# Unifying Math Ontologies: A tale of two standards

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Abstract. One of the fundamental and seemingly simple aims of mathematical knowledge management (MKM) is to develop and standardize formats that allow to "represent the meaning of the objects of mathematics". The open formats OpenMath and MathML address this from a content markup perspective, but differ subtly in syntax, rigor, and structural viewpoints (notably over calculus). To avoid fragmentation and smooth out interoperability obstacles, effort is under way to align them into a joint format OpenMath/MathML3. We illustrate the conceptual and practical issues that come up in such an alignment by looking at three main areas: bound variables and conditions, calculus (which relates to the previous) and "lifted" n-ary operators.

Whenever anyone says "you know what I mean", you can be pretty sure that he does not know what he means, for if he did, he would tell you.

— Anon.

## 1 Introduction

One of the fundamental and seemingly simple aims of mathematical knowledge management (MKM) is to develop and standardize representation formats that allow one to specify the meaning of the objects and documents of mathematics. The open formats OpenMath and MathML address the key sub-problem of representing mathematical objects from a content markup perspective: mathematical objects are represented as expression trees. As the formats were developed by different communities with different goals, they differ subtly in syntax, rigor, and structural viewpoints (notably over calculus). This has caused double developments, interoperability problems, and confusion in developers, system vendors, and users of mathematical software systems and has considerably weakened the uptake of MKM methods. The efforts to mitigate the interoperability problem by establishing translations between the formats have done more to unearth subtle problems than to completely solve them in the past.

In this paper we report on an ongoing effort of the W3C MathML Working group and members of the OpenMath Society to merge the ontologies<sup>3</sup> on which the OpenMath and MathML formats are based and thus align the formats, so that they only differ in their concrete XML encodings. This task proves to be harder than might initially be expected. We explain why, motivated by a study of four areas (which in fact turn out to be inter-related):

- 1. constructions with bound variables;
- 2. the <condition> element of MathML;
- 3. the different handling of calculus-related operations in the two;
- 4. the "lifting" of *n*-ary operators, such as + to  $\sum$ .

This paper is a short version of [DK09c], which contains the details of the constructions. OpenMath-specific details of the proposals are in [DK09b,DK09a].

## 2 OpenMath and MathML

We will now recap the two formats focusing on their provenance and representational assumptions and then sketch the measures taken for aligning the languages. Sections 3, 4, 6, and 7 will detail the problem areas identified above. The first two leading to an extension proposal for OpenMath Objects and strict content MathML in Section 5, which is evaluated in the latter two. Section 7 concludes the paper.

#### 2.1 MathML

MathML is an XML-based language for capturing mathematical the presentation, structure and content of mathematical formulae, so that they can be served, received, and processed on the World Wide Web. Thus the goal of MathML is to provide a similar functionality that HTML has for text. The present recommended version of MathML format is MathML 2 (second edition) of October 2003 [Con03]. MathML 1 had been recommended in April 1998 and revised as MathML 1.01 in July 1999.

MathML, starting from version 1.0, had a split into **presentation MathML**, describing what mathematics "looked like"<sup>4</sup>, and **content MathML**, describing what it "meant". In this paper we will concentrate on content MathML, since

<sup>&</sup>lt;sup>3</sup> Here we use the word "ontology" in its general, philosophical meaning as the study of the existence of objects, their categories and relations amongst each other, and not in the Semantic Web usage, where it is restricted to formal systems with tractable inference properties (description logics). Note furthermore that we are speaking as much about a "meta-ontology" of mathematical representation concepts as about "domain ontologies" that describe the mathematical concepts themselves. Having made this distinction, we will conveniently gloss over it in the rest of the paper.

<sup>&</sup>lt;sup>4</sup> Which could include "sounded like" (for aural rendering) or "felt like" (e.g. for Braille), and MathML included a range of symbols such as &InvisibleTimes; to help with this task.

the role of presentation MathML as a high-level presentation format for Math on the Web is (largely) uncontested. MathML's Content markup has ambitious goals:

The intent of the content markup in the Mathematical Markup Language is to provide an explicit encoding of the underlying mathematical structure of an expression, rather than any particular rendering for the expression.

[Con03, section 4.1.1]

This mandate is met in MathML 1/2 by representing mathematical formulae as XML expression trees that follow the applicative structure of operators and their arguments: function application is represented by the apply elements where the first child is interpreted as the operator and the remaining children as their arguments. MathML2 supplies about 90 elements for mathematical operators. The language has a fairly limited vision of what might be in "content":

The base set of content elements are chosen to be adequate for simple coding of most of the formulas used from kindergarten to the end of high school in the United States, and probably beyond through the first two years of college, that is up to A-Level or Baccalaureate level in Europe. [Con03, 4.1.2]

This is often referred to as the **K-14 fragment** of mathematics. Since Version 2, MathML does have an extension mechanism via the csymbol elements and their definitionURL attributes, but this was rarely used except to achieve some form of OpenMath interoperability.

MathML tries to cater to the prevalent representational practices of mathematicians, and provides a good dozen structural XML elements for special constructions, e.g. set, interval and matrix constructors, and allows to "lift" various associative operators to "big operators" acting on sets and sequences simply by associating them by bound variables and possibly qualifier elements to specify the domain of application.

The MathML approach to specifying the "meaning" of expression trees largely follows a "you know what I mean" approach that alludes to a perceived consensus among mathematical practitioners on the K-14 fragment. The meaning of a construction is alluded to via examples rather than defined rigorously, intending to be "formal enough" to cover "a large number of applications" [Con03, 4.1.2], while remaining flexible enough not to preclude too many.

## 2.2 OpenMath

OpenMath is a standard for the representation and communication of mathematical objects. It has similar goals to content MathML and focuses on encoding the meaning of objects rather than visual representations to allow the free exchange of mathematical objects between software systems and human beings. OpenMath has been developed in a long series of workshops and (mostly European) research projects that began in 1993 and continues through today. The

OpenMath 1.0 and 2.0 Standards were released by the OpenMath Society in February 2000 and June 2004. OpenMath 1 fixed the basic language architecture, while OpenMath2 brought better XML integration, structure sharing and liberalized the notion of OpenMath Content dictionaries.

Like content MathML, OpenMath represents mathematical formulae as expression trees, but concentrates on an extensible framework built on a minimal structural core language with a well-defined extension mechanism. Where MathML supplies more than a dozen elements for special constructions, OpenMath only supplies concepts for function application (OMA), binding constructions (OMBIND; MathML2 lacks an analogous element and simply uses apply with bound variables, hence the (inferred) Rule 1.). Where MathML provides close to 100 elements for the K-14 fragment, OpenMath gets by with only an OMS element that identifies symbols by pointing to declarations in an open-ended set of Content Dictionaries (see below).

An OpenMath Content Dictionary (CD) is a document that declares names (OpenMath "symbols") for basic mathematical concepts and objects. CDs act as the unique points of reference for OpenMath symbols (and their encodings the OMS elements) and thus supply a notion of context that situates and disambiguates OpenMath expression trees. To maximize modularity and reuse, a CD typically contains a relatively small collection of definitions for closely related concepts. The OpenMath Society maintains a large set of public CDs, including CDs for all pre-defined symbols in MathML 2. There is a process for contributing privately developed CDs to the OpenMath Society repository to facilitate discovery and reuse. OpenMath does not require CDs be publicly available, though in most situations the goals of semantic markup will be best served by referencing public CDs available to all user agents.

The fundamental difference to MathML is in terms of establishing meaning for mathematical objects. Rather than appealing to mathematical intuition, OpenMath defines a free algebra  $\mathcal{O}$  of "OpenMath Objects" which acts as (initial) model for encodings of mathematical formulae. OpenMath Objects are essentially labeled trees, with  $\alpha$ -conversion for binding structures and Currying for nested semantic annotations. Note that since  $\mathcal{O}$  is initial it is essentially unique and identifies (in the sense of "declares to be the same") fewer objects than any other model. As a consequence two mathematical objects must be identical, if their OpenMath representations are, but may coincide, even if their representations are different. As a consequence, the OpenMath standard considers OpenMath objects as primary citizens and views the "OpenMath XML encoding" as just an incidental design choice for an XML-based markup language. In fact OpenMath specifies another encoding: the "binary encoding" designed to be more space efficient at the cost of being less human-readable.

## 2.3 The OpenMath/MathML3 Alignment Process

Most of these differences between MathML and OpenMath can be traced to the different communities who developed these representation formats. MathML came out of the "HTML Math Module", an attempt to develop LATeX-quality

presentation of mathematical on the Web, something sorely missing from the otherwise very successful HTML. The guiding goal for OpenMath on the other hand was to develop an open interchange format among computer algebra systems, which resulted in a much stronger emphasis on the meaning of objects to make the exchange of sub-problems safe.

Even though interoperability between OpenMath and and MathML was always a strong desideratum for both communities, the two representation formats evolved independently and in line with the fundamental assumptions outlined in the two previous sections. Interoperability was attempted from the MathML side by integrating the csymbol element in MathML2 and specifying parallel markup, i.e. allowing OpenMath representations to be embedded into MathML with fine-grained cross-referencing. The OpenMath Society developed CDs with analogues for "all predefined operators" and specified the correspondence between expression trees in [CDD+01]. Although 30 pages long, the fact that this document is still incomplete may serve as an indication that the problem is not trivial. As we will see below, mapping the MathML operators is not enough in the presence of different structural elements in the formats.

In June 2006 the W3C rechartered the MathML Working Group to produce a MathML 3 Recommendation, and the group identified the lack of regularity and specified meaning as a problem to be remedied in the charter period. The group decided to establish meaning for content MathML expressions based on OpenMath objects without losing backwards compatibility to content MathML2. In the end, content MathML was extended to incorporate concepts like binding structures and full semantic annotations from OpenMath and a structurally regular subset of the extended content MathML was identified that is isomorphic to OpenMath objects. This subset is called strict content MathML to contrast it to full content MathML that was seen to strike a more pragmatic balance between regularity and human readability. Full content MathML borrows the semantics from strict MathML by a mapping specified in the MathML3 specification that defines the meaning of non-strict (pragmatic) MathML expressions in terms of strict MathML equivalents. The division into two sub-languages serves a very important goal in standardization: to clarify and codify best (engineering) practices without breaking legitimate uses in legacy documents. In the current third version of MathML, the latter is a primary concern.

In June 2007, the OpenMath society chartered a group of members which includes the authors of this paper to work on version 3 of the OpenMath standard which would recognize content MathML3 as a legitimate OpenMath encoding, to help define the pragmatic to strict mapping MathML, and to provide the necessary CDs, which would be endorsed by the W3C Math Group and the OpenMath Society. The discussions and the resulting CDs are online in the SWiM Wiki [LGP08] [Lan09]

In the next three sections we show three areas of problems that came up during the work and needed to be circumnavigated.

## 3 Set Constructors in MathML

With the K-14 scope discussed above, MathML found that it needed more sophisticated concepts, such as bound variables, to express the concepts that are manipulated *informally* at that level. One conspicuous example from K-14 is sets constructed by rules.

A typical use of a qualifier is to identify a bound variable through use of the bvar element  $[\ldots]$  The condition element is used to place conditions on bound variables in other expressions. This allows MathML to define sets by rule, rather than enumeration, for example. The following markup, for instance, encodes the set  $\{x|x<1\}$ :

[Con03, 4.2.1.8]

Here (with the benefit of a great deal of hind sight, it should be pointed out) we can see the start of the problem. What would we have meant if we had changed the second<sup>5</sup> x to y? We would, of course, have written the MathML equivalent of  $\{x|y<1\}$ , and the MathML would be as meaningless as that set of symbols. We therefore deduce the following (undocumented) rule, which corresponds to OpenMath's formula rules for OMBIND.

