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## Perfect Graph Recognition and Coloring

Master Thesis

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#### Abstract

Perfect graphs are a subject of intense study since their introduction in 1961. Only in 2001 the famous perfect graph conjecture was proven to be true and in 2005 a polynomial method of determining if a graph is perfect was found. Since 1988 an algorithm is known for coloring perfect graphs, but it uses an ellipsoid method which is said to be complicated and impractical. As recently as in 2018 a polynomial algorithm that uses a combinatorial approach for coloring perfect graphs without squares was published.

We begin with basic definitions in Chapter 1. In Chapter 2 we introduce perfect graphs and Berge graphs, give an overview of the strong perfect graph theorem and talk about an algorithm for polynomial perfect graph recognition. Chapter 3 is a study of the ellipsoid method of coloring perfect graphs. In Chapter 4 we present our implementation of algorithms from Chapters 2 and 3, along with notes on optimization and parallelisation. Appendix A is a overview of the recent algorithm for coloring square-free perfect graphs.

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### Chapter 1

## **Basic Graph Definitions**

We begin by recalling the basic notions of graph theory. We use standard definitions, sourced from the book by Bollobás *Modern graph theory*, modified and extended as needed.

**Definition 1.1** (graph). A graph G is an ordered pair of disjoint sets (V, E) such that E is the subset of the set  $\binom{V}{2}$ , that is, of unordered pairs of V.

We will only consider finite graphs, that is, V and E are always finite. If G is a graph, then V = V(G) is the *vertex set* of G, and E = E(G) is the *edge set* of G. When the context of G is clear we will use V and E to denote its vertex and edge set.

An edge  $\{x,y\}$  is said to *join*, or *be between* vertices x and y and is denoted by xy. Thus xy and yx denote the same edge (all our graphs are *undirected*). If  $xy \in E(G)$  then x and y are *adjacent*, *connected* or *neighboring*.

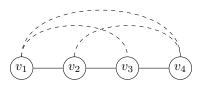


Figure 1.1: An example graph  $G_0$ 

By N(x) we will denote the neighborhood of x, that is, all vertices y such that xy is an edge. Similarly, for  $X \subseteq V(G)$ , by N(X) we will denote the neighborhood of X, meaning all vertices of  $v \in V(G) \setminus X$ , so that there is a  $x \in X$ , that xv is an edge in G. If  $xy \notin E(G)$  then xy is a nonedge and x and y are nonneighbors or anticonnected.

Figure 1.1 shows an example graph  $G_0 = (V, E)$  with  $V = \{v_1, v_2, v_3, v_4\}$  and  $E = \{v_1v_2, v_2v_3, v_3v_4\}$ . We will mark edges as solid lines on figures. Nonedges significant to the ongoing reasoning will be marked as dashed lines.

**Definition 1.2** (subgraph). A graph G' = (V', E') is a subgraph of G = (V, E) if and only if  $V' \subseteq V$  and  $E' \subseteq E$ .

**Definition 1.3** (induced subgraph). If G' = (V', E') is a subgraph of G and it contains all edges of G that join two vertices in V', then G' is said to be an induced subgraph of G and is denoted G[V'].

Given a graph G = (V, E) and a set  $X \subseteq V$  by  $G \setminus X$  we will denote the induced subgraph  $G[V \setminus X]$ .

For example  $(\{v_1, v_2, v_3\}, \{v_1v_2\})$  is *not* an induced subgraph of the example graph  $G_0$ , while  $(\{v_1, v_2, v_3\}, \{v_1v_2, v_2v_3\}) = G_0[\{v_1, v_2, v_3\}] = G_0 \setminus \{v_0\}$  is.

**Definition 1.4** (X-completeness). Given a graph G = (V, E) and a set  $X \subseteq V$ , vertex  $v \in V(G) \setminus X$  is X-complete if and only if it is adjacent to every vertex  $x \in X$ . A set  $Y \subseteq V$  is X-complete if and only if  $X \cap Y = \emptyset$  and every vertex  $y \in Y$  is X-complete.

For example, for  $X = \{v_2\}$ , the set  $\{v_1, v_3\}$  is X-complete in G, while the set  $\{v_3, v_4\}$  is not.

**Definition 1.5** (path). A path is a graph P of the form

$$V(P) = \{x_1, x_2, \dots, x_l\}, \quad E(P) = \{x_1 x_2, x_2 x_3, \dots, x_{l-1} x_l\}$$

This path P is usually denoted by  $x_1x_2...x_l$  or  $x_1-x_2-...x_l$ . The vertices  $x_1$  and  $x_l$  are the *endvertices* and l-1=|E(P)| is the *length* of the path P.  $\{x_2,...x_{l-1}\}$  is the *inside* of the path P, denoted as  $P^*$ . Notice that we don't allow any edges other than the ones between consecutive vertices for a graph to be called a path.

Our graph  $G_0$  is a path of length 3, with the inside  $G_0^* = \{v_2, v_3\}$ . If we added any edge to the  $G_0$  it would stop being a path.

**Definition 1.6** (connected graph, subset). A graph G is connected if and only if for every pair  $\{x,y\} \subseteq V(G)$  of distinct vertices, there is a path from x to y. A subset  $X \subseteq V(G)$  is connected if and only if the graph G[X] is connected.

**Definition 1.7** (component). A component of a graph G is its maximal connected induced subgraph.

**Definition 1.8** (cycle). A cycle is a graph C of the form

$$V(C) = \{x_1, x_2, \dots, x_l\}, \quad E(C) = \{x_1, x_2, x_2, \dots, x_{l-1}, x_l, x_l\}$$

This cycle C is usually denoted by  $x_1x_2...x_lx_1$  or  $x_1-x_2-...-x_l-x_1$ . l = |E(C)| is the *length* of the cycle C. Sometimes we will denote the cycle of length l as  $C_l$ .

**Definition 1.9** (hole). A hole is a cycle of length at least four.

If a path, a cycle or a hole has an odd length, it will be called *odd*. Otherwise, it will be called *even*. Notice that if we add an edge  $v_1v_4$  to the path  $G_0$  it becomes an even cycle  $C_4$ .

**Definition 1.10** (complement). A complement of a graph G = (V, E) is a graph  $\overline{G} = (V, {V \choose 2} \setminus E)$ , that is, two vertices x, y are adjacent in  $\overline{G}$  if and only if they are not adjacent in G.

**Definition 1.11** (anticonnected graph, subset). A graph G is anticonnected if and only if  $\overline{G}$  is connected. A subset X is anticonnected if and only if  $\overline{G}[X]$  is connected.

**Definition 1.12** (anticomponent). An anticomponent of a graph G is an induced subgraph whose complement is a component in  $\overline{G}$ .

**Definition 1.13** (antipath). An antipath is a graph G such that  $\overline{G}$  is a path.

**Definition 1.14** (antihole). An antihole is a graph G such that  $\overline{G}$  is a hole.

**Definition 1.15** (clique). A complete graph or a clique is a graph of the form  $G = (V, \binom{V}{2})$ , that is, every two vertices are connected.

We will denote the clique on n vertices as  $K_n$ .

**Definition 1.16** (clique number). The clique number of a graph G, denoted as  $\omega(G)$ , is a cardinality of its largest induced clique.

**Definition 1.17** (independent set). An independent set or a stable set is a graph of the form  $G = (V, \emptyset)$ , that is no vertices are connected.

In a similar fashion, given a graph G=(V,E), a subset of its vertices  $V'\subseteq V$  will be called *independent* (in the context of G) if and only if G[V'] is an independent set.

**Definition 1.18** (stability number). The stability number of a graph G, denoted as  $\alpha(G)$ , is a cardinality of its largest induced stable set.

**Definition 1.19** (coloring). Given a graph G, its coloring is a function  $c: V(G) \to \mathbb{N}^+$ , such that for every edge  $xy \in E(G)$ ,  $c(x) \neq c(y)$ . A k-coloring of G (if exists) is a coloring, such that  $c(x) \leq k$  for all vertices  $x \in V(G)$ .

**Definition 1.20** (chromatic number). The chromatic number of a graph G, denoted as  $\chi(G)$ , is a smallest natural number k, for which there exists a k-coloring of G.

**Definition 1.21** (line graph). The line graph of a graph G = (V, E) is the graph L(G) with V(L(G)) = E and  $E(L(G)) = \{e_1e_2 : e_1, e_2 \in E, e_1 \cap e_2 \neq \emptyset\}$ , that is,  $e_1, e_2 \in E$  are adjacent if and only if they share an endpoint in G.

### Chapter 2

## Perfect Graphs

Given a graph G, let us consider a problem of coloring it using as few colors as possible. If G contains a clique K as a subgraph, we must use at least |V(K)| colors to color it. This gives us a lower bound for the chromatic number  $\chi(G)$  – it is always greater or equal to the cardinality of the largest clique  $\omega(G)$ . The reverse is not always true, in fact we can construct a graph with no triangles and requiring arbitrarily large numbers of colors (e.g. construction by Mycielski [43]).

Do graphs that admit coloring using only  $\omega(G)$  color are "simpler" to further analyze? Not necessarily so. Given a graph G = (V, E), let us construct a graph G' as the union of G and a clique  $K_{|V|}$ . We can see that indeed  $\chi(G') = \omega(G') = |V|$ , but it gives us no indication of the structure of G or G'. This leads us to the hereditary definition of perfect graphs.

**Definition 2.1** (perfect graph). A graph G is perfect if and only if for its every induced subgraph H we have  $\chi(H) = \omega(H)$ .

The notion of perfect graphs was first introduced by Berge in 1961 [5] and it indeed captures some of the idea of graph being "simple" – in all perfect graphs the coloring problem, maximum (weighted) clique problem, and maximum (weighted) independent set problem can be solved in polynomial time [24]. Other classical NP-complete problems remain hard in perfect graphs e.g. Hamiltonian path [42], maximum cut problem [6] or dominating set problem [21]. There are many well-known subclasses of perfect graphs, we take a look and benchmark our implementations on some of them in Section 4.4

The most fundamental problem – the problem of recognizing perfect graphs – was open since its posing in 1961 until recently. Its solution, a polynomial algorithm recognizing perfect graphs, is a union of the strong perfect graph theorem (Section 2.1) stating that a graph is perfect if and only if it is Berge and an algorithm for recognizing Berge graphs in polynomial time (Section 2.2).

**Definition 2.2** (Berge graph). A graph G is Berge if and only if both G and  $\overline{G}$  have no odd holes as induced subgraphs.

Perfect graphs are interesting not only because of their theoretical properties, but they are also used in other areas of study e.g. integrality of polyhedra [16, 14], radio channel assignment problem [38, 39] and appear in the theory of Shannon capacity of a graph [31]. Also, as pointed out in [45, 14], algorithms to solve semidefinite programs grew out of the theory of perfect graphs. We will take a look at semidefinite programs and at perfect graph's relation to the Shannon capacity in Section 3.1.1.

### 2.1 Strong perfect graph theorem

The first step to solve the problem of recognizing perfect graphs was the *(weak)* perfect graph theorem first conjectured by Berge in 1961 [5] and then proven by Lovász in 1972 [32].

**Theorem 2.1.1** (Perfect graph theorem [32]). A graph is perfect if and only if its complement graph is also perfect.

This theorem is a consequence of a stronger result proven by Lovász:

**Theorem 2.1.2** ([32], Claim 1 of [19]). A graph G is perfect if and only if for every induced subgraph H, the number of vertices of H is at most  $\alpha(H)\omega(H)$ .

Then, since  $\alpha(H) = \omega(\overline{H})$  and  $\omega(H) = \alpha(\overline{H})$  Theorem 2.1.2 implies Theorem 2.1.1. We skip the proof of Theorem 2.1.2, as it is quite technical.

Odd holes are not perfect, since their chromatic number is 3 and their largest cliques are of size 2. It is also easy to see, that an odd antihole of size n has the chromatic number of  $\frac{n+1}{2}$  and largest cliques of size  $\frac{n-1}{2}$ . A graph with no odd holes and no odd antiholes is called Berge (Definition 2.2) after Claude Berge who studied perfect graphs.

In 1961 Berge conjectured that a graph is perfect if and only if it contains no odd holes and no odd antiholes in what has become known as a strong perfect graph conjecture. In 2001 Chudnovsky et al. have proven it and published the proof in an over 150 pages long paper "The strong perfect graph theorem" [15].

**Theorem 2.1.3** (Strong perfect graph theorem, Theorem 1.1 of [15]). A graph is perfect if and only if it is Berge.

The proof is long and complicated. Moreover, it has little noticeable connection to the algorithm of recognizing Berge graphs we discuss later. Therefore we will discuss it very briefly following the overview by Cornuéjols [19].

#### Basic classes of perfect graphs

Bipartite graphs are perfect, since we can color them with two colors. From the theorem of König [27] we get that line graphs of bipartite graphs are also perfect [19]. From the perfect graph theorem (Theorem 2.1.1) it follows that complements of bipartite graphs and complement of line graphs of bipartite graphs are also perfect. We will call these four classes *basic*.

#### 2-join, Homogeneous Pair and Skew Partition

A graph G has a 2-join if and only if its vertices can be partitioned into sets  $V_1$ ,  $V_2$ , each of size at least three, and there are nonempty disjoint subsets  $A_1, B_1 \subseteq V_1$  and  $A_2, B_2 \subseteq V_2$ , such that all vertices of  $A_1$  are adjacent to all vertices of  $A_2$ , all vertices of  $B_1$  are adjacent to all vertices of  $B_2$ , and these are the only edges between  $V_1$  and  $V_2$ . When a graph G has a 2-join, it can be decomposed onto two smaller graphs  $G_1$ ,  $G_2$ , so that G is perfect if and only if  $G_1$  and  $G_2$  are perfect [20].

A graph G has a homogeneous pair if V(G) can be partitioned into subsets  $A_1, A_2, B$ , such that  $|A_1| + |A_2| \ge 3$ ,  $|B| \ge 2$  and if a vertex  $v \in B$  is adjacent to a vertex from  $A_i$ , then it is adjacent to all vertices from  $A_i$ . Chvátal and Sbihi proved that no minimally imperfect graph has a homogeneous pair [17].

A graph G has a *skew partition* if V(G) can be partitioned into nonempty subsets A, B, C, D such that there are all possible edges between A and B and no edges between C and D. Chudnovsky et at. proved that no minimally imperfect graph has a skew partition.

The proof of Theorem 2.1.3 is a consequence of the *Decomposition theorem*:

**Theorem 2.1.4** (Decomposition theorem, stated as Theorem 4.2 of [19]). Every Berge graph G is basic or has a skew partition or a homogeneous pair, or either G or  $\overline{G}$  has a 2-join.

See [15] for the full proof of Theorem 2.1.3 and [19] for its shorter overview.

### 2.2 Recognizing perfect graphs

The following section is based on the paper by Chudnovsky et al. "Recognizing Berge Graphs" [11]. We will not provide full proof of its correctness, but we will aim to show the intuition behind the algorithm.

Berge graph recognition algorithm (later called CCLSV from the names of its authors) could be divided into two parts: first we check if either G or  $\overline{G}$  contain any of a number of simple forbidden structures (Section 2.2.1). If they do, we output that graph is not Berge and stop. Else, we check if there is a near-cleaner for a shortest odd hole or for shortest odd antihole (Section 2.2.2). If we find no such near-cleaners, then, as we will see, the graph is Berge.

#### 2.2.1 Simple forbidden structures

#### **Pyramids**

A pyramid in G is an induced subgraph formed by the union of a triangle  $\{b_1, b_2, b_3\}$ , three paths  $\{P_1, P_2, P_3\}$  and another vertex a, so that:

<sup>&</sup>lt;sup>1</sup>A triangle is a clique  $K_3$ .

- $\forall_{1 \leq i \leq 3} P_i$  is a path between a and  $b_i$ ,
- $\forall_{1 \leq i < j \leq 3}$  a is the only vertex in both  $P_i$  and  $P_j$  and  $b_i b_j$  is the only edge between  $V(P_i) \setminus \{a\}$  and  $V(P_j) \setminus \{a\}$ ,
- a is adjacent to at most one of  $\{b_1, b_2, b_3\}$ .

We will say that a is *linked onto* the triangle  $\{b_1, b_2, b_3\}$  via the paths  $P_1$ ,  $P_2$ ,  $P_3$ . Let us notice, that a pyramid is uniquely determined by its paths  $P_1$ ,  $P_2$ ,  $P_3$ .

It is easy to see that every graph containing a pyramid contains an odd hole – at least two of the paths  $P_1$ ,  $P_2$ ,  $P_3$  will have the same parity.

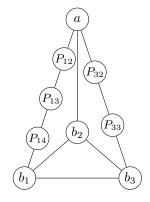


Figure 2.1: An example of a pyramid.

#### Finding Pyramids.

#### **Algorithm 2.2.1** (Test if G contains a pyramid)

1: **procedure** Contains-Pyramid(G)

Input: A graph G.

