

# **Mathemagic : Exploring the Wonders of Recreational Mathematics**

*Project work submitted to the  
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*In partial fulfilment for the award of the degree of*

**Master of Science**

*by*

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## CERTIFICATE BY THE SUPERVISORS

This is to certify that the project entitled “**Mathemagic: Exploring the Wonders of Recreational Mathematics**”, which is being submitted by **SAELI SAHA** (Enrolment No. : **KU/MSC/MAT/0319/21**) in partial fulfilment for the award of the Degree of Master of Science in Mathematics is a good record of bona fide project work carried out by him/her in the Directorate of Open and Distance Learning under my supervision and guidance. The present project work has already reached the standard fulfilling the requirement of the regulation relating to the degree. The material of the project has not been submitted elsewhere for the award of any Degree or Diploma.

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It is a great pleasure and proud privilege for me to express my deep sense of gratitude to one and all whose inestimable support has made this dissertation possible.

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# DECLARATION

I certify that

- a. The work contained in the dissertation has been done by myself under the supervision of my supervisor *SAYANTAN ROY*.
- b. I have followed the guidelines provided by University of Kalyani in preparing the dissertation.
- c. Whenever I have used materials (data, theoretical analysis, and text) from other sources, I have given due credit to them by citing them in the text of the report and also by giving their details in the list of references.
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## List of Symbols and Abbreviations

### Symbols :

- N: Number of disks
- $T(n)$ : Time complexity function for n disks
- $T(n-1)$ : Time complexity for n-1 disks
- $2T(n-1)$ : Represents two sets of moves for n-1 disks
- $2^k$ : Exponential term in solving recurrence relation
- $2^n$ : Represents the number of recursive calls or moves
- $G(i)$ : i-th Gray code
- $B(i)$ : Binary representation of i
- $\oplus$ : Bitwise XOR operation
- $\gg$ : Bitwise right shift operation
- n: Order of the magic square (number of cells on one side)
- M: Magic constant or magic sum
- $n^2$ : Square of n, representing the total number of cells
- $\frac{n(n^2 + 1)}{2}$  : Formula for the magic constant

### Abbreviations :

- $T(n)$ : Time complexity function for n disks
- $G(i)$ : i-th Gray code
- $B(i)$ : Binary representation of i
- XOR: Exclusive OR operation (represented as  $\oplus$ )
- Right Shift: Bitwise right shift operation (represented as  $\gg$ )
- M: Magic constant or magic sum
- $n \times n$ : Order of the magic square, representing the grid dimensions
- N: Number of men and women
- M: Set of men
- W: Set of women



**Abstract:**

Recreational mathematics involves enjoyable puzzles and games like Sudoku and the Rubik's Cube. It covers topics like magic squares, fractals, and the Fibonacci sequence, intersecting with art through tessellations. Popularized by literature, media, and competitions like Math Olympiads, it engages a wide audience. This field deepens understanding and can lead to new mathematical discoveries.

**Keyword:**

- Number
- Fundamental
- Magic
- Algorithms
- Problem



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# Chapter 1

## Introduction

Recreational mathematics is a field of mathematics that is pursued for enjoyment rather than practical application. It involves puzzles, games, and problems that are designed to entertain and challenge the mind. Here are some key aspects of recreational mathematics:

**1.1. Puzzles and Problems:** Recreational mathematics includes a variety of puzzles and problems designed to stimulate and entertain the mind. These can range from simple brainteasers to complex challenges that require deep mathematical thinking.

- **Logic Puzzles:** These puzzles require the solver to use deductive reasoning to find a solution. Examples include Sudoku, KenKen, and logic grid puzzles. They help improve logical thinking and problem-solving skills.
- **Number Puzzles:** Puzzles involving numbers can include activities such as magic squares, where the sum of numbers in each row, column, and diagonal is the same, and the famous "Four Fours" problem, which challenges solvers to express all numbers from 1 to 100 using exactly four 4s and basic arithmetic operations.
- **Spatial Puzzles:** These puzzles involve arranging or fitting shapes into a particular configuration. Tangrams, for example, require creating a specific shape using a set of given pieces. Jigsaw puzzles and the Rubik's Cube also fall into this category, often requiring spatial visualization and strategic planning.

**1.2. Mathematical Games:** Mathematical games are games that involve significant mathematical elements, whether in their structure, strategies, or outcomes.

- **Chess and Go:** These classic board games involve deep strategic thinking and have been extensively studied in game theory. Understanding optimal strategies and move sequences can involve complex mathematical analysis.



- **Hex:** Hex is a strategy board game for two players played on a hexagonal grid. The objective is to form a connected path of one's own pieces linking opposite sides of the board. The game is rich in combinatorial game theory and strategic depth.

**1.3. Magic Squares and Numbers:** Magic squares and numbers are fascinating topics in recreational mathematics due to their intriguing properties and patterns.

- **Magic Squares:** A magic square is a grid of numbers where the sums of the numbers in each row, column, and diagonal are the same. Constructing and studying magic squares have been popular for centuries, and they can range from simple 3x3 squares to complex higher-order squares.
- **Interesting Number Properties:** Numbers like palindromic numbers (which read the same backward and forward), prime numbers (only divisible by 1 and themselves), and perfect numbers (equal to the sum of their proper divisors) offer rich ground for exploration and discovery.

**1.4. Mathematical Recreations and Curiosities:** This aspect of recreational mathematics includes exploring mathematical phenomena and curiosities that are surprising or counterintuitive.

