

Chapter 7. Functions as First-Class Objects

I have never considered Python to be heavily influenced by functional languages, no matter what people say or think. I was much more familiar with imperative languages such as C and Algol 68 and although I had made functions first-class objects, I didn't view Python as a functional programming language.

— Guido van Rossum, Python BDFL¹

Functions in Python are first-class objects. Programming language researchers define a “first-class object” as a program entity that can be:

- Created at runtime
- Assigned to a variable or element in a data structure
- Passed as an argument to a function
- Returned as the result of a function

Integers, strings, and dictionaries are other examples of first-class objects in Python—nothing fancy here. Having functions as first-class objects is an essential feature of functional languages, such as Clojure, Elixir, and Haskell. However, first-class functions are so useful that they've been adopted by popular languages like JavaScript, Go, and Java (since JDK 8), none of which claim to be “functional languages.”

This chapter and most of Part III explore the practical applications of treating functions as objects.

TIP

The term “first-class functions” is widely used as shorthand for “functions as first-class objects.” It's not ideal because it implies an “elite” among functions. In Python, all functions are first-class.

What's New in This Chapter

The section [“The Nine Flavors of Callable Objects”](#) was titled “The Seven Flavors of Callable Objects” in the first edition of this book. The new callables are native coroutines and asynchronous generators, introduced in Python 3.5 and 3.6, respectively. Both are covered in [Chapter 21](#), but they are mentioned here along with the other callables for completeness.

[“Positional-Only Parameters”](#) is a new section, covering a feature added in Python 3.8.

I moved the discussion of runtime access to function annotations to [“Reading Type Hints at Runtime”](#). When I wrote the first edition, [PEP 484—Type Hints](#) was still under consideration, and people used annotations in different ways. Since Python 3.5, annotations should conform to PEP 484. Therefore, the best place to cover them is when discussing type hints.

NOTE

The first edition of this book had sections about the introspection of function objects that were too low-level and distracted from the main subject of this chapter. I merged those sections into a post titled [“Introspection of Function Parameters” at *fluentpython.com*](#).

Now let’s see why Python functions are full-fledged objects.

Treating a Function Like an Object

The console session in [Example 7-1](#) shows that Python functions are objects. Here we create a function, call it, read its `__doc__` attribute, and check that the function object itself is an instance of the `function` class.

Example 7-1. Create and test a function, then read its `__doc__` and check its type

```
>>> def factorial(n): ❶
...     """returns n!"""
...     return 1 if n < 2 else n * factorial(n - 1)
...
>>> factorial(42)
1405006117752879898543142606244511569936384000000000
>>> factorial.__doc__ ❷
```

```
'returns n!'  
>>> type(factorial) ❸  
<class 'function'>
```

❶ This is a console session, so we’re creating a function at “runtime.”

❷ `__doc__` is one of several attributes of function objects.

❸ `factorial` is an instance of the `function` class.

The `__doc__` attribute is used to generate the help text of an object. In the Python console, the command `help(factorial)` will display a screen like [Figure 7-1](#).

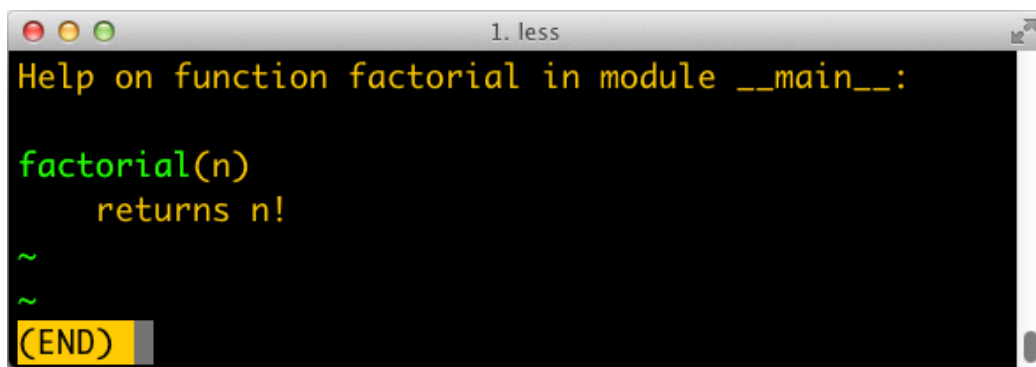


Figure 7-1. Help screen for `factorial`; the text is built from the `__doc__` attribute of the function.

[Example 7-2](#) shows the “first class” nature of a function object. We can assign it a variable `fact` and call it through that name. We can also pass `factorial` as an argument to the [map](#) function. Calling `map(function, iterable)` returns an iterable where each item is the result of calling the first argument (a function) to successive elements of the second argument (an iterable), `range(10)` in this example.