Rule 1 (MathML) Variables in bvar constructions bind the corresponding variable occurrences in the scope of the parent of the bvar.

Here the first problem of interpreting pragmatic MathML elements raises its ugly head. In OpenMath, we can represent the set<sup>6</sup>  $\{x \in \mathbb{R} | x < 1\}$  by the representation

 $<sup>^5</sup>$  Changing both of them would have essentially been an  $\alpha\text{-conversion}$  [Bar84, Definition 2.1.11], though MathML does not analyse the concept.

<sup>&</sup>lt;sup>6</sup> Note that OpenMath requires a larger set to be specified (to avoid Russell's paradox). It would not be a problem to provide a CD for what is often called "naive set theory" that leaves out this safety device. However, such a system would have the same difficulties that the MathML above has: do we mean  $(-\infty, 1)$  or [0, 1), and is this a subset of  $\mathbb Z$  or  $\mathbb R$ ?

```
</\mathrm{OMA}> \\ </\mathrm{OMBIND}> \\ 4 </\mathrm{OMA}> \\ </\mathrm{OMOBJ}>
```

This makes use of a binding construction (OMBIND) with a  $\lambda$  operator that constructs functions<sup>7</sup> from an expression with a bound variable. This kind of construction is standard in logical systems and  $\lambda$ -calculus, for which is is motivated as follows in a standard introductory textbook:

To motivate the  $\lambda$ -notation, consider the everyday mathematical expression 'x-y'. This can be thought of as defining either a function f of x or g of y... And there is need for a notation that gives f and g different names in some systematic way. In practice mathematicians usually avoid this need by various 'ad hoc' special notations, but these can get very clumsy when higher-order functions are involved. [HS08, p. 1]

To achieve interoperability with OpenMath objects, MathML3 introduces the bind element in analogy to the OpenMath OMBIND. It could be argued that the "K–14" brief of MathML rules out higher-order functions, but in the example above we can see here the need, in a purely first-order case, to resort to "well, you know what I mean" without it. Extending MathML3 with a bind element that encodes an *OpenMath binding object* takes the guessing of Rule 1 out of MathML and makes the meaning unambiguous. The MathML3 specification does however need to specify the strict content MathML equivalent for the MathML2 example above in order to give it an OpenMath Object semantics. <sup>1</sup>

EdNote(1)

## 4 Calculus Issues

MathML and OpenMath have rather different views of calculus, which goes back to a fundamental duality in mathematics. These can, simplistically, be regarded as:

- what one learned in calculus, which we will write as  $D_{\epsilon\delta}$ : the "differentiation of  $\epsilon$ - $\delta$  analysis". Also  $\frac{d}{d_{\epsilon\delta}x}$ , and its inverse  $_{\epsilon\delta}\int$ ;
- what is taught in differential algebra, which we will write as  $D_{\mathrm{DA}}$ : the "differentiation of differential algebra". Also  $\frac{\mathrm{d}}{\mathrm{d}_{\mathrm{DA}}x}$ , and its inverse  $_{\mathrm{DA}}\int$ .

## 4.1 Differentiation

Roughly speaking, the MathML encoding corresponds more closely to  $D_{\epsilon\delta}$  and the OpenMath one to  $D_{\mathrm{DA}}$ . If we were to look at the derivative of  $x^2$  as in Figure 1, we might be tempted to see only trivial syntactic differences: In the

<sup>&</sup>lt;sup>7</sup> Here we also make use of the duality between sets and Boolean-valued functions that are their characteristic functions

 $<sup>^{1}</sup>$  EdNote: show this here!

```
<OMA>
<apply>
                                                                                                                                                                                                                                                     <OMS cd="calculus1" "name="diff"/>
          <diff/>
                                                                                                                                                                                                                                                     <OMBIND>
                                                                                                                                                                                                                                                                 <OMS cd="fns1" name="lambda"/>
         <br/>

                                                                                                                                                                                                                                                                 <OMBVAR><OMV name="x"/></OMBVAR>
                                                                                                                                                                                                                                                                 <OMA>
                                                                                                                                                                                                                                                                             <OMS cd="arith1" name="power"/>
                      <power/>
                                                                                                                                                                                                                                                                             <OMV name="x"/>
                      <ci>x</ci>
                        <cn>2</cn>
                                                                                                                                                                                                                                                                             <OMI>2</OMI>
          </apply>
                                                                                                                                                                                                                                                                  </OMA>
                                                                                                                                                                                                                                                      </OMBIND>
</apply>
```

Fig. 1. MathML2 and OpenMath2 differentiation compared

MathML encoding we see a differential operator that constructs a function from an expression with a bound variable declared by a bvar element. The OpenMath encoding sees the differential operator as a functional that transforms one function (the square function) into another (its derivative). It is possible to do this without any variables, as in  $\sin' = \cos$ . Given the history of the two standards, this difference of encoding is not surprising, since  $D_{\mathrm{DA}}$  is what computer algebra systems do (and what humans do, most of the time, even while interpreting the symbols as  $D_{\epsilon\delta}$ ), whereas human beings generally think they are doing  $D_{\epsilon\delta}$  and communicate mathematics that way.

For partial differentiation we see the same general picture, but the concrete representations drift further apart: For  $\frac{d^{m+n}}{dx^m dy^n} f(x,y)$ , MathML would use

using degree qualifiers inside the bvar elements for the orders of partial differentiations and a degree qualifier outside for the total degree. The following representation is proposed in [CDD<sup>+</sup>01]:

<sup>&</sup>lt;sup>8</sup> With the insights from the last section, MathML3 would probably use a bind element, emphasizing the role of the differentiation operator as a function constructor.

For the problems caused by wishing to represent  $\frac{d^k}{dx^m dy^n} f(x, y)$ , see [Koh08] and the proposed solution in [DK09a].

#### 4.2 Integration

Integration is even more problematic than differentiation. MathML interprets integration as an operator on expressions in one bound variable and presents as paradigmatic examples the three expressions below, which differ in which ways the bound variables are handled.

a: $\int_0^a f(x)dx$	b: $\int_{x \in D} f(x) dx$	c: $\int_D f(x)dx$
<pre><apply></apply></pre>	<apply></apply>	<apply> <int></int>   <br< td=""></br<></apply>

OpenMath can model usages (a) and (c) easily enough, via its **defint** operator: in fact usage (a) is modeled on the lines of (c), as  $\int_{[0,a]} f(x)dx$ , which means that we need to give an eccentric<sup>9</sup> meaning to 'backwards' intervals in order to encode the traditional mathematical statement

$$\int_{a}^{b} f(x)dx = -\int_{b}^{a} f(x)dx. \tag{1}$$

A more logical view is to regard the two notations as different, and define  $\epsilon \delta \int_{[a,b]}$  (via limits of Riemann sums, or whatever other definition is appropriate), and then

$$\epsilon \delta \int_{a}^{b} f = \begin{cases} \epsilon \delta \int_{[a,b]} f & a \le b \\ -\epsilon \delta \int_{[b,a]} f & a > b \end{cases}, \tag{2}$$

whereas

$$_{\mathrm{DA}}\int_{a}^{b}f=\left(_{\mathrm{DA}}\int f\right)\left(b\right)-\left(_{\mathrm{DA}}\int f\right)\left(a\right)\tag{3}$$

by definition.

<sup>&</sup>lt;sup>9</sup> Along the lines of "the set [b,a] is the same as [a,b] except that, where it appears as a range of integration, we should negate the value of the integral"! [Koh08]. It is possible to regard 'backwards integration' as an "idiom" in the sense of [LC99] and (1) as the explanation of that idiom, but this seems circular.

Usage (b) might not worry us too much at first, since it is apparently only a variant of (c). The challenge comes when we move to multidimensional integration (in the  $_{\epsilon\delta}\int$  sense). [BE95, p. 189] has a real integral over a curve in the complex plane,

$$\frac{1}{2\pi} \int_{|t|=R} \left| \frac{f(t)}{t^{n+1}} \right| |dt| \tag{4}$$

whereas [Apo67, p. 413, exercise 4, slightly reformulated] has an integral where we clearly want to connect the variables in the integrand to the variables defining the set:

$$\int \int \int \int \left(\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} \le 1\right) \left(\frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2}\right) dx dy dz \tag{5}$$

## 5 A Radical Proposal: Enhanced Binding Operators

BegNP(2)

Note that the  $\epsilon\delta$  vs. DA discussion generalizes to other situations as well, as witnessed by the difference between the OpenMath and MathML representations of the set  $\{x|y<1\}$  already show. There seem to be two styles of thinking about mathematical objects. The first one — we will call it the **first-order style** — manifests itself as the  $\epsilon\delta$ -style in calculus. This style avoids passing around functions and sets as arguments to operators and uses expressions with bound variables instead. The second style — which we will call the **higher-order style** — allows functions and sets as arguments and relies heavily on this feature for conceptual clarity. It can be argued that the higher-order style is more modern<sup>10</sup>, but arguably the first-order style still permeates much of mathematical practice. And if we take the use of mathematics in the Sciences and Engineering into account probably accounts for the vast majority of mathematical communication. Therefore we argue that both representational styles must be supported by MathML and OpenMath (and strict content MathML)

EndNP(2)

Examples like (4) and (5) show that the binding objects in OpenMath are too weak representationally to accommodate the first-order style of representation faithfully and force the reader into hihger-order style: we want the triple integration operator in (5) to range over a restricted domain of integration, and we want to give this domain as an expression over the integration variables<sup>11</sup>, at least in  $\epsilon\delta$  variant of integration. Moreover, given the discussion in Section 3 we

 $<sup>^2</sup>$  New Part: MK@JHD, this is new, in response to David's mail; see if you can support this.

 $<sup>^{10}</sup>$  It has gained traction in the second half of the  $20^{th}$  century with the advent of category theory in Math and type theories in Logic

Note that the objection that the original formulation in [Apo67], which was " $\int \int \int_S \dots$  where  $S = \{\dots\}$ ", transcends the scope of both MathML and Open-Math, which restrict themselves to mathematical formulae. In fact MathML2 had limited support for inter-formula effects with the declare element, but deprecates this element in MathML3 since it cannot be defined on an intra-formula level. Thus the (important) issue of connecting bindings between different formula must be rel-

need these variables to participate in  $\alpha$ -conversion. How might we encode this in OpenMath? Figure 2 shows 4 alternatives<sup>12</sup>:

- 1. In the binder We can interpret  $\int \int \left\{ \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} \le 1 \right\}$  as a complex binding operator, as in forallrestricted and try to use that in a binding object. But this runs foul of the OpenMath2 dictum that the binding operator is not subject to  $\alpha$ -conversion by its own variables; so this avenue is closed.
- 2. **In the body** On the other hand we can interpret the domain restriction as part of the binding object, and represent (5) as (2) in Figure 2 But this is impossible in OpenMath2, since it only allows one OpenMath object after the OMBVAR element.
- 3. In the body (2) We can solve this problem by inventing a mathematically meaningless "gluing" operator
- 4. **separately** It is possible to represent an integration formula in OpenMath2 that is supposedly equivalent mathematically to (5) using the Differential Algebra approach: but this is, from the  $\epsilon\delta$  point of view, totally unnatural, since it is  $\alpha$ -equivalent to the expression on the right which is unreadable for a human, and destroys commonality of formulae.

