

We presented a list of capabilities that should be provided by the DBMS software to the DBA, database designers, and end users to help them design, administer, and use a database. Then we gave a brief historical perspective on the evolution of database applications. We pointed out the recent rapid growth of the amounts and types of data that must be stored in databases, and we discussed the emergence of new systems for handling “big data” applications. Finally, we discussed the overhead costs of using a DBMS and discussed some situations in which it may not be advantageous to use one.

## Review Questions

- 1.1. Define the following terms: *data*, *database*, *DBMS*, *database system*, *database catalog*, *program-data independence*, *user view*, *DBA*, *end user*, *canned transaction*, *deductive database system*, *persistent object*, *meta-data*, and *transaction-processing application*.
- 1.2. What four main types of actions involve databases? Briefly discuss each.
- 1.3. Discuss the main characteristics of the database approach and how it differs from traditional file systems.
- 1.4. What are the responsibilities of the DBA and the database designers?
- 1.5. What are the different types of database end users? Discuss the main activities of each.
- 1.6. Discuss the capabilities that should be provided by a DBMS.
- 1.7. Discuss the differences between database systems and information retrieval systems.

## Exercises

- 1.8. Identify some informal queries and update operations that you would expect to apply to the database shown in Figure 1.2.
- 1.9. What is the difference between controlled and uncontrolled redundancy? Illustrate with examples.
- 1.10. Specify all the relationships among the records of the database shown in Figure 1.2.
- 1.11. Give some additional views that may be needed by other user groups for the database shown in Figure 1.2.
- 1.12. Cite some examples of integrity constraints that you think can apply to the database shown in Figure 1.2.
- 1.13. Give examples of systems in which it may make sense to use traditional file processing instead of a database approach.

Finally, we classified DBMSs according to several criteria: data model, number of users, number of sites, types of access paths, and cost. We discussed the availability of DBMSs and additional modules—from no cost in the form of open source software to configurations that annually cost millions to maintain. We also pointed out the variety of licensing arrangements for DBMS and related products. The main classification of DBMSs is based on the data model. We briefly discussed the main data models used in current commercial DBMSs.

## Review Questions

- 2.1. Define the following terms: *data model*, *database schema*, *database state*, *internal schema*, *conceptual schema*, *external schema*, *data independence*, *DDL*, *DML*, *SDL*, *VDL*, *query language*, *host language*, *data sublanguage*, *database utility*, *catalog*, *client/server architecture*, *three-tier architecture*, and *n-tier architecture*.
- 2.2. Discuss the main categories of data models. What are the basic differences among the relational model, the object model, and the XML model?
- 2.3. What is the difference between a database schema and a database state?
- 2.4. Describe the three-schema architecture. Why do we need mappings among schema levels? How do different schema definition languages support this architecture?
- 2.5. What is the difference between logical data independence and physical data independence? Which one is harder to achieve? Why?
- 2.6. What is the difference between procedural and nonprocedural DMLs?
- 2.7. Discuss the different types of user-friendly interfaces and the types of users who typically use each.
- 2.8. With what other computer system software does a DBMS interact?
- 2.9. What is the difference between the two-tier and three-tier client/server architectures?
- 2.10. Discuss some types of database utilities and tools and their functions.
- 2.11. What is the additional functionality incorporated in  $n$ -tier architecture ( $n > 3$ )?

## Exercises

- 2.12. Think of different users for the database shown in Figure 1.2. What types of applications would each user need? To which user category would each belong, and what type of interface would each need?

- 2.13. Choose a database application with which you are familiar. Design a schema and show a sample database for that application, using the notation of Figures 1.2 and 2.1. What types of additional information and constraints would you like to represent in the schema? Think of several users of your database, and design a view for each.
- 2.14. If you were designing a Web-based system to make airline reservations and sell airline tickets, which DBMS architecture would you choose from Section 2.5? Why? Why would the other architectures not be a good choice?
- 2.15. Consider Figure 2.1. In addition to constraints relating the values of columns in one table to columns in another table, there are also constraints that impose restrictions on values in a column or a combination of columns within a table. One such constraint dictates that a column or a group of columns must be unique across all rows in the table. For example, in the STUDENT table, the Student\_number column must be unique (to prevent two different students from having the same Student\_number). Identify the column or the group of columns in the other tables that must be unique across all rows in the table.

## Selected Bibliography

Many database textbooks, including Date (2004), Silberschatz et al. (2011), Ramakrishnan and Gehrke (2003), Garcia-Molina et al. (2002, 2009), and Abiteboul et al. (1995), provide a discussion of the various database concepts presented here. Tsichritzis and Lochovsky (1982) is an early textbook on data models. Tsichritzis and Klug (1978) and Jardine (1977) present the three-schema architecture, which was first suggested in the DBTG CODASYL report (1971) and later in an American National Standards Institute (ANSI) report (1975). An in-depth analysis of the relational data model and some of its possible extensions is given in Codd (1990). The proposed standard for object-oriented databases is described in Cattell et al. (2000). Many documents describing XML are available on the Web, such as XML (2005).

