

# Advanced Machine Learning Seminar 3

**Exercise 1**  $\mathcal{H}$  – finite hypothesis class

$VC \dim(\mathcal{H}) \leq \lfloor \log_2(|\mathcal{H}|) \rfloor$  – upper bound

1. example of  $\mathcal{H}$  infinite,  $\mathcal{H}$  contains functions  $h: [0, 1] \rightarrow \{0, 1\}$  and  $VC \dim(\mathcal{H}) = 1$   
Take  $\mathcal{H}_{threshold}$  restricted to  $[0, 1]$

$$\mathcal{H}_{threshold, [0, 1]} = \{h_a: [0, 1] \rightarrow \{0, 1\}, h_a(x) = \mathbb{1}_{[x < a]}, a \in [0, 1]\}$$

$$h_a(x) = \begin{cases} 1, & 0 \leq x < a \leq 1 \\ 0, & \text{otherwise} \end{cases}$$

$VC \dim(\mathcal{H}_{threshold, [0, 1]}) = 1$  (very similar proof with the one provided in lecture 6)

2.  $\mathcal{H} = \{h_a, h_b\}$  – has only two functions  $h_a$  and  $h_b$

Take  $h_a, h_b \in \mathcal{H}_{threshold, [0, 1]}$ .

$$h_a = h_{0.5}, h_b = h_{0.75}$$

Take  $A = \{0.6\}$ .  $\mathcal{H}$  shatters  $A$  because  $\mathcal{H}_A$  has two functions  $h_a(0.6) = 0$  and  $h_b(0.6) = 1$ .

$$|\mathcal{H}_A| = 2^{|A|} = 2^1 = 2$$

$\mathcal{H}$  cannot shatter any set  $A$  of  $\min \geq 2$  points ( $\mathcal{H}$  has only 2 functions).

So  $VC \dim(\mathcal{H}) = 1 = \lfloor \log_2(|\mathcal{H}|) \rfloor$

**Exercise 2**  $\mathcal{H}_{rec}^d$  – class of axis aligned rectangles in  $\mathbb{R}^d$ . In lecture 6 we proved that  $VC \dim(\mathcal{H}_{rec}^2) = 4$ . We want to show, in the general case, that  $VC \dim(\mathcal{H}_{rec}^d) = 2d$ .

$$\mathcal{H}_{rec}^d = \{h_{(a_1, b_1, a_2, b_2, \dots, a_d, b_d)} \mid a_i \leq b_i, i = \overline{1, d}\}$$

$$h_{(a_1, b_1, a_2, b_2, \dots, a_d, b_d)}(\underline{x}) = \begin{cases} 1, & a_i \leq x^i \leq b_i \quad \forall i = \overline{1, d} \\ 0, & \text{otherwise} \end{cases}$$

$\underline{x} = (x^1, x^2, \dots, x^d)$

In order to show that  $VC \dim(\mathcal{H}_{rec}^d) = 2d$ , we need to show that:

- 1) there exists a set  $C$  of  $2d$  points that is shattered by  $\mathcal{H}_{rec}^d$   
(this will mean that  $VC \dim(\mathcal{H}_{rec}^d) \geq 2d$ )
- 2) every set  $C$  of  $2d + 1$  points is not shattered by  $\mathcal{H}_{rec}^d$  (this will mean that  $VC \dim(\mathcal{H}_{rec}^d) < 2d + 1$ )

Let's prove 1).

