# Software for GBS-based relationship calculations

Author: Ken Dodds

Address: Invermay Agricultural Centre, Puddle Alley, Private Bag 50034, Mosgiel 9053, New

Zealand

Email: ken.dodds at agresearch.co.nz

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## **Background**

R code is available for the analysis of genotyping-by-sequencing (GBS) data, primarily to construct a genomic relationship matrix ('G matrix') for the genotyped individuals. The code can be used on its own, or incorporated into other R programs. There are QC tools (primarily graphical output), relationship estimation tools, pedigree verification tools and pedigree 'mix and match' tools. The latter two operations require additional input information about the samples genotyped.

In this document, 'Individual' or 'sample' generally refers to the genotyping unit (possibly combined, if the same individual or sample is genotyped multiple times). Familial relationships are given the labels 'Father', 'Mother' and 'Offspring' (as appropriate).

The methods used are as described in Dodds *et al.* (2015). Unless specified, relatedness estimates in this documentation refer to those using the 'G5' method of that paper.

# Program structure

There are two separate analysis program files, the first (GBS-Chip-Gmatrix.R) for genotype QC and relationship matrix construction and the second (GBSPedAssign.R) for pedigree verification and/or assignment, based on the related estimates. These programs can be invoked from another program file (using the *source* command), or users can insert all or parts of these programs into their own code. For the purposes of this documentation, it is assumed the first method is used, with calling program named GBSRun.R. If either of these programs are being called multiple times in an R session, care should be taken to make sure any default values still apply for the subsequent call(s), otherwise they should be removed (rm) or set specifically.

# Calling program (GBSRun.R)

Variable / command	Type <sup>1</sup>	Description	
genofile	V	Name (including path) of the genotype file. Default value is "HapMap.hmc.txt".	
gform	V	Type of genotype file. Default is "uneak"; other options are "Tassel", "TagDigger", "ANGSDcounts" or "Chip".	
sampdepth.thresh	V	Minimum mean sample depth for retaining sample results. Default is 0.01.	
snpdepth.thresh	V	Minimum mean SNP depth for retaining SNPs. Default is 0.01.	
hirel.thresh	V	Lower threshold for reporting highly related individuals, and upper threshold for displaying positive control pairs which don't seem sufficiently related. Default is 0.9.	
triallelic.thresh	V	Upper threshold for the proportion of ignored reads for the third	

		allele – SNPs with a higher proportion are removed (as a triallelic variant). Relevant only to the ANGSDcounts input format. Default is 0.005 (0.5%).
cex.pointsize	V	Relative value of pointsize used in output graphics. This has a default value of 1.
functions.only	V	Set to TRUE to source GBS-Chip-Gmatrix.R for setting up functions (not reading data etc). Default is FALSE.
outlevel	V	Integer (1-9) determining the level of output created - higher
		numbers give more output. At present only two levels are active;
		5 to 9 give the full output while 1 to 4 gives less output. A value
		less than 8 will supress the sampled alleles setup and analysis
		(reducing time). Default is 9 (all available output)
source	С	Invoke GBS-Chip-Gmatrix.R code, to run QC procedures and
		define functions, e.g. the genomic relationship matrix function (calcG)
calcG	С	Calculate genomic relationship matrices. May be invoked several
Calco	C	times with different options.
pedfile	V	Name of file containing pedigree and/or parent group information
groupsfile	V	Name of file containing which individuals are in which parent
groupomo	·	groups
GCheck	V	The name (as a string) of the G matrix to use for parent
		verification or assignment This must be set before calling
		GBSPedAssign.R.
indsubset	V	The subset of individuals used to calculate the matrix specified in <i>GCheck</i> .
rel.thresh	V	The relatedness threshold to use for parent verification or assignment, if the corresponding parent sex-specific threshold (rel.threshF or rel.threshM) has not been set. This has a default value of 0.4.
rel.threshF	V	The relatedness threshold to use for father verification or assignment. This has a default value of rel.thresh.
rel.threshF	V	The relatedness threshold to use for mother verification or assignment. This has a default value of rel.thresh.
mindepth.mm	V	Minimum depth to be used for calculating mismatch proportions in parent matching. Default is 1 (use all results).
snpsubset	V	The subset of SNPs to be used for calculating mismatch rates or
·		for bootstrapping (usually the same set as used for calculating
		calculate the matrix specified in GCheck). Default is all SNPs.
emm.thresh	V	The excess mismatch rate threshold to use for parent assignment. This has a default value of 0.01.
emm.thresh2	V	The excess mismatch rate threshold to use for parent-pair
		assignment. This has a default value 2 x emm.thresh.
emmdiff.thresh2	V	The excess mismatch rate difference (from that for the most
		related father and most related mother) threshold to use for
		suggesting an alternate parent-pair assignment. This has a default value of 0.
inb.thresh	V	The lower threshold for the difference between parent relatedness
		and twice the estimated inbreeding to exclude a parent-pair match
		with the inbreeding check. This has a default value of 0.2.
minr4inb	V	The lower threshold on parent relatedness to exclude a parent-
		pair match with the inbreeding check. This has a default value of
haa4 4b ::- a b-	\/	NULL (no minimum).
boot.thresh	V	If the relatedness with the 2 <sup>nd</sup> best parent is within <i>boot.thresh</i> of that for the best parent, a bootstrapping procedure will be invoked to further compare these possible matches. Default value of 0.05.
	_	

depth.min	V	Minimum mean depth of SNPs to be used for boostrapping. Default value is 0.	
depth.max	V	Maximum mean depth of SNPs to be used for boostrapping. Default value is 0.	
puse	V	Allele frequencies to be used bootstrapping. Default is to use p.	
nboot	V	Number of bootstrap replicates. Default value is 1000.	
boota.thresh	V	The upper threshold on bootstrap reliability for excluding a parent match with the bootstrapping check. This has a default value of 99.	
source	С	Invoke GBS-PedAssign.R code to verify parents (if given) or assign parents (if parent groups are given)	

<sup>&</sup>lt;sup>1</sup> Type is V for a variable to be set, or C for a command to be invoked or function to be run.

## Relatedness estimation program (GBS-Chip-Gmatrix.R)

This program performs some QC diagnostics, rudimentary data cleaning and defining a function (calcG) for relatedness estimation and reporting. A number of other functions are defined, such as those for checking and report on positive controls (negative control checks, based on a specified sample naming system, are yet to be included). Any procedures or output relating to depth are not implemented for chip data. The use of depth information to construct the GRM can be modified (see depth2K section).