Output: Returns whether G contains a pyramid as an induced subgraph.

```
2:
        for each triangle b_1, b_2, b_3 do
            for each s_1, s_2, s_3, such that for 1 \le i < j \le 3, \{b_i, s_i\} is disjoint
 3:
                  from \{b_j, s_j\} and b_i b_j is the only edge between them do
                if there is a vertex a, adjacent to all of s_1, s_2, s_3, and to at most
 4:
                      one of b_1, b_2, b_3, such that if a is adjacent to b_i, then b_i = s_i
                    M \leftarrow V(G) \setminus \{b_1, b_2, b_3, s_1, s_2, s_3\}
 5:
                    for each m \in M do
 6:
                        S_1(m) \leftarrow the shortest path between s_1 and m such that
 7:
                          s_2, s_3, b_2, b_3 have no neighbors in its interior, if such a
                          path exists.
                        calculate S_2(m), S_3(m) similarly
 8:
                        T_1(m) \leftarrow the shortest path between m and b_1, such that
 9:
                          s_2, s_3, b_2, b_3 have no neighbors in its interior, if such a
                          path exists
                        calculate T_2(m), T_3(m) similarly
10:
11:
                    end for
                    if s_1 = b_1 then
                                                      \\ calculate all possible P_1 paths
12:
                        P_1(b_1) \leftarrow \text{the one-vertex path } b_1
13:
                        for each m \in M do P_1(m) \leftarrow \text{UNDEFINED}
14:
                    else
15:
                        P_1(b_1) \leftarrow \text{UNDEFINED}
16:
                        for each m \in M do
17:
                            if m is nonadjacent to all of b_2, b_3, s_2, s_3 and
18:
                                  S_1(m) and T_1(m) both exist and
```

```
V(S_1(m) \cap T_1(m)) = \{m\} and
                                 there are no edges between V(S_1(m) \setminus m)
                                 and V(T_1(m) \setminus m)
                                  then
                               P_1(m) \leftarrow s_1 - S_1(m) - m - T_1(m) - b_1
19:
20:
                               P_1(m) \leftarrow \text{UNDEFINED}
21:
                           end if
22:
                       end for
23:
                    end if
24:
                    assign P_2 and P_3 in a similar manner
25:
                    good\_pairs_{1,2} \leftarrow \emptyset
                                                            \ see below for definition
26:
                    for each m_1 \in M \cup \{b_1\} do
                                                         27:
28:
                       if P_1(m_1) \neq \text{UNDEFINED then}
                           color black the vertices of M that either belong to
29:
                             P_1(m_1) or have a neighbor in P_1(m_1)
                           color all other vertices white.
30:
                           for each m_2 \in M \cup \{b_2\} do
31:
                               if P_2(m_2) exists and contains no black vertices
32:
                                   add (m_1, m_2) to good\_pairs_{1,2}
33:
                               end if
34:
                           end for
35:
                       end if
36:
37:
                    end for
                    calculate good\_pairs_{1,3} and good\_pairs_{2,3} in similar way
38:
                   for each triple m_1, m_2, m_3 such that m_i \in M \cup \{b_i\} do
39:
                       if \forall_{1 \leq i < j \leq 3}: (m_i, m_j) \in good\_pairs_{i,2} then
40:
                          return TRUE
41:
                       end if
42:
                   end for
43:
               end if
44:
           end for
45:
        end for
46:
       return FALSE
47:
48: end procedure
```

With definitions as above, for  $1 \leq i < j \leq 3$ , we say that  $(m_i, m_j)$  is a good (i,j)-pair, if and only if  $m_i \in M \cup \{b_i\}$ ,  $m_j \in M \cup \{b_j\}$ ,  $P_i(m_i)$  and  $P_j(m_j)$  both exist, and the sets  $V(P_i(m_i)), V(P_j(m_j))$  are both disjoint and  $b_ib_j$  is the only edge between them. In line 29 we color vertices of  $P_1(m_1)$  black, so that for each  $m_2$  we can check if paths  $P_1(m_1)$  and  $P_2(m_2)$  are disjoint in O(|V|) time

It is easy to see, that if Contains-Pyramid(G) outputs that G contains a pyramid, it indeed does – when we return in line 41 the vertex a found in line 4 can be linked into a triangle  $b_1, b_2, b_3$  via paths  $P_1(m_1), P_2(m_2), P_3(m_3)$  for  $m_1$ ,

 $m_2$ ,  $m_3$  from line 39. The proof of the converse is rather technical and we refer to Theorem 2.2 of [11] for it.

Now we will prove the time complexity.

**Theorem 2.2.1** (part of Theorem 2.2 of [11]). Procedure Contains-Pyramid (G) works in  $O(|V|^9)$  time.

*Proof.* There are  $O(|V|^3)$  triangles (line 2) and  $O(|V|^3)$  triples  $s_1$ ,  $s_2$ ,  $s_3$  (line 3), so lines 4-44 are executed at most  $O(|V|^6)$  times.

Checking if there exists an appropriate a takes linear time (line 4). Calculating paths  $S_i$  and  $T_i$  (lines 6-11) takes  $O(|V|^2)$  time for each  $m \in M$  and  $O(|V|^3)$  in total. Similarly, it takes  $O(|V|^3)$  time to calculate all  $P_i$  paths (lines 12-25).

Then, for each  $m_1$  we do at most O(|V|) work in line 29 and for each  $(m_1, m_2)$  we do at most O(|V|) work in line 32.

Finally, there are  $O(|V|^3)$  pairs  $m_1, m_2, m_3$  and checking each takes O(1) time which gives us the overall running time of  $O(|V|^9)$ .

#### **Jewels**

Five vertices  $v_1, \ldots, v_5$  and a path P form a *jewel* if and only if:

- $v_1, \ldots, v_5$  are distinct vertices,
- $v_1v_2, v_2v_3, v_3v_4, v_4v_5, v_5v_1$  are edges,
- $v_1v_3, v_2v_4, v_1, v_4$  are nonedges,
- P is a path between  $v_1$  and  $v_4$ , such that  $v_2, v_3, v_5$  have no neighbors in its inside.

Notice that given a jewel  $v_1, \ldots, v_5, P$ , if the path P is odd, then  $v_1$ -P- $v_4$ - $v_5$ - $v_1$  is an odd hole. If the path P is even, then  $v_1$ -P- $v_4$ - $v_3$ - $v_2$ - $v_1$  is an odd hole.

The most obvious way to find a jewel is to enumerate all (possibly chordal) cycles of length 5 as  $v_1, \ldots v_5$ , check if it has all required nonedges

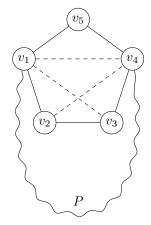


Figure 2.2: An example of a jewel.

and if it does, try to find a path P as required. This gives us a time of  $O(|V|^7)$ . We could speed it up to  $O(|V|^6)$  with a more careful algorithm (see Algorithm 3.1 of [11]), but since whole Berge recognition algorithm takes time  $O(|V|^9)$  and our testing showed that time it takes to test for jewels is negligible we decided against it.

#### Configurations of type $\mathcal{T}_1$

A configuration of type  $\mathcal{T}_1$  is a hole of length 5. To find it, we simply iterate over all paths of length 4 and check if there exists a fifth vertex to complete the hole. See Section 4.1 for more implementation details.

#### Configurations of type $\mathcal{T}_2$

A configuration of type  $\mathcal{T}_2$  is a tuple  $(v_1, v_2, v_3, v_4, P, X)$ , such that:

- $v_1v_2v_3v_4$  is a path in G,
- X is an anticomponent of the set of all  $\{v_1, v_2, v_4\}$ -complete vertices,
- P is a path in  $G \setminus (X \cup \{v_2, v_3\})$  between  $v_1$  and  $v_4$  and no vertex in  $P^*$  is X-complete or adjacent to  $v_2$  or adjacent to  $v_3$ .

Checking if configuration of type  $\mathcal{T}_2$  exists in our graph is straightforward: we enumerate all paths  $v_1 \dots v_4$ , calculate set of all  $\{v_1, v_2, v_4\}$ -complete vertices and its anticomponents. Then, for each anticomponent X we check if required path P exists.

To prove that the existence of a configuration of type  $\mathcal{T}_2$  implies that the graph is not Berge, we will need the following lemma:

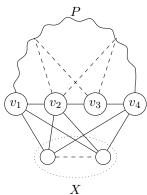


Figure 2.3: An example of a  $\mathcal{T}_2$ .

**Lemma 2.2.2** (Roussel-Rubio Lemma [46, 11]). Let G be Berge, X be an anticonnected subset of V(G), P be an odd path  $p_1 \ldots p_n$  in  $G \setminus X$  with length at least 3, such that  $p_1$  and  $p_n$  are X-complete and  $p_2, \ldots, p_{n-1}$  are not. Then:

- P is of length at least 5 and there exist nonadjacent  $x, y \in X$ , such that there are exactly two edges between x, y and  $P^*$ , namely  $xp_2$  and  $yp_{n-1}$ ,
- or P is of length 3 and there is an odd antipath joining internal vertices of P with interior in X.

Now, we shall prove the following:

**Theorem 2.2.3** (Theorem 6.3 of [11]). If G contains configuration of type  $\mathcal{T}_2$  then G is not Berge.

*Proof.* Let  $(v_1, v_2, v_3, v_4, P, X)$  be a configuration of type  $\mathcal{T}_2$ . Let us assume that G is not Berge and consider the following:

- if P is even, then  $v_1, v_2, v_3, v_4, P, v_1$  is an odd hole,
- if P is of length 3, let us name its vertices  $v_1, p_2, p_3, v_4$ . It follows from Lemma 2.2.2, that there exists an odd antipath between  $p_2$  and  $p_3$  with interior in X. We can complete it with  $v_2p_2$  and  $v_2p_3$  into an odd antihole,
- if P is odd with the length of at least 5, it follows from Lemma 2.2.2 that we have  $x, y \in X$  with only two edges to P being  $xp_2$  and  $yp_{n-1}$ . This gives us an odd hole:  $v_2$ -x- $p_2$ -...- $p_{n-1}$ -y- $v_2$ .

#### Configurations of type $\mathcal{T}_3$

A configuration of type  $\mathcal{T}_3$  is a sequence  $v_1, \ldots, v_6$ , P, X, such that:

- $v_1, \ldots v_6$  are distinct vertices,
- $v_1v_2$ ,  $v_3v_4$ ,  $v_1v_4$ ,  $v_2v_3$ ,  $v_3v_5$ ,  $v_4v_6$  are edges, and  $v_1v_3$ ,  $v_2v_4$ ,  $v_1v_5$ ,  $v_2v_5$ ,  $v_1v_6$ ,  $v_2v_6$ ,  $v_4v_5$  are nonedges,
- X is an anticomponent of the set of all  $\{v_1, v_2, v_5\}$ -complete vertices, and  $v_3, v_4$  are not X-complete,
- P is a path of G \ (X ∪ {v<sub>1</sub>, v<sub>2</sub>, v<sub>3</sub>, v<sub>4</sub>}) between v<sub>5</sub> and v<sub>6</sub> and no vertex in P\* is X-complete or adjacent to v<sub>1</sub> or adjacent to v<sub>2</sub>,
- If  $v_5v_6$  is an edge, then  $v_6$  is not X-complete.

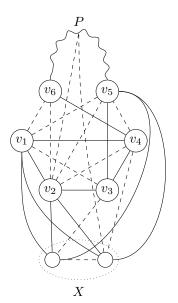


Figure 2.4: An example of a  $\mathcal{T}_3$ .

## **Algorithm 2.2.2** (Test if G contains a $\mathcal{T}_3$ ) Input: A graph G.

Output: Returns whether G contains a configuration of type  $\mathcal{T}_3$  as an induced subgraph.

```
1: procedure Contains-T3(G)
```

```
for each v_1, v_2, v_5 \in V(G), so that v_1v_2 is an edge and
               v_1v_5, v_2v_5 are nonedges do
             Y \leftarrow \text{the set of all } \{v_1, v_2, v_5\}-complete vertices.
 3:
             for each X – an anticomponent of Y do
 4:
                 F' \leftarrow \text{maximal connected subset containing } v_5, \text{ such that } v_1, v_2
 5:
                   have no neighbors in F' and no vertex of F' \setminus \{v_5\} is X-complete.
                  F'' \leftarrow the set of all X-complete vertices that have a neighbor in
 6:
                   F' and are nonadjacent to all of v_1, v_2 and v_5
                 F \leftarrow F' \cup F''
 7:
                 for each v_4 \in V(G) \setminus \{v_1, v_2, v_5\}, such that v_4 is adjacent to v_1
 8:
                        and not to v_2 and v_5 do
                      if v_4 has a neighbor in F and a nonneighbor in X then
 9:
                          v_6 \leftarrow a neighbor of v_4 in F
10:
                          for each v_3 \in V(G) \setminus \{v_1, v_2, v_4, v_5, v_6\} do
11:
                              if v_3 is adjacent to v_2, v_4, v_5 and not adjacent to v_1
12:
                                   P \leftarrow \text{a path from } v_6 \text{ to } v_5 \text{ with interior in } F'
13:
                                                               \setminus \setminus v_1, \dots v_6, P, X \text{ is a } \mathcal{T}_3
                                   return TRUE
14:
                              end if
15:
                          end for
16:
                      end if
17:
```

```
      18:
      | end for

      19:
      end for

      20:
      end for

      21:
      return FALSE

      22:
      end procedure
```

It is easy to see that when Algorithm 2.2.2 reports a configuration of type  $\mathcal{T}_3$  in line 14, there indeed is one. We will skip the proof that each graph containing a  $\mathcal{T}_3$  is not Berge, as it is quite technical. See section 6.7 of [11] for the proof.

**Theorem 2.2.4** (part of Theorem 6.4 of [11]). Algorithm 2.2.2 runs in  $O(|V|^6)$  time

*Proof.* There are  $O(|V|^3)$  triples  $(v_1, v_2, v_5)$  we enumerate in line 2. For each, there are O(|V|) Xs, so lines 5-18 are executed  $O(|V|^4)$  times.

Each execution of lines 5-7 takes  $O(|V|^2)$  time, and there are O(|V|)  $v_4$ s to enumerate. Line 9 takes O(|V|) time and then there are O(|V|)  $v_3$  to enumerate. Each is checked in O(1) time. If we find the correct one, we calculate a path P, but return right away, so we calculate P at most once in the whole algorithm.  $\square$ 

When graphs G and  $\overline{G}$  contain no pyramids, no jewels and no configurations of type  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ , we will say that graph G contains no *simple forbidden structures*.

#### 2.2.2 Amenable holes.

As we will see (Theorem 2.2.5), if graph G contains no simple forbidden structures, then every shortest odd hole in G or  $\overline{G}$  will have a special structure and we will call it amenable. This fact will help us determine if G or  $\overline{G}$  contain an odd hole. But first, let us introduce a few new definitions.

**Definition 2.3** (relevant triple). Given a graph G, a triple (a,b,c) of vertices is called relevant if and only if  $a \neq b$  (but possibly  $c \in \{a,b\}$ ) and  $G[\{a,b,c\}]$  is an independent set.

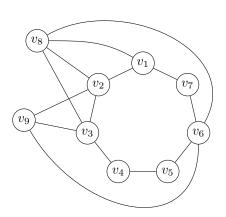
**Definition 2.4** (C-major vertices). Given a shortest odd hole C in G, a vertex  $v \in V(G) \setminus V(C)$  is C-major if and only if the set of its neighbors in C is not contained in any 3-vertex path of C.

**Definition 2.5** (clean odd hole). An odd hole C of G is clean if and only if no vertex in G is C-major.

**Definition 2.6** (cleaner). Given a shortest odd hole C in G, a subset  $X \subseteq V(G)$  is a cleaner for C if and only if  $X \cap V(C) = \emptyset$  and every C-major vertex belongs to X.

Let us notice, that if X is a cleaner for C, then C is a clean hole in  $G \setminus X$ .

**Definition 2.7** (near-cleaner). Given a shortest odd hole C in G, a subset  $X \subseteq V(G)$  is a near-cleaner for C if and only if X contains all C-major vertices and  $X \cap V(C)$  is a subset of vertex set of some 3-vertex path of C.



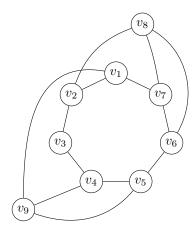


Figure 2.5: Amenable odd hole

Figure 2.6: Not amenable odd hole

**Definition 2.8** (amenable odd hole). An odd hole C of G is amenable if and only if it is a shortest odd hole in G,  $|E(C)| \geq 7$ , and for every anticonnected set X of C-major vertices there is a X-complete edge in C.

Some pictures might be helpful. Figure 2.5 shows an example of an amenable odd hole  $C = v_1 - \dots - v_7 - v_1$ . It is not a clean hole – vertices  $v_8$  and  $v_9$  are C-major, and  $\{v_8, v_9, v_1, v_2, v_3\}$  is an example of a near-cleaner for C. Figure 2.6 shows an odd hole  $C = v_1 - \dots - v_7 - v_1$  that is not amenable, because there is no  $\{v_8, v_9\}$ -complete edge in C.

**Theorem 2.2.5** (Theorem 8.1 of [11]). Let G be a graph, such that G and  $\overline{G}$  contain no pyramids, no jewels and no configurations of types  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ . Then every shortest odd hole in G is amenable.

The proof of this theorem is quite technical and we will not discuss it here. See section 8 of [11] for the proof. We can see an example of the contraposition of the Theorem 2.2.5 working in Figure 2.6 – vertices  $v_1$ ,  $v_2$ ,  $v_8$ ,  $v_6$ ,  $v_7$  and a path  $v_1$ - $v_9$ - $v_5$ - $v_6$  form a jewel.

With Theorem 2.2.5 we can describe the rest of the algorithm.

#### Algorithm 2.2.3 (List possible near cleaners)

Input: A graph G containing no simple forbidden structures.

Output:  $O(|V|^5)$  subsets of V(G), such that if C is an amenable hole in G, then one of the subsets is a near-cleaner for C.

- 1: **procedure** List-Possible-Near-Cleaners(G)
- 2:  $\mathcal{X} \leftarrow \emptyset$
- 3: **for each** relevant triple (a, b, c) **do**

```
A \leftarrow \text{anticomponents of } N(\{a,b\})
 4:
              if c is N(\{a,b\})-complete then
 5:
               r \leftarrow 0
 6:
              else
 7:
                   r \leftarrow the cardinality of the largest set of A that contains
 8:
                     a nonneibhbor of c
              end if
 9:
              Y \leftarrow \emptyset
10:
              for each A_i \in A do
11:
                   if |V(A_i)| > r then
12:
                       Y \leftarrow Y \cup A_i
13:
                   end if
14:
                   if c \in A_i then
15:
                    W \leftarrow A_i
16:
                   end if
17:
              end for
18:
              Z \leftarrow the set of all (Y \cup W)-complete vertices
19:
              X(a,b,c) \leftarrow Y \cup Z
                                            \\ we use X(a,b,c) in the proof of correctness
20:
              \mathcal{X} \leftarrow \mathcal{X} \cup X(a,b,c)
21:
22:
         end for
         \mathcal{N} \leftarrow \emptyset
23:
         for each edge uv \in E(G) do
24:
             \mathcal{N} \leftarrow \mathcal{N} \cup N(\{u,v\})
25:
         end for
26:
         \mathcal{R} \leftarrow \emptyset
27:
28:
         for each X_i \in \mathcal{X} do
              for each N_i \in \mathcal{N} do
29:
                \mathcal{R} \leftarrow \mathcal{R} \cup (X_i \cup N_i)
30:
              end for
31:
         end for
32:
33:
         return \mathcal{R}
34: end procedure
```

To prove the correctness of Algorithm 2.2.3 we will need the following theorem.