Consider  $C = \{c_1, c_2, c_3, \dots, c_{2d-1}, c_{2d}\}$  where

$$\begin{aligned} c_1 &= (1, 0, 0, \dots, 0) &= e_1 \\ c_2 &= (0, 1, 0, \dots, 0) &= e_2 \\ &\vdots \\ c_d &= (0, 0, 0, \dots, 1) &= e_d \\ c_{d+1} &= (-1, 0, 0, \dots, 0) &= -e_1 \\ c_{d+2} &= (0, -1, 0, \dots, 0) &= -e_2 \\ &\vdots \\ c_{2d} &= (0, 0, 0, \dots, -1) &= -e_d \end{aligned} \quad \begin{aligned} c_i &= e_i = -c_{i+d} \\ &\forall i = \overline{1, d} \end{aligned}$$

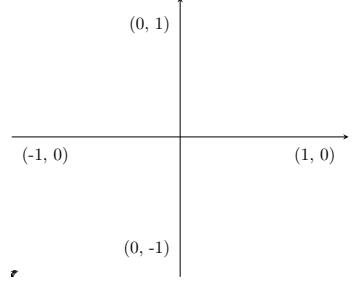
For  $d = 2$ , we will have in 2 dimensions:

$$c_1 = (1, 0) \quad c_2 = (0, 1) \quad c_3 = (-1, 0) \quad c_4 = (0, -1)$$

We want to show that, for each labeling  $(y_1, y_2, \dots, y_{2d})$  of the points  $(c_1, c_2, \dots, c_{2d})$  (there are  $2^{2d}$  possible labelings), there exists a function  $h$  in  $\mathcal{H}_{rec}^d$  such that  $h(c_i) = y_i \forall i = \overline{1, 2d}$ .

Consider a labeling  $(y_1, y_2, \dots, y_{2d}) \in \{0, 1\}^{2d}$ .

Each point  $c_i$  has all components = 0, apart from component  $i$  if  $i \in \{1, \dots, d\}$  or  $i - d$  if  $i \in \{d+1, \dots, 2d\}$ .



$$\begin{array}{c|c|c|c} c_1 = (1, 0, 0, \dots, 0) & c_2 = (0, 1, 0, \dots, 0) & \dots & c_d = (0, 0, 0, \dots, 1) \\ c_{d+1} = (-1, 0, 0, \dots, 0) & c_{d+2} = (0, -1, 0, \dots, 0) & & c_{2d} = (0, 0, 0, \dots, -1) \end{array}$$

We want to find  $h = h_{(a_1, b_1, a_2, b_2, \dots, a_d, b_d)}$  such that  $h_{(a_1, b_1, a_2, b_2, \dots, a_d, b_d)}(c_i) = y_i$ .

The choice of the interval  $[a_i, b_i]$  is influenced by the labels  $y_i$  and  $y_{i+d}$  of the points  $c_i$  and  $c_{i+d}$ . As all other points  $c_1, c_2, \dots, c_{i-1}, c_{i+1}, \dots, c_{i+d-1}, c_{i+d+1}, \dots, c_{2d}$  have 0 on the  $i$ -th component, we have that  $[a_i, b_i]$  should contain 0, otherwise each point will be labeled with 0.

So  $[a_i, b_i]$  depends on  $y_i$  and  $y_{i+d}$ , and  $[a_i, b_i]$  decides basically the label of points  $c_i$  and  $c_{i+d}$ :

$$c_i = (0, \dots, 0, 1, 0, \dots, 0) \quad c_{i+d} = (0, \dots, 0, -1, 0, \dots, 0)$$

Possible cases:

- I  $y_i = 0, y_{i+d} = 0$ , then  $[a_i, b_i] \cap \{-1, 1\} = \emptyset$   
 $[a_i, b_i]$  should not contain points -1 and 1.  
 In this case, take  $a_i = -0.5, b_i = 0.5$  (many other choices are possible)
- II  $y_i = 0, y_{i+d} = 1$ , then  $[a_i, b_i] \cap \{-1, 1\} = \{-1\}$   
 $[a_i, b_i]$  should contain only point -1 such that  $c_{i+d}$  will get label 1.  
 In this case, take  $a_i = -2, b_i = 0.5$  (many other choices are possible)
- III  $y_i = 1, y_{i+d} = 0$ , then  $[a_i, b_i] \cap \{-1, 1\} = \{1\}$   
 $[a_i, b_i]$  should contain only point +1 such that  $c_i$  will get label 1.  
 In this case, take  $a_i = -0.5, b_i = 2$  (many other choices are possible)
- IV  $y_i = 1, y_{i+d} = 1$ , then  $[a_i, b_i] \cap \{-1, 1\} = \{-1, 1\}$   
 $[a_i, b_i]$  should contain both points  $\{-1, 1\}$  such that  $c_i$  and  $c_{i+d}$  will get label 1.  
 In this case, take  $a_i = -2, b_i = 2$  (many other choices are possible)