Samples with very low depth are dropped from the analyses. The threshold is a mean depth of sampdepth.thresh (default of 0.01, but can be set in the calling program) or with a maximum depth of one (including those with no genotype calls). Samples that are dropped are reported in the program output, as is the remaining number of samples.

SNPs with no data or with a MAF (minor allele frequency) of zero are dropped. The remaining number of SNPs is reported.

Some basic statistics are reported: Proportion of missing genotypes is the number of SNP x individual combinations with no allele calls; Mean sample depth is the average depth (number of reads of either allele) for a sample.

The default action when sourcing GBS-Chip-Gmatrix.R is to read the data file and run some QC procedures, as well as define various functions. If functions.only is set to TRUE, then only the function definition occurs. The default action can then be mimicked using the pair of commands: readGBS()

GBSsummary()

These functions are not yet described in the documentation. Additional processing can be inserted between these statements, for example to manually remove samples or SNPs. The following objects need to be maintained correctly, before *GBSsummary* is run: *nsnps*, *SNP\_Names*, *seqID*, *nind*, *alleles*. If *GBSsummary* has been run once, it could be re-run, e.g. after merging results from the same individual. In that case (detected by the presence of *depth*), processing that uses *alleles* (which is not recalculated in *mergeSamples*) is omitted. This is mainly to obtain *genon* and *depth* which will be assumed to be present and correct, but it should be noted that *p* is not recalculated. *p* should remain unchanged when samples are merged, but could change, for example if the *sampdepth.thresh* is changed between calls to *GBSsummary*.

#### Output - files

SampleStats.csv contains call rates for each sample, along with mean sample depths (for GBS data).

AlleleFreq.png is a plot of allele frequencies calculated using different methods (and as given, if the uneak format is used).

CallRate.png shows a histogram of sample call rates (proportion of SNPs with a result for a sample).

SampDepth.png plots mean sample depth against median sample depth.

SampDepth-scored.png plots mean sample depth, over SNPs that are scored for the individual, against mean sample depth over all SNPs for the individual.

SampDepthHist.png is a histogram of mean sample depths

SampDepthCR.png plots mean sample depth against call rate.

*SNPDepthHist.png* is a histogram of SNP depths (number of reads of either allele averaged over samples)

SNPCallRate.png is a histogram of SNP call rates (proportion of samples with a result for a SNP)

*SNPDepth.png* plots SNP depth against mean SNP depth (on a log scale). This may reveal SNPs that are called infrequently, but when they are called have good depth (these SNPs may be near the boundary of a size selection step in the laboratory).

*finplot.png* plots Hardy-Weinberg disequilibrium (HWD) against MAF, shaded by the SNP depth. HWD is the proportion of (reference allele) homozygotes minus the expected proportion (under Hardy-Weinberg equilibrium). HWD is the same whichever allele is used in the calculation. The 'fin plot' may reveal sets of SNPs that do not follow Mendelian inheritance, for example apparent SNPs in duplicated regions.

HWdisMAFsig.png is similar to the fin pot, but with shading by the likelihood ratio test statistic for HWD.

*LRT-QQ.png* is a QQ plot for the likelihood ratio test statistic for HWD.

LRT-hist.png is a histogram of the likelihood ratio test statistic for HWD.

MAF.png is a histogram of the MAFs for each SNP (based on observed genotypes).

#### Variables defined

#### These include:

Variable	Description	
nind	Number of samples analysed (after initial QC)	
nsnps	Number of SNPs analysed (after initial QC)	
seqID	Identifiers for each sample	
SNP_Name	Identifiers for each SNP	
chrom	chromosome label (character), if gform is Tassel	
pos	chromosome position (numeric), if gform is Tassel	
alleles	matrix (nind x 2*nsnps) of read counts. The results for each SNP are in	
	consecutive coloumns.	
genon		
	alternate allele), 1 (heterozygous), 2 (homozygous reference allele), NA	
	for missing	
depth.orig	matrix (nind x nsnps) of counts for each sample and SNP	
sampdepth	sampdepth mean depth for each sample	
snpdepth	pdepth mean depth for each SNP	
p	allele frequencies on the basis of allele counts	
pg	allele frequencies on the basis of genotype calls	

#### Function to read TagDigger format files (readTD)

This function is for reading TagDigger files. It can be used by the main program (if functions.only is FALSE, the default), but can be used to read additional files (e.g. to compare results in two different files). The variables *nsnps*, *seqID*, *nind*, and *alleles* are defined. The ability to generate the other variables required for further analysis is planned. See the section on the TagDigger format for more information.

Arguments:

genofilefn the name of the file to read. Defaults to *genofile*.

Value: NULL

#### Function to remove samples from objects (samp.remove)

This function removes samples from the relevant objects (*alleles, depth, sampdepth, seqID nind*). It would normally be used between calls to *readGBS* and *GBSsummary*.

Arguments:

samppos the positions of the samples to remove. Defaults to NULL.

keep If TRUE, the samples with positions samppos will be kept and other

samples removed. Default value is FALSE

#### Function to remove SNPs from objects (snp.remove)

This function removes samples from the relevant objects (*p, nsnps, SNP\_Names, alleles, depth,* and some others). It would normally be used between calls to *readGBS* and *GBSsummary*. Arguments:

snppos the positions of the SNPs to remove. Defaults to NULL.

keep If TRUE, the SNPs with positions snppos will be kept and other SNPs

removed. Default value is FALSE

#### Depth functions (depth2K, depth2Kbb, depth2Kmodp, depth2Kchoose)

The GBS-Chip-Gmatrix.R program defines a default function for calculating "K values", as well as alternate functions (using alternate allele sampling models) and a function to reset the default to one of the alternatives. These functions are relevant for used both self-relatedness estimation and pedigree assignment diagnostics. If a different depth model is required for calculating the self-relatedness, this *depth2K* function should be re-defined before using the *calcG* function (defined below). K is the probability of observing an AA genotype, given that the true genotype is AB and the read depth is k. These models will be discussed in more detail elsewhere. The function is used within *calcG* for calculating the self-relatedness for G5, and in the pedigree assignment program, for calculating expected mismatch rates.

