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# **Perfect Graph Recognition and Coloring**

Master Thesis

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September 2020

## Abstract

TODO

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# 1 Perfect Graphs

All graphs in this paper are finite, undirected and have no loops or parallel edges. We denote the chromatic number of graph  $G$  by  $\chi(G)$  and the cardinality of the largest clique of  $G$  by  $\omega(G)$ . *Coloring* of a graph means assigning every node of a graph a color. A coloring is *valid* iff every two nodes sharing an edge have different colors. An *optimal* coloring (if exists) is a valid coloring using only  $\omega(G)$  colors.

Given a graph  $G = (V, E)$ , sometimes by  $V(G)$  and  $E(G)$  we will denote a set of nodes and edges of  $G$ . Given a set  $X \subseteq V$  by  $G[X]$  we will denote a graph induced on  $X$ . A graph  $G$  is *perfect* iff for all  $X \subseteq V(G)$  we have  $\chi(G[X]) = \omega(G[X])$ .

## Definitions and conventions

- By solid lines we will mark edges, by dashed lines we will mark nonedges, when significant. Sometimes nonedges will not be marked in order not to clutter the image.
- A node is  $X$ -complete in  $G$  iff it is adjacent to all nodes in  $X$ .
- Given a path  $P$ , by  $P^*$  we will denote its inside.

Give some examples why perfect graphs are interesting, some subclasses, and problems that are solvable for perfect graphs, including recognition and coloring

Where should we put it? Should we put all definitions here, or leave them in text?

Given a graph  $G$ , its *complement*  $\overline{G}$  is a graph with the same vertex set and in which two distinct nodes  $u, v$  are connected in  $\overline{G}$  iff they are not connected in  $G$ . For example a clique in a graph becomes an independent set in its complement. A perfect graph theorem, first conjured by Berge in 1961 [1] and then proven by Lovász in 1972 [5] states that a graph is perfect iff its complement graph is also perfect.

A *hole* is an induced chordless cycle of length at least 4. An *antihole* is an induced subgraph whose complement is a hole. A *Berge* graph is a graph with no holes or antiholes of odd length.

In 1961 Berge conjured that a graph is perfect iff it is Berge in what has become known as a strong perfect graph conjecture. In 2001 Chudnovsky et al. have proven it and published the proof in an over 150 pages long paper “The strong perfect graph theorem” [3]. The following overview of the proof will be based on this paper and on an article with the same name by Cornuéjols [4].

Should we give some proof of that here? Maybe based on proof in [4]

## 1.1 Strong Perfect Graph Theorem

Odd holes are not perfect, since their chromatic number is 3 and their largest cliques are of size 2. It is also easy to see, that an odd antihole of size  $n$  has a chromatic number of  $\frac{n+1}{2}$  and largest cliques of size  $\frac{n-1}{2}$ . It is therefore clear,

that if a graph is not Berge it is not perfect. To prove that every Berge graph is perfect is the proper part of the strong perfect graph theorem.

How long and detailed overview of the proof should we provide?

## 2 Recognizing Berge Graphs

The following is based on the paper by Maria Chudnovsky et al. “Recognizing Berge Graphs”. We will not provide full proof of its correctness, but will aim to show the intuition behind the algorithm.

### 2.1 Recognition algorithm Overview

Berge graph recognition algorithm could be divided into two parts: first we check if either  $G$  or  $\overline{G}$  contain any of a number of simple structures as a induced subgraph (2.1.1). If they do, we output that graph is not Berge and stop. Else, we check if there is a near-cleaner for a shortest odd hole.(2.1.2).

#### 2.1.1 Simple structures

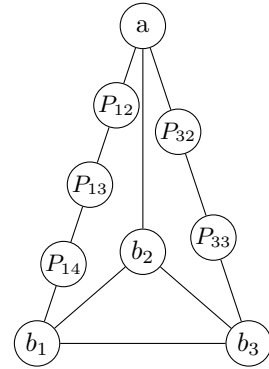
**Pyramids** A *path* in  $G$  is an induced subgraph that is connected, with at least one node, no cycle and no node of degree larger than 2 (sometimes called chordless path). The *length* of a path or a cycle is the number of edges in it. A *triangle* in a graph is a set of three pairwise adjacent nodes.

