

# Rotations

EE366/CE366/CS380: Introduction to Robotics

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- 1 Inverse Rotations and Homogeneous Transformations
- 2 Order of rotation
- 3 Parametrization of Rotations
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What is inverse of homogeneous transformation,  ${}^A T_B$ ?

$${}^B \mathbf{T}_A = ?$$

$${}^B T_A {}^A T_B = I$$

$$\begin{bmatrix} {}^B R_A & {}^A R_B \\ \mathbf{0} & 1 \end{bmatrix} \begin{bmatrix} {}^B R_A & {}^A P_{BORG} \\ 1 \end{bmatrix} + {}^B P_{AORG} = \begin{bmatrix} I & \mathbf{0} \\ \mathbf{0} & 1 \end{bmatrix}$$

$${}^B R_A = {}^A R_B^{-1}$$

$$\begin{aligned} {}^B P_{AORG} &= -{}^B R_A {}^A P_{BORG} \\ &= -{}^A R_B^{-1} {}^A P_{BORG} \end{aligned}$$



# Key properties of rotation matrices [1, Section 2.1]

Let  $R \in \mathbb{R}^{3 \times 3}$  be a rotation matrix and  $c_1, c_2, c_3 \in \mathbb{R}^3$  be its columns.

- Columns are mutually orthonormal:

$$c_i^T c_j = \begin{cases} 0, & \text{if } i \neq j \\ 1, & \text{if } i = j \end{cases}$$

- In matrix form,

$$RR^T = R^T R = I$$

- It follows that

$$\det R = \pm 1.$$

## Inverse of R

Inverse of rotation matrix is its transpose.

## Special Orthogonal Group – $SO(n)$

The set of  $n \times n$  real-valued matrices

$$SO(n) = \{R \in \mathbb{R}^{n \times n} \mid R^T R = RR^T = I \text{ and } \det R = +1\}$$



# What's magical about $SO(3)$ and $SE(3)$ ?

- Every configuration of a rigid body that is free to only rotate relative to a fixed frame can be identified with  $R \in SO(3)$ .
- $SO(3)$  is group, closed under multiplication, i.e. the product of any two rotation matrices is another rotation matrix.
- Every configuration of a rigid body can be identified with  $T \in SE(3)$ .
- $SE(3)$  is group, closed under multiplication, i.e. the product of any two homogeneous transformations is another homogeneous transformation.



# What's magical about $SO(3)$ and $SE(3)$ ?

- Inverse of rotation matrix is a rotation matrix. In fact,

$$R^{-1} = R^T$$

- Product is associative.

- Inverse of homogeneous transformation is another homogeneous transformation.
- Product is associative.




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# Canonical Rotation Matrices



■

$$R_x(\theta) = \begin{bmatrix} 1 & 0 & 0 \\ 0 & \cos \theta & -\sin \theta \\ 0 & \sin \theta & \cos \theta \end{bmatrix}$$

$$R_y(\theta) = \begin{bmatrix} \cos \theta & 0 & \sin \theta \\ 0 & 1 & 0 \\ -\sin \theta & 0 & \cos \theta \end{bmatrix}$$

$$R_z(\theta) = \begin{bmatrix} \cos \theta & -\sin \theta & 0 \\ \sin \theta & \cos \theta & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

■ Special notation – subscript for axis of rotation; angle of rotation  $\theta$



# Order of rotation matters in 3D.

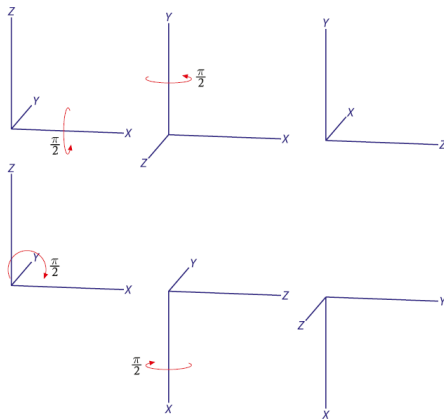


Figure: Rotation in 3D is not commutative

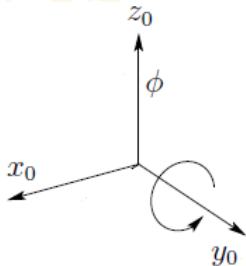


# Physical Interpretation of order of rotation [2]

- Rotation is not commutative.
- What is the interpretation of  $R_1 R_2$  versus  $R_2 R_1$ ?



