

Chapter 9

DETECTION, DISCRIMINATION, AND RECOGNITION*

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1. INTRODUCTION

During the period 1955–1970 many attempts were made to formulate precisely the basic mechanisms thought to underlie the processing of simple physical signals and to isolate some of the factors that affect subjects' responses to such signals. The main impetus for this work was the application of the theory of signal detectability to psychophysics by Tanner and Swets (1954). This work is well known and is adequately summarized elsewhere (Green & Swets, 1966; Luce, 1963a; Swets, 1964), and we will not review the basic ideas again. Rather, we will report some of the later developments sparked by these early studies.

Despite the diversity of extant models, all are able to predict certain basic features of the relevant data, and competing pairs of models tend to be about equally parsimonious as measured by the number of free parameters that one must estimate from data. Moreover, the predictions are often so similar that rather elaborate statistical tests are needed to ascertain which theory best accounts for a particular experiment. For those hoping to find a single, clearly superior theory, this chapter will prove disappointing. Our tentative conclusion is that among existing theories, different ones are appropriate to different situations. Or put another way, no current theory is really correct and which one better approximates the data varies with the experiment being analyzed. That state of affairs, though not ideal, would be acceptable if we were able to state clearly which experimental features serve to bound the region of reasonable application of a given theory. For example, we would like a rule such as: Threshold theories best handle discrimination of small changes in intensity when there is no noise background, whereas continuous theories are best when the signal is in noise. The sad fact is that no such rules have yet been formulated.

Another criterion for the worth of a theory, in addition to its accuracy, is the class of experimental designs for which it makes significant predictions. A minimum requirement of any theory is that it unify data from most of the simple (one and two stimulus) psychophysical tasks. Practically all current theories make predictions that can be cross validated in the simple yes–no (YN) and forced-choice (FC) experiments and in the single stimulus design with a rating response.* By “cross validate” we mean that certain parameters which, on theoretical grounds, should be the same in different experimental designs, appear to be so. It is our particular bias

* We abbreviate certain recurrent terms. At the first use of the term, we place the abbreviation in parentheses after it; thereafter we use the abbreviation.

that an adequate theory should apply to experimental situations in which the stimulus interval is not precisely marked as well as those in which it is. Experiments in which the signal can occur at any time mimic many practical detection and discrimination situations better than do the usual fixed interval (FI) designs. To generate models that account for behavior in these so-called free-response designs and to relate their parameters to those from the discrete FI tasks is a challenging and largely unsolved problem.

Applying the criterion of generality usually involves an element of individual taste, because one theory generalizes easily in one direction, another theory in a different direction. For example, choice theory is readily stated for any finite number of stimuli and responses, but the connection between its parameters and physical properties of the stimuli is exceedingly vague. The theory of signal detectability (TSD) is easily couched in very stimulus-oriented terms, but its extension to more than two responses produces so many free parameters that prediction becomes virtually impossible.

Let us consider further some of the problems that arise when we generalize designs and theories from $n = 2$ to $n > 2$ stimuli. Recall that the standard $n = 2$, YN design involves a noise background or null signal, \emptyset , a signal in noise, s , and a single observation interval in which one of these alternatives is presented. If we retain the single observation interval, then the natural way to generalize the YN design is to increase the number of signals that can be presented. We might do this by using several different frequencies or hues or by presenting several different levels of intensity. In contrast, we can keep only two signals, but increase the number of possible stimuli. If we allow all possible combinations of two signals in k observation intervals, there are 2^k possible stimuli. Thus, in the most general two-alternative forced-choice (2AFC) task there are four possible ordered pairs—stimuli— $\langle s, \emptyset \rangle$, $\langle \emptyset, s \rangle$, $\langle \emptyset, \emptyset \rangle$, and $\langle s, s \rangle$. Usually, the signal s is permitted to appear only once, which restricts the number of stimuli to the number of intervals. Under that restriction, a 3AFC design has the stimuli $\langle s, \emptyset, \emptyset \rangle$, $\langle \emptyset, s, \emptyset \rangle$, and $\langle \emptyset, \emptyset, s \rangle$. Most empirical studies have imposed this restriction; however, Markowitz (1966) explored the most general case of 2AFC.

The compass of such terms as detection, discrimination, and recognition for $n > 2$ is still confused. When there is one interval and n different non-null signals in one-to-one relation with n responses, we speak of the design as a recognition task except when $n = 2$ and the stimuli differ only in intensity, in which case it is called an *intensity discrimination* task. However, if one of the n signals is replaced by the null signal \emptyset , we call it *simultaneous recognition and detection* except when $n = 2$ (one nonnull signal), in which case it is called a *yes-no detection* task. Any FC design

having a signal in one interval and the null signal in the other $n - 1$ intervals is also called a detection design. For more details, see Luce (1963a) and Green and Swets (1966). There is, of course, an obvious sense in which all of these designs are asking the subject to recognize which of n possible stimulus presentations has been presented. Were the terminology not so deeply ingrained in the psychophysical literature, we might be tempted to reserve the use of “detection” for FR designs concerned with the detection of a signal whose time of onset is uncertain, and refer to all of the FI designs as recognition experiments.

As the numbers n of stimuli and m of responses increase, programming problems and costs rise rapidly. Specifically, we must increase the number of trials by the factor $n(m - 1)/2$ in order to have, on the average, a constant number of observations per independent estimated probability. Thus, considerable experimental selectiveness is required. Most of the studies that have been performed have been motivated by specific considerations. In addition, there are theoretical difficulties of two types. First, several viable two-stimuli theories exist and each of them can be generalized in a variety of ways (many of the logical possibilities have not yet been worked out). Moreover, all of these generalizations proliferate free parameters at a spectacular rate. Often postulates not needed in the two-stimulus case must be added in order to test the general model. Even so, a great number of parameters must be estimated. This leads to the second difficulty: how to estimate optimally the many parameters from data and how to compare the adequacy of theories with different numbers of parameters? We have barely begun to face these problems.

Our review begins with a general classification scheme. It is first used to organize various general theories for situations with n signals and m responses. Following this, we turn to the special cases of $n = 2$ stimuli which have received the most careful attention in the literature. We first deal with operating characteristics and psychometric functions on the assumption of stationary mechanisms. We next turn to the limited literature dealing with sequential effects in the data. And, finally, we turn to the relatively few attempts to deal with FR data in which responses may occur at any time.

II. CLASSIFICATION SCHEME

Our classification of theories is based on guiding principles clearly evident in all of the theories so far proposed. First, they all postulate a sensory or perceptual stage followed by a memory process that stores a hypothetical representation of the stimulus. Second, following the sensory-memory process is a decision stage, which operates on the representation of the stimulus. This two-stage structure is characteristic of the successful two-stimulus

theories, and it has been uniformly maintained in generalizing them. So we will class theories according to the two types of stages assumed.

A. Sensory–Memory Processes

The sensory–memory processes are of three general types, which provides the first dimension of our classification system:

1. FINITE STATE

There are assumed to be k internal states of which one is activated with some probability when a stimulus is presented. These states may or may not be ordered. Two special cases are of importance.

