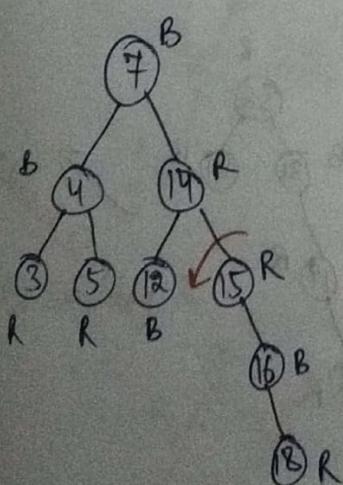
⑨ Insert 16



case ② of right column holds



Now, case ③ of right column

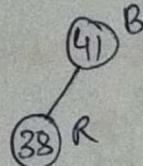


Q. Insert elements 41, 38, 31, 12, 19, 8 into empty R-B tree.

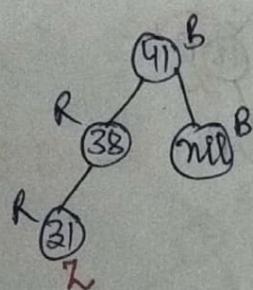
① Insert 41



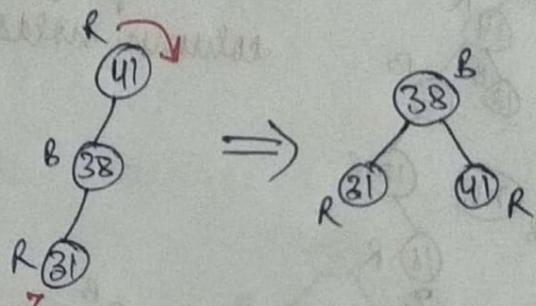
② Insert 38



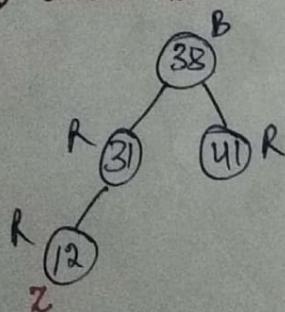
③ Insert 31



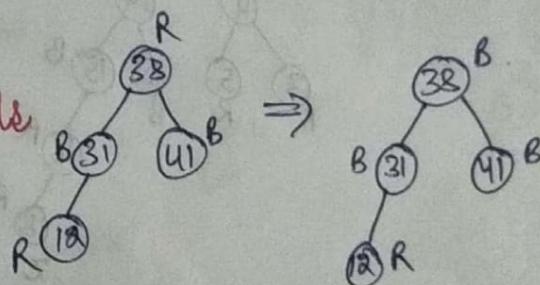
Case ③ of left column holds



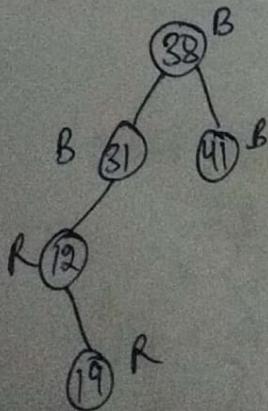
④ Insert 12



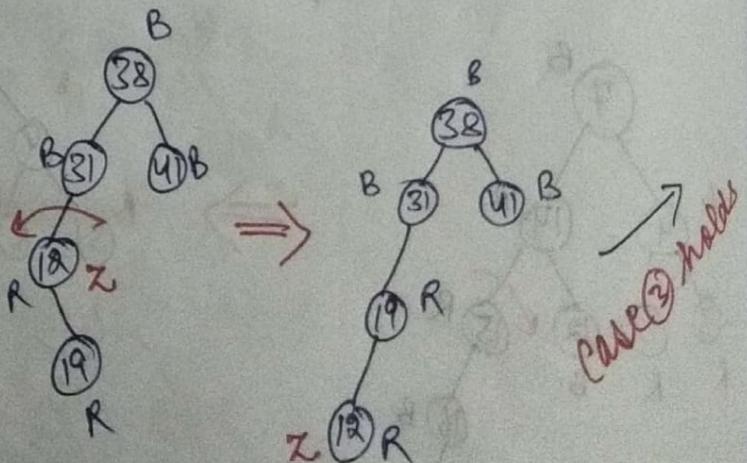
Case ① holds



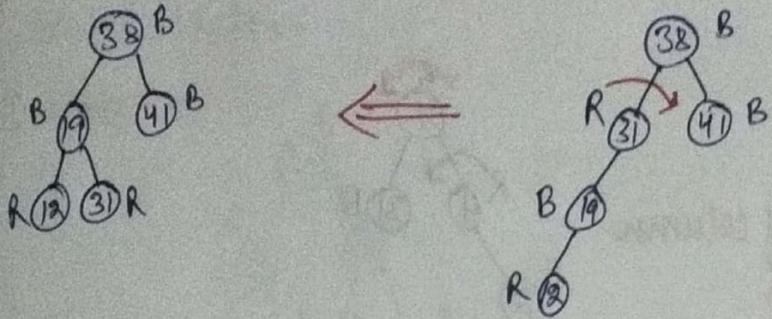
⑤ Insert 19



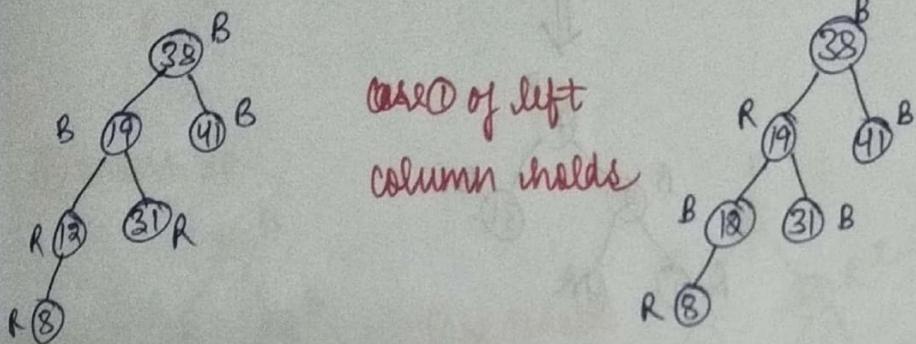
Case ② of left column holds



Case ② holds



⑥ Insert 8

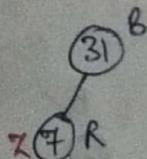


∴ Insert 31, 7, 4, 2, 1, 19, 8

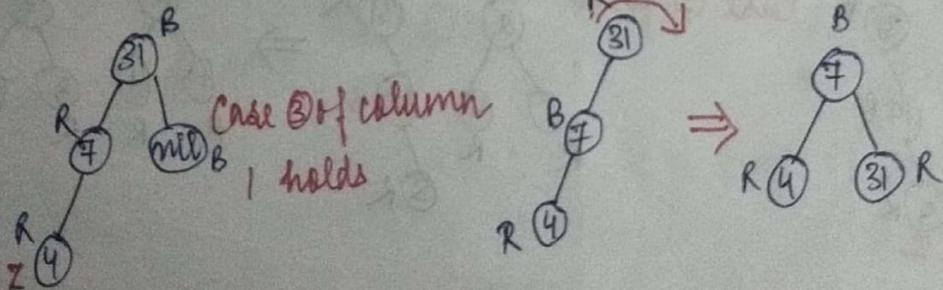
① Insert 31