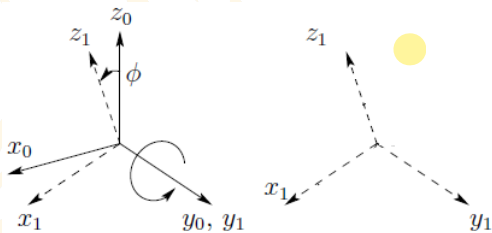
# Right multiplication is rotation about current axis.



- $R = R_y(\phi)R_z(\theta)$  corresponds to rotation about  $y$ -axis by angle  $\phi$  first followed by a rotation about  $z$  by an angle  $\theta$ .
- Imagine we have two coincident frames 0,1. We rotate frame 1 about  $y_0$  by  $\phi$  to get  ${}^0R_1$ .



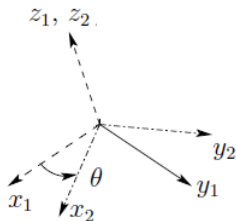
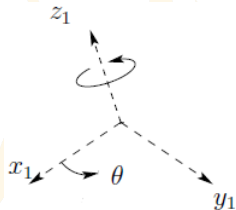
# Right multiplication is rotation about current axis.



- $R = R_y(\phi)R_z(\theta)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about z by an angle  $\theta$ .
- Imagine we have two coincident frames 0,1. We rotate frame 1 about  $y_0$  by  $\phi$  to get  ${}^0R_1$ .



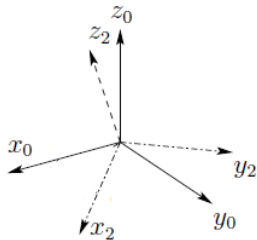
# Right multiplication is rotation about current axis.



- $R = R_y(\phi)R_z(\theta)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about z by an angle  $\theta$ .
- Another frame 2 is coincident with 1, and we rotate frame 2 about  $z_1$  by  $\theta$  to get  ${}^1R_2$ .



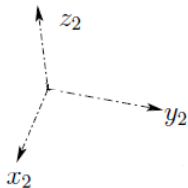
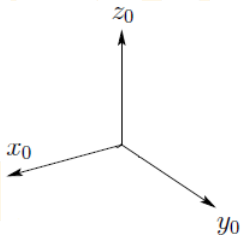
# Right multiplication is rotation about current axis.



- $R = R_y(\phi)R_z(\theta)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about z by an angle  $\theta$ .
- So, it makes sense that  $R$  represents rotation from 0 to 2 as  ${}^0R_1 {}^1R_2 = {}^0R_2$ .



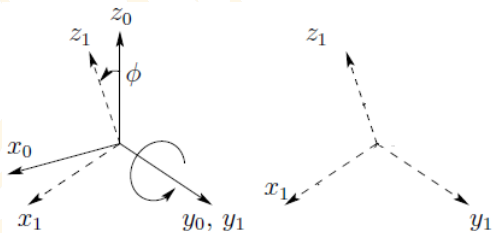
# Left multiplication is rotation about fixed axis.



- $R_z(\theta)R_y(\phi)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about original fixed frame z by an angle  $\theta$ .



# Left multiplication is rotation about fixed axis.

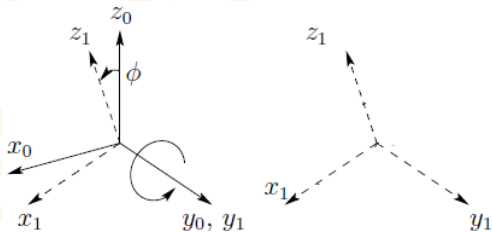


- $R_z(\theta)R_y(\phi)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about original fixed frame z by an angle  $\theta$ .
- Imagine we have two coincident frames 0,1. We rotate frame 1 about  $y_0$  by  $\phi$  to get  ${}^0R_1$ .



