

EXPORT LICENCE

Open General Export Licence (X) dated 13 June 2012 granted by the Secretary of State.

The Secretary of State, in exercise of powers conferred by Articles 9(2) and (4) of Council Regulation (EC) No. 428/2009 ("the Regulation")^(a) and Article 26 of the Export Control Order 2008 ("the Order")^(b), hereby grants the following Open General Export Licence:

Union Licence

1. This is general export authorisation under the terms of Article 9(2) of Council Regulation (EC) No.428/2009. This authorisation, in accordance with Article 9(2) of that Regulation, is valid in all Member States of the European Union and is a Union Licence for the purposes of the Order.
2. Subject to the following provisions of this Licence, any items specified in Schedule 1 hereto, may be exported from the United Kingdom, or from any other Member State by any person established in the United Kingdom, to any destination except a destination in a country specified in Schedule 2.

Exclusions

3. This Licence does not authorise the export of items:
 - (1) if the exporter has been informed by a competent authority of the Member State where he is established that they are or may be intended, in their entirety or in part

(a) O.J. No. L134 29.5.09 p.1,

(b) S.I.2008/3231

- (a) for use in connection with the development, production, handling, operation, maintenance, storage, detection, identification or dissemination of chemical, biological or nuclear weapons, or other nuclear explosive devices or the development, production, maintenance or storage of missiles capable of delivering such weapons,
 - (b) for a military end use and the purchasing country or country of destination is subject to an arms embargo imposed by a Decision or a Common Position adopted by the Council of the European Union or a decision of the OSCE or imposed by a binding resolution of the Security Council of the United Nations, or
 - (c) for use as parts or components of military items listed in the national military list that have been exported from the territory of the Member State concerned without authorisation or in violation of an authorisation prescribed by national legislation of that Member State;
- (2) if the exporter is aware that the items in question are intended, in their entirety or in part, for any of the uses referred to in sub-paragraph (1);
 - (3) if the exporter has grounds for suspecting that the items in question are or may be intended, in their entirety or in part, for any of the uses referred to in sub-paragraph (1)(a), unless the exporter has made all reasonable enquiries as to their proposed use and is satisfied that the items will not be so used;
 - (4) to a destination within a Customs Free Zone; or

- (5) if their export is controlled by virtue of any entry in Annex I to the Regulation not specified in Schedule 1; or
- (6) if the export is for any military purpose.
- (7) where the exporter has, at the time of export, been served with a notice which suspends or revokes his ability to use this Licence pursuant to article 32(1) of the Order, unless the period of suspension or revocation has expired.

Conditions and Requirements

- 4. The authorisation in paragraph 1 is subject to the following conditions:
 - (1) except in the case of an export of technology by telephone, fax or other electronic media, official and commercial export documentation accompanying the items shall include a note stating either:
 - (a) "These items are being exported under the OGEL (X)"; or
 - (b) the SPIRE reference (in the form 'GBOGE 20XX/XXXXX') of the exporter's registration in respect of this licence,which shall be presented to an officer of HM Border Agency if so requested;
 - (2) Where the exporter has received a warning letter sent on behalf of the Secretary of State which identifies failure to comply with this Licence or a provision of applicable export control legislation, the exporter shall take such steps as are identified in that warning letter (within the timescale stated) in order to restore compliance with the Licence. Without prejudice to article 34 of the Order, failure to

comply with this condition may result in this Licence being revoked or suspended until the exporter can show compliance to the satisfaction of the Export Control Organisation. The exporter will be notified in writing of any such suspension or revocation and the initial period of such suspension or revocation. Where at the end of this initial period, the exporter has not shown compliance to the satisfaction of the Export Control Organisation, the period of suspension or revocation may be extended. The exporter will be notified of such an extension in writing.

Prohibitions not affected by this Licence

5. Nothing in this Licence affect any prohibition or restriction on the export of any items other than under the Regulation or the Order, and this licence does not confer any licence or permission under, or for the purposes of, any enactment other than the Regulation and the Order.

