

## NON-DETERMINISTIC FINITE AUTOMATA (NFA)

Defn: The NFA is a 5-tuple or quintuple.

$$M = (Q, \Sigma, \delta, q_0, F)$$

$M$  is the name of the nfa.

$Q$  is non-empty, finite set of states

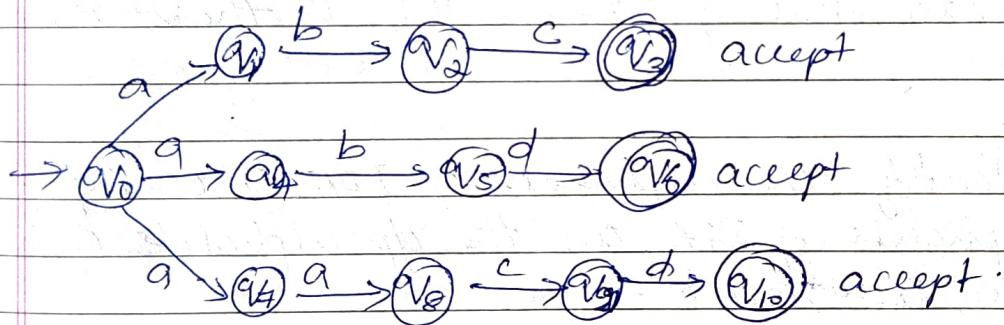
$\Sigma$  is non-empty finite set of input alphabets.

$\delta: Q \times \Sigma \rightarrow 2^Q$  i.e.  $\delta$  is a transition function which is a mapping from  $Q \times \Sigma$  to a subset of  $2^Q$ .

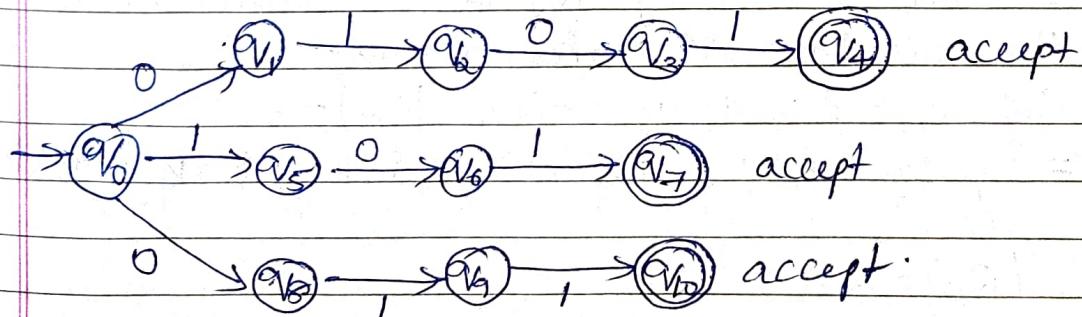
$q_0 \in Q$  is the start state

$F \subseteq Q$  - Set of accepting states.

Ex: Design an NFA to recognize the following set of strings abc, abd and aacd.



2) Obtain NFA to recognize the following set of strings 0101, 101, 011



## CONVERSION FROM NFA TO DFA

- Subset construction
- Lazy evaluation

### Subset construction method :-

- Describe the subset construction method procedure to convert an NFA into a DFA.

Step 1: Given an NFA  $M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$  which accepts the language  $L(M_N)$ , we can find an equivalent DFA  $M_D = (Q_D, \Sigma, \delta_D, \{q_0\}, F_D)$  such that  $L(M_D) = L(M_N)$ .

Step 1: Identify the start state of DFA. Since  $q_0$  is the start state of NFA,  $\{q_0\}$  is the start state of DFA.

Step 2: Identify the alphabets of DFA. The input alphabets of DFA are the input alphabets of NFA.  
So,  $\Sigma = \{a, b\}$ .