- **Fibonacci Sequence:** This sequence, where each number is the sum of the two preceding ones, appears in many natural phenomena, from the arrangement of leaves on a stem to the branching of trees.
- **Fractals:** These are complex geometric shapes that can be split into parts, each of which is a reduced-scale copy of the whole. They are used in various fields, including computer graphics and nature modeling.
- **Irrational Numbers:** Numbers like pi ( $\pi$ ) and the golden ratio ( $\phi$ ) have unique properties and appear in various areas of mathematics, art, and nature.

**1.5. Mathematical Art and Aesthetics:** Recreational mathematics often intersects with art, producing visually appealing patterns and structures.

- **Tessellations:** These are arrangements of shapes closely fitted together in a repeated pattern without gaps or overlaps. They can be seen in the artwork of M.C. Escher and in various architectural designs.

- **Mathematical Sculptures:** Artists and mathematicians create sculptures that embody mathematical concepts, such as Möbius strips and Klein bottles, which challenge our understanding of dimensions and surfaces.
- **Fractal Art:** Computer-generated images based on fractal mathematics create intricate, self-similar patterns that are visually stunning and mathematically significant.

**1.6. Mathematical Competitions and Challenges:** Various competitions and challenges are designed to be both fun and educational, fostering a love for mathematics.

- **Math Olympiads:** These are prestigious competitions that challenge students with complex problems requiring creative and rigorous mathematical thinking. Examples include the International Mathematical Olympiad (IMO) and the American Mathematics Competitions (AMC).
- **Puzzle Contests:** Events like the World Puzzle Championship and online puzzle competitions engage participants in solving a variety of challenging puzzles.
- **Online Platforms:** Websites such as Project Euler, Brilliant.org, and various math challenge forums provide a platform for individuals to solve problems, compete, and learn from each other.

**1.7. Recreational Math in Literature and Popular Culture:** Recreational mathematics often appears in literature, movies, and popular culture, making it accessible to a broader audience.

- **Books by Martin Gardner:** Gardner's books and columns in Scientific American have introduced countless readers to the joys of mathematical puzzles and curiosities. His works remain influential in the field.
- **Popular Puzzles in Media:** Puzzles such as crossword puzzles, Sudoku, and brainteasers frequently appear in newspapers, magazines, and online platforms, engaging a wide audience.
- **Movies and TV Shows:** Films like "A Beautiful Mind" and "The Imitation Game" highlight mathematical themes and have brought mathematical concepts to the public's attention.

**1.8. Exploration and Discovery:** Recreational mathematics encourages exploration and discovery, often leading to new insights and sometimes even to new mathematical theorems and results.

- **Amateur Discoveries:** Many amateurs and enthusiasts have made significant contributions to mathematics through their explorations in recreational mathematics. For example, new types of magic squares or novel solutions to classic puzzles.
- **Deepening Understanding:** Engaging with recreational mathematics can deepen one's understanding of fundamental mathematical concepts and inspire further study and research in more formal mathematical fields.

Recreational mathematics serves as a gateway to the broader field of mathematics, making it accessible and enjoyable for people of all ages and backgrounds. It highlights the beauty and creativity inherent in mathematical thinking and fosters a lifelong appreciation for the subject.



# Chapter 2

## Mathematical Theory

**2.1 Tower of Hanoi:** The Tower of Hanoi is a classic mathematical puzzle that was invented by the French mathematician Édouard Lucas in 1883. The puzzle consists of three rods and a number of disks of different sizes, which can slide onto any rod. The puzzle starts with the disks neatly stacked in ascending order of size on one rod, with the largest disk at the bottom and the smallest at the top.

### 2.1.1. Objective

The objective of the Tower of Hanoi is to move the entire stack of disks from one rod to another, using a third rod as an auxiliary, while obeying the following rules:

- **Move Only One Disk at a Time:** You can only move one disk from the top of a stack at a time.
- **No Larger Disk on a Smaller Disk:** A disk may only be placed on an empty rod or on top of a larger disk.
- **Use of an Auxiliary Rod:** You can use the auxiliary rod to temporarily hold disks during the process of moving the stack.
- **Minimum Moves:** The puzzle can be solved in a minimum of  $2^n - 1$  moves, where  $n$  is the number of disks. This sequence of moves represents the optimal solution to the problem.
- **Significance:** The Tower of Hanoi is frequently used to teach fundamental concepts of recursion in computer science and mathematics. Its recursive solution provides a clear example of how complex problems can be solved by breaking them down into simpler sub problems. The puzzle also has applications in algorithm design and problem-solving strategies.

### 2.1.2. Gray Code

The Gray code is a binary numeral system where two successive values differ in only one bit. It has interesting applications in various fields, including error correction, digital circuit design, and the Tower of Hanoi puzzle. In the context of the Tower of Hanoi, the Gray code provides an elegant way to describe the sequence of moves needed to solve the puzzle.

