Chapter 20. Concurrent Executors

The people bashing threads are typically system programmers which have in mind use cases that the typical application programmer will never encounter in her life. [...] In 99% of the use cases an application programmer is likely to run into, the simple pattern of spawning a bunch of independent threads and collecting the results in a queue is everything one needs to know.

—Michele Simionato, Python deep thinker¹

This chapter focuses on the concurrent.futures.Executor classes that encapsulate the pattern of "spawning a bunch of independent threads and collecting the results in a queue," described by Michele Simionato. The concurrent executors make this pattern almost trivial to use, not only with threads but also with processes—useful for compute-intensive tasks.

Here I also introduce the concept of *futures*—objects representing the asynchronous execution of an operation, similar to JavaScript promises. This primitive idea is the foundation not only of concurrent.futures but also of the asyncio package, the subject of <u>Chapter 21</u>.

What's New in This Chapter

I renamed the chapter from "Concurrency with Futures" to "Concurrent Executors" because the executors are the most important high-level feature covered here. Futures are low-level objects, focused on in "Where Are the Futures?", but mostly invisible in the rest of the chapter.

All the HTTP client examples now use the new <u>HTTPX</u> library, which provides synchronous and asynchronous APIs.

The setup for the experiments in "Downloads with Progress Display and Error Handling" is now simpler, thanks to the multithreaded server added to the http.server package in Python 3.7. Previously, the standard library only had the single-threaded BaseHttpServer, which was no good for experimenting with concurrent clients, so I had to resort to external tools in the first edition of this book.

<u>"Launching Processes with concurrent.futures"</u> now demonstrates how an executor simplifies the code we saw in <u>"Code for the Multicore Prime</u> Checker".

Finally, I moved most of the theory to the new <u>Chapter 19, "Concurrency Models in Python"</u>.

Concurrent Web Downloads

Concurrency is essential for efficient network I/O: instead of idly waiting for remote machines, the application should do something else until a response comes back.²

To demonstrate with code, I wrote three simple programs to download images of 20 country flags from the web. The first one, <code>flags.py</code>, runs sequentially: it only requests the next image when the previous one is downloaded and saved locally. The other two scripts make concurrent downloads: they request several images practically at the same time, and save them as they arrive. The <code>flags_threadpool.py</code> script uses the <code>concurrent.futures package</code>, while <code>flags_asyncio.py</code> uses <code>asyncio.</code>

Example 20-1 shows the result of running the three scripts, three times each. I also posted a 73s video on YouTube so you can watch them running while a macOS Finder window displays the flags as they are saved. The scripts are downloading images from *fluentpython.com*, which is behind a CDN, so you may see slower results in the first runs. The results in Example 20-1 were obtained after several runs, so the CDN cache was warm.

Example 20-1. Three typical runs of the scripts flags.py, flags_threadpool.py, and flags_asyncio.py

```
$ python3 flags.py

BD BR CD CN DE EG ET FR ID IN IR JP MX NG PH PK RU TR US VN

20 flags downloaded in 7.26s

$ python3 flags.py

BD BR CD CN DE EG ET FR ID IN IR JP MX NG PH PK RU TR US VN

20 flags downloaded in 7.20s

$ python3 flags.py

BD BR CD CN DE EG ET FR ID IN IR JP MX NG PH PK RU TR US VN

20 flags downloaded in 7.09s

$ python3 flags_threadpool.py

DE BD CN JP ID EG NG BR RU CD IR MX US PH FR PK VN IN ET TR
```

```
20 flags downloaded in 1.37s 3
$ python3 flags threadpool.py
EG BR FR IN BD JP DE RU PK PH CD MX ID US NG TR CN VN ET IR
20 flags downloaded in 1.60s
$ python3 flags threadpool.py
BD DE EG CN ID RU IN VN ET MX FR CD NG US JP TR PK BR IR PH
20 flags downloaded in 1.22s
$ python3 flags asyncio.py 4
BD BR IN ID TR DE CN US IR PK PH FR RU NG VN ET MX EG JP CD
20 flags downloaded in 1.36s
$ python3 flags asyncio.py
RU CN BR IN FR BD TR EG VN IR PH CD ET ID NG DE JP PK MX US
20 flags downloaded in 1.27s
$ python3 flags asyncio.py
RU IN ID DE BR VN PK MX US IR ET EG NG BD FR CN JP PH CD TR 📵
20 flags downloaded in 1.42s
```

- The output for each run starts with the country codes of the flags as they are downloaded, and ends with a message stating the elapsed time.
- 2 It took *flags.py* an average 7.18s to download 20 images.
- **3** The average for *flags_threadpool.py* was 1.40s.
- For flags_asyncio.py, 1.35s was the average time.
- Note the order of the country codes: the downloads happened in a different order every time with the concurrent scripts.

The difference in performance between the concurrent scripts is not significant, but they are both more than five times faster than the sequential script—and this is just for the small task of downloading 20 files of a few kilobytes each. If you scale the task to hundreds of downloads, the concurrent scripts can outpace the sequential code by a factor or 20 or more.

WARNING

While testing concurrent HTTP clients against public web servers, you may inadvertently launch a denial-of-service (DoS) attack, or be suspected of doing so. In the case of Example 20-1, it's OK to do it because those scripts are hardcoded to make only 20 requests. We'll use Python's http://server package to run tests later in this chapter.

Now let's study the implementations of two of the scripts tested in <u>Example 20-1</u>: flags.py and flags_threadpool.py. I will leave the third script, flags_asyncio.py, for Chapter 21, but I wanted to demonstrate all three together to make two points:

- 1. Regardless of the concurrency constructs you use—threads or coroutines—you'll see vastly improved throughput over sequential code in network I/O operations, if you code it properly.
- 2. For HTTP clients that can control how many requests they make, there is no significant difference in performance between threads and coroutines.³

On to the code.

A Sequential Download Script

<u>Example 20-2</u> contains the implementation of *flags.py*, the first script we ran in <u>Example 20-1</u>. It's not very interesting, but we'll reuse most of its code and settings to implement the concurrent scripts, so it deserves some attention.

NOTE

For clarity, there is no error handling in <u>Example 20-2</u>. We will deal with exceptions later, but here I want to focus on the basic structure of the code, to make it easier to contrast this script with the concurrent ones.