# Left multiplication is rotation about fixed axis.

- $R = R_z(\theta)R_y(\phi)$  corresponds to rotation about y-axis by angle  $\phi$  first followed by a rotation about original fixed frame  $z$  by an angle  $\theta$ .
- Assume  $R_z(\theta) = {}^0R_3$  is a rotation with respect to 0 frame, expressed in coordinates of 0 frame.



$$R = R_z(\theta)R_y(\phi) = {}^0R_3 {}^0R_1$$



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# Euler's Rotation Theorem

Any displacement of a rigid body in 3D space where one point of the body remains fixed is equivalent to a single rotation about some axis that runs through the fixed point.

- Euler represented every rotation as a sequence of rotations about orthogonal axes, where the angles of rotation are now called Euler Angles.
- Davenport generalized result to non-orthogonal axes. These sequences of angles are called Davenport sequences.



# Parameterization of Rotation

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- 1 Z-Y-Z Euler Angles
  - 2 X-Y-Z Fixed Angles
  - 3 Angle-Axis Representation
  - 4 Euler Parameters/ Unit Quaternions
  - 5 Exponential

# 01. Z-Y-Z Euler Angles [2]

Rotate  $\{0\}$  first about  $\hat{z}_0$  by an angle  $\phi$  to obtain  $\{a\}$ , then about current  $\hat{y}_a$  by an angle  $\theta$  to obtain  $\{b\}$ , and about current  $\hat{z}_b$  by an angle  $\psi$  to obtain  $\{1\}$ .

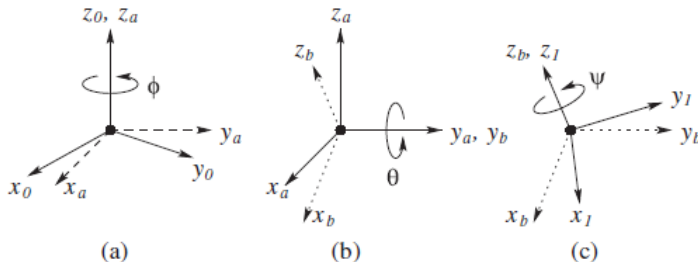


Figure: Source: Intro to Robotics, Mechanics and Control



# 01. Z-Y-Z Angles to Rotation Matrix

$${}^0_1R_{ZYZ}(\phi, \theta, \psi) = R_z(\phi) R_y(\theta) R_z(\psi)$$

$${}^0R_1 = {}^0R_a {}^aR_b {}^bR_1$$

$$= \begin{bmatrix} c\phi & -s\phi & 0 \\ s\phi & c\phi & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} c\theta & 0 & s\theta \\ 0 & 1 & 0 \\ -s\theta & 0 & c\theta \end{bmatrix} \begin{bmatrix} c\psi & -s\psi & 0 \\ s\psi & c\psi & 0 \\ 0 & 0 & 1 \end{bmatrix}$$

$$= \begin{bmatrix} c\phi c\theta c\psi - s\phi s\psi & -c\phi c\theta s\psi - s\phi c\psi & c\phi s\theta \\ s\phi c\theta c\psi + c\phi s\psi & -s\phi c\theta s\psi + c\phi c\psi & s\phi s\theta \\ -s\theta c\psi & s\theta s\psi & c\theta \end{bmatrix}$$