$$\cancel{z} \text{ } (31)^R \longrightarrow (31)^B$$

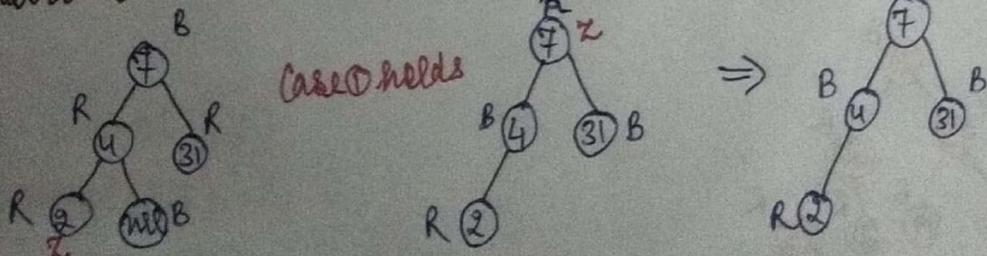
② Insert 7



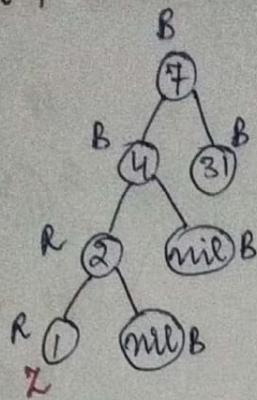
③ Insert 4



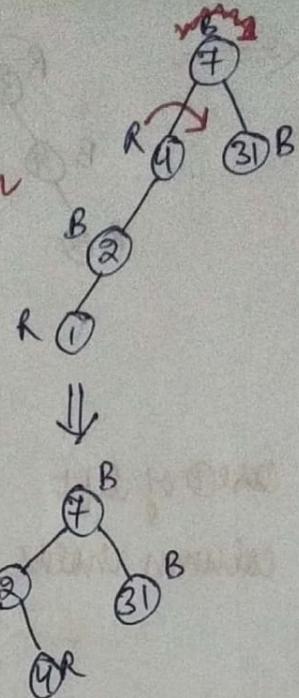
④ Insert 2



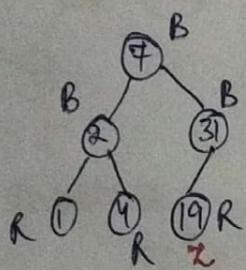
⑤ Insert 1



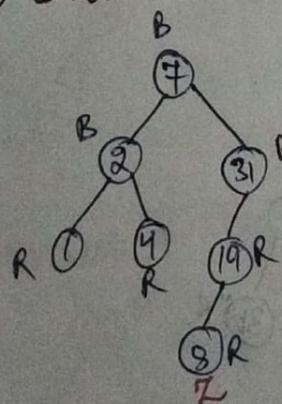
Case ② of column  
1 holds



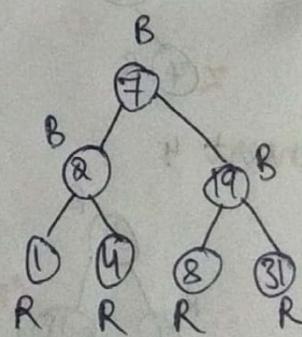
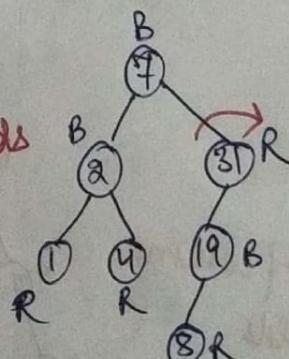
⑥ Insert 19



⑦ Insert 8

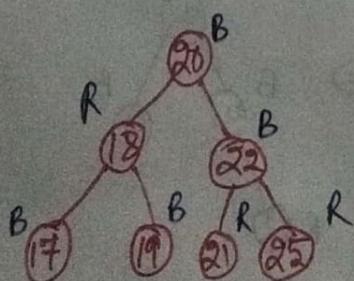


Case ③ holds



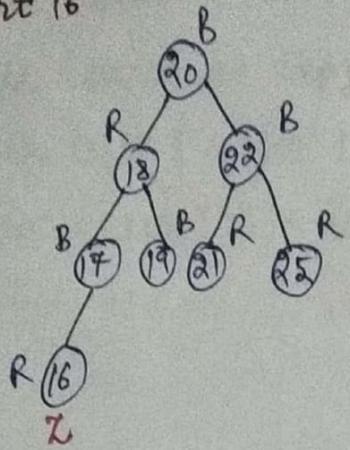
Q:

Given R-B tree

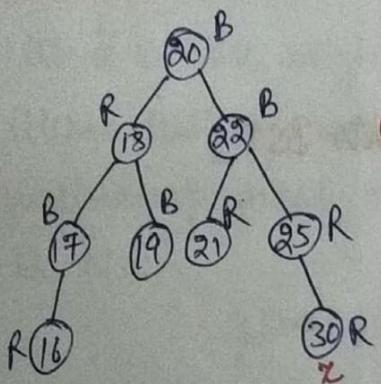


Insert 16, 30, 6

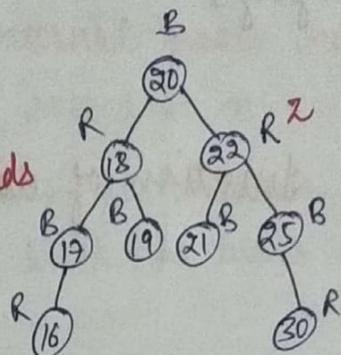
① Insert 16



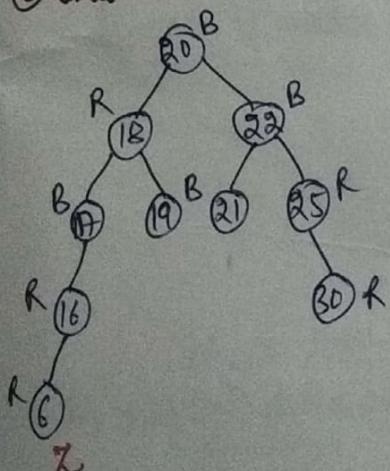
② Insert 30



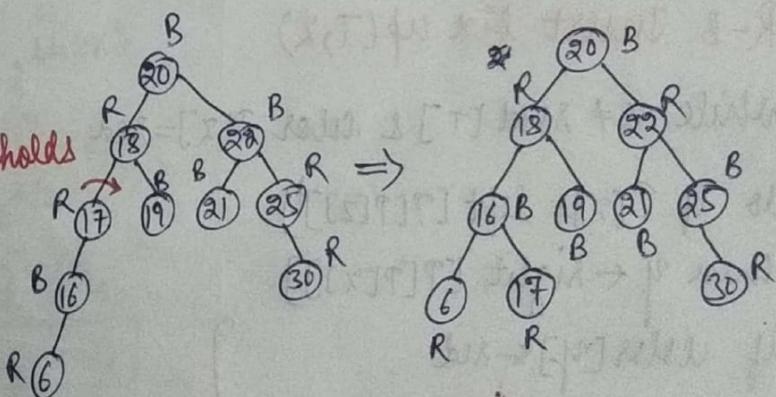
Case ① holds



③ Insert 6



Case ③ holds



## INSERTION INTO R-B TREE

Alg.:—

RB-Insert( $T, z$ )

