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| Technical Report | |
| 3rd Generation Partnership Project;  Technical Specification Group TSG SA;  Study on Roaming Value­-Added Services  (Release 19) | |
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Contents

Foreword 4

1 Scope 6

2 References 6

3 Definitions of terms, symbols and abbreviations 6

3.1 Terms 6

3.2 Abbreviations 7

4 Overview 7

5 Use cases 7

5.1 Use case on welcome SMS 7

5.1.1 Description 7

5.1.2 Pre-conditions 7

5.1.3 Service Flows 7

5.1.4 Post-conditions 8

5.1.5 Existing features partly or fully covering the use case functionality 8

5.1.6 Potential New Requirements needed to support the use case 8

5.2 Use case on Steering of Roaming (SoR) during the registration procedure 8

5.2.1 Description 8

5.2.2 Pre-conditions 8

5.2.3 Service Flows 8

5.2.4 Post-conditions 9

5.2.5 Existing features partly or fully covering the use case functionality 9

5.2.6 Potential New Requirements needed to support the use case 9

5.3 Use case on Subscription-based routing to a particular core network 9

5.3.1 Description 9

5.3.2 Pre-conditions 9

5.3.3 Service Flows 10

5.3.3.1 Non roaming case 10

5.3.3.2 Roaming case 10

5.3.4 Post-conditions 10

5.3.5 Existing features partly or fully covering the use case functionality 10

5.3.6 Potential New Requirements needed to support the use case 10

6 Consolidated potential requirements 11

7 Conclusion and recommendations 12

Annex A (informative): Change history 12

# Foreword

This Technical Report has been produced by the 3rd Generation Partnership Project (3GPP).

The contents of the present document are subject to continuing work within the TSG and may change following formal TSG approval. Should the TSG modify the contents of the present document, it will be re-released by the TSG with an identifying change of release date and an increase in version number as follows:

Version x.y.z

where:

x the first digit:

1 presented to TSG for information;

2 presented to TSG for approval;

3 or greater indicates TSG approved document under change control.

y the second digit is incremented for all changes of substance, i.e. technical enhancements, corrections, updates, etc.

z the third digit is incremented when editorial only changes have been incorporated in the document.

In the present document, modal verbs have the following meanings:

**shall** indicates a mandatory requirement to do something

**shall not** indicates an interdiction (prohibition) to do something

The constructions "shall" and "shall not" are confined to the context of normative provisions, and do not appear in Technical Reports.

The constructions "must" and "must not" are not used as substitutes for "shall" and "shall not". Their use is avoided insofar as possible, and they are not used in a normative context except in a direct citation from an external, referenced, non-3GPP document, or so as to maintain continuity of style when extending or modifying the provisions of such a referenced document.

**should** indicates a recommendation to do something

**should not** indicates a recommendation not to do something

**may** indicates permission to do something

**need not** indicates permission not to do something

The construction "may not" is ambiguous and is not used in normative elements. The unambiguous constructions "might not" or "shall not" are used instead, depending upon the meaning intended.

**can** indicates that something is possible

**cannot** indicates that something is impossible

The constructions "can" and "cannot" are not substitutes for "may" and "need not".

**will** indicates that something is certain or expected to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**will not** indicates that something is certain or expected not to happen as a result of action taken by an agency the behaviour of which is outside the scope of the present document

**might** indicates a likelihood that something will happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

**might not** indicates a likelihood that something will not happen as a result of action taken by some agency the behaviour of which is outside the scope of the present document

In addition:

**is** (or any other verb in the indicative mood) indicates a statement of fact

**is not** (or any other negative verb in the indicative mood) indicates a statement of fact

The constructions "is" and "is not" do not indicate requirements.

# 1 Scope

The present document describes use cases related to the following three Roaming Value-Added Services (RVAS) that are enabled by the PLMN for 5GS roaming:

* Welcome SMS
* Steering of Roaming (SoR) during the registration procedure
* Subscription-based routing to a particular core network (e.g., in a different country)

Potential requirements are derived for these three services and consolidated in a dedicated chapter. The report ends with recommendation regarding the continuation of the work.

NOTE: This document is not expected to introduce any changes to the security mechanisms between operators, and responsible groups will verify that 5GS security mechanisms are not negatively impacted by these requirements.

# 2 References

The following documents contain provisions which, through reference in this text, constitute provisions of the present document.

- References are either specific (identified by date of publication, edition number, version number, etc.) or non‑specific.

- For a specific reference, subsequent revisions do not apply.