Example 20-2. flags.py: sequential download script; some functions will be reused by the other scripts

```
(DEST DIR / filename).write bytes(img)
def get flag(cc: str) -> bytes:
   url = f'{BASE URL}/{cc}/{cc}.gif'.lower()
   resp = httpx.get(url, timeout=6.1,
                     follow redirects=True) 8
   resp.raise for status() 9
   return resp.content
def download many(cc list: list[str]) -> int: 0
    for cc in sorted(cc list):
       image = get flag(cc)
        save flag(image, f'{cc}.gif')
       print(cc, end=' ', flush=True)
                                               Ø
   return len(cc list)
def main(downloader: Callable[[list[str]], int]) -> None:
   DEST DIR.mkdir(exist ok=True)
                                                           ⅎ
   t0 = time.perf counter()
   count = downloader(POP20 CC)
   elapsed = time.perf counter() - t0
   print(f'\n{count} downloads in {elapsed:.2f}s')
if __name__ == '__main__':
   main (download many) 6
```

- Import the httpx library. It's not part of the standard library, so by convention the import goes after the standard library modules and a blank line.
- 2 List of the ISO 3166 country codes for the 20 most populous countries in order of decreasing population.
- **3** The directory with the flag images. 4
- Local directory where the images are saved.
- Save the img bytes to filename in the DEST_DIR.
- **6** Given a country code, build the URL and download the image, returning the binary contents of the response.
- It's good practice to add a sensible timeout to network operations, to avoid blocking for several minutes for no good reason.

- 8 By default, *HTTPX* does not follow redirects. 5
- There's no error handling in this script, but this method raises an exception if the HTTP status is not in the 2XX range—highly recommended to avoid silent failures.
- download_many is the key function to compare with the concurrent implementations.
- Loop over the list of country codes in alphabetical order, to make it easy to see that the ordering is preserved in the output; return the number of country codes downloaded.
- Display one country code at a time in the same line so we can see progress as each download happens. The <code>end=' '</code> argument replaces the usual line break at the end of each line printed with a space character, so all country codes are displayed progressively in the same line. The <code>flush=True</code> argument is needed because, by default, Python output is line buffered, meaning that Python only displays printed characters after a line break.
- main must be called with the function that will make the down-loads; that way, we can use main as a library function with other implementations of download_many in the threadpool and ascyncio examples.
- Create DEST_DIR if needed; don't raise an error if the directory exists.
- Record and report the elapsed time after running the downloader function.
- Call main with the download_many function.

TIP

The <u>HTTPX</u> library is inspired by the Pythonic <u>requests</u> package, but is built on a more modern foundation. Crucially, <u>HTTPX</u> provides synchronous and asynchronous APIs, so we can use it in all HTTP client examples in this chapter and the next. Python's standard library provides the urllib.request module, but its API is synchronous only, and is not user friendly.

There's really nothing new to *flags.py*. It serves as a baseline for comparing the other scripts, and I used it as a library to avoid redundant code when implementing them. Now let's see a reimplementation using concurrent, futures.

Downloading with concurrent.futures

The main features of the <code>concurrent.futures</code> package are the <code>ThreadPoolExecutor</code> and <code>ProcessPoolExecutor</code> classes, which implement an API to submit callables for execution in different threads or processes, respectively. The classes transparently manage a pool of worker threads or processes, and queues to distribute jobs and collect results. But the interface is very high-level, and we don't need to know about any of those details for a simple use case like our flag downloads.

<u>Example 20-3</u> shows the easiest way to implement the downloads concurrently, using the ThreadPoolExecutor.map method.

Example 20-3. flags_threadpool.py: threaded download script using futures. ThreadPoolExecutor

- Reuse some functions from the flags module (<u>Example 20-2</u>).
- Function to download a single image; this is what each worker will execute.

- Instantiate the ThreadPoolExecutor as a context manager; the executor.__exit__ method will call executor.shutdown(wait=True), which will block until all threads are done.
- The map method is similar to the map built-in, except that the download_one function will be called concurrently from multiple threads; it returns a generator that you can iterate to retrieve the value returned by each function call—in this case, each call to download one will return a country code.
- Return the number of results obtained. If any of the threaded calls raises an exception, that exception is raised here when the implicit next() call inside the list constructor tries to retrieve the corresponding return value from the iterator returned by executor.map.
- **6** Call the main function from the flags module, passing the concurrent version of download many.

Note that the <code>download_one</code> function from Example 20-3 is essentially the body of the <code>for loop</code> in the <code>download_many</code> function from Example 20-2. This is a common refactoring when writing concurrent code: turning the body of a sequential <code>for loop</code> into a function to be called concurrently.

TIP

<u>Example 20-3</u> is very short because I was able to reuse most functions from the sequential *flags.py* script. One of the best features of <code>concurrent.futures</code> is to make it simple to add concurrent execution on top of legacy sequential code.

The ThreadPoolExecutor constructor takes several arguments not shown, but the first and most important one is <code>max_workers</code>, setting the maximum number of worker threads to be executed. When <code>max_workers</code> is <code>None</code> (the default), <code>ThreadPoolExecutor</code> decides its value using the following expression—since Python 3.8:

```
max_workers = min(32, os.cpu_count() + 4)
```

The rationale is explained in the ThreadPoolExecutor documentation:

This default value preserves at least 5 workers for I/O bound tasks. It utilizes at most 32 CPU cores for CPU bound tasks which release the GIL. And it avoids using very large resources implicitly on many-core machines.

ThreadPoolExecutor now reuses idle worker threads before starting max_workers worker threads too.

To conclude: the computed default for <code>max_workers</code> is sensible, and <code>ThreadPoolExecutor</code> avoids starting new workers unnecessarily. Understanding the logic behind <code>max_workers</code> may help you decide when and how to set it yourself.

The library is called *concurrency.futures*, yet there are no futures to be seen in Example 20-3, so you may be wondering where they are. The next section explains.

Where Are the Futures?

Futures are core components of concurrent.futures and of asyncio, but as users of these libraries we sometimes don't see them. Example 20-3 depends on futures behind the scenes, but the code I wrote does not touch them directly. This section is an overview of futures, with an example that shows them in action.