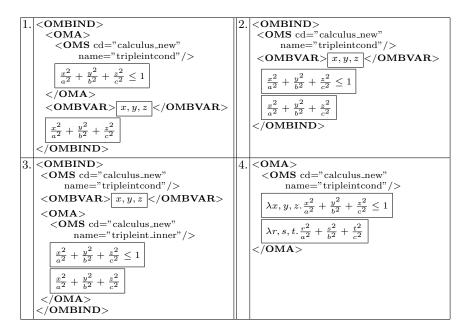


Fig. 2. The Alternatives

egated to representation formats that transcend individual formulae, such as the OMDoc format [Koh06].

<sup>&</sup>lt;sup>12</sup> We use the boxed formulae as placeholders for their (straightforward but lengthy) OpenMath2 encodings.

Solution 1 makes bound variables have an unusual, to say the least, scope, and solution 4 is higher-order style, so we are left with the other two. The two have quite a lot in common, since they

5. 
$$<$$
 OMA>  $<$  OMS cd="calculus\_new" name="tripleintcond"/>  $\lambda x, y, z. \frac{x^2}{a^2} + \frac{y^2}{b^2} + \frac{z^2}{c^2} \le 1$   $\lambda z, y, x. \frac{z^2}{a^2} + \frac{y^2}{b^2} + \frac{x^2}{c^2}$   $<$   $<$  OMA>

both achieve the fundamental goal of making both the region and the integrand subject to the *same* binding operation. We can summarise the points as follows.

- **2:** *pro*: Mathematically elegant; fits into both the XML and binary encodings of OpenMath.
- 2: con: Requires a change to the abstract description of the OpenMath standard.
- **3:** *pro*: No change to the OpenMath standard.
- **3:** *con*: Needs a new, mathematically meaningless, symbol such as tripleint\_inner for every tripleintcond.

Option 2 is our preferred route, and the rest of this paper assumes that, but the changes to adopt option 3 should be obvious. The changes to the OpenMath standard to adopt option 2 are in the Appendix.

#### 6 Conditions in MathML

Our proposal above still leaves us with the problem to figure out the meaning of the condition from the examples and to specify their meaning in terms of OpenMath3 objects. MathML 2 introduces 23 examples of its usage, described in Table 1, and a further 31 in Appendix C, described in Table 2. These can be roughly categorised as follows (where a+b means "a in Chapter 4 and b in Appendix C").

**5+14** are used to encode  $\exists n \in \mathbb{N}$  or  $\forall n \in \mathbb{N}$  (or equivalents). Strictly speaking, these usages are not necessary, because of the equivalences below.

$$\exists v \in S \quad p(v) \iff \exists v \quad (v \in S) \land p(v) \tag{6}$$

$$\forall v \in S \quad p(v) \Leftrightarrow \forall v \quad (v \in S) \Rightarrow p(v) \tag{7}$$

However, in practice, it would be better to have a convenient shorthand for these, hence the proposal in [DK09b] for OpenMath symbols existsrestricted and forallrestricted, which are constructors for complex binding operators that include the restricting domain of quantification.

6+4 can be replaced by the OpenMath suchthat construct: See section 10.1.

2+2 are solved by the use of map in OpenMath.

So we see that for all concrete operators, we have a natural strict content MathML/Open-Math equivalent. In the

Pragmatic MathML	Strict MathML
	<bind $>$ $W'<$ bvar $>$ $X bvar> ZY<bind>$

Fig. 3. Translating MathML with condition

other cases we use the translation in Figure 3 afforded by OpenMath-

/strict MathML extended according to our proposal. Here W is a binding operator and X stands for any number of variables in the bvar construct and Y, Z are arbitrary MathML expressions. Since we have treated all concrete operators, W must be either a ci, cn, a complex MathML expression, or a csymbol element. We conjecture that the first two cases have not been used, since there is no plausible way to give them meaning; we propose to deprecate such usages in MathML3. In contrast to that, the csymbol case is an eminently legitimate use, and therefore have to provide a W' in the rule above. But in MathML2, a csymbol element only has a discernible meaning, if it carries a definitionURL attribute that points to a description D of the symbols' meaning, which will specify the meaning of the expression in terms of X, Y and Z. This description can be counted as (or turned into) a CD D' that declares a binary binding operator that can be referenced by a csymbol element W' which points to this declaration. Note that if D described a usage of the operator W without a condition qualifier, then D' must also declare the unary binding operator W; this must be different from W', since we assume OpenMath operators to have fixed arities. Finally, note that the case where W is a complex expression is analogous to the previous cases depending on the head symbol of  $W^3$ .

EdNote(3)

## 7 Lifting Associative Operators

Binary associative operators have notational peculiarities of their own. While we tend to write then as binary, as "a+b+c", we recognise that this is "really" one addition of three numbers, and both MathML-Content and OpenMath would represent this as a plus with three arguments. Mathematica distinguishes such operators as Flat and OpenMath's Simple Type System [Dav00] as nassoc. It therefore makes sense to think of applying them to collections of arguments, and mathematical notation does this all the time (see table 4).

"small"	$a_1 + a_2 + a_3$	$a_{1}a_{2}a_{3}$	$a_1 \cap a_2 \cap a_3$	$a_1 \cup a_2 \cup a_3$	$a_1 \otimes a_2 \otimes a_3$	$a_1 \lor a_2 \lor a_3$
small Unicode	)		$225\mathrm{C}$	225B	220A	225F
"big"	$\sum_{i=1}^{3} a_i$	$\prod_{i=1}^3 a_i$	$\bigcap_{i=1}^3 a_i$	$\bigcup_{i=1}^3 a_i$	$\bigotimes_{i=1}^3 a_i$	$\bigvee_{i=1}^3 a_i$
big Unicode	1350	1351	1354	1353	134E	1357

Fig. 4. "Big" operators

With the exception of  $\sum$  and  $\prod$ , which [Dav08] regarded as being among the "irregular verbs" of mathematical notation, we can see a familiar pattern: the operator that applies to a collection of argument is "bigger" than its infix binary equivalent. The designers of Unicode<sup>13</sup> have done as well as might be

 $<sup>\</sup>overline{\ ^3}\ \mathrm{EdNote}$ : we may want to make an example of this in the long version

One might object that the designer of TEX did not do as well, since the last column of Figure 4 is coded using lor for the first row, but bigvee for the third. However, lor is in fact merely an alias for vee.

hoped for in mapping these symbols to 'related' code points in Unicode space for the corresponding glyphs.

How are these "big" operators going to be represented? For those it "knows" about [Con03, 4.2.3.2] (the list is, with our decorations, given in Figure 5: the ones marked  $\P$  are no longer n-ary in strict MathML3),

```
plus, times, \max^*, \min^*, \gcd^*, lcm^*, mean \ddagger, sdev \ddagger, variance \ddagger, median \ddagger, mode \ddagger, and*, or*, xor \dagger, union*, intersect*, cartesian product \dagger, compose \dagger, eq^{\P}, leq^{\P}, leq^{\P}, geq^{\P}, geq^{\P}, geq^{\P}
```

Fig. 5. MathML 2's n-ary operators

MathML can use bound variables and conditions, so the last item from Figure 4 would be shown on the left in Figure 6. It is not clear from [Con03] whether the same construct can be applied to a user-defined operator, but it would certainly be reasonable. OpenMathman, the other hand, has an explicit

Fig. 6. 

✓ in OpenMath and MathML

Many of the operators  $\oplus$  listed in Figure 5, those we have marked \*, have two additional properties:

```
idempotence \forall f \ f \oplus f = f;
monotonicity There is some discrete order \succ such that \forall f, g \ f \oplus g \succ g.
```

The first means that it make sense to apply  $\oplus$  to a set, i.e.  $\bigoplus S$ . The second means that it makes sense to talk about  $\bigoplus_{i=1}^{\infty} s_i$ , as being the point where the construct stabilises under  $\succ$ , or some kind of infinite object otherwise. Open-Math's construction has no problem with, say,  $\bigvee F$ , but MathML has to write this as  $\bigvee_{p \in F} p$  and use condition to represent the  $p \in F$ .

The statistical operators (marked  $\ddagger$ ), when applied to discrete sets, and those marked  $\dagger$  only make sense over finite collections, but  $\sum$  and  $\prod$ , as well as being lexically irregular in not being the infix operators writ large, are different in that they can have a calculus connotation. Here neither OpenMath nor MathML 3 make any clear distinction, nor, in their defence, do the vast majority of mathematics texts. Is that sum meant to be absolutely convergent or only conditionally convergent? Only a careful analysis of the surrounding text will show, if then.

To help those authors who wish to make such a distinction, OpenMath probably ought to have a CD of symbols with finer distinctions, just as it should for the various kinds of integrals such as Cauchy Principal Value, but this is not an OpenMath/MathML issue.

## 8 Conclusion

We have listed four areas where MathML (1–2) and OpenMath have taken different routes to the expressivity of mathematical meaning.

In the case of MathML's condition, we have seen one very general concept that does not have a single formalisation, and this led to the pragmatic/strict distinction in MathML3. We have seen the utility of "restricted" quantifiers, even though they are not logically necessary, and [DK09b] proposes their addition to OpenMath.

In the case of the calculus operations, this reflected a genuine split in the approaches to the calculus operations, whether one viewed them as algebraic or analytic operations. Since neither is 'wrong', but the two *are* different (for example the "Fundamental Theorem of Calculus" is a theorem from the analytic point of view, but a definition in the algebraic view), a converged view at MathML/OpenMath 3 should incorporate both.

## Acknowledgements

The unification effort described here has benefited from the input of many people, notably Olga Caprotti, David Carlisle, Sam Dooley, Christoph Lange, Paul Libbrecht, Bruce Miller, Robert Miner, Florian Rabe, Chris Rowley. The authors are indebted to David Carlisle for comments on an earlier version of the paper.

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**Listing 1.1.** MathML-1 for "there exists x such that  $x^5 < 3$ "

**Listing 1.2.** MathML-1 for "for all x,y such that  $x^y < 1$  and  $y^x < x + y,x < Q(y)$ "

```
<apply><forall/>
         <condition>
           <apply><and/>
             <reln>
              <lt/>
              <apply><power/>
                <\!\mathbf{ci}\!>\!\mathrm{x}<\!/\mathbf{ci}\!>
                <ci>y</ci>
              </apply>
              <cn>1</cn>
             </reln>
             <reln>
              <lt/>
              <apply><power/>
                <ci>y</ci>
                <ci>x</ci>
              </apply>
              <apply><plus/>
                <ci>y</ci>
                <ci>x</ci>
              </apply>
             </reln>
           </apply>
         </condition>
         <reln><lt/>
            <ci> x </ci>
28
            <apply>
             <fn><ci> x </ci></fn>
             <ci> y </ci>
            </apply>
         </reln>
33
       </apply>
```

**Listing 1.3.** MathML-1 for "there exists x < 3 such that  $x^2 = 4$ "

**Listing 1.4.** MathML-1 for "there exists x such that  $x^5 < 3$ "

## 9 The current state of MathML2

From table 1 (page 19) we see that the first dubious case in the body of the standard is described in our section 10.3, where the alternative in MK's note [Koh08] seems not to conform to OpenMath in practice. This example also appears in sections 10.8 and 10.10. From table 2 (page 55) we see that the only dubious case in Appendix C is in our section 11.3, which is essentially the same example.