- For a non-specific reference, the latest version applies. In the case of a reference to a 3GPP document (including a GSM document), a non-specific reference implicitly refers to the latest version of that document *in the same Release as the present document*.

[1] 3GPP TR 21.905: "Vocabulary for 3GPP Specifications".

[2] 3GPP TS 33.501: "Security architecture and procedures for 5G System"

[3] 3GPP TR 22.003: "Circuit Teleservices supported by a Public Land Mobile Network (PLMN)".

[4] 3GPP TS 22.011: "Service Accessibility".

# 3 Definitions of terms, symbols and abbreviations

## 3.1 Terms

For the purposes of the present document, the terms given in 3GPP TR 21.905 [1] and the following apply. A term defined in the present document takes precedence over the definition of the same term, if any, in 3GPP TR 21.905 [1].

## 3.2 Abbreviations

For the purposes of the present document, the abbreviations given in 3GPP TR 21.905 [1] and the following apply. An abbreviation defined in the present document takes precedence over the definition of the same abbreviation, if any, in 3GPP TR 21.905 [1].

RVAS Roaming Value-Added Services

# 4 Overview

Roaming Value-Added Services (RVAS) form part of the roaming services ecosystem and have traditionally been provided by either the PLMN or outsourced to a fully trusted entity. The RVAS provider acting on behalf of the PLMN could be any trusted 3rd party. The focus of this work is on RVAS enabled by the PLMN for 5GS roaming.

With the introduction of e2e encryption for roaming in 5GS [2], it is in some cases not possible for the trusted entities to provide RVAS in a proprietary way and they therefore need to be standardized in order to work in a multi-vendor environment.

This report describes the following three RVAS that are enabled by the PLMN for 5GS roaming:

* Welcome SMS
* Steering of Roaming (SoR) during the registration procedure
* Subscription-based routing to a particular core network (e.g. in a different country)

# 5 Use cases

## 5.1 Use case on welcome SMS

### 5.1.1 Description

A welcome SMS is a SMS sent to a roaming subscriber’s UE when the UE is registered in a new network for the first time. The SMS typically follows a predefined template and is sent on behalf of the home operator and may contain relevant information related to the visited country e.g., the cost to call home, how to reach the operator’s customer service etc.

The use case describes how the home operator identifies that a user is registered in a new network and trigger sending a welcome SMS to the UE. The formatting and sending of the welcome SMS are done by an application server in the same way as many other SMS applications and is not described further in the use case.

### 5.1.2 Pre-conditions

A user X has a subscription with operator MNO1.

User X is going to a country for trip and brings the phone.

One of the operators in the country is MNO2.

### 5.1.3 Service Flows

User X arrives to the countries capital airport and turns off airplane mode on the UE at arrival.

The UE register to MNO2’s network.

MNO2 forwards the registration to user X’s HPLMN (i.e., MNO1).

MNO1 identifies that User X is registered in a new network and initiates a welcome SMS using a northbound API including the information about MNO2’s network and the needed subscriber information.

Either the HPLMN or a trusted 3rd party will trigger a welcome SMS to user X’s UE.

### 5.1.4 Post-conditions

Shortly an SMS is delivered to the UE with a welcome SMS containing useful information related to the new country.

### 5.1.5 Existing features partly or fully covering the use case functionality

The functionality to send MT SMS to the UE is “old as a rock” and is defined in a normative annex in 3GPP TS 22.003 [3].

### 5.1.6 Potential New Requirements needed to support the use case

[PR 5.1.6-001] The 5G system shall be able to support mechanisms for the HPLMN to provide a notification, including equipment and subscription identifiers, to a trusted application server when a UE successfully registers in a VPLMN. In response to the notification, the trusted application server can indicate specific actions to the HPLMN (e.g., send an SMS to the UE).

NOTE: The trusted application server can be hosted by the home operator or a trusted 3rd party and is out of 3GPP scope.

## 5.2 Use case on Steering of Roaming (SoR) during the registration procedure

### 5.2.1 Description

HPLMNs can steer their subscribers to preferred partner networks in case of roaming by means of issuing commands and updating the Operator Controlled PLMN Selector list on the USIM, either by using SMS or via signalling, as defined in TS 22.011 [2].

Additionally, for more short-term balancing of distribution across VPLMNs, operators use mechanisms to reject registration attempts from some share of UEs to certain VPLMNs to make them select a different VPLMN.

Both mechanisms – SoR as defined in 3GPP and the here described SoR during the registration procedure – can be applied in parallel by a HPLMN.