A function depth2K is defined. This function takes a vector of read depths and returns the corresponding set of K values. Initially the function is defined using a binomial sampling model (the number of A alleles is binomial with probability parameter 0.5 and sample size the read depth).

depth2Kbb is an alternate depth function which uses a beta-binomial model. This model has two parameters,  $\alpha$  and  $\beta$ , but here these are set to be equal, so that P(AA|AB, k=1) = 0.5.

<u>Usage</u>: *depth2Kbb* (depthvals, alph=Inf)

Arguments:

depthvals a vector of read depths

alph the value of  $\alpha$  (and also  $\beta$ ) – the default is to use Inf, in which case the

binomial model is used.

depth2Kmodp is an alternate depth function which uses a modified p value for  $2^{nd}$  and subsequent reads. The modified p can be thought of as the probability of seeing the same allele as in the previous read (for that SNP) for a true AB genotype, although because we are only

interesting in the probability of all reads being the same allele, it is also the probability of seeing the same allele as *all* previous reads (for a true AB genotype).

<u>Usage</u>: *depth2Kmodp* (depthvals, modp=0.5)

Arguments:

depthvals a vector of read depths

modp the modified probability - the default is 0.5, which gives the binomial

model. Normally a value ≥ 0.5 would be used to reflect an increased

chance of seeing the same allele as in the previous read.

depth2Kchoose is function to re-define depth2K to one of the alternative models.

Usage: depth2K <- depth2Kchoose (dmodel="bb", param)

Arguments:

dmodel the model to use, either "modp" (to use depth2Kmodp), or "bb" to use

depth2Kbb - the default is "bb" (also used if any other string is used)

param the parameter to use for the alternative function, used for alph for the bb

model, and modp for the modp model.

#### Function for reporting on positive controls (posCreport)

A function, *posCreport*, for reporting on samples which are supposedly from the same individual. These will normally be one or more positive controls, but may also be repeat runs.

<u>Usage</u> posCreport(mergeIDs,Guse,indsubset,Gindsubset)

Arguments:

mergelDs a vector of identifiers, ordered as in Guse, where samples from the same

individuals are given the same identifier

Guse the G matrix for comparing samples

sfx text to be included in output file names to allow output from multiple calls

or runs to be identified

indsubset a vector of integers (between 1 and *nind*, inclusive) of individuals in

mergeIDs to be compared. The default is to use all individuals.

Gindsubset a vector of integers (between 1 and *nind*, inclusive) of the individuals in

Guse (normally the same as used for indsubset when calling calcG to

obtain Guse)

<u>Value</u>: a data frame containing columns mergeID (the ID given in *mergeIDs*), nresults (the number of runs with this ID), selfrel (the average self-relatedness), meanrel (the mean relatedness between all pairs with the given value of mergeID), minrel (the minimum relatedness between all pairs with the given value of mergeID), meandepth (mean of *sampdepth*), mindepth (minimum *sampdepth*), meanCR (mean call rate). Only values of mergeID with nresults >1 are included.

<u>Details</u>: The function displays pairs of results where the estimated relatedness is less than 1 and below the selfrel by at least 1- *hirel.thresh*, and outputs the files:

posCchecks<sfx>.txt a copy of the results displayed on the default output (i.e. low relatedness

pairs)

posCreport<sfx>.csv contains the data frame that was returned by the function

SelfRel<sfx>.png a plot of meanrel against selfrel. The line of identity is shown in red.

#### Function for merging results for the same individual (mergeSamples)

A function, *mergeSamples*, for merging samples from the same individual.

<u>Usage</u> mergeSamples (mergeIDs)

Arguments:

mergeIDs a vector of identifiers, ordered as in Guse, such that samples that have

the same identifier are to be merged

indsubset a vector of integers (between 1 and nind, inclusive) of individuals to be

retained for merging

<u>Value</u>: a list of the following objects:

mergeIDs a vector of identifiers, as per the input, but ordered as in the other output

objects (and with unique values)

nind the length of mergelDs

seqID normally one of the seqIDs that correspond to the mergeIDs. If the seqIDs

can be broken into five parts, using an underscore (\_) as a separator, then the second part will be replaced by "merged", the third part by the

number of results merged and the fourth part by "0"

genon genotype (0/1/2) matrix after merging

depth.orig depth matrix after merging

sampdepth sample mean read depths after merging snpdepth SNP mean read depths after merging

pg allele frequencies based on genotype calls, after merging number of results merged (1, if not merged) for each individual.

Normally these objects would be used to replace their corresponding values before the merge, but this is not done automatically (it is up to the user). Note that some objects are not merged (e.g. the allele depth matrix, *alleles*) and that the diagnostics produced when sourcing GBS-Chip-Gmatrix.R are not re-done by this function.

#### Allele frequency function (calcp)

A function, *calcp*, for calculating allele frequencies (for all SNPs), is defined.

Usage: calcp(indsubset, pmethod="A")

Arguments:

indsubset a vector of integers (between 1 and *nind*, inclusive) of the individuals for

which are to be used for allele frequency estimation. The default is to use

all individuals.

pmethod a method for calculating the frequencies, being one of "A" (calculate on

the basis of allele counts - the default method) or "G" (calculate on the

basis of genotype calls)

Value: a vector of allele frequencies

Warning when using this after *mergeSamples*: pmethod A uses the object *alleles*, which is not recreated during the merge, so indsubset refers to sample positions prior to the merge. pmethod G uses *genon* whose positions are those following the merge.

#### Genomic relatedness function (calcG)

A function, calcG, for calculating the genomic relatedness, is defined.

<u>Usage</u>: calcG(snpsubset, sfx="", puse, indsubset, depth.min=0, depth.max=Inf, npc=0, calclevel=9, cocall.thresh=0, mdsplot=FALSE, withPlotly=FALSE,

plotly.group=NULL, plotly.group2=NULL, samp.info=NULL)

Arguments:

snpsubset a vector of integers (between 1 and *nsnps*, inclusive) of the SNPs to use

in the calculation. The default is to use all SNPs.

sfx A suffix to use in output file names to identify which function call has

produced that output.

puse a vector of (reference) allele frequencies to use in the calculations. The

default is to use allele frequencies calculated on the basis of allele counts. The values (for the snps in *indsubset*) should be greater than 0 and less than 1. This is for the full set of snps (it is subsetted using *indsubset*).

indsubset a vector of integers (between 1 and *nind*, inclusive) of the individuals for

which relatedness matrices will be calculated. The default is to calculate

for all individuals.

depth.min

The minimum depth for a SNP result for an individual to be used.