Our section 10.3 illustrates a problem with multivariate definite integrals that OpenMath cannot represent directly, and that MathML requires a non-intuitive correspondence of order of variables to express.

We note that our section 10.4 is an excellent tribute to the power of the proposed new symbols forallrestricted and existsrestricted. JHD has been trying to find the origin of these symbols, but it seems not to be in his archive. Memory is that MK suggested forallrestricted. The point is that the head of an OMBIND need for be a symbol, but can be a compound expression, so using

```
<OMA>
<OMS name="forallrestricted" cd="quant2"/>
<OMV name="S"/>
</OMA>
```

as the head is the same as using <OMS name="forall" cd="quant1"/> except that the variable(s) bound are restricted to range over S. Note that the bound variables do *not* explicitly appear in the restriction, so this does not fall foul of OpenMath's requirement highlighted on page 41. We should note what forallrestricted does, and does not, encode.

**does**  $\forall n \in \mathbb{N}, \ \forall m, n \in \mathbb{N}, \ \forall x \in [0,1], \ \forall x \in (0,\infty)$  (but not the equivalent  $\forall x > 0$ ).

Table 1. condition in Chapter 4

MathML 2	heading	This	resolution
Chapter 4		$\operatorname{document}$	
4.2.1.8	qualifiers	10.1	suchthat
		10.1	map
4.2.3.2	operators with	10.3	A calculus issue
	qualifiers	10.3	suchthat
		10.3	no solution
		10.3	${ t forall restricted}^1$
4.2.5	Conditions	10.4	none needed
		10.4	forall/existsrestricted
		10.4	${\sf existsrestricted}^1$
4.4.2.7.2	Conditions	10.5	suchthat
4.4.3.4	Maximum	10.6	$map?^2$
		10.6	suchthat
4.4.3.17	forall	10.7	${ t forall restricted}^3$
		10.7	${ t forall restricted}^3$
4.4.5.1	int	10.8	Needs work
4.4.5.6.1	bvar	10.9	suchthat
4.4.5.6.2	bvar	10.10	Needs work
4.4.6.1.2	set	10.11	suchthat
4.4.6.2.2	list	10.12	$integer\_interval$
4.4.6.7	Subset	10.13	loose
4.4.7.1	Sum	10.14	None needed
4.4.7.2	Product	10.15	None needed
4.4.7.3	Limit	10.16	Use limit1 CD

Notes

- 1. or nothing special.
- 2. the query is caused by the fact that this fragment is close to meaningless.
- 3. but forallrestricted doesn't do a perfect job.

**does not**  $\forall n \in \mathbb{N}, x \in \mathbb{R}$  (this needs two nested forallrestricteds),  $\forall n > 2$ ,  $\forall m < n \in \mathbb{N}$ .

It is a legitimate argument that this forallrestricted symbol is privileging the use of  $\in$  in what MathML called conditions.

## 10 Chapter 4

This chapter is the substantive specification of MathML (Content).

## 10.1 4.2.1.8 The use of qualifier elements

This section introduces the condition element, in what might be called a "proof by example" style.

**4.2.1.8(1)** The use of qualifier elements This section contains the following quotation.

A condition element can be used to place restrictions directly on the bound variable. This allows MathML to define sets by rule, rather than enumeration. The following markup, for instance, encodes the set  $\{x|x<1\}$ :

This can be converted into OpenMath by means of suchthat: here is an Open-Math example from set1 reworked to encode the same mathematics.

```
<OMOBJ xmlns="http://www.openmath.org/OpenMath" version="2.0"
           cdbase="http://www.openmath.org/cd">
      <OMA>
        <OMS cd="set1" name="suchthat"/>
        <OMS cd="setname1" name="R"/>
        <OMBIND>
          <OMS cd="fns1" name="lambda"/>
          <OMBVAR> <OMV name="x"/> </OMBVAR>
          <OMA>
            <OMS cd="relation1" name="lt"/>
            <OMV name="x"/>
            \langle \mathbf{OMI} \rangle 1 \langle \langle \mathbf{OMI} \rangle
          </OMA>
        </OMBIND>
14
       </\dot{\mathbf{O}}\mathbf{M}\mathbf{A}>
    </OMOBJ>
```

We note that the OpenMath makes it explicit that it is  $(-\infty, 1)$  that is meant, not, say, [0, 1), and equally that it is  $\mathbb{R}$ , not  $\mathbb{Z}$  or some other set, that is the base type.

4.2.1.8(2) The use of qualifier elements This section contains the following quotation.

Another typical use is the "lifting" of n-ary operators to "big operators", for instance the n-ary union operator to the union operator over sets, as the union of the U-complements over a family F of sets in this construction.

```
\begin{array}{l} <\!\!\operatorname{apply}\!\!> \\ <\!\!\operatorname{union}\!\!> \\ <\!\!\operatorname{bvar}\!\!> <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{bvar}\!\!> \\ <\!\!\operatorname{condition}\!\!> \\ <\!\!\operatorname{apply}\!\!> <\!\!\operatorname{in}\!\!/ > <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{ci}\!\!> <\!\!\operatorname{capply}\!\!> \\ \end{array}
```

This falls foul of the ambiguity in MathML's union constructor<sup>14</sup> highlighted in [Dav08, especially slide 14]. The best OpenMath translation would seem to be on the following lines.

#### 10.2 4.2.2.2 Constructors

This contains the sentence

For example, a bvar and a condition element can be used to define lists where membership depends on satisfying certain conditions.

No example is given here, but the example in 4.2.1.8 (our section 10.1) could be regarded as typical.

#### 10.3 4.2.3.2 Operators taking Qualifiers

This section lists condition among the qualifiers, and the operators taking qualifiers (not necessarily condition) as follows (\* indicates that section 4.2.3 of the MathML specification states that they do not take condition as a qualifier).

If qualifiers are used, they should be followed by a single child element representing a function or an expression in the bound variables specified in the bvar qualifiers. Mathematically the operation is then taken to be over the arguments generated by this function ranging over the specified domain of application, rather than over an explicit list of arguments as is the case when qualifier schemata are not used.

A purist might objection that the presence of the qualifier is changing the fundamental semantics of the n-ary operator.

<sup>&</sup>lt;sup>14</sup> At the end of section 4.2.3 of the MathML specification, we read

- $\mathbf{operators} \ \mathtt{int^{15}}, \mathtt{sum^{16}}, \mathtt{product^{17}}, \mathtt{root}, \ \mathtt{diff^*}, \mathtt{partialdiff^*}, \mathtt{limit^{18}}, \mathtt{log^*},$ moment\*, forall<sup>19</sup>, exists.
- n-ary operators plus, times, max<sup>20</sup>, min<sup>21</sup>, gcd, lcm, mean, sdev, variance, median, mode, and, or, xor, union<sup>22</sup>, intersect, cartesian product, compose, eq, leq, lt, geq, gt.
- user defined operators csymbol, ci.
- missing (or not regarded as operators in MathML) set<sup>23</sup>, list<sup>24</sup>, interval<sup>25</sup>
- spuriously absent subset<sup>27</sup> and in theory all other n-ary relations see section 10.13.

The (lowlimit,uplimit) pair, the interval and the condition are all shorthand notations specifying a particular domain of application and should not be used if domainofapplication is used.

It is not clear to the current author how the example in section 11.1 can be cast in this mould, though.

## 4.2.3.2 Operators taking Qualifiers (1) condition has the following example.

```
<apply>
   \langle int/ \rangle
   \langle \text{bvar} \rangle \langle \text{ci} \rangle \times \langle /\text{ci} \rangle \langle /\text{bvar} \rangle
   <condition>
       <apply><in/><ci>x</ci><ci type="set">C</ci></apply>
   </condition>
    \langle apply \rangle \langle \sin/\rangle \langle ci \rangle x \langle /ci \rangle \langle /apply \rangle
</apply>
```

This is a special case of the example discussed in section 11.3

## 4.2.3.2 Operators taking Qualifiers (2) It is also stated that

```
<sup>15</sup> Sections 10.3, 11.3, 11.5, 11.17.
^{16} Sections 10.14 and 11.23.
```

 $<sup>^{17}</sup>$  Section 11.24.

<sup>&</sup>lt;sup>18</sup> Sections 10.16, 11.19, 11.25, 11.29.

<sup>&</sup>lt;sup>19</sup> Sections 11.2, 11.4, 11.6, 11.7, 11.8, 11.11, 11.12, 11.14, 11.15, 11.16, 11.18, 11.27, 11.28, 11.29.

<sup>&</sup>lt;sup>20</sup> Sections 10.5, 11.9.

 $<sup>^{21}</sup>$  Sections 10.6, 11.10.

 $<sup>^{22}</sup>$  Section 10.1.

 $<sup>^{23}</sup>$  Sections 10.1, 11.20.

 $<sup>^{24}</sup>$  Section 11.21.

 $<sup>^{25}</sup>$  Section 11.1

 $<sup>^{26}</sup>$  Section 11.26.

<sup>&</sup>lt;sup>27</sup> Sections 10.13 and 11.22 — note that we can make no sense of this last example.

```
<apply>
               <int/>
               <br/>
<br/>
ci>x</ci></bvar>
               <lowlimit><cn>0</cn></lowlimit>
               <uplimit><cn>1</cn></uplimit>
               <apply><power/><ci>x</ci><cn>2</cn></apply>
          </apply>
          (whose OpenMath equivalent in terms of calculus1 is
          <OMA>
               <OMS cd="calculus1" name="defint"/>
               <OMA>
                    <OMS name="interval_cc" cd="interval1"/>
                    <OMI> 0 </OMI>
                    \langle \mathbf{OMI} \rangle 1 \langle \mathbf{OMI} \rangle
                </OMA>
               <OMBIND>
                   <OMS cd="fns1" name="lambda"/>
                   <OMBVAR> <OMV name="x"/> </OMBVAR>
                    <OMA>
                        <OMS cd="arith1" name="power"/>
                        <OMV name="x"/>
13
                        \langle OMI \rangle 2 \langle /OMI \rangle
                    </OMA>
                </OMBIND>
          </OMA>
          ) can be written as
               <br/>

               <domainofapplication>
                   <set>
                        <bvar><ci>t</ci></bvar>
                        <condition>
                            <apply>
                                 <and/>
                                  <apply><leq/><cn>0</cn><ci>t</ci></apply>
                                  \langle apply \rangle \langle leq/ \rangle \langle ci \rangle t \langle /ci \rangle \langle cn \rangle 1 \langle /cn \rangle \langle /apply \rangle
                             </apply>
                        </condition>
13
                        <ci>t</ci>
                    </set>
               </domainofapplication>
               <apply><power/><ci>x</ci><cn>2</cn></apply>
          </apply>
18
          The OpenMath equivalent of this would probably be the following.
               <OMS cd="calculus1" name="defint"/>
               <OMA>
                   <OMS cd="set1" name="suchthat"/>
<OMS name="R" cd="setname1"/>
                   <OMBIND>
                        <OMS cd="fns1" name="lambda"/>
                        <OMBVAR> <OMV name="t"/> </OMBVAR>
                        <OMA>
                            <OMS name="and" cd="logic1"/>
                            <OMA>
                                 <OMS cd="relation1" name="gt"/>
12
                                 <OMV name="t"/>
                                  <OMI> 0 </OMÍ>
```