This use case describes how the home operator identifies that a roaming user attempts to register in a new network and triggers the sending of reject messages to the UE, resulting in the UE attempting to register to another VPLMN. The details of how often a reject is sent to a particular UE to achieve the desired result and to prevent the UE from being without a network, are left to the application server and not described here.

### 5.2.2 Pre-conditions

Users X and Y have a subscription with operator HPLMN1.

Both users X and Y are travelling to another country, where two networks are available – VPLMN1 and VPLMN2. Both networks have a roaming agreement with HPLMN1.

VPLMN1 has a higher priority for both users.

### 5.2.3 Service Flows

Users X and Y arrive at the country and switch on their phones. According to existing procedures both UEs select VPLMN1 as their first choice for registration and try to register on that network.

VPLMN1 forwards the registration request messages of the UEs of users X and Y to the HPLMN1.

HPLMN1 recognises the registration attempts and invokes the steering service via a northbound API. The steering service, hosted by the HPLMN or some trusted 3rd party, decides if some steering action is needed for any of the UEs.

In this use case it decides to allow the UE of user X to register on VPLMN1 whereas user Y’s UE should not use VPLMN1.

The steering service triggers the steering action using the northbound API for user Y’s UE, which results in a reject message being sent to this UE, including an appropriate reason for the rejection. The registration process for user X’s UE is not affected.

### 5.2.4 Post-conditions

While the UE of user X successfully registered to VPLMN1 the UE of user Y selects VPLMN2 as the only other available network and registers there.

If more than one remaining VPLMN is available, the UE picks one of them according to network selection procedures. The process of rejecting could be repeated as needed.

### 5.2.5 Existing features partly or fully covering the use case functionality

Registration to networks and rejecting registration attempts with different information corresponding to the reason for rejection, causing the UE to search for other networks.

### 5.2.6 Potential New Requirements needed to support the use case

[PR 5.2.6-001] The 5G system shall be able to support mechanisms enabling the HPLMN to:

- provide a notification, including subscription and equipment identifiers, to a trusted application server when a UE tries to register in a VPLMN

- receive a notification reply from the trusted application server indicating specific actions to the HPLMN e.g., reject UE registration (with a specific cause), trigger a SoR command.

NOTE: The trusted application server can be hosted by the home operator or a trusted 3rd party and is out of 3GPP scope.

## 5.3 Use case on Subscription-based routing to a particular core network

### 5.3.1 Description

Some operators use more than one PLMN ID, e.g., multi-national operators. Due to certain business and operational demands, it might be necessary to route signalling traffic of a certain customer segment, typically from a certain IMSI range of USIMs, of a PLMN to another PLMN and to further handle the subscriber there. This means the signalling is not handled by the "real" HPLMN (according to MNC and MCC) but by some alternative PLMN.

This e.g. enables the case where several national subsidiaries of a multi-national operator offer various services for different customer segments but for operational efficiency the actual service for a certain group is provided by only one dedicated network.

This mechanism is not visible for the UE and it therefore do not need any additional features to support this RVAS.

### 5.3.2 Pre-conditions

Subscriptions a, b, c and d are with operator MNO1.

Subscriptions b and c are part of a certain customer segment X and this information is part of the subscription.

MNO1 has an agreement with MNO2 that MNO2 shall handle the signalling of subscriptions of all UEs belonging to the customer segment X. For this purpose, there is a connection between the networks of MNO1 and MNO2.

### 5.3.3 Service Flows

#### 5.3.3.1 Non roaming case

The UEs of subscribers a, b, c and d attach to the PLMN of MNO1.

The network recognizes subscriptions b and c to be part of customer segment X and forwards the signalling to the PLMN of MNO2 via the pre-established connection.

Subscriptions a and d are not affected.

Later, subscription c is removed from customer segment X by customer care. This results in removal of the corresponding information in the subscription. From now on signalling related to subscription c will be handled by the network of MNO1 again.

Further on, subscription a is added to the customer segment X by customer care and subscription data are updated accordingly. So, signalling related to subscription a will be handled by the network of MNO2.

The UEs of subscribers c and a are not aware of these updates.

#### 5.3.3.2 Roaming case

Subscribers a, b, c and d attach to a VPLMN. The corresponding signalling is routed to their HPLMN (network of MNO1).

The further procedure is the same as in the non-roaming case: The HPLMN recognizes subscriptions b and c to be part of customer group X and forwards the signalling to the PLMN of MNO2 via the pre-established connection.

### 5.3.4 Post-conditions

Subscriptions of customer group X are handled by the network of MNO2, all other subscriptions by the regular HPLMN MNO1.