In all cases, we have that  $h_{(a_1, b_1, a_2, b_2, \dots, a_d, b_d)}(c_i) = y_i, \forall i = \overline{1, 2d}$ , where each interval  $[a_i, b_i]$  was determined based on  $y_i$  and  $y_{i+d}$ ,  $i = \overline{1, d}$ .

So,  $VC \dim(\mathcal{H}_{rec}^d) \geq 2d$ . □

**2)** Let  $C$  be a set of size  $2d + 1$  points. We will show that  $C$  cannot be shattered by  $\mathcal{H}_{rec}^d$ .

Because we have  $2d + 1$  points in  $C$  and there are only  $d$  dimensions, there will exist a point  $x \in C$  such that, for each dimension  $i = \overline{1, d}$  there will be 2 points  $x'$  and  $x'' \in C$  such that  $x'_i \leq x_i \leq x''_i$  (the point  $x_i$  is “inside” the convex hull determined by all other points in dimension  $i$ ).

So the label for which  $x$  has value 0 and all other  $2d$  points get label 1 cannot be realized by any function  $h \in \mathcal{H}_{rec}^d$  (because  $x$  is inside the rectangle) that contain all other points. □

**Exercise 3**  $\mathcal{H}_{con}^d$  – class of Boolean conjunctions over the variables  $x_1, x_2, \dots, x_d, d \geq 2$

$$\mathcal{H}_{con}^d = \left\{ h: \{0, 1\}^d \rightarrow \{0, 1\}, h(x_1, x_2, \dots, x_d) = \bigwedge_{i=1, d} l(x_i) \right\}$$

$$l(x_i) = \text{literal of variable } x_i$$

$$l(x_i) \in \{x_i, \overline{x_i}, \underset{missing}{1}\}$$

We also consider that  $h^- \in \mathcal{H}_{con}^d$ ,  $h^-(x_1, x_2, \dots, x_d) = 0$  always.

- a) So  $|\mathcal{H}_{con}^d| = 3^d + 1$ .
- b)  $VC \dim(\mathcal{H}_{con}^d) \leq \lfloor \log_2(3^d + 1) \rfloor$
- c) We will show that  $\mathcal{H}_{con}^d$  shatters the set of unit vectors  $\{e_i, i \leq d\}$   
 $e_i = (0, 0, \dots, 0, \underset{i}{1}, 0, \dots, 0)$

Consider  $C = \{e_1, e_2, \dots, e_d\}$ . We want to prove that, for each possible labeling  $(y_1, y_2, \dots, y_d)$ , there exists an  $h \in \mathcal{H}_{con}^d$  such that  $h(e_i) = y_i$ .

Consider a labeling  $(y_1, y_2, \dots, y_d)$  and take  $\mathcal{J} = \{j \mid y_j = 1\}$ .

If  $\mathcal{J} = \emptyset \Rightarrow h^-$  realizes the labeling  $(0, 0, \dots, 0)$ .

If  $\mathcal{J} = \{1, \dots, d\} \Rightarrow h_{empty}$  (all literals are missing) = 1  $\forall x_i$  realizes the labeling  $(1, 1, \dots, 1)$ .