Example 7-2. Use `factorial` through a different name, and pass `factorial` as an argument

```
>>> fact = factorial  
>>> fact  
<function factorial at 0x...>  
>>> fact(5)  
120  
>>> map(factorial, range(11))  
<map object at 0x...>
```

```
>>> list(map(factorial, range(11)))
[1, 1, 2, 6, 24, 120, 720, 5040, 40320, 362880, 3628800]
```

Having first-class functions enables programming in a functional style. One of the hallmarks of [functional programming](#) is the use of higher-order functions, our next topic.

Higher-Order Functions

A function that takes a function as an argument or returns a function as the result is a *higher-order function*. One example is `map`, shown in [Example 7-2](#). Another is the built-in function `sorted`: the optional `key` argument lets you provide a function to be applied to each item for sorting, as we saw in [“list.sort Versus the sorted Built-In”](#). For example, to sort a list of words by length, pass the `len` function as the `key`, as in [Example 7-3](#).

Example 7-3. Sorting a list of words by length

```
>>> fruits = ['strawberry', 'fig', 'apple', 'cherry', 'raspberry', 'banana']
>>> sorted(fruits, key=len)
['fig', 'apple', 'cherry', 'banana', 'raspberry', 'strawberry']
>>>
```

Any one-argument function can be used as the key. For example, to create a rhyme dictionary it might be useful to sort each word spelled backward. In [Example 7-4](#), note that the words in the list are not changed at all; only their reversed spelling is used as the sort criterion, so that the berries appear together.

Example 7-4. Sorting a list of words by their reversed spelling

```
>>> def reverse(word):
...     return word[::-1]
>>> reverse('testing')
'gnitset'
>>> sorted(fruits, key=reverse)
['banana', 'apple', 'fig', 'raspberry', 'strawberry', 'cherry']
>>>
```

In the functional programming paradigm, some of the best known higher-order functions are `map`, `filter`, `reduce`, and `apply`. The `apply` function was deprecated in Python 2.3 and removed in Python 3 because it's no longer necessary. If you need to call a function with a dynamic set of arguments, you can write `fn(*args, **kwargs)` instead of `apply(fn, args, kwargs)`.

The `map`, `filter`, and `reduce` higher-order functions are still around, but better alternatives are available for most of their use cases, as the next section shows.

Modern Replacements for `map`, `filter`, and `reduce`

Functional languages commonly offer the `map`, `filter`, and `reduce` higher-order functions (sometimes with different names). The `map` and `filter` functions are still built-ins in Python 3, but since the introduction of list comprehensions and generator expressions, they are not as important. A listcomp or a genexp does the job of `map` and `filter` combined, but is more readable. Consider [Example 7-5](#).

Example 7-5. Lists of factorials produced with `map` and `filter` compared to alternatives coded as list comprehensions

```
>>> list(map(factorial, range(6))) ❶
[1, 1, 2, 6, 24, 120]
>>> [factorial(n) for n in range(6)] ❷
[1, 1, 2, 6, 24, 120]
>>> list(map(factorial, filter(lambda n: n % 2, range(6)))) ❸
[1, 6, 120]
>>> [factorial(n) for n in range(6) if n % 2] ❹
[1, 6, 120]
>>>
```

- ❶ Build a list of factorials from 0! to 5!.
- ❷ Same operation, with a list comprehension.
- ❸ List of factorials of odd numbers up to 5!, using both `map` and `filter`.
- ❹ List comprehension does the same job, replacing `map` and `filter`, and making `lambda` unnecessary.

In Python 3, `map` and `filter` return generators—a form of iterator—so their direct substitute is now a generator expression (in Python 2, these functions returned lists, therefore their closest alternative was a `listcomp`).

The `reduce` function was demoted from a built-in in Python 2 to the `functools` module in Python 3. Its most common use case, summation, is better served by the `sum` built-in available since Python 2.3 was released in 2003. This is a big win in terms of readability and performance (see [Example 7-6](#)).

Example 7-6. Sum of integers up to 99 performed with `reduce` and `sum`

```
>>> from functools import reduce ❶
>>> from operator import add ❷
>>> reduce(add, range(100)) ❸
4950
>>> sum(range(100)) ❹
4950
>>>
```

- ❶ Starting with Python 3.0, `reduce` is no longer a built-in.
- ❷ Import `add` to avoid creating a function just to add two numbers.
- ❸ Sum integers up to 99.
- ❹ Same task with `sum`—no need to import and call `reduce` and `add`.

NOTE

The common idea of `sum` and `reduce` is to apply some operation to successive items in a series, accumulating previous results, thus reducing a series of values to a single value.

Other reducing built-ins are `all` and `any`:

```
all(iterable)
```

Returns `True` if there are no falsy elements in the iterable;

`all([])` returns `True`.

`any(iterable)`

Returns `True` if any element of the `iterable` is truthy; `any([])` returns `False`.