Since Python 3.4, there are two classes named Future in the standard library: concurrent.futures.Future and asyncio.Future.They serve the same purpose: an instance of either Future class represents a deferred computation that may or may not have completed. This is somewhat similar to the Deferred class in Twisted, the Future class in Tornado, and Promise in modern JavaScript.

Futures encapsulate pending operations so that we can put them in queues, check whether they are done, and retrieve results (or exceptions) when they become available.

An important thing to know about futures is that you and I should not create them: they are meant to be instantiated exclusively by the concurrency framework, be it concurrent.futures or asyncio. Here is why: a Future represents something that will eventually run, therefore it must be scheduled to run, and that's the job of the framework. In particular, concurrent.futures.Future instances are created only as the re-

sult of submitting a callable for execution with a concurrent.futures.Executor subclass. For example, the Executor.submit() method takes a callable, schedules it to run, and returns a Future.

Application code is not supposed to change the state of a future: the concurrency framework changes the state of a future when the computation it represents is done, and we can't control when that happens.

Both types of Future have a .done() method that is nonblocking and returns a Boolean that tells you whether the callable wrapped by that future has executed or not. However, instead of repeatedly asking whether a future is done, client code usually asks to be notified. That's why both Future classes have an .add_done_callback() method: you give it a callable, and the callable will be invoked with the future as the single argument when the future is done. Be aware that the callback callable will run in the same worker thread or process that ran the function wrapped in the future.

There is also a <code>.result()</code> method, which works the same in both classes when the future is done: it returns the result of the callable, or reraises whatever exception might have been thrown when the callable was executed. However, when the future is not done, the behavior of the <code>result</code> method is very different between the two flavors of <code>Future.In</code> a <code>concurrency.futures.Future</code> instance, invoking <code>f.result()</code> will block the caller's thread until the result is ready. An optional <code>timeout</code> argument can be passed, and if the future is not done in the specified time, the <code>result</code> method raises <code>TimeoutError.The</code> asyncio.Future.result method does not support timeout, and <code>await</code> is the preferred way to get the result of futures in <code>asyncio—but</code> await doesn't work with <code>concurrency.futures.Future</code> instances.

Several functions in both libraries return futures; others use them in their implementation in a way that is transparent to the user. An example of the latter is the <code>Executor.map</code> we saw in Example 20-3: it returns an iterator in which <code>__next__</code> calls the <code>result</code> method of each future, so we get the results of the futures, and not the futures themselves.

To get a practical look at futures, we can rewrite <u>Example 20-3</u> to use the concurrent.futures.as_completed function, which takes an iterable of futures and returns an iterator that yields futures as they are done.

Using futures.as_completed requires changes to the download_many function only. The higher-level executor.map call is replaced by two for loops: one to create and schedule the futures, the other to retrieve their results. While we are at it, we'll add a few print calls to display each future before and after it's done. Example 20-4 shows the code for a new download_many function. The code for download_many grew from 5 to 17 lines, but now we get to inspect the mysterious futures. The remaining functions are the same as in Example 20-3.

Example 20-4. flags_threadpool_futures.py: replacing executor.map with executor.submit and futures.as_completed in the download many function

- For this demonstration, use only the top five most populous countries.
- Set max_workers to 3 so we can see pending futures in the output.
- **3** Iterate over country codes alphabetically, to make it clear that results will arrive out of order.
- executor.submit schedules the callable to be executed, and returns a future representing this pending operation.
- Store each future so we can later retrieve them with as completed.

- **6** Display a message with the country code and the respective future.
- as completed yields futures as they are completed.
- 8 Get the result of this future.
- **9** Display the future and its result.

Note that the future.result() call will never block in this example because the future is coming out of as_completed. Example 20-5 shows the output of one run of Example 20-4.

Example 20-5. Output of flags_threadpool_futures.py

- The futures are scheduled in alphabetical order; the repr() of a future shows its state: the first three are running, because there are three worker threads.
- 2 The last two futures are pending, waiting for worker threads.
- The first CN here is the output of download_one in a worker thread; the rest of the line is the output of download_many.
- Here, two threads output codes before download_many in the main thread can display the result of the first thread.

I recommend experimenting with <code>flags_threadpool_futures.py</code>. If you run it several times, you'll see the order of the results varying. Increasing <code>max_workers</code> to 5 will increase the variation in the order of the results. Decreasing it to 1 will make this script run sequentially, and the order of the results will always be the order of the <code>submit</code> calls.

We saw two variants of the download script using concurrent.futures: one in Example 20-3 with ThreadPoolExecutor.map and one in Example 20-4 with futures.as_completed. If you are curious about the code for flags_asyncio.py, you may peek at Example 21-3 in Chapter 21, where it is explained.

Now let's take a brief look at a simple way to work around the GIL for CPU-bound jobs using concurrent.futures.

Launching Processes with concurrent.futures

The <u>concurrent.futures</u> <u>documentation page</u> is subtitled "Launching parallel tasks." The package enables parallel computation on multicore machines because it supports distributing work among multiple Python processes using the ProcessPoolExecutor class.

Both ProcessPoolExecutor and ThreadPoolExecutor implement the Executor interface, so it's easy to switch from a thread-based to a process-based solution using concurrent.futures.

There is no advantage in using a ProcessPoolExecutor for the flags download example or any I/O-bound job. It's easy to verify this; just change these lines in Example 20-3:

```
def download_many(cc_list: list[str]) -> int:
    with futures.ThreadPoolExecutor() as executor:
```

To this:

```
def download_many(cc_list: list[str]) -> int:
    with futures.ProcessPoolExecutor() as executor:
```

The constructor for ProcessPoolExecutor also has a max_workers parameter, which defaults to None. In that case, the executor limits the number of workers to the number returned by os.cpu count().

Processes use more memory and take longer to start than threads, so the real value of ProcessPoolExecutor is in CPU-intensive jobs. Let's go back to the primality test example of <u>"A Homegrown Process Pool"</u>, rewriting it with concurrent.futures.