In all other cases, define

$$h_{\mathcal{J}} = \bigwedge_{j \notin \mathcal{J}} \overline{x_j} = \bigwedge_{j \in \{1, \dots, d\} \setminus \mathcal{J}} \overline{x_j}$$

If  $\mathcal{J} = \{1, 2, 4\}$ , define  $h_{\mathcal{J}} = \overline{x_3} \wedge \overline{x_5} \wedge \overline{x_6}$  ( $d = 6$ ).

$$\begin{aligned} h_{\mathcal{J}}(e_1) &= h_{\mathcal{J}}(1, 0, 0, 0, 0, 0) = \overline{0} \wedge \overline{0} \wedge \overline{0} = 1 \\ h_{\mathcal{J}}(e_2) &= h_{\mathcal{J}}(0, 1, 0, 0, 0, 0) = \overline{0} \wedge \overline{0} \wedge \overline{0} = 1 \\ h_{\mathcal{J}}(e_4) &= h_{\mathcal{J}}(0, 0, 0, 1, 0, 0) = \overline{0} \wedge \overline{0} \wedge \overline{0} = 1 \\ h_{\mathcal{J}}(e_3) &= h_{\mathcal{J}}(0, 0, 1, 0, 0, 0) = \overline{1} \wedge \overline{0} \wedge \overline{0} = 0 \\ h_{\mathcal{J}}(e_5) &= h_{\mathcal{J}}(0, 0, 0, 0, 1, 0) = \overline{0} \wedge \overline{1} \wedge \overline{0} = 0 \\ h_{\mathcal{J}}(e_6) &= h_{\mathcal{J}}(0, 0, 0, 0, 0, 1) = \overline{0} \wedge \overline{0} \wedge \overline{1} = 0 \end{aligned}$$

So,  $h_{\mathcal{J}}(e_j) = 1$  if  $j \in \mathcal{J}$

and  $h_{\mathcal{J}}(e_j) = 0$  if  $j \notin \mathcal{J}$ .

This proves that  $\mathcal{H}_{con}^d$  shatters  $C \Rightarrow VC \dim(\mathcal{H}_{con}^d) \geq d$ .

d) We want to show that  $VC \dim(\mathcal{H}_{con}^d) < d + 1$ .

Assume that there exists a set  $C = \{c_1, c_2, \dots, c_{d+1}\}$  of points from  $\{0, 1\}^d$  that is shattered by  $\mathcal{H}_{con}^d$ , so  $|\mathcal{H}_{con_C}^d| = |\{h: C \rightarrow \{0, 1\}, h \in \mathcal{H}\}| = 2^{d+1}$ .

$$\begin{aligned} c_1 \in \{0, 1\}^d &\Rightarrow c_1 = (c_1^1, c_1^2, \dots, c_1^d) \in \{0, 1\}^d \\ c_2 \in \{0, 1\}^d &\Rightarrow c_2 = (c_2^1, c_2^2, \dots, c_2^d) \quad \text{Each point } c_i \text{ has} \\ &\dots \quad \quad \quad d \text{ components from } \{0, 1\} \\ c_i \in \{0, 1\}^d &\Rightarrow c_i = (c_i^1, c_i^2, \dots, c_i^d) \end{aligned}$$

We want to find a contradiction and show that  $\mathcal{H}_{con}^d$  doesn't shatter any set  $C$  of  $d + 1$  points.

If  $\mathcal{H}_{con}^d$  shatters  $C$ , then among the  $2^{d+1}$  function  $h: C \rightarrow \{0, 1\}$  we will have the following  $d + 1$  functions (for simplicity we will denote this functions with  $h_1, h_2, \dots, h_{d+1}$ ):

$$\begin{aligned} h_1: \{0, 1\}^d &\rightarrow \{0, 1\} \text{ such that} & h_1(c_1) = 0, h_1(c_2) = 1, h_1(c_3) = 1, \dots, h_1(c_{d+1}) = 1 \\ h_2: \{0, 1\}^d &\rightarrow \{0, 1\} \text{ such that} & h_2(c_1) = 1, h_2(c_2) = 0, h_2(c_3) = 1, \dots, h_2(c_{d+1}) = 1 \\ h_3: \{0, 1\}^d &\rightarrow \{0, 1\} \text{ such that} & h_3(c_1) = 1, h_3(c_2) = 1, h_3(c_3) = 0, \dots, h_3(c_{d+1}) = 1 \\ &\vdots & \\ h_{d+1}: \{0, 1\}^d &\rightarrow \{0, 1\} \text{ such that} & h_{d+1}(c_1) = 0, h_{d+1}(c_2) = 1, h_{d+1}(c_3) = 1, \dots, h_{d+1}(c_{d+1}) = 0 \end{aligned}$$

