

# RAG Architecture

Theme: Pipeline stages, representation, and control

## 1. Pipeline Separation & Flow Control

(*When things happen*)

- **Indexing phase vs query phase**

Conceptual role: lifecycle separation, offline vs online concerns

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## 2. Representation & Granularity

(*What units of knowledge look like*)

- **Chunking strategies (size, overlap, hierarchy)**
- **Embedding selection tradeoffs**

Conceptual role: how knowledge is *encoded* and *addressed*

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### 3. Retrieval Quality Control

*(What gets selected and refined)*

- **Retriever vs reranker**

Conceptual role: coarse recall vs fine precision

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### 4. Context Assembly & Constraints

*(What the model actually sees)*

- **Context window management**

Conceptual role: budget allocation under token constraints

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## Advanced RAG Topics

**Theme: Reasoning depth, signal fusion, and query adaptation**

### 1. Retrieval Signal Fusion

*(How signals are combined)*

- **Hybrid retrieval (BM25 + embeddings)**

Conceptual role: symbolic + semantic alignment

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### 2. Reasoning Depth & Dependency Handling

*(How many steps of retrieval are needed)*

- **Multi-hop retrieval**

Conceptual role: compositional reasoning over documents

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### 3. Query Adaptation & Reformulation

*(How queries are improved)*

- **Query rewriting**

Conceptual role: aligning user intent with retrievable representations

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## 4. Context Validation & Grounding

*(Ensuring relevance and traceability)*

- **Context filtering and citation grounding**

Conceptual role: precision enforcement and trust

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## 5. Data Modality Integration

*(What kinds of sources are accessed)*

- **Structured + unstructured retrieval**

Conceptual role: schema-aware + free-text fusion

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# Failure Modes

**Theme: Retrieval breakdowns and knowledge misalignment**

## 1. Generation–Retrieval Misalignment

*(Retrieval worked, generation failed)*

- **Hallucination despite retrieval**

Root issue: model ignores or misuses context

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## 2. Coverage Failures

*(Right answer exists, but not surfaced)*

- **Missing context**
- **Over-retrieval**

Root issue: recall vs precision imbalance

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### 3. Knowledge Freshness Failures

(*Answer is outdated*)

- **Stale knowledge**

Root issue: index update or re-embedding gaps

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### 4. Source Consistency Failures

(*Multiple truths collide*)

- **Conflicting sources**

Root issue: lack of reconciliation or ranking logic

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## Why this structure works (important)

- Each subgroup answers **one dominant question**:
  - *When?* → pipeline
  - *What form?* → representation
  - *How selected?* → retrieval control
  - *Why wrong?* → failure class
- No topic appears in more than one conceptual bucket
- This maps cleanly to:
  - **system design discussions**
  - **debugging conversations**
  - **interview explanations**
  - **course/module layouts**

If you want, next we can:

- compress this into a **one-page mental map**
- align it to **RAG evaluation metrics**
- or map each subgroup → **design knobs + tradeoffs**

Just say the word.