# 01. Rotation Matrix to Z-Y-Z Euler Angles

$$\begin{bmatrix} c\phi c\theta c\psi - s\phi s\psi & -c\phi c\theta s\psi - s\phi c\psi & c\phi s\theta \\ s\phi c\theta c\psi + c\phi s\psi & -s\phi c\theta s\psi + c\phi c\psi & s\phi s\theta \\ -s\theta c\psi & s\theta s\psi & c\theta \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix}$$

$$r_{13}^2 + r_{23}^2 = (c\phi s\theta)^2 + (s\phi s\theta)^2$$

$$\sin \theta = \pm \sqrt{r_{13}^2 + r_{23}^2}$$

$$\theta = \arctan 2(\pm \sqrt{r_{13}^2 + r_{23}^2}, r_{33})$$

$$\psi = \arctan 2(\pm r_{32}, \mp r_{31})$$

$$\phi = \arctan 2(\pm r_{23}, \pm r_{13})$$





# 01. Rotation Matrix to Z-Y-Z Euler Angles

- Singularity if  $\theta = 0^\circ$  or  $\theta = 180^\circ$
- $s\theta = 0$  and  $c\theta = \pm 1$
- $$\begin{bmatrix} c_{\phi+\psi} & -s_{\phi+\psi} & 0 \\ s_{\phi+\psi} & c_{\phi+\psi} & 0 \\ 0 & 0 & 1 \end{bmatrix} \text{ or } \begin{bmatrix} -c_{\phi-\psi} & -s_{\phi-\psi} & 0 \\ s_{\phi-\psi} & c_{\phi-\psi} & 0 \\ 0 & 0 & 1 \end{bmatrix}$$
- Can only determine  $(\phi + \psi)$  or  $(\phi - \psi)$ , and there are infinitely many solutions.

## 02. Z-Y-X Fixed Angles (Roll-Pitch-Yaw)

Rotate  $\{B\}$  first about  $\hat{X}_A$  by an angle  $\gamma$  (roll), then about  $\hat{Y}_A$  by an angle  $\beta$  (pitch), and about  $\hat{Z}_A$  by an angle  $\alpha$  (yaw).

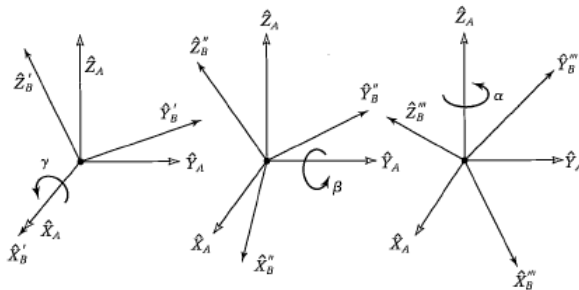


Figure: Source: Intro to Robotics, Mechanics and Control



## 02. Z-Y-X Fixed Angles to Rotation matrix

$$\begin{aligned} {}^A R_B &= {}^A_B R_{XYZ}(\gamma, \beta, \alpha) = R_Z(\alpha) R_Y(\beta) R_X(\gamma) \\ &= \begin{bmatrix} c\alpha & -s\alpha & 0 \\ s\alpha & c\alpha & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} c\beta & 0 & s\beta \\ 0 & 1 & 0 \\ -s\beta & 0 & c\beta \end{bmatrix} \begin{bmatrix} 1 & 0 & 0 \\ 0 & c\gamma & -s\gamma \\ 0 & s\gamma & c\gamma \end{bmatrix} \\ &= \begin{bmatrix} c\alpha c\beta & c\alpha s\beta s\gamma - s\alpha c\gamma & c\alpha s\beta c\gamma + s\alpha s\gamma \\ s\alpha c\beta & s\alpha s\beta s\gamma - c\alpha c\gamma & s\alpha s\beta c\gamma - c\alpha s\gamma \\ -s\beta & c\beta s\gamma & c\beta c\gamma \end{bmatrix} \end{aligned}$$



## 02. Rotation Matrix to Z-Y-X Fixed Angles

$$\begin{bmatrix} c\alpha c\beta & c\alpha s\beta s\gamma - s\alpha c\gamma & c\alpha s\beta c\gamma + s\alpha s\gamma \\ s\alpha c\beta & s\alpha s\beta s\gamma - c\alpha c\gamma & s\alpha s\beta c\gamma - c\alpha s\gamma \\ -s\beta & c\beta s\gamma & c\beta c\gamma \end{bmatrix} = \begin{bmatrix} r_{11} & r_{12} & r_{13} \\ r_{21} & r_{22} & r_{23} \\ r_{31} & r_{32} & r_{33} \end{bmatrix}$$