I give a fuller explanation of `reduce` in [“Vector Take #4: Hashing and a Faster ==”](#) where an ongoing example provides a meaningful context for the use of this function. The reducing functions are summarized later in the book when iterables are in focus, in [“Iterable Reducing Functions”](#).

To use a higher-order function, sometimes it is convenient to create a small, one-off function. That is why anonymous functions exist. We’ll cover them next.

Anonymous Functions

The `lambda` keyword creates an anonymous function within a Python expression.

However, the simple syntax of Python limits the body of `lambda` functions to be pure expressions. In other words, the body cannot contain other Python statements such as `while`, `try`, etc. Assignment with `=` is also a statement, so it cannot occur in a `lambda`. The new assignment expression syntax using `:=` can be used—but if you need it, your `lambda` is probably too complicated and hard to read, and it should be refactored into a regular function using `def`.

The best use of anonymous functions is in the context of an argument list for a higher-order function. For example, [Example 7-7](#) is the rhyme index example from [Example 7-4](#) rewritten with `lambda`, without defining a `reverse` function.

Example 7-7. Sorting a list of words by their reversed spelling using `lambda`

```
>>> fruits = ['strawberry', 'fig', 'apple', 'cherry', 'raspberry', 'banana']
>>> sorted(fruits, key=lambda word: word[::-1])
```

```
['banana', 'apple', 'fig', 'raspberry', 'strawberry', 'cherry']  
>>>
```

Outside the limited context of arguments to higher-order functions, anonymous functions are rarely useful in Python. The syntactic restrictions tend to make nontrivial `lambdas` either unreadable or unworkable. If a `lambda` is hard to read, I strongly advise you follow Fredrik Lundh's refactoring advice.

FREDRIK LUNDH'S LAMBDA REFACTORING RECIPE

If you find a piece of code hard to understand because of a `lambda`, Fredrik Lundh suggests this refactoring procedure:

1. Write a comment explaining what the heck that `lambda` does.
2. Study the comment for a while, and think of a name that captures the essence of the comment.
3. Convert the `lambda` to a `def` statement, using that name.
4. Remove the comment.

These steps are quoted from the [“Functional Programming HOWTO”](#), a must read.

The `lambda` syntax is just syntactic sugar: a `lambda` expression creates a function object just like the `def` statement. That is just one of several kinds of callable objects in Python. The following section reviews all of them.

The Nine Flavors of Callable Objects

The call operator `()` may be applied to other objects besides functions. To determine whether an object is callable, use the `callable()` built-in function. As of Python 3.9, the [data model documentation](#) lists nine callable types:

User-defined functions

Created with `def` statements or `lambda` expressions.

Built-in functions

A function implemented in C (for CPython), like `len` or `time.strftime`.

Built-in methods

Methods implemented in C, like `dict.get`.

Methods

Functions defined in the body of a class.

Classes

When invoked, a class runs its `__new__` method to create an instance, then `__init__` to initialize it, and finally the instance is returned to the caller. Because there is no `new` operator in Python, calling a class is like calling a function.²

Class instances

If a class defines a `__call__` method, then its instances may be invoked as functions—that’s the subject of the next section.

Generator functions

Functions or methods that use the `yield` keyword in their body. When called, they return a generator object.

Native coroutine functions

Functions or methods defined with `async def`. When called, they return a coroutine object. Added in Python 3.5.

Asynchronous generator functions

Functions or methods defined with `async def` that have `yield` in their body. When called, they return an asynchronous generator for use with `async for`. Added in Python 3.6.

Generators, native coroutines, and asynchronous generator functions are unlike other callables in that their return values are never application data, but objects that require further processing to yield application data or perform useful work. Generator functions return iterators. Both are covered in [Chapter 17](#). Native coroutine functions and asynchronous generator functions return objects that only work with the help of an asyn-

chronous programming framework, such as *asyncio*. They are the subject of [Chapter 21](#).

TIP

Given the variety of existing callable types in Python, the safest way to determine whether an object is callable is to use the `callable()` built-in:

```
>>> abs, str, 'Ni!'
(<built-in function abs>, <class 'str'>, 'Ni!')
>>> [callable(obj) for obj in (abs, str, 'Ni!')]
[True, True, False]
```

We now move on to building class instances that work as callable objects.

User-Defined Callable Types

Not only are Python functions real objects, but arbitrary Python objects may also be made to behave like functions. Implementing a `__call__` instance method is all it takes.