- (a) $k = n$, in which case the states are identified with the stimuli; these are *stimulus generalization* models.
- (b) $k = m$, in which case the states are identified with the possible responses (*implicit responses*).

Note that, without further assumptions, the conditional probabilities relating states to stimuli constitute $n(k - 1)$ free parameters.

2. CONTINUOUS STATE

The internal states are assumed to form a continuum, usually in Euclidean space. In the one-dimensional case, the continuum is naturally ordered. Usually, each signal is represented by some distribution over the continuum. Such assumed distributions constitute, in a sense, a continuum of free parameters unless there are strong a priori arguments for restricting them to a particular family. Frequently, though not always, the family is assumed to be normal, in which case the parameters are reduced to two, namely the mean and variance of each normal distribution.

3. STOCHASTIC PROCESS

A signal is assumed to generate in time a train of pulses (neural, perhaps), which is described by some stochastic process. Most often, the process is assumed to be Poisson—in which case the interarrival times are independent and exponentially distributed, and so it can be viewed as a special type of continuous state process; however, the decision processes associated with continuous state and stochastic sensory models usually differ somewhat.

B. Response Processes

The response or decision processes are of three types; they provide the second dimension of our classification system.

1. RESPONSE GENERALIZATION

This is only compatible with the finite state representation in which $k = m$; it assumes that when the sensory process indicates one response, there is some tendency (possibly, probability) of another response occurring.

2. RESPONSE BIAS

Response bias models postulate that among the possible responses or implicit responses, there are differential tendencies to employ one rather than another. The most widely used variant, called a choice model, assigns a weight $b(r) > 0$ to each response r and calculates the probability of choosing r out of a set R of possible responses as $b(r)/\sum b(x)$.

3. RESPONSE CRITERION

Such models are compatible only with an ordered set of states; they are a natural generalization of TSD. Most often, the criteria are assumed to be fixed, but in some models they are assumed to be distributed in some manner and in others to be adjusted systematically, according to a fixed learning model.

III. THEORIES FOR FIXED-INTERVAL DESIGNS

In talking about the various theories that have actually been developed in any detail, we will identify them by the names used by their authors followed by a symbol $i-j$, where i identifies the sensory and j the decision process in the preceding classification. No model is described fully, and little more than mention is made of experiments that seem especially relevant to it. When a model has been tested for $n > 2$, we discuss the test in this section. All of the experimental work for $n = 2$ is dealt with in the following sections. Little by way of systematic confrontation of alternative models has yet taken place for $n > 2$.

A. Constant-Ratio Rule (CRR) (1a–)

Let $p(r|s)$ denote the typical entry of a confusion matrix when s is in the stimulus set S and r is in the response set R . The CRR asserts that if S' is a subset of S and R' is the response set corresponding to S' , then the recognition experiment based on S' and R' has the confusion matrix

$$p(r'|s') / \sum_{x \text{ in } R'} p(x|s'),$$

where s' is in S and r' is in R' (Clarke, 1957). Supporting experimental evidence can be found in Anderson (1959), Clarke (1957, 1960), Clarke and Anderson (1957), Conrad (1964), Egan (1957a,b), Hodge (1962, 1967), Hodge, Crawford, and Piercy (1961); Hodge, Piercy, and Crawford (1961); Hodge and Pollack (1962), and Pollack and Decker (1960). Hodge and Pollack (1962) and Lee (1968) have suggested that the CRR may be a good empirical generalization when the stimuli are multidimensional but is less accurate when they are unidimensional. Clearly, this rule is of limited generality, since by varying the presentation probabilities, payoffs, or instructions, $p(r|s)$ can be affected [Nakatani (1968) and Shipley and Luce (1964) report such data]. Nonetheless, it suggests that the special case of a theory without response bias should predict the CRR or something close to it.

B. Stimulus and Response Generalization (1a-1)

Shepard (1957) proposed a recognition model ($m = n$) which has the matrix form $P_{SS}JP_{RR}$ where P_{SS} is a stochastic matrix representing stimulus generalization, J is an experimenter assigned permutation matrix mapping stimuli into responses, and P_{RR} is a response generalization matrix. He suggested how to estimate P_{SS} by running several experiments with different J 's. In addition, he postulated that these probabilities of the stimulus matrix are exponentially related to an Euclidean distance, and he provided supporting experimental evidence (Shepard, 1958a,b). Applications are given in Shepard (1961a,b). These ideas grew ultimately into Shepard's very fruitful nonmetric scaling procedure (Kruskal, 1964a,b; Shepard, 1962a,b, 1963, 1966; see Carroll and Wish (Chapter 12) and Wish and Carroll (Chapter 13) of this volume), but they do not seem to have been pursued as a theory of recognition.

C. Choice Theory (1a-2)

Shipley (1960) generalized to various experimental designs some of the psychophysical models in Luce (1959); a general statement of these models was given in Luce (1963a); and they were modified to account for experimental data by Shipley (1965). Consider an $n = m$ experiment where response r_i corresponds to stimulus s_i . Assume there is a stimulus generalization function η_{ij} (not necessarily a probability measure) and a response bias function b_j such that

$$p(r_j|s_i) = \frac{\eta_{ij}b_j}{\sum_{k=1}^n \eta_{ik}b_k}.$$

The scale values, though not the probabilities, can be written in matrix form as

$$\begin{bmatrix} \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \eta_{ij}b_j & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \end{bmatrix} = \begin{bmatrix} \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \eta_{ij} & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \end{bmatrix} \begin{bmatrix} b_1 & 0 & \cdot & \cdot & 0 \\ 0 & b_2 & \cdot & \cdot & 0 \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ \cdot & \cdot & \cdot & \cdot & \cdot \\ 0 & 0 & \cdot & \cdot & b_n \end{bmatrix}.$$

Note that in the unbiased case, i.e., b_j is independent of j , this model predicts the CRR. To reduce the number of free parameters, it is assumed, as in Shepard's work, that $-\log \eta_{ij}$ acts like a distance (in particular, it is symmetric, i.e., $\eta_{ij} = \eta_{ji}$); if the stimuli have components (as when there are several listening intervals), the squared distances add like orthogonal components of an Euclidean space; and if the stimuli vary in only one dimension, distances are assumed to be additive. For complex stimuli, such as words, which account for much of the recognition data, none of these assumptions has much bite. Only by holding the stimuli fixed and manipulating the response bias by, say, varying the presentation probabilities, do the number of free parameters increase more slowly than the number of independent data. However Broadbent (1967) has shown that the word frequency effect can be predicted simply by assuming η_{ij} is related to the frequency of the word in the language, and that theories based on pure response bias, $b_i \neq b_j$ ($\eta_{ij} = 1$) are inadequate. This paper is criticized by Catlin (1969) and Nakatani (1970), but defended by Treisman (1971).

An experiment on lifted weights was reported by Shipley and Luce (1964). Both $n = 2$ and $n = 3$ data were collected and fit reasonably well by the choice models using the same stimulus parameters where appropriate.