Step 3: Identify  $Q_D$ . Which are the states of DFA. The set of subsets of  $Q_N$  will be the states of DFA  $Q_D$ . So, if  $Q_N$  has  $n$  states then  $Q_D$  will have  $2^n$  states. For ex:

Let  $Q_N = \{q_0, q_1, q_2\}$  then  $|Q_N| = 3$ .

$$Q_D = \{ \emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\} \}$$

$$\text{So, } |Q_D| = 8.$$

In this ex, the no of states of NFA = 3. ∴ no of states of DFA =  $2^3 = 8$ . If 'n' is the no of states of NFA, the no of states of DFA will be  $2^n$ .

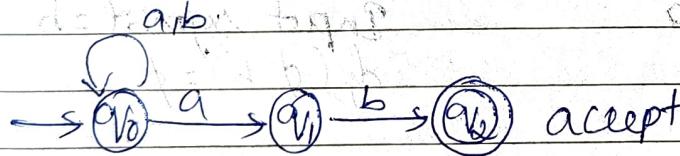
Step 4: Identify the final states of DFA. If  $\{q_1, q_2, \dots, q_k\}$  is a state in  $Q_N$ , then  $\{q_1, q_2, \dots, q_k\}$  will be the final state of DFA, provided one of  $q_1, q_2, \dots, q_k$  is the final state of NFA.

Step 5: Identify the transitions ( $d_D$ ) of DFA. For each state,  $\{q_1, q_2, \dots, q_k\}$  in  $Q_N$  and for each input symbol  $a$  in  $\Sigma$ , the transition can be obtained as shown below:

$$d_D = (\{q_1, q_2, \dots, q_k\}, a) = d_N(q_1, a) \cup d_N(q_2, a) \cup \dots \cup d_N(q_k, a)$$

Thus DFA can be obtained using subset construction method.

\* Obtains the DFA for the following NFA using subset construction method



The transition table for the above DFA is:

$S$	$a$	$b$
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$
$\{q_1\}$	$\emptyset$	$\{q_2\}$
$\{q_2\}$	$\emptyset$	$\emptyset$

Step 1: Identify the start state of DFA. Since  $q_0$  is the start state of NFA,  $\{q_0\}$  is the start state of DFA.

Step 2: Identify the alphabets of DFA. The input alphabets of NFA are the input alphabets of DFA. So,  $\Sigma = \{a, b\}$ .

Step 3: Identify  $Q_D$  which are the states of DFA.

Here,  $Q_N = \{q_0, q_1, q_2\}$ . Its subsets are the states of DFA. So, states of DFA are:

$$Q_D = \{\emptyset, \{q_0\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}$$

Step 4: Identify the final states of DFA. Since  $q_2$  is the final state of NFA, whenever  $q_2$  is present, the corresponding set is the final state of DFA. So,

$$F_D = \{\{q_2\}, \{q_0, q_2\}, \{q_1, q_2\}, \{q_0, q_1, q_2\}\}$$

Step 5: Identify the transitions (i.e.  $f_D$ ) of DFA.

Obtain the transitions for each of the states of  $Q_D$  obtained in step 3 as shown below:

For state  $\emptyset$ :

Input symbol = a  
 $f_D(\emptyset, a) = \emptyset$

Input symbol = b  
 $f_D(\emptyset, b) = \emptyset$

For state  $\{q_0\}$ :

2/p sym = a  
 $f_D(\{q_0\}, a) = \{q_0, q_1\}$

2/p sym = b  
 $f_D(\{q_0\}, b) = \{q_0\}$

For state  $\{q_1\}$ :

2/p sym = a  
 $f_D(\{q_1\}, a) = \emptyset$

2/p sym = b  
 $f_D(\{q_1\}, b) = \{q_2\}$

For state  $\{q_2\}$ :

2/p sym = a  
 $f_D(\{q_2\}, a) = \emptyset$

2/p sym = b  
 $f_D(\{q_2\}, b) = \emptyset$

For state  $\{q_6, q_1, \emptyset\}$ :