**Theorem 2.2.6** (Theorem 9.1 of [11]). Let C be a shortest odd hole in G, with length at least seven. Then there is a relevant triple (a, b, c) of vertices such that

- the set of all C-major vertices not in X(a,b,c) is anticonnected,
- $X(a,b,c) \cap V(C)$  is a subset of the vertex set of some 3-vertex path of C.

**Theorem 2.2.7** (Theorem 9.2 of [11]). Let G be a graph, such that G and  $\overline{G}$  contain no pyramids, no jewels and no configurations of types  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ . Then the result of the Algorithm 2.2.3 on the graph G is a set  $\mathcal{R}$ , such that for every shortest amenable hole C, there is a near-cleaner for C in  $\mathcal{R}$ .

*Proof.* Let us suppose that C is an amenable hole in G. By Theorem 2.2.6, there is a relevant triple (a,b,c) satisfying that theorem. Let T be the set of all C-major vertices not in X(a,b,c). From Theorem 2.2.6 we get that T is anticonnected. Since C is amenable, there is an edge uv of C that is T-complete, and therefore  $T \subseteq N(u,v)$ . But then  $N(u,v) \cup X(a,b,c) \in \mathcal{R}$  is a near-cleaner for C. Therefore the output of the Algorithm 2.2.3 is correct.

#### Algorithm 2.2.4 (Test possible near cleaner)

Input: A graph G containing no simple forbidden structures, and a subset  $X \subseteq V(G)$ .

Output: Determines one of the following:

- G has an odd hole.
- There is no shortest odd hole C such that X is a near-cleaner for C.

```
1: procedure Test-Near-Cleaner(G, X)
        for each pair x, y \in V(G), such that x \neq y do
 2:
            if there is a path between x and y with no internal vertices in X
 3:
                R(x,y) \leftarrow the shortest path R(x,y) between x,y with no
 4:
                 internal vertex in X.
                r(x,y) \leftarrow \text{the length of } R(x,y)
 5:
            else
 6:
                R(x,y) \leftarrow \text{UNDEFINED}
 7:
 8:
                r(x,y) \leftarrow \infty
 9:
            end if
            for each y_1 \in V(G) \setminus X do
10:
                for each 3-vertex path x_1-x_2-x_3 of G \setminus y_1 do
11:
                    if R(x_1, y_1), R(x_2, y_2) both exist then
12:
                        y_2 \leftarrow \text{the neighbor of } y_1 \text{ in } R(x_2, y_1)
13:
                        if r(x_2, y_1) = r(x_1, y_1) + 1 = r(x_1, y_2) and
14:
                              r(x_2, y_1) \le min(r(x_3, y_1), r(x_3, y_2)) then
                            return an odd hole found
15:
                        end if
16:
                    end if
17:
                end for
18:
            end for
19:
20:
        end for
        return no odd holes found
21:
22: end procedure
```

**Theorem 2.2.8** (Theorem 5.1 of [11]). Let G be a graph, such that G and  $\overline{G}$  contain no pyramids, no jewels and no configurations of types  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ . Let X be a subset of V(G). If Algorithm 2.2.5 reports an odd hole, then G contains an odd hole, else there is no shortest odd hole G in G, such that G is a near-cleaner for G.

*Proof.* Below we will prove that if the Algorithm 2.2.5 reports an odd hole in G, there indeed is one. The rest of the proof is more complicated, see section 4 and Theorem 5.1 of [11].

Let us suppose that there is a choice of  $x_1$ ,  $x_2$ ,  $x_3$ ,  $y_1$  satisfying conditions in lines 12 and 14, let  $y_2$  be defined as in line 13 and let  $k = r(x_2, y_1)$ . We claim that G contains an odd hole.

Let  $R(x_1, y_1) = p_1 - ... - p_k$ , and let  $R(x_2, y_1) = q_1 - ... - q_{k+1}$ , where  $p_1 = x_1$ ,  $p_k = q_{k+1} = y_1$ ,  $q_1 = x_2$  and  $q_k = y_2$ . From the definition of  $R(x_1, y_1)$  and  $R(x_2, y_1)$ , none of  $p_2, ..., p_{k-1}, q_2, ..., q_k$  belong to X. Also, from the definition of  $y_1, y_1 \notin X$ .

Since  $r(x_1,y_1)=r(x_2,y_1)-1$  and since  $x_1,x_2$  are nonadjacent it follows that  $x_2$  does not belong to  $R(x_1,y_1)$  and  $x_1$  does not belong to  $R(x_2,y_1)$ . Since  $r(x_3,y_1),r(x_3,y_2)\geq k=r(x_1,y_2)$  it follows that  $x_3$  does not belong to  $R(x_1,y_1)$  or to  $R(x_2,y_1)$ , and has no neighbors in  $R(x_1,y_1)\cup R(x_2,y_1)$  other than  $x_1,x_2$ . Since  $r(x_1,y_2)=k$  we get that  $y_2$  does not belong to  $R(x_1,y_1)$ .

We claim first that the insides of paths  $R(x_1, y_1)$  and  $R(x_2, y_1)$  have no common vertices.

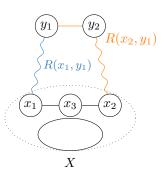


Figure 2.7: An odd hole is found

For suppose that there are  $2 \le i \le k-1$  and  $2 \le j \le k$  that  $p_i = q_j$ . Then the subpaths of these two paths between  $p_i$ ,  $y_1$  are both subpaths of the shortest paths, and therefore have the same length equal to j = i+1. So  $p_1$ -...- $p_1$ - $q_{j+1}$ -...- $q_k$  contains a path between  $x_1$ ,  $y_2$  of length  $\le k-2$ , contradicting that  $r(x_1, y_2) = k$ . So  $R(x_1, y_1)$  and  $R(x_2, y_1)$  have no common vertices except  $y_1$ .

If there are no edges between  $R(x_1, y_1) \setminus \{y_1\}$  and  $R(x_2, y_1) \setminus \{y_1\}$  then the union of these two paths and a path  $x_1$ - $x_3$ - $x_2$  forms an odd hole, so the answer is correct.

Suppose that  $p_iq_j$  is an edge for some  $1 \leq i \leq k-1$  and  $1 \leq j \leq k$ . We claim  $i \geq j$ . If j=1 this is clear so let us assume j>1. Then there is a path between  $x_1, y_2$  within  $\{p_1, \ldots, p_i, q_j, \ldots q_k\}$  which has length  $\leq k-j+1$  and has no internal vertex in X (since j>1); and since  $r(x_1, y_2) = k$ , it follows that  $k-j+i \geq k$ , that is,  $i \geq j$  as claimed.

Now, since  $x_1$ ,  $x_2$  are nonadjacent, we have  $i \geq 2$ . But also  $r(x_2, y_1) \geq k$  and so  $j + k - i \geq k$ , which gives us  $j \geq i$ . So we get i = j. Let us choose i minimum, then  $x_3$ - $x_1$ -...- $p_i$ - $q_i$ -...- $x_2$ - $x_3$  is an odd hole, which was what we wanted.

#### 2.2.3 Algorithm for perfect graph recognition

To summarize, we present a complete algorithm for recognizing perfect graphs.

**Algorithm 2.2.5** (Determine if a graph is perfect) Input: A graph G.

```
1: procedure CHECK-IS-PERFECT(G)
        if Contains-Pyramid(G) or Contains-Jewel(G) or
              Contains-T1(G) or Contains-T2(G) or Contains-T3(G) then
            return G is not perfect
 3:
        end if
 4:
        if Contains-Pyramid(\overline{G}) or Contains-Jewel(\overline{G}) or
 5:
              {\tt Contains-T1}(\overline{G}) \ \ {\tt or} \ {\tt Contains-T2}(\overline{G}) \ \ {\tt or} \ {\tt Contains-T3}(\overline{G}) \ {\tt then}
 6:
            return G is not perfect
        end if
 7:
        \mathcal{R} \leftarrow \texttt{List-Possible-Near-Cleaners}(G)
 8:
        for each X \in \mathcal{R} do
 9:
            if Test-Near-Cleaner(G, X) reports an odd hole then
10:
11:
                return G is not perfect
            end if
12:
        end for
13:
        \mathcal{R}' \leftarrow \texttt{List-Possible-Near-Cleaners}(\overline{G})
14:
        for each X \in \mathcal{R}' do
15:
            if Test-Near-Cleaner(\overline{G}, X) reports an odd hole then
16:
17:
                return G is not perfect
            end if
18:
        end for
19:
        return G is perfect
20:
21: end procedure
```

**Theorem 2.2.9** (Theorem 10.1 of [11]). Given a graph G = (V, E), Algorithm 2.2.5 works in  $O(|V|^9)$  time and determines if G is perfect.

*Proof.* First, in lines 2-7 we test whether G or  $\overline{G}$  contain a pyramid, a jewel or a configuration of type  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ . If it is not the case, then by Theorem 2.2.5 every shortest odd hole in G and in  $\overline{G}$  is amenable.

By Theorem 2.2.7 in line 8 we get a set  $\mathcal{R}$ , such that if G contains an amenable hole C, one of the sets  $X \in \mathcal{R}$  is a near-cleaner for C. In lines 9-13 we test each  $X \in \mathcal{R}$  and if an odd hole is reported, then by Theorem 2.2.8 G is not Berge. If for all  $X \in \mathcal{R}$  no odd holes are reported, then by Theorem 2.2.5, Theorem 2.2.7 and Theorem 2.2.8 there are no odd holes in G. We run the same checks on  $\overline{G}$  and if again we do not detect an odd hole, then G is Berge and by Theorem 2.1.3 G is perfect.

Finally, let us add up the total running time. By Theorem 2.2.1 checking if there is a pyramid in G or  $\overline{G}$  takes  $O(|V|^9)$  time. Testing for jewels takes  $O(|V|^7)$  time, testing for a configuration of type  $\mathcal{T}_1$  takes  $O(|V|^5)$  time and testing for configuration of type  $\mathcal{T}_2$  takes  $O(|V|^6)$  time. By Theorem 2.2.4 testing for a configuration of type  $\mathcal{T}_3$  takes  $O(|V|^6)$  time. Running Algorithm 2.2.3 takes  $O(|V|^5)$  time and returns  $O(|V|^5)$  subsets. For each subset running Algorithm 2.2.5 takes  $O(|V|^4)$  time, so testing all possible near-cleaners takes  $O(|V|^9)$  time.

The overall running time is  $O(|V|^9)$  with testing for pyramids and testing all possible near-cleaners being parts with the biggest time complexity.

## Chapter 3

## Coloring Perfect Graphs

A natural problem for perfect graphs is a problem of coloring them. In 1988 Grötschel et al. published an ellipsoid-method-based polynomial algorithm for coloring perfect graphs [23]. We consider it in Section 3.3. However due to its use of the ellipsoid method, this algorithm has been usually considered unpractical [13, 14, 29].

There has been much progress on the quest of finding an algorithm coloring perfect graphs, without the use of the ellipsoid method (see Section 3.4), however there is still no known polynomial combinatorial algorithm to do this.

### 3.1 Information theory background

Sections 3.1 and 3.2 are based on lecture notes by Lovász [33].

The only polynomial technique of coloring perfect graphs known so far arose in the field of semidefinite programming. Semidefinite programs are linear programs over the cones of semidefinite matrices. The connection of coloring graphs and the cones of semidefinite matrices might be surprising, so let us take a brief digression into the field of information theory, where we will see the connection more clearly. Also, this was exactly the background which motivated Berge to introduce perfect graphs [14].

#### 3.1.1 Shannon capacity of a graph

Suppose we have a noisy communication channel in which certain signal values can be confused with others. For instance, suppose our channel has five discrete signal values, represented as 0, 1, 2, 3, 4. However, each value of i when sent across the channel can be confused with value  $(i\pm 1)$  mod 5. This situation can be modeled by a graph  $C_5$  (Figure 3.1) in which vertices correspond to signal values and two vertices are connected if and only if the values they represent can be confused.

We are interested in transmission without possibility of confusion. For this example it is possible for two values to be transmitted without ambiguity e.g. values 1 and 4, which allows us to send  $2^n$  non-confoundable messages in n steps. But we could do better, for example we could communicate five two-step codewords e.g. "00", "12", "24", "43", "31". Each pair of these codewords includes at least one position where its values differ by two or more modulo 5, which allows the recipient to distinguish them without confusion. This allows us to send  $5^{n/2}$  non-confoundable messages in n steps.

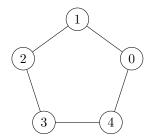


Figure 3.1: An example of a noisy channel

Let us be more precise. Given a graph G modeling a communication channel and a number  $k \geq 1$  we say that two messages  $v_1v_2 \dots v_k$ ,  $w_1w_2 \dots w_k \in V(G)^k$  of length k are non-confoundable if and only if there is  $1 \leq i \leq k$  such that  $v_i$ ,  $w_i$  are non-confoundable. We are interested in the maximum rate at which we can reliably transmit information, that is, the *Shannon capacity* of the channel defined by G.

For k=1, maximum number of messages we can send without confusion in a single step is equal to the stability number  $\alpha(G)$ . To describe longer messages we use  $strong\ product\ G\cdot H$  of two graphs  $G=(V,E),\ H=(W,F)$  as the graph with  $V(G\cdot H)=V\times W$ , with  $(i,u)(j,v)\in E(G\cdot H)$  if and only if  $ij\in E$  and  $uv\in F$ , or  $ij\in E$  and u=v, or i=j and  $uv\in F$ . Given channel modeled by G it is easy to see that the maximum number of distinguishable words of length 2 is equal to  $\alpha(G\cdot G)$ , and in general the number of distinguishable words of length k is equal to  $\alpha(G^k)$  which gives us  $\sqrt[k]{\alpha(G^k)}$  as the number of distinguishable signals per single transmission. So, we can define the Shannon capacity of the channel defined by G as  $\Theta(G)=\sup\sqrt[k]{\alpha(G^k)}$ .

Unfortunately, it is not known whether  $\Theta(G)$  can be computed for all graphs in finite time. If we could calculate  $\alpha(G^k)$  for a first few values of k (we will show how to do it in Algorithm 3.3.1) we could have a lower bound on  $\Theta(G)$ . Let us now turn into search for some usable upper bound.

#### 3.1.2 Lovász number

For a channel defined by a graph  $C_5$ , using five messages of length 2 to communicate gives us a lower bound on  $\Theta(G)$  equal  $\sqrt{5}$  (as does calculating  $\sqrt{\alpha(C_5^2)}$ ).

Consider an "umbrella" in  $\mathbb{R}^3$  with the unit vector  $e_1 = (1,0,0)$  as its "handle" and 5 "ribs" of unit length. Open it up to the point where non-consecutive ribs are orthogonal, i.e., form an angle of 90°. This way we get a representation of  $C_5$  by 5 unit vectors  $u_1, \ldots, u_5$  so that each  $u_i$  forms the same angle with  $e_1$  and any two non-adjacent vertices are represented with orthogonal vectors. See Figure 3.2. We can calculate  $e_1^{\mathsf{T}}u_i = 5^{-1/4}$ .

It turns out, that we can obtain a similar representation of the vertices of  $C_5^k$  by unit vectors  $v_i \in \mathbb{R}^{3k}$ , so that any two nonadjacent vertices are labeled with orthogonal vectors (this representation is sometimes called the *orthogonal representation* [34]). Moreover, we still get  $e_1^{\mathsf{T}}v_i = 5^{-k/4}$  for every  $i \in V(C_5^k)$  (the proof is quite technical and we omit it here).

If S is any stable set in  $C_5^k$ , then  $\{v_i : i \in S\}$  is a set of mutually orthogonal unit vectors, so we could extend S to S', so that  $\{v_i : i \in S'\}$  is a basis of  $\mathbb{R}^{3k}$ . This gives us

$$\sum_{i \in S} (e_1^\intercal v_i)^2 \le \sum_{i \in S'} (e_1^\intercal v_i)^2 \le |e_1|^2 = 1$$

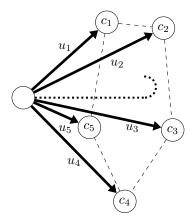


Figure 3.2: Lovász's umbrella

Each term on the left hand side is equal to  $5^{-k/4}$ , so the left hand side is equal to  $|S|5^{-k/2}$ , and so  $|S| \le 5^{k/2}$ . Since |S| was an arbitrary stable set, we get  $\alpha(C_5^k) \le 5^{k/2}$  and  $\Theta(C_5) = \sqrt{5}$ .

It turns out that this method extends to any graph G in place of  $C_5$ . All we have to do is to find a orthogonal representation that will give us the best bound. So, we can define the *Lovász number* of a graph G as:

$$\vartheta(G) = \min_{c,U} \max_{i \in V} \frac{1}{(c^{\mathsf{T}}u_i)^2},$$

where c is a unit vector in  $\mathbb{R}^{|V(G)|}$  and U is an orthogonal representation of G. Contrary to Lovász's first hope [31]  $\vartheta(G)$  does not always equal  $\Theta(G)$ , but it is only an upper bound on it. However, these two are equal for some graphs, including all perfect graphs, as is demonstrated in the Lovász "Sandwich theorem".

**Theorem 3.1.1** (Lovász "Sandwich theorem" [26]). For any graph G:

$$\omega(G) < \vartheta(\overline{G}) < \chi(G).$$

Because in perfect graphs  $\omega(G) = \chi(G)$ , we get  $\omega(G) = \vartheta(\overline{G}) = \chi(G)$ . Therefore, if for any perfect graph G, we could calculate  $\vartheta(G)$  and  $\vartheta(\overline{G})$ , we would get  $\omega(G)$ ,  $\chi(G)$  and  $\alpha(G)$ .

But how can we construct an optimum (or even sufficiently good) orthogonal representation? It turns out that it can be computed in polynomial time using semidefinite optimization.

### 3.2 Computing $\vartheta$

First, let us recall some definitions, with [47] as a reference for the linear algebra.

**Definition 3.1** (eigenvector, eigenvalue). Let A be an  $n \times n$  real matrix. An eigenvector of A is a vector x such that Ax is parallel to x. In other words, there is a real or a complex number  $\lambda$ , such that  $Ax = \lambda x$ . This  $\lambda$  is called the eigenvalue of A belonging to eigenvector x.

If a matrix A is symmetric<sup>1</sup>, all the eigenvalues are real.