Using two tones and noise, Shipley (1965) investigated 12 different YN and FC detection, recognition, and simultaneous detection-and-recognition experiments. She was led to postulate that in the mixed case, a two-stage process is involved in which recognition of the stimulus precedes a detection decision; and when only detection is required in the uncertain frequency experiment the subject behaves as if he made covert recognition responses and simply ignored them later. These ideas given an adequate account of her data which, so far, have not been analyzed in detail in terms of any other theory. However, these choice models are surely wrong because they predict symmetric ROC curves for both YN and 2AFC experiments, whereas empirically the former is usually asymmetric and the latter

appears to be linear (Atkinson & Kinchla, 1965; E. F. Shipley's data in Norman 1964a).

See also the discussion of the uncertain frequency experiments in Section III,F on discriminial dispersion theory.

D. Confusion-Choice Recognition Theory (1b-2)

Nakatani (1968, 1972) proposed a theory in which the internal states are implicit responses that correspond to the possible responses. When stimulus s_i is presented, implicit response j occurs with probability a_{ij} . Thus, any subset T of the set $M = (1, 2, \dots, m)$ of implicit responses can occur. The probability that T occurs, called an equivocation probability, is

$$\prod_{k \text{ in } T} a_{ik} \prod_{k \text{ in } M-T} (1 - a_{ik}).$$

Given that a nonempty set T occurs, the decision process is assumed to select one response from T ; If $T = \emptyset$, then the process treats it as if $T = M$. It is postulated that there is a response bias vector (b_1, b_2, \dots, b_m) such that j in T is selected with probability $b_j / \sum_{k \text{ in } T} b_k$. Thus, summing over all possible sets T such that T is a subset of M and j is in T yields

$$p(r_j | s_i) = \sum_T \prod_{k \text{ in } T} a_{ik} \prod_{k \text{ in } M-T} (1 - a_{ik}) \left(b_j / \sum_{k \text{ in } T} b_k \right).$$

When $m = n$, the data suggest making the auxiliary assumption that the matrix A is symmetric, $a_{ij} = a_{ji}$. With this, there are $\frac{1}{2}n(n+1) + n - 1 = \frac{1}{2}n(n+3) - 1$ parameters, and so only for $n \geq 5$ do the number of independent probabilities exceed the number of parameters.

In his model, Nakatani views the probabilities a_{ij} as areas under the tails of normal distributions. By itself, this does not affect the fit to the data. However, in his 1971 paper, he used this as a way to estimate the similarity between the stimuli and responses and then used Kruskal's (1964a,b) nonmetric multidimensional scaling technique to locate stimuli and responses as points in a Euclidean space. If that space has k dimensions, this reduces the number of parameters to $(n-2)k + 1$ stimulus ones (the arbitrariness of the origin and rotations of the space drops $2k - 1$) and $n - 1$ bias ones.

Considering word-confusion data, Nakatani (1968) had considerable success with his model. Using the special case where the matrix A has identical main diagonal entries and identical off-diagonal ones and no response bias, he accounted nicely for plots of the probability of a correct

response versus the number n of words, with S/N ratio as a parameter, from data of Miller, Heise and Lichten (1951), Pollack (1959) and Rubenstein and Pollack (1963). Again, assuming no response bias, he gave as good an account as the CRR of the data in Clarke (1957) and Egan (1957a). Dorfman (1967) reported a series of 2×2 recognition experiments using four presentation probabilities and three tachistoscopic times. The key prediction of Nakatani's theory in this case is that, for each time, the data points should lie on a linear ROC curve. The data do not reject this, although a symmetric curve (as in the choice theory) appears equally adequate. In a study of his own, Nakatani used six nonsense syllables at two S/N levels and three presentation distributions. One A matrix and three b vectors were estimated and they gave a satisfactory account of the data. In a second experiment, he chose three pairs of words with little confusion between pairs and a great deal within. Presentation distributions were chosen so that, in one case, the absolute probability of a word remained about constant whereas its relative probability to its mate varied, and in the other the relative probability remained constant whereas the absolute one varied. The model predicts that articulation scores should change in the former case and not in the latter, and this was confirmed.

Using Kruskal's nonmetric multidimensional scaling technique, Nakatani (1971) analyzed confusion data for Munsell color chips (Shepard, 1958b) and for pure tones (Hodge & Pollack, 1962). The fits are impressive: The model accounts for 99.8% of the variance with 49 df and 99.2% with 30 df , respectively.

A direct confrontation of these models with the choice model, which with analogous assumptions has about the same number of parameters, has not been carried out.

E. Threshold Theory (1-2, 3)

Basically, all threshold models involve a discrete set of states, some probabilistic rule for their activation, and a response bias or a criterion response rule, or both. Many can be viewed as a discrete version of Thurstone's (1959) discriminial dispersion model (see Section III,F).

One body of literature, called neural quantum theory, is concerned with the shape of the distribution over states induced by the signal (Békésy, 1930; Stevens, Morgan, & Volkman, 1941; Corso, 1956); relevant data are discussed in Section IV,F. The other body of literature, much influenced by TSD, concerns the nature of the response criterion and the resulting ROC curve in the two-stimulus case (for a summary, see Luce, 1963a, Krantz, 1969, and Sections IV,A,D, and F).

The only attempt to use the theory for $n > 2$ was in connection with

Shipley's (1965) simultaneous detection-and-recognition data. Luce (1963a) pointed out that when one of two signals of different frequency was presented in a YN design and the subject was required to state which signal it was (independent of his detection response), there was no evidence of any residual recognition when he responded "no." Lindner (1968) carried out a systematic study in which the response bias was varied; his data suggested the opposite conclusion and rejected, at least, a two-state threshold model.

F. Discriminal Dispersion Theory (2-3)

The natural generalization of TSD to $n > 2$ was presented by Tanner (1956). Each signal relative to noise defines a likelihood ratio axis. These axes are thought of as directions in some multidimensional Euclidean space. Each signal is represented as a multinormal density in such a way that the likelihood ratio of one signal to another can be mapped to the straight line connecting the two signal points. Not only do the means and covariance matrices required to define the normal distributions introduce many parameters, but the response criteria of the $n = 2$ case generalize, even in the simplest generalization, to $\frac{1}{2}n(n-1)$ likelihood ratio criteria. As a result of this multiplicity of free parameters, this model has not been seriously pursued, and no useful generalization of TSD has been proposed. This remains the gravest weakness of TSD.

Much earlier, the successive interval or categorical judgment generalization of Thurstone's (1959) discriminial dispersion model was proposed (see Torgerson, 1958). One continued to assume an abstract one-dimensional representation (definitely not likelihood ratio) of the stimuli in which each signal is described by a normal distribution, and the m responses are represented as a partition of the continuum into m intervals. This model may be suitable for acoustic signals that vary only in intensity, but it is doubtful for word-recognition studies. If the normal distributions are correlated, the model has $\frac{1}{2}n(n-1) + n-1 + n-1 + m-1 = \frac{1}{2}(n-1)(n+4) + m-1$ free parameters. For references to the relevant literature see Torgerson (1958, p. 208) and Bock and Jones (1968, p. 213).

A careful, very general study of the discriminial dispersion model for both comparative and categorical judgments—called unidimensional strength theory—is given by Wicklegren (1968a).