### 5.3.5 Existing features partly or fully covering the use case functionality

Subscriptions can contain a routing indicator which might be re-used for assigning a subscription to a certain customer group which requires routing to a different network.

### 5.3.6 Potential New Requirements needed to support the use case

[PR 5.3.6-001] The 5G system shall be able to support a mechanism for forwarding signalling traffic pertaining to UEs of specific subscribers from their HPLMN to a target PLMN, e.g., to enable further handling of those UEs by the target PLMN. The forwarding mechanism shall minimize signalling traffic in the HPLMN, e.g., by using efficient means to forward traffic from selected UEs.

NOTE 1: The above requirement assumes that the HPLMN has an agreement with the target PLMN and routing policies are in place.

NOTE 2: In case of UEs connected via a VPLMN, it is assumed that signalling traffic is forwarded to the target PLMN by the HPLMN.

# 6 Consolidated potential requirements

Table 6-1 –Consolidated Potential Requirements

| CPR # | Consolidated Potential Requirement | Original PR # | Comment |
| --- | --- | --- | --- |
| CPR 6-001 | The 5G system shall be able to support mechanisms for the HPLMN to provide a notification, including equipment and subscription identifiers, to a trusted application server when a UE successfully registers in a VPLMN. In response to the notification, the trusted application server can indicate specific actions to the HPLMN (e.g., send an SMS to the UE).  NOTE: The trusted application server can be hosted by the home operator or a trusted 3rd party and is out of 3GPP scope. | PR 5.1.6-001 |  |
| CPR 6-002 | The 5G system shall be able to support mechanisms enabling the HPLMN to:  - provide a notification, including subscription and equipment identifiers, to a trusted application server when a UE tries to register in a VPLMN  - receive a notification reply from the trusted application server indicating specific actions to the HPLMN e.g., reject UE registration (with a specific cause), trigger a SoR command.  NOTE: The trusted application server can be hosted by the home operator or a trusted 3rd party and is out of 3GPP scope. | PR 5.2.6-001 |  |
| CPR 6-003 | The 5G system shall be able to support a mechanism for forwarding signalling traffic pertaining to UEs of specific subscribers from their HPLMN to a target PLMN, e.g., to enable further handling of those UEs by the target PLMN. The forwarding mechanism shall minimize signalling traffic in the HPLMN, e.g., by using efficient means to forward traffic from selected UEs.  NOTE 1: The above requirement assumes that the HPLMN has an agreement with the target PLMN, and routing policies are in place.  NOTE 2: In case of UEs connected via a VPLMN, it is assumed that signalling traffic is forwarded to the target PLMN by the HPLMN. | PR 5.3.6-001 |  |

# 7 Conclusion and recommendations

This technical report provides use cases and potential new requirements for the three RVAS:

* Welcome SMS
* Steering of Roaming (SoR) during the registration procedure
* Subscription-based routing to a particular core network (e.g., in a different country)

The resulting service requirements have been consolidated and can be found in chapter 6.

It is recommended to consider the consolidated requirements identified in this TR as the baseline for subsequent normative work.

Annex A (informative):  
Change history

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **Change history** | | | | | | | |
| **Date** | **Meeting** | **Tdoc** | **CR** | **Rev** | **Cat** | **Subject/Comment** | **New version** |
| 2022-08 | SA1#99-e | S1-202010 |  |  |  | TR skeleton | 0.0.0 |
| 2022-09 | SA1#99-e | S1-222407, S1-222408,  S1-222409,  S1-222410,  S1-222411 |  |  |  | Scope,  Overview  Welcome SMS Use case  SoR during registration  IMSI based routing | 0.1.0 |
| 2022-11 | SA1#100 | S1-223375  [S1-223376](file:///C:\Users\13331\Documents\3gpp%20meeting\TSGS1_100_Toulouse\inbox\S1-223376.zip)  [S1-223388](file:///C:\Users\13331\Documents\3gpp%20meeting\TSGS1_100_Toulouse\inbox\agenda_drafting_sessions\docs\S1-19223388.zip)  [S1-223378](file:///C:\Users\13331\Documents\3gpp%20meeting\TSGS1_100_Toulouse\inbox\docs\S1-19223378.zip) |  |  |  | Editorial clean-up  Update of use case 3  Consolidation  Conclusion | 0.2.0 |
| 2022-12 | SA#98e | SP-221265 |  |  |  | MCC clean-up for presentation for one-step approval to TSG SA | 1.0.0 |
| 2022-12 | SA#98e | SP-221265 |  |  |  | Raised to v.19.0.0 following SA#98e one-step approval | 19.0.0 |