- ①  $y \leftarrow \text{nil}[T]$
- ②  $x \leftarrow \text{root}[T]$
- ③ while  $x \neq \text{nil}[T]$       }

- ⑨ do  $y \leftarrow x$
- ⑩ if  $\text{key}[z] < \text{key}[x]$
- ⑪ then  $x \leftarrow \text{left}[x]$
- ⑫ else  $x \leftarrow \text{right}[x]$
- ⑬  $P[z] \leftarrow y$
- ⑭ if  $y = \text{nil}[T]$
- ⑮ then  $\text{root}[T] \leftarrow z$
- ⑯ else if  $\text{key}[x] < \text{key}[y]$
- ⑰ then  $\text{left}[y] \leftarrow z$
- ⑱ else  $\text{right}[y] \leftarrow z$
- ⑲  $\text{left}[z] \leftarrow \text{nil}$
- ⑳  $\text{right}[z] \leftarrow \text{nil}$
- ㉑ color[z]  $\leftarrow \text{red}$
- ㉒ RB Insert fix up( $T, z$ )

Traversal in BST

Inversion of element into BST

### R-B Insert fix up( $T, z$ )

- ① while  $z \neq \text{root}[T]$  & color  $P[z] = \text{red}$
- ② do if  $P[z] = \text{left}[P[P[z]]]$
- ③ then  $y \leftarrow \text{right}[P[P[z]]]$
- ④ if color[y]  $\leftarrow \text{red}$
- ⑤ then color[P[z]]  $\leftarrow \text{black}$
- ⑥ color[y]  $\leftarrow \text{red}$
- ⑦ color[P[P[z]]]  $\leftarrow \text{red}$
- ⑧  $x \leftarrow P[P[z]]$
- ⑨ else if  $z = \text{right}[x]$
- ⑩ then  $z \leftarrow P[x]$
- ㉑ left rotate( $T, z$ )
- ㉒ color[z]  $\leftarrow \text{black}$

Case ①

Case ②

- (13)  $\text{color } \text{PEP}[x] \leftarrow \text{red}$
- (14) right rotate ( $T, \text{PEP}[x]$ )
- (15) else (same as then clause)
- (16) with left & right exchange
- (17)  $\text{colour}(\text{root}[T]) \leftarrow \text{black}$

Case ③

Prove that R-B tree with  $n$  internal nodes has height  $h \geq 2\log(n+1)$

- let  $h$  be the height of tree rooted at  $x$ .
- At least half of nodes on any path from root to leaf not including root must be black, so black height of root must be  $h/2$ .

$$\text{bh}(x) \geq h/2$$

- A subtree rooted at any node  $x$  contains at least  $2^{\text{bh}(x)} - 1$  internal nodes.

$$\text{So, no. of nodes } (n) > 2^{\text{bh}(x)} - 1$$

$$n > 2^{h/2} - 1$$

$$n+1 > 2^{h/2}$$

$$\log(n+1) > h/2$$

$$\boxed{h \leq 2\log(n+1)}$$

$$\text{As, } \text{bh}(x) \leq h \leq 2\text{bh}(x)$$

### Deletion of a node from R-B tree :-

- Let  $x$  be a node which takes the position of deleted node.

$x$  is root node

$x$  is not root node

$x'$  color is red

$x'$  color is black

$x$  is left child of its parent |  $x$  is right child of its parent

simply color it black.

simply color it black.

Case 1:  $x$  sibling  $w$  is red.

Action:

- 1) Make  $w$  black
- 2) Make  $x$  parent red
- 3) left rotate around  $x$  parent
- 4) set right child of  $x$  parent as new  $w$ .

Case 1c  $x$  sibling  $w$  is red.

Action:

- 1) Make  $w$  black.
- 2) Make  $x$  parent red.
- 3) Right rotate around  $x$  parent.
- 4) set left child of  $x$  parent as new  $w$ .

Same

Case 2:  $x$  sibling  $w$  is black & both of  $w$ 's children are black.

Action: Make  $w$  red  
set  $x$  parent as new  $x$ .

Case 3:  $x$  sibling  $w$  is black &  $w$ 's left child is red & right child is black.

Action:

- 1) Make  $w$ 's left child black.
- 2) Make  $w$  red
- 3) Right rotate around  $w$ .
- 4) set right child of  $x$  parent as new  $w$ .

Case 3:  $x$  sibling  $w$  is black &  $w$ 's left child is black & right child is red.

Action:

- 1) Make  $w$ 's right child black.
- 2) Make  $w$  red
- 3) left rotate around  $w$ .
- 4) set left child of  $x$  parent as new  $w$ .

Case 4:  $x$  sibling  $w$  is black &  $w$ 's right child is red.

Action:

- 1) Set  $w$ 's color as  $x$  parent color.
- 2) Make  $x$  parent black.
- 3) Make  $w$ 's right child black.
- 4) left rotate around  $x$  parent.

Case 4:  $x$  sibling  $w$  is black &  $w$ 's left child is red.

Action:

- 1) Set  $w$ 's color as  $x$  parent color.
- 2) Make  $x$  parent black.
- 3) Make  $w$ 's left child black.
- 4) Right rotate around  $x$  parent.

## ALGORITHM :-

RB-Delete ( $T, x$ )

1) if left [ $x$ ] = nil [ $T$ ] or right [ $x$ ] = nil [ $T$ ]

2) then  $y \leftarrow x$

3) else  $y \leftarrow$  tree-successor ( $x$ ) inorder

4) if left [ $y$ ] ≠ nil [ $T$ ]

5) then  $x \leftarrow$  left [ $y$ ]

6) else  $x \leftarrow$  right [ $y$ ]

7)  $P[x] \leftarrow P[y]$

8) if  $P[y] =$  nil [ $T$ ]

9) then root [ $T$ ] =  $x$

10) else if  $y =$  left [ $P[y]$ ]

11) then left [ $P[y]$ ]  $\leftarrow x$

12) else right [ $P[y]$ ]  $\leftarrow x$

13) if  $y \neq x$

14) then key [ $x$ ]  $\leftarrow$  key [ $y$ ]

15) if color [ $y$ ] = black

16) then RB-Delete - fix-up ( $T, x$ )

17) return  $y$ .

RB Delete fixup ( $T, x$ )

1) while  $x \neq \text{root}[T]$  & color [ $x$ ] = black

2) do if  $x =$  left [ $P[x]$ ]

3) then  $w \leftarrow$  right [ $P[x]$ ]

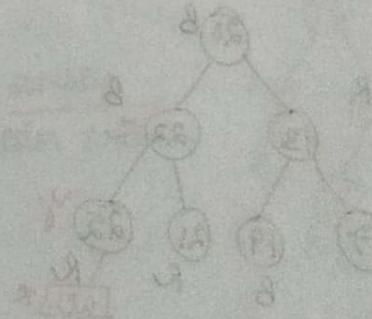
4) if color [ $w$ ] = red

5) then color [ $w$ ] = black

6) color [ $P[x]$ ]  $\leftarrow$  red

7) left-rotate ( $T, P[x]$ )

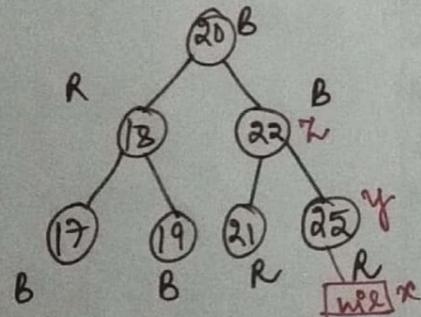
8)  $w \leftarrow$  right [ $P[x]$ ]