</OMA>

```
<OMA>
              <OMS cd="relation1" name="lt"/>
17
              <OMV name="t"
              \langle \mathbf{OMI} \rangle 1 \langle \langle \mathbf{OMI} \rangle
            </OMA>
           </OMA>
        </OMBIND>
22
       </\dot{O}MA>
       <OMBIND>
        <OMS cd="fns1" name="lambda"/>
        <OMBVAR> <OMV name="x"/> </OMBVAR>
27
          <OMS cd="arith1" name="power"/>
          <OMV name="x"/>
          \langle OMI \rangle 2 \langle OMI \rangle
        </OMA>
       </OMBIND>
    </OMA>
```

## **4.2.3.2 Operators taking Qualifiers (3)** The example continues as follows.

This use extends to multivariate domains by using extra bound variables and a domain corresponding to a Cartesian product as in:

```
<apply>
        <int/>
        <bvar><ci>x</ci></bvar>
        <bvar><ci>y</ci></bvar>
        <domainofapplication>
             <bvar><ci>t</ci></bvar>
             <bvar><ci>u</ci></bvar>
             <condition>
                <apply>
12
                  <apply><leq/><cn>0</cn><ci>t</ci></apply>
                  <apply><leq/><ci>t</ci><cn>1</cn></apply>
                  \langle apply \rangle \langle leq/ \rangle \langle cn \rangle 0 \langle /cn \rangle \langle ci \rangle u \langle /ci \rangle \langle /apply \rangle
                   \langle apply \rangle \langle leq/ \rangle \langle ci \rangle u \langle /ci \rangle \langle cn \rangle 1 \langle /cn \rangle \langle /apply \rangle
                </apply>
             </condition>
             list><ci>t</ci><ci>u</ci></list>
           </set>
        < /domainofapplication>
        <apply>
          <times/>
          <apply><power/><ci>x</ci><cn>2</cn></apply>
           \langle apply \rangle \langle power / \rangle \langle ci \rangle \langle ci \rangle \langle cn \rangle \langle cn \rangle \langle /apply \rangle
         </apply>
     </apply>
```

Note that the order of bound variables of the integral must correspond to the order in the list used by the set constructor in the domainofapplication.

OpenMath 2 as it (and its CDs) exists has no immediate answer to this, since defint from calculus1 explicitly only integrates unary functions. Obviously one could replace it by two nested unary integrations. Trying to represent it directly would fall foul of the potential ambiguity referred to in the quotation

immediately above. It seems to the author that one really wants some way of representing the following expression:

$$\int_{x=0}^{1} \int_{y=0}^{1} x^2 y^3 dx dy,$$
 (8)

i.e. explicitly linking the variables to the bounds.

## **4.2.3.2 Operators taking Qualifiers (4)** The text on forall gives the following example.

```
      <apply>

      <forall/>
      <br/><br/><br/><br/><br/>

      4
      <condition>

      <apply><lt/><br/><br/>

      <apply>

      </condition>

      <apply><lt/><br/><apply>

      <apply>

      <apply>

      <apply>

      <apply>

      <apply>
```

This could be solved with the forall/implies encoding, as in

Alternatively, we could use a new symbol forallrestricted, as in the following.

```
</MA>
</MA>
</MA>
</MS name="lt" cd="relation1"/>
</MV name="x"/>
</MI> 10 </MI>
</MA>

23 </MBIND>
```

## **10.4 4.2.5** Conditions

```
First example
      <apply>
        <exists/>
        <bvar><ci> x </ci></bvar><condition>
          <\!\!\mathbf{apply}\!\!><\!\!\mathbf{lt}/\!\!>
             <apply>
               <power/>
               <c\mathbf{i}>x</c\mathbf{i}>
               <\!\mathbf{cn}\!>\!5<\!/\mathbf{cn}\!>
             </apply>
             <cn>3</cn>
12
          </apply>
          </condition>
          <true/>
     </apply>
```

The author's first reaction was "why a condition: isn't this MathML equivalent (and shorter)?"

Certainly this would be the obvious OpenMath encoding.

```
      <OMBIND>

      <OMS name="exists" cd="quant1"/>

      3
      <OMBVAR><OMV name="x"/>

      <OMA>

      <OMS name="lt" cd="relation1"/>

      <OMS name="power" cd="arith1"/>

      8
      <OMV name="x"/>

      <OMI>

      </mathrew</td>
      </mathrew</td>

      </mathrew</td>
      </mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew</mathrew<
```

Second example Moved to OM2009 paper.

```
<apply>
     <exists/>
     <br/>
<br/>
di><ci> x </ci></bvar>
     <condition>
       <apply><lt/><ci>x</ci><cn>3</cn></apply>
      </condition>
     <apply>
       <eq/>
       <apply>
         </apply>
       <cn>4</cn>
      </apply>
   </apply>
   This works well with existsrestricted.
   <OMBIND>
     <OMA>
       <OMS name="existsrestricted" cd="quant2"/>
       <OMA>
         <OMS name="suchthat" cd="set1"/>
         <OMS cd="setname1" name="R"/>
         <OMBIND>
          <OMBVAR> <OMV name="x"/> </OMBVAR>
          <OMA>
            <OMV name="lt" cd="relation1"/>
<OMV name="x"/>
<OMI> 3 </OMI>
11
          </OMA>
         <OMBIND>
       </OMA>
16
     </OMA>
     <OMA>
       <OMS name="eq" cd="relation1"/>
       <OMA>
         <OMS name="power" cd="arith1"/>
21
         <OMV name="x"
         \langle \mathbf{OMI} \rangle 2 \langle \langle \mathbf{OMI} \rangle
       </OMA>
       <OMI> 4 </OMI>
     </OMA>
26
   </MBIND>
```

## 10.5 4.4.2.7.2 Examples (of condition)

Third example -

As a free-standing piece of code, it is hard to see what this means.

As a free-standing piece of code, it is hard to see what this means, but it crops up as a fragment of the next example.

As OpenMath does not have a max operator acting on functions, the nearest translation would seem to be the following.

```
<OMS name="max" cd="minmax1"/>
      <OMA>
       <OMS name="map" cd="set1"/>
       <OMBIND>
         <OMBVAR> <OMV name="x"/> </OMBVAR>
         <OMA>
           <OMS name="minus" cd="arith1"/>
           <OMV name="x"/>
           <OMA>
            <OMS name="sin" cd="transc1"/>
<OMV name="x"/>
12
           </OMA>
         </OMA>
       </OMBIND>
       <\mathbf{OMA}>
17
         <OMS name="interval_oo" cd="interval1"/>
<OMI> 0 </OMI>
         <OMI> 1 </OMI>
       </OMA>
      </\dot{\mathbf{OMA}}>
    </OMA>
```

## 10.6 4.4.3.4 Maximum and minimum (max, min)

This contains the following examples.

13

 $\begin{array}{l} <& \text{apply}>\\ <& \text{sin}/>\\ <& \text{ci}> \times </\text{ci}>\\ <& \text{apply}>\\ <& \text{apply}>\\ <& \text{apply}> \end{array}$ 

This is somewhat hard to interpret: if  $x \notin B$ , what can we say about x? In general terms, though, a solution such as in our section 11.9 seems appropriate.

```
4.4.3.4 \text{ max}, \text{min} (2)
<apply>
  <max/>
  <bvar><ci>x</ci></bvar>
  <condition>
    <apply><and/>
      <apply><in/><ci>x</ci><ci type="set">B</ci></apply>
      <apply><notin/><ci>x</ci><ci type="set">C</ci></apply>
    </apply>
  </condition>
  <ci>x</ci>
</apply>
This is a clear case of suchthat.
  <OMS name="max" cd="minmax1"/>
  <OMA>
    <OMS name="suchthat" cd="set1"/>
    <OMS name="B"/>
<OMBIND>
<OMS cd="fns1" name="lambda"/>
<OMBVAR> <OMV name="x"/> </OMBVAR>
      <OMA>
        <OMS name="notin" cd="set1"/>
        <OMV name="x"/>
<OMV name="C"/>
      </OMA>
    </\acute{\mathbf{O}}\mathbf{MBIND}>
  </\dot{\mathbf{O}}\mathbf{M}\mathbf{A}>
</OMA>
```

## 10.7 4.4.3.17 Universal quantifier (forall)

This contains the following examples.

 $\langle {
m apply} \rangle \langle {
m lt}/ \rangle$ 

</apply>

4.4.3.17 forall (1) -

This will clearly succumb to the forall/implies encoding. forallrestricted will cope with the  ${\tt apply}<{\tt in}><{\tt ci}> p </{\tt ci}><{\tt rationals}></{\tt apply}> {\tt clauses},$  but not, as currently suggested, with the p < q clause. This would give the following.

```
<OMBIND>
     <OMA>
       <OMS name="forallrestricted" cd="quant2"/>
       <OMS name="Q" cd="setname1"/>
     </OMA>
     <OMBVAR> <OMV name="p"/> <OMV name="q"/> </OMBVAR>
     <OMA>
       <OMS name="implies" cd="logic1"/>
       <OMA>
<OMS name="lt" cd="logic1"/>
10
         <OMV name="p"/>
<OMV name="q"/>
       </OMA>
       <OMA>
15
         <OMS name="lt" cd="logic1"/>
         <OMV name="p"/>
         <OMA>
          <OMS name="power" cd="arith1"/>
<OMV name="q"/>
           <OMI> 2 </OMI>
20
         </OMA>
       </OMA>
      </\dot{\mathbf{OMA}}>
    </OMBIND>
```

## 4.4.3.17 forall (2) -

```
<apply>
       <forall/>
       <br/>
<br/>
di> <br/>
<br/>
ci> n </ci> </br/>
/bvar>
       <condition>
         <apply><and/>
           <apply><gt/><ci> n </ci><cn> 0 </cn></apply>
           <apply><in/><ci> n </ci><integers/></apply>
         </apply>
       </condition>
       <apply>
11
         <exists/>
         <br/>
<br/>
ci> x </ci></bvar>
         <bvar><ci> y </ci></bvar>
         <bvar><ci> z </ci></bvar>
         <condition>
           <apply><and/>
16
             <apply><in/><ci> x </ci><integers/></apply>
             apply < in/ < ci> y < ci> (ci) < integers/ > /apply < apply > (in/ > ci) z /ci>cintegers/ > /apply >
           </apply>
         </condition>
21
         <apply>
           <eq/>
           <apply>
             <plus/>
```

```
 \begin{array}{c} \text{26} & <& \text{apply} > \text{power} / > < \text{ci} > \text{x} </ \text{ci} > < \text{ci} > \text{x} </ \text{apply} > \\ & <& \text{apply} > \text{power} / > < \text{ci} > \text{y} </ \text{ci} > < \text{ci} > \text{n} </ \text{ci} > </ \text{apply} > \\ & <& \text{apply} > \text{power} / > < \text{ci} > \text{z} </ \text{ci} > < \text{ci} > \text{n} </ \text{ci} > </ \text{apply} > \\ & <& \text{apply} > \\ \text{31} & <& \text{apply} > \\ & <& \text{apply} > \\ & <& \text{apply} > \\ \end{array}
```

Again, the restricted quantifiers help a great deal. but not perfectly.

```
<OMA>
                          <OMS name="forallrestricted" cd="quant2"/>
 3
                           <OMS name="Z" cd="setname1"/>
                    </OMA>
                     <OMBVAR> <OMV name="n"/> </OMBVAR>
                     <OMA>
                     <OMS name="implies" cd="logic1"/>
                           <OMA>
                                Company color color
13
                          </OMA>
                           <OMBIND>
                                 <OMA>
                                      <OMS name="existsrestricted" cd="quant2"/>
                                       <OMS name="Z" cd="setname1"/>
                                 </OMA>
                                 <OMBVAR> <OMV name="x"/> <OMV name="y"/> <OMV name="z"/> </OMBVAR>
                                 <OMA>
                                       <OMS name="eq" cd="relation1"/>
                                       <OMA>
                                              <OMS name="plus" cd="arith1"/>
23
                                              <OMA:
                                                   <OMS name="power" cd="arith1"/>
                                                   <OMV name="x"/>
<OMV name="n"/>
                                              </OMA>
28
                                              <OMA>
                                                   <OMS name="power" cd="arith1"/>
                                                   <OMV name="y"/>
<OMV name="n"/>
                                              </OMA>
33
                                       </OMA>
                                       <OMA>
                                             <OMS name="power" cd="arith1"/>
<OMV name="z"/>
                                              <OMV name="n"/>
38
                                       </OMA>
                                  </\dot{\mathbf{OMA}}>
                           </OMBIND>
                      </\acute{\mathbf{OMA}}>
              </OMBIND>
```

## 10.8 4.4.5.1 Integral (int)

This contains the following example.

```
</apply>
  </condition>
  <apply><ci type="function"> f </ci>
  <ci>x </ci>
  <apply>
  </apply>
  </apply>
</apply>
</apply>
```

Up to renaming, this is identical to the example in section 11.3, and the same comments apply.