- **Representation of Moves:** For a Tower of Hanoi problem with  $n$  disks, each state of the disks can be represented by a binary number of  $n$  bits. Each bit represents whether a disk is on the source rod (0) or the destination rod (1).
  - **Binary Representation:** Each disk position is represented by a binary digit. The sequence of moves corresponds to a sequence of Gray code values.
  - **Gray Code Transition:** A transition between two successive Gray code values represents moving one disk from one rod to another. This ensures that only one disk moves at a time, adhering to the rules of the Tower of Hanoi.
- **Mathematical Properties of Gray Code**
  - **Successive Differences:** In Gray code, two successive numbers differ by exactly one bit, making it suitable for applications where minimizing change is necessary, like the Tower of Hanoi.
  - **Conversion Between Binary and Gray Code:**  
 The  $i$ -th Gray code  $G(i)$  can be derived from binary code  $B(i)$  using:  

$$G(i) = B(i) \oplus (B(i) \gg 1).$$
 This property ensures that each step involves changing only one bit, which corresponds to moving only one disk in the Tower of Hanoi.
  - **Reflective Property:**  
 Gray code is symmetric and reflective. For  $n$  disks, the sequence starts at 0 and ends at  $2^n - 1$ , with each value differing by one bit from its predecessor.
- **Solving Tower of Hanoi Using Gray Code**  
 The recursive nature of the Tower of Hanoi solution can be linked to the recursive generation of Gray codes:
  - **Recursive Generation:** The  $n$ -bit Gray code sequence can be generated recursively by reflecting the  $(n - 1)$ -bit Gray code and prefixing the first half with 0 and the second half with 1.
  - **Move Sequence:**
    - Each move in the Tower of Hanoi corresponds to transitioning from one Gray code value to the next.

- This ensures the minimum number of moves ( $2^n - 1$ ) required to solve the problem is achieved.
- **Visualization:** Consider a 3-disk Tower of Hanoi:
  - Binary sequence: 000, 001, 011, 010, 110, 111, 101, 10
  - Gray code sequence: 000, 001, 011, 010, 110, 111, 101, 100
  - Each transition corresponds to moving one disk between rods.
- **Mathematical Insight**
  - **Efficiency:** Using Gray code in the Tower of Hanoi problem ensures that only one disk moves at a time, preserving the problem's constraints and achieving optimal moves.
  - **Recursion:** The recursive generation of Gray codes aligns with the recursive nature of the Tower of Hanoi solution, demonstrating how mathematical concepts can simplify complex recursive processes.
  - **Simplicity:** Gray codes provide a compact and efficient way to represent the moves in the Tower of Hanoi, reducing the complexity of understanding and implementing the solution.

### 2.1.3. Time Complexity

To analyze the mathematical theory of the time complexity of the Tower of Hanoi, we need to understand the recursive nature of the problem and how it relates to exponential growth in computational terms. Here's a detailed exploration:

- **Problem Definition:** The Tower of Hanoi problem involves moving  $n$  disks from one rod to another, using a third rod as an auxiliary, and adhering to these rules:
  - Only one disk can be moved at a time.
  - No disk can be placed on top of a smaller disk.
  - All disks start on one rod in increasing order of size, with the largest at the bottom.
- **Recursive Approach:** The recursive strategy to solve the Tower of Hanoi is as follows:
  - Move the top  $n - 1$  disks from the source rod to the auxiliary rod.
  - Move the largest disk (the  $n$ -th disk) directly to the destination rod.
  - Move the  $n - 1$  disks from the auxiliary rod to the destination rod.
- **Recurrence Relation:** The time complexity  $T(n)$  can be expressed by the recurrence relation:
 
$$T(n) = 2T(n - 1) + 1$$
  - $T(n - 1)$ : Time to move  $n - 1$  disks.
  - $2T(n - 1)$ : Two sets of moves for  $n - 1$  disks (to and from the auxiliary rod).
  - Move the largest disk directly to the destination rod.

- **Solving the Recurrence Relation:** To solve the recurrence relation, let's expand it step by step:

$$T(n) = 2T(n-1) + 1$$

$$= 2(2T(n-2) + 1) + 1$$

$$= 4T(n-2) + 2 + 1$$

$$= 4(2T(n-3) + 1) + 2 + 1$$

$$= 8T(n-3) + 4 + 2 + 1$$

⋮

$$= 2^k T(n-k) + (2^k - 1 + 2^{k-1} + \dots + 2 + 1)$$

- **Base Case:** For the base case  $T(1) = 1$ , choose  $k = n - 1$  :

$$T(n) = 2^{n-1} T(1) + (2^{n-1} + 2^{n-2} + \dots + 2 + 1)$$

$$= 2^{n-1} \times 1 + (2^{n-1} - 1)(\text{geometric series sum})$$

$$= 2^{n-1} + 2^{n-1} - 1$$

$$= 2^n - 1$$

- **Time Complexity Analysis**

The closed-form solution  $T(n) = 2^n - 1$  shows that the time complexity of the Tower of Hanoi is exponential,  $O(2^n)$ .

- **Explanation**

- **Exponential Growth:** The number of moves doubles with each additional disk, reflecting the exponential nature of the solution.
- **Recursion Depth:** The depth of the recursion is  $n$ , leading to  $2^n$  recursive calls in total.

## 2.1.4. Mathematical Insight

**Geometric Series:** The solution relies on the sum of a geometric series, where the number of moves required to solve for  $n$  disks is the sum of twice the moves for  $n - 1$  disks plus one additional move.

**Exponential Increase:** The exponential increase in the number of moves as the number of disks increases illustrates the computational challenge of the Tower of Hanoi, which becomes infeasible for large  $n$  due to the vast number of required moves.



**Recursive Decomposition:** The recursive decomposition of the problem into smaller sub problems is a hallmark of many algorithmic solutions and is critical in understanding the computational complexity involved.