Multicore Prime Checker Redux

In <u>"Code for the Multicore Prime Checker"</u> we studied *procs.py*, a script that checked the primality of some large numbers using multiprocessing. In <u>Example 20-6</u> we solve the same problem in the *proc_pool.py* program using a ProcessPoolExecutor. From the first import to the main() call at the end, *procs.py* has 43 nonblank lines of code, and *proc_pool.py* has 31—28% shorter.

Example 20-6. proc_pool.py: procs.py rewritten with

ProcessPoolExecutor

```
import sys
from concurrent import futures
from time import perf counter
from typing import NamedTuple
from primes import is prime, NUMBERS
class PrimeResult(NamedTuple): 0
   n: int
   flag: bool
   elapsed: float
def check(n: int) -> PrimeResult:
   t0 = perf counter()
   res = is prime(n)
    return PrimeResult(n, res, perf counter() - t0)
def main() -> None:
    if len(sys.argv) < 2:</pre>
       workers = None
                            0
```

- No need to import multiprocessing, SimpleQueue etc.; concurrent.futures hides all that.
- **2** The PrimeResult tuple and the check function are the same as we saw in *procs.py*, but we don't need the queues and the worker function anymore.
- Instead of deciding ourselves how many workers to use if no command-line argument was given, we set workers to None and let the ProcessPoolExecutor decide.
- Here I build the ProcessPoolExecutor before the with block in so that I can display the actual number of workers in the next line.
- __max_workers is an undocumented instance attribute of a ProcessPoolExecutor. I decided to use it to show the number of workers when the workers variable is None. Mypy correctly complains when I access it, so I put the type: ignore comment to silence it.
- Sort the numbers to be checked in descending order. This will expose a difference in the behavior of proc_pool.py when compared

with *procs.py*. See the explanation after this example.

- **1** Use the executor as a context manager.
- **18** The executor.map call returns the PrimeResult instances returned by check in the same order as the numbers arguments.

In contrast, 7777777536340681 is 88191709², so <code>is_prime</code> will take much longer to determine that it's a composite number, and even longer to find out that 7777777777753 is prime—therefore both of these numbers appear near the end of the output of *procs.py*.

Example 20-7. Output of proc_pool.py

```
$ ./proc pool.py
Checking 20 numbers with 12 processes:
999999999999999 0.000024s 0
7777777777777 0.000022s 3
777777777777753 P 8.976933s
7777777536340681
                8.896149s
6666667141414921 8.537621s
6666666666666719 P 8.548641s
666666666666666
                0.000002s
555555555555555
                0.000017s
555555555555555 P 8.214086s
5555553133149889
                8.067247s
444444488888889
                 7.546234s
444444444444444
                0.000002s
4444444444444423 P 7.622370s
3333335652092209
                6.724649s
333333333333333
                0.000018s
333333333333333 P 6.655039s
299593572317531 P 2.072723s
```

- This line appears very quickly.
- 2 This line takes more than 9.5s to show up.
- **3** All the remaining lines appear almost immediately.

Here is why *proc_pool.py* behaves in that way:

- As mentioned before, executor.map(check, numbers) returns the result in the same order as the numbers are given.
- By default, *proc_pool.py* uses as many workers as there are CPUs—it's what ProcessPoolExecutor does when max_workers is None.

 That's 12 processes in this laptop.
- The second number is 9999999999999997, the largest prime in the sample. This will take longer than all the others to check.
- Meanwhile, the remaining 11 processes will be checking other numbers, which are either primes or composites with large factors, or composites with very small factors.
- When the worker in charge of 9999999999999917 finally determines that's a prime, all the other processes have completed their last jobs, so the results appear immediately after.

NOTE

Although the progress of *proc_pool.py* is not as visible as that of *procs.py*, the overall execution time is practically the same as depicted in <u>Figure 19-2</u>, for the same number of workers and CPU cores.

Understanding how concurrent programs behave is not straightforward, so here's is a second experiment that may help you visualize the operation of <code>Executor.map</code>.

Experimenting with Executor.map

Let's investigate Executor.map, now using a ThreadPoolExecutor with three workers running five callables that output timestamped messages. The code is in Example 20-8, the output in Example 20-9.

Example 20-8. demo_executor_map.py: Simple demonstration of the map method of ThreadPoolExecutor

```
from time import sleep, strftime
from concurrent import futures
def display(*args): 0
   print(strftime('[%H:%M:%S]'), end=' ')
   print(*args)
def loiter(n): 2
   msg = '{}loiter({}): doing nothing for {}s...'
   display(msg.format('\t'*n, n, n))
   sleep(n)
   msg = '{}loiter({}): done.'
   display(msg.format('\t'*n, n))
   return n * 10 3
def main():
   display('Script starting.')
   executor = futures. ThreadPoolExecutor (max workers=3)
   results = executor.map(loiter, range(5)) 6
   display('results:', results) 6
   display('Waiting for individual results:')
    for i, result in enumerate (results): 0
        display(f'result {i}: {result}')
if name == ' main ':
   main()
```

- This function simply prints whatever arguments it gets, preceded by a timestamp in the format [HH:MM:SS].
- 2 loiter does nothing except display a message when it starts, sleep for n seconds, then display a message when it ends; tabs are used to indent the messages according to the value of n.
- 10 loiter returns n * 10 so we can see how to collect results.
- Oreate a ThreadPoolExecutor with three threads.

- Submit five tasks to the executor. Since there are only three threads, only three of those tasks will start immediately: the calls loiter(0), loiter(1), and loiter(2); this is a nonblocking call.
- Immediately display the results of invoking executor.map:it's a generator, as the output in Example 20-9 shows.
- The enumerate call in the for loop will implicitly invoke next(results), which in turn will invoke _f.result() on the (internal) _f future representing the first call, loiter(0). The result method will block until the future is done, therefore each iteration in this loop will have to wait for the next result to be ready.

I encourage you to run Example 20-8 and see the display being updated incrementally. While you're at it, play with the <code>max_workers</code> argument for the <code>ThreadPoolExecutor</code> and with the range function that produces the arguments for the <code>executor.map</code> call—or replace it with lists of handpicked values to create different delays.

Example 20-9 shows a sample run of Example 20-8.