2/p sym = a

$$\begin{aligned} d_p(\{q_6, q_1, \emptyset, a\}) &= d_N(\{q_6, q_1, \emptyset, a\}) \\ &= d_N(q_6, a) \cup d_N(q_1, a) \\ &= \{q_6, q_1, \emptyset \cup \emptyset \\ &= \{q_6, q_1, \emptyset \end{aligned}$$

2/p sym = b

$$\begin{aligned} d_p(\{q_6, q_1, \emptyset, b\}) &= d_N(\{q_6, q_1, \emptyset, b\}) \\ &= d_N(q_6, b) \cup d_N(q_1, b) \\ &= \{q_6 \emptyset \cup \{q_1 \emptyset \\ &= \{q_6, q_1 \emptyset \end{aligned}$$

For state  $\{q_6, q_2, \emptyset\}$ :

2/p sym = a

$$\begin{aligned} d_p(\{q_6, q_2, \emptyset, a\}) &= d_N(\{q_6, q_2, \emptyset, a\}) \\ &= d_N(q_6, a) \cup d_N(q_2, a) \\ &= \{q_6, q_1, \emptyset \cup \emptyset \\ &= \{q_6, q_1, \emptyset \end{aligned}$$

2/p sym = b

$$\begin{aligned} d_p(\{q_6, q_2, \emptyset, b\}) &= d_N(\{q_6, q_2, \emptyset, b\}) \\ &= d_N(q_6, b) \cup d_N(q_2, b) \\ &= \{q_6 \emptyset \cup \emptyset = \{q_6 \emptyset \end{aligned}$$

For state  $\{q_1, q_2, \emptyset\}$ :

2/p sym = a

$$\begin{aligned} d_p(\{q_1, q_2, \emptyset, a\}) &= d_N(\{q_1, q_2, \emptyset, a\}) \\ &= d_N(q_1, a) \cup d_N(q_2, a) \\ &= \emptyset \cup \emptyset = \emptyset \end{aligned}$$

2/p sym = b

$$\begin{aligned}
 d_D(\{q_0, q_1, q_2\}, b) &= d_N(q_0, q_1, q_2, b) \\
 &= d_N(q_1, b) \cup d_N(q_2, b) \\
 &= \{q_1\} \cup \emptyset \\
 &= \{q_1\}
 \end{aligned}$$

For state  $\{q_0, q_1, q_2\}$

2/p Sym = a:

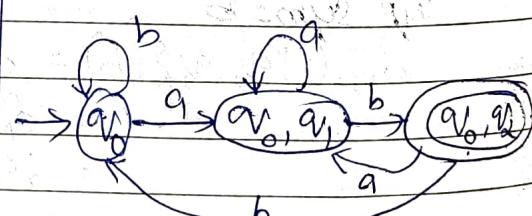
$$\begin{aligned}
 d_D(\{q_0, q_1, q_2\}, a) &= d_N(q_0, q_1, q_2, a) \\
 &= d_N(q_0, a) \cup d_N(q_1, a) \cup d_N(q_2, a) \\
 &= \{q_0\} \cup \emptyset \cup \emptyset \\
 &= \{q_0\}
 \end{aligned}$$

2/p Sym = b

$$\begin{aligned}
 d_D(\{q_0, q_1, q_2\}, b) &= d_N(q_0, q_1, q_2, b) \\
 &= d_N(q_0, b) \cup d_N(q_1, b) \cup d_N(q_2, b) \\
 &= \{q_0\} \cup \{q_2\} \cup \emptyset \\
 &= \{q_0, q_2\}
 \end{aligned}$$

Now, all the above transitions can be represented using the transition table, as shown below:

	$\delta$	a	b
1	$\emptyset$	$\emptyset$	$\emptyset$
2 $\rightarrow \{q_0\}$	$\{q_0, q_1\}$	$\{q_0\}$	$\{q_0\}$
3 $\{q_1\}$	$\emptyset$	$\{q_2\}$	$\{q_2\}$
4 $\{q_2\}$	$\emptyset$	$\emptyset$	$\emptyset$
5 $\{q_0, q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$	$\{q_0, q_2\}$
6 $\{q_0, q_2\}$	$\{q_0, q_1\}$	$\{q_0\}$	$\{q_0\}$
7 $\{q_1, q_2\}$	$\emptyset$	$\{q_2\}$	$\{q_2\}$
8 $\{q_0, q_1, q_2\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$	$\{q_0, q_2\}$



The states  $\{q_1\}$ ,  $\{q_2\}$ ,  $\{q_1, q_2\}$ ,  $\{q_0, q_1, q_2\}$  are not reachable from the start state. Hence can be eliminated.

Drawback of Subset Construction method:-

The time complexity is exponential i.e  $\Sigma \times 2^q$ . It takes a very long time to construct the table.

Conversion from NFA to DFA :-

LAZY EVALUATION METHOD;

Given an NFA  $M_N = (Q_N, \Sigma, \delta_N, q_0, F_N)$  which accepts the language  $L(M_N)$ , we can find an equivalent DFA  $M_D = (Q_D, \Sigma, \delta_D, q_{0D}, F_D)$  such that  $L(M_D) = L(M_N)$ .

Step 1: Identify the start state of DFA. Since  $q_0$  is the start state of NFA,  $\{q_0\}$  is the start state of DFA.

Step 2: Identify the alphabets of DFA. The input alphabets of DFA are the input alphabets of NFA.  
So,  $\Sigma = \{a, b\}$

Step 3: Identify the transitions ( $\delta_D$ ) of DFA. For each state  $\{q_0, q_1, \dots, q_k\} \in Q_D$  and for each input symbol  $a \in \Sigma$ , the transition can be obtained as shown below:

$$\delta_D(\{q_0, q_1, \dots, q_k\}, a) = \delta_N(q_0, a) \cup \delta_N(q_1, a) \cup \dots \cup \delta_N(q_k, a)$$

$$= [q_0, q_1, \dots, q_m] \text{ say.}$$

- Add the state  $[q_0, q_1, \dots, q_m]$  to  $Q_D$ , if it's not already in  $Q_D$ .
- Add the transition from  $[q_0, q_1, \dots, q_k]$  to  $[q_0, q_1, \dots, q_m]$  on the input  $a$ .
- Step 3 has to be repeated for each state that is added to  $Q_D$ .

Step 4: Identify the final states of DFA. If  $\{q_1, q_2, \dots, q_k\}$  is a state in  $Q_p$  and if one of  $q_1, q_2, \dots, q_k$  is the final state of NFA, then  $\{q_1, q_2, \dots, q_k\}$  will be the final state of DFA.

Problem:

- 1) Convert the following NFA to its equivalent DFA.



Step 1: The transition table for the above DFA is

$d_N$	0	1
$\rightarrow q_0$	$\{q_0, q_1\}$	$\{q_1\}$
$\rightarrow q_1$	$\{q_2\}$	$\{q_2\}$
$\rightarrow q_2$	$\emptyset$	$\{q_2\}$

Step 1: Identify the start state of DFA. Since  $q_0$  is the start state of NFA,  $\{q_0\}$  is the start state of DFA.

$$\text{So } Q_p = \{q_0\}$$

Step 2: Identify the alphabets of DFA. The input alphabets of NFA are the input alphabets of DFA. So,  $\Sigma = \{0,1\}$ .

Step 3: Identify the transitions  $\{d_p\}$  of DFA.