A special dispersion model was used by Green and Birdsall (1964) to reanalyze the data of Miller *et al.* (1951). Essentially they assumed that the representation of a stimulus (word) is cross correlated with templates corresponding to the possible responses, that each correlation is a normally distributed random variable with unit variance and mean 0 except for the

correct one, which has mean d . It is assumed that the response is determined by the largest of the correlations. It appeared that a single function related signal-to-noise ratio to d independent of the number of words on the list, except for the 1000 word list. The distinctive feature of this theory is that each stimulus is represented as a random point in an n -dimensional space, and the decision rule does not involve any free parameters.

A key model-free phenomenon of the YN design when either of two signals ($n = 3, m = 2$) may be presented is that an appreciable decrement in detectability results as compared with the single signal case (Gundy, 1961; Shipley, 1965; Swets, Shipley, McKey, & Green, 1959; Tanner & Norman, 1954; Tanner, Swets, & Green, 1956; Veniar, 1958). Tanner *et al.* (1956) suggested that the hearing mechanism acts like a single filter that has to be shifted from one frequency to another. Later Green (1958) proposed a multiple filter model. Some subjects seem in accord with the one model, others with the other model. Shipley (1960) pointed out that a single stage, covert, choice model admits two solutions, which correspond closely to the two filter models. Swets and Sewall (1961) argued that a decision between the perceptual and response explanations can be made by comparing performance with a presignal presentation cue identifying the signal (if any) to that with a postsignal presentation cue. If the phenomenon is perceptual, the precue should result in the same performance as for a single signal, whereas the postcue should leave the decrement unaffected; if it is a response matter, both cues should return the performance to the level of a single signal. Swets and Sewall interpreted their data as supporting the perceptual hypothesis; actually neither cue was very effective and the support to either theory is most marginal. Their conclusion is at variance with Pollack (1959) in another context. Shipley's (1965) later two-stage, recognition-then-detection model does not allow for this differential prediction.

Greenberg and Larkin (1968) and Greenberg (1969a,b) have explored a design in which the detectabilities of various probe signals are measured when they are introduced without prior warning to the subject who is expecting only a single signal frequency. Detection is best at the expected signal frequency and decreases systematically at frequencies different from it. They failed to observe any decrement in detection when either of two signals might be used on a YN trial, despite a highly asymmetric payoff matrix favoring one of the two signals (Larkin & Greenberg, 1970). Thus, they found no evidence for a "listening strategy." However, Penner (1970), using a 2AFC design with asymmetric reward over the various signal frequencies, found detection best at the most highly rewarded frequency. If all frequencies were equally rewarded, then detection was the same at all frequencies.

G. Counter Theory (3–3)

McGill (1967) and Siebert (1965) have proposed that the sensory system converts signal energy into a “neural” pulse train with a rate that increases with intensity. Moreover, as an idealization they assume that the process is Poisson, i.e., the times between successive pulses are independent and exponentially distributed. Kiang’s (1965) data on peripheral auditory fibers supports the independence assumption; however, refractoriness and equilibration make the full Poisson assumption a considerable idealization. Ultimately, the exponential assumption will probably have to be replaced by a more descriptive one, but the mathematical complications will be considerable and, in all likelihood, more precise assumptions will have to be simulated on a computer.

The basic decision process assumed in the FI design is that the pulses occurring during the observation interval are counted and this number is compared with a criterion (in YN design) or with another count (in 2AFC designs). Under the Poisson assumption, explicit expressions can be calculated for all the relevant probabilities and, in general, it provides a good account of the data (McGill, 1967; McGill & Goldberg, 1968). Because the sum of a number of identically distributed random variables is approximately normally distributed, the predictions of the counter models tend to be similar to those of TSD, but without requiring the likelihood interpretation.

A modification of this theory to FR designs is outlined in Section VI.D.

IV. OPERATING CHARACTERISTICS AND PSYCHOMETRIC FUNCTIONS FOR FIXED-INTERVAL DESIGNS WITH TWO STIMULI

As we have already noted, little has been done to compare one model against another for $n > 2$. For $n = 2$, the main comparison has been between discrete and continuous sensory-memory states—this distinction served as the first component of our classificatory scheme. The issue is, basically, whether a sensory threshold exists in any measurable form. Some investigators have attempted to assess this question by examining the shape of the ROC curve.

A. The Shape of the ROC Curve

Given the same decision process—usually, a response criterion one—different theories about the sensory process predict qualitative differences

in the ROC curve [$p(Y|s)$ versus $P(Y|\emptyset)$ as the response criterion is varied]. Thus, one is led to collect such data. More often than not, the shape exhibited by the data is found largely in the eye of the beholder. Any data point estimates a point on the ROC curve with variability appearing in both dimensions, and even binomial variability, which is at best a lower bound on experimental variability, is sufficient to obscure somewhat the shape of any empirically determined curve (see Green & Swets, 1966, pp. 402–403). The only sure generalization from these earlier studies is that the high threshold model is definitely wrong (Swets, 1961; Tanner & Swets, 1954). A large amount of data appear to be fit adequately by the two straight-line segments of low threshold theory, and there are also considerable data that appear to be better fit by continuous curves. Krantz (1969) has provided a careful critique of the attempts to discriminate these hypotheses.

For data consistent with the Gaussian assumptions of TSD, the slope of the ROC curve on double probability paper is often interpreted as the ratio of the noise to the signal-plus-noise standard deviations. Wickelgren (1968b) and Nachmias (1968) have emphasized that this slope is also affected by criterion variability. Shipley (1970) found an apparent increase in criterion variance when the subject was asked to increase the number of response criteria. Markowitz and Swets (1967), who found systematic differences in the shape of binary and rating ROC curves (see Section IV.D) although the detection indices (d 's) were nearly the same, suggested that the slope is related to the a priori probability of the signal's occurrence. Schulman and Greenberg (1970) found similar effects.

One safe generalization is that one seldom obtains data with a slope less than one (an exception being Shipley, 1970).^{*} Thus, either the signal distribution is seldom less variable than the noise distribution or the criterion variability is so large compared with the variance of the sensory distributions that such changes cannot be observed.

B. Fitting a Theoretical ROC Curve to Data Points

A persistent problem in evaluating the shape of the ROC curve is the estimation of parameters. Recent work has improved this somewhat.

^{*} Dr. Angus Craig (personal communication, 1974) has reanalyzed vigilance data reported by Colquhoun and Baddeley (1967) and by Colquhoun, Blake, and Edwards (1968) and has found that somewhat more than half of the individual ROC curves have slopes greater than one. Of course, the procedure for these experiments is somewhat different from the usual YN one, from which we made our “safe” generalization; in particular, the probability of a signal recurring is low and the subject does not make a response of no signal.

tion (Green & McGill, 1970; Green & Swets, 1966; Jeffress, 1964; Pfafflin & Mathews, 1962) are examples of this approach.

We will not consider this approach further at this time, but rather we will emphasize that potentially the psychometric function can be used to try to determine whether or not the observer possesses a threshold and to estimate its size. The problem is what dependent variable one should use in determining the detectability of the signal. The classic one is $p(Y|s)$. Since TSD and our awareness of ROC curves, there has been a temptation to plot d' versus signal-to-noise ratio, especially since a special case of TSD predicts that d' is proportional to $(E/N_0)^{1/2}$. The objection to the first measure is that it is highly affected by the subject's location on the ROC curve and that location may very well not be independent of $I(s)$. The objection to the latter measure is that it is special to a particular theory.