## 10.9 4.4.5.6.1 Bound variable (bvar)

This contains the following example, which is mainly meant to illustrate the use of the id= construct.

From our point of view, this looks like a suchthat, except that the universe is unspecified in the MathML. Taking a guess for this, we end up with the following OpenMath.

EdNote(4)

XML id= tags could probably be used here.<sup>4</sup> This might also be an occasion for OMR.

## 10.10 4.4.5.6.2 Bound variable (bvar)

Up to renaming, this is identical to the example in section 11.3, and the same comments apply.

```
<apply>
<int/>
<bvar><ci> x </ci></bvar>
<condition>
<apply><ii/> x </ci></ci></ci></apply>
```

 $<sup>^4</sup>$  EdNote: Anyone wish to do so?

## 10.11 4.4.6.1.2 Set (set)

This contains the following example.

```
<set>
       <bvar><ci> x </ci></bvar>
       <condition>
         <apply><and/>
            \langle apply \rangle \langle lt/ \rangle
              <ci> x </ci>
              <cn> 5 </cn>
            </apply>
<apply><in/>
<apply><ic>> x </ci>
10
              <naturalnumbers/>
            </apply>
         </apply>
       </condition>
15
       <ci> x </ci>
     </\mathbf{set}>
```

Again, this fits very naturally as a suchthat, indeed probably more naturally than the original MathML.

## 10.12 4.4.6.2.2 List (list)

This contains the following example.

This has no direct equivalent in OpenMath, not least because there is no equivalent of order="numeric", but also because one has to assume that the list is being selected from  $\mathbb{N}$ , because if it were selected from  $\mathbb{Z}$  or  $\mathbb{R}$  is would have no infimum.

The best translation is probably the following.

```
<OMA>
<OMS name="integer_interval" cd="interval1"/>
<OMI> 0 </OMI>
<OMI> 4 </OMI>
</OMA>
```

The reader may protest that  $integer\_interval$  is "special", but surely no more so than implicitly assuming selection from  $\mathbb{N}$ .

## 10.13 4.4.6.7 Subset (subset)

This contains the following sentence.

The subset element is an n-ary set relation (see Section 4.2.4 Relations). As an n-ary operator, its operands may also be generated as described in [n-ary operators] Therefore it may take qualifiers.

This appears to justify the following example.

As far as the present author can see, this states that the list T is linearly ordered by  $\subseteq$ . OpenMath does not, and seems to have decided that it will not<sup>28</sup>, have n-ary relations of this form.

Any translation has therefore to be loose: we propose the following<sup>29</sup>.

<sup>&</sup>lt;sup>28</sup> MK posed this question in e-mail of 21/9/2008, tracked as http://wiki.openmath.org/?title=cd%3Arelation1.

Which is conditional on assuming that this is the right way to select elements from a list — a debate which we have had, but I cannot remember the resolution.

## 10.14 4.4.7.1 Sum (sum)

This contains the following example.

This has an obvious translation into OpenMath, in which x isn't even needed. It could, of course, be supplied by replaced f by  $\lambda x. f(x)$ .

## 10.15 4.4.7.2 Product (product)

This contains the following example.

The same remarks as in the previous section apply.

## 10.16 4.4.7.3 Limit (limit)

This contains the following example.

This can be translated into OpenMath as follows.

## 11 Appendix C

## 11.1 C.2.2.4 MMLdefinition: interval

This contains the following example.

Presumably this represents  $(0, \infty)$ . Equally, this is presumably intended to close over  $\langle \text{interval} \rangle$ , i.e. x is bound in this expression, and freely  $\alpha$ -convertible. However, it seems to JHD to be purely "luck" that this defines an interval. What about the following?

By the same logic, this is  $(-\infty, -1) \cup (1, \infty)$ . As far as JHD can see, this use of interval is basically a declaration of a set, coupled with an assertion that that set is in fact an interval, which assertion is, in fact, true in the first case, but not the second.

The declaration of the set can be done with suchthat, the assertion is a problem OpenMath has not really addressed.

It is also not clear that this fragment is in fact legal. The specification says elsewhere [4.4.2.4.1] that

The interval element expects two child elements that evaluate to real numbers.

#### 11.2 C.2.2.5 MMLdefinition: inverse

This contains the following example (our formatting).

```
<apply><forall/>
                                 <br/>
<br/>
di>v</ci></br/>
/bvar>
                                 <br/>

                                 <condition>
                                           <apply><in/>
                                                     <ci>y</ci>
                                                     <apply>
                                                              <csymbol definitionURL="domain">
                                                                         <mtext>Domain</mtext></csymbol>
                                                              <apply><inverse/><ci type="function">f</ci></apply>
                                                     </apply>
                                           </apply>
                                 </condition>
14
                                 <apply><eq/>
                                            <apply><¯i type="function">f</ci>
                                                     \langle apply \rangle \langle apply \rangle \langle inverse \rangle \langle ci \ type = "function" \rangle f \langle /ci \rangle \langle /apply \rangle
                                                             <ci>y</ci>
                                                     </apply>
                                            </apply>
                                           <ci>y</ci>
                                   </apply>
                       </apply>
```

The associated textual description is

```
For
All( y, such y in domain( f\hat{\ }(-1) ), f( f\hat{\ }(-1)(y) ) = y
```

which does not include the fact that f is in the scope of  $\forall$ .

This usage seems to be basically a "typed quantifier", and as such could look like the following.

```
</OMA>
       </OMA>
      </\dot{O}MA>
      <OMBVAR> <OMV name="y"/> </OMBVAR>
      <OMA>
       <OMS name="eq" cd="relation1"/>
14
       <OMA>
<OMV name= "f"/>
         <OMA>
           <OMA>
            <OMS name="inverse" cd="fns1"/>
19
             <OMV name= "f"/>
           </OMA>
           OMV name= "y"/>
         </OMA>
       </\dot{\mathbf{O}}\mathbf{M}\mathbf{A}>
24
       <OMV name= "y"/>
      </OMA>
    </MBIND>
```

This resembles the "vernacular", and does not bind f. If we wanted to do so, along the lines of the MathML, we would have to wrap the whole thing in another forall. The two cannot be combined, as we want to be able to  $\alpha$ -convert f in the argument of forallrestricted, and, as MK's note of the tele-conference reads:

In particular, we do not want to accept the occurrence of the bound variable in the (complex) binding operator. In particular, the OpenMath2 standard restricts alpha-conversion to the second and third children of the OMBIND, which is consistent with this view.

See section 11.3 below for an example of where this rule bites.

## 11.3 C.2.2.7 MMLdefinition: condition

This section contains two examples.

```
\begin{array}{l} \text{C.2.2.7(1) MMLdefinition: condition} \\ <& \text{condition} \\ <& \text{apply}{<}\text{t/}{>} \\ <& \text{apply}{<}\text{power/}{>}{<}\text{ci}{>}\text{x</ci}{>}\text{con}{>}5{</cn}{>}\text{</apply}{>} \\ <& \text{con}{>}3{</cn}{>} \\ <& \text{/apply}{>} \\ <& \text{/condition}{>} \end{array}
```

It is hard to understand the meaning of this fragment in isolation (and presumably the reader was not intended to do so). If it was intended to be part of an encoding of a set (mathematically, representing the interval  $(-\infty, 3^{1/5})$ , or possibly  $[0,3^{1/5})$ ), then suchthat from set1 is appropriate. Here is the example from set1 reworked to match the MathML example (as  $(-\infty,3^{1/5})$ : if one wanted  $[0,3^{1/5})$  one would have to add  $x \ge 0$ , or change from  $\mathbf R$  as the base set).

```
<OMS cd="setname1" name="R"/>
       <OMBIND>
         <OMS cd="fns1" name="lambda"/>
<OMBVAR> <OMV name="x"/> </OMBVAR>
           <OMS cd="relation1" name="lt"/>
           <OMA>
             <OMS cd="arith1" name="power"/>
             <OMV name="x"/>
             <OMI> 5 </OMI>
14
           </OMA>
           <OMI> 3 </OMI>
         </OMA>
       </OMBIND>
      </\dot{\mathbf{OMA}}>
19
    </OMOBJ>
```

We should note that the OpenMath makes it clear that x is bound by the (OMBIND whose first child is the) lambda, whereas the MathML, being only a fragment, does not state the scope.

## C.2.2.7(2) MMLdefinition: condition (Our formatting.)

```
\begin{array}{l} \hline \\ <& \text{apply} > \text{cint/} \\ <& \text{bvar} > < \text{ci} > \text{x/ci} > < / \text{bvar} > \\ <& \text{condition} > \\ <& \text{apply} > \text{cin/} > < \text{ci} > \text{x/ci} > < \text{ci} \text{ type="set"} > \text{C</ci} > < / \text{apply} > \\ <& \text{/condition} > \\ <& \text{apply} > \text{ci} \text{ type="function"} > \text{f</ci} > < \text{ci} > \text{x</ci} > < / \text{apply} > \\ <& \text{/apply} > \end{aligned}
```

This seems, to JHD, to be typical of the confusion that can arise over conditions. Let us look at this expression in the vernacular:

$$\int_{x \in C} f(x) \mathrm{d}x,\tag{9}$$

and observe that this is probably equivalent to  $\int_C f(x) dx$ .

In terms of calculus1 (calculus with functions in http://staff.bath.ac.uk/masjhd/differentiate.html) this can be expressed as

```
<OMA>
<OMS cd="calculus1" name="defint"/>

<OMV name="C"/>
<OMV name="f"/>
</OMA>
```

and x doesn't appear at all. If one wants it to, then one writes

```
</OMBIND>
</OMA>
```

as in the example in calculus1.

MK's note [Koh08] on calculus3 (calculus with expressions in http://staff.bath.ac.uk/masjhd/differentiate.html), suggests (in MathML syntax)

which is a precise translation of the previous one from the language of functions to expressions. In OpenMath syntax it would be the following<sup>30</sup>.

MK's note also suggests an alternative way of expressing (9), which in MathML syntax would be the following.

In OpenMath syntax it would be the following.