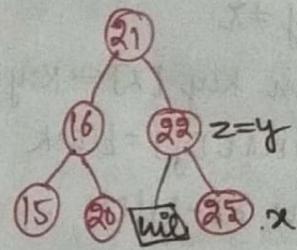
Case-1

- 9) if  $\text{color}[\text{left}[w]] = \text{black}$  &  $\text{color}[\text{right}[w]] = \text{black}$   
 10) then  $\text{color}[w] \leftarrow \text{red}$  } case-2  
 11)  $x \leftarrow P[w]$   
 12) else if  $\text{color}[\text{right}[w]] = \text{black}$   
 13) then  $\text{color}[\text{left}[w]] \leftarrow \text{black}$   
 14)  $\text{color}[w] \leftarrow \text{red}$   
 15) right-rotate  $(T, w)$   
 16)  $w \leftarrow \text{right}[P[w]]$   
 17)  $\text{color}[w] \leftarrow \text{color}[P[w]]$   
 18)  $\text{color}[P[w]] \leftarrow \text{black}$   
 19)  $\text{color}[\text{right}[w]] \leftarrow \text{black}$   
 20) left-rotate  $(T, P[w])$   
 21)  $x \leftarrow \text{right}[T]$   
 22) else as then clause with left & right exchanged.

Q:-

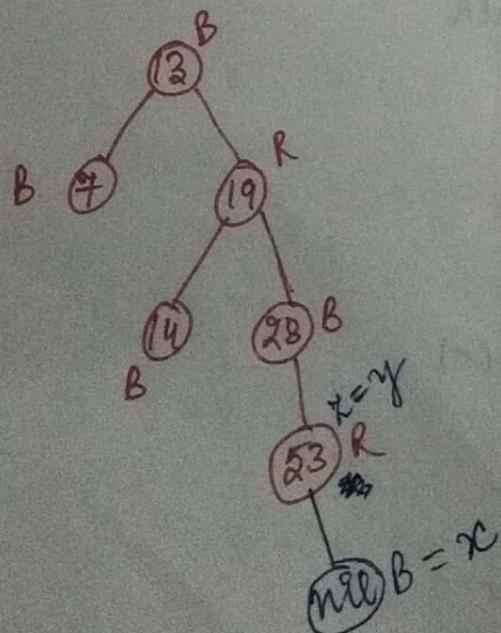


Delete 22



$x \rightarrow$  node to be deleted

Q:-

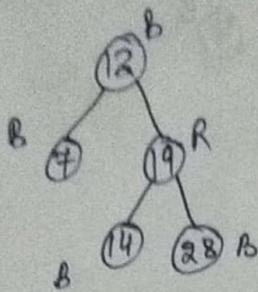


$x = \text{left child of } y$  otherwise right child

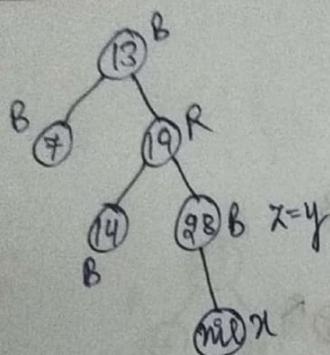
Delete 23, 28, 19, 14, 13, 7

If color of  $y$  is red, then no action is performed.

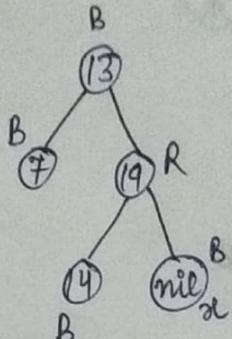
$\therefore 53$  is leaf node, so it is deleted simply



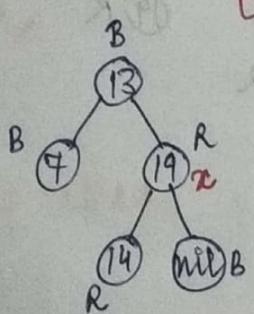
Delete 28:-



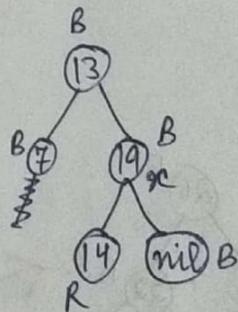
delete fixup  
called



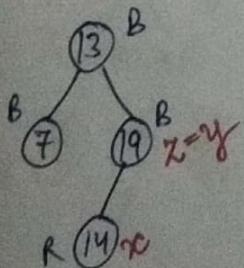
case @ holds



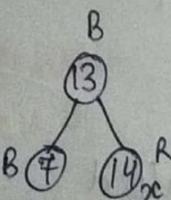
simply  
color  $x$  black



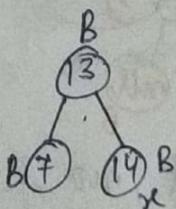
Delete 19:-



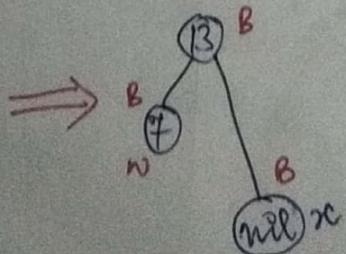
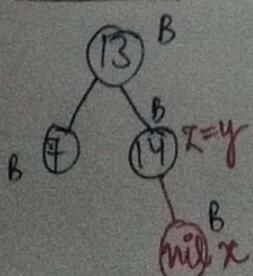
delete fixup  
called



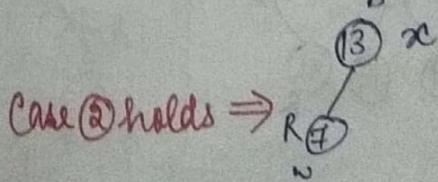
$\Rightarrow$



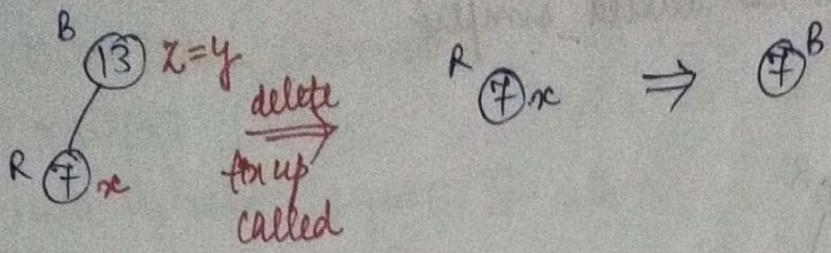
Delete 14:-



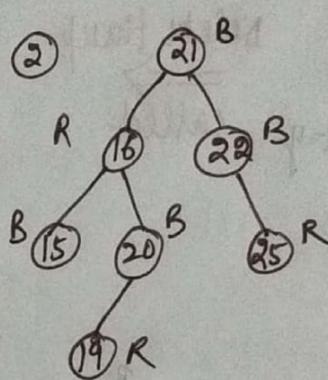
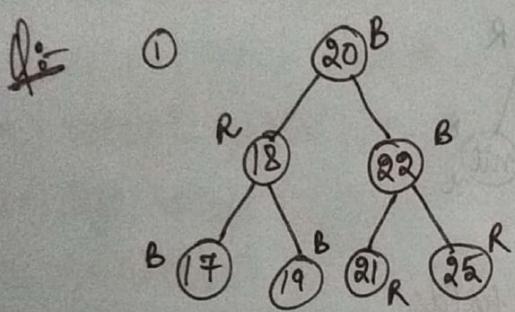
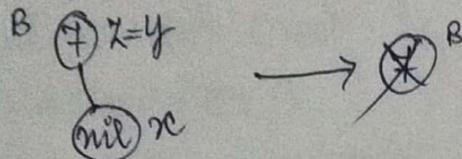
Case @ holds