[Example 7-8](#) implements a `BingoCage` class. An instance is built from any iterable, and stores an internal `list` of items, in random order. Calling the instance pops an item.³

Example 7-8. `bingocall.py`: A `BingoCage` does one thing: picks items from a shuffled list

```
import random

class BingoCage:

    def __init__(self, items):
        self._items = list(items) ❶
        random.shuffle(self._items) ❷

    def pick(self): ❸
        try:
            return self._items.pop()
        except IndexError:
            raise LookupError('pick from empty BingoCage') ❹
```

```
def __call__(self): ❸
    return self.pick()
```

- ❶ `__init__` accepts any iterable; building a local copy prevents unexpected side effects on any `list` passed as an argument.
- ❷ `shuffle` is guaranteed to work because `self._items` is a `list`.
- ❸ The main method.
- ❹ Raise exception with custom message if `self._items` is empty.
- ❺ Shortcut to `bingo.pick() : bingo()`.

Here is a simple demo of [Example 7-8](#). Note how a `bingo` instance can be invoked as a function, and the `callable()` built-in recognizes it as a callable object:

```
>>> bingo = BingoCage(range(3))
>>> bingo.pick()
1
>>> bingo()
0
>>> callable(bingo)
True
```

A class implementing `__call__` is an easy way to create function-like objects that have some internal state that must be kept across invocations, like the remaining items in the `BingoCage`. Another good use case for `__call__` is implementing decorators. Decorators must be callable, and it is sometimes convenient to “remember” something between calls of the decorator (e.g., for memoization—caching the results of expensive computations for later use) or to split a complex implementation into separate methods.

The functional approach to creating functions with internal state is to use closures. Closures, as well as decorators, are the subject of [Chapter 9](#).

Now let’s explore the powerful syntax Python offers to declare function parameters and pass arguments into them.

From Positional to Keyword-Only Parameters

One of the best features of Python functions is the extremely flexible parameter handling mechanism. Closely related are the use of `*` and `**` to unpack iterables and mappings into separate arguments when we call a function. To see these features in action, see the code for [Example 7-9](#) and tests showing its use in [Example 7-10](#).

Example 7-9. `tag` generates HTML elements; a keyword-only argument `class_` is used to pass “class” attributes as a workaround because `class` is a keyword in Python

```
def tag(name, *content, class_=None, **attrs):
    """Generate one or more HTML tags"""
    if class_ is not None:
        attrs['class'] = class_
    attr_pairs = (f' {attr}="{value}"' for attr, value
                  in sorted(attrs.items()))
    attr_str = ''.join(attr_pairs)
    if content:
        elements = (f'<{name}{attr_str}>{c}</{name}>'
                    for c in content)
        return '\n'.join(elements)
    else:
        return f'<{name}{attr_str} />'
```

The `tag` function can be invoked in many ways, as [Example 7-10](#) shows.

Example 7-10. Some of the many ways of calling the `tag` function from [Example 7-9](#)

```
>>> tag('br') ❶
'<br />'
>>> tag('p', 'hello') ❷
'<p>hello</p>'
>>> print(tag('p', 'hello', 'world'))
<p>hello</p>
<p>world</p>
>>> tag('p', 'hello', id=33) ❸
'<p id="33">hello</p>'
>>> print(tag('p', 'hello', 'world', class_='sidebar')) ❹
```

```

<p class="sidebar">hello</p>
<p class="sidebar">world</p>
>>> tag(content='testing', name="img") ❸
'<img content="testing" />'
>>> my_tag = {'name': 'img', 'title': 'Sunset Boulevard',
...           'src': 'sunset.jpg', 'class': 'framed'}
>>> tag(**my_tag) ❹
''

```

- ❶ A single positional argument produces an empty `tag` with that name.
- ❷ Any number of arguments after the first are captured by `*content` as a tuple.
- ❸ Keyword arguments not explicitly named in the `tag` signature are captured by `**attrs` as a dict.
- ❹ The `class_` parameter can only be passed as a keyword argument.
- ❺ The first positional argument can also be passed as a keyword.
- ❻ Prefixing the `my_tag` dict with `**` passes all its items as separate arguments, which are then bound to the named parameters, with the remaining caught by `**attrs`. In this case we can have a `'class'` key in the arguments dict, because it is a string, and does not clash with the `class` reserved word.

Keyword-only arguments are a feature of Python 3. In [Example 7-9](#), the `class_` parameter can only be given as a keyword argument—it will never capture unnamed positional arguments. To specify keyword-only arguments when defining a function, name them after the argument prefixed with `*`. If you don't want to support variable positional arguments but still want keyword-only arguments, put a `*` by itself in the signature, like this:

```

>>> def f(a, *, b):
...     return a, b
...
>>> f(1, b=2)
(1, 2)

```

```
>>> f(1, 2)
Traceback (most recent call last):
  File "<stdin>", line 1, in <module>
TypeError: f() takes 1 positional argument but 2 were given
```

Note that keyword-only arguments do not need to have a default value: they can be mandatory, like `b` in the preceding example.