Start from the start state  $q_0$  and find the transitions as shown below:

For state  $\{q_0\}$ :

$$\text{if sym} = 0$$

$$\begin{aligned} d_p(\{q_0\}, 0) &= d_N(\{q_0\}, 0) \\ &= \{q_0, q_1\} \end{aligned}$$

$$\text{if sym} = 1$$

$$\begin{aligned} d_p(\{q_0\}, 1) &= d_N(\{q_0\}, 1) \\ &= \{q_1\} \end{aligned}$$

The two states  $\{3\alpha_0, \alpha_1, \emptyset\}$  and  $\{3\alpha_1, \emptyset\}$  are not in  $Q_p$ .  
So, add them to  $Q_p$ .

$$\therefore Q_p = \{3\alpha_0, \emptyset, 3\alpha_0, \alpha_1, \emptyset, 3\alpha_1, \emptyset\}$$

Consider the state  $\{3\alpha_0, \alpha_1, \emptyset\}$ :

$$\begin{aligned} \text{Z/p Sym} &= 0 \\ d_p(\{3\alpha_0, \alpha_1, \emptyset, 0\}) &= d_N(\{3\alpha_0, \alpha_1, \emptyset, 0\}) \\ &= d_N(\alpha_0, 0) \cup d_N(\alpha_1, 0) \\ &= \{3\alpha_0, \alpha_1, \emptyset\} \cup \{3\alpha_2, \emptyset\} \\ &= \{3\alpha_0, \alpha_1, \alpha_2, \emptyset\} \end{aligned}$$

$$\begin{aligned} \text{Z/p Sym} &= 1 \\ d_p(\{3\alpha_0, \alpha_1, \emptyset, 1\}) &= d_N(\{3\alpha_0, \alpha_1, \emptyset, 1\}) \\ &= d_N(\alpha_0, 1) \cup d_N(\alpha_1, 1) \\ &= \{3\alpha_1, \emptyset\} \cup \{3\alpha_2, \emptyset\} \\ &= \{3\alpha_1, \alpha_2, \emptyset\} \end{aligned}$$

These two states are added to  $Q_p$ .

$$\therefore Q_p = \{3\alpha_0, \emptyset, 3\alpha_0, \alpha_1, \emptyset, 3\alpha_1, \emptyset, 3\alpha_0, \alpha_1, \alpha_2, \emptyset, 3\alpha_1, \alpha_2, \emptyset\}$$

For state  $\alpha_1$ :

$$\text{Z/p Sym} = 0$$

$$\begin{aligned} d_p(\{3\alpha_1, \emptyset, 0\}) &= d_N(\{3\alpha_1, \emptyset, 0\}) \\ &= \{3\alpha_2, \emptyset\} \end{aligned}$$

$$\text{Z/p Sym} = 1$$

$$\begin{aligned} d_p(\{3\alpha_1, \emptyset, 1\}) &= d_N(\{3\alpha_1, \emptyset, 1\}) \\ &= \{3\alpha_2, \emptyset\} \end{aligned}$$

Add these states to  $Q_p$ .

$$\therefore Q_p = \{3\alpha_0, \emptyset, 3\alpha_0, \alpha_1, \emptyset, 3\alpha_1, \emptyset, 3\alpha_0, \alpha_1, \alpha_2, \emptyset, 3\alpha_1, \alpha_2, \emptyset\}$$

For state  $\{3\alpha_0, \alpha_1, \alpha_2, \emptyset\}$

$$\text{Z/p Sym} = 0$$

$$\begin{aligned} d_p(\{3\alpha_0, \alpha_1, \alpha_2, \emptyset, 0\}) &= d_N(\{3\alpha_0, \alpha_1, \alpha_2, \emptyset, 0\}) \\ &= d_N(\alpha_0, 0) \cup d_N(\alpha_1, 0) \cup d_N(\alpha_2, 0) \\ &= \{3\alpha_0, \alpha_1, \emptyset\} \cup \{3\alpha_1, \alpha_2, \emptyset\} \cup \emptyset \\ &= \{3\alpha_0, \alpha_1, \alpha_2, \emptyset\} \end{aligned}$$

2/p sym = 1

$$\begin{aligned}
 d_p(\{q_0, q_1, q_2\}, 1) &= d_N(\{q_0, q_1, q_2\}, 1) \\
 &= d_N(q_0, 1) \cup d_N(q_1, 1) \cup d_N(q_2, 1) \\
 &= \{q_1\} \cup \{q_2\} \cup \{q_2\} \\
 &= \{q_1, q_2\}
 \end{aligned}$$

These two states are already in  $Q_p$ . So, ignore.