**2.2. Magic Squares:** A magic square is a fascinating mathematical construct—a square grid filled with distinct integers such that the sum of the numbers in each row, column, and diagonal is the same. This constant sum is known as the “magic constant” or “magic sum.”

### 2.2.1. Properties of Magic Squares

- **Order:** The order of a magic square is the number of cells on one side of the square. For example, a 3x3 grid is called a magic square of order 3.
- **Magic Constant:** For an  $n \times n$  magic square, the magic constant  $M$  can be calculated using the formula:  $M = \frac{n(n^2+1)}{2}$   
This formula ensures that the sum of each row, column, and diagonal is equal.
- **Types of Magic Squares:**
  - **Normal Magic Square:** Uses consecutive integers starting from 1.
  - **Non-Normal Magic Square:** Uses a sequence of integers that are not necessarily consecutive.

### 2.2.2. History and Cultural Significance

Magic squares have been known and studied for centuries across various cultures, including ancient China, India, the Middle East, and Europe. They often held mystical or astrological significance and were believed to possess magical properties.

**Lo Shu Square:** The oldest recorded magic square, a 3x3 grid, is associated with Chinese mythology and dates back to around 650 BCE.

**Albrecht Dürer’s “Melencolia I”:** A famous 4x4 magic square appears in this 1514 engraving, reflecting the fascination with magic squares during the Renaissance.

### 2.2.3. Mathematical Theory of the Magic Constant

The mathematical theory of the magic constant in a magic square revolves around the unique sum that each row, column, and diagonal must achieve. This sum, known as the magic constant, is a fundamental property of magic squares and can be derived from

the properties of arithmetic sequences and geometric arrangements. Here's an in-depth analysis of the magic constant:

- **Definition of the Magic Constant**

The magic constant of a magic square is the sum that each row, column, and diagonal adds up to. For a magic square of order  $n$  (i.e., an  $n \times n$  grid), the magic constant  $M$  can be calculated using the following formula:  $M = \frac{n(n^2+1)}{2}$

This formula is derived from the properties of the integers that populate the magic square.

- **Derivation of the Magic Constant Formula**

- **Total Sum of Numbers:** In a normal magic square of order  $n$ , the numbers used are the consecutive integers from 1 to  $n^2$ .

The sum of the first  $n^2$  natural numbers is given by the formula:

$$\text{Total Sum} = \frac{n^2(n^2+1)}{2}$$

- **Distribution Across Rows, Columns, and Diagonals:** Since the magic square is an  $n \times n$  grid, it has  $n$  rows,  $n$  columns, and 2 main diagonals. The total sum must be distributed evenly across these  $n$  rows (or  $n$  columns or diagonals).
- **Magic Constant Calculation:** Therefore, the sum of each row (or column, or diagonal) is:  $M = \frac{\text{Total Sum}}{n} = \frac{n^2(n^2+1)}{2n} = \frac{n(n^2+1)}{2}$

- **Example Calculations**

- **Example: 3x3 Magic Square:**

Order  $n = 3$ .

Numbers used: 1 to 9

$$\text{Total sum: } \frac{9 \times 10}{2} = 45$$

Magic constant  $M$  :

$$M = \frac{3(9+1)}{2} = \frac{3 \times 10}{2} = 15$$

This means each row, column, and diagonal must sum to 15.

- **Example: 4x4 Magic Square:**

Order  $n = 4$

Numbers used: 1 to 16

$$\text{Total sum: } \frac{16 \times 17}{2} = 136$$

$$\text{Magic constant } M: M = \frac{4(16+1)}{2} = \frac{4 \times 17}{2} = 34$$

This means each row, column, and diagonal must sum to 34.

- **Theoretical Insights**

**Arithmetic Progression:** The magic constant reflects the balanced distribution of an arithmetic progression across the magic square grid. The numbers are arranged in such a way that each group of  $n$  numbers sums to the same value.

**Symmetry and Balance:** The requirement that every row, column, and diagonal must sum to the same value enforces a symmetry and balance within the square, illustrating a deeper geometric and arithmetic harmony.

**Generalization:** The formula for the magic constant is applicable to any normal magic square, highlighting a universal property of these structures regardless of their order.

## 2.2.4. The Mathematical Theory and Historical Magic

**Squares:** The historical study of magic squares spans centuries and cultures, with various mathematical theories and methods developed to understand and construct them. Here, we will explore the mathematical theory and historical development of magic squares, focusing on their properties, construction methods, and cultural significance.

- **Historical Overview:** Magic squares have been discovered in different parts of the world and have been associated with mystical and symbolic meanings. Some of the earliest known magic squares are found in Chinese, Indian, Arabic, and European cultures.
- - **Lo Shu Square:**

**Origin:** Ancient China, around 650 BCE.

**Description:** A  $3 \times 3$  magic square where the numbers 1 to 9 are arranged so that each row, column, and diagonal sum to 15.