An alternative proposal is to use A , the area under the YN ROC curve, which obviously is independent of response criterion and which, as was already noted, is equal in a broad class of models to the percentage correct $p(C)$ in the (symmetric) 2AFC design. Closely related is the proposal to use

$$\Delta = p(1|(s, \emptyset)) - p(1|(\emptyset, s)),$$

which has the following advantage. If the presentation probabilities are equal in the 2AFC design, $\Delta = 2p(C) - 1 = 2A - 1$, and if they are not equal, all of the threshold theories predict that Δ should be independent of the response bias or criterion (which is equivalent to saying that the 2AFC ROC curve is a straight line with slope 1). The surprising fact is that even though the advantages of using $p(C) = A$ have been known since at least 1964 and of Δ since 1963, we do not know of any plots of these functions against signal intensity.

It was early recognized that some appropriate psychometric function should be able to decide between a threshold and a continuous theory. In particular, as Krantz (1969) later emphasized, such a test is best made at low signal levels, where threshold theories imply that there is no detection whatsoever. In practice, the attempts to see this (as well as other features expected in the psychometric function) have been based upon plots of $p(Y|s)$ versus $I(s)$ for pure tones, with no artificial noise in the background, and for light flashes. Békésy (1930) and later Stevens, Morgan, and Volkmann (1941) reported such functions as favoring a particular threshold theory known as neural quantum theory. Blackwell (1963) drew the opposite conclusion for light. A survey article by Corso (1956), summarizing many studies, was inconclusive. Luce (1963b) pointed out that the ROC literature suggests that there can be serious and complex biasing

of such functions; Larkin and Norman (1964) and Norman (1963) demonstrated this empirically. These studies made clear that threshold theories could account for a wide range of shapes of psychometric functions arising from YN designs, and so these functions could not possibly decide between the two classes of theories. The conclusion does not, however, apply to Δ , and so there is much to recommend a careful 2AFC study in which Δ is determined as a function of $I(s)$.

G. Efficient Estimates of Single Psychometric Points

With the advent of sequential analyses in statistics (Wald, 1947; Wetherill, 1966), the early introduction of sequential or tracking methods into psychophysics (Békésy, 1947) and the widespread availability of digital computers for on-line control of psychophysical experiments, adaptive control of stimulus presentation schedules has become increasingly common. The goal is a procedure to estimate the physical stimulus required to achieve a preassigned response probability. The procedure is to be efficient (not necessarily optimal), not too complex, not too biased, and robust (insensitive to the exact underlying model). The initially widely used method of limits has come under severe theoretical criticism (Brown & Cane, 1959; Herrick, 1967, 1969, 1970; Pollack, 1968), and it has been largely replaced by one or another variant of the up-and-down (or staircase) method. The original method, apparently due to Békésy (1947) and Dixon and Mood (1948), was designed to use fixed step size to ascertain the 50% point on a distribution function (Brownlee, Hodges, & Rosenblatt, 1953; Cornsweet, 1962; Wetherill, 1963; Wetherill & Levitt, 1965; Wetherill, Chen, & Vasudeva, 1966). Variable step size was early proposed and studied (Chung, 1954; Robbins & Munro, 1951) and is now commonly used. Cornsweet (1962) and Smith (1961) suggested that by randomly interleaving two up-and-down procedures the subject will not detect the strategy of presentation, and Levitt (1968) suggested a nonrandom interleaving to test for the existence of sequential dependencies. To estimate points other than 50% on a distribution function, transformed up-and-down methods have been proposed (Campbell, 1963; Cardozo, 1966; Heinemann, 1961; Levitt & Bock, 1967; Levitt & Treisman, 1969; Wetherill & Levitt, 1965; Zwillocki, Maire, Feldman, & Rubin, 1958).

Applications of these methods include, among other studies, Adler and Dalland (1959); Békésy (1947); Blough (1955, 1958); Blough and Schrier (1963); Elliott, Frazier, and Riach (1962); Gourevitch, Hack, and Hawkins (1960); Levitt (1968); Levitt and Rabiner (1967); Symmes (1962); and Zwillocki *et al.* (1958).

When on-line computer control is available, much more complicated

adaptive procedures can be entertained: Hall (1968) suggested placing the next signal at the current ML estimate, and Smith (1966) proposed a strategy that maximizes the information gained on each trial. A somewhat different adaptive procedure, based on the concepts of sequential testing, is Taylor and Creelman's (1967) PEST procedure, for which a computer program is available.

For an excellent general survey of these methods see Levitt (undated, but not earlier than 1969), and for a complementary survey of their use in animal psychophysics see Blough (1966).

V. NONSTATIONARY RESPONSE PROCESSES

Differences in assumptions about the nature of the sensory-memory process and the resulting internal representation of the stimulus has been a major focus of the previous section and will be taken up again in Section VI. Whereas the impact of the response process on the observed behavior is generally acknowledged, it is often treated as a necessary evil—something that merely clouds our view of the sensory systems. Others find the decision processes inherently interesting. Over the past 15 years a number of studies have attempted to clarify their nature and to develop an organized theory for them comparable in scope to those of the sensory processes.

The corresponding empirical investigations have centered around sequential dependencies and the effect of stimulus presentation probability on the hit and false-alarm rate. The latter is closely related to questions about ROC curves. The emphasis on sequential effects is easily understood. With only one exception (Atkinson, 1963), all theories assume that the sensory processes are statistically stationary; thus, any evidence of nonstationary behavior is interpreted as trial-by-trial changes in the decision-response process. The emphasis on how the response criterion is affected by a priori probability was stimulated in part by the glaring failure in both TSD and threshold models of the postulate that the criterion is set so as to maximize the expected payoff.

Despite the rapid development of response theories, a number of empirical factors are still not completely understood. We attempt to summarize the better-established empirical generalizations. Often, some of the earlier studies used conditions that, in retrospect, were far from optimum and hence the effects demonstrated in these studies are not impressive. It is to be hoped that the bootstrap phase is nearly over and that future work, utilizing more judicious experimental conditions, will obtain more sizable effects.

A. Studies of Sequential Effects

Although judgments in psychophysical tasks are often treated as if they arise from a Bernoulli process, it has long been known that this is, at best, an idealization. Preston (1936a,b) clearly established response dependencies in psychophysical data, and a number of later papers have repeated his basic finding. Senders and Soward (1952) present a good history of these studies as well as some data of their own. In the early 1950s, a number of papers on this topic were published, reporting studies that used mainly visual detection tasks. Verplanck, Collier, and Cotton (1952) reported a tendency to repeat the last response. Correlations among the responses extended over a period of about 1 min in their study. Similar effects were also reported by Verplanck, Cotton, and Collier (1953) and Howarth and Bulmer (1956). Sequential dependencies using auditory detection were also demonstrated by Day (1956), Shipley (1961) and Speeth and Mathews (1961). Day also showed that the size of the sequential effect diminishes as a function of the length of the interstimulus interval. Since about 1960, a number of studies have investigated various determinants of sequential effects and have contrasted experimental results with theoretical models of the response process.