Positional-Only Parameters

Since Python 3.8, user-defined function signatures may specify positional-only parameters. This feature always existed for built-in functions, such as `divmod(a, b)`, which can only be called with positional parameters, and not as `divmod(a=10, b=4)`.

To define a function requiring positional-only parameters, use `/` in the parameter list.

This example from [“What’s New In Python 3.8”](#) shows how to emulate the `divmod` built-in function:

```
def divmod(a, b, /):
    return (a // b, a % b)
```

All arguments to the left of the `/` are positional-only. After the `/`, you may specify other arguments, which work as usual.

WARNING

The `/` in the parameter list is a syntax error in Python 3.7 or earlier.

For example, consider the `tag` function from [Example 7-9](#). If we want the `name` parameter to be positional only, we can add a `/` after it in the function signature, like this:

```
def tag(name, /, *content, class_=None, **attrs):
    ...
```

You can find other examples of positional-only parameters in [“What’s New In Python 3.8”](#) and in [PEP 570](#).

After diving into Python’s flexible argument declaration features, the remainder of this chapter covers the most useful packages in the standard library for programming in a functional style.

Packages for Functional Programming

Although Guido makes it clear that he did not design Python to be a functional programming language, a functional coding style can be used to good extent, thanks to first-class functions, pattern matching, and the support of packages like `operator` and `functools`, which we cover in the next two sections.

The `operator` Module

Often in functional programming it is convenient to use an arithmetic operator as a function. For example, suppose you want to multiply a sequence of numbers to calculate factorials without using recursion. To perform summation, you can use `sum`, but there is no equivalent function for multiplication. You could use `reduce`—as we saw in [“Modern Replacements for `map`, `filter`, and `reduce`”](#)—but this requires a function to multiply two items of the sequence. [Example 7-11](#) shows how to solve this using `lambda`.

Example 7-11. Factorial implemented with `reduce` and an anonymous function

```
from functools import reduce

def factorial(n):
    return reduce(lambda a, b: a*b, range(1, n+1))
```

The `operator` module provides function equivalents for dozens of operators so you don’t have to code trivial functions like `lambda a, b: a*b`. With it, we can rewrite [Example 7-11](#) as [Example 7-12](#).

Example 7-12. Factorial implemented with `reduce` and `operator.mul`

```

from functools import reduce
from operator import mul

def factorial(n):
    return reduce(mul, range(1, n+1))

```

Another group of one-trick `lambda`s that `operator` replaces are functions to pick items from sequences or read attributes from objects:

`itemgetter` and `attrgetter` are factories that build custom functions to do that.

[Example 7-13](#) shows a common use of `itemgetter`: sorting a list of tuples by the value of one field. In the example, the cities are printed sorted by country code (field 1). Essentially, `itemgetter(1)` creates a function that, given a collection, returns the item at index 1. That's easier to write and read than `lambda fields: fields[1]`, which does the same thing.

Example 7-13. Demo of `itemgetter` to sort a list of tuples (data from [Example 2-8](#))

```

>>> metro_data = [
...     ('Tokyo', 'JP', 36.933, (35.689722, 139.691667)),
...     ('Delhi NCR', 'IN', 21.935, (28.613889, 77.208889)),
...     ('Mexico City', 'MX', 20.142, (19.433333, -99.133333)),
...     ('New York-Newark', 'US', 20.104, (40.808611, -74.020386)),
...     ('São Paulo', 'BR', 19.649, (-23.547778, -46.635833)),
... ]
>>>
>>> from operator import itemgetter
>>> for city in sorted(metro_data, key=itemgetter(1)):
...     print(city)
...
('São Paulo', 'BR', 19.649, (-23.547778, -46.635833))
('Delhi NCR', 'IN', 21.935, (28.613889, 77.208889))
('Tokyo', 'JP', 36.933, (35.689722, 139.691667))
('Mexico City', 'MX', 20.142, (19.433333, -99.133333))
('New York-Newark', 'US', 20.104, (40.808611, -74.020386))

```

If you pass multiple index arguments to `itemgetter`, the function it builds will return tuples with the extracted values, which is useful for sorting on multiple keys:


```

>>> cc_name = itemgetter(1, 0)
>>> for city in metro_data:
...     print(cc_name(city))
...
('JP', 'Tokyo')
('IN', 'Delhi NCR')
('MX', 'Mexico City')
('US', 'New York-Newark')
('BR', 'São Paulo')
>>>

```

Because `itemgetter` uses the `[]` operator, it supports not only sequences but also mappings and any class that implements `__getitem__`.

A sibling of `itemgetter` is `attrgetter`, which creates functions to extract object attributes by name. If you pass `attrgetter` several attribute names as arguments, it also returns a tuple of values. In addition, if any argument name contains a `.` (dot), `attrgetter` navigates through nested objects to retrieve the attribute. These behaviors are shown in [Example 7-14](#). This is not the shortest console session because we need to build a nested structure to showcase the handling of dotted attributes by `attrgetter`.