**Definition 3.2** (positive semidefinite matrix). Let A be an  $n \times n$  symmetric matrix. A is positive semidefinite if and only if all of its eigenvalues are non-negative. We denote it by  $A \succeq 0$ .

We have equivalent definitions of semidefinite matrices.

**Theorem 3.2.1** (Proposition 2.2 of [33]). For a real symmetric  $n \times n$  matrix A, the following are equivalent:

- (i) A is positive semidefinite,
- (ii) for every  $x \in \mathbb{R}^n$ ,  $x^{\mathsf{T}}Ax$  is nonnegative,
- (iii) for some matrix U,  $A = U^{\mathsf{T}}U$ ,
- (iv) A is a nonnegative linear combination of matrices of the type  $xx^{\mathsf{T}}$ .

From (ii) it follows that diagonal entries of any positive semidefinite matrix are nonnegative and that the sum of two positive semidefinite matrices is positive semidefinite.

We may think equivalently of  $n \times n$  matrices as vectors with  $n^2$  coordinates.

**Definition 3.3** (convex cone). A subset C of  $\mathbb{R}^n$  is a convex cone, if and only if for any positive scalars  $\alpha, \beta$  and for any  $x, y \in C$ ,  $\alpha x + \beta y \in C$ .

The fact that the sum of two positive semidefinite matrices is again positive semidefinite, with the fact that every positive scalar multiple of a positive semidefinite matrix is positive semidefinite, translates into the geometric statement that the set of all positive semidefinite matrices forms a convex closed cone  $\mathcal{P}_n$  in  $\mathbb{R}^{n\times n}$  with its apex at 0. This cone  $\mathcal{P}_n$  is important but its structure is not trivial.

#### Semidefinite programs.

**Definition 3.4** (semidefinite program). Let  $A_1, \ldots, A_n, B$  be given symmetric  $m \times m$  matrices and be  $c \in \mathbb{R}^n$  be a given vector. A semidefinite program is an optimization problem of the following form:

minimize 
$$c^{\mathsf{T}}x$$
  
subject to  $x_1A_1 + \ldots + x_nA_n - B \succeq 0$ 

Any choice of the values  $x_i$  that satisfies the given constraint is called a feasible solution.

<sup>&</sup>lt;sup>1</sup>Matrix A is symmetric if and only if  $A = A^{\mathsf{T}}$ 

The special case when  $A_1, \ldots A_n, B$  are diagonal matrices is a "generic" linear program, in fact we can think of semidefinite programs as generalizations of linear programs. Not all properties of linear programs are carried over to semidefinite programs, but the intuition is helpful.

Solving semidefinite programs is a complex topic, we refer to [24] for details. All we need to know is that we can solve semidefinite programs up to an arbitrarily small error in polynomial time. One of the methods to do this is called the *ellipsoid method*, hence the name for the coloring algorithm.

**Calculating**  $\vartheta$ . Let us recall, that an orthogonal representation of a graph G = (V, E) is a labeling  $u : V \to \mathbb{R}^d$  for some d, such that  $u_i^{\mathsf{T}} u_j = 0$  for all anticonnected vertices i, j. The *angle* of an orthogonal representation is the smallest half-angle of a rotational cone containing the representing vectors.

**Theorem 3.2.2** (Proposition 5.1 of [33]). The minimum angle  $\phi$  of any orthogonal representation of G is given by  $\cos^2 \phi = 1/\vartheta(G)$ .

This leads us to definition of  $\vartheta(G)$  in terms of semidefinite programming.

**Theorem 3.2.3** (Proposition 5.3 of [33]).  $\vartheta(G)$  is the optimum of the following semidefinite program:

$$\begin{array}{ccccc} \textit{minimize} & t \\ \textit{subject to} & Y & \succeq & 0 \\ & Y_{ij} & = & -1 & (\forall \ ij \in E(\overline{G})) \\ & Y_{ii} & = & t-1 \end{array}$$

It is also the optimum of the dual program

$$\begin{array}{lll} \textit{maximize} & \sum_{i \in V} \sum_{j \in V} Z_{ij} \\ \textit{subject to} & Z \succeq 0 \\ & Z_{ij} = 0 \quad (\forall \ ij \in E(G)) \\ & tr(Z) = 1 \end{array}$$

Any stable set S of G provides a feasible solution of the dual program, by choosing  $Z_{ij} = \frac{1}{S}$  if  $i, j \in S$  and 0 otherwise. Similarly, any k-coloring of  $\overline{G}$  provides a feasible solution of the former semidefinite program, by choosing  $Y_{ij} = -1$  if i and j have different colors,  $Y_{ii} = k - 1$ , and  $Y_{ij} = 0$  otherwise.

Now, that we know how to calculate  $\vartheta(G)$ , let us describe the algorithm to calculate the coloring of G.

# 3.3 Coloring perfect graphs using an ellipsoid method

The following part is based on "Semidefinite Programming and Integer Programming" by Laurent and Rendl [28].

#### 3.3.1 Maximum cardinality stable set

Given graph G, recall that the stability number of G is equal the clique number of the complement of G. This gives us a way to compute  $\alpha(G)$  for any perfect graph G.

In fact, to calculate  $\chi(\overline{G})$  and  $\alpha(G)$  we only need an approximated value of  $\vartheta(G)$  with precision smaller than 1/2, as the former values are always integral.

We will now show how to find a stable set in G of size  $\alpha(G)$ . We will construct a sequence of induced subgraphs  $G = G_0 \supseteq G_1 \supseteq \ldots \supseteq G_{|V|}$ , so that  $G_{|V|}$  is a required stable set.

**Algorithm 3.3.1** (Maximum cardinality stable set in a perfect graph) Input: A perfect graph G = (V, E). Output: A maximum cardinality stable set in G.

```
1: procedure Get-Max-Stable-Set(G)
          v_1, \ldots, v_n \leftarrow \text{vertices of } G
 2:
          G_0 \leftarrow G
 3:
          \alpha_G = \alpha(G)
 4:
          for each 1 \le i \le |V| do
 5:
               if \alpha(G_{i-1} \setminus v_i) = \alpha_G then
 6:
                G_i \leftarrow G_{i-1} \setminus \{v_i\}
 7:
               else
 8:
                G_i \leftarrow G_{i-1}
 9:
               end if
10:
          end for
11:
```

return  $G_{|V|}$ 

13: end procedure

12:

**Theorem 3.3.1.** Algorithm 3.3.1 works in polynomial time and for an input of G returns a maximal cardinality stable set of G.

*Proof.* Let us prove that  $G_{|V|}$  is indeed a stable set. Suppose otherwise and let  $v_i v_j$  be an edge in  $G_{|V|}$  with i < j and i minimal. But then  $\alpha(G_{i-1} \setminus v_i) = \alpha(G_{i-1}) = \alpha(G)$  so by our construction  $v_i$  is not in  $G_i$  and  $v_i v_j$  is not an edge of  $G_n$ . Therefore there are no edges in  $G_{|V|}$ .

Because at every step we have  $\alpha(G_i) = \alpha(G_{i-1})$ , therefore  $\alpha(G_{|V|}) = \alpha(G)$ , so  $G_{|V|}$  is required maximum cardinality stable set.

The running time of Algorithm 3.3.1 is polynomial, because we construct |V| auxiliary graphs, each requiring calculating  $\alpha$  once with additional  $O(|V|^2)$  time for constructing the graph.

Given a weight function  $w:V\to\mathbb{N}$  we could calculate the maximum weighted stable set in G using the following modification:

**Algorithm 3.3.2** (Maximum weighted stable set in a perfect graph) Input: A perfect graph G = (V, E) and a weight function  $w : V \to \mathbb{N}$ . Output: A maximum weighted stable set in G.

```
1: procedure Get-Max-Weighted-Stable-Set(G, w)
         for each v \in V(G) do
 2:
             W_v \leftarrow a set of nonadjacent vertices of size w(v)
 3:
         end for
 4:
                                                                       \setminus \setminus |V'| = \sum_{v \in V(G)} w(v)
         V' \leftarrow \bigcup W_v, for v \in V(G)
 5:
         E' \leftarrow \{xy \text{ for } x, y \in V', \text{ such that for some } u, v \in V, u \neq \overline{v}: \}
 6:
                    x \in W_u, y \in W_v, and uv \in E(G)
         G' \leftarrow (V', E')
 7:
         S' \leftarrow \text{Get-Max-Stable-Set}(G')
 8:
         S \leftarrow \{v \in V(G), \text{ such that for some } x \in W_v, x \in S'\}
 9:
         return S
10:
11: end procedure
```

We create graph G' by replacing every vertex v by a set  $W_v$  of w(v) non-adjacent vertices, making two vertices  $x \in W_v$ ,  $y \in W_u$  adjacent in G' if and only if the vertices v, u are adjacent in G. Then we can calculate a maximum cardinality stable set in G' (we remark that G' is still perfect because every new introduced hole is even) and return a result of those vertices in G whose any (and therefore all) copies were chosen.

#### 3.3.2 Stable set intersecting all maximum cardinality cliques

Next, let us show how to find a stable set intersecting all the maximum cardinality cliques of G. We will create a list  $Q_1, \ldots Q_t$  of all maximum cardinality cliques of G and return a stable set intersecting them all.

Recall that to calculate a maximum cardinality clique of G, we can calculate a maximum cardinality stable set of  $\overline{G}$ .

**Algorithm 3.3.3** (Stable set intersecting all maximum cardinality cliques) Input: A perfect graph G = (V, E).

Output: A stable set which intersects all the maximum cardinality cliques of G.

```
1: procedure Get-Stable-Set-Intersecting-Max-Cliques(G)
 2:
         \omega_G \leftarrow \omega(G)
         Q_1 \leftarrow \text{Max-Stable-Set}(\overline{G})
 3:
         t \leftarrow 1
 4:
         while true do
 5:
             w \leftarrow a weight function V \rightarrow \mathbb{N}, so that for v \in V, w(v) is equal to
 6:
               the number of cliques Q_1, \dots Q_t that contain v.
 7:
             S \leftarrow \text{Max-Weighted-Stable-Set}(G, w)
             if \omega(G \setminus S) < \omega_G then
 8:
 9:
                 return S
             else
10:
                  t \leftarrow t + 1
11:
                  Q_t \leftarrow \text{Max-Stable-Set}(\overline{G \setminus S})
12:
             end if
13:
         end while
14:
15: end procedure
```

To understand Algorithm 3.3.3, let us note that S calculated in line 7 has a weight equal t, which means that S meets each of  $Q_1, \ldots Q_t$ . Then, if  $\omega(G \setminus S) < \omega(G)$ , then S meets all the maximum cardinality cliques in G so we return S.

There are at most |V| maximum cardinality cliques in G. Adding a single clique to the list of maximum cardinality cliques requires constructing auxiliary graph for weighted maximum stable set, which is of size  $O(|V|^2)$  and running Algorithm 3.3.2 on it. Therefore total running time is polynomial.

#### 3.3.3 Minimum coloring

```
Algorithm 3.3.4 (Color perfect graph)
Input: A perfect graph G = (V, E).
Output: C – a coloring of G using \chi(G) colors.
 1: procedure Color-Perfect-Graph(G)
        if G = \text{Get-Max-Stable-Set}(G) then
 2:
 3:
           C \leftarrow \text{coloring all vertices of } G \text{ with one color}
 4:
        else
 5:
            S \leftarrow \text{Get-Stable-Set-Intersecting-Max-Cliques}(G)
 6:
           C' \leftarrow \text{Color-Perfect-Graph}(G \setminus S)
 7:
           C \leftarrow C' with vertices of S colored with an additional color
 8:
           return C
 9:
        end if
10:
11: end procedure
```

Note that coloring C' uses  $\chi(G \setminus S) = \omega(G \setminus S) = \omega(G) - 1$  colors, so we will call the recursion O(|V|) times, each step of recursion requires polynomial time. Therefore the total running time of Algorithm 3.3.4 is polynomial.

### 3.4 Combinatorial algorithms

Ever since Grötschel et al. published an ellipsoid-method-based polynomial algorithm for coloring perfect graphs, a combinatorial algorithm for doing the same has been sought. As of yet, there isn't one, although there is much progress in the field.

A *prism* is a graph consisting of two disjoint triangles and two disjoint paths between them. Notice that for a graph to contain no odd holes, all three paths in a prism must have the same parity. A prism with all three paths odd is called an *odd prism* and a prism with all three paths even is called an *even prism*.

In 2005 Maffray and Trotignon showed a coloring algorithm that colors graphs containing no odd holes, no antiholes and no prisms (sometimes called Artemis graphs) in  $O(|V|^4|E|)$  time [36]. They later improved the time complexity to  $O(|V|^2|E|)$  [29]. In 2015 Maffray showed an algorithm for coloring Berge graphs with no squares<sup>2</sup> (a square is a  $C_4$ ) and no odd prisms [35].

 $<sup>^2</sup>$ sometimes called square-free Berge graphs

In 2016 Chudnovsky et al. published an algorithm that given a perfect graph G with  $\omega(G) = k$  colors it optimally in a time polynomial for a fixed k [12]. In general case this algorithm has a time complexity of  $O(|V|^{(k+1)^2})$ .

#### Coloring square-free Berge graphs

A most recent advancement (2018) is an algorithm by Chudnovsky et al. that colors any square-free Berge graphs in time of  $O(|V|^9)$  [13]. Before proving strong perfect graph conjecture a similar conjecture for square-free Berge graphs has been proven by Conforti et al. [18]. During one of her lectures, Maria Chudnovsky expressed hope that discovery of a full algorithm for coloring Berge graphs might follow a similar pattern.

The algorithm for coloring square-free Berge graphs is much more complex than the CCLSV algorithm for Berge graphs recognition and would be much harder to implement. The main idea is that, if square-free Berge graph G is not an Artemis graph, then it must have an induced prism. We find it and grow it into a structure called a hyperprism, which is a generalization of a prism. Then, we grow it further either to find a maximal induced hyperprism, or encounter an induced subgraph that is the line graph of a bipartite subdivision of  $K_4$ . If the latter outcome happens, we can grow it in turn into a rather complex structure called a J-strip system and then into a maximal J-strip system. When we have found a maximal induced hyperprism or a maximal J-strip system, we can find a partition of graph G that we can color recursively.

The paper in which the algorithm is presented [13] is of much more mathematical "flavor" than [11] – there is a proof that a  $O(|V|^9)$  algorithm exists, but actually deducing it from the paper isn't straightforward. We provide its pseudocode in the Appendix A. We believe that the work done there is a structurization of the algorithm that is a required step for a possible future work of implementing it.

### Chapter 4

## Implementation

A repository containing the discussed source code and tests is available under the link: https://github.com/AdrianSiwiec/Perfect-Graphs

### 4.1 Berge graphs recognition: naïve approach

When implementing an algorithm with the running time of  $O(|V|^9)$  (especially one as complex as CCLSV), one of the first questions that pop up is whether this algorithm is at all usable. We found only one existing attempt at implementing a perfect graph recognition algorithm, but it suffers from a very poor performance (see Figure 4.11). So, to test the usability of the CCLSV implementation, we measured it against our own naïve perfect recognition algorithm. Let us describe it briefly.

We try find an odd hole directly, then, we run the same algorithm on  $\overline{G}$ , and if no odd holes are found we report that the graph is perfect, else that it is not.

To find an odd hole, we use a backtracking technique. We will enumerate all paths and holes, and for each test if it is an odd hole. A partial solution to our backtracking problem is a path in G. To move forward from a partial solution, we append consecutively to its end all neighbors of current path's last vertex and continue recursively after each. When a current solution is an odd hole we return it. When we exhaust all possible paths from the current partial solution, we remove its last vertex and continue.

This backtracking algorithm is very simple, but has a great potential for optimizations in its implementation. We also use path enumeration algorithm in the CCLSV algorithm, so we give much attention to its optimization.

#### Path enumerating optimizations

Now let's turn our attention to enumerating all paths. This is a generalization of the problem of finding an odd hole, if we allow a path to have a single additional edge between the first and the last of its vertices if and only if the hole formed would be odd. First of all, there are many methods for generating all possible paths. We could simply enumerate all sequences of vertices without repetition and for each one of them check if it is a path, i.e., if all pairs of vertices next to each other are connected and that there are no other edges, but this would be too slow and render our algorithm unusable.

We will create a method that enumerates all paths in some order. We notice that returning a new path for each call of the enumeration method is wasteful. Therefore, we need a method that receives a reference to a path on the input (or a special flag indicating it should generate first path) and returns next path in some order (or a first path, or a code signaling all paths have been generated) using memory from the input. As this method will be used many, many times we require of it to work in place with constant additional space.

With those requirements defined a simplest algorithm would be to implement a sort of a "counter" with base of |V(G)|. In short: we take a path on a input, increment its last vertex until it is a neighbor of the vertex one before last. Then we check if generated sequence is a path – all of its vertices are unique and there are edges only consecutive vertices share an edge. If it is we return it and if we run out of vertices before a path is found, we increment one before last vertex until it is a neighbor of one vertex before it, set last vertex to first and continue the process. If still no path is found, we increment vertices closer to beginning of the path, until all a path is found or we check all candidates.

But we can do much better with some care and a suitable data structure. In addition to having for each vertex a list of its neighbors we create data structure that will allow us to generate a candidate for next path in amortized O(1) time.

We have an array first in which for each vertex is written its first neighbor on its neighbors list, and an array next of size  $|V(G)|^2$  where for each pair of connected vertices a, b, there is written a neighbor of a that is next after b in a neighbors list of a (or a flag indicating b is a's last neighbor). Then, say our input is a path  $v = v_1, \ldots v_k$ . If  $next[v_{k-1}][v_k]$  exists we change  $v_k$  to  $next[v_{k-1}][v_k]$  and return v. If it indicated that  $v_k$  is  $v_{k-1}$ 's last neighbor, we set  $v_{k-1}$  to  $next[v_{k-2}][v_{k-1}]$  and then  $v_k$  to  $first[v_{k-1}]$  (or we go further back, if all neighbors of  $v_{k-2}$  are done).

This simple change in the data structure design gave us a speedup of overall running time of our naïve algorithm in the range of about 5x.

Another crucial optimization is to eliminate partial solutions that have edges between nonconsecutive vertices right away. Also, when we look for an odd hole specifically, we can assume that its first vertex we enumerate is the lowest. Those two optimizations make a huge difference. With them, our naïve algorithm implementation went from being able to check graphs with |V| up to 12-14, within a reasonable time, to being faster than CCLSV on most of our tests.