Example 20-9. Sample run of demo_executor_map.py from Example 20-8

```
$ python3 demo executor map.py
[15:56:50] Script starting. ①
[15:56:50] loiter(0): doing nothing for 0s...
[15:56:50] loiter(0): done.
               loiter(1): doing nothing for 1s... 3
[15:56:50]
                       loiter(2): doing nothing for 2s...
[15:56:50]
[15:56:50] results: <generator object result iterator at 0x106517168> 4
[15:56:50]
                               loiter(3): doing nothing for 3s... 6
[15:56:50] Waiting for individual results:
[15:56:50] result 0: 0 6
[15:56:51]
               loiter(1): done. 7
                                       loiter(4): doing nothing for 4s...
[15:56:51]
[15:56:51] result 1: 10 8
[15:56:52]
                       loiter(2): done.
[15:56:52] result 2: 20
[15:56:53]
                               loiter(3): done.
[15:56:53] result 3: 30
```

- This run started at 15:56:50.
- The first thread executes loiter(0), so it will sleep for 0s and return even before the second thread has a chance to start, but YMMV.
- loiter(1) and loiter(2) start immediately (because the thread pool has three workers, it can run three functions concurrently).
- This shows that the results returned by executor.map is a generator; nothing so far would block, regardless of the number of tasks and the max_workers setting.
- **6** Because loiter(0) is done, the first worker is now available to start the fourth thread for loiter(3).
- This is where execution may block, depending on the parameters given to the loiter calls: the __next__ method of the results generator must wait until the first future is complete. In this case, it won't block because the call to loiter(0) finished before this loop started. Note that everything up to this point happened within the same second: 15:56:50.
- loiter(1) is done one second later, at 15:56:51. The thread is freed to start loiter(4).
- The result of loiter(1) is shown: 10. Now the for loop will block waiting for the result of loiter(2).
- The pattern repeats: loiter(2) is done, its result is shown; same with loiter(3).
- There is a 2s delay until loiter(4) is done, because it started at 15:56:51 and did nothing for 4s.

The Executor.map function is easy to use, but often it's preferable to get the results as they are ready, regardless of the order they were submitted.

To do that, we need a combination of the Executor.submit method and

the futures.as_completed function, as we saw in <u>Example 20-4</u>. We'll come back to this technique in <u>"Using futures.as_completed"</u>.

TIP

The combination of executor.submit and futures.as_completed is more flexible than executor.map because you can submit different callables and arguments, while executor.map is designed to run the same callable on the different arguments. In addition, the set of futures you pass to futures.as_completed may come from more than one executor—perhaps some were created by a ThreadPoolExecutor instance, while others are from a ProcessPoolExecutor.

In the next section, we will resume the flag download examples with new requirements that will force us to iterate over the results of futures.as completed instead of using executor.map.

Downloads with Progress Display and Error Handling

As mentioned, the scripts in <u>"Concurrent Web Downloads"</u> have no error handling to make them easier to read and to contrast the structure of the three approaches: sequential, threaded, and asynchronous.

In order to test the handling of a variety of error conditions, I created the flags2 examples:

flags2_common.py

This module contains common functions and settings used by all flags2 examples, including a main function, which takes care of command-line parsing, timing, and reporting results. That is really support code, not directly relevant to the subject of this chapter, so I will not list the source code here, but you can read it in the fluentpython/example-code-2e repository: 20-executors/getflags/flags2_common.py.

flags2_sequential.py

A sequential HTTP client with proper error handling and progress bar display. Its download_one function is also used by flags2 threadpool.py.

```
flags2_threadpool.py
```

Concurrent HTTP client based on futures. ThreadPoolExecutor to demonstrate error handling and integration of the progress bar.

flags2_asyncio.py

Same functionality as the previous example, but implemented with asyncio and httpx. This will be covered in "Enhancing the asyncio Downloader", in Chapter 21.

BE CAREFUL WHEN TESTING CONCURRENT CLIENTS

When testing concurrent HTTP clients on public web servers, you may generate many requests per second, and that's how denial-of-service (DoS) attacks are made. Carefully throttle your clients when hitting public servers. For testing, set up a local HTTP server. See <u>"Setting Up Test Servers"</u> for instructions.

The most visible feature of the flags2 examples is that they have an animated, text-mode progress bar implemented with the *tqdm* package. I posted a 108s video on YouTube to show the progress bar and contrast the speed of the three flags2 scripts. In the video, I start with the sequential download, but I interrupt it after 32s because it was going to take more than 5 minutes to hit on 676 URLs and get 194 flags. I then run the threaded and asyncio scripts three times each, and every time they complete the job in 6s or less (i.e., more than 60 times faster). Figure 20-1 shows two screenshots: during and after running *flags2_threadpool.py*.

Figure 20-1. Top-left: flags2_threadpool.py running with live progress bar generated by tqdm; bottom-right: same terminal window after the script is finished.

The simplest *tqdm* example appears in an animated *.gif* in the project's *README.md*. If you type the following code in the Python console after installing the *tqdm* package, you'll see an animated progress bar where the comment is:

Besides the neat effect, the tqdm function is also interesting conceptually: it consumes any iterable and produces an iterator which, while it's consumed, displays the progress bar and estimates the remaining time to complete all iterations. To compute that estimate, tqdm needs to get an iterable that has a len, or additionally receive the total= argument with the expected number of items. Integrating tqdm with our flags2 examples provides an opportunity to look deeper into how the concurrent scripts actually work, by forcing us to use the futures.as_completed and the asyncio.as_completed functions so that tqdm can display progress as each future is completed.

The other feature of the flags2 example is a command-line interface. All three scripts accept the same options, and you can see them by running any of the scripts with the -h option. Example 20-10 shows the help text.