So  $h_i$ , with  $i \in \{1, \dots, d + 1\}$  realizes the labels  $(1, 1, \dots, 1, 0, 1, 1, \dots, 1)$ .

So we have

$$h_i(c_j) = \begin{cases} 0, & \text{if } i = j \\ 1, & \text{if } i \neq j \end{cases}$$

We will use the functions  $h_1, h_2, \dots, h_{d+1}$  to arrive at a contradiction. Each  $h_i$  is in  $\mathcal{H}_{con}^d$ , so it can be written as a conjunction of literals, where each literal from the writing of  $h_i$  can have three values for

$$\text{a variable } x_k: l_i(x_k) = \begin{cases} x_k, & \text{positive literal} \\ \overline{x_k}, & \text{negative literal} \\ 1, & \text{missing literal} \end{cases}$$

For example, if we consider  $d = 3$ , a possible  $h$  from  $\mathcal{H}_{con}^3$  could be  $h = x_1 \wedge \overline{x_2}$ , in this case  $h = l(x_1) \wedge l(x_2)$  with  $l(x_1) = x_1$ ,  $l(x_2) = \overline{x_2}$ ,  $l(x_3) = 1$ .

In the general case, we have

$$h_i(x_1, x_2, \dots, x_d) = \bigwedge_{k=1}^d l_i(x_k), \quad l_i(x_k) \in \{x_k, \overline{x_k}, 1\}$$

Now, we go back to our  $h_1, h_2, \dots, h_{d+1}$ .

$$\begin{aligned} h_1 &\text{ realizes the labels } (0, 1, 1, 1, \dots, 1) \text{ on } \{c_1, c_2, \dots, c_{d+1}\} = C \\ h_2 &\text{ realizes the labels } (1, 0, 1, 1, \dots, 1) \text{ on } \{c_1, c_2, \dots, c_{d+1}\} = C \\ &\vdots \\ h_{d+1} &\text{ realizes the labels } (1, 1, 1, 1, \dots, 0) \text{ on } \{c_1, c_2, \dots, c_{d+1}\} = C \end{aligned}$$

We will use the labels 0 to come up with a contradiction.

$$\text{Because } h_1(c_1) = 0 \Leftrightarrow h_1(c_1^1, c_1^2, \dots, c_1^d) = \bigwedge_{k=1}^d l_1(c_1^k) = l_1(c_1^1) \wedge l_1(c_1^2) \wedge \dots \wedge l_1(c_1^d) = 0$$

$$\Rightarrow \exists k_1 \in \{1, \dots, d\} \text{ such that } l_1(c_1^{k_1}) = 0$$

$$\text{Because } h_2(c_2) = 0 \Leftrightarrow h_2(c_2^1, c_2^2, \dots, c_2^d) = \bigwedge_{k=1}^d l_2(c_2^k) = l_2(c_2^1) \wedge l_2(c_2^2) \wedge \dots \wedge l_2(c_2^d) = 0$$

$$\Rightarrow \exists k_2 \in \{1, \dots, d\} \text{ such that } l_2(c_2^{k_2}) = 0$$

...