The maximum depth for a SNP result for an individual to be used.

npc The number of principal components of the 'G5' relatedness matrix to

display. If  $npc \le 0$ , then the heatmap plot is omitted, but otherwise |npc| is used for npc. If npc = 0 (the default) the principal component analysis is

omitted.

calclevel specifies the amount of calculation and output produced: 1 gives G5 (see

below) and intermediate results only, 2 gives G5 and reports using G5, 3 gives all types of G available and 9 gives these and all reporting available.

cocall.thresh Samples may be removed so that co-call rates (the proportion of SNPs

with a call in both of a pair of samples) for heatmap and PCA analyses are above this value. Firstly, if *cocall.thresh* ≥0, samples with a maximum

SNP depth of 1 are removed. The further samples are removed successively, with the sample appearing the most often in pairs not meeting the criterion removed at each step, until all pairs meet the criterion. The removal of these samples under the default threshold allows the heatmap and PCA analyse to be performed (no NAs in the

relationship matrix used).

mdsplot if TRUE and the conditions for plotting the principal components is met, a

two dimensional multidimensional scaling plot is also plotted. The default

is FALSE.

withPlotly If TRUE, then plotly graphs are produced, else if FALSE the standard

plots are produced The default is FALSE.

plotly.group A character vector of length equal to the number of individuals (in

indsubset). Gives grouping on the plotly graphs in terms of different

coloured points. The default is NULL (no colouring).

plotly.group2 A character vector of length equal to the number of individuals (in

indsubset).. Gives grouping on the plotly in terms of different points. The

default is NULL (no grouping with symbols).

samp.info A list where each element is a character vector of length equal to the

number of individuals (in *indsubset*). Used to provide "hover" information for plotly graphs. The default is NULL, in which case the seqID is used.

<u>Value</u>: a list of relatedness structures: G1, G4d (diagonal elements of G4), G5, samp.removed (positions of samples removed to ensure the cocall.thresh criterion) and PC, the output of the principal components analysis (if |npc|>0). The Gn relatedness matrices are described in Dodds et al. (2015), except that a range of allele sampling models can be incorporated for the diagonal of G5 – see the depth2K section below.

<u>Details</u>: The function also produces a set of output files, as detailed below. If *withPlotly* is TRUE and both the plotly and heatmaply packages are available, interactive plotly plots are produced for some of the plots.

Co-call-<sfx>.png is a histogram of co-call rates (the proportion of SNPs with a call in both of a pair of samples) for all sample pairs.

MAF<sfx>.png is a histogram of the MAFs for the subset of SNPs used (if not all SNPs).

*HighRelatedness*<*sfx*>*.csv* contains pairs of samples, their G5 relatedness (G5rel) and self-relatednesses (SelfRel1 and SelfRel2), where the relatedness is > *hirel.thresh* (default value of *hirel.thresh* is 0.9).

*Heatmap-G5*<*sfx>.png* is a heatmap plot using G5 relatedness. This is not produced if *npc*≤0. If *fcolo* for the relevant individuals has more than one colour, colour bars are added to the plot.

HeatmapOrder<sfx>.csv contains a list of the samples in the order they are plotted on the heatmap. rowlnd is the index values (written on the heatmap plot), seqIDInd is the position of the individual in seqID.csv; seqID is also included. For "standard" cases, where all seqID samples are included, the values of rowlnd and seqIDind will be the same.

Heatmap-G5<sfx>.html is a plotly version of Heatmap-G5<sfx>.png. plotly.group and plotly.group2 are not used.

Gcompare<sfx>.png is a plot comparing relatedness estimates for G1, G3 and G5.

*G*<*sfx*>-*diag.png* is a plot of diagonal elements (self-relatedness estimates) of G4 against those of G5 (illustrating the effect of correcting for depth).

G<sfx>-diag.html is a plotly plot of diagonal elements of G4 against those of G5. Produced if withPlotly is TRUE.

*G*<*sfx*>*diagdepth.png* is a plot of diagonal elements of G5 against the logged sample depth. We do not expect there to be a relationship between these variables (unless planned) so this serves as a diagnostic for e.g. non-Mendelian SNPs and/or the assumption of random sampling of alleles during sequencing.

G<sfx>diagdepth.html is a plotlly version of G<sfx>diagdepth.png.

*PC1v2G5*<*sfx>.png* (if *npc*>0) is a plot of 2<sup>nd</sup> versus the 1<sup>st</sup> principal components. Points are plotted with open (if 100 or more samples) or closed circles. If only one component was requested, a histogram of the 1<sup>st</sup> component is produced.

PC1v2G5<sfx>.html is the plotly version of PC1v2G5<sfx>.png.

PCG5<sfx>.pdf (if npc>2) is a scatterplot matrix of the first npc principal components.

*MDS1v2G5*<*sfx>.png* (if *npc*>0) is a plot of 2<sup>nd</sup> versus the 1<sup>st</sup> principal components. If only one component was requested, a histogram of the 1<sup>st</sup> component is produced.

MDS1v2G5<sfx>.html is the plotly version of MDS1v2G5<sfx>.png.

There is a vector *fcolo* (length *nind*) of colours to be used for the individuals in these plots. It defaults to all black, but can be reset after sourcing the program and before calling *calcG*.

#### Output genomic relationship matrix (writeG)

outtype

A function, *writeG*, for saving genomic relationship matrices, is defined. <u>Usage</u>: writeG (Guse, outname, outtype=0, indsubset, IDuse, metadf=NULL) Arguments:

Guse the G matrix of relationships to output, should be a square matrix, or a list containing an element G5 (for *outtype*s 1 to 5) and/or PC (for *outtype* 6) outname text used in the naming of the output file(s)

constant or vector containing the type(s) of output required. If *outtype* contains any of the following values, the corresponding output is produced:

1 an R datasets file containing the G matrix and corresponding seqID

a .csv file containing the G matrix with row and column headings a .csv file containing the G matrix in "long" format, i.e. one row for every (unique) relationship pair including selfs; columns are IDs of first and second individual, followed by the relatedness value a .csv file containing inbreeding for each individual; first column contains IDs, second column contains inbreeding estimates

two tab delimited files (.tsv) for input into the t-SNE interactive browser at <a href="http://projector.tensorflow.org/">http://projector.tensorflow.org/</a> (allows exploration of dimension-reduced data from the PCA or t-SNE methods). a .csv file containing the principal components (requires *Guse* to be a list with element PC)

indsubset a vector of integers (between 1 and *nind*, inclusive) of the individuals in the G matrix. The default assumes all individuals.