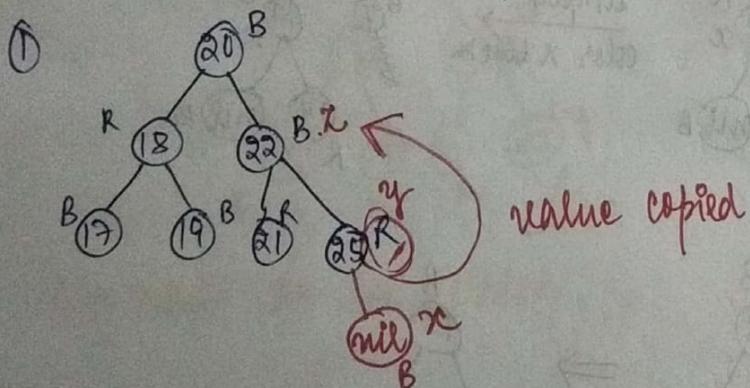
Delete 13:-



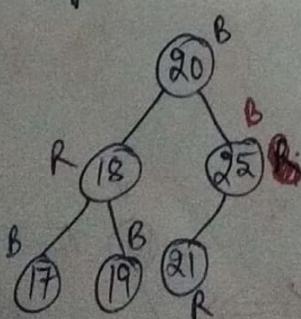
Delete 7:-

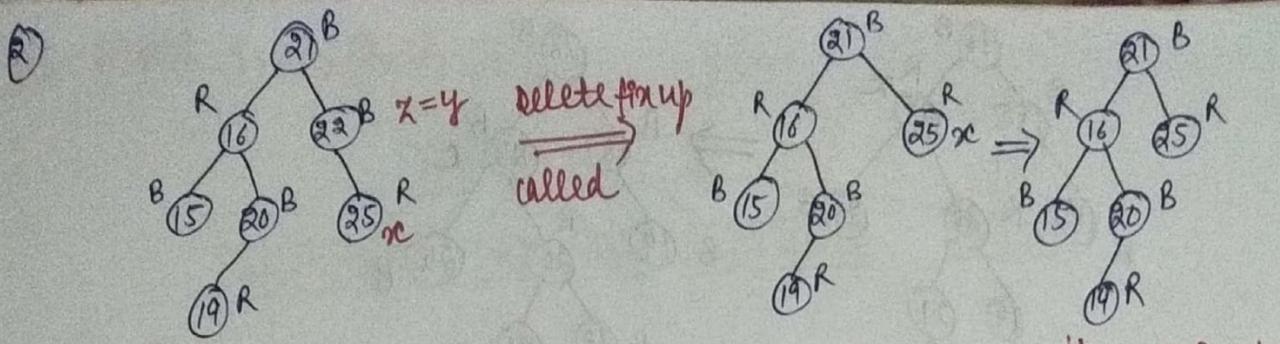


Delete 22

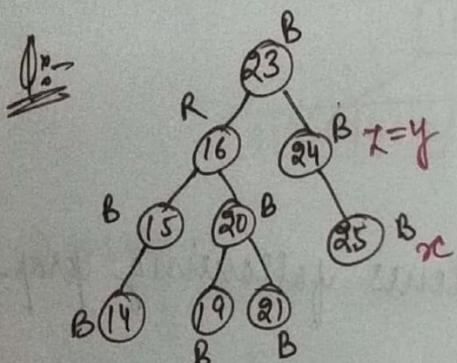
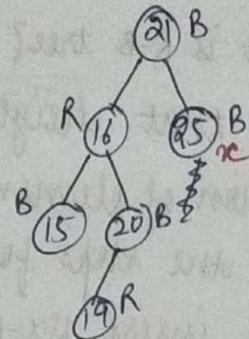


$\because y$  is red, no fix up called



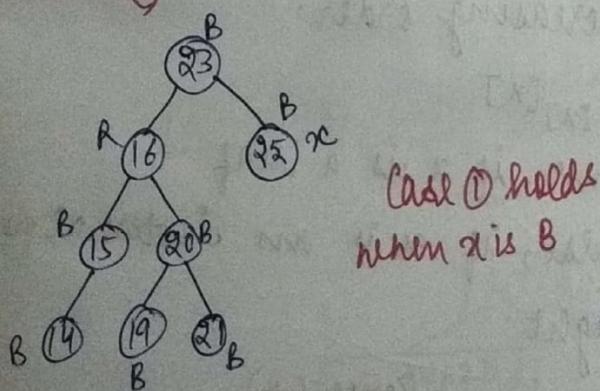


↓ case ① holds

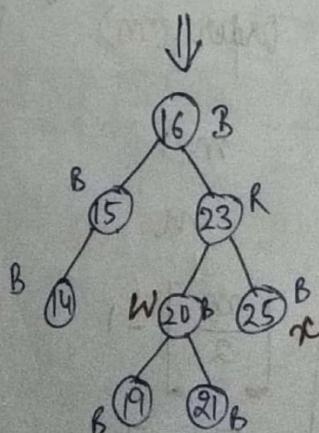
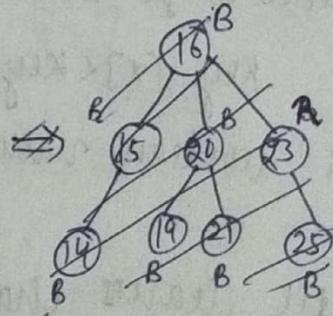
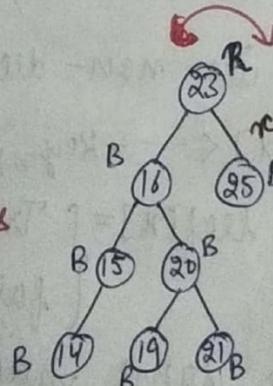


>Delete - 24

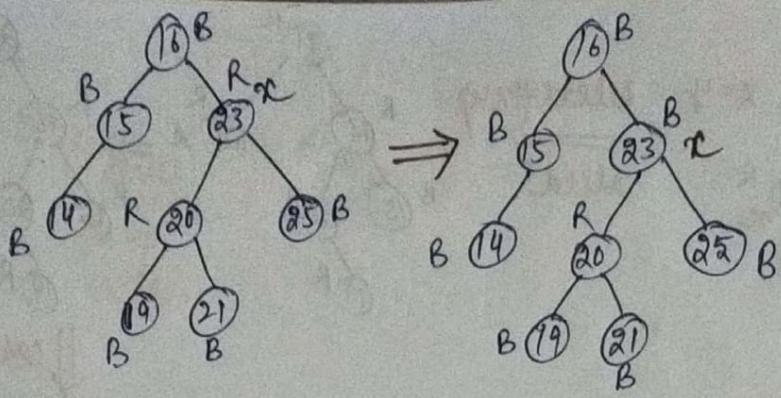
↓ delete fix up called



Case ① holds  
when x is B



case ② holds



Q:- what is R-B tree? Applications of RB tree.