Example 7-14. Demo of `attrgetter` to process a previously defined list of `namedtuple` called `metro_data` (the same list that appears in [Example 7-13](#))

```

>>> from collections import namedtuple
>>> LatLon = namedtuple('LatLon', 'lat lon') ❶
>>> Metropolis = namedtuple('Metropolis', 'name cc pop coord') ❷
>>> metro_areas = [Metropolis(name, cc, pop, LatLon(lat, lon)) ❸
...     for name, cc, pop, (lat, lon) in metro_data]
>>> metro_areas[0]
Metropolis(name='Tokyo', cc='JP', pop=36.933, coord=LatLon(lat=35.689722,
lon=139.691667))
>>> metro_areas[0].coord.lat ❹
35.689722
>>> from operator import attrgetter
>>> name_lat = attrgetter('name', 'coord.lat') ❺
>>>
>>> for city in sorted(metro_areas, key=attrgetter('coord.lat')): ❻
...     print(name_lat(city)) ❼
...

```

```
('São Paulo', -23.547778)
('Mexico City', 19.433333)
('Delhi NCR', 28.613889)
('Tokyo', 35.689722)
('New York-Newark', 40.808611)
```

- ❶ Use `namedtuple` to define `LatLon`.
- ❷ Also define `Metropolis`.
- ❸ Build `metro_areas` list with `Metropolis` instances; note the nested tuple unpacking to extract `(lat, lon)` and use them to build the `LatLon` for the `coord` attribute of `Metropolis`.
- ❹ Reach into element `metro_areas[0]` to get its latitude.
- ❺ Define an `attrgetter` to retrieve the `name` and the `coord.lat` nested attribute.
- ❻ Use `attrgetter` again to sort list of cities by latitude.
- ❼ Use the `attrgetter` defined in ❺ to show only the city name and latitude.

Here is a partial list of functions defined in `operator` (names starting with `_` are omitted, because they are mostly implementation details):

```
>>> [name for name in dir(operator) if not name.startswith('_')]
['abs', 'add', 'and_', 'attrgetter', 'concat', 'contains',
'countOf', 'delitem', 'eq', 'floordiv', 'ge', 'getitem', 'gt',
'iadd', 'iand', 'iconcat', 'ifloordiv', 'ilshift', 'imatmul',
'imod', 'imul', 'index', 'indexOf', 'inv', 'invert', 'ior',
'ipow', 'irshift', 'is_', 'is_not', 'isub', 'itemgetter',
'itruediv', 'ixor', 'le', 'length_hint', 'lshift', 'lt', 'matmul',
'methodcaller', 'mod', 'mul', 'ne', 'neg', 'not_', 'or_', 'pos',
'pow', 'rshift', 'setitem', 'sub', 'truediv', 'truth', 'xor']
```

Most of the 54 names listed are self-evident. The group of names prefixed with `i` and the name of another operator—e.g., `iadd`, `iand`, etc.—correspond to the augmented assignment operators—e.g., `+=`, `&=`, etc. These change their first argument in place, if it is mutable; if not, the function

works like the one without the `i` prefix: it simply returns the result of the operation.

Of the remaining operator functions, `methodcaller` is the last we will cover. It is somewhat similar to `attrgetter` and `itemgetter` in that it creates a function on the fly. The function it creates calls a method by name on the object given as argument, as shown in [Example 7-15](#).

Example 7-15. Demo of `methodcaller`: second test shows the binding of extra arguments

```
>>> from operator import methodcaller
>>> s = 'The time has come'
>>> upcase = methodcaller('upper')
>>> upcase(s)
'THE TIME HAS COME'
>>> hyphenate = methodcaller('replace', ' ', '-')
>>> hyphenate(s)
'The-time-has-come'
```

The first test in [Example 7-15](#) is there just to show `methodcaller` at work, but if you need to use the `str.upper` as a function, you can just call it on the `str` class and pass a string as an argument, like this:

```
>>> str.upper(s)
'THE TIME HAS COME'
```

The second test in [Example 7-15](#) shows that `methodcaller` can also do a partial application to freeze some arguments, like the `functools.partial` function does. That is our next subject.***Bold Text***opmod07

Freezing Arguments with `functools.partial`

The `functools` module provides several higher-order functions. We saw `reduce` in [“Modern Replacements for `map`, `filter`, and `reduce`”](#). Another is `partial`: given a callable, it produces a new callable with some of the arguments of the original callable bound to predetermined values. This is useful to adapt a function that takes one or more arguments to an API that requires a callback with fewer arguments. [Example 7-16](#) is a trivial demonstration.