IDuse a vector of IDs to use n the output, corresponding to the order in Guse,

the default is to use values of seqID as the identifiers (in which case

seqID must exist)

metadf a data frame with the same number of rows as the G matrix, containing

sample information to pass to the t-SNE browser.

Details: One or more files are written to the default directory, according to *outtype*:

<outname>.RData
an R data file containing the G matrix and corresponding IDuse values, produced when outtype contains a 1. The G matrix is named based on the object specified in Guse, removing text up to \$ and from [, if either of these are present. As an example using writeG(Gfull\$G5[1:100,1:100],outtype=1) will result in the G matrix being named G5.

<outname>.csv
a csv file containing the G matrix, produced when outtype contains a 2.
The first column is labelled with the name of the object passed to IDuse and contains the values of IDuse. The other columns are labelled with the values of IDuse.

<outname>-long.csv a csv file containing the unique relatedness values, one row for every pair of individuals (including selfs), produced when outtype contains a 3. The columns are labelled ID1, ID2 and rel. IDuse is used for the ID values.

<outname>-Inbreeding.csv a csv file containing inbreeding values (self-relatedness minus 1), produced when outtype contains a 4. The first column is labelled with the name of the object passed to IDuse and the second column as Inbreeding. IDuse is used for the ID values.

<outname>-pca\_vectors.tsv a tsv file containing the G matrix in a format suitable for the t-SNE browser, produced when outtype contains a 5.

<outname>-pca\_metadata.tsv a tsv file containing sample information (from metadf, or IDuse if metadf is NULL) in a format suitable for the t-SNE browser, produced when outtype contains a 5.

<outname>-PC.csv a csv file containing principal components, produced when outtype contains a 6 and Guse is a list containing PC (Guse is assumed to be the output from calcG). The first columns are from metadf, if given, or the object passed to IDuse. Subsequent columns are the principal components, labelled PC1, PC2 etc.

#### Relatedness comparison function (GCompare)

This is a function to help make comparisons between different estimates of relatedness on the same set (or overlapping subsets) of individuals. These different estimates may come from different genotyping technologies (e.g. SNP chip vs GBS), different protocols (e.g. GBS with different restriction enzymes, different levels of multiplexing samples, different SNP callers) or using different SNP filters.

The program inputs a set of (genomic) relationship matrices (GRMs) and a corresponding set of individual IDs. The output is a set of scatterplots (possibly as scatterplot matrices) and corresponding regression output. The relatedness estimates between each pair of (different) individuals for each pair of GRMs are compared, as are those for the self-relatedness estimates for each individual. For any pair of GRM, all individuals common to the GRM are used. There needs to be at least two such individuals for the code to work.

Additionally, if the *MethComp* (Carstensen, 2015) R package is installed, there can be corresponding sets of plots using this package, with scatterplots of relatedness estimates below the diagonal, and 'Bland-Altman' (BA) plots (Altman and Bland, 1983) above the diagonal. The Bland-Altman plots have the differences on the vertical and the means on the horizontal access, for the two relatedness estimates. These plots take a lot more CPU time than the regression plots.

<u>Usage</u>: GCompare (Glist,IDlist,Gnames = paste0("G.",1:length(Glist)), plotname = "", whichplot="both", doBA=FALSE, ...)

Arguments:

Glist a list of G matrices

IDlist a list of ID variables, paired to the G matrices and in the same order as

the data in the corresponding G matrix

Gnames a set of labels to use for the G matrices (defaults to G1, G2, ...)

plotname text to use in the naming of output files

whichplot variable to choose which plot types are produced, can be one of:

"diag": compare diagonals (self-relatedness)

"off": compare off-diagonals (relatedness between individuals)
"both": compare both diagonals and off-diagonals. This is the default.

doBA Additionally produce Bland-Altman plots. The default is FALSE.

... Arguments to be passed to the plotting functions (e.g. col= for coloring).

These need to be relevant to the plot types being produced (e.g. if a

vector of colours, then it should not be used with whichplot="both").

<u>Details</u>: One or more plots are produced, depending on the options used. A set of ignorable warnings is issued.

Gcompare- <plotname>-diag.png a plot of the diagonal comparison(s). If more than 2 G matrices, this will be a scatterplot matrix with regression results in the upper matrix panels.

Gcompare- <plotname>-offdiag.png a plot of the diagonal comparison(s). If more than 2 G matrices, this will be a scatterplot matrix with regression results in the upper matrix panels.

GcompareBA- <plotname>-diag.png a scatterplot matrix BA plot of the diagonal comparison(s). The regression plots are in the lower diagonal and the BA plots in the upper diagonal. A grey line indicates equality (y=x for lower plots, y=0 for upper plots). The BA plots have 3 additional horizontal lines being the mean & mean ± 1.96sd ('95% limits of agreement).

GcompareBA- <plotname>-offdiag.png a scatterplot matrix BA plot of the off-diagonal comparison(s). See description of the BA plot for the diagonals for more details.

## Pedigree program (GBSPedAssign.R)

This program uses a relatedness matrix to verify given pedigrees and/or to find the best matching parents from groups of potential parents. Both these tasks require a pedigree file (with name given in *pedfile*). For parent matching a groups file (with name given in *groupsfile*) is also required. See below for the formats for these files. Father (Mother) verification is undertaken if the pedigree file contains a FatherID (MotherID) variable. Father (Mother) matching is undertaken if a groups file is given and the pedigree file contains a FatherGroup (MotherGroup) variable. The Group fields are read as text fields; if they are not present this causes a warning which can be ignored.