<sup>&</sup>lt;sup>30</sup> In the circulated version of calculus3, there appear to be two symbols called defint. The second should presumably be defintset.

This, however, falls foul of

the OpenMath2 standard restricts alpha-conversion to the second and third children of the OMBIND

and hence JHD does not see how defintcond as postulated can make its way into OpenMath.

## 11.4 C.2.2.14 MMLdefinition: image

This section contains the following example.

The following remarks could be made.

1. This cries out for forallrestricted.

```
<OMBIND>
     <OMA>
       <OMS name="forallrestricted" cd="quant2"/>
       <OMA>
        <OMS name="image" cd="fns1"/>
        <OMV name="f"/>
       </OMA>
     </OMA>
     <OMBVAR> <OMV name="x"/> </OMBVAR>
     <OMA>
       <OMS name="in" cd="set1"/>
<OMV name="x"/>
12
       <OMA>
        <OMS name="codomain" cd="fns1"/>
        <OMV name= "f"/>
       </OMA>
     </OMA>
    </OMBIND>
```

2. It is a pretty good case for the "forall with implies" trick.

```
<OMBIND>
     <OMS name="forall" cd="quant1"/>
      <OMBVAR> <OMV name="x"/> </OMBVAR>
       <OMS name="implies" cd="logic1"/>
       <OMA>
         <OMS name="in" cd="set1"/>
         <OMV name="x"/>
         <OMA>
          <OMS name="image" cd="fns1"/>
          <OMV name= "f"/>
         </OMA>
12
       </OMA>
       \langle \mathbf{OMA} \rangle
         <OMS name="in" cd="set1"/>
         <OMV name="x"/>
         <OMA>
17
          <OMS name="codomain" cd="fns1"/>
<OMV name= "f'/>
         </OMA>
       </OMA>
      </OMA>
22
    </OMBIND>
```

3. Why use quantifiers at all?

```
<pr
```

Indeed there could be (possibly even ought to be, since in ZF it is the *definition* of  $\subset$ ) a FMP of subset that made this equivalent to expression 2.

## 11.5 C.2.2.15 MMLdefinition: domainofapplication

This contains the interesting statement

Special cases of this qualifier can be abbreviated using one of interval condition or an (lowlimit,uplimit) pair.

The examples given are

(which is a fairly straight-forward definite integral) and

```
\begin{tabular}{ll} & & & & & & & \\ & & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & & \\ & & \\ & & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & & \\ & \\ & & \\ & & \\ & \\ & & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ & \\ &
```

```
 \begin{array}{c} \text{$\langle$apply\>{<}in/{>}$} \\ & <ci>t</ci{>} \\ & <ci$type="set">C</ci{>} \\ & </apply> \\ & </condition> \\ 11 & </set> \\ & </domainofapplication> \\ & <ci>f</ci{>} \\ & </apply> \end{array}
```

which seems to this author to be a redundant variant.

## 11.6 C.2.3.1 MMLdefinition: quotient

This has the following property (presumably meant to be the equivalent of Open-Math's FMP<sup>31</sup>).

```
ForAll( [a,b], b != 0, a = b*quotient(a,b) + rem(a,b))
       <apply><forall/>
          <bvar><ci>a</ci></bvar>
          <bvar><ci>b</ci></bvar>
          <\!\!\operatorname{condition}><\!\!\operatorname{apply}><\!\!\operatorname{neq}/><\!\!\operatorname{ci}>\!\!\operatorname{b}<\!/\operatorname{ci}><\!\!\operatorname{cn}>\!\!\operatorname{0}<\!/\operatorname{cn}><\!/\operatorname{apply}><\!/\operatorname{condition}>
          <apply><eq/>
             <ci>a</ci>
             <apply><plus/>
                <apply><times/>
11
                      <ci>b</ci>
                      \langle apply \rangle \langle quotient/ \rangle \langle ci \rangle a \langle /ci \rangle \langle ci \rangle b \langle /ci \rangle \langle /apply \rangle
                </apply>
          <apply><rem/><ci>a</ci><ci>b</ci></apply>
             </apply>
          </apply>
       </apply>
```

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

```
<OMS name="forall" cd="quant1"/>
     <OMBVAR> <OMV name="a"/> <OMV name="b"/> </OMBVAR>
     <OMA>
       <OMS name="implies" cd="logic1"/>
       <OMA>
        <OMS name="neq" cd="relation1"/>
         <OMV name="b"/>
<OMS name="zero" cd="arith1"/>
       </OMA>
       <OMA>
         <OMS name="eq" cd="relation1"/>
13
         <OMV name="a"/>
         <OMA>
          <OMS name="plus" cd="arith1"/>
          <OMA>
            <OMS name="times" cd="arith1"/>
            <OMV name="b"/>
18
            <OMA>
             <OMS name="quotient" cd="integer1"/>
             <OMV name="a"/>
<OMV name="b"/>
            </OMA>
23
          </OMA>
```

<sup>&</sup>lt;sup>31</sup> OpenMath's FMP for quotient in integer1 is currently missing the proviso  $b \neq 0$ .

## 11.7 C.2.3.2 MMLdefinition: factorial

This has the following property (presumably meant to be the equivalent of Open-Math's FMP).

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

## 11.8 C.2.3.3 MMLdefinition: divide

This has the following property (presumably meant to be the equivalent of Open-Math's FMP).

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

## 11.9 C.2.3.4 MMLdefinition: max

This contains the interesting statement

The elements may be listed explicitly or they may be described by a domainofapplication, for example, the maximum over all x in the set A. The domainofapplication is often abbreviated by placing a condition directly on a bound variable.

The example given is the following (our layout).

As OpenMath does not have a max operator acting on functions, the nearest translation would seem to be the following.

```
<OMS name="max" cd="minmax1"/>
      <OMA>
        <OMS name="map" cd="set1"/>
        <OMBIND>
         <OMS name="lambda" cd="fns1"/>
<OMBVAR> <OMV name="y"/> </OMBVAR>
         <OMA
           <OMS name="power" cd="arith1"/>
           <OMV name="y"/>
<OMI> 3 </OMI>
         </OMA>
13
        </OMBIND>
        <OMA>
         <OMS name="interval_cc" cd="interval1"/>
         <OMI> 0 </OMI> <OMI> 1 </OMI>
       </OMA>
      </OMA>
    </OMA>
```

We note that OpenMath seems to require us to be precise about the species of interval we want to use.

### 11.10 C.2.3.5 MMLdefinition: min

Nothing new need be said here.

## 11.11 C.2.3.7 MMLdefinition: plus

The property here is the following.

```
\begin{array}{l} {\rm Commutativity} \\ <& {\bf apply} > {\bf forall}/> \\ <& {\bf bvar} > {\bf ci} > {\bf a}/{\bf ci} > </{\bf bvar} > \\ <& {\bf bvar} > {\bf ci} > {\bf b} </{\bf ci} > </{\bf bvar} > \\ <& {\bf condition} \end{array}
```

```
< apply > < and /> \\ < apply > < in /> < ci > a </ci > < reals /> </ apply > \\ < apply > (in /> < ci > b </ ci > (reals /> </ apply > \\ </ apply > \\ </ apply > \\ </ condition > \\ < apply > < eq /> \\ < apply > < plus /> < ci > a </ ci > (ci > b </ ci > (apply > \\ < apply > < plus /> < ci > b </ ci > (ci > a </ ci > (apply > \\ < apply > < plus /> < ci > b </ ci > (ci > a </ ci > (apply > \\ < apply > < (apply > (ap
```

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

## 11.12 C.2.3.8 MMLdefinition: power

The property here is the following.

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

#### 11.13 C.2.3.9 MMLdefinition: rem

This has the same property, and solution, as quotient (section 11.6).

## 11.14 C.2.3.10 MMLdefinition: times

The property here is the following.

```
For All( [a,b], condition(in(\{a,b\}, Commutative)), a*b=b*a)
```

However, no formal translation is given, and it is not clear what one would be.

Later on we see the following property, to which the same remark applies as in section 11.11.

## 11.15 C.2.3.18 MMLdefinition: forall

This contains the following example (our formatting).

This seems to be  $\forall x: x < 0x$ . Since this last x is not a Boolean, the author respectfully submits that this example is ill-typed. In any case forallrestricted would solve the issue.

## 11.16 C.2.3.23 MMLdefinition: real

This contains the following property,

```
<apply><forall/>
       <bvar><ci>x</ci></bvar>
       <bvar><ci>y</ci></bvar>
       <condition>
          <apply><and/>
            <apply><in/><ci>x</ci><reals/></apply>
            <apply><in/><ci>y</ci><reals/></apply>
       </condition>
        \langle {
m apply} \rangle \langle {
m eq}/ \rangle
          <apply><real/>
            <apply><plus/>
12
              <ci> x </ci>
              \langle apply \rangle \langle times / \rangle \langle imaginaryi / \rangle \langle ci \rangle \langle /ci \rangle \langle /apply \rangle
            </apply>
          </apply>
          <ci> x </ci>
        </apply>
     </apply>
```

Again, this seems to be a pretty good case for the "forall with implies" trick, though forallrestricted could be used.

#### 11.17 C.2.5.1 MMLdefinition: int

This contains the following example.

The discussion in section 11.3 is appropriate here.

## 11.18 C.2.5.6 MMLdefinition: bvar

This contains the following example (our formatting).

```
<apply><forall/><bvar><ci>x</ci></bvar>
<condition><apply><in/><ci>x</ci></ci></apply></condition>

apply>
<eq/>
<apply><minus/><ci>x</ci></apply>
<cn>0</cn>
</apply>
</apply>
</apply>
</apply>
</apply>
</apply>
</apply>
</apply>
</apply>
```

Again, this seems to be a pretty good case for the "forall with implies" trick, though cforallrestricted could be used.

## 11.19 C.2.5.8 MMLdefinition: divergence

This contains the following example.

```
<apply>
                                         <eq/>
                                         <apply><divergence/><ci type="vectorfield">a</ci></apply>
                                         <apply>
                                                   <limit/>
                                                   <apply>
                                                                           <tendsto/>
                                                                           <ci>V </ci><cn> 0 </cn>
12
                                                               </apply>
                                                    </condition>
                                                    <apply>
                                                                 <divide/>
                                                                 <apply>
                                                                           <int encoding="text" definitionURL="SurfaceIntegrals.htm"/>
                                                                            <br/>

                                                                            <ci> a </ci>
                                                                 </apply>
                                                                 <ci> V </ci>
                                                     </apply>
                                          </apply>
                           </apply>
```

Here the relevant part is the limit, which could be expressed (as it is in the limit 1 CD) as the following.

## 11.20 C.2.6.1 MMLdefinition: set

This contains the following example.

It is not clear what this means, but a plausible stab would seem to be the following.

```
<OMA>
     <OMS cd="set1" name="suchthat"/>
     <OMS cd="setname1" name="N"/>
     <OMBIND>
       <OMS cd="fns1" name="lambda"/>
       <OMBVAR>
        <OMV name="x"/>
       </OMBVAR>
       \langle \mathbf{OMA} \rangle
        <OMS cd="relation1" name="lt"/>
10
       <OMV name="x"/>
        <OMI> 5 </OMI>
       </OMA>
     </OMBIND>
   </OMA>
15
```

## 11.21 C.2.6.2 MMLdefinition: list

This contains the following example.

There is no direct translation into OpenMath for reasons other than condition, but suchthat in list1 seems an obvious tool to use.

#### 11.22 C.2.6.7 MMLdefinition: subset

This contains the following example.