Array unique. For each path candidate v we call a subroutine areUnique(v) to determine whether all vertices in it are unique. As this subroutine could be called many times for generating a single path, its optimization is very important.

A theoretically optimal solution in general would be to have a hashing set of vertices. For each vertex in a path candidate, we check if it is already in the set. If it is, return that not all vertices are unique, else add it to the set.

In our use case, a few optimizations can be made. First, we don't need a hashing set. We can have an array of bools, of size |V(G)| and mark vertices of the path there. Paths are usually much shorter than |V(G)|, but we operate on small graphs, so we can afford this. Second, we notice that we don't need to create this array for each call of areUnique procedure. Let's instead have a static array stamp of ints and a static counter of how many times we called areUnique method. For each element of a path  $v_i$ , if the value of stamp array is equal to counter we report that vertices are not unique. Else we set  $stamp[v_i] = counter$ . When returning from areUnique method we increment counter.

This optimization alone almost cuts down naïve algorithm's running time in half.

Other uses for path generation. Because of a good performance of our optimized algorithm for generating paths, we use it whenever possible. It can be easily modified to enumerate all "paths" that can have additional edges or to enumerate holes and therefore has much use in the CCLSV algorithm. For example, when searching for jewels we generate with it all "paths" with possible additional edges, of length 5 and for each check if it has required properties of a jewel. This proved to be much faster than generating vertices and checking their properties one by one. We use the same algorithm for generating starting vertices for a possible  $\mathcal{T}_2$  and  $\mathcal{T}_3$ . Before optimizing path enumeration algorithm, all calls of areUnique alone took about 70% of total running time of the CCLSV algorithm. After optimizing areUnique, path enumeration still took more than 50% of total running time. After all optimizations it is almost unnoticeable.

With all those optimizations made, our naïve algorithm proved to be quite fast in some cases, although its running time is very dependent on the properties of the input, as we should expect from an optimized non-polynomial algorithm. See Section 4.4 for the running times.

# 4.2 Berge graphs recognition: polynomial algorithm

The Berge recognition algorithm's running time is  $O(|V|^9)$ , which brings into question its applicability to any real use case. Although time complexity is indeed a limiting factor, a number of lower level optimizations done on implementation's level make a very big difference and make it more viable than naïve algorithm, at least on some test cases. We also explore a new frontier of implementing its most time consuming part on massively parallel GPU architecture, with some good results (Section 4.3).

### 4.2.1 Optimizations

When implementing a complicated algorithm that has a time complexity of  $O(|V|^9)$  optimizations can be both crucial and difficult to implement. There is not a single code path that takes up all the running time – or at least there isn't one from a theoretical point of view. Therefore a tool for inspecting running time bottlenecks is needed. We used Valgrind's tool called *callgrind* [2].

Callgrind is a profiling tool that records the call history and event counts (data reads, cache misses etc.) of a program and presents it as a call-graph. It is then possible to visualize this, we used a tool *gprof2dot* [22] to generate visual call graphs from callgrind's output.

Before any optimizations, a major part of Berge recognition algorithm was being spent on enumerating all possible paths of given length – this is done either to find a simple forbidden structure or to check a possible near cleaner for an amenable odd hole. Therefore optimizations of the path enumerating algorithm were also helpful in speeding up the CCLSV implementation.

Another algorithm for which we found major speedups is the algorithm to generate all possible near-cleaners (Algorithm 2.2.3). We optimized it by using a a dynamic\_bitset from the boost library [8]. It is a data structure to represent a set of bits, that also allows for fast bitwise operators that one can apply to builtin integers. At the very end of Algorithm 2.2.3 a set  $\mathcal{R}$  is constructed, which is a set of all possible unions of pairs  $X_i$  and  $N_j$ . We used dynamic\_bitset to represent each of the sets  $X_i$  and  $N_j$ , and the elements of the set  $\mathcal{R}$ . This significantly speeds up the calculation of  $\mathcal{R}$  (line 30). With this modification, the speedup of Algorithm 2.2.3 was about 20%.

After these optimizations, checking each potential near-cleaner by Algorithm 2.2.5 is by far the biggest bottleneck of the CCLSV algorithm. We didn't find any major optimizations there, but the Algorithm 2.2.5 has a good potential for parallelization, which we explore in Section 4.3.

### 4.2.2 Correctness testing

### Unit tests

In an algorithm this complex, debugging can be difficult and time consuming, so we used extensive unit testing and principles of test driven development to make sure that the program results are correct.

Whole algorithm was divided into subroutines used in many places. One of such subroutines is a path generation algorithm described above (Section 4.1). There are many other generalized methods we implemented: checking if a set of vertices is X-complete, getting a set of components of a graph, creating a induced graph or finding a shortest path, such that each internal vertex satisfies a predicate. Each of those and many more methods have unit tests that check their general use and edge cases. This allowed us to debug very effectively and have complex algorithms be simple to analyze.

In addition to correctness checking, extensive unit test suite allowed us to optimize our algorithm and test different subroutines with ease, without the

fear of introducing bugs.

#### End to end tests

In addition to unit tests, we employ a range of end to end tests, that check the final answer of the algorithm. We compare answer to the naïve algorithm's answer and also to the result of the algorithm on CUDA (Section 4.3).

Also, we test our implementations on graphs that we know are perfect – such as bipartite graphs, line graphs of bipartite graphs and complements thereof. In addition to that, a test on all perfect graphs up to a size of 10 was performed – we used [40] as a source of perfect graphs.

We also test on graphs that we know are not perfect: we generate them by generating an odd hole and joining it with some edges to a random graph.

In total over 200 CPU-hours of end to end tests were performed without any errors.

### 4.3 Parallelism with CUDA

CUDA is a parallel computing platform model created by Nvidia and executed on Nvidia GPUs. It allows to run a program by many CUDA threads at once. Although usually described by the PRAM models, implementing PRAM algorithms in CUDA architecture is not as straightforward as implementing RAM algorithms on a CPU. Some of the causes are: synchronization between threads is very costly, there are multiple memory models to choose from, threads run divided in blocks and it is possible to efficiently share memory within blocks and copying memory from and back to CPU suffers from a large latency. What is more, there are no standard dynamic structures in the PRAM model, let alone CUDA, that are taken for granted in the RAM model, such as hashing maps or sets.

We posed a question if it would be profitable to use GPU for the problem of recognizing Berge graphs. On one hand the graphs we are working on are small and our time complexity so large that a speedup from massively parallel architecture could be profitable. On the other hand, the algorithm is complex and not easy to parallelize. Therefore we decided to analyze the CCLSV algorithm and parallelize parts that would benefit from it the most.

We identified testing all possible near-cleaners (Algorithm 2.2.5) as the biggest bottleneck for larger graphs. We considered two approaches: run whole Algorithm 2.2.5 on a single CUDA core, testing multiple Xs in parallel, or parallelize Algorithm 2.2.5 itself and run it on one X at a time. It turned out that second approach is better and much simpler.

Let us recall the Algorithm 2.2.5. It calculates array R of shortest paths, then for each 3-vertex path and an additional vertex it does O(1) checks to see if they along with two paths from R give us an odd hole. We will parallelize the work after calculating R, that is, lines 10-19. Let us notice, that for all Xs, all 3-vertex paths enumerated in line 11 are the same. We calculate them

beforehand, then each CUDA thread receives one 3-vertex path  $x_1$ - $x_2$ - $x_3$  and an additional vertex  $y_1$  and performs the required checks in lines 12-17. This is almost perfect scenario for the GPU – we do a simple SIMD<sup>1</sup> work in parallel, without a lot scattered memory access.

The part of the CCLSV implemented on the GPU achieved speedup of up to 15x. This gave us a speedup of up to 9x for overall CCLSV algorithm, depending on a class of graph we benchmark on.

### 4.4 Experiments

### Data sets

Let us describe experiments and their results. All of our algorithms search for an odd hole or an odd antihole and stop when find one, or a evidence of one. Therefore, their running times are greatest with perfect graphs on the input and we decided to use perfect graphs for our performance benchmarks. For every test, the vertex numbers were shuffled. For every randomly generated graph class and for each size, we ran the tests on 10 graphs generated with different seeds and took their average running time.

Below, we first present our data sets then overall time results on them and lastly a plot breaking down what parts of CCLSV and GPU CCLSV implementations constitute their overall running times.

We ran tests on eight classes of perfect graphs:

- random bipartite graphs,
- line graphs of random bipartite graphs,
- lattice graphs (Figure 4.1a),
- rook graphs (Figure 4.1b),
- knight graphs (Figure 4.1c),
- hypercube graphs (Figure 4.1d),
- split graphs (Figure 4.1e),
- full binary trees.

In Figure 4.1 we present small examples of some of the above classes. Let us describe them briefly.

We generate random bipartite graphs by creating two equal-sized sets of vertices, and then adding every possible edge between them with a probability of p = 0.5. In this way we can also generate line graphs of random bipartite graphs. This gives us graphs of varying |V|, so we repeated the process until we had sufficient number of graphs for each |V|.

<sup>&</sup>lt;sup>1</sup>Single Instruction Multiple Data, meaning performing same operations on multiple data points in parallel

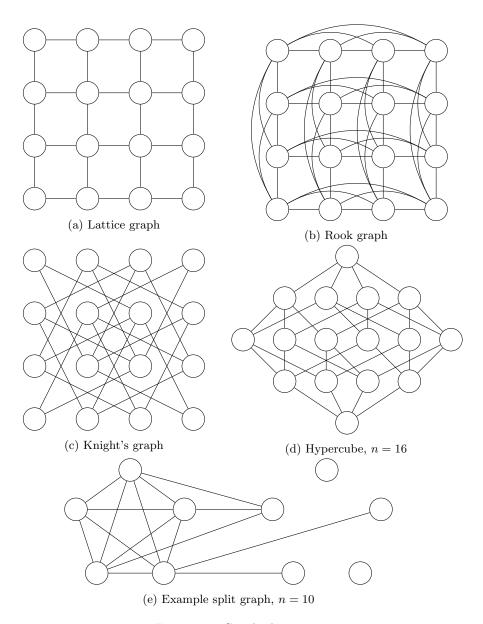


Figure 4.1: Graph classes

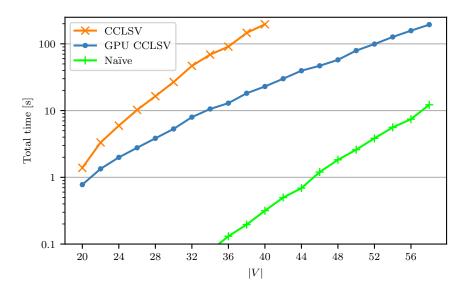


Figure 4.2: Random bipartite graphs

There are also a few interesting classes of perfect graphs that appear on a grid, or a chessboard. In lattice graph, each vertex is connected to vertices that are above, below, to the left and to the right of it, if such vertices exist. See Figure 4.1a for an example of a  $4 \times 4$  lattice graph. If we imagine our grid to be a chessboard we can define two vertices a rook graph to share an edge, when the rook from chess can move from one vertex to the other in one move. See Figure 4.1b for an example of  $4 \times 4$  rook graph. In similar manner we can define a knight graph (Figure 4.1c).

A hypercube is a generalization of a cube to a higher dimensional space. We use hypercubes that are not complete, to achieve higher granularity of data. In a hypercube of n vertices there is an edge between vertices u and v if and only if binary representations of u and v differ by exactly one bit.

Split graphs we use are unions of cliques and independent sets of same size, with some edges between them. In generating them, each edge between a vertex from a clique and a vertex from an independent set has had a chance p=0.5 to appear.

Finally, we benchmark on full binary trees to see how CCLSV works with a tree as an input.

### Time results

We ran our tests on Intel Xeon E5-2690 CPU and Nvidia Tesla K80 GPU with  $4992\ \mathrm{CUDA}$  cores.

First, let us take a look at the performance of the CCLSV algorithm. If

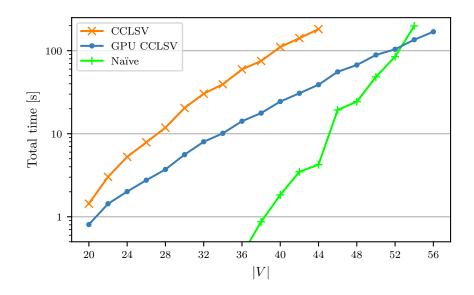


Figure 4.3: Line graphs of random bipartite graphs

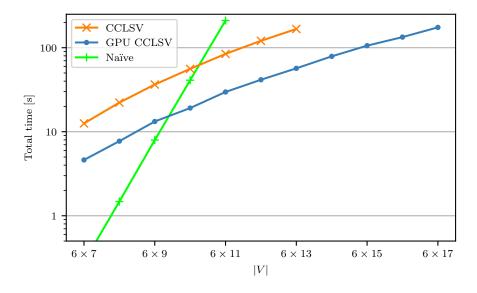


Figure 4.4: Lattice graphs

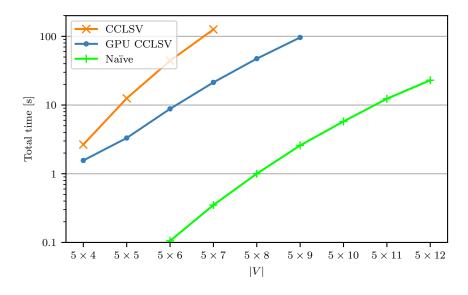


Figure 4.5: Rook graphs

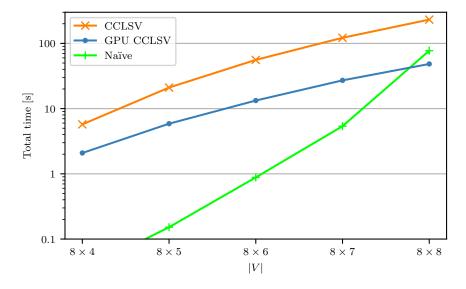


Figure 4.6: Knight graphs

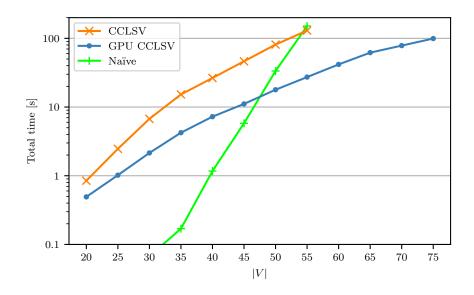


Figure 4.7: Hypercube graphs

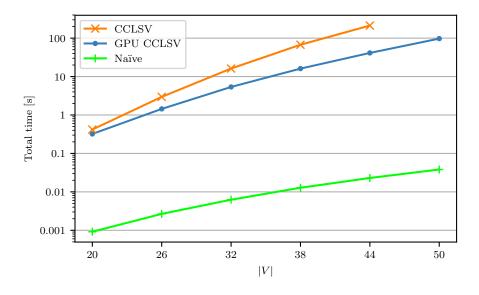


Figure 4.8: Split graphs

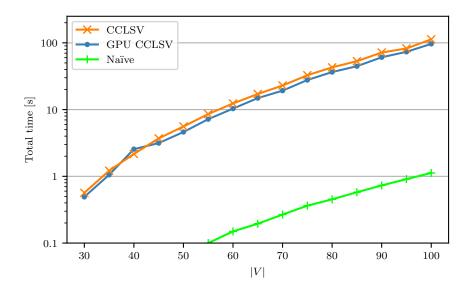


Figure 4.9: Full binary trees

we limit the runtime to about two minutes, we can run it on graphs of sizes up to 40-70, depending on the type of the graph we test. Worth noting is the fact that the CCLSV algorithm usually grows slower than the naïve algorithm. Oftentimes this is mitigated by the fact that the naïve algorithm works very well on smaller graphs, but it is not always the case. For example, for larger instances of lattice graphs and hypercube graphs the CCLSV works better than naïve algorithm. If we would want to test graphs larger than presented here, the GPU CCLSV seems to be the best solution. For every type of graph we tested, it finished within two minutes for graphs of |V| up to about 50. The naïve algorithm is very fast for some graphs, like split graphs or random bipartite graphs, but GPU CCLSV still seems to be the best solution for larger graphs of unknown structure.

Let us try to understand where the speedup of GPU CCLSV comes from. Figure 4.10 shows breakdown of each implementation running times on a single graph of each class. We can clearly see that in most cases testing possible near-cleaners takes most of the running time, so the speedup achieved by utilizing the GPU is significant.

Examples of full binary graphs, and lattice graphs show that getting possible near cleaners still can be a big bottleneck. Parallelization of this method could be considered in the future. A possible idea would be to use recently developed dynamic dictionary for the GPU [4]. When running GPU CCLSV on split graphs, quite a lot of time is spent checking simple structures. The biggest challenge we can see is in an attempt to parallelize this is spreading the work evenly across CUDA threads.

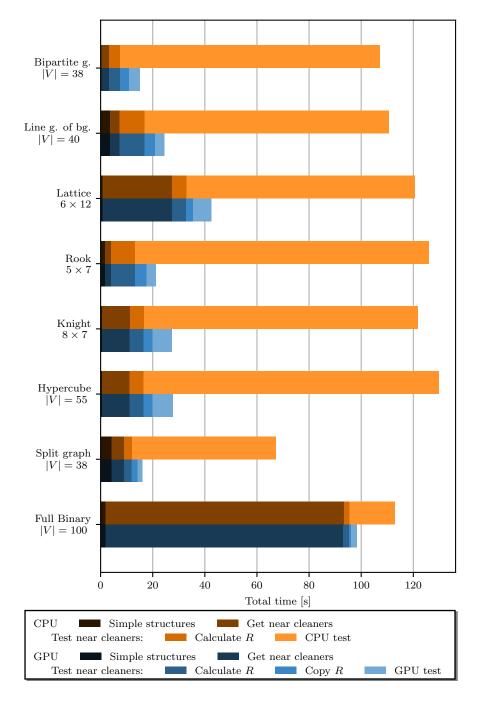


Figure 4.10: Detailed running times

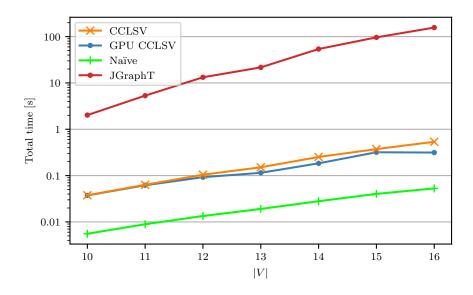


Figure 4.11: JGraphT Berge recognition performance

### JGraphT implementation

JGraphT [25] is an open-source Java library of graph data structures and algorithms. It provides many graph algorithms, such as bipartite and general matchings, flows and colorings. In a research paper about it [41], the library is described as a robust and performance-driven graph library. It is used in many commercial and open-source projects, including Apache Cassandra database, Apache Storm and Graal JVM.