For parent matching, mismatch statistics are calculate for reporting and using, in addition to relatedness values, for assigning parentage. The 'raw' mismatch rate is the proportion of apparent (i.e. using observed genotypes) mismatches (i.e., genotypes inconsistent with parentage). 'Excess' rates are the differences between raw rates and rates that are expected given the genotype uncertainty due to the GBS process (manuscript in prep). A number of variables (see below) control how the mismatch rates are calculated and used. Mismatch rates are calculated for offspring-parent pairs and for offspring-parent trios (if matching to both parents). If both parents are being matched, the apparent parent-pair mismatch rates (offspring and parent genotypes incompatible) are given for each combination of the best two matching parents.

Before calling the program, the variable GCheck must be set to the name (as a string) of the G matrix to use. If this is for a subset of individuals, indsubset must be set to the indices of those individuals (as used in calcG). In addition, rel.thresh (and/or rel.threshF and/or rel.threshM for fathers and mothers, respectively) may be set to override the default relatedness value of 0.4 for declaring a parentage verification (or to allow parent assignment). A number of other variables control calculated results and reporting for parent matching. mindepth.mm may be set to override the default minimum depth (1) for a SNP for the individuals being compared when calculating (excess) mismatch rates for parentage matching. The default value is recommended for calculating excess rates, but raw rates are likely to be more useful when using a higher threshold. snpsubset may be set to indices of SNPs to be considered for use in calculating mismatch rates and for bootstrapping (see below, this will usually be the same subset as used for calculating the G matrix being used). The excess mismatch rate thresholds for declaring parentage are set by emm.thresh (parent-offspring pair; default value of 0.01) and emm.thresh2 (parent-offspring trio; default value of twice emm.thresh). An alternative parentage is suggested when a possible pair (mother and father) have an excess mismatch rate that is lower than that for the best (i.e., most highly related) father and best mother by more than emmdiff.thresh2 (default value of 0).

For parent pair matching, the estimated relatedness between the parent pairs (all four combinations of best and 2<sup>nd</sup> best matching fathers and mothers) are calculated. The relatedness for the best matching pair of parents is compared with the estimated inbreeding for the individual. High values of parent relatedness (compared with the inbreeding of the individual) may indicate that one of the parents has been incorrectly assigned to a relative of the other parent. A parent-pair match will be excluded as a match if the parent relatedness exceeds offspring inbreeding by at least *inb.thresh* (default value 0.2).

A bootstrapping procedure is available to provide a metric on the closeness of parent-offspring match compared to that with the 2<sup>nd</sup> best parent. The procedure resamples SNPs (with replacement), recalculates the relatedness values (for the offspring and each of the two best parents) and reports the percentage of times that the best parent is still the better of the two among the bootstrap replicates. As bootstrapping is quite time-consuming, it is invoked only when there are 2 possible parents with similar (within *boot.thresh*) parent-offspring relatedness values, and if the best parent exceeds the relatedness and excess mismatch thresholds. The number of bootstrap replicates is set by *nboot* (default value 1000). Three other variables (*depth.min, depth.max, puse*) mirror those used in calcG to allow the bootstrapping to calculate relatedness in the same way as was used for the G matrix being used in parentage assignment. These variables should be set to the same values as those used for calculating the G matrix. An assignment is flagged (see below) if the best parent is the better one in the bootstrap samples in less than *boota.thresh* percent (default value 99) of the replicates.

The output files contain variables to indicate whether the parentage should be accepted. These variables are called *FatherAssign* and *MotherAssign* for single parent matching of fathers and mothers, respectively. The codes used as values for these variables are:

Assign code	Description	
N	Relatedness estimate for best matching parent is below <i>rel.threshF</i> or <i>rel.threshM</i> (for fathers and mothers, respectively).	
E	Excess mismatch rate for best matching parent exceeds <i>emm.thresh</i> .	
A	Alternate assignment: the 2 <sup>nd</sup> best parent appears acceptable. This parent has relatedness exceeding <i>rel.threshF</i> or <i>rel.threshM</i> (for fathers and mothers, respectively) and excess mismatch rate that is lower than <i>emm.thresh</i> when the best parent had excess mismatch rate exceeding this threshold.	
В	Best matching parent is the better one in less than <i>boota.thresh</i> % of the bootstrap replicates.	
Υ	Best matching parent passes all assignment criteria	

The variable for indicating whether a parent-pair match should be accepted is *BothAssign* and takes values as shown:

Assign code	Description
N	Relatedness estimate for best matching parent is below <i>rel.threshF</i> or <i>rel.threshM</i> (for fathers and mothers, respectively).
М	Mother assigned, father not assigned.
F	Father assigned, mother not assigned.
E	Excess mismatch rate for best matching parent-pair exceeds <i>emm.thresh2</i> , except when one parent assigned and the other has an E code, then the parent assignment is made.
Α	An alternate parent-pair appears acceptable. This pair has excess mismatch rate less than <i>emm.thresh2</i> and lower than that for the best parent-pair by more than <i>emmdiff.thresh2</i> . If the alternate pair also passes the other checks, the pair is indicated by the value of <i>Alternate</i> , e.g. a value of F1M2 indicates that the alternate pair is the best father and 2 <sup>nd</sup> best mother.
В	At least one of the parents has a B code. (It may still be possible to assign the other parent).
I	The best parent-pair relatedness exceeds twice the offspring inbreeding by at least <i>inb.thresh</i> , and is above <i>minr4inb</i> (if that threshold has been set). An alternate pair may be indicated by the value of <i>Alternate</i> , similarly to the A code offspring.
Υ	Best matching parent passes all assignment criteria

Where more than one of the assign codes is possible, the one that ranks the highest (in the order given in the above tables) is used.

This program outputs summary statistics and a number of files. The %s of verified fathers and mothers are given, as well as the mean relatedness estimates for matching and non-matching fathers and mothers. The files, where relevant, are as follows:

*PedVerify.csv* returns the pedigree file with additional columns, as shown below:

Variable name	Description
FatherRel	Relatedness estimate between individual and it's specified father
FatherMatch	TRUE if FatherRel > rel.threshF
MotherRel	Relatedness estimate between individual and it's specified mother
MotherMatch	TRUE if MotherRel > rel.threshM

FatherVerify.png is a scatterplot matrix showing FatherRel (see above), the position of the individual in the pedigree file and the position of the recorded father in the pedigree file. This is useful for seeing the distribution of relatedness values, and possibly for detecting sample tracking issues (if the order in the pedigree file relates to the order samples are processed at a particular stage).

*MotherVerify.png* is a scatterplot matrix like FatherVerify.png but for mother verification.