Q:- Prove that height of R-B tree is  $2\log(n+1)$ .

Q:- Insertion of elements in R-B tree.

Q:- write the steps for inserting an element in R-B tree.

Q:- write insert fix-up algo. of R-B tree.

### B-Tree :-

• B-tree generalise binary search tree.

• B-tree is a rooted tree which follows following properties:-

1) No. of keys stored in node  $x$ ,  $n[x]$ .

2)  $n[x]$  keys stored in non-decreasing order.

$$\text{key}_1[x] < \text{key}_2[x] < \dots < \text{key}_{n[x]}[x]$$

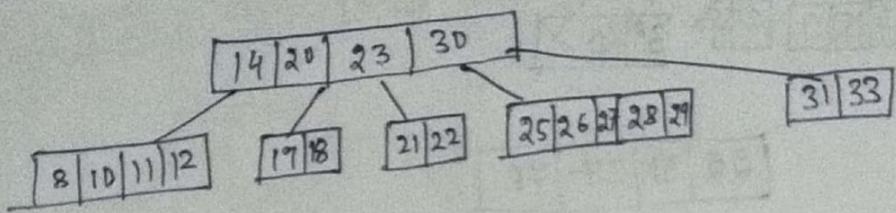
3) A boolean value  $\text{leaf}[x] = \begin{cases} \text{True}, & \text{if } x \text{ is a leaf} \\ \text{false}, & \text{if } x \text{ is an internal node} \end{cases}$

4) All leaves have same height.

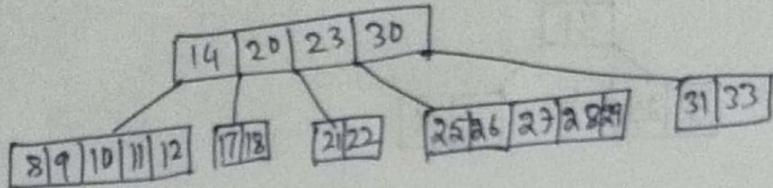
	Order ( $m$ )	Degree ( $t$ )
Maximum Key	$m-1$	$2t-1$
No. of children	$m$	$2t$
minimum key	$\left[\frac{m}{2}\right] - 1$	$t-1$
No. of children	$\lceil m/2 \rceil$	$t$

Q:- Insert 9, 24, 19, 13

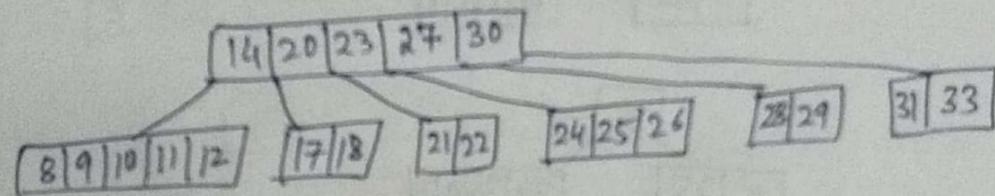
$$t=3$$



① Insert 9

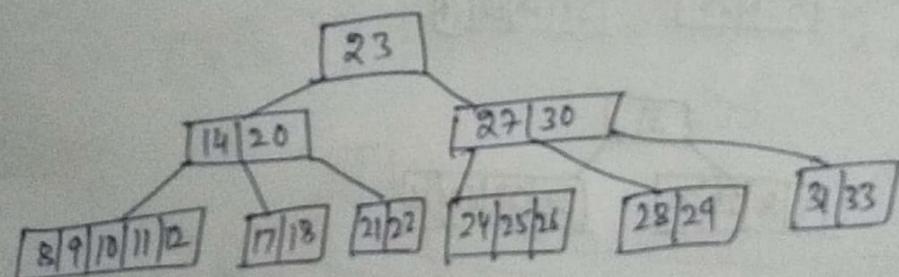


② Insert 24

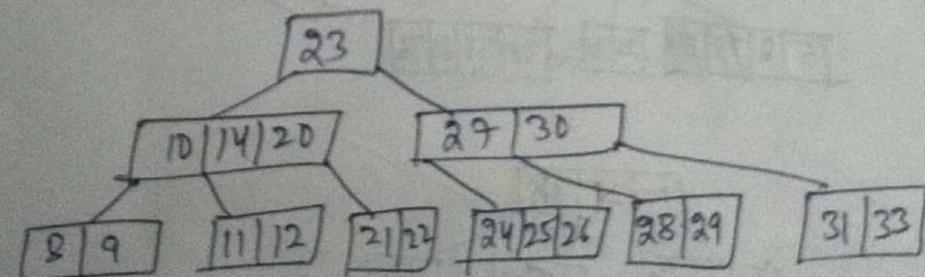


③ Insert 19

If root node is full, then first split it



④ Insert 13

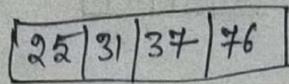


Q:- Insert 25, 31, 37, 76, 5, 60, 38, 8, 30, 15, 35, 17, 23, 53, 27, 43, 65, 48 in an empty B-tree.