$$\text{Because } h_{d+1}(c_{d+1}) = 0 \Leftrightarrow h_{d+1}(c_{d+1}^1, c_{d+1}^2, \dots, c_{d+1}^d) = \bigwedge_{k=1}^d l_{d+1}(c_{d+1}^k) =$$

$$= l_{d+1}(c_{d+1}^1) \wedge l_{d+1}(c_{d+1}^2) \wedge \dots \wedge l_{d+1}(c_{d+1}^d) = 0$$

$$\Rightarrow \exists k_{d+1} \in \{1, \dots, d\} \text{ such that } l_{d+1}(c_{d+1}^{k_{d+1}}) = 0$$

$$\text{So we have that } l_1(x_{k_1}) = 0 \quad \text{where } x_{k_1} = c_1^{k_1} \text{ variable on position } k_1$$

$$l_2(x_{k_2}) = 0 \quad \text{where } x_{k_2} = c_2^{k_2} \text{ variable on position } k_2$$

$\vdots$

$$l_{d+1}(x_{k_{d+1}}) = 0 \quad \text{where } x_{k_{d+1}} = c_{d+1}^{k_{d+1}} \text{ variable on position } k_{d+1}$$

We have  $d + 1$  literals that use variables  $x_1, x_2, \dots, x_d$ . So there are at least two literals using the same variable. Let these literals be  $l_i$  and  $l_j$  and assume that the variable they use is  $x_k$ .

...

$$h_i = l_i(x_1) \wedge l_i(x_2) \wedge \dots \wedge \underline{l_i(x_k)} \wedge \dots$$

...

$$h_j = l_j(x_1) \wedge l_j(x_2) \wedge \dots \wedge \underline{l_j(x_k)} \wedge \dots$$

...

We will use  $l_i$  and  $l_j$  to arrive at a contradiction.

We know that  $l_i$  and  $l_j$  satisfy the following conditions:

$$l_i(c_i^k) = 0 \text{ (because } h_i(c_i) = 0 \text{ and the conjunction contains literal } l_i(c_i^k) \text{ which is 0)}$$

$$l_j(c_j^k) = 0 \text{ (because } h_j(c_j) = 0 \text{ and the conjunction contains literal } l_j(c_j^k) \text{ which is 0)}$$

$$\text{In general we have that } l_i(x_k) \in \{x_k, \overline{x_k}, 1\}, \quad l_j(x_k) \in \{x_k, \overline{x_k}, 1\}$$

$$\text{But } l_i(x_k) \neq 1 \text{ because we have that } l_i(c_i^k) = 0.$$

$$\text{Same argument goes for } l_j(x_k) \neq 1.$$

$$\text{So } l_i(x_k) \text{ can take values in } \{x_k, \overline{x_k}\} \text{ and } l_j(x_k) \text{ can take values in } \{x_k, \overline{x_k}\}.$$

There are 4 possible cases.

Case 1:  $l_i(x_k) = x_k, l_j(x_k) = x_k$

$$h_i(c_i) = l_i(c_i^1) \wedge l_i(c_i^2) \wedge \dots \wedge l_i(c_i^k) \wedge \dots = 0$$

$\stackrel{0}{\parallel}$

$$\text{We have that } l_i(c_i^k) = c_i^k = 0.$$

$$\text{But we also have that } h_j(c_j) = 1 \Leftrightarrow l_j(c_j^1) \wedge l_j(c_j^2) \wedge \dots \wedge l_j(c_j^k) \wedge \dots = 1$$

$$\text{This means that all literals are 1, including } l_j(c_j^k).$$

$$\text{But } l_j(c_j^k) = c_j^k = 0. \text{ So we have a contradiction.}$$

Case 2:  $l_i(x_k) = \overline{x_k}, l_j(x_k) = \overline{x_k}$

$$h_i(c_i) = l_i(c_i^1) \wedge l_i(c_i^2) \wedge \cdots \wedge l_i(c_i^k) \wedge \cdots = 0$$

$\parallel$   
0

We have that  $l_i(c_i^k) = \overline{c_i^k} = 1 - c_i^k = 0 \Rightarrow c_i^k = 1$ .