FatherMatches.csv shows the results of the father matching. It returns the first two columns of the pedigree file with additional columns, as shown below:

Variable name	Description		
BestFatherMatch	IndivID of the father from the FatherGroup having the highest		
	estimated relatedness to the individual		
FatherMatch2nd	IndivID of the father from the <i>FatherGroup</i> having the 2 <sup>nd</sup> highest estimated relatedness to the individual		

Fatherrel	The estimated relatedness for BestFatherMatch		
Fatherrel2nd	The estimated relatedness for FatherMatch2nd		
Father12rel	The estimated relatedness between BestFatherMatch and		
	FatherMatch2nd.		
mmrateFather	The (raw) mismatch rate for BestFatherMatch		
mmnumFather	The number of snps used to calculate mmrateFather		
exp.mmrateFather	The expected mismatch rate for BestFatherMatch		
mmrateFather2	The (raw) mismatch rate for FatherMatch2nd		
exp.mmrateFather2	The expected mismatch rate for FatherMatch2nd		
Fathersd	The bootstrap sd of Fatherrel values (for bootstrapped cases, the		
	variable is present only if there are bootstrapped caess)		
FatherReliability	The % of bootstrap results where Fatherrel > Fatherrel2nds (for		
	bootstrapped cases, the variable is present only if there are		
	bootstrapped caess)		
FatherAssign	The code for father assignment.		

*MotherMatches.csv* shows the results of the mother matching (with columns as for FatherMatches.csv but for mothers instead of fathers).

BothMatches.csv shows the results of both father and mother matching (for individuals with both FatherGroup and MotherGroup). It contains the columns of FatherMatches.csv and MotherMatches.csv with additional columns, as shown below:

Variable name	Description
mmrateF <fatherrank>M<motherrank></motherrank></fatherrank>	The (raw) mismatch rate for possible parent matches, where <fatherrank> is 1 to indicate BestFatherMatch and 2 to indicate FatherMatch2nd, and similarly for <motherrank>.</motherrank></fatherrank>
mmnumF <fatherrank>M<motherrank></motherrank></fatherrank>	The number of SNPs used to calculate mmrateF <fatherrank>M<motherrank></motherrank></fatherrank>
exp.mmrateF <fatherrank>M<motherrank></motherrank></fatherrank>	The expected mismatch rate corresponding to mmrateF <fatherrank>M<motherrank></motherrank></fatherrank>
relF <fatherrank>M<motherrank></motherrank></fatherrank>	The estimated relatedness between the pair of possible parents
Inb	The estimated inbreeding of the offspring
BothAssign	The code for the parent-pair assignment
Alternate	An alternative (to F1M1) parent pair

*GroupsParentCounts.csv* returns the groups file with additional columns, as shown below:

Variable name	Description
FatherFreq	Number of offspring where this father is the BestFatherMatch in this group
MotherFreq	Number of offspring where this mother is the BestMotherMatch in this group

BestFatherMatches.png is a plot of the raw mismatch rate for BestFatherMatch against the estimated relatedness (Fatherrel). Points are coloured using fcolo and a grey vertical line indicates the value of rel.thresh used.

BestFatherMatchesE.png is the same BestFatherMatches.png except that the excess mismatch rate is plotted. A grey horizontal line indicates the value of *emm.thresh* used.

Best2FatherMatches.png is a plot of the estimated relatedness for FatherMatch2nd (Fatherrel2nd) against that for BestFatherMatch (Fatherrel). Points are coloured using a scale based on the excess mismatch rate (mmrateFather- exp.mmrateFather) for father-offspring and the line of equality is drawn (by definition all points fall below the line). Vertical and horizontal

grey lines indicate the value of *rel.threshF* or *rel.threshM* (for fathers and mothers, respectively) used.

*ExpMM-Father.png* is a plot of the raw mismatch rate against the expected mismatch rate for *BestFatherMatch*. A red line shows where these are equal and a grey line shows the boundary for an E assign code. Points are coloured using *fcolo* and the symbols indicate *FatherAssign*.

BestMotherMatches.png, BestMotherMatchesE.png, Best2MotherMatches.png and ExpMM-Mother.png are the corresponding plots to BestFatherMatches.png, BestFatherMatchesE.png and Best2FatherMatches.png and ExpMM-Father.png, respectively, for mothers.

*ParRel-Inb.png* is a plot of estimated parent-pair relatedness against offspring estimated inbreeding. Points are coloured according to the mean depth in the offspring (as depth is more critical for inbreeding than relatedness estimation), and with a symbol corresponding to *BothAssign* (see ExpMM-Both.png for a key).

*MMrateBoth.png* is a scatterplot matrix plot of the four combinations of parent-pair raw mismatch rates that were saved in BothMatches.csv. Points are coloured using *fcolo* and the lines of equality are drawn (in red).

*MMrateBothE.png* is a scatterplot matrix plot of the four combinations of parent-pair excess mismatch rates. Points are coloured using *fcolo*, the lines of equality are drawn (in red), a grey line shows the boundary for an E assign code and the symbols for the points denote *BothAssign*. The key for the symbols can be found in ExpMM-Both.png.

*ExpMM-BothE.png* is a plot of raw versus expected parent-pair mismatch rates. Points are coloured using *fcolo* and the symbols for the points denote *BothAssign*.

# Input formats

The genotype input format is set with *gform*, one of "uneak" (the default), "Tassel", "TagDigger" or "Chip".

#### **GBS via UNEAK**

The default input format ('uneak') is a 'hapmap count' formatted file as produced by the UNEAK pipeline (Lu et al. 2013). This is a tab-separated flat text file with the first column being the SNP identifier, then a column for each genotyped individual (or sample, or other genotyping unit), followed by 5 columns of summary information (HetCount\_allele1, HetCount\_allele2, Count\_allele1, Count\_allele2, Frequency). Only the last of these 5 is used. Each row is for a different SNP. The column for each individual contains the genotype information as the allele depth (number of reads of that allele) for the 'reference' and 'alternate' alleles, respectively. The designation of reference and alternate is arbitrary for this software. The numbers of reads are separated by a pipe symbol ("|"). There is a header line, which, for the genotype columns, is taken as the identifiers of the individuals.