A *pyramid* in  $G$  is an induced subgraph formed by the union of a triangle  $\{b_1, b_2, b_3\}$ , three paths  $\{P_1, P_2, P_3\}$  and another node  $a$ , so that:

- $\forall_{1 \leq i \leq 3} P_i$  is a path between  $a$  and  $b_i$
- $\forall_{1 \leq i < j \leq 3} a$  is the only node in both  $P_i$  and  $P_j$  and  $b_i b_j$  is the only edge between  $V(P_i) \setminus \{a\}$  and  $V(P_j) \setminus \{a\}$ .
- $a$  is adjacent to at most one of  $\{b_1, b_2, b_3\}$ .

It is easy to see that every graph containing a pyramid contains an odd hole – at least two of the paths  $P_1, P_2, P_3$  will have the same parity.

On recognition of pyramids. Lemma 2.1 from the paper.

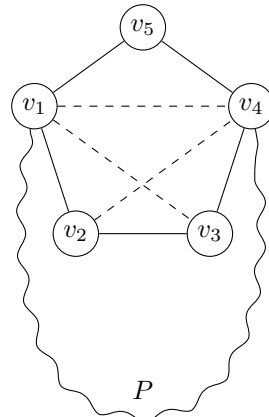


Should we move these definitions elsewhere?

Figure 1: An example of a pyramid.

**Jewels** Five nodes  $v_1, \dots, v_5$  and a path  $P$  is a *jewel* iff:

- $v_1, \dots, v_5$  are distinct nodes.



- $v_1v_2, v_2v_3, v_3v_4, v_4v_5, v_5v_1$  are edges.
- $v_1v_3, v_2v_4, v_1, v_4$  are nonedges.
- $P$  is a path between  $v_1$  and  $v_4$ , such that  $v_2, v_3, v_5$  have no neighbors in its inside.

Most obvious way to find a jewel would be to enumerate all choices of  $v_1, \dots, v_5$ , check if a choice is correct and if it is try to find a path  $P$  as required. This gives us a time of  $O(|V|^7)$ . We could speed it up to  $O(|V|^6)$  with more careful algorithm, but since whole algorithms takes time  $O(|V|^9)$  and our testing showed that time it takes to test for jewels is negligible we decided against it.

**Configurations of type  $\mathcal{T}_1$**  A configuration of type  $\mathcal{T}_1$  is a hole of length 5. To find it we simply iterate all choices of paths of length of 4, and check if there exists a fifth node to complete the hole. See paragraph 2.2.1 for more implementation details.

**Configurations of type  $\mathcal{T}_2$**  A configuration of type  $\mathcal{T}_2$  is a six  $(v_1, v_2, v_3, v_4, P, X)$ , such that:

- $v_1v_2v_3v_4$  is a path in  $G$ .
- $X$  is an anticomponent of the set of all  $\{v_1, v_2, v_4\}$ -complete nodes.
- $P$  is a path in  $G \setminus (X \cup \{v_2, v_3\})$  between  $v_1$  and  $v_4$  and no vertex in  $P^*$  is  $X$ -complete or adjacent to  $v_2$  or adjacent to  $v_3$ .

Checking if configuration of type  $\mathcal{T}_2$  exists in our graph is straightforward: we enumerate all paths  $v_1 \dots v_4$ , calculate set of all  $\{v_1, v_2, v_4\}$ -complete nodes and its anticomponents. Then, for each anticomponent  $X$  we check if required path  $P$  exists.