Example 7-16. Using `partial` to use a two-argument function where a one-argument callable is required

```
>>> from operator import mul
>>> from functools import partial
>>> triple = partial(mul, 3) ❶
>>> triple(7) ❷
21
>>> list(map(triple, range(1, 10))) ❸
[3, 6, 9, 12, 15, 18, 21, 24, 27]
```

- ❶ Create new `triple` function from `mul`, binding the first positional argument to `3`.
- ❷ Test it.
- ❸ Use `triple` with `map`; `mul` would not work with `map` in this example.

A more useful example involves the `unicode.normalize` function that we saw in [“Normalizing Unicode for Reliable Comparisons”](#). If you work with text from many languages, you may want to apply `unicode.normalize('NFC', s)` to any string `s` before comparing or storing it. If you do that often, it’s handy to have an `nfc` function to do so, as in [Example 7-17](#).

Example 7-17. Building a convenient Unicode normalizing function with `partial`

```
>>> import unicodedata, functools
>>> nfc = functools.partial(unicodedata.normalize, 'NFC')
>>> s1 = 'café'
>>> s2 = 'cafe\u0301'
>>> s1, s2
('café', 'café')
>>> s1 == s2
False
>>> nfc(s1) == nfc(s2)
True
```

`partial` takes a callable as first argument, followed by an arbitrary number of positional and keyword arguments to bind.

[Example 7-18](#) shows the use of `partial` with the `tag` function from [Example 7-9](#), to freeze one positional argument and one keyword argument.

Example 7-18. Demo of `partial` applied to the function `tag` from [Example 7-9](#)

```
>>> from tagger import tag
>>> tag
<function tag at 0x10206d1e0> ❶
>>> from functools import partial
>>> picture = partial(tag, 'img', class_='pic-frame') ❷
>>> picture(src='wumpus.jpeg')
'' ❸
>>> picture
functools.partial(<function tag at 0x10206d1e0>, 'img', class_='pic-frame')
>>> picture.func ❺
<function tag at 0x10206d1e0>
>>> picture.args
('img',)
>>> picture.keywords
{'class_': 'pic-frame'}
```

- ❶ Import `tag` from [Example 7-9](#) and show its ID.
- ❷ Create the `picture` function from `tag` by fixing the first positional argument with `'img'` and the `class_` keyword argument with `'pic-frame'`.
- ❸ `picture` works as expected.
- ❹ `partial()` returns a `functools.partial` object.⁴
- ❺ A `functools.partial` object has attributes providing access to the original function and the fixed arguments.

The `functools.partialmethod` function does the same job as `partial`, but is designed to work with methods.

The `functools` module also includes higher-order functions designed to be used as function decorators, such as `cache` and `singledispatch`,

among others. Those functions are covered in [Chapter 9](#), which also explains how to implement custom decorators.

Chapter Summary

The goal of this chapter was to explore the first-class nature of functions in Python. The main ideas are that you can assign functions to variables, pass them to other functions, store them in data structures, and access function attributes, allowing frameworks and tools to act on that information.

Higher-order functions, a staple of functional programming, are common in Python. The `sorted`, `min`, and `max` built-ins, and `functools.partial` are examples of commonly used higher-order functions in the language. Using `map`, `filter`, and `reduce` is not as common as it used to be, thanks to list comprehensions (and similar constructs like generator expressions) and the addition of reducing built-ins like `sum`, `all`, and `any`.

Callables come in nine different flavors since Python 3.6, from the simple functions created with `lambda` to instances of classes implementing `__call__`. Generators and coroutines are also callable, although their behavior is very different from other callables. All callables can be detected by the `callable()` built-in. Callables offer rich syntax for declaring formal parameters, including keyword-only parameters, positional-only parameters, and annotations.

Lastly, we covered some functions from the `operator` module and `functools.partial`, which facilitate functional programming by minimizing the need for the functionally challenged `lambda` syntax.

Further Reading

The next chapters continue our exploration of programming with function objects. [Chapter 8](#) is devoted to type hints in function parameters and return values. [Chapter 9](#) dives into function decorators—a special kind of higher-order function—and the closure mechanism that makes them work. [Chapter 10](#) shows how first-class functions can simplify some classic object-oriented design patterns.

In *The Python Language Reference*, [“3.2. The standard type hierarchy”](#) presents the nine callable types, along with all the other built-in types.

Chapter 7 of the *Python Cookbook*, 3rd ed. (O’Reilly), by David Beazley and Brian K. Jones, is an excellent complement to the current chapter as well as [Chapter 9](#) of this book, covering mostly the same concepts with a different approach.

See [PEP 3102—Keyword-Only Arguments](#) if you are interested in the rationale and use cases for that feature.

A great introduction to functional programming in Python is A. M. Kuchling’s [“Python Functional Programming HOWTO”](#). The main focus of that text, however, is the use of iterators and generators, which are the subject of [Chapter 17](#).