$$(c\alpha c\beta)^2 + (s\alpha c\beta)^2 = r_{11}^2 + r_{21}^2$$

$$\cos\beta = \sqrt{r_{11}^2 + r_{21}^2}$$

$$\beta = \arctan 2(-r_{31}, \sqrt{r_{11}^2 + r_{21}^2})$$

$$\alpha = \arctan 2\left(\frac{r_{21}}{\cos\beta}, \frac{r_{11}}{\cos\beta}\right)$$

$$\gamma = \arctan 2\left(\frac{r_{32}}{\cos\beta}, \frac{r_{33}}{\cos\beta}\right)$$



## 02. Rotation Matrix to Z-Y-X Fixed Angles

- Singularity if  $\beta = \pm 90^\circ$

- $c\beta = 0$  and  $s\beta = \pm 1$

- $\begin{bmatrix} 0 & -s(\alpha - \gamma) & c(\alpha - \gamma) \\ 0 & c(\alpha - \gamma) & s(\alpha - \gamma) \\ -1 & 0 & 0 \end{bmatrix}$  or  $\begin{bmatrix} 0 & -s(\alpha + \gamma) & -c(\alpha + \gamma) \\ 0 & c(\alpha + \gamma) & -s(\alpha + \gamma) \\ 1 & 0 & 0 \end{bmatrix}$

- Can only determine  $(\alpha + \gamma)$  or  $(\alpha - \gamma)$

## 03. Angle-Axis Representation [2]

Rotate  $\{B\}$  about  ${}^A\hat{K}$  by an angle  $\theta$  according to right-hand rule.

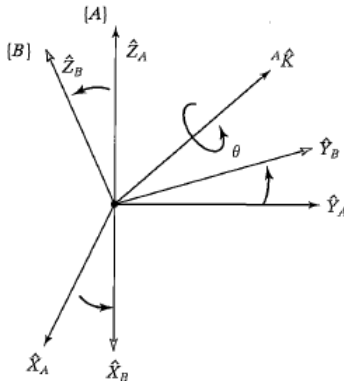


Figure: Source: Intro to Robotics, Mechanics and Control

### 03. Angle-Axis to Rotation Matrix

- Rotation  $R = R_z(\alpha) R_y(\beta)$  aligns world z-axis to k-vector.

$$\begin{aligned} R_{\hat{k}}(\theta) &= R R_z(\theta) R^{-1} \\ &= R_z(\alpha) R_y(\beta) R_z(\theta) R_y(-\beta) R_z(-\alpha) \end{aligned}$$

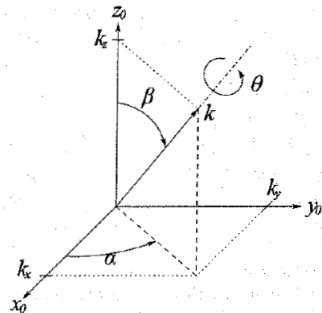


Figure 2.12: Rotation about an arbitrary axis.

Figure: Source: Robot Modeling and Control



### 03. Angle-Axis to Rotation Matrix

$$R_{\hat{k}}(\theta) = \begin{bmatrix} k_x^2 v\theta + c\theta & k_x k_y v\theta - k_z s\theta & k_x k_z v\theta + k_y s\theta \\ k_x k_y v\theta + k_z s\theta & k_y^2 v\theta + c\theta & k_y k_z v\theta - k_x s\theta \\ k_x k_z v\theta - k_y s\theta & k_y k_z v\theta + k_x s\theta & k_z^2 v\theta + c\theta \end{bmatrix}$$

where  $v\theta = 1 - c\theta$ . This can be obtained by realizing that

$$\cos \alpha = \frac{k_x}{\sqrt{k_x^2 + k_y^2}}$$

$$\sin \alpha = \frac{k_y}{\sqrt{k_x^2 + k_y^2}}$$

$$\sin \beta = \frac{\sqrt{k_x^2 + k_y^2}}{1}$$

$$\cos \beta = \frac{k_z}{1}$$





### 03. Rotation Matrix to Angle-Axis

$$\theta = \cos^{-1} \left( \frac{r_{11} + r_{22} + r_{33} - 1}{2} \right)$$

$$\hat{K} = \frac{1}{2 \sin \theta} \begin{bmatrix} r_{32} - r_{23} \\ r_{13} - r_{31} \\ r_{21} - r_{12} \end{bmatrix}$$