Examples of database utilities are the ETI Connect, Analyze and Transform tools (<http://www.eti.com>) and the database administration tool, DBArtisan, from Embarcadero Technologies (<http://www.embarcadero.com>).

## Review Questions

- 3.1. Discuss the role of a high-level data model in the database design process.
- 3.2. List the various cases where use of a NULL value would be appropriate.
- 3.3. Define the following terms: *entity*, *attribute*, *attribute value*, *relationship instance*, *composite attribute*, *multivalued attribute*, *derived attribute*, *complex attribute*, *key attribute*, and *value set (domain)*.
- 3.4. What is an entity type? What is an entity set? Explain the differences among an entity, an entity type, and an entity set.
- 3.5. Explain the difference between an attribute and a value set.
- 3.6. What is a relationship type? Explain the differences among a relationship instance, a relationship type, and a relationship set.
- 3.7. What is a participation role? When is it necessary to use role names in the description of relationship types?
- 3.8. Describe the two alternatives for specifying structural constraints on relationship types. What are the advantages and disadvantages of each?
- 3.9. Under what conditions can an attribute of a binary relationship type be migrated to become an attribute of one of the participating entity types?
- 3.10. When we think of relationships as attributes, what are the value sets of these attributes? What class of data models is based on this concept?
- 3.11. What is meant by a recursive relationship type? Give some examples of recursive relationship types.
- 3.12. When is the concept of a weak entity used in data modeling? Define the terms *owner entity type*, *weak entity type*, *identifying relationship type*, and *partial key*.
- 3.13. Can an identifying relationship of a weak entity type be of a degree greater than two? Give examples to illustrate your answer.
- 3.14. Discuss the conventions for displaying an ER schema as an ER diagram.
- 3.15. Discuss the naming conventions used for ER schema diagrams.

## Exercises

- 3.16. Which combinations of attributes have to be unique for each individual SECTION entity in the UNIVERSITY database shown in Figure 3.20 to enforce each of the following miniworld constraints:
  - a. During a particular semester and year, only one section can use a particular classroom at a particular DaysTime value.

- b. During a particular semester and year, an instructor can teach only one section at a particular DaysTime value.
- c. During a particular semester and year, the section numbers for sections offered for the same course must all be different.

Can you think of any other similar constraints?

- 3.17.** Composite and multivalued attributes can be nested to any number of levels. Suppose we want to design an attribute for a STUDENT entity type to keep track of previous college education. Such an attribute will have one entry for each college previously attended, and each such entry will be composed of college name, start and end dates, degree entries (degrees awarded at that college, if any), and transcript entries (courses completed at that college, if any). Each degree entry contains the degree name and the month and year the degree was awarded, and each transcript entry contains a course name, semester, year, and grade. Design an attribute to hold this information. Use the conventions in Figure 3.5.
- 3.18.** Show an alternative design for the attribute described in Exercise 3.17 that uses only entity types (including weak entity types, if needed) and relationship types.
- 3.19.** Consider the ER diagram in Figure 3.21, which shows a simplified schema for an airline reservations system. Extract from the ER diagram the requirements and constraints that produced this schema. Try to be as precise as possible in your requirements and constraints specification.
- 3.20.** In Chapters 1 and 2, we discussed the database environment and database users. We can consider many entity types to describe such an environment, such as DBMS, stored database, DBA, and catalog/data dictionary. Try to specify all the entity types that can fully describe a database system and its environment; then specify the relationship types among them, and draw an ER diagram to describe such a general database environment.
- 3.21.** Design an ER schema for keeping track of information about votes taken in the U.S. House of Representatives during the current two-year congressional session. The database needs to keep track of each U.S. STATE's Name (e.g., 'Texas', 'New York', 'California') and include the Region of the state (whose domain is {'Northeast', 'Midwest', 'Southeast', 'Southwest', 'West'}). Each CONGRESS\_PERSON in the House of Representatives is described by his or her Name, plus the District represented, the Start\_date when the congressperson was first elected, and the political Party to which he or she belongs (whose domain is {'Republican', 'Democrat', 'Independent', 'Other'}). The database keeps track of each BILL (i.e., proposed law), including the Bill\_name, the Date\_of\_vote on the bill, whether the bill Passed\_or\_failed (whose domain is {'Yes', 'No'}), and the Sponsor (the congressperson(s) who sponsored—that is, proposed—the bill). The database also keeps track of how each congressperson voted on each bill (domain