To prove that existence of  $\mathcal{T}_2$  configuration implies that the graph is not berge, we will need the Roussel-Rubio lemma [6] as stated in [2] that says:

**Lemma 2.1** (Roussel-Rubio Lemma). *Let  $G$  be Berge,  $X$  be an anticonnected subset of  $V(G)$ ,  $P$  be an odd path  $p_1 \dots p_n$  in  $G \setminus X$  with length at least 3, such that  $p_1$  and  $p_n$  are  $X$ -complete and  $p_2, \dots, p_{n-1}$  are not. Then:*

- $P$  is of length at least 5 and there exist nonadjacent  $x, y \in X$ , such that there are exactly two edges between  $x, y$  and  $P^*$ , namely  $xp_2$  and  $yp_{n-1}$ ,

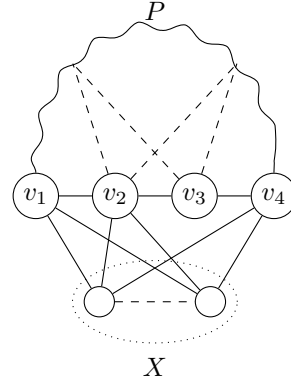


Figure 3: An example of a  $\mathcal{T}_2$ .

- or  $P$  is of length 3 and there is an odd antipath joining internal nodes of  $P$  with interior in  $X$ .

Now, we shall prove the following:

**Lemma 2.2.** *If  $G$  contains configuration of type  $\mathcal{T}_2$  then  $G$  is not Berge.*

*Proof.* Let  $(v_1, v_2, v_3, v_4, P, X)$  be a configuration of type  $\mathcal{T}_2$ . Let us assume that  $G$  is not Berge and consider the following:

- If  $P$  is even, then  $v_1, v_2, v_3, v_4, P, v_1$  is an odd hole,
- If  $P$  is of length 3. Let us name its nodes  $v_1, p_2, p_3, v_4$ . It follows from Lemma 2.1, that there exists an odd antipath between  $p_2$  and  $p_3$  with interior in  $X$ . We can complete it with  $v_2p_2$  and  $v_2p_3$  into an odd antihole.
- If  $P$  is odd with the length of at least 5, it follows from Lemma 2.1 that we have  $x, y \in X$  with only two edges to  $P$  being  $xp_2$  and  $yp_{n-1}$ . This gives us an odd hole:  $v_2, x, p_2, \dots, p_{n-1}, y, v_2$ .

□

I merged a couple of proofs from [3], check in the morning if this is correct.

check in the morning

**Configurations of type  $\mathcal{T}_3$**  A configuration of type  $\mathcal{T}_3$  is a sequence  $v_1, \dots, v_6, P, X$ , such that:

- $v_1, \dots, v_6$  are distinct nodes.
- $v_1v_2, v_3v_4, v_1v_4, v_2v_3, v_3v_5, v_4v_6$  are edges, and  $v_1v_3, v_2v_4, v_1v_5, v_2v_5, v_1v_6, v_2v_6, v_4v_5$  are nonedges.
- $X$  is an anticomponent of the set of all  $\{v_1, v_2, v_5\}$ -complete nodes, and  $v_3, v_4$  are not  $X$ -complete.
- $P$  is a path of  $G \setminus (X \cup \{v_1, v_2, v_3, v_4\})$  between  $v_5$  and  $v_6$  and no node in  $P$  is  $X$ -complete or adjacent to  $v_1$  or adjacent to  $v_2$ .
- If  $v_5v_6$  is an edge, then  $v_6$  is not  $X$ -complete.

picture?

The following algorithm with running time of  $O(|V(G)|^6)$  checks whether  $G$  contains a configuration of type  $\mathcal{T}_3$ :

For each triple  $v_1, v_2, v_5$  of nodes such that  $v_1v_2$  is an edge and  $v_1v_5, v_2v_5$  are nonedges find the set  $Y$  of all  $\{v_1, v_2, v_5\}$ -complete nodes. For each anticomponent  $X$  of  $Y$  find the maximal connected subset  $F'$  containing  $v_5$  such that  $v_1, v_2$  have no neighbors in  $F'$  and no node of  $F' \setminus \{v_5\}$  is  $X$ -complete. Let  $F$  be the union of  $F'$  and the set of all  $X$ -complete nodes that have a neighbor in  $F'$  and are nonadjacent to all of  $v_1, v_2$  and  $v_5$ .