**Cultural Significance:** Linked to Chinese cosmology and used in Feng Shui.
  - **Indian Magic Squares:**

**Description:** Ancient Indian mathematicians constructed magic squares of higher orders and explored their properties.

**Texts:** Magic squares are mentioned in ancient Indian texts like the “Kāvyālaṅkāra” and “Bhāskara II.”

- **Islamic and Arabic Magic Squares:**

**Origin:** Medieval Islamic mathematicians contributed to the study of magic squares.

**Description:** Used for amulets and talismans, often associated with numerology and astrology.

**Key Figures:** Al-Biruni and other scholars explored methods to construct magic squares.

- **European Renaissance:**

**Interest:** Magic squares gained popularity during the Renaissance.

**Albrecht Dürer:** His engraving “Melencolia I” (1514) features a 4x4 magic square.

**Mathematical Exploration:** Mathematicians like Euler studied the properties and construction of magic squares.

## 2.2.5. Mathematical Theory of Magic Squares

- **Properties of Magic Squares**

- **Magic Constant:**

For an  $n \times n$  magic square, the magic constant  $M$  is:

$$M = \frac{n(n^2+1)}{2}$$

This constant is the sum of numbers in any row, column, or diagonal.

- **Types of Magic Squares:**

Normal Magic Square: Uses consecutive integers starting from 1.

Non-Normal Magic Square: Uses a different sequence of integers.

- **Symmetry:**

Magic squares often exhibit symmetrical properties due to the balanced arrangement of numbers.

- **Construction Methods**

- **Odd-Order Magic Squares (Siamese Method):**

**Algorithm:** Start with 1 in the middle of the top row, then place subsequent numbers by moving up and to the right, wrapping around the square, and dropping down one row when blocked.

**Example:** For a 3x3 magic square:

8	1	6
3	5	7
4	9	2

- **Even-Order Magic Squares:**
  - Method:** Constructing even-order magic squares is more complex, often involving dividing the square into smaller sections and applying transformations.
  - Doubly Even Order ( $4k$ ):** One common method involves a systematic swapping of elements.
  - Singly Even Order ( $4k+2$ ):** Methods like the Strachey or LUX method are used.
- **Algorithms and Formulas:**
  - Bachet's Method:** Used for constructing  $4 \times 4$  squares.
  - Algorithms:** Various algorithms exist for constructing higher-order magic squares, including recursive methods and combinatorial designs.
- **Mathematical Insights**
  - Combinatorial Design:** Magic squares are related to combinatorial designs and can be studied using principles from algebra and number theory.
  - Symmetry and Group Theory:** The symmetrical nature of magic squares is connected to group theory and transformation groups.
  - Latin Squares:** Magic squares are a special case of Latin squares, where each number appears exactly once in each row and column.

## 2.3. Classical Marriage Problem

### 2.3.1. Introduction

The Classical Marriage Problem, also known as the Stable Marriage Problem, is a well-known problem in mathematics and computer science that involves finding a stable matching between two sets of elements. The problem was first introduced by David Gale and Lloyd Shapley in their 1962 paper “College Admissions and the Stability of Marriage.”

### 2.3.2. Problem Statement

The problem consists of two sets of equal size: one set of  $n$  men and one set of  $n$  women. Each individual has a ranked preference list of members from the opposite set. The goal is to pair each man with a woman such that the resulting marriages are stable.

### 2.3.3. Definition of Stability

A matching is considered stable if there are no two people of the opposite sex who would both prefer each other over their current partners. In other words, there is no pair of individuals who would both rather be with each other than their current partners, which would cause them to "break" their current engagements.

### 2.3.4. Gale-Shapley Algorithm

Gale and Shapley proposed an algorithm, known as the Deferred Acceptance Algorithm, to find a stable matching:

- **Initiation:** Each man proposes to his most preferred woman who has not yet rejected him.
- **Acceptance/Rejection:** Each woman considers all proposals she has received (if any) and tentatively accepts the one she prefers the most, rejecting the others.
- **Iteration:** The rejected men propose to their next preferred woman who has not rejected them. This process repeats until all individuals are matched.

### 2.3.5. Key Properties

- **Existence of Stable Matching:** The Gale-Shapley algorithm guarantees that a stable matching always exists for any set of preferences.
- **Optimality:** The algorithm produces a matching that is optimal for the proposing side (men in this version), meaning each man gets the best possible partner he can have in any stable matching.

- **Non-Uniqueness:** While the algorithm guarantees a stable matching, there may be multiple stable matchings possible for a given set of preferences.

### 2.3.6. Mathematical Theory of Gale-Shapley Algorithm

The Gale-Shapley algorithm, known as the Deferred Acceptance algorithm, is a fundamental solution to the Stable Marriage Problem, introduced by David Gale and Lloyd Shapley in 1962. The algorithm provides a way to find a stable matching between two equally sized sets, such as men and women, based on their preferences. Here's an analysis of the mathematical theory behind the Gale-Shapley algorithm:

- **Problem Definition:** Stable Marriage Problem: The Stable Marriage Problem involves two sets of participants,  $M = \{m_1, m_2, \dots, m_n\}$  (men) and  $W = \{w_1, w_2, \dots, w_n\}$  (women), each with preference lists ranking all members of the opposite set. The objective is to find a stable matching—a pairing of each man with one woman such that there are no blocking pairs.

**Stability:** A matching is stable if there are no pairs  $(m, w)$  such that:

Man  $m$  prefers woman  $w$  over his current partner.

Woman  $w$  prefers man  $m$  over her current partner.

Such a pair  $(m, w)$  would be a blocking pair and indicates instability.

- **Gale-Shapley Algorithm**

The Gale-Shapley algorithm finds a stable matching through an iterative process of proposals and rejections. Here's how it works:

- **Steps of the Algorithm:**

**Initialization:** All men and women are initially unengaged.

**Proposal Phase:** Each unengaged man proposes to the most preferred woman on his list who has not yet rejected him.

**Acceptance/Rejection Phase:** Each woman considers all proposals received and tentatively accepts the one she prefers the most, rejecting the rest. If she is already engaged, she compares her current partner with new proposals and retains the preferred option.