The Berge recognition algorithm implemented there is exactly the CCLSV algorithm, but during our tests the performance was much worse when compared to our implementations. See Figure 4.11 for a overall running times on line graphs of random bipartite graphs. After looking at the source code of the Berge recognition algorithm, we think that the poor performance may be caused by the lack of optimizations we talked about in Sections 4.1 and 4.2.1 – the implementation is rather straightforward and unoptimized. For example in many places it uses HashSets when arrays would suffice.

### 4.5 Coloring Berge graphs

### Ellipsoid method

We used an open source CSDP [9, 10] library, that implements predictor corrector variant of the semidefinite programming algorithm to calculate  $\vartheta(G)$ , given G on the input. The CSDP library has been used in many recent publications

across different fields, such as [3, 1].

Calculating  $\vartheta(G)$  is the most complicated part of the coloring algorithm. With that done by an external library, the rest of the program is a straightforward implementation of the algorithms in Section 3.3. In most of our tests, the majority of running time was consumed on calculating  $\vartheta$  of various graphs. So, there isn't much optimization potential for us. One thing of note is, that by specifying the precision of  $\vartheta$  we want to calculate to be 1/3 the algorithm sped up by 20-40%. Also, we cache results of calculating  $\vartheta$ , meaning that through whole execution of our coloring algorithm, we only calculate  $\vartheta$  for each graph once. Our main goal of the implementation was to check if this method is still impractical, even on modern equipment. Let us proceed straight to experiments and results.

### Experiments and results

We ran our tests on the data sets generated in the same way as for perfect graph recognition. We only adjusted graph sizes to get the running times of up to a couple of minutes.

First, we present overall running times of the coloring algorithm (Figure 4.12) and then breakdown the running time in two parts: calculating  $\vartheta$  by the CSDP library and graphs manipulations which constitute the rest of the coloring algorithm (Figure 4.13). We note that  $\vartheta$  calculation is very dependent on the type of the graph. For our biggest tested cases of |V|=48, all required calculations of  $\vartheta$  take almost 7 minutes in bipartite graphs, but only 12 seconds in line graphs of bipartite graphs. Because CSDP library is highly optimized, it is expected that it would run much faster on some graphs. However, it is not clear what we could do to speedup the running times on tests that CSDP is slow on, such as bipartite graphs or lattice graphs. A potential improvement would be to again use CUDA, this time for  $\vartheta$  calculations, possibly utilizing the cuSOLVER library [44].

We notice that on some graphs, namely line graphs of bipartite graphs, rook graphs and split graphs the time taken by operations other than calculating  $\vartheta$  is quite significant. However, the overall running times on these graphs are low, so we didn't particularly optimize this, so probably some improvements could be made here.

### 4.6 Conclusions

We implemented and benchmarked two different approaches for recognizing perfect graphs. Both have their positives and drawbacks – testing big graph of unknown structure is probably better done with the CCLSV algorithm, while the naïve algorithm is much faster on some cases. The GPU CCLSV implementation shows that using parallel computation can be very profitable for this task. An additional research could be done to see if further progress can be made, however there is no obvious improvement we can see. Generating all possible

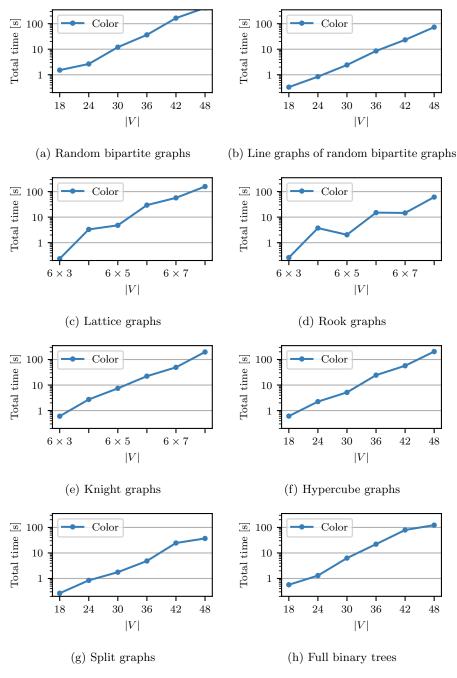


Figure 4.12: Overall times of CSDP Color

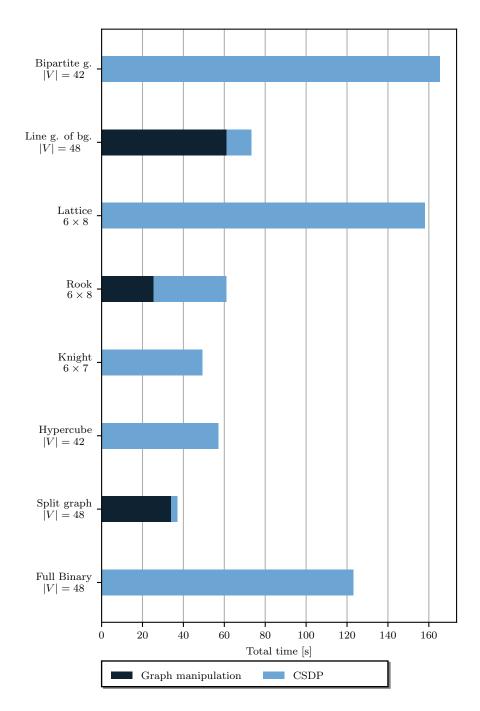


Figure 4.13: Detailed times of coloring

near-cleaners makes a heavy use of sets (our tests indicated that if we simply list all combinations of sets  $X_i$  and  $N_j$ , the resulting list  $\mathcal{R}$  would be much bigger than necessary). Possibly a newly developed dynamic dictionary for the GPU [4] would be of use here. Testing for simple forbidden structures should also be parallelizable, although our preliminary tests indicated that spreading all the work evenly between CUDA threads is not trivial.

A GPU implementation of the naïve algorithm could also be considered for a future work. We have created one, but after all path-enumeration optimizations of the CPU implementation we didn't manage to achieve any noticeable speedup. The reason again was, that we couldn't spread the work evenly between CUDA threads. Because some prefixes of paths require much more processing than others, this is not a trivial task and a careful study would be needed.

All of our implementations are significantly faster than the only other perfect graph recognition implementation we found.

We also implemented algorithm for coloring perfect graphs. It is not entirely unusable, although far from fast. Maybe a better way of calculating  $\vartheta$  could be found, or the GPU could again be usable for this task. We point to Nvidia's cuSOLVER [44] as a possible starting point.

# Appendices

### Appendix A

# Coloring Square-free Berge graphs

The following appendix is based on the paper "Coloring square-free Berge graphs" by Chudnovsky et al. [13].

### A.1 Introduction

We give a brief overview of the square-free perfect graph coloring algorithm and a pseudocode thereof. The work is not intended to be self-contained and will be impossible to fully understand without [13] at hand. But when reading [13], one may pose a question of what sort of an algorithm emerges from it, and we believe the pseudocode below gives a first overview and is a step required before an attempt to implement it would be made. Looking at the pseudocode could provide a better way to analyze and possibly simplify the algorithm.

What we present would not be trivial to implement. For example in many places we say "choose x, so that ...", without specifying how to do it. A most glaring example is calculating an inverse of a line graph (in Algorithm A.3.9) which is a complicated algorithm in and of itself, see [30] for reference.

**Definition A.1** (prism). A prism is a graph consisting of two disjoint triangles and two disjoint paths between them.

Notice that for a graph to contain no odd holes, all three paths in a prism must have the same parity. A prism with all three paths odd is called an *odd* prism and a prism with all three paths even is called an *even prism*.

**Definition A.2** (Artemis graph). A graph G is an Artemis graph if and only if it contains no odd holes, no antiholes of length at least five, and no prisms.

In 2003 Maffray et al. published a paper on Artemis graphs where they show polynomial algorithms to recognize and color them [36]. In 2005 Maffray et al.

published a paper on Artemis graphs [37] they showed an polynomial algorithm that checks if a perfect graph contains a prism, and returns it if so.

**Theorem A.1.1.** [37] There is a polynomial algorithm, that given a perfect graph G, returns an induced prism K of G, or an answer that G contains no prisms.

In 2009 Maffray et al. published a paper on coloring Artemis graphs in time of  $O(|V|^2|E|)$  [29]. The paper contains simple pseudocode of the algorithm.

**Theorem A.1.2.** [29] There is a polynomial algorithm, that given an Artemis graph G, returns a  $\omega(G)$ -coloring of G.

So, we will focus on square-free perfect graphs that are not Artemis graphs. Let us notice, that every antihole of length at least 6 contains a square, therefore a square-free perfect graph that is not an Artemis graph must contain a prism. We will use this fact extensively.

Let us state a few definitions.

**Definition A.3** (subdivision). In a graph G, subdividing an edge  $uv \in E(G)$  means removing the edge uv and adding a new vertex w and two new edges uw, wv. Starting with a graph G, the effect of repeatedly subdividing edges produces a graph H called a subdivision of G. Note that  $V(G) \subseteq V(H)$ .

**Definition A.4** (triad). In a graph G, a triad is a set of three pairwise non-adjacent vertices.

**Definition A.5** (good partition). A good partition of a graph G is a partition  $(K_1, K_2, K_3, L, R)$  of V(G) such that:

- L and R are not empty, and L is anticomplete to R,
- $K_1 \cup K_2$  and  $K_2 \cup K_3$  are cliques,
- in the graph obtained from G by removing all edges between  $K_1$  and  $K_3$ , every path with one end in  $K_1$ , the other in  $K_3$ , and interior in L contains a vertex from L that is complete to  $K_1$ ,
- either  $K_1$  is anticomplete to  $K_3$ , or no vertex in L has neighbors in both  $K_1$  and  $K_3$ ,
- for some  $x \in L$  and  $y \in R$ , there is a triad of G that contains  $\{x, y\}$ .

The algorithm we present is derived from a constructive proof of the following two theorems.

**Theorem A.1.3.** [Theorem 2.1 of [13]] Let G be a square-free Berge graph. If G contains a prism, then G has a good partition.

**Theorem A.1.4.** [Lemma 2.2 of [13]] Let G be a square-free Berge graph. Suppose that V(G) has a good partition  $(K_1, K_2, K_3, L, R)$ . Let  $G_1 = G \setminus R$ ,  $G_2 = G \setminus L$  and for i = 1, 2 let  $c_i$  be an  $\omega(G_i)$ -coloring of  $G_i$ . Then an  $\omega(G)$ -coloring of G can be obtained in polynomial time.

A few more structures are used throughout the algorithm. Let us define them.

For definitions A.6 and A.7, let K be a prism with triangles  $\{a_1, a_2, a_3\}$  and  $\{b_1, b_2, b_3\}$  and with paths  $R_1, R_2, R_3$ , where each  $R_i$  has ends  $a_i$  and  $b_1$ .

**Definition A.6** (major neighbor of a prism). A vertex  $v \in V(G) \setminus K$  is a major neighbor of prism K is and only if it has at least two neighbors in  $\{a_1, a_2, a_3\}$  and at least two neighbors in  $\{b_1, b_2, b_3\}$ .

**Definition A.7** (local subset of a prism). A subset  $X \subseteq V(K)$  is local if and only if either  $X \subseteq \{a_1, a_2, a_3\}$ , or  $X \subseteq \{b_1, b_2, b_3\}$ , or  $X \subseteq R_i$  for some  $i \in \{1, 2, 3\}$ .

**Definition A.8** (attachment). Let F, K be an induced subgraphs of a graph G, with  $V(F) \cap V(K) = \emptyset$ . Any vertex  $k \in V(K)$  that has a neighbor in V(F) in a graph G is called an attachment of F in K. Whenever any vertex  $k \in V(K)$  has an attachment of F we say that F attaches to K.

**Definition A.9** (hyperprism). A hyperprism is a graph H, whose vertices can be partitioned into nine sets:

$$\begin{array}{cccc} A_1 & C_1 & B_1 \\ A_2 & C_2 & B_2 \\ A_3 & C_3 & B_3 \end{array}$$

with the following properties:

- each of  $A_1, A_2, A_3, B_1, B_2, B_3$  is nonempty,
- for distinct  $i, j \in \{1, 2, 3\}$ ,  $A_i$  is complete to  $A_j$ , and  $B_i$  is complete to  $B_j$ , and there are no other edges between  $A_i \cup B_i \cup C_i$  and  $A_j \cup B_j \cup C_j$ ,
- for each  $i \in \{1, 2, 3\}$ , every vertex of  $A_i \cup B_i \cup C_i$  belongs to a path between  $A_i$  and  $B_i$  with interior in  $C_i$ .

Whenever we will speak about hyperprisms, we will denote its subsets as in the above definition, unless stated otherwise.

For a hyperprism H we have a few more definitions:

**Definition A.10** (i-rung of a hyperprism). For each  $i \in \{1, 2, 3\}$ , any path from  $A_i$  to  $B_i$  with interior in  $C_i$  is called an i-rung.

**Definition A.11** (strip of a hyperprism). For each  $i \in \{1,2,3\}$ , the triple  $(A_i, C_i, B_i)$  is called a strip of the hyperprism.

**Definition A.12** (instance of a hyperprism). For each  $i \in \{1, 2, 3\}$ , let us pick any i-rung  $R_i$ . Then  $R_1$ ,  $R_2$ ,  $R_3$  form a prism. Any such prism is called an instance of a hyperprism.

From the definition of an instance of a hyperprism, we can see that any prism is also a hyperprism.

Let us note, that if a hyperprism H contains no odd hole, all rungs have the same parity. We then call the hyperprism odd or even accordingly.

Given a graph G that contains a hyperprism H, we can define a few more structures.

**Definition A.13** (major neighbor of a hyperprism). A vertex  $v \in V(G) \setminus V(H)$  is a major neighbor of H, if and only if it is a major neighbor of some instance of H.

**Definition A.14** (local subset of a hyperprism). A subset  $X \subseteq V(H)$  is a local subset of a hyperprism H if and only if either  $X \subseteq A_i \cup A_2 \cup A_3$  or  $X \subseteq B_1 \cup B_2 \cup B_3$  or  $X \subseteq A_i \cup B_i \cup C_i$  for some  $i \in \{1, 2, 3\}$ .

**Definition A.15** (maximal hyperprism). A hyperprism H is maximal if and only if there is no hyperprism H' in G, such that  $V(H) \subseteq V(H')$ .

Algorithm A.3.3 for growing hyperprism is based upon the following theorem. Recall that by  $K_4$  we denote a clique on 4 vertices.

**Theorem A.1.5** (Lemma 3.3 of [13]). Let G be a Berge graph, let H be a hyperprism in G and let M be the set of major neighbors of H in G. Let F be a component of  $V(G) \setminus (V(H) \cup M)$ , such that the set of attachments of F in H is not local. Then one can find in polynomial time one of the following

- a path P, with  $\emptyset \neq V(P) \subseteq V(F)$ , such that  $V(H) \cup V(P)$  induces a hyperprism,
- a path P, with  $\emptyset \neq V(P) \subseteq V(F)$ , and for each  $i \in \{1, 2, 3\}$  an i-rung  $R_i$  of H, such that  $V(P) \cup V(R_1) \cup V(R_2) \cup V(R_3)$  induces the line graph of a bipartite subdivision of  $K_4$ .

If at any time during growing a hyperprism we encounter the latter outcome of the Theorem A.1.5 we stop it and instead focus on the newly found line graph of a bipartite subdivision of  $K_4$ . Algorithms A.3.6, A.3.7, A.3.8 and A.3.9 take their roots in the following theorem.

**Theorem A.1.6** (Theorem 6.1 of [13]). Let G be a square-free Berge graph, and assume that G contains the line graph of a bipartite subdivision of  $K_4$ . Then G admits a good partition.

Before providing the pseudocode, we need a few more definitions.

**Definition A.16** (branch). Given a graph G, a branch is a path whose interior vertices have degree 2 and whose ends have degree at leas 3. A branch-vertex is any vertex of degree at least 3.

**Definition A.17** (appearance of a graph). Given a graph G, and appearance of a graph J is any induced subgraph of G that is isomorphic to the line graph L(H) of a bipartite subdivision H of J. An appearance of J is degenerate if and only if either  $J = H = K_{3,3}^{-1}$ , or  $J = K_4$  and the four vertices of J form a square in H.

Note that a degenerate appearance of a graph contains a square.

**Definition A.18** (overshadowed appearance). An appearance of L(H) of J in G is overshadowed if and only if there is a branch B of H, of length at least 3, with ends  $b_1, b_2$ , such that some vertex of G is non-adjacent in G to at most one vertex in  $\{b_1x \in V(L(H)), \text{ for } x \in V(H), b_1x \in E(H)\}$  and at most one vertex in  $\{b_2x \in V(L(H)), \text{ for } x \in V(H), b_2x \in E(H)\}$ .

**Definition A.19** (*J*-enlargement). An enlargement of a 3-connected graph J, or a J-enlargement is any 3-connected graph J' such that there is a proper induced subgraph of J' that is isomorphic to a subdivision of J.

**Definition A.20** (*J*-strip system, uv-rung). Let J be a 3-connected graph and let G be a perfect graph. A J-strip system (S, N) in G means

- for each edge uv of J, a subset  $S_{uv} = S_{vu}$  of V(G),
- for each vertex v of J, s subset  $N_v$  of V(G),
- $N_{uv} = S_{uv} \cap N_u$ ,

such that if we define a uv-rung to be a path R of G with ends s,t, where  $V(R) \subseteq S_{uv}$ , and s is the unique vertex of R in  $N_u$ , and t is the unique vertex of R in  $N_v$ , the following conditions are met:

- the sets  $S_{uv}$ , for  $uv \in E(J)$  are pairwise disjoint,
- for each  $u \in V(J)$ ,  $N_u \subseteq \bigcup_{uv \in E(J)} S_{uv}$ ,
- for each  $uv \in E(J)$ , every vertex of  $S_{uv}$  is in a uv-rung,
- for any two edges uv, wx of J, with u, v, w, x all distinct, there are no edges between  $S_{uv}$  and  $S_{wx}$ ,
- if  $uv, uw \in E(J)$  with  $v \neq w$ , then  $N_{uv}$  is complete to  $N_{uw}$  and there are no other edges between  $S_{uv}$  and  $S_{uw}$ ,
- for each  $uv \in E(J)$ , there is a special uv-rung, such that for every cycle C of J, the sum of the lengths of the special uv-rungs for  $uv \in E(C)$  has the same parity as |V(C)|.