Sequential dependencies arise naturally in models that postulate a decision criterion that is updated from trial to trial. Among the models of this type are those of Atkinson (1963), Atkinson, Carterette, and Kinchla (1962), Dorfman and Biderman (1971), Kac (1962), Luce (1963b, 1964), Bush, Luce, and Rose (1964), Norman (1962, 1964a), and Schoeffler (1965). The advantage of these models over purely empirical estimates of the sequential probability is that they require much less data in order to estimate the magnitude of the effect.

B. Size of the Sequential Effects

Stating the size of a sequential effect is still largely a subjective matter. There simply is no standard way to record the results or for assessing the magnitude of the effects. The null hypothesis is that the occurrence of some response is a Bernoulli process with an unknown probability p . By computing various conditional probabilities, one may show that the occurrence of some response deviates significantly from the value p . A subjective element arises both in determining how large a deviation one believes is really important and in evaluating how much of the past history is needed in order to achieve the given deviation. For example, if the overall probability of a "yes" response is .5, and the conditional probability of a "yes" response given a previous "yes" response is .6, then clearly a fairly interesting

sequential dependency has been uncovered. On the other hand, if one needs a sequence of five or six previous responses in order to achieve deviations of 10% from the overall mean, then because such sequences occur so infrequently, the deviation is less important. No one has yet suggested a method for combining the size of the deviation and the length of the conditioning sequence into a single measure. Thus, it is frequently impossible to compare the magnitudes of the sequential effects in two different experiments, despite the fact that the authors used the same number of responses and conditionalized on very similar events.

C. Generalizations about Sequential Dependencies

Although the preceding remarks make clear why it is difficult to compare different studies, we nonetheless offer the following generalizations concerning response dependencies. Each conclusion is stated in terms of a single variable because there have been very few studies that provide information about potential interactions among variables. Sequential effects are as follows:

1. small in simple auditory detection situations (Atkinson, 1963; Atkinson & Kinchla, 1965; Carterette, Friedman, & Wyman, 1966; Friedman, Carterette, Nakatani, & Ahumada, 1968a,b);
2. possibly large in simple visual detection situations (Kinchla, 1964); however, the design of this study may mean it was really a probability prediction rather than a detection design, and it is well known that sequential effects are large in probability prediction designs;
3. relatively large in recognition situations (at least of intensity) (Kinchla, 1966; Tanner, Haller, & Atkinson, 1967; Tanner, Rauk, & Atkinson, 1970);
4. smaller when feedback is given than when it is not (Atkinson & Kinchla, 1965; Kinchla, 1966; Tanner, Haller, & Atkinson, 1967; Tanner, Rauk, & Atkinson, 1970).

Based in part on these generalizations, Tanner, Rauk, and Atkinson (1970) have attempted to state a fairly complete model dealing with these various factors. The following summary illustrates the general nature of models in this area. Given a stimulus presentation, the observer is assumed to compare it with whatever standard is available to him. For a sine wave in noise, the noise itself plays the role of the standard. In this case, very little memory is involved in the decision making, and, hence, the sequential effects are small. In experiments in which the task is to recognize the more intense of two 1000-Hz tones, the basic decision process is treated as a comparison of the stimulus presentation with a memory trace, which is

influenced by the past presentations and so changes from trial to trial. The observer's response is assumed to result from a weighted combination of two tendencies: One is to repeat the response he made on the previous trial and the other is to report the signal labeled correct by the feedback that occurred on the preceding trial. These tendencies are combined according to a linear weight. If there is no feedback, the tendency to repeat the previous response is given all of the weight. Thus the model can account for the smaller sequential effects observed with feedback.

This model exhibits several interesting effects. One is sequential dependencies (because the pattern of previous stimuli and responses influence the present decision). A second is a very interesting prediction about the effect of presentation probabilities on the response probabilities, a topic we take up in the next section. A third implication of this general view concerns the role played by a standard in a psychophysical judgment task. Although relatively large sequential effects have been found in the intensive discrimination task, Parducci and Sandusky (1970) report relatively small ones in an experiment having, as an explicit standard, a constant intensity signal preceding each trial. The view of memory introduced by Tanner *et al.* is reminiscent of adaptation level theory, Helson (1964), a similarity which has been pursued in papers by Parducci and Marshall (1962) and Parducci and Sandusky (1965).

D. The Effect of a Priori Probability on Response Bias

As we noted previously, the original impetus for many of the adaptive models of response criteria was the attempt to specify how the subject's response changes as a function of values and costs and the a priori probability of the stimulus alternatives. One of the early dramatic findings was that the change in the criterion as a function of a priori probability could be opposite to that predicted by the expected-value model. Kinchla (1966) and Tanner *et al.* (1967) reported data in which the observer decreased his false-alarm rate when the a priori probability of the signal was increased. This finding is at odds with considerable previous data obtained in simple detection experiments. The crucial difference appears to be whether or not the subject is given feedback and his degree of experience in these experiments. Naive subjects with no feedback invariably reduce their false-alarm rates as the signal probability is increased. With feedback, the opposite tendency is observed (Tanner *et al.*, 1967).

Although this finding is accounted for by the general model proposed by Tanner *et al.*, another quite simple explanation of it can be given. Suppose that the observer is trying to maintain an equal number of yes and no responses in the experimental situation. A tendency to equalize the fre-

quency of all the available responses is a well-known property of many judgment tasks, as was first demonstrated by Arons and Irwin (1932). If one attempts to achieve this goal by dividing a sensory scale in such a way that half the responses will be yes and the other half no, then the criterion must be increased when the signal is presented more frequently (since more drawings are presented from the signal distribution) and so the false-alarm rate will decrease. Note that this view is consistent with a stationary observer, one whose response dependencies do not change from trial to trial. For more complete discussions of the matching hypothesis to account for the change in the hit and false-alarm rate caused by altering the a priori probabilities, see Creelman and Donaldson (1968), Dorfman (1969), Parks (1966), and Thomas and Legge (1970).

Tanner *et al.* argued that both the change in false-alarm rate with a priori probability and the large sequential effects support their view that a memory process is heavily involved in these kinds of tasks. Parducci and Sandusky (1970), however, exhibit this change in false-alarm rate with a priori probability without finding any sequential effects. Thus, presumably, the presence of the standard in the Parducci and Sandusky experiment minimizes the changes in criterion on a trial by trial basis, but nonetheless the criterion did change systematically in response to changes in a priori probability. Further work is needed to clarify this general area. Unfortunately, the next series of studies must concentrate on various interactions among the major variables.

VI. THEORIES FOR FREE RESPONSE DATA

Although we do not wish to contend that the setting for all laboratory measurements must simulate natural ones, one should realize that the study of detection and discrimination by means of fixed interval (FI) designs is highly artificial. Most signals in nature occur at unpredictable times, and one is seldom quizzed in an interval just following a potential signal about whether or not one seemed to be present. The reason for using a FI procedure is that it allows one to avoid several thorny questions concerning the temporal properties of the detection process. It is exactly these questions that one must face in trying to extend the analysis of a detection or discrimination mechanism to more practical and realistic situations.