Example 20-10. Help screen for the scripts in the flags2 series

```
$ python3 flags2 threadpool.py -h
usage: flags2 threadpool.py [-h] [-a] [-e] [-l N] [-m CONCURRENT] [-s LABEL]
                            \lceil - \nabla \rceil
                            [CC [CC ...]]
Download flags for country codes. Default: top 20 countries by population.
positional arguments:
                 country code or 1st letter (eg. B for BA...BZ)
 CC
optional arguments:
 -h, --help
                      show this help message and exit
                      get all available flags (AD to ZW)
 -a, --all
 -e, --every
                       get flags for every possible code (AA...ZZ)
  -l N, --limit N
                      limit to N first codes
  -m CONCURRENT, --max req CONCURRENT
                       maximum concurrent requests (default=30)
 -s LABEL, --server LABEL
                        Server to hit; one of DELAY, ERROR, LOCAL, REMOTE
```

-v, --verbose

All arguments are optional. But the -s/--server is essential for testing: it lets you choose which HTTP server and port will be used in the test. Pass one of these case-insensitive labels to determine where the script will look for the flags:

LOCAL

Use http://localhost:8000/flags; this is the default. You should configure a local HTTP server to answer at port 8000. See the following note for instructions.

REMOTE

Use http://fluentpython.com/data/flags; that is a public website owned by me, hosted on a shared server. Please do not pound it with too many concurrent requests. The *fluentpython.com* domain is handled by the <u>Cloudflare</u> CDN (Content Delivery Network) so you may notice that the first downloads are slower, but they get faster when the CDN cache warms up.

DELAY

Use http://localhost:8001/flags; a server delaying HTTP responses should be listening to port 8001. I wrote <code>slow_server.py</code> to make it easier to experiment. You'll find it in the <code>20-futures/getflags/directory</code> of the <code>Fluent Python code repository</code>. See the following note for instructions.

ERROR

Use http://localhost:8002/flags; a server returning some HTTP errors should be listening on port 8002. Instructions are next.

SETTING UP TEST SERVERS

If you don't have a local HTTP server for testing, I wrote setup instructions using only Python \geq 3.9 (no external libraries) in <u>20-executors/getflags/README.adoc</u> in the <u>fluentpython/example-code-2e</u> repository. In short, <u>README.adoc</u> describes how to use:

```
The LOCAL server on port 8000

python3 slow_server.py
The DELAY server on port 8001, which adds a random delay of .5s to 5s before each response

python3 slow_server.py 8002 --error-rate .25
The ERROR server on port 8002, which in addition to the random delay, has a 25% chance of returning a "418 I'm a teapot" error response
```

By default, each *flags2*.py* script will fetch the flags of the 20 most populous countries from the LOCAL server

(http://localhost:8000/flags) using a default number of concurrent connections, which varies from script to script. Example 20-11 shows a sample run of the <code>flags2_sequential.py</code> script using all defaults. To run it, you need a local server, as explained in "Be Careful when Testing Concurrent Clients".

Example 20-11. Running flags2_sequential.py with all defaults: LOCAL site, top 20 flags, 1 concurrent connection

You can select which flags will be downloaded in several ways. Example 20-12 shows how to download all flags with country codes starting with the letters A, B, or C.

Example 20-12. Run flags2_threadpool.py to fetch all flags with country codes prefixes A, B, or C from the DELAY server

Regardless of how the country codes are selected, the number of flags to fetch can be limited with the -1/--limit option. Example 20-13 demonstrates how to run exactly 100 requests, combining the -a option to get all flags with -1 100.

Example 20-13. Run flags2_asyncio.py to get 100 flags (-al 100) from the ERROR server, using 100 concurrent requests (-m 100)

That's the user interface of the flags2 examples. Let's see how they are implemented.

Error Handling in the flags2 Examples

The common strategy in all three examples to deal with HTTP errors is that 404 errors (not found) are handled by the function in charge of downloading a single file (download_one). Any other exception propagates to be handled by the download_many function or the supervisor coroutine—in the asyncio example.

Once more, we'll start by studying the sequential code, which is easier to follow—and mostly reused by the thread pool script. Example 20-14 shows the functions that perform the actual downloads in the flags2_sequential.py and flags2_threadpool.py scripts.

Example 20-14. flags2_sequential.py: basic functions in charge of downloading; both are reused in flags2_threadpool.py

```
from collections import Counter
from http import HTTPStatus
import httpx
import tqdm # type: ignore 0
from flags2 common import main, save flag, DownloadStatus 2
DEFAULT CONCUR REQ = 1
MAX CONCUR REQ = 1
def get flag(base url: str, cc: str) -> bytes:
    url = f'{base url}/{cc}/{cc}.gif'.lower()
    resp = httpx.get(url, timeout=3.1, follow redirects=True)
   resp.raise for status() 3
   return resp.content
def download one(cc: str, base url: str, verbose: bool = False) -> DownloadSt
    try:
        image = get flag(base url, cc)
    except httpx.HTTPStatusError as exc: 4
        res = exc.response
        if res.status code == HTTPStatus.NOT FOUND:
            status = DownloadStatus.NOT FOUND 6
           msg = f'not found: {res.url}'
        else:
           raise 6
    else:
        save flag(image, f'{cc}.gif')
       status = DownloadStatus.OK
       msg = 'OK'
    if verbose: 0
       print(cc, msg)
    return status
```

- Import the tqdm progress-bar display library, and tell Mypy to skip checking it. ²
- 2 Import a couple of functions and an Enum from the flags2 common module.
- Raises HTTPStetusError if the HTTP status code is not in range (200, 300).

- 4 download_one catches HTTPStatusError to handle HTTP code 404 specifically...
- **6** ...by setting its local status to DownloadStatus.NOT_FOUND; DownloadStatus is an Enum imported from flags2_common.py.
- **6** Any other HTTPStatusError exception is re-raised to propagate to the caller.
- If the -v/--verbose command-line option is set, the country code and status message are displayed; this is how you'll see progress in verbose mode.

<u>Example 20-15</u> lists the sequential version of the download_many function. This code is straightforward, but it's worth studying to contrast with the concurrent versions coming up. Focus on how it reports progress, handles errors, and tallies downloads.