The vertex set of (S, N), denoted by V(S, N) is the set  $\bigcup_{uv \in E(J)} S_{uv}$ . Note that in general  $N_{uv}$  is different from  $N_{vu}$ . On the other hand  $S_{uv} = S_{vu}$ .

A J-strip system has the following properties:

 $<sup>{}^1</sup>K_{n,m}$  is a complete bipartite graph with n vertices on the one side and m vertices on the other

- for distinct  $u, v \in V(J)$ , if  $uv \in E(J)$ , then  $N_u \cap N_v \subseteq S_{uv}$  and if  $uv \notin E(J)$ , then  $N_u \cap N_v = \emptyset$ ,
- for  $uv \in E(J)$  and  $w \in V(J)$ , if  $w \neq u$ , then  $S_{uv} \cap N_w = \emptyset$ ,
- for every  $uv \in E(J)$ , all uv-rungs have lengths of the same parity,
- for every cycle C of J and every choice of uv-rung for every  $uv \in E(C)$ , the sums of the lengths of the uv-rungs have the same parity as |V(C)|. In particular, for each edge  $uv \in E(J)$ , all uv-rungs have the same parity.

**Definition A.21** (choice of rungs). Given a J-strip system, by a choice of rungs we mean the choice of one uv-rung for each edge uv of J.

Given a square-free perfect graph G and a J-strip system, for every choice of rungs, the subgraph of G induced by their union is the line-graph of a bipartite subdivision of J.

**Definition A.22** (saturating *J*-strip system).  $X \subseteq V(G)$  saturates the strip system if and only if for every  $u \in V(J)$  there is at most one neighbor v of u such that  $N_{uv} \nsubseteq X$ .

**Definition A.23** (major vertex w.r.t. a strip system). A vertex  $v \in V(G) \setminus V(S, N)$  is major with respect to (w.r.t.) the strip system if and only if the set of its neighbors saturates the strip system.

**Definition A.24** (major vertex w.r.t. some choice of rungs). A vertex  $v \in V(G) \setminus V(S, N)$  is major with respect to (w.r.t.) some choice of rungs if and only if the J-strip system defined by this choice of rungs is saturated by the set of neighbors of v.

**Definition A.25** (subset local w.r.t. a strip system). A subset  $X \subseteq V(S, N)$  is local with respect to (w.r.t.) the strip system, if and only if either  $X \subseteq N_v$  for some  $v \in V(J)$  or  $X \subseteq S_{uv}$  for some  $uv \in E(J)$ .

The outline of the algorithm is as follows. Given a square-free perfect graph, first we test if it is an Artemis graph. If so, we color it according to Theorem A.1.2. If not, Theorem A.1.1 gives us a prism K. We want to extend K either to a maximal hyperprism or to the line graph of a bipartite subdivision of  $K_4$ . For each of them, we can construct a good partition, which we color recursively.

### A.2 Notation

In the following algorithms we use a slightly different notation than before, with many concepts represented by inline symbols. This is intended to reduce the length of algorithm's text and simplify its analysis.

•  $a :\in X$ , when X is a set – let a be equal to any element of X,

- $a \vee b$ , when a and b are logical values a xor b,
- V(X) vertices of structure X. Will be written as X when obvious,
- a-b, when a and b are vertices a and b are neighbors,
- $a \cdots b$ , when a and b are vertices a and b are not neighbors,
- a X, when a is a vertex and X is a set of vertices a has a neighbor in X,
- $a \cdots X$ , when a is a vertex and X is a set of vertices a has a nonneighbor in X,
- $a \triangleleft X$ , when a is a vertex and X is a set of vertices a is complete to X,
- a ≠ X, when a is a vertex and X is a set of vertices a is anticomplete to X.
- $X \blacksquare Y$ , when X and Y are sets of vertices X is complete to Y,
- $X \not\square Y$ , when X and Y are sets of vertices X is anticomplete to Y,
- $[n] \{1, \ldots, n\},$
- $L(BS(K_4))$  the line-graph of a bipartite subdivision of  $K_4$ .

Also, throughout the algorithms, we have many lines with asserts. These check some of the properties required before proceeding, and should all be true.

### A.3 Algorithms

Algorithm A.3.1 (Color square-free perfect graph)

```
Color-Graph (G)
Input: G – square-free Berge graph
Output: A \omega(G)-coloring of G
 1 if G is an Artemis graph then
                                                              // Theorem A.1.1
 2 return coloring of an Artemis graph G
                                                              // Theorem A.1.2
 з H \leftarrow an induced prism of G
                                                              // Theorem A.1.1
 4 while P = \text{UNDEFINED do}
       if \exists a component of G \setminus (H \cup M) with a set of attachments in H not
         local then
           F \leftarrow a minimal component of G \setminus (H \cup M) with a set of
 6
            attachments in H not local
           M \leftarrow \{v : v \text{ is a major neighbor of H}\}
 7
           H' \leftarrow \text{Grow-Hyperprism}(G, H, M, F)
 8
           if H' is a L(BS(K_4)) then
 9
               J \leftarrow H'
10
               (S, N) \leftarrow \text{a } J\text{-strip system}
11
12
              H \leftarrow H'
13
       else
                                             // H is a maximal hyperprism
14
           M \leftarrow \{v : v \text{ is a major neighbor of H}\}
15
           if H is an even hyperprism then
16
               P \leftarrow \text{Good-Partition-From-Even-Hyperprism}(G, H, M)
17
           else
18
               P \leftarrow \text{Goog-Partition-From-Odd-Hyperprism}(G, H, M)
19
               break
20
       if J \neq \mathtt{UNDEFINED} then // a J	ext{-strip} system was encountered
21
           J', (S', N') \leftarrow \text{Growing-J-Strip}(G, J, (S, N))
22
           M \leftarrow a set of major vertices w.r.t. (S, N)
23
24
           if J', (S', N') is a special K_4 system then
               P \leftarrow \text{Good-Partition-From-Special-Strip-}
25
                System(G, (S, N), M)
           else
26
               P \leftarrow
27
                GOOD-PARTITION-FROM-J-STRIP-SYSTEM(G, J, (S, N), M)
28 return Color-Good-Partition(G, P)
```

```
Algorithm A.3.2 (Color good partition)
COLOR-GOOD-PARTITION (G, (K_1, K_2, K_3, L, R), c_1, c_2)
Input: G – square-free, Berge graph
           (K_1, K_2, K_3, L, R) – good partition
           c_1, c_2 – colorings of G \setminus R and G \setminus L (possibly NULL)
Output: \omega(G)-coloring of G
  1 G_1 \leftarrow G \setminus R
  2 G_2 \leftarrow G \setminus L
  3 if c_1, c_2 = \text{NULL then}
     c_1 \leftarrow \texttt{Color-Graph}(G_1)
     c_2 \leftarrow \mathtt{Color}\mathtt{-Graph}(G_2)
  6 foreach u \in K_1 \cup K_2 do
  7 | relabel c_2, so that c_1(u) = c_2(u)
  B \leftarrow \{u \in K_3 : c_1(u) \neq c_2(u)\}
  9 if B = \emptyset then return c_1 \cup c_2
 10 foreach h \in [2], distinct colors i, j do
 11 | G_h^{i,j} \leftarrow \text{subgraph induced on } G_h \text{ by } \{v \in G_h : c_h(v) \in \{i,j\}\}
 12 foreach u \in K_3 do
     C_h^{i,j}(u) \leftarrow \text{component of } G_h^{i,j} \text{ containing } u
     ASSERT: C_h^{c_1(u),c_2(u)}(u) \cap K_2 = \emptyset
 14 if \exists u \in B, h \in [2]: C_h^{c_1(u),c_2(u)}(u) \cap K_1 = \emptyset then
          c'_1 \leftarrow c_1 with colors i and j swapped in C_1^{i,j}(u)
          ASSERT: c_1' and c_2 agree on K_1 \cup K_2
          ASSERT: \forall u \in K_3 \setminus B : c'_1(u) = c_1(u)
         ASSERT: c'_{1}(u) = j = c_{2}(u)
         return Color-Good-Partition (G, K_1, K_2, K_3, L, R, c'_1, c_2)
 16
 17 else
          w \leftarrow \text{vertex of } B \text{ with most neighbors in } K_1
 18
          ASSERT: \forall u \in B : N(u) \cap K_1 \subset N(w) \cap K_1
          relabel c_1, c_2, so that c_1(w) = 1, c_2(w) = 2
 19
         P \leftarrow \text{a path } w - p_1 - \ldots - p_k - a \text{ in } C_1^{1,2}(w) \text{ so that}
              k \ge 1, p_1 \in K_3 \cup L, p_2 \dots p_k \in L, a \in K, c_1(a) \in [2]
          Q \leftarrow \text{a path } w - q_1 - \ldots - q_l - a \text{ in } C_2^{1,2}(w) \text{ so that } l \ge 1, \ q_1 \in K_3 \cup R, \ q_2 \ldots q_l \in R, \ a \in K, \ c_2(a) \in [2]
 21
          i \leftarrow c_1(a)
 22
          j \leftarrow 3 - i
          ASSERT: exactly one of the colors 1 and 2 appears in K_1 (as in
           Lemma 2.2.(3)
          ASSERT: |P| and |Q| have different parities
          ASSERT: p_1 \in K_3 \lor p_2 \in K_3 (as in Lemma 2.2.(4))
```

ASSERT:  $\nexists y \in K_3 : c_1(y) = 2 \land c_2(y) = 1$  (as in Lemma 2.2.(5))

```
/ else // \nexists u \in B, h \in [2] : C_h^{c_1(u), c_2(u)}(u) \cap K_1 = \emptyset
                               if p_1 \in K_3 then
                                                  ASSERT: c_2(p_1) \notin [2]
                                                  relabel c_2, so that c_2(p_1) = 3
                                                  ASSERT: color 3 does not appear in K_2
                                                  ASSERT: color 3 does not appear in K_1
                                                 ASSERT: C_2^{j,3}(p_1) \cap K_1 = \emptyset
                                                  c_2' \leftarrow c_2 with colors j and j swapped in C_2^{j,3}(p_1)
26
                                                  ASSERT: j = 2
                                                  return Color-Good-Partition (G, K_1, K_2, K_3, L, R, c_1, c_2')
27
                                else
28
                                                  relabel c_1, so that c_1(q_1) = 3
29
                                                  if 3 does not appear in K_1 then
30
                                                                   ASSERT: C_1^{j,3}(q_1) \cap K_1 = \emptyset
                                                                    ASSERT: j = 1
                                                                   c_1' \leftarrow c_1 \text{ with colors } j \text{ and } 3 \text{ swapped in } C_1^{j,3}(q_1) \\ \textbf{return Color-Good-Partition}(G, \, K_1, \, K_2, \, K_3, \, L, \, R, \, c_1', \,
31
32
                                                                         c_2)
                                                  else
33
                                                                    \begin{aligned} & \text{ASSERT: } q_1 \not \lhd \{a,a_3\} \\ & \text{ASSERT: } C_1^{i,3}(q_1) \cap K_1 = \emptyset \\ & \text{ASSERT: } i = 1 \end{aligned} 
                                                                   c_1' \leftarrow c_1 \text{ with colors } i \text{ and } 3 \text{ swapped in } C_1^{i,3}(q_1) \\ \textbf{return Color-Good-Partition}(G,\,K_1,\,K_2,\,K_3,\,L,\,R,\,c_1',\,K_2') \\ \textbf{return Color-Good-Partition}(G,\,K_1,\,K_2,\,K_3,\,L,\,R,\,c_1',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1,\,K_2,\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1,\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1,\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_2',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_3') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_1',\,K_1',\,K_1') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_1',\,K_1',\,K_1') \\ \textbf{return Color-Good-Partition}(G,\,K_1',\,K_1',\,K_1',\,K_1') \\ \textbf{retu
34
35
                                                                           c_2)
```

### **Algorithm A.3.3** (Grow hyperprism)

```
GROW-HYPERPRISM (G, H, M, F)
                                                                                  // Lemma 3.3
Input: G – square-free, Berge graph
           H = (A_1, \dots B_3) – a hyperprism in G
           M – the set of major neighbors of H in G
           F – a minimal component of G \setminus (H \cup M) with a set of
           attachments in H not local.
Output: H' – a larger hyperprism, or
             L – a L(BS(K_4))
     X \leftarrow \text{set of attachments of } F \text{ in } H
  1 if \exists i: X \cap C_i \neq \emptyset then
         relabel strips of H, so that X \cap C_1 \neq \emptyset
  3
         x_1 :\in X \cap C_1
         ASSERT: X \cap S_2 \neq \emptyset
         x_2 :\in X \cap S_2
         R_1 \leftarrow 1-rung of H, so that x_1 \in V(R_1)
         R_2 \leftarrow 2-rung of H, so that x_2 \in V(R_2)
  6
         R_3 \leftarrow \text{a 3-rung of } H
  7
         \forall i \in [3] : a_i, b_i \leftarrow \text{ends of } R_i, \text{ so that } a_i \in A_i, b_i \in B_i
         K \leftarrow \text{a prism } (R_1, R_2, R_3)
         ASSERT: no vertex in F is major w.r.t. K (as in [15] 10.5)
         f_1 - \ldots - f_n \leftarrow a minimal path in F, so that
 10
              f_1 \blacktriangleleft \{a_2, a_3\},\
              f_n - R \setminus \{a_1\}
              there are no other edges between \{f_1, \ldots f_n\} and V(K) \setminus \{a_1\}
         ASSERT: F = \{f_1, \ldots, f_n\}
         ASSERT: f_1 \triangleleft A_3
         A_1' \leftarrow A_1 \cup \{f_1\}

C_1' \leftarrow C_1 \cup \{f_2, \dots, f_n\}
 11
 12
         return H' \leftarrow (A'_1, A_2, \dots, B_3, C'_1, C_2, C_3)
 14 else
         relabel strips of H, so that there is \{x_1:\in A_1, x_2:\in A_2\}\subset X that is
 15
           not local
 16
         find a path x - f_1 - \ldots - f_n - x_2
         ASSERT: F = \{f_1, \dots f_n\}
         if n is even and H is even, or n is odd and H is odd then
 17
              ASSERT: f_1 - a_3 \veebar f_n - b_3
              if f_1 - a_3 then
 18
                  H' \leftarrow \text{mirrored } H - \text{every } A_i \text{ and } B_i \text{ are swapped}
 19
                  {f return} Grow-Hyperprism (G,H',M,F)
 20
              else
 21
                  if f_n \triangleleft B_2 \cup B_3 then
 ^{22}
                  B_1' \leftarrow B_1 \cup \{f_n\}
```

```
// else // \forall_{i \in [3]} X \cap C_i = \emptyset
                 / if n is even and H is even, or n is odd and H is odd then
                        / else // f_n - b_3

// if f_n \triangleleft B_2 \cup B_3 then
C'_1 \leftarrow C_1 \cup \{f_1, \dots, f_{n-1}\}
\mathbf{return} \ H' \leftarrow \begin{pmatrix} A_1 & C'_1 & B'_1 \\ A_2 & C_2 & B_2 \\ A_3 & C_3 & B_3 \end{pmatrix}
25
                            else
26
                                   \forall_{i \in [3]} : A'_i \leftarrow \text{neighbors of } f_1 \text{ in } A_i
27
                                   \forall_{i \in [3]} : A_i'' \leftarrow A_i \setminus A_i'
28
                                   \forall_{i \in [3]} : B_i'' \leftarrow \text{neighbors of } f_n \text{ in } B_i
29
                                   \forall_{i \in [3]} : B_i' \leftarrow B_i \setminus B_i''
30
                                   ASSERT: Every i-rung is between A'_i and B'_i or A''_i and
                                   \forall_{i \in [3]} : C'_i \leftarrow \text{union of interiors of } i\text{-rings between } A'_i \text{ and } i
31
                                   \forall_{i \in [3]} : C_i'' \leftarrow \text{union of interiors of } i\text{-rings between } A_i'' \text{ and } i
32
                                   \begin{array}{l} \text{ASSERT: } C_i = C_i' \cup C_i'', \ C_i' \cap C_i'' = \emptyset \\ \text{ASSERT: } A_i' \cup C_i' \ \not\square \ C_i'' \cup B_i'', \ A_i'' \cup C_i'' \ \not\square \ C_i \cup B_i \end{array}
                                   ASSERT: A'_i \blacksquare A''_i, B'_i \blacksquare B''_i
                                  ASSERT: A'_{1}, A''_{2}, A'_{3}, A''_{3} \neq \emptyset

H' \leftarrow \begin{pmatrix} A'_{1} & C'_{1} & B'_{1} \\ A'_{2} \cup A'_{3} & C'_{2} \cup C'_{3} & B'_{2} \cup B'_{3} \\ \bigcup_{i} A''_{i} \cup \{f_{1}\} & \bigcup_{i} C''_{i} \cup \{f_{2}, \dots, f_{n}\} & \bigcup_{i} B''_{i} \end{pmatrix}
33
34
             else
35
                    a_1 \leftarrow \text{neighbor of } f_1 \text{ in } A_1
36
                    R_1 \leftarrow 1-rung with end a_1
37
38
                    b_1 \leftarrow the other end of R_1
                    b_2 \leftarrow \text{neighbor of } f_2 \text{ in } B_2
39
                     R_2 \leftarrow 2-rung with end b_2
40
                    a_2 \leftarrow the other end of R_2
41
                    ASSERT: b_1 \in X, a_2 \in X
                    ASSERT: (b_1 - f_1 \wedge a_2 - f_n) \vee (b_1 - f_n \wedge a_2 - f_1)
                    if f_1 - b_1 then
42
                            ASSERT: H is odd
                            R_3 \leftarrow \text{any 3-rung with ends } a_3, b_3, \text{ such that}
43
                               \{a_3,b_3\} \not\square \{f_1,f_n\}
                           return V(R_1) \cup V(R_2) \cup V(R_3) \cup \{f_1, \dots, f_n\} - a L(BS(K_4))
44
```

```
// else // \forall_{i \in [3]} X \cap C_i = \emptyset
             // else // n is odd and H is even, or n is even and H is odd
                      else // f_1 - a_2
45
                             \forall_{i \in [3]} : A'_i \leftarrow A_i \cap X, \ A''_i \leftarrow A_i \setminus X
\forall_{i \in [3]} : B'_i \leftarrow B_i \cap X, \ B''_i \leftarrow B_i \setminus X
\forall_{i \in [3]} : C'_i \leftarrow \text{ union of } i\text{-rungs between } A'_i \text{ and } B'_i
\forall_{i \in [3]} : C''_i \leftarrow \text{ union of } i\text{-rungs between } A''_i \text{ and } B''_i
46
47
48
49
                              ASSERT: C_i = C'_i \cup C''_i, C'_i \cap C''_i = \emptyset
                              if f_1 is complete to at least two of A_i then
50
                                      relabel strips of H, so that f_1 is complete to A_1 and A_2
51
                                       ASSERT: f_n is complete to B_1 and B_2
                                     ASSERT: n > 1 (as in [15] 10.5)

return \begin{pmatrix} A_1 & C_1 & B_1 \\ A_2 & C_2 & B_2 \\ A_3 \cup \{f_1\} & C_3 \cup \{f_2, \dots, f_{n-1}\} & B_3 \cup \{f_n\} \end{pmatrix}
52
                              else
53
                                      ASSERT: A_i' \blacksquare A_i''
                                      ASSERT: B_i' \blacksquare B_i''
                                      return
54
                                          \begin{pmatrix} A'_1 & C'_1 & B'_1 \\ A'_2 \cup A'_3 & C_2 \cup C'_3 & B'_2 \cup C'_3 \\ \bigcup_i A''_i \cup \{f_1\} & \bigcup_i C''_i \cup \{f_2, \dots, f_{n-1}\} & \bigcup_i B''_i \cup \{f_n\} \end{pmatrix}
```