A. A Methodological Note

In all free response (FR) experiments, an attempt is made to schedule signal presentations so that the subject is unable to predict their arrival.

The complete achievement of this goal is, perhaps, more subtle than is often realized. In any event, the schedules employed in some of the studies do not achieve the desired ends and, in fact, should strongly reinforce behavior that is highly nonuniform over time. Because this problem has not been discussed explicitly in many of the papers and because it is important, the following remarks seem in order.

Probably because of ease of programming, the most common presentation schedule is the discrete approximation to the rectangular (uniform) distribution over a fixed interval. For example, with an interval of 100 sec, signals might be presented with equal probability at each of the second marks of the 100 sec after the preceding signal. The mean time to the next signal is approximately 50 sec, and there is considerable randomness in the time of presentation. The difficulty with this schedule is that the conditional density of the signal is not uniform throughout the interval: Given that it has failed to occur up to some point in time, the probability that it will occur in the next second is a monotonic increasing function of the duration of the wait. In particular, the probability that it will occur in the first interval is 1/100, whereas the probability that it will occur in the last interval, given that it has not occurred in any of the previous ones, is 100 times as great. The potential bias that can result from this depends upon the degree to which the subject can estimate when the last signal occurred, and so it will interact with signal level. Even if no bias is evident at low intensities, this may not be true for higher intensities. It is clear that, in some circumstances, subjects make use of this information and, in fact, in a reaction time experiment by Nickerson (1967), the number of false anticipations increased monotonically with the wait from the warning light.

To avoid this problem and so the possibility of encouraging subjects to adopt nonhomogeneous response strategies, one should use the correct temporal analogue to uniform uncertainty, namely the Poisson process in which the times between successive events are independent and have a common exponential distribution. A crucial property of this distribution is the fact that the probability of an event occurring in the next instant in time is independent of how long it has been since the last event. Put another way, the conditional density of a signal occurring is constant. Experimentally, the major difficulty in using this distribution is that successive events are often quite close together in time, and so it becomes difficult to distinguish which event caused a later response (see Luce & Green, 1970). With a low signal rate, this is rarely a problem, and so it is unfortunate that the exponential schedule (or its discrete analogue, the geometric distribution) is seldom used in vigilance tasks, for the bias introduced by the uniform distribution may be considerable.

B. Temporal Partitioning

Although FI designs are special cases of FR ones, most analyses have attempted to reduce FR data to FI models. In practice, the motivation has been largely expediency, not a belief that the organism actually quantizes time and so is a kind of sample data system. If the latter were actually true and if we could divide time so as to agree with the natural quanta, then it would be perfectly reasonable to treat the FR situation as a sequence of yes-no experiments. Although the hypothesis that organisms quantize time has existed for some time (e.g., Stroud, 1955; and White, 1963), Kristofferson (1965, 1966, 1967a,b, 1969) is the only contemporary psychophysicist who argues seriously for it. His evidence consists of the numerical agreement of three parameters estimated from successive discrimination and two reaction experiments. From these data, he estimates the time quantum to be about 50 msec, which it should be noted is about half the alpha rhythm period. Although both of the present authors are skeptical, as are others (for example, Carterette, 1969), it is important to realize how crucial this unresolved point is for finding the appropriate generalization of present detection models.

The simplest practical procedure for partitioning time, one often followed in the analysis of vigilance data and first adopted by Broadbent and Gregory (1963) and Mackworth and Taylor (1963), divides time into nonoverlapping intervals of equal duration, usually about 1 sec, although other durations have been used both by the original authors and by subsequent investigators. They estimate the hit probability as the relative frequency of positive responses in the interval following the signal and estimate the false-alarm probability as the relative frequency of positive responses in all other intervals. The interval is chosen to be sufficiently large so that even the slowest responses are not misclassified as false alarms. Because the density of false alarms is usually quite low, there is little chance of making the opposite misclassification. These probabilities are then used in a TSD yes-no analysis to estimate d' and β .

Egan, Greenberg, and Shulman (1961) and Watson and Nichols (1966) carried out a somewhat more subtle analysis. In their experiments the number of false positive responses was at least an order of magnitude higher than usually is encountered in vigilance experiments; hence, the problem of misclassifying false alarms as hits becomes much more serious, and so requires more care. They constructed empirical histograms showing the frequency of response following the onset of a signal. Naturally, there is a high response rate immediately following signal onset; this is especially so with loud signals. By 1 or 2 sec after the signal interval, this rate returns to a fairly stable value, which they treated as a quantity similar to the

false-alarm probability in the FI design. Their analogue of the hit probability in the FI design is the proportion of responses occurring between the signal onset and the return to the base rate. They then proceeded as follows: Suppose the observer "divides time into a succession of subjective intervals, each of duration T_σ . It will be considered that each of these subjective intervals implicitly defines a trial for the listener, and that he makes a decision after each interval." Obviously the observed rate is then proportional to the probability of each response, the constant of proportionality being T_σ . The ROC data generalized by varying the subject's criterion yields an estimate of d' which is close to the FI one, e.g., 1.29 and 1.55. Egan *et al.* pointed out two major defects with this procedure. As the subject relaxes his criterion, the data suggest an apparent increase in the detection index, and the method does not lead to an independent estimate of the subject's criterion.

Another important approach involving temporal partitioning is based on sequential decision making. One class of empirical studies allows the observer to determine how many intervals he observes before making a response (Swets & Green, 1961; Swets & Birdsall, 1967). And one class of theoretical studies of reaction time assumes that the subject partitions time, observes a random variable in each interval, and arrives at a response decision by means of a sequential decision procedure (Carterette, 1966; Edwards, 1965; La Berge, 1962; Laming, 1968; Stone, 1960).

C. Vigilance

A vigilance task is, by definition, a free response one in which the signal rate is low (perhaps one per minute) and the total observation period is long (an hour or two); a general reference is Buckner and McGrath (1963). A major empirical generalization from a long history of research in this area is that there is a very marked decrement in performance as the period of the watch increases (a good summary of these data is Jerison and Pickett, 1963).

Broadbent and Gregory (1963) applied TSD to the data simply by dividing the FR situation into 1-sec intervals, as already explained. Assuming the signal and noise distributions are both Gaussian, with equal variance, they estimated both d' and β . The surprising finding was that although β changed during the course of the watch, d' appeared to remain constant. They argued that the decline in performance was, in fact, simply a shift on the part of the observer to a more conservative response criterion. They argued from the apparent constancy of d' that sensitivity is independent of the period on the watch. At about the same time, however, Mack-

worth and Taylor (1963) applied TSD to a continuous visual display and found a systematic decline in d' as a function of watch time. It appears that the modality of the display plays an important role in whether or not a decline in sensitivity is observed. Apparently the decline is related to some sort of attentional variable and may be related to observing responses, as argued by Loeb and Binford (1964), Jerison, Pickett, and Stenson (1965), and Jerison (1967). In auditory experiments, where no orienting or observing responses are required, the data show little change in sensitivity but large changes in criterion as a function of the time in the watch (Broadbent & Gregory, 1965; Davenport, 1968; Levine, 1966; Loeb & Binford, 1964; Mackworth, 1968; Hatfield & Soderquist, 1970).