- Solution is not unique –  $(\hat{K}, \theta)$  or  $(-\hat{K}, -\theta)$
- $\hat{K}$  is undefined for  $\theta = 0^\circ$  or  $\theta = 180^\circ$ .



### 03. Angle-Axis Representation

- Four parameters –  $({}^A\hat{K}_x, {}^A\hat{K}_y, {}^A\hat{K}_z, \theta)$
- Unit vector has only two independent parameters because of unit length constraint.
- Angle and unit direction can be combined into three parameters –

$$(\theta {}^A\hat{K}_x, \theta {}^A\hat{K}_y, \theta {}^A\hat{K}_z)$$



# Euler Parameters/ Unit Quaternions

- If  $\hat{K} = (k_x, k_y, k_z)^T$  then

$$\epsilon_1 = k_x \sin \frac{\theta}{2}$$

$$\epsilon_2 = k_y \sin \frac{\theta}{2}$$

$$\epsilon_3 = k_z \sin \frac{\theta}{2}$$

$$\epsilon_4 = \cos \frac{\theta}{2}$$

$$\epsilon_1^2 + \epsilon_2^2 + \epsilon_3^2 + \epsilon_4^2 = 1$$

- Quaternion: Scalar + Vector – hypercomplex number

$$q = s + v_1 i + v_2 j + v_3 k$$



# Euler Parameters/ Unit Quaternions

$$R_{\epsilon} = \begin{bmatrix} 1 - 2\epsilon_2^2 - 2\epsilon_3^2 & 2(\epsilon_1\epsilon_2 - \epsilon_3\epsilon_4) & 2(\epsilon_1\epsilon_3 + \epsilon_2\epsilon_4) \\ 2(\epsilon_1\epsilon_2 + \epsilon_3\epsilon_4) & 1 - 2\epsilon_1^2 - 2\epsilon_3^2 & 2(\epsilon_2\epsilon_3 - \epsilon_1\epsilon_4) \\ 2(\epsilon_1\epsilon_3 - \epsilon_2\epsilon_4) & 2(\epsilon_2\epsilon_3 + \epsilon_1\epsilon_4) & 1 - 2\epsilon_1^2 - 2\epsilon_2^2 \end{bmatrix}$$

$$\epsilon_1 = \frac{r_{32} - r_{23}}{4\epsilon_4}$$

$$\epsilon_2 = \frac{r_{13} - r_{31}}{4\epsilon_4}$$

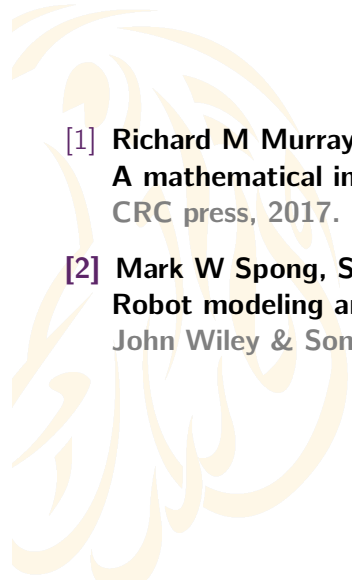
$$\epsilon_3 = \frac{r_{21} - r_{12}}{4\epsilon_4}$$

$$\epsilon_4 = \frac{1}{2} \sqrt{1 + r_{11} + r_{22} + r_{33}}$$



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- [1] Richard M Murray, Zexiang Li, and S Shankar Sastry.  
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CRC press, 2017.**
  - [2] Mark W Spong, Seth Hutchinson, and Mathukumalli Vidyasagar.  
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