**Iteration:** Rejected men propose to the next woman on their list in subsequent rounds. This process repeats until all men are engaged.

**Termination:** The algorithm ends when no further proposals are possible, resulting in a stable matching.

- **Mathematical Properties:**

**Existence of Stable Matching:** The Gale-Shapley algorithm guarantees that a stable matching exists for any given set of preferences.

**Proposer Optimality:** The matching is optimal for the proposing side (men, in this version). Each man receives the best possible partner he could achieve in any stable matching.

**Receiver Pessimal:** The matching is pessimal for the receiving side (women, in this version), meaning each woman receives the least preferred partner she could be paired with in any stable matching.

**Termination and Convergence:** The algorithm terminates after at most  $n^2$  Proposals, where  $n$  is the number of participants in each set. Each man proposes to each woman at most once, ensuring termination in a finite number of steps.

**Lattice Structure of Stable Matchings:** The set of all stable matchings forms a lattice under the partial order defined by preferences. This means that any two stable matchings can be combined using lattice operations to form another stable matching.

- **Complexity**

The Gale-Shapley algorithm runs in  $O(n^2)$  time complexity, where  $n$  is the number of participants in each set. This efficiency makes it feasible for practical applications with large numbers of participants.

### 2.3.7. Mathematical Theory of Problem Statement

The classical stable marriage problem is a foundational problem in the mathematical theory of combinatorics and algorithm design. It involves finding a stable matching between two equally sized sets, typically referred to as men and women, based on their preferences. Here's a more detailed look at the mathematical formulation and theory behind the problem:

- **Problem Statement**

**Given:** Two disjoint sets,  $M$  and  $W$ , each containing  $n$  elements. Here,  $M$  represents a set of men  $\{m_1, m_2, \dots, m_n\}$  and  $W$  represents a set of women  $\{w_1, w_2, \dots, w_n\}$



Each man  $m \in M$  has a strict preference order over the women in  $W$ , represented by a permutation of  $W$ . Similarly, each woman  $w \in W$  has a strict preference order over the men in  $M$ .

**Objective:** Find a matching  $\mu$  between the sets  $M$  and  $W$  such that every man is paired with exactly one woman and every woman is paired with exactly one man.

- **Stability Criterion:**

A matching  $\mu$  is said to be stable if there does not exist a pair  $(m, w)$  such that:

- $m$  prefers  $w$  over his partner in  $\mu$ , and
- $w$  prefers  $m$  over her partner in  $\mu$ .

Such a pair  $(m, w)$  is called a blocking pair if both  $m$  and  $w$  would rather be with each other than their current partners in the matching  $\mu$ .

- **Mathematical Formulation :**

**Preferences:** Define  $P_m(w)$  as the rank of woman  $w$  in the preference list of man  $m$ , and  $P_w(m)$  as the rank of man  $m$  in the preference list of woman  $w$ .

**Matching Function:** A matching  $\mu$  can be viewed as a bijective function from  $M$  to  $W$ , where  $\mu(m)$  is the woman matched to man  $m$ .

**Stability Conditions:** For a matching  $\mu$  to be stable:

- For every man  $m$ , woman  $w \neq \mu(m)$ , if  
 $P_m(w) < P_m(\mu(m))$ , then  
 $P_w(\mu(w)) < P_w(m)$

- For every woman  $w$ , man  $m \neq \mu(w)$ , if  
 $P_w(m) < P_w(\mu(w))$ , then  
 $P_m(\mu(m)) < P_m(w)$ .

#### 2.3.7.4. Theoretical Insights

**Existence of Stable Matchings:** The Gale-Shapley algorithm guarantees that at least one stable matching exists for any set of preference lists. This is a fundamental result proving that stability can always be achieved.

**Optimality and Pessimality:** The matching produced by the Gale-Shapley algorithm is optimal for the proposing side (men, if men propose) and pessimal for the receiving side (women, if men propose).

**Lattice Structure of Stable Matchings:** The set of all stable matchings forms a lattice structure under a partial order defined by the men's preferences or the women's preferences. This means any two stable matchings can be combined to form a new stable matching that is optimal for both sides within certain bounds.

## **Chapter 3**

### **Application**

#### **3.1. Tower of Hanoi :**

The Tower of Hanoi is a classic problem in the field of computer science and mathematics, often used to illustrate the power of recursion. Beyond its theoretical

interest, the principles and techniques derived from the Tower of Hanoi problem have practical applications in various fields. Here are some key applications:

### 3.1.1. Algorithm Design and Recursion

**Educational Tool:** The Tower of Hanoi is commonly used to teach recursive programming techniques. The problem's recursive nature helps students and programmers understand how to break down problems into smaller, more manageable subproblems.

### 3.1.2. Computer Science

**Data Structures:** The problem can be used to illustrate the concept of stacks and how they operate with push and pop operations. Each move in the Tower of Hanoi can be thought of as pushing or popping a disk on or off a stack.

**Algorithm Analysis:** The Tower of Hanoi provides a clear example for analyzing recursive algorithms, including understanding their time complexity. The time complexity of the Tower of Hanoi problem is  $O(2^n)$ , which helps in studying exponential time algorithms.

### 3.1.3. Artificial Intelligence and Problem Solving

**State Space Representation:** The Tower of Hanoi can be represented as a state space problem, where each state represents a specific arrangement of disks on pegs, and transitions between states represent legal moves. This is useful in AI for understanding state space search algorithms and problem-solving techniques.