#### **GBS** via Tassel

An additional format ('Tassel') is available that may be easier to use for GBS data that has been manipulated in Tassel. It is similar to the uneak format, but allele depths in a genotype are separated by a comma (","), has two columns before genotype data (, and no columns following the genotype data. The first two columns are the chromosome and position (which together, separated by an underscore, serve as the SNP identifier), respectively. As with the "uneak" format, this is a tab- separated flat text file with a header row.

#### GBS via TagDigger

TagDigger (https://github.com/lvclark/tagdigger, Clarke and Sacks, 2016) is a tool for SNP calling from a given set of tags (sequences). It is likely to be used in a production environment, where the set of SNPs being called is unlikely to change much with additional samples being added. The 'TagDigger' format requires a comma delimited file with sample results in rows and SNP results in pairs of columns (count of reference allele, count of alternate allele). The first column contains the sample identifier. The header row, apart from the first value, contains SNP/allele identifiers. It is assumed that these identifiers have a SNP identifier followed by an underscore, followed by the allele identifier. The text preceding the underscore is taken as the SNP name (the other text is ignored).

TagDigger files will be read with the fread function from the data.table package, if that package is installed. This is faster than the method used when the package is not available. Files compressed with the gzip (.gz) format can be read by both methods on linux platforms, but not for fread on other platforms.

#### **GBS via ANGSD**

ANGSD (<a href="http://www.popgen.dk/angsd">http://www.popgen.dk/angsd</a>, Korneliussen *et al.*, 2014) is a program for analysing sequencing data, and can output SNP information. The 'ANGSDcount' format reads files created by the –dumpCounts 4 option of ANGSD. This file has a header row, followed by a row for each SNP. There is a column for each of the 4 possible alleles (A, C, G, T) for each SNP and sample. The columns for a sample are together. The header contains an identifier for each column consisting of the sample identifier followed by underscore and the allele (e.g. ind0\_A). After reading this file, SNPs are checked for which alleles are most common. The two most common alleles are taken as the variant of interest, and other alleles are ignored, except that a SNP is discarded if the proportion of reads for the third most common alleles exceeds the threshold triallelic.thresh. SNPs are named as 'SNP' followed by the zero-padded position. SNPs that have been dropped by the triallelic threshold can be identified by finding gaps in the SNP\_Name sequence.

#### vcf files

A python helper script vcf2ra\_ro\_ao.py is available to convert .vcf files to the 'Tassel' format. The .vcf file must have either the AD (allelic depth) field, or both the AO (alternate allele observation count) and RO (reference allele observation count) fields.

#### Chip

Fully recorded genotypes can be entered via the "Chip" format. This comma-separated format has results for each individual in the rows and SNP results in a column. There is a header row (SNP identifiers) and the first column contains individual identifiers. Subsequent columns contain the SNP results. Genotype data is given in 0/1/2 format, representing first homozygote, heterozygote and second homozygote, respectively. Designation of which allele is the 'first' is arbitrary.

#### Pedigree file

An optional pedigree file can be given, and will be used to verify or find parent matches. This is a comma separated file (csv). All individuals to be considered as offspring or parents need to have a row in this file. The columns of this file are specified below. The names must be exactly as specified. Additional columns may be present in the file.

Variable name	Required?	Description
IndivID	Υ	identifies individuals in the pedigree and groups files
seqID	Y	matches IndivID to the identifier in the genotype file
FatherID	N	Recorded IndivID of father

MotherID	N	Recorded IndivID of mother
FatherGroup	N	Group label for group of potential fathers for the given IndivID
MotherGroup	N	Group label for group of potential mothers for the given IndivID

Father and mother group labels should be distinct. If required, they are entered for the progeny. The information linking these labels to the set of possible parents is placed in the groups file.

#### **Groups file**

If parent matching is required, then a groups file describing the group labels in the pedigree file is required. This is a comma separated file (csv). The columns (both required) of this file are specified below. The names must be exactly as specified. Additional columns may be present in the file.

Variable name	Description
IndivID	identifier for potential parent, matching IndivID in the pedigree file
ParGroup	Group label for the group that IndivID belongs to

There should be one row for each group a potential parent belongs to.

## Example

The example given is based on an earlier version of the code. Files in directory : GBSRun.R HapMap.hmc.txt.gz Ped-GBS.csv Ped-Groups.csv

#### GBSRun.R

```
genofile <- "HapMap.hmc.txt.gz"

source("<source directory>/GBS-Chip-Gmatrix.R")
Gfull <- calcG()
GHWdgm.05 <- calcG(which(HWdis > -0.05),"HWdgm.05", npc=4) #
recalculate using Hardy-Weinberg disequilibrium cut-off at -0.05

pedfile <- "Ped-GBS.csv"
groupsfile <- "Ped-Groups.csv"

rel.thresh <- 0.2
GCheck <- "GHWdgm.05$G5"
source("<source directory>/GBSPedAssign.R")
```

<source directory> should be replaced with the location of the relevant .R files before running. linux command:

R CMD BATCH --no-save GBSRun.R &

Files in directory after running code:

AlleleFreq.png Best2FatherMatches.png Best2MotherMatches.png BestFatherMatchesE.png BestFatherMatches.png

BestMotherMatchesE.png BestMotherMatches.png CallRate.png

Co-call-Hwdgm.05.png

Co-call-.png ExpMM-Father.png ExpMM-Mother.png FatherMatches.csv FatherVerify.png finplot.png

GBSRun.R

GBSRun.Rout

GcompareHWdgm.05.png Gcompare.png Gdiagdepth.png

G-diag.png GHWdgm.05diagdepth.png

GHWdgm.05-diag.png GroupsParentCounts.csv HapMap.hmc.txt.gz Heatmap-G5HWdgm.05.png

Heatmap-G5.png HighRelatedness.csv HWdisMAFsig.png LRT-hist.png LRT-QQ.png

MAFHWdgm.05.png MAF.pnq

MotherMatches.csv

MotherVerify.png PC1v2G5HWdgm.05.png PCG5HWdgm.05.pdf

Ped-GBS.csv Ped-Groups.csv PedVerify.csv SampDepthCR.png SampDepthHist.png SampDepth.png

SampDepth-scored.png

SampleStats.csv

seqID.csv

SNPCallRate.png SNPDepthHist.png SNPDepth.png

A workshop using this example was given at the 2015 MapNet meeting. Instructions and course notes are available.

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