But we have that  $h_j(c_i) = 1 \Leftrightarrow l_j(c_i^1) \wedge l_j(c_i^2) \wedge \cdots \wedge l_j(c_i^k) \wedge \cdots = 1 \Rightarrow l_j(c_i^k) = 1$ .

But  $l_j(c_i^k) = 1 - c_i^k = 0$ . Contradiction.

Case 3:  $l_i(x_k) = x_k, l_j(x_k) = \overline{x_k}$

Take another point  $c_m$  that is different than  $c_i$  and  $c_j$ ,  $m \neq i, m \neq j$  and  $1 \leq m \leq d+1$ .

So we have  $h_i(c_m) = h_j(c_m) = 1$

$$h_i(c_m) = \cdots \wedge l_i(c_m^k) \wedge \cdots = 1 \Rightarrow l_i(c_m^k) = c_m^k = 1$$

$$h_j(c_m) = \cdots \wedge l_j(c_m^k) \wedge \cdots = 1 \Rightarrow l_j(c_m^k) = 1 - c_m^k = 1 \Rightarrow c_m^k = 0. \text{ Contradiction.}$$

Case 4:  $l_i(x_k) = \overline{x_k}, l_j(x_k) = x_k$

Same as **case 3**, you will see that

$$l_i(c_m^k) = 1 - c_m^k = 1 \Rightarrow c_m^k = 0$$

$$l_j(c_m^k) = c_m^k = 1. \text{ Contradiction.}$$

#### Exercise 4

$$\mathcal{H} = \left\{ \begin{array}{l} h_{a,b,s}: a \leq b, s \in \{-1, 1\}, \\ h_{a,b,s}(x) = \begin{cases} s, & x \in [a, b] \\ -s, & x \notin [a, b] \end{cases} \end{array} \right\}$$

See label 0 as label -1.

$VC \dim(\mathcal{H}) = ?$

$\mathcal{H}$  contains functions parametrized by 3 params (a, b, s). Intuition tells us that  $VC \dim(\mathcal{H}) = 3$  (not always, but usually).

Let's consider  $C = \{c_1, c_2, c_3\}$  a set of 3 distinct points with  $c_1 < c_2 < c_3$  (for example, take  $c_1 = 0, c_2 = 1, c_3 = 2$ ).

To obtain labels  $(0, 0, 0)((-1, -1, -1))$ , take  $a = b = c_1 - 1, s = 1$  or  $a = c_1, b = c_3, s = -1$  ✓

To obtain labels  $(1, 1, 1)$ , take  $a = c_1, b = c_3, s = 1$  ✓

To obtain labels  $(1, -1, -1)$ , take  $a = c_1, b = \frac{c_1 + c_2}{2}, s = 1$

To obtain labels  $(-1, 1, 1)$ , take  $a = c_1, b = \frac{c_1 + c_2}{2}, s = -1$

To obtain labels  $(-1, 1, -1)$ , take  $a = \frac{c_1 + c_2}{2}, b = \frac{c_2 + c_3}{2}, s = 1$

To obtain labels  $(1, -1, 1)$ , take  $a = \frac{c_1 + c_2}{2}, b = \frac{c_2 + c_3}{2}, s = -1$

To obtain labels  $(-1, -1, 1)$ , take  $a = \frac{c_2 + c_3}{2}, b = c_3 + 1, s = 1$

To obtain labels  $(1, 1, -1)$ , take  $a = \frac{c_2 + c_3}{2}, b = c_3 + 1, s = -1$

So  $\mathcal{H}$  shatters  $C$ , so  $VC \dim(\mathcal{H}) \geq 3$ .

Now, take  $C$ , a set of 4 points,  $C = \{c_1, c_2, c_3, c_4\}$ ,  $c_1 \leq c_2 \leq c_3 \leq c_4$ .

Then  $\mathcal{H}$  cannot realize the labels  $(1, -1, 1, -1)$ .

This happens for any  $C$ . So  $VC \dim(\mathcal{H}) < 4$ . So  $VC \dim(\mathcal{H}) = 3$