Even assuming the second <subset/> to be a mistake, the present author can make no sense of this.

#### 11.23 C.2.7.1 MMLdefinition: sum

This contains the following example (our formatting).

This translates straightforwardly.

We note that there is no need to name the dummy variable at all.

### 11.24 C.2.7.2 MMLdefinition: product

The example, and its OpenMath translation, are essentially identical to the previous section.

## 11.25 C.2.7.3 MMLdefinition: limit

This contains the following example (our formatting).

```
\begin{array}{l} \color{red} <& \mathtt{apply} > < \mathtt{limit} / > \\ <& \mathtt{bvar} > < \mathtt{ci} > \times < / \mathtt{ci} > < / \mathtt{bvar} > \\ <& \mathtt{condition} > \\ &<& \mathtt{apply} > < \mathtt{tendsto} / > < \mathtt{ci} > \times < / \mathtt{ci} > < < \mathtt{n} > 0 < / \mathtt{cn} > < / \mathtt{apply} > \\ &<& \mathtt{cindition} > \\ &<& \mathtt{apply} > < \mathtt{sin} / > < \mathtt{ci} > \times < / \mathtt{ci} > < / \mathtt{apply} > \\ &<& \mathtt{apply} > \end{aligned}
```

The equivalent OpenMath would be the following.

```
<OMA>
     <OMS cd="limit1" name="limit"/>
3      <OMI> 0 </OMI>
     <OMS cd="limit1" name="both_sides"/>
      <OMS cd="transc1" name="sin"/>
      </OMBIND>
     </OMA>
```

We again note that there is no need to name the dummy variable at all.

## 11.26 C.2.10.2 MMLdefinition: matrix

This contains the following example (our formatting).

```
<matrix>
      <br/>
<br/>
di type="integer">i</ci></byar>
      <bvar><ci type="integer">j</ci></bvar>
      <condition>
        <apply><and/>
          <apply><in/>
           <ci>i</ci>
           <interval><ci>1</ci><ci>5</ci></interval>
          </apply>
          <apply><in/>
           <ci>j</ci>
           <interval><ci>5</ci><ci>9</ci></interval>
13
          </apply>
        </apply>
      </condition>
      \langle {
m apply} \rangle < {
m power}/>
        <ci>i</ci>
        <ci>j</ci>
      </apply>
    </re>
```

We can assume that this should end </matrix> instead of </vector>, but this has no equivalent in OpenMath.

## 11.27 C.2.11.3 MMLdefinition: rational

This contains the following property (our formatting).

```
for all z where z is a rational, there exists
                                                                                                                  integers p and q with p/q = z
                                                    <apply><forall/>
                                                                           <bvar><ci>z</ci></bvar>
                                                                           <condition>
                                                                                                <apply><in/><ci>z</ci><rationals/></apply>
                                                                                                </condition>
                                                                           <apply><exists/>
                                                                                              <br/>

                                                                                              10
                                                                                                                     \begin{array}{l} \text{(apply)} < \text{(in)} < \text{(ci)} < \text{(integers)} < \text{(apply)} < \text{(apply)} < \text{(integers)} < \text{(integers
                                                                                                                       <apply><eq/>
                                                                                                                                         <\!\!\mathbf{apply}\!\!><\!\!\mathrm{divide}/\!\!><\!\!\mathbf{ci}\!\!>\!\!\mathrm{p}<\!\!/\mathbf{ci}\!\!><\!\!\mathbf{ci}\!\!>\!\!\mathrm{q}<\!\!/\mathbf{ci}\!\!><\!/\mathbf{apply}\!\!>
15
                                                                                                                                            <ci>z</ci>
                                                                                                                     </apply>
                                                                                                  </apply>
                                                                           </apply>
```

forallrestricted seems the obvious solution, though the implies trick could also be used.

## 11.28 C.2.11.6 MMLdefinition: primes

This contains the following property (our formatting).

```
ForAll( [d,p], p is prime, Implies( d | p , d=1 or d=p ) )
     <apply><forall/>
        <br/>bvar><ci>d</ci></bvar>
        <bvar><ci>p</ci></bvar>
        <condition>
          <apply><and/>
          \langle apply \rangle \langle in/\rangle \langle ci \rangle p \langle /ci \rangle \langle primes/\rangle \langle /apply \rangle
          <apply><in/><ci>d</ci><naturalnumbers/></apply>
          </apply>
        </condition>
        <apply><implies/>
11
          <apply><factorof/><ci>d</ci><ci>p</ci></apply>
          <apply><or/>
            <apply><eq/><ci>d</ci><cn>1</cn></apply>
            \langle apply \rangle \langle eq/ \rangle \langle ci \rangle d \langle /ci \rangle \langle ci \rangle p \langle /ci \rangle \langle /apply \rangle
16
          </apply>
        </apply>
     </apply>
```

This could be encoded with the forall/implies trick, except that the result would have two implication signs — perfectly correct, but possibly harder to read. We would need to nest forallrestricted, as in the following.

## 11.29 C.2.11.15 MMLdefinition: infinity

This contains the following property and example (our formatting).

```
 \begin{array}{c} \textbf{C.2.11.15(1) MMLdefinition: infinity} \\ \text{for all reals } \textbf{x}, \textbf{x} \setminus \textbf{lt infinity} \\ <& \textbf{apply} >& \textbf{forall}/> \\ <& \textbf{bvar} >& \textbf{ci} >& \textbf{ci}
```

for all restricted seems the obvious solution, though the implies trick could also be used.

```
C.2.11.15(2) MMLdefinition: infinity <apply><eq/>
<apply><apply>x</ci></bvar>
```

From OpenMath's point of view, this is a straightforward limit.

```
<0MA>
     <OMA>
      <OMS cd="limit1" name="limit"/>
      <OMS name="infinity" cd="nums1"/>
      <OMS cd="limit1" name="below"/>
      <OMBIND>
        <OMS cd="fns1" name="lambda"/>
        <OMBVAR> <OMV name="x"/> </OMBVAR>
        <OMA>
         <OMS name="divide" cd="arith1"/>
10
         <OMI> 1 </OMI>
         <OMV name="x"/>
        </OMA>
      </OMBIND>
     </OMA>
     OMS cd="alg1" name="zero"/>
   </OMA>
```

# A OpenMath Standard changes

Concretely we propose to liberalize the definition of a "OpenMath Binding Object" in [Con04, section 2.1.3] from the current

(iv) If B and C are OpenMath objects, and  $v_1, \ldots, v_n$  (n > 0) are OpenMath variables or attributed variables, then

binding
$$(B, v_1, \ldots, C)$$

is an OpenMath binding object.

to the following form:

(iv) If  $B, C_1, \ldots$ , and  $C_m$  are OpenMath objects, and  $v_1, \ldots, v_n$  (n > 0) are OpenMath variables or attributed variables, then

$$\mathbf{binding}(B, v_1, \dots, C_1, \dots, C_m)$$

is an OpenMath binding object.

And correspondingly change the following sentences in [Con04, section 2.2]

Phrasebooks are allowed to use  $\alpha$  conversion in order to avoid clashes of variable names. Suppose an object  $\Omega$  contains an occurrence of the object  $\operatorname{binding}(B, v, C)$ . This object  $\operatorname{binding}(B, v, C)$  can be replaced in  $\Omega$  by  $\operatorname{binding}(B, z, C')$  where z is a variable not occurring free in C and C' is obtained from C by replacing each free (i.e., not bound by any intermediate binding construct) occurrence of v by v. This operation preserves the semantics of the object v.

Phrasebooks are allowed to use  $\alpha$  conversion in order to avoid clashes of variable names. Suppose an object  $\Omega$  contains an occurrence of the object  $\Theta := \mathbf{binding}(B, v_1, \dots, v_n, C_1, \dots, C_m)$ . Then  $\Theta$  can be replaced in  $\Omega$  by  $\mathbf{binding}(B, v'_1, \dots, v'_n C'_1, \dots, C'_m)$  where

- -z is a variable or attributed variable not occurring in  $\Theta$ , and
- $-v'_k = z$  for some k > 0,
- $-v_i^r$  is obtained from  $v_i$  by replacing each free (i.e., not bound by any intermediate binding construct) occurrence of  $v_k$  by z for all i with k < i < n.
- $C_j'$  is obtained from  $C_j$  by replacing each free occurrence of  $v_k$  by z for all  $1 \le j \le m$ .

This operation preserves the semantics of the object  $\Omega$ .

Note that while we were at it, we also cleaned up the imprecision in OpenMath2 that only allowed  $\alpha$ -renaming in the presence of a single bound variable and clarified that variables in attributions must also be renamed.

Compared to these necessary clarifications, our proposed change is minor, but as we have seen, it allows us to represent (4) and (5) naturally. As we will see below, it also simplifies the remaining alignment issues considerably.

Note that this extension of the OpenMath Objects is backwards compatible to OpenMath2 objects, since the case of unary binding operators is a special case. Furthermore, all OpenMath2 content dictionaries only describe unary binding operators which will stay unary as guaranteed by the OpenMath rules for CD management. Finally note that our extension proposal is different from the earlier proposal by one of the authors to introduce a "condition-like element" into OpenMath3. That proposal was rejected on the grounds that it is not clear that the meaning of the MathML condition element is sufficiently independent of the particular binding operator, as will become clear in the next section.

Table 2. condition in Appendix  ${\bf C}$ 

${\rm MathML}~2$	heading	This		resolution
Appendix C	;	docume	$_{ m nt}$	
C.2.2.4	interval	section	11.1	suchthat; ??
C.2.2.5	inverse	section	11.2	forallrestricted
C.2.2.7	condition	section	11.3	suchthat
C.2.2.7	condition	section	11.3	Needs work
C.2.2.14	image	section	11.4	${ t forall restricted}^1$
C.2.2.15	domainofapplication	section	11.5	none needed
C.2.3.1	quotient	section	11.6	${ t forall restricted}^1$
C.2.3.2	factorial	section	11.7	${ t forall restricted}^1$
C.2.3.3	divide	section	11.8	${ t forall restricted}^1$
C.2.3.4	max	section	11.9	map
C.2.3.5	min	section	11.10	map
C.2.3.7	plus	section	11.11	${ t forall restricted}^1$
C.2.3.8	power	section	11.12	${ t forall restricted}^1$
C.2.3.9	quotient	section	11.13	As section 11.6
C.2.3.10	times	section	11.14	No formal MathML;
				As section 11.11
C.2.3.18	times	section	11.15	Apparently meaningless
C.2.3.23	real	section	11.16	${ t forall restricted}^1$
C.2.5.1	int	section	11.17	As section 11.3
C.2.5.6	bvar	section	11.18	${ t forall restricted}^1$
C.2.5.8	divergence	section	11.19	Use limit1 CD
C.2.6.1	set	section	11.20	suchthat
C.2.6.2	list	section	11.21	suchthat/list1
C.2.6.7	subset	section	11.22	Apparently meaningless
C.2.7.1	sum	section	11.23	sum
C.2.7.2	product	section	11.24	product
C.2.7.3	limit	section	11.25	limit
C.2.10.2	matrix	section	11.26	No equivalent
C.2.11.3	rational	section	11.27	forallrestricted
C.2.11.6	primes	section	11.28	${ t forall restricted}^1$
C.2.11.15	infinity	section	11.29	${ t forall restricted}^1$
C.2.11.15	infinity	section	11.29	Use limit1 CD

 $<sup>^{1}</sup>$  or nothing special