The StackOverflow question [“Python: Why is functools.partial necessary?”](#) has a highly informative (and funny) reply by Alex Martelli, coauthor of the classic *Python in a Nutshell* (O’Reilly).

Reflecting on the question “Is Python a functional language?”, I created one of my favorite talks, “Beyond Paradigms,” which I presented at PyCaribbean, PyBay, and PyConDE. See the [slides](#) and [video](#) from the Berlin presentation—where I met Miroslav Šedivý and Jürgen Gmach, two of the technical reviewers of this book.

SOAPBOX

Is Python a Functional Language?

Sometime in the year 2000 I attended a Zope workshop at Zope Corporation in the United States when Guido van Rossum dropped by the classroom (he was not the instructor). In the Q&A that followed, somebody asked him which features of Python were borrowed from other languages. Guido's answer: "Everything that is good in Python was stolen from other languages."

Shriram Krishnamurthi, professor of Computer Science at Brown University, starts his ["Teaching Programming Languages in a Post-Linnaean Age" paper](#) with this:

Programming language “paradigms” are a moribund and tedious legacy of a bygone age. Modern language designers pay them no respect, so why do our courses slavishly adhere to them?

In that paper, Python is mentioned by name in this passage:

What else to make of a language like Python, Ruby, or Perl? Their designers have no patience for the niceties of these Linnaean hierarchies; they borrow features as they wish, creating mélanges that utterly defy characterization.

Krishnamurthi argues that instead of trying to classify languages in some taxonomy, it’s more useful to consider them as aggregations of features. His ideas inspired my talk “Beyond Paradigms,” mentioned at the end of [“Further Reading”](#).

Even if it was not Guido’s goal, endowing Python with first-class functions opened the door to functional programming. In his post, [“Origins of Python’s Functional Features”](#), he says that `map`, `filter`, and `reduce` were the motivation for adding `lambda` to Python in the first place. All of these features were contributed together by Amrit Prem for Python 1.0 in 1994, according to [Misc/HISTORY](#) in the CPython source code.

Functions like `map`, `filter`, and `reduce` first appeared in Lisp, the original functional language. However, Lisp does not limit what can be done inside a `lambda`, because everything in Lisp is an expression. Python uses a statement-oriented syntax in which expressions cannot contain statements, and many language constructs are statements—including `try/catch`, which is what I miss most often when writing `lambda` s. This is the price to pay for Python’s highly readable syntax.⁵ Lisp has many strengths, but readability is not one of them.

Ironically, stealing the list comprehension syntax from another functional language—Haskell—significantly diminished the need for `map` and `filter`, and also for `lambda`.

Besides the limited anonymous function syntax, the biggest obstacle to wider adoption of functional programming idioms in Python is the lack of tail-call elimination, an optimization that allows memory-efficient computation of a function that makes a recursive call at the “tail” of its body. In another blog post, [“Tail Recursion Elimination”](#), Guido gives several

reasons why such optimization is not a good fit for Python. That post is a great read for the technical arguments, but even more so because the first three and most important reasons given are usability issues. It is no accident that Python is a pleasure to use, learn, and teach. Guido made it so.

So there you have it: Python is not, by design, a functional language—whatever that means. Python just borrows a few good ideas from functional languages.

The Problem with Anonymous Functions

Beyond the Python-specific syntax constraints, anonymous functions have a serious drawback in any language: they have no name.

I am only half joking here. Stack traces are easier to read when functions have names. Anonymous functions are a handy shortcut, people have fun coding with them, but sometimes they get carried away—especially if the language and environment encourage deep nesting of anonymous functions, like JavaScript on Node.js do. Lots of nested anonymous functions make debugging and error handling hard. Asynchronous programming in Python is more structured, perhaps because the limited `lambda` syntax prevents its abuse and forces a more explicit approach. Promises, futures, and deferreds are concepts used in modern asynchronous APIs. Along with coroutines, they provide an escape from the so-called “callback hell.” I promise to write more about asynchronous programming in the future, but this subject must be deferred to [Chapter 21](#).

- 1 [“Origins of Python’s ‘Functional’ Features”](#), from Guido’s *The History of Python* blog.
- 2 Calling a class usually creates an instance of that same class, but other behaviors are possible by overriding `__new__`. We’ll see an example of this in [“Flexible Object Creation with `__new__`”](#).
- 3 Why build a `BingoCage` when we already have `random.choice`? The `choice` function may return the same item multiple times, because the picked item is not removed from the collection given. Calling `BingoCage` never returns duplicate results—as long as the instance is filled with unique values.

- 4** The [source code](#) for *functools.py* reveals that `functools.partial` is implemented in C and is used by default. If that is not available, a pure-Python implementation of `partial` is available since Python 3.4.
- 5** There is also the problem of lost indentation when pasting code to web forums, but I digress.

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