Mackworth (1965) tried a variety of different temporal intervals to estimate the hit and false alarm rate. She concluded that a 30-fold change in the size of the interval has little effect upon the conclusion one draws. Although such stability is impressive, it must be remembered that in vigilance situations the false-alarm rate is extremely low. Indeed, in many of the experiments, when something like 10^4 responses are counted as hits, the number of false alarms is of the order of 10. With such tiny probabilities, it is hardly surprising that the size of the interval used to estimate them has little effect on the estimate. A more serious problem, one recognized by practically all investigators, is the stability of the estimates. Given the paucity of false alarms, the confidence intervals on both d' and β are enormous. Also, as Mackworth and Taylor (1963) pointed out, the estimates depend heavily on the assumed form of the distribution. This is not too serious as long as we only wish to compare parameter estimates within similar conditions of an experiment, but the extrapolation of these parameter estimates to other situations, especially to FI ones, is indeed hazardous. This point, along with some other cautions about the overacceptance of TSD, is well expressed in Jerison's paper (1967).

D. A Continuous Free-Response Model

Luce (1966) proposed an approach to the analysis of free response data which does not involve any arbitrary division of time into decision intervals. The most striking feature of this approach is that it redefines the basic data of a free response experiment as a family of temporal distributions: the distribution of times from a signal to the next response, the distribution of times between successive responses given that no signal has intervened, and so forth. What a theory of free-response behavior must account for are these temporal distributions, rather than some artificially constructed quantities analogous to the hit and false-alarm rate of an FI experiment.

Such notions as the hit and false-alarm probabilities are, at best, uncertain concepts because, when false alarms can occur and when the time between a stimulus initiating a response and its response is not constant, it is impossible to be sure what preceding event caused a given response.

The original model has undergone considerable experimental testing and modification in Green and Luce (1967, 1971) and Luce and Green (1970, 1971). The present version can be formulated in terms of three main ideas. First, as in the counter models (Section III,G), the intensity of a signal is assumed to be transduced and ultimately represented at the observer's decision center as a temporal pulse train of (perhaps, neural) events whose average rate is assumed to increase with signal intensity. A more specific assumption, which ultimately may have to be abandoned, is that the transduction of a signal of constant intensity is a Poisson process. In this case, the pulse rate, which is the reciprocal of the expected interpulse time, completely characterizes the process. The pulse rate due to noise ν and that due to signal-plus-noise μ play roles somewhat similar to β and d' in TSD.

The second assumption is that this pulse train is subjected to a decision process which determines when and which response is to be activated. The time taken to reach such a decision, which depends upon the pulse rate, is called the *sensory-decision latency*. A variety of decision rules in addition to counter models are possible. Initially, Green and Luce investigated the simplest possible rule, namely that the arrival of each pulse activates a response. (If so, these theoretical pulses are surely not the same as the peripheral ones which, even in the absence of an auditory signal, often have rates of about 10 per second.) Ultimately, they showed that this rule is untenable (see the next subsection). The next simplest model, still not involving a time partition, assumes that the momentary pulse rate (and hence, by the first assumption, the intensity of the signal) is estimated from the reciprocal of the interarrival times (IAT) of the pulses and that certain sums of IATs are compared with a criterion or with another sum of IATs, much as likelihood ratios are compared with a criterion or with each other in TSD. Such rules can be applied to a variety of psychophysical designs, including all the usual FI ones as well as free response types (Luce & Green, 1972).

The third and last feature of the theory concerns delays introduced by the afferent and motor systems. The sum of all these is called the *residual latency*. This latency is assumed to convolve independently with the decision latency to produce the observed response time. The only assumption made about the residual latency is that it is a bounded random variable. According to the theory, reaction times to intense signals are approximately the residual latencies, so the bound is probably about 200–300 msec. Aside

from being bounded, the distribution of residual times is not otherwise constrained in the theory; undoubtedly, it depends on the input channel and on the exact response required.

The key role of the boundedness assumption is this: any observable density that is a convolution of a decision latency whose tail is exponential with a residual latency has a tail (beyond the bound) that is entirely dictated by the tail of the decision density. This is of great interest because the tail of the decision latency reflects something of the decision rule and the interpulse density. With weak signals, these tails represent an appreciable fraction of all the data, and so they can be used to test hypotheses about the nature of the decision process and to estimate mean pulse rates. For example, in Green and Luce (1967) and Luce and Green (1970) certain signal-response and response-response densities were shown to have approximately exponential tails; from these the pulse rates ν and μ were estimated, μ/ν was shown to increase smoothly with S/N in decibels, and criterion changes altered both in a way such that $\mu-\nu$ is approximately constant.

A basic difficulty in applying this type of analysis to FR data arises when two signals occur close together, in which case the response to the second may be initiated before the response to the first is completed. This is especially an issue when the signal presentation schedule of the signals is Poisson, since short intersignal times are common. Using schedules other than the Poisson for the signal presentation greatly complicates the mathematical analysis and is bound to invite nonhomogeneous strategies on the part of the observer, as we already discussed.) Some simple assumptions were explored and rejected, and more complex ones have so far proved mathematically intractable. This led to consideration of experiments in which freedom not to respond was retained, but in which multiple responses were avoided; we turn to these next.

E. Related Reaction Time Experiments

For the stochastic models of the detection process just outlined, a natural source of information about them is a reaction-time (RT) experiment with exponentially distributed foreperiods and weak signals. Although the literature on simple RT is enormous, that having to do with very weak signals is quite small. Yet such signals are admirably suited to study the decision process since they generate processing delays that are appreciable with respect to other lags in the system. In a sense, weak signals serve as a microscope to provide a more detailed view of the sensory part of the perceptual system. The nature of these delays is predicted in detail by the stochastic model. Green and Luce (1971) and Luce and Green (1970, 1972) have

pursued this approach. They show an increase in RT of over an order of magnitude as signal-to-noise ratio is decreased. In addition, sizable effects were observed when the criterion of the subject was altered and some dependence on mean signal wait was also noted.

Using the assumptions stated in the preceding section and assuming that each pulse initiates a response, they employed Fourier transforms of the observed RT distribution to try to deconvolve the sensory decision process from the remaining residual distribution. They found that the calculated residual distribution was bounded, as predicted by the theory, but was negative for a short period after the bound (which, of course, is impossible). Moreover, the mean of this inferred residual distribution was about 100 msec longer than the response times observed in simple RT to very strong signals. This is inconsistent with their model since if a bounded number of IATs enter into any response decision, as would be the case with a finite buffer store, the time for processing the pulse train vanishes as $\mu \rightarrow \infty$; hence the RT to a very strong signal should be identical to the residual distribution. Although some aspect of that model, most likely the assumption that single pulses activate responses, is surely incorrect, the authors believe that the approach has considerable promise.

Since the observed RT distribution for strong signals approximates the residual latency distribution, and the RT distribution for weak and moderate signals is the convolution of the corresponding decision latency with this RT distribution for strong ones, there is no reason to expect this convolution to have any simple mathematical form. The extraction of information from RT distributions is therefore a matter of considerable delicacy and probably requires more complicated techniques of analysis than has been traditionally assumed.

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