Example 20-15. flags2_sequential.py: the sequential implementation of download many

```
def download_many(cc_list: list[str],
                base url: str,
                verbose: bool,
                unused concur req: int) -> Counter[DownloadStatus]:
   counter: Counter[DownloadStatus] = Counter()
   cc iter = sorted(cc list) 2
   if not verbose:
       for cc in cc iter:
       try:
           status = download one(cc, base url, verbose)
       except httpx.HTTPStatusError as exc: 6
           error msg = 'HTTP error {resp.status code} - {resp.reason phrase}
           error msg = error msg.format(resp=exc.response)
       except httpx.RequestError as exc: 6
           error msg = f'{exc} {type(exc)}'.strip()
       except KeyboardInterrupt: 0
           break
       else: 8
           error msg = ''
       if error msg:
           status = DownloadStatus.ERROR 9
       counter[status] += 1
                                    0
```

- This Counter will tally the different download outcomes:

 DownloadStatus.OK, DownloadStatus.NOT_FOUND, or

 DownloadStatus.ERROR.
- Oc_iter holds the list of the country codes received as arguments, ordered alphabetically.
- **3** If not running in verbose mode, cc_iter is passed to tqdm, which returns an iterator yielding the items in cc_iter while also animating the progress bar.
- Make successive calls to download one.
- HTTP status code exceptions raised by get_flag and not handled by download one are handled here.
- **6** Other network-related exceptions are handled here. Any other exception will abort the script, because the flags2_common.main function that calls download many has no try/except.
- Exit the loop if the user hits Ctrl-C.
- 1 If no exception escaped download one, clear the error message.
- **9** If there was an error, set the local status accordingly.
- Increment the counter for that status.
- In verbose mode, display the error message for the current country code, if any.
- Return counter so that main can display the numbers in the final report.

We'll now study the refactored thread pool example, *flags2_threadpool.py*.

Using futures.as_completed

In order to integrate the *tqdm* progress bar and handle errors on each request, the *flags2_threadpool.py* script uses

<code>futures.ThreadPoolExecutor</code> with the <code>futures.as_completed</code>

function we've already seen. Example 20-16 is the full listing of

<code>flags2_threadpool.py</code>. Only the <code>download_many</code> function is implemented;

the other functions are reused from <code>flags2_common.py</code> and

<code>flags2_sequential.py</code>.

Example 20-16. flags2_threadpool.py: full listing

```
from collections import Counter
from concurrent.futures import ThreadPoolExecutor, as completed
import httpx
import tqdm # type: ignore
from flags2 common import main, DownloadStatus
from flags2 sequential import download one 1
DEFAULT CONCUR REQ = 30 2
MAX CONCUR REQ = 1000 3
def download many(cc list: list[str],
                base url: str,
                verbose: bool,
                 concur req: int) -> Counter[DownloadStatus]:
   counter: Counter[DownloadStatus] = Counter()
   with ThreadPoolExecutor(max workers=concur req) as executor: •
       to do map = \{\}
       for cc in sorted(cc list): 6
           future = executor.submit(download one, cc,
                                  base url, verbose) 0
           to_do_map[future] = cc 8
       done iter = as completed(to do map) 9
       if not verbose:
           for future in done iter: 0
           try:
               status = future.result()
           except httpx.HTTPStatusError as exc: 19
               error msg = 'HTTP error {resp.status code} - {resp.reason phr
               error msg = error msg.format(resp=exc.response)
           except httpx.RequestError as exc:
               error msg = f'{exc} {type(exc)}'.strip()
           except KeyboardInterrupt:
```

```
break
else:
    error_msg = ''

if error_msg:
    status = DownloadStatus.ERROR
counter[status] += 1
    if verbose and error_msg:
        cc = to_do_map[future]
        print(f'{cc} error: {error_msg}')

return counter

if __name__ == '__main__':
    main(download_many, DEFAULT_CONCUR_REQ, MAX_CONCUR_REQ)
```

- Reuse download_one from flags2_sequential (Example 20-14).
- If the -m/--max_req command-line option is not given, this will be the maximum number of concurrent requests, implemented as the size of the thread pool; the actual number may be smaller if the number of flags to download is smaller.
- MAX_CONCUR_REQ caps the maximum number of concurrent requests regardless of the number of flags to download or the -m/--max_req command-line option. It's a safety precaution to avoid launching too many threads with their significant memory overhead.
- Create the executor with max_workers set to concur_req, computed by the main function as the smaller of:

 MAX_CONCUR_REQ, the length of cc_list, or the value of the -m/--max_req command-line option. This avoids creating more threads than necessary.
- **6** This dict will map each Future instance—representing one download—with the respective country code for error reporting.
- Iterate over the list of country codes in alphabetical order. The order of the results will depend on the timing of the HTTP responses more than anything, but if the size of the thread pool (given by

concur_req) is much smaller than len(cc_list), you may notice the downloads batched alphabetically.

- Each call to executor. submit schedules the execution of one callable and returns a Future instance. The first argument is the callable, the rest are the arguments it will receive.
- 8 Store the future and the country code in the dict.
- futures.as_completed returns an iterator that yields futures as each task is done.
- If not in verbose mode, wrap the result of as_completed with the tqdm function to display the progress bar; because done_iter has no len, we must tell tqdm what is the expected number of items as the total= argument, so tqdm can estimate the work remaining.
- Iterate over the futures as they are completed.
- Calling the result method on a future either returns the value returned by the callable, or raises whatever exception was caught when the callable was executed. This method may block waiting for a resolution, but not in this example because as_completed only returns futures that are done.
- Handle the potential exceptions; the rest of this function is identical to the sequential download_many in Example 20-15), except for the next callout.
- To provide context for the error message, retrieve the country code from the to_do_map using the current future as key. This was not necessary in the sequential version because we were iterating over the list of country codes, so we knew the current cc; here we are iterating over the futures.

TIP

Example 20-16 uses an idiom that is very useful with futures.as_completed: building a dict to map each future to other data that may be useful when the future is completed. Here the to_do_map maps each future to the country code assigned to it. This makes it easy to do follow-up processing with the result of the futures, despite the fact that they are produced out of order.

Python threads are well suited for I/O-intensive applications, and the concurrent.futures package makes it relatively simple to use for certain use cases. With ProcessPoolExecutor, you can also solve CPU-intensive problems on multiple cores—if the computations are "embarrassingly parallel". This concludes our basic introduction to concurrent.futures.

Chapter Summary

We started the chapter by comparing two concurrent HTTP clients with a sequential one, demonstrating that the concurrent solutions show significant performance gains over the sequential script.