### 3.1.4. Cognitive Psychology

**Problem-Solving Studies:** Psychologists use the Tower of Hanoi to study human problem-solving strategies, cognitive processes, and memory. It helps in understanding how people plan, execute, and refine their strategies over multiple attempts.

### 3.1.5. Network and Systems Optimization

**Parallel Processing:** The principles from the Tower of Hanoi can be applied to optimize parallel processing tasks where tasks need to be completed in a specific sequence without interference, similar to the constraints in the Tower of Hanoi.

**Data Transfer:** The problem can be metaphorically related to data transfer processes where data needs to be moved between different storage systems in a specific order to maintain integrity and avoid loss.

### 3.1.6. Combinatorial Optimization

**Scheduling Problems:** The recursive nature and structure of the Tower of Hanoi can inspire solutions for scheduling problems, where tasks need to be scheduled in a specific sequence while optimizing for certain constraints.

### **3.1.7. Mathematical Research**

**Algorithm Development:** The problem continues to inspire research in developing more efficient algorithms for related combinatorial problems. It serves as a benchmark for testing new recursive algorithms and techniques.

## **3.2. Magic Square :**

Magic squares, which are square grids of numbers where the sums of each row, column, and diagonal are all the same, have fascinated mathematicians and mystics for centuries. Their applications extend beyond mere curiosities and puzzles into various practical and theoretical fields. Here are some key applications of magic squares:

### **3.2.1. Mathematics and Number Theory**

**Mathematical Exploration:** Magic squares are used to explore properties of numbers and algebraic identities. They serve as a basis for studying combinatorial designs and generating functions.

**Recreational Mathematics:** They provide a rich source of puzzles and problems, helping to engage and educate students and enthusiasts in mathematical thinking and problem-solving.

### **3.2.2. Cryptography**

**Encryption Schemes:** Magic squares can be used to create ciphers for encrypting messages. The arrangement of numbers can serve as a key for substitution or

transposition ciphers, where the structure of the magic square helps in scrambling the plaintext into ciphertext.

### 3.2.3. Computer Science

**Algorithm Design:** Constructing magic squares is a classic exercise in algorithm design, often involving backtracking, recursion, or heuristic search methods. These techniques are fundamental in various fields of computer science.

**Random Number Generation:** Some algorithms for generating random numbers or pseudo-random sequences incorporate magic squares to ensure certain properties and uniform distributions.

### 3.2.4. Art and Culture

**Design and Aesthetics:** Magic squares have been used in art, architecture, and design due to their symmetric and balanced properties. They appear in various cultural artifacts, from ancient manuscripts to modern art installations.

**Symbolism:** Historically, magic squares have been imbued with mystical and symbolic meanings. They have been used in talismans, religious texts, and esoteric traditions as symbols of harmony and balance.

### 3.2.5. Games and Puzzles

**Recreational Puzzles:** Magic squares are the basis for numerous puzzles and games. Solving magic square puzzles helps develop logical thinking and problem-solving skills.

### 3.2.6. Mathematical Research and Education

**Teaching Tool:** Magic squares are used in classrooms to teach concepts of arithmetic, algebra, and combinatorics. They provide a hands-on way to explore properties of numbers and mathematical relationships.

**Research in Combinatorics:** The study of magic squares intersects with combinatorial design, graph theory, and matrix theory. Research into their properties can lead to new insights and applications in these areas.

### 3.2.7. Historical and Cultural Significance

**Historical Documents:** Magic squares appear in historical mathematical texts and have been studied by mathematicians such as Euler. They provide a glimpse into the mathematical understanding and cultural contexts of different periods and civilizations.

**Cultural Artifacts:** Examples include the 4x4 magic square on the Alhambra Palace walls in Spain and the magic square in the famous painting “Melancholia I” by Albrecht Dürer.

### 3.2.8. Optimization and Operations Research

**Scheduling Problems:** Magic squares can sometimes inspire solutions to scheduling and resource allocation problems, where a balanced and symmetric distribution of tasks or resources is required.

**Pattern Recognition:** Algorithms based on magic square properties can be employed in pattern recognition and error detection in various computational tasks.

## 3.3 Classical Marriage Problem:

The classical stable marriage problem has practical applications in various fields, often involving matching agents in a way that optimizes their preferences while ensuring stability. Here are some of the key applications:

### 3.3.1. Medical Residency Matching

**National Resident Matching Program (NRMP):** One of the most notable applications is in matching medical residents to hospitals. In the United States, the NRMP uses an algorithm based on the Gale-Shapley stable marriage algorithm to assign residents to residency programs. This ensures that the matchings are stable and that as many participants as possible get their preferred outcomes.

### 3.3.2. School Admissions

**School Choice Programs:** Many school districts use stable matching algorithms to assign students to public schools. The algorithms consider both student preferences for schools and school preferences for students to create a stable and fair assignment.

### 3.3.3. College Admissions

**University Placements:** Similar algorithms are used in various countries to match students with universities or specific programs within universities. This ensures that students are placed into programs based on their preferences and the preferences of the institutions.

### 3.3.4. Job Market Matching

**Labor Markets:** Some job markets use matching algorithms to pair employers with job seekers. This can be particularly useful in highly specialized fields where both employers and employees have strong preferences.