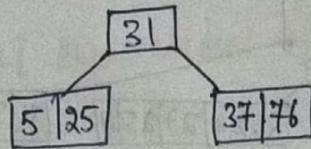
order = 5



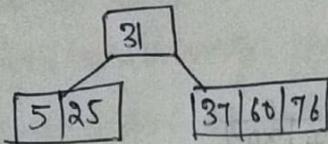
①



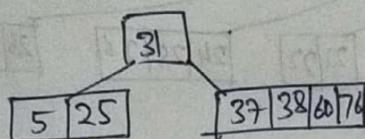
②



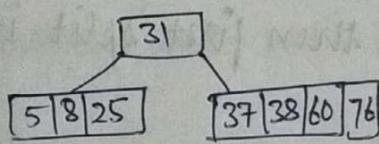
③



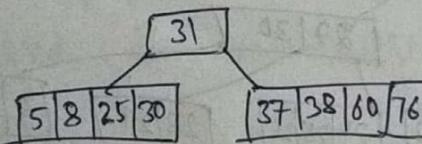
④



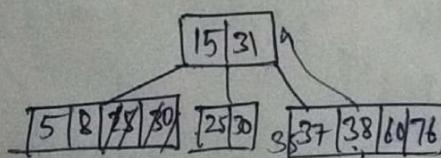
⑤



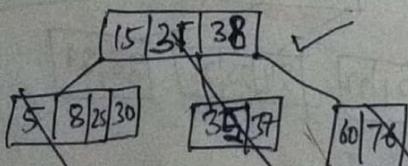
⑥



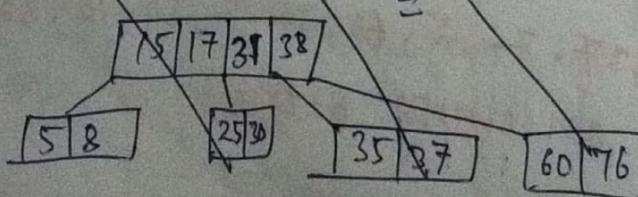
⑦

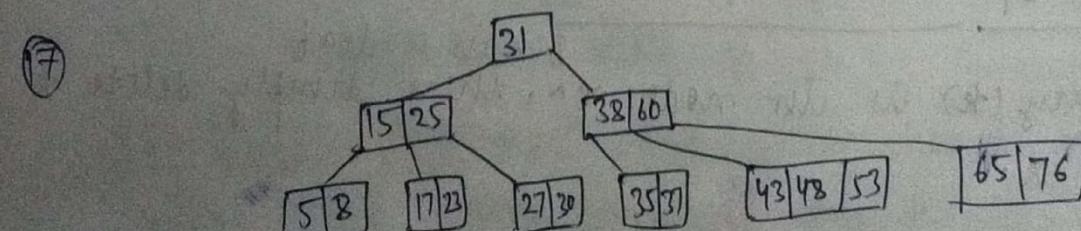
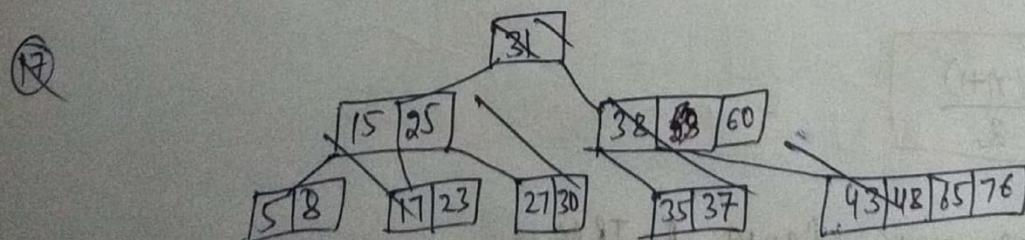
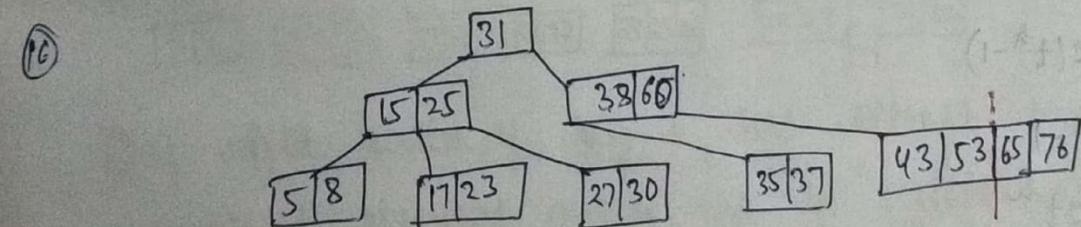
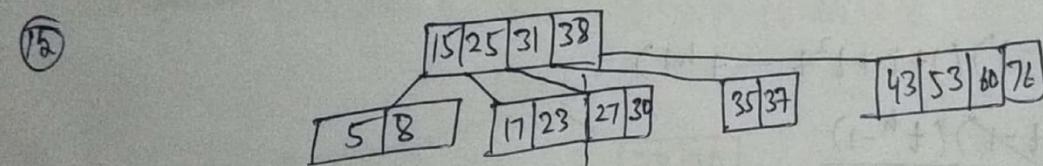
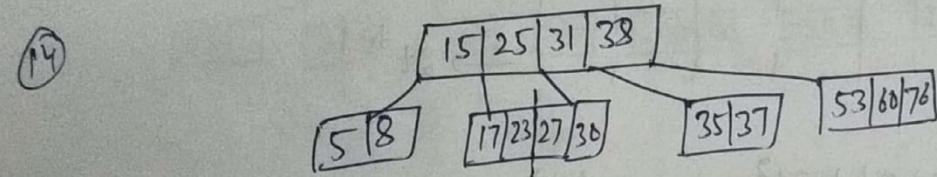
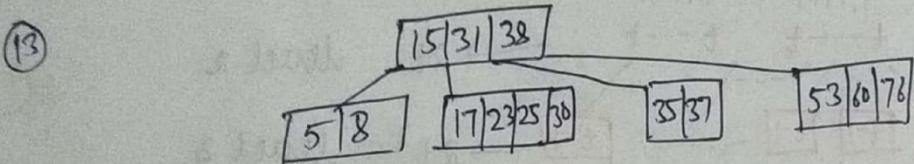
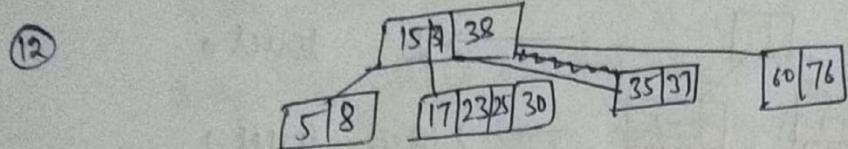
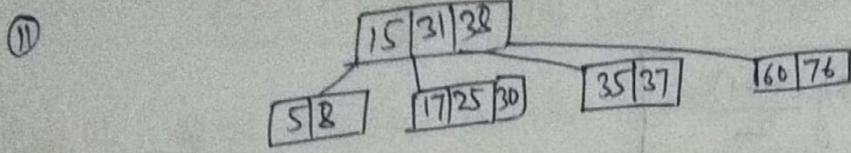
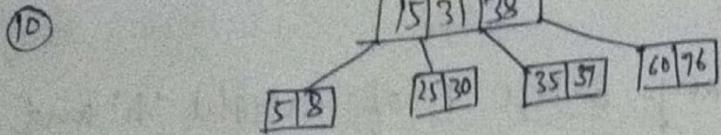


⑧



⑨

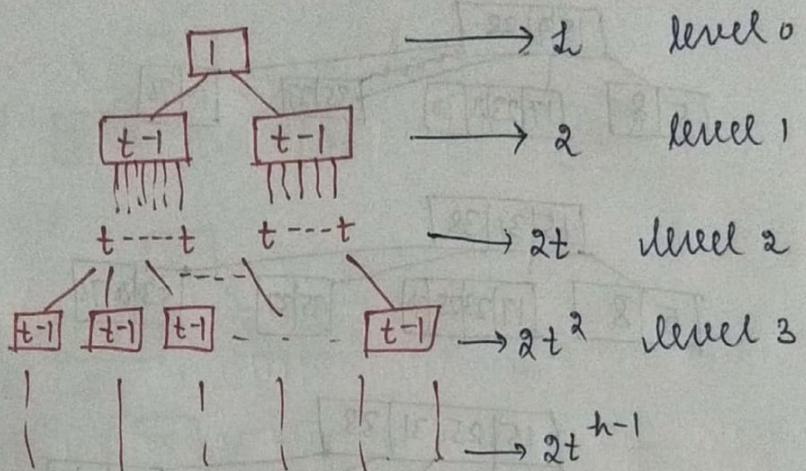




Theorem: Height of B-tree

If  $n \geq 1$ , then for any  $n$ -key B-tree  $T$  of height ' $h$ ' and minimum degree  $t \geq 2$  is:-

$$h \leq \log \frac{(n+1)}{2}$$



$$n \geq 1 + 1(t-1)(2 + 2t + 2t^2 + \dots + 2t^{h-1})$$

$$n \geq 1 + 2(t-1)(1 + t + t^2 + \dots + t^{h-1})$$

$$n \geq 1 + 2(t-1) \frac{(t^h - 1)}{(t-1)}$$

$$n \geq 1 + 2(t^h - 1)$$

$$n \geq 2t^h - 1$$

$$n+1 \geq 2t^h$$

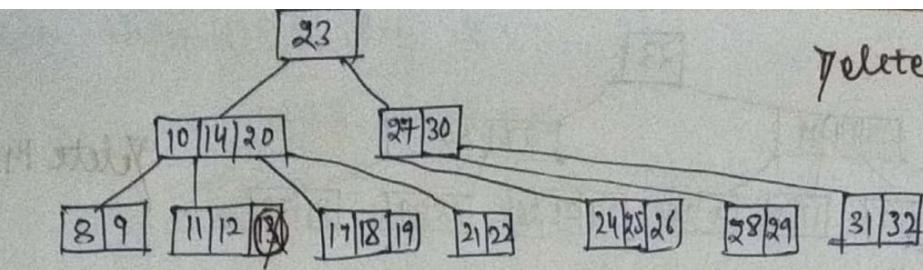
$$\frac{n+1}{2} \geq t^h$$

$$h \leq \log \frac{(n+1)}{2}$$

### DELETION OF NODE FROM B-TREE :-

Case 1: If key ( $k$ ) is in node  $x$  &  $x$  is a leaf, then simply delete  $k$  from  $x$ .