### Algorithm A.3.4 (Good partition from an even hyperprism)

```
GOOD-PARTITION-FROM-EVEN-HYPERPRISM(G, H, M)
Input: G – square-free, Berge graph containing no L(BS(K_4))
          H = (A_1, \ldots, B_3) – maximal even hyperprism in G
          M – set of major neighbors of H
Output: A good partition of G
 1 Z \leftarrow \bigcup \{V(C) : C \text{ is a component of } G \setminus \{V(H) \cup M\} \text{ with no}
     attachments in H}
 2 relabel strips of H, so that M \cup A_1 and M \cup B_1 are cliques
 3 F_1 \leftarrow \bigcup \{V(C) : C \text{ is a component of } G \setminus \{H \cup M \cup Z\} \text{ that attaches to } I
     A_1 \cup B_1 \cup C_1 }
    ASSERT: M is a clique
    ASSERT: M \cup A_i is a clique for at least two values of i
    ASSERT: M \cup B_j is a clique for at least two values of j
 4 K_1 \leftarrow A_1, K_2 \leftarrow M, K_3 \leftarrow B_1
 5 R \leftarrow C_1 \cup F_1 \cup Z
 6 L \leftarrow G \setminus \{K_1 \cup K_2 \cup K_3 \cup R\}
 7 return (K_1, K_2, K_3, L, R)
```

### Algorithm A.3.5 (Good partition from an odd hyperprism)

```
GOOD-PARTITION-FROM-ODD-HYPERPRISM(G, H, M)
Input: G – square-free, Berge graph containing no L(BS(K_4))
          H = (A_1, \ldots, B_3) – maximal odd hyperprism in G
          M – set of major neighbors of H
Output: A good partition of G
 1 Z \leftarrow \bigcup \{V(C) : C \text{ is a component of } G \setminus \{V(H) \cup M\} \text{ with no}
      attachments in H}
 2 relabel strips of H, so that A_1 \not\square B_1 and A_2 \not\square B_2
    ASSERT: C_1 \neq \emptyset, C_2 \neq \emptyset
 3 \forall_{i \in [3]} F_i \leftarrow \bigcup \{V(C) : C \text{ is a component of } G \setminus \{H \cup M \cup Z\} \text{ that}
      attaches to A_i \cup B_i \cup C_i }
 4 F_B \leftarrow \bigcup \{V(C) : C \text{ is a component of } G \setminus \{H \cup M \cup Z \cup F_1 \cup F_2 \cup F_3\}
      that attaches to B_1 \cup B_2 \cup B_3 }
    ASSERT: At least two of A_i and at least two of B_i are cliques
    ASSERT: M is complete to at least two of A_i and at least two of B_i
    ASSERT: M is a clique
    ASSERT: For at least two i: A_i \cup M is a clique
    ASSERT: For at least two j: A_j \cup M is a clique
 5 choose h, so that M \cup A_h and M \cup B_h are cliques
 6 if h = 1 \lor h = 2 then
        K_1 \leftarrow A_1, K_2 \leftarrow M, K_3 \leftarrow B_1
        R \leftarrow C_1 \cup F_1 \cup Z
        L \leftarrow G \setminus \{K_1 \cup K_2 \cup K_3 \cup R\}
        return (K_1, K_2, K_3, L, R)
10
11 else
        relabel H so that M \cup A_1 and M \cup B_2 are cliques
12
        K_1 \leftarrow B_2 \cup B_3, \ K_2 \leftarrow M, \ K_3 \leftarrow A_1 \cup A_3
13
        L \leftarrow B_1 \cup C_1 \cup F_1 \cup F_B
14
        R \leftarrow G \setminus \{K_1 \cup K_2 \cup K_3 \cup L\}
15
        return (K_1, K_2, K_3, L, R)
```

### **Algorithm A.3.6** (Good partition from a *J*-strip system)

```
GOOD-PARTITION-FROM-J-STRIP-SYSTEM(G, J, (S, N), M)
Input: G – square-free, Berge graph
            J – a maximal 3-connected graph with appearance in G
           (S, N) – a maximal J-strip system
           M – a set of major vertices w.r.t. (S, N)
Output: A good partition of G
  1 S_{uv}^* \leftarrow S_{uv} \cup (\text{ components of } G \setminus V(S, N) \text{ that attach in } S_{uv} \text{ only })
  _{2} T_{uv} \leftarrow N_{u} \cap N_{v}
     ASSERT: T_{uv} \blacksquare N_u \setminus N_{uv}, T_{uv} \blacksquare N_v \setminus N_{vu}
     ASSERT: M \cup T_{uv} is a clique
  3 Strip S_{uv} is rich if and only if S_{uv} \setminus T_{uv} \neq \emptyset
  4 if \exists S_{uv} – a rich strip in (S, N) then
          if \exists S_{uv} - a rich strip in (S, N), such that M \cup (N_u \setminus N_{uv}) and
            M \cup (N_v \setminus N_{vu}) are cliques then
               K_1 \leftarrow N_u \setminus N_{uv}, K_2 \leftarrow M \cup T_{uv}, K_3 \leftarrow N_v \setminus N_{vu}
               L \leftarrow (S_{uv}^* \setminus T_{uv}) \cup ( components of G \setminus V(S, N) that attach only
                 to N_u and those that attach only to N_v)
               R \leftarrow G \setminus (K_1 \cup K_2 \cup K_3 \cup L)
  8
  9
               return (K_1, K_2, K_3, L, R)
          else
 10
               S_{uv} \leftarrow \text{a rich strip in } (S, N), \text{ such that } M \cup (N_u \setminus N_{uv}) \text{ is not a}
 11
                clique and M \cup (N_v \setminus N_{vu}) is a clique
               K_1 \leftarrow N_{uv} \setminus T_{uv}, K_2 \leftarrow M \cup T_{uv}, K_3 \leftarrow N_v \setminus N_{vu}
 12
               R \leftarrow (S_{uv}^* \setminus N_u) \cup (\text{ components of } G \setminus V(S, U) \text{ that attach only}
 13
                to N_v)
               L \leftarrow G \setminus (K_1 \cup K_2 \cup K_3 \cup R)
 14
               return (K_1, K_2, K_3, L, R)
 15
 16 else
          ASSERT: \forall uv \in E(J) : S_{uv} = T_{uv}, S_{uv} is a clique
          S_{uv} \leftarrow \text{any strip}
 17
          K_1 \leftarrow N_u \setminus S_{uv}, K_2 \leftarrow M, K_3 \leftarrow N_v \setminus S_{uv}
 18
          L \leftarrow S_{uv}^* \cup ( components of G \setminus V(S, N) that attach only to N_u and
 19
           only to N_v)
          R \leftarrow G \setminus (K_1 \cup K_2 \cup K_3 \cup L)
20
          return (K_1, K_2, K_3, L, R)
```

### **Algorithm A.3.7** (Good partition from a special $K_4$ strip system)

```
GOOD-PARTITION-FROM-SPECIAL-STRIP-SYSTEM (G, J, (S, N), M)
Input: G – square-free, Berge graph
           (S, N) – a special K_4 strip system
           M – a set of major vertices w.r.t. (S, N)
Output: A good partition of G
 1 \forall_{i,j\in[4]}O_{ij} \leftarrow set of vertices in V(G)\setminus V(S,N) that are complete to
      (N_i \cup N_j) \setminus S_{ij} and anticomplete to V(S,N) \setminus (N_i \cup N_j \cup S_{ij})
 2 if (N_1 \setminus N_{12}) \cup M \cup O_{12} and (N_2 \setminus N_{12}) \cup M \cup O_{12} are cliques then
         K_1 \leftarrow N_1 \setminus N_{12}, K_2 \leftarrow O_{12} \cup M, K_3 \leftarrow N_2 \setminus N_{12}
         L \leftarrow \text{union of those components of } G \setminus (K_1 \cup K_2 \cup K_3) \text{ that contain}
          vertices of S_{12}
         R \leftarrow G \setminus (K_1 \cup K_2 \cup K_3 \cup L)
         return (K_1, K_2, K_3, L, R)
 6
 7 else
         if (N_1 \setminus N_{12}) \cup M \cup O_{12} is a clique then
 8
          relabel 1 and 2 in J so that (N_1 \setminus N_{12}) \cup M \cup O_{12} is not a clique
         ASSERT: N_{12} \cup M \cup O_{12} is a clique
         if N_{21} \cup M \cup O_{12} is a clique then
10
          X \leftarrow N_{21}
11
         else
12
          X \leftarrow N_2 \setminus N_{21}
13
         K_1 \leftarrow N_{12}, K_2 \leftarrow M \cup O_{12}, K_3 \leftarrow X
14
         L \leftarrow \text{component of } G \setminus (K_1 \cup K_2 \cup K_3) \text{ that contains } N_1 \setminus N_{12}
15
         R \leftarrow G \setminus (K_1 \cup K_2 \cup K_3 \cup L)
16
         return (K_1, K_2, K_3, L, R)
```

```
Algorithm A.3.8 (Find a special K_4 system)
FIND-SPECIAL-K4-STRIP-SYSTEM(G, J, (S, N), m)
Input: G – square-free, Berge graph
          J – a 3-connected graph with appearance in G
         (S, N) – a J-strip system
         m \in G \setminus (S, N) that is major w.r.t. some choice of rungs of (S, N)
         but not w.r.t. (S, N)
Output: (S', N') – A special K_4-strip system, or
            (S'', N'') – a bigger J-strip system
 1 \ X \leftarrow N(m)
 2 M \leftarrow vertices of G \setminus V(S, N) major w.r.t. (S, N)
 3 M^* \leftarrow vertices of G \setminus V(S, N) major w.r.t. some choice of rungs
    ASSERT: J = K_4 (as in [15] 8.4)
 4 V(J) \leftarrow [4]
 5 \forall_{i\neq j\in[4]}: choose rungs R_{ij}, R_{ij}, forming line graphs L(H) and L(H') so
     that X saturates L(H) but does not saturate L(H')
    ASSERT: R_{ij} \neq R'_{ij} if and only if \{i, j\} = [2]
 6 r_{ij}, r_{ji} \leftarrow \text{ends of each } R_{ij}
 r'_{ij}, r'_{ji} \leftarrow \text{ends of each } R'_{ij}
 8 \ \forall_{i \in [4]} T_i \leftarrow \{r_{ij}, j \in [4] \setminus \{i\}\}
 9 \forall_{i \in [4]} T'_i \leftarrow \{r'_{ij}, j \in [4] \setminus \{i\}\}
    ASSERT: X has at least two members in each T_1, \ldots T_4
    ASSERT: There is T'_i that contains at most one member of X
    ASSERT: T_3 = T'_3, T_4 = T'_4
10 relabel 1 and 2 in J, so that |X \cap T_1| = 2 and |X \cap T_1'| = 1
    ASSERT: r_{12} \in X, r'_{12} \notin X
    ASSERT: r_{13} \in X \vee r_{14} \in X
11 relabel 3 and 4 in J, so that r_{13} \in X, r_{14} \notin X
    ASSERT: R_{34} is even and [X \cap V(L(H'))] \setminus V(R_{34}) = \{r_{31}, r_{32}, r_{41}, r_{42}\}
     (as in 6.3.(3))
    ASSERT: R_{14} has odd length, r_{21} \in X (as in 6.3.(4))
    ASSERT: R_{12} has length 0, every 12-rung has even length (as in
     6.3.(5)
    ASSERT: R_{24} has length 0 and R_{23} has odd length (as in 6.3.(6))
    ASSERT: Every 34-rung has non-zero even length (as in 6.3.(7))
12 \forall_{i\neq j\in[4]}O_{ij} \leftarrow \text{set of vertices that are not major w.r.t } L(H') and are
     complete to (T'_i \cup T'_i) \setminus R'_{ii}
    ASSERT: r_{12} = r_{21} \in O_{12}, m \in O_{34}
    ASSERT: O_{34} = M^* \setminus M
13 (S', N') \leftarrow strip system obtained from (S, N) by replacing S_{12} with
     S_{12} \setminus O_{12}
14 if \exists_{rungR}: adding R to S'_{12} produces enlargement of (S, N) then
return (S'', N'') – an enlargement of (S, N)
```

return (S', N') – a special  $K_4$  strip system

```
Algorithm A.3.9 (Growing a J-strip)
GROWING-J-STRIP (G, J, (S, N))
Input: G – square-free, Berge graph
          J – a 3-connected graph with appearance in G
          (S, N) – a J-strip system
Output: J' and a maximal J'-strip system, or a special strip system
  1 M \leftarrow vertices of G \setminus V(S, N) that are major on some choice of Rungs of
      (S,N)
 2 if \exists m : m \text{ is not major on some choice of rungs of } (S, N) then
        OUT \leftarrow \texttt{FIND-SPECIAL-K4-STRIP-SYSTEM}(G, J, (S, N), m)
        if OUT is a special strip system then
            return OUT
  5
  6
        else
          return GROWING-J-STRIP(G, OUT)
 8 else if \exists F : F \text{ is a component of } G \setminus (V(S, N) \cup M), \text{ such that no}
      member of F is major w.r.t. (S, N) and set of attachments of F on H
      is not local then
        ASSERT: (as in 6.2, or actually [15] 8.5)
  9
         F \leftarrow \text{minimal component with this property}
        if \exists v \in V(J) : X \subset \bigcup (S_{uv} : uv \in E(J)) then
 10
             x :\in X \cap S_{uv} \setminus N_v, for some uv \in E(J)
 11
             x' :\in X \cap S_{u'v}, for some u'v \in E(J), u' \neq u
 12
             ASSERT: \{x, x'\} is not local w.r.t. (S, N)
 13
             L(H) \leftarrow \forall_{i,j \in E(J)} choose ij-rung R_{ij}, so that
              x \in V(R_{uv}), x' \in V(R_{u'v})
             ASSERT: \{x, x'\} is not local w.r.t. L(H)
             H \leftarrow \text{inverse line graph of } L(H)
 14
             D \leftarrow a branch of H with ends d, u:
 15
              \delta_H(d) \setminus E(D) = (X \cap E(H)) \setminus E(D)
 16
             P \leftarrow a path with ends p_1, p_2, so that:
                 p_1 \blacktriangleleft N_v \setminus N_{vu} and no other vertex of P has neighbors in
                  N_v \setminus N_{uv}
                 p_2 - x and no other vertex of P has neighbors in S_{uv} \setminus N_v
             (S', N') \leftarrow \text{add } p_1 \text{ to } N_v \text{ and } F \text{ to } S_{uv}
 17
             return Growing-J-Strip (G, J, (S', N'))
 18
 19
             K \leftarrow \{uv \in E(J) : X \cap S_{uv} \neq \emptyset\}
 20
             ASSERT: There are two disjoint edges in K (as in [15] 8.5.(3))
             F is a vertex set of a path \leftarrow f_1 - \ldots - f_n
 21
             ASSERT: Every choice of rungs is broad
```

```
// if \exists F \dots then
          // else // \nexists v \in V(J) : X \subset \bigcup (S_{uv} : uv \in E(J))
              ASSERT: every choice of rungs has the same traversal. (Hard to
              ij \leftarrow \text{the traversal edge}
22
              A_1 \leftarrow N_i \setminus S_{ij}, A_2 \leftarrow N_j \setminus S_{ij}
^{23}
              ASSERT: X \cap (V(S, N) \setminus S_{ij}) = A_1 \cup A_2
              if n = 1 then
^{24}
                   (S', N') \leftarrow \text{add } f_1 \text{ to } N_i, N_j, S_{ij}
25
                   \textbf{return Growing-J-Strip} \; (G,J,(S',N'))
26
              else
^{27}
                   x_1:\in A_1, x_2:\in A_2, so that x_1 and x_2 are in disjoint strips
28
                   ASSERT: x_1 - f_1 \vee x_1 - f_n
                   if x_1 - f_n then
29
                    relabel f_1 - \ldots - f_n front to back
30
                   (S', N') \leftarrow \text{add } f_1 \text{ to } N_i, f_n \text{ to } N_j \text{ and } F \text{ to } S_{ij} return Growing-J-Strip (G, J, (S', N'))
31
32
33 else
     return J, (S, N) – a maximal J-strip
```

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