Interpretation

6. For the purpose of this Licence:
 - (1) "Customs Free Zone" means a part of the territory of a country where any goods introduced are generally regarded, insofar as import duties and taxes are concerned, as being outside the customs territory of that country, and are not subject to the customs controls that would otherwise apply;
 - (2) "entry" includes part of an entry; and

- (3) unless the context otherwise requires, any other expression used in this Licence has the same meaning as in the Regulation or the Order as appropriate.

Entry into Force

7. This Licence comes into force on 15 June 2012.
8. The Open General Export Licence (X) dated 8 January 2010 is revoked.

**An Official of the Department for Business,
Innovation and Skills authorised
to act on behalf of the Secretary of State**

SCHEDULE 1

ITEMS CONCERNED

Goods specified in any of the following entries in Annex I to Council Regulation (EC) No. 428/2009:

- 1D001 Other than software specially designed or modified for the development, production or use of items specified in the following entries:
- (1) Entry 1B001.a, 1B001.b, 1B001.c, 1B001.d.1, 1B001.d.2, 1B001.d.3 or 1B001.e;
 - (2) Entry 1B002 if the items are for the production of atomized or spherical metallic powder in a controlled environment;
- 1D002
- 2A001.a.
- 2B003
- 3A002.a.1 Other than analogue instrumentation magnetic tape recorders with any of the following features:
- (1) bandwidths exceeding:
 - (a) 4 MHz per track and having more than 28 tracks; or
 - (b) 2 MHz per track and having more than 42 tracks;
 - (2) tape speed exceeding 6.1 m/s;
 - (3) recording density exceeding 653.2 magnetic flux sine waves per mm;
 - (4) designed for underwater use; or
 - (5) ruggedised;
- 3A002.a.2
- 3A002.a.3
- 3A002.a.4

3A002.a.5

3A002.b

Other than instrument frequency synthesizers having:

- (1) a synthesized output frequency exceeding 2.6 GHz; or
- (2) a frequency switching time of less than 0.3 ms;

3B001.a.1

5A001.b.1.a

5A001.b.1.b

5A001.b.1.c

5A001.b.2.b

5A001.c

5A001.d

5B001

Only as applicable to test, inspection and production equipment for the development, production or use of items specified in the 5A001 entries listed above.

5D001

Only as applicable to software for the development, production or use of items specified in the 5A001 and 5B001 entries listed above.

6A002.a.2.a.

Other than image intensifiers which:

- (1) are specially designed for cameras specified in entry 6A003;
- (2) incorporate gallium arsenide (or similar semiconductor) photocathodes;
- (3) are radiation hardened; or
- (4) are ruggedised; or
- (5) specified in 6A002.a.2.a.2.b;

6A003.a.1.

Other than those capable of recording at framing rates exceeding 225,000 frames per second;

6A003.a.2.

Other than those capable of recording at framing rates exceeding 2 million frames per second;

6A004.a.1

6A004.a.2

6A004.a.4	
6A004.b	
6A004.d.2	
6A004.d.4.	
6A005.b.6.b.1	Only as applicable to neodymium-doped, pulse-excited Q-switched lasers having a single-transverse mode output and an average output power equal to or less than 40W;
6A005.c	Other than tunable lasers having a wavelength of 150nm or more but not exceeding 800nm.
6A005.d.2	Other than CO lasers having a CW maximum rated output power, single or multimode, exceeding 10kW;
6A005.f.	
6A008.b	Other than radar systems, equipment and assemblies:
6A008.c	(1) designed or modified for use in rocket systems (including launch support equipment or facilities) capable of delivering a payload to a range of 300 km or more; or
6A008.l.1	(2) which are range instrumentation radar systems, equipment and assemblies having all of the following:
	(a) an angular resolution better (less) than 3 milli-radians (0.5 mils);
	(b) a range 30 km or more and a RMS range resolution better (less) than 10 m; and
	(c) a velocity resolution of better (less) than 3 m/s;
6B004.b	
6C004.a	
6C004.e	
8A002.i.2.	Other than manipulators with more than five degrees of freedom;
9B005	
9B006	

SCHEDULE 2

DESTINATIONS CONCERNED

This export authorisation is valid for exports to the following destinations:

All destinations other than in:

- Angola, Australia, Canada, Iran, Iraq, Japan, Libya, New Zealand, North Korea, Norway, Serbia and Montenegro, Sri Lanka, Switzerland, Syria and USA.
- European Union Member States as follows: Austria, Belgium, Bulgaria, Cyprus, Czech Republic, Denmark, Estonia, Finland, France, Germany, Greece, Hungary, Ireland, Italy, Latvia, Lithuania, Luxembourg, Malta, Netherlands, Poland, Portugal, Romania, Slovakia, Slovenia, Spain, Sweden - **an export licence is NOT required for dual-use goods being exported to EU destinations BUT you must state on export documents that your items require a licence if exported outside the EU and you must keep records (as specified in Articles 22(10) and 22 (8) of the EU Dual Use Regulation).**

NOTE:

1. Exports of items covered by this licence may be made under the authority of the European Union General Export Authorisation (EU GEA 001), subject to certain conditions and restrictions, to the following destinations:

Australia, Canada, Japan, New Zealand, Norway, Switzerland (including Liechtenstein), USA

EXPLANATORY NOTE

(This note is not part of the licence)

1. This Open General Export Licence has been amended as a result of Council Regulation (EU) No 388/2012 which amends Council Regulation (EC) No 428/2009 (the EU Dual-Use Regulation). The amending Council Regulation is dated 19 April 2012 and was published in the Official Journal of the European Union (OJ L 129, 16.5.2012) on 16 May 2012. It comes into force 30 days after publication, on 15 June 2012. The amendments include:

- Removal of control list entry codes in Schedule 1 - 2A001.b and 6D003.d.1
- This licence has also been amended to update text references to 'Community' and 'CGEA' to refer to 'Union' and 'EU GEA' as appropriate and to amend text references to an arms embargo which is 'decided by a Common Position or Joint Action' to the text: 'imposed by a Decision or a Common Position'.

2. This Open General Export Licence permits, without further authority but subject to certain conditions, the export from the United Kingdom, or from any other Member State of the European Union (EU) where the exporter is established in the United Kingdom, to any destination other than one listed in Schedule 2 to the Licence of items specified in Schedule 1 to the Licence

3. The Export Control Order 2008 ("the Order") contains certain registration and record keeping requirements which apply to persons using this Licence. Under Article 29 of the Order, any person established in the United Kingdom who exports items from the United Kingdom or another Member State under the authority of this Licence must maintain and retain certain records relating to each such export for at least three years from the end of the calendar year in which the export takes place, and must permit such records to be inspected and copied by any person authorised by the Secretary of State.

4. Under Article 28 of the Order, an exporter who exports items under the authority of this Licence must, before or within 30 days after the first occasion he makes use of the licence, provide details to the Secretary of State of his name and the address where copies of the records referred to above may be inspected. This notification must be made via the Export Control Organisation's electronic licensing system, SPIRE, at <https://www.spire.bis.gov.uk>

5. The Secretary of State has the power to suspend or revoke licences at any time and in such circumstances and on such terms as he thinks fit. If an exporter receives written notice to this effect, he will be prevented from relying on this Licence. The power to suspend may be used in addition to criminal prosecution or as an alternative. Suspension may occur for example where an exporter is being investigated or prosecuted in relation to a possible criminal offence, or has been found guilty of a criminal offence under the export control legislation. It may also be used in situations where an exporter has breached the conditions of the Licence and failed to take corrective action within a reasonable period (see condition 4(2)).

6. Where, the ECO identifies failures in compliance with licence conditions or the legislation during a compliance visit, the ECO may send a warning letter to the exporter listing the improvements that need to be made to ensure compliance. The letter will set out the timeframe within which these improvements must be completed. Failure to complete these improvements may lead to the exporter's ability to use the licence being suspended for a period of time.

7. The exporter may apply for Standard Individual Export Licences during the period of suspension. Suspension will not automatically prevent him from using another OGEL so long as he meets all its terms and conditions and that he has not received a letter suspending or revoking his ability to use that licence.