After studying the first example based on concurrent.futures, we took a closer look at future objects, either instances of concurrent.futures.Future or asyncio.Future, emphasizing what these classes have in common (their differences will be emphasized in Chapter 21). We saw how to create futures by calling Executor.submit, and iterate over completed futures with concurrent.futures.as_completed.

We then discussed the use of multiple processes with the concurrent.futures.ProcessPoolExecutor class, to go around the GIL and use multiple CPU cores to simplify the multicore prime checker we first saw in Chapter 19.

In the following section, we saw how the concurrent.futures.ThreadPoolExecutor works with a didactic example, launching tasks that did nothing for a few seconds, except for displaying their status with a timestamp.

Next we went back to the flag downloading examples. Enhancing them with a progress bar and proper error handling prompted further exploration of the <code>future.as_completed</code> generator function, showing a common pattern: storing futures in a <code>dict</code> to link further information to them when submitting, so that we can use that information when the future comes out of the <code>as_completed</code> iterator.

Further Reading

The concurrent.futures package was contributed by Brian Quinlan, who presented it in a great talk titled "The Future Is Soon!" at PyCon Australia 2010. Quinlan's talk has no slides; he shows what the library does by typing code directly in the Python console. As a motivating example, the presentation features a short video with XKCD cartoonist/programmer Randall Munroe making an unintended DoS attack on Google Maps to build a colored map of driving times around his city. The formal introduction to the library is PEP 3148 - futures - execute computations asynchronously. In the PEP, Quinlan wrote that the concurrent futures library was "heavily influenced by the Java java.util.concurrent package."

For additional resources covering concurrent.futures, please see Chapter 19. All the references that cover Python's threading and multiprocessing in "Concurrency with Threads and Processes" also cover concurrent.futures.

SOAPBOX

Thread Avoidance

Concurrency: one of the most difficult topics in computer science (usually best avoided).

—David Beazley, Python instructor and mad scientist⁸

I agree with the apparently contradictory quotes by David Beazley and Michele Simionato at the start of this chapter.

I attended an undergraduate course about concurrency. All we did was POSIX threads programming. What I learned: I don't want to manage threads and locks myself, for the same reason that I don't want to manage memory allocation and deallocation. Those jobs are best carried out by the systems programmers who have the know-how, the inclination, and the time to get them right—hopefully. I am paid to develop applications, not operating systems. I don't need all the fine-grained control of threads, locks, malloc, and free—see "C dynamic memory allocation".

That's why I think the concurrent.futures package is interesting: it treats threads, processes, and queues as infrastructure at your service, not something you have to deal with directly. Of course, it's designed with simple jobs in mind, the so-called embarrassingly parallel problems. But that's a large slice of the concurrency problems we face when writing ap-

plications—as opposed to operating systems or database servers, as Simionato points out in that quote.

For "nonembarrassing" concurrency problems, threads and locks are not the answer either. Threads will never disappear at the OS level, but every programming language I've found exciting in the last several years provides higher-level, concurrency abstractions that are easier to use correctly, as the excellent *Seven Concurrency Models in Seven Weeks* book by Paul Butcher demonstrates. Go, Elixir, and Clojure are among them. Erlang—the implementation language of Elixir—is a prime example of a language designed from the ground up with concurrency in mind. Erlang doesn't excite me for a simple reason: I find its syntax ugly. Python spoiled me that way.

José Valim, previously a Ruby on Rails core contributor, designed Elixir with a pleasant, modern syntax. Like Lisp and Clojure, Elixir implements syntactic macros. That's a double-edged sword. Syntactic macros enable powerful DSLs, but the proliferation of sublanguages can lead to incompatible codebases and community fragmentation. Lisp drowned in a flood of macros, with each Lisp shop using its own arcane dialect. Standardizing around Common Lisp resulted in a bloated language. I hope José Valim can inspire the Elixir community to avoid a similar outcome. So far, it's looking good. The Ecto database wrapper and query generator is a joy to use: a great example of using macros to create a flexible yet user-friendly DSL—Domain-Specific Language—for interacting with relational and nonrelational databases.

Like Elixir, Go is a modern language with fresh ideas. But, in some regards, it's a conservative language, compared to Elixir. Go doesn't have macros, and its syntax is simpler than Python's. Go doesn't support inheritance or operator overloading, and it offers fewer opportunities for metaprogramming than Python. These limitations are considered features. They lead to more predictable behavior and performance. That's a big plus in the highly concurrent, mission-critical settings where Go aims to replace C++, Java, and Python.

While Elixir and Go are direct competitors in the high-concurrency space, their design philosophies appeal to different crowds. Both are likely to thrive. But in the history of programming languages, the conservative ones tend to attract more coders.

- 1 From Michele Simionato's post, <u>"Threads, processes and concurrency in Python: some thoughts"</u>, summarized as "Removing the hype around the multicore (non) revolution and some (hopefully) sensible comment about threads and other forms of concurrency."
- 2 Particularly if your cloud provider rents machines by the second, regardless of how busy the CPUs are.
- **3** For servers that may be hit by many clients, there is a difference: coroutines scale better because they use much less memory than threads, and also reduce the cost of context switching, which I mentioned in <u>"Thread-Based Nonsolution"</u>.
- 4 The images are originally from the <u>CIA World Factbook</u>, a public-domain, US government publication. I copied them to my site to avoid the risk of launching a DOS attack on *cia.gov*.
- 5 Setting follow_redirects=True is not needed for this example, but I wanted to highlight this important difference between *HTTPX* and *requests*. Also, setting follow_redirects=True in this example gives me flexibility to host the image files elsewhere in the future. I think the *HTTPX* default setting of follow_redirects=False is sensible because unexpected redirects can mask needless requests and complicate error diagnostics.
- Your mileage may vary: with threads, you never know the exact sequencing of events that should happen nearly at the same time; it's possible that, in another machine, you see <code>loiter(1)</code> starting before <code>loiter(0)</code> finishes, particularly because <code>sleep</code> always releases the GIL, so Python may switch to another thread even if you sleep for 0s.
- As of September 2021, there are no type hints in the current release of tdqm. That's OK. The world will not end because of that. Thank Guido for optional typing!
- 8 Slide #9 from <u>"A Curious Course on Coroutines and Concurrency"</u> tutorial presented at PyCon 2009.