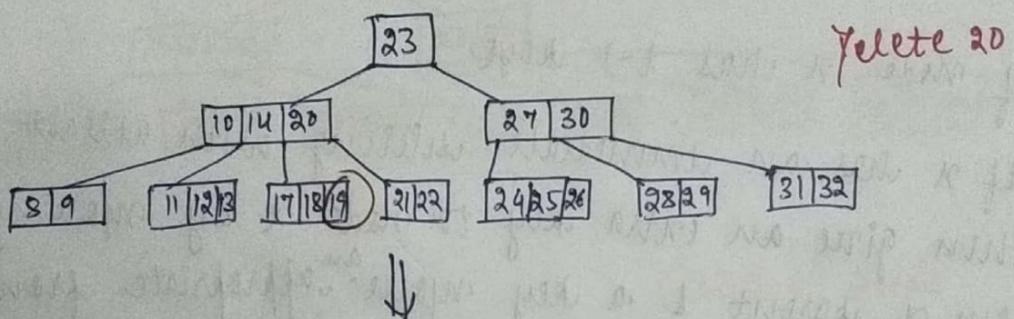
Ex5



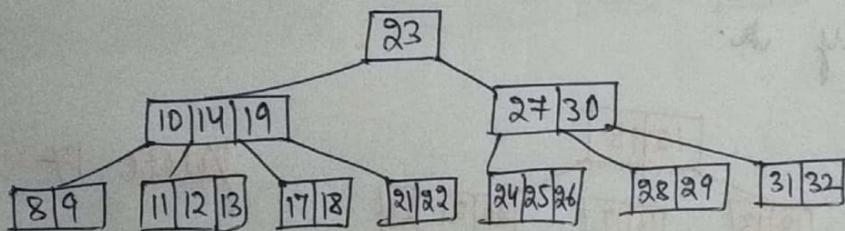
Case 2: a) If key ( $k$ ) is in node  $x$  and  $x$  is an internal node, then do the following:-

b) If left child of key  $k$  has atleast  $t$  keys, then its greatest key moves up to replace key  $k$ .

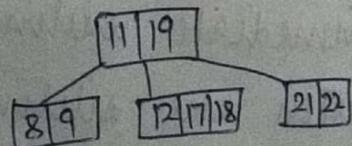
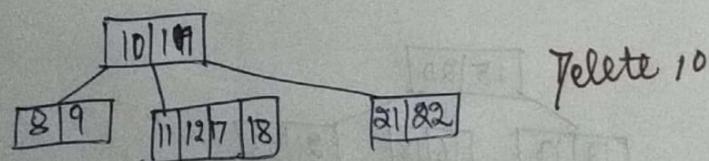
Ex6



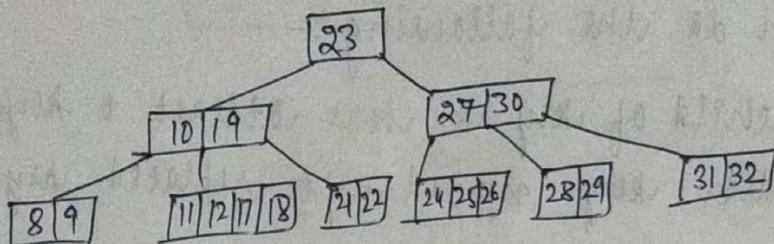
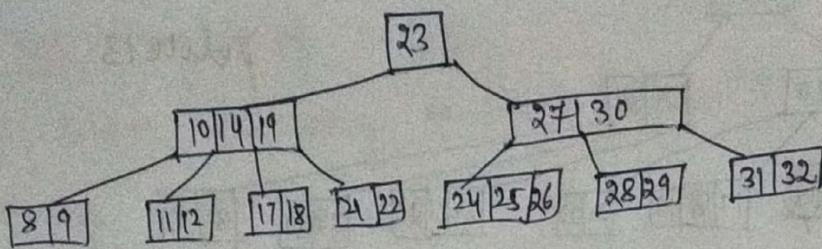
Condition true, replace 19 & delete 20



b) If right child of key  $k$  has atleast  $t$  keys, then smallest element moves up to replace key  $k$ .

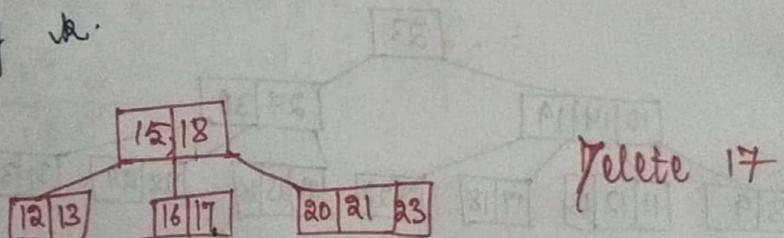


c) If neither left nor right child has  $t$  keys, then merge both children to delete key  $k$ .

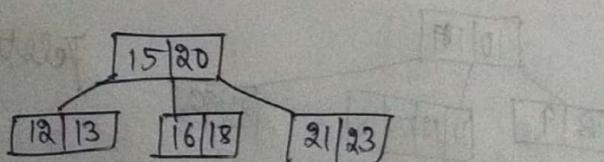


Case 3: If node  $x$  has  $t-1$  keys.

- If  $x$  has an immediate sibling, with at least  $t$  keys, then give an extra key to node  $x$  by moving a key from  $x$  parent & a key merge appropriate from  $x$  immediate <sup>left</sup> right sibling up into  $x$  parent, then delete key  $x$ .



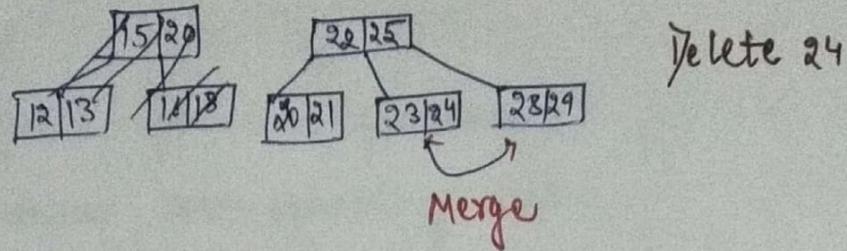
Move 18 from parent to child & smallest key from nearest sibling to parent



- If  $x$  & both of  $x$  immediate siblings have  $t-1$  keys, then merge  $x$  with one sibling which involves moving a key from  $x$  parent down into new merged node to become the median key for

that node, then delete my node.

Ex-



Q:-