### **3.3.5. Organ Transplantation**

**Kidney Exchange Programs:** In situations where patients need kidney transplants, stable matching algorithms can be used to find optimal pairings of donors and recipients. This can involve complex chains of donations to ensure that as many patients as possible receive compatible organs.

### **3.3.6. Dating and Marriage Platforms**

**Online Dating Services:** Some online dating platforms use algorithms inspired by the stable marriage problem to suggest potential matches to users. These algorithms aim to find stable pairings where the likelihood of mutual interest is maximized.

### **3.3.7. Assignment Problems in Logistics**

**Task Assignment:** In operations research, stable matching algorithms can be applied to assign tasks to agents or machines. This ensures that tasks are allocated efficiently and according to the preferences of the agents or the capabilities of the machines.

### **3.3.8. Team Formation**

**Project Teams:** In educational settings or workplaces, stable matching algorithms can help form project teams by considering the preferences of both team members and the needs of the projects.

### **3.3.9. Economic Market Design**

**Market Clearing Mechanisms:** The principles of the stable marriage problem are applied in the design of various markets to ensure that supply and demand are matched in a stable way. This is relevant in financial markets, housing markets, and other economic systems.

### **3.3.10. Auction Design**

**Bidding Processes:** In certain auction designs, stable matching principles are used to pair buyers and sellers in a way that respects their preferences and leads to mutually beneficial outcomes.

# Chapter 4

## Discussion and Observation:

### 4.1. The Tower of Hanoi:

The Tower of Hanoi is a classic puzzle that serves as a foundational example in the study of algorithms and recursion. It involves moving a set of disks from one peg to another while adhering to specific rules, and it illustrates several important concepts in computer science and mathematical problem-solving.

#### Key Points:

##### 4.1.1. Problem Structure:

**Rules:** Only one disk can be moved at a time, and no disk may be placed on top of a smaller disk. The goal is to transfer the entire stack from one peg to another using a third peg as an auxiliary.

**Recursive Solution:** The problem can be efficiently solved using a recursive approach, dividing the task into smaller subproblems.

##### 4.1.2. Algorithm:

**Recursive Approach:** The minimum number of moves required to solve the puzzle with  $n$  disks is  $2^n - 1$ . The recursive algorithm involves moving  $n - 1$  disks to the



auxiliary peg, then moving the largest disk to the target peg, and finally moving the  $n - 1$  disks to the target peg.

#### **4.1.3. Mathematical Significance:**

**Algorithm Design:** The Tower of Hanoi provides a clear example of recursive algorithms and their efficiency. It also helps in understanding algorithm complexity and optimization.

**Educational Value:** It is frequently used to teach principles of recursion, problem decomposition, and algorithm analysis.

#### **4.1.4 Practical Implications:**

**Data Structures:** The problem illustrates concepts applicable to data structures and algorithmic problem-solving, such as stack operations and recursive function calls.

**Theoretical Insights:** It offers insights into computational theory, particularly in understanding the limits of algorithmic solutions and problem-solving strategies.

## 4.2. The Magic Square:

Magic squares are a fascinating mathematical concept characterized by their ability to arrange numbers in a square grid so that the sums of numbers in all rows, columns, and diagonals are equal. This constant sum is known as the magic constant.

### Key Points:

#### 4.2.1.Fundamental Properties:

Magic squares are defined by their unique property of having equal sums for rows, columns, and diagonals. The smallest non-trivial magic square is the 3x3 grid, which uses the numbers 1 through 9.

#### 4.2.2.Construction Methods:

**Siamese Method:** Used for constructing odd-ordered magic squares.

**Other Algorithms:** Various methods are employed for even-ordered magic squares and larger dimensions.

#### 4.2.3.Applications and Significance:

**Mathematical Interest:** Magic squares are a key topic in recreational mathematics and number theory, providing insight into combinatorial designs and numerical relationships.

**Cultural and Historical Relevance:** They have been used symbolically and artistically in various cultures, reflecting their historical and aesthetic value.

#### 4.2.4.Challenges and Extensions:

**Complexity:** Constructing magic squares of higher orders can be complex, and verifying their properties requires significant computational effort.

**Extended Concepts:** Magic squares lead to further explorations, such as magic cubes and hypercubes, expanding their mathematical and theoretical implications.

## 4.3. The Classic Marriage Problem:

The classic marriage problem, exemplified by the stable marriage problem, presents a fundamental challenge in combinatorial optimization and algorithm design. By focusing on pairing two equally sized groups based on mutual preferences, the problem highlights the importance of achieving stability in matchings.

### Key Points:

#### 4.3.1. Stable Matching:

The core objective is to ensure stability, meaning no two individuals would prefer each other over their current partners. This stability is essential in various real-world applications where reliable and consistent pairings are needed.

#### 4.3.2. Gale-Shapley Algorithm:

The Gale-Shapley algorithm provides an efficient solution, guaranteeing a stable match with  $O(n^2)$  complexity. This algorithm favors the proposing group (e.g., men), ensuring they achieve the best possible outcome in any stable matching.

#### 4.3.3. Applications and Implications:

The principles of the classic marriage problem apply to practical scenarios such as job placements, student admissions, and organ transplant matching. The algorithm's efficiency and stability make it a valuable tool in these areas.

#### 4.3.4. Trade-offs:

While the Gale-Shapley algorithm ensures stability, it does not necessarily guarantee fairness for all participants. The proposing side benefits more from the solution, highlighting a trade-off between stability and optimality for both groups.



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