Then, for each choice of  $v_4$  that is adjacent to  $v_1$  and not to  $v_2$  and  $v_5$  and has a neighbor in  $F$  (call it  $v_6$ ) and a nonneighbor in  $X$ , we test whether there is a node  $v_3$ , adjacent to  $v_2, v_4, v_5$  and not to  $v_1$ , with a nonneighbor in  $X$ . If

there is such a node  $v_3$ , find  $P$  – a path from  $v_6$  to  $v_5$  with interior in  $F'$  and return that  $v_1, \dots, v_6, P, X$  is a configuration of type  $\mathcal{T}_3$ . If we exhaust our search and find none, report that graph does not contain it.

To see that the algorithm below has a running time of  $O(|V(G)|^6)$ , let us note that for each triple  $v_1, v_2, v_5$  we examine, of which there are  $O(|V(G)|^3)$ , there are linear many choices of  $X$ , each taking  $O(|V(G)|^2)$  time to process and generating a linear many choices of  $v_4$  which take a linear time to process in turn. This gives us the total running time of  $O(|V(G)|^6)$ .

We will skip the proof that each graph containing  $\mathcal{T}_3$  is not Berge. See [2] for the proof.

Should we include it?

### 2.1.2 Amenable holes.

For a shortest odd hole  $C$  in  $G$ , we will call a node  $v \in V(G) \setminus V(C)$  *C-major* iff the set of its neighbors in  $C$  is not contained in any 3-node path of  $C$ . An odd hole  $C$  will be called *clean* if no vertex is *C-major*.

A hole  $C$  of  $G$  is *amenable* iff  $C$  is a shortest odd hole in  $G$  of length at least 7, and for every anticonnected set  $X$  of *C-major* nodes, there is an  $X$ -complete edge in  $C$  (an edge is  $X$ -complete iff both its ends are  $X$ -complete).

The rest of the algorithm rests upon the following theorem:

**Theorem 2.3.** *Let  $G$  be a graph, such that  $G$  and  $\overline{G}$  contain no Pyramid, no Jewel and no configuration of types  $\mathcal{T}_1, \mathcal{T}_2$  or  $\mathcal{T}_3$ . Then every shortest hole in  $G$  is amenable.*

Any ideas on what else to say here? List all 9 steps?

For a shortest odd hole  $C$  in  $G$ , a subset  $X$  of  $V(G)$  is a *near-cleaner* for  $C$  iff  $X$  contains all *C-major* nodes and  $X \cap V(C)$  is a subset of node set of some 3-node path of  $C$ .

Finding and Using Half-Cleaners.

Overview of proof of why algorithm using Half-Cleaners is correct.

## 2.2 Implementation

Anything interesting about algo/data structure?

### 2.2.1 Optimizations

Bottlenecks in performance (next path, are vectors distinct etc).

In our graph preprocessing we have a pointer to next edge in order to speed up generating next path.

We used callgrind to get idea of methods crucial for time.

In general enumerating all paths is crucial. As is checking if vector has distinct values.

Jewels – we iterate all possibly chordal paths and check if they are ok - much faster

$\mathcal{T}_1$  – we iterate all paths of length 4 and check if there exists a fifth node to complete the hole - much faster than iterating nodes.

Validity tests - unit tests, tests of bigger parts, testing vs known answer and vs naive.

## 2.3 Parallelism with CUDA (?)

TODO

## 2.4 Experiments

Naive algorithm - brief description, bottlenecks optimizations (makes huge difference).

Description of tests used.

Results and Corollary - almost usable algorithm.

# 3 Coloring Berge Graphs

## 3.1 Ellipsoid method

Description.

Implementation.

Experiments and results.

## 3.2 Combinatorial Method

Cite the paper.

On its complexity - point to appendix for pseudo-code.



# Appendices

## A Perfect Graph Coloring algorithm

TODO

## References

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- [6] F. Roussel and P. Rubio. “About Skew Partitions in Minimal Imperfect Graphs”. In: *Journal of Combinatorial Theory, Series B* 83.2 (Nov. 2001), pp. 171–190. DOI: 10.1006/jctb.2001.2044. URL: <https://doi.org/10.1006/jctb.2001.2044>.