For state  $\{q_1, q_2\}$ :

2/p sym = 0

$$\begin{aligned}
 d_p(\{q_1, q_2\}, 0) &= d_N(\{q_1, q_2\}, 0) \\
 &= d_N(q_1, 0) \cup d_N(q_2, 0) \\
 &= \{q_2\} \cup \emptyset \\
 &= \{q_2\}
 \end{aligned}$$

2/p sym = 1

$$\begin{aligned}
 d_p(\{q_1, q_2\}, 1) &= d_N(\{q_1, q_2\}, 1) \\
 &= d_N(q_1, 1) \cup d_N(q_2, 1) \\
 &= \{q_2\} \cup \{q_2\} \\
 &= \{q_2\}
 \end{aligned}$$

This state is already in  $Q_p$ . So, ignore.

For state  $\{q_2\}$ :

2/p sym = 0

$$\begin{aligned}
 d_p(\{q_2\}, 0) &= d_N(\{q_2\}, 0) \\
 &= \emptyset
 \end{aligned}$$

2/p sym = 1

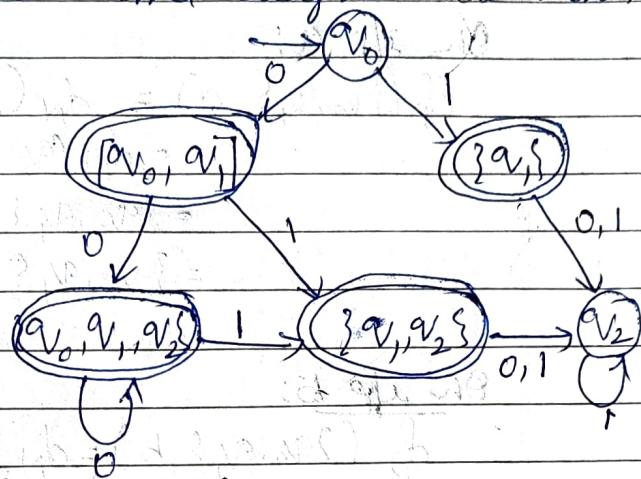
$$\begin{aligned}
 d_p(\{q_2\}, 1) &= d_N(\{q_2\}, 1) \\
 &= \{q_2\}
 \end{aligned}$$

This state is already in  $Q_p$ . So, ignore.

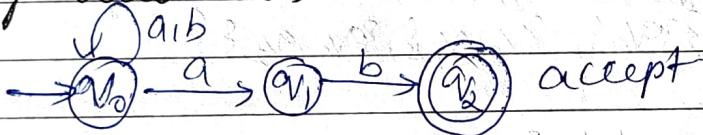
$$Q_p = \{\{q_0, q_1, q_2\}, \{q_1\}, \{q_2\}, \{q_0, q_1\}, \{q_0, q_2\}, \{q_1, q_2\}, p_1, q_1, q_2\}$$

The final transition table and diagram are shown:

$d$	0	1
$\rightarrow \{q_0\}$	$\{q_0, q_1\}$	$\{q_1\}$
$\{q_0, q_1\}$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$
$\{q_1\}$	$\{q_2\}$	$\{q_2\}$
$\{q_0, q_1, q_2\}$	$\{q_0, q_1, q_2\}$	$\{q_1, q_2\}$
$\{q_1, q_2\}$	$\{q_2\}$	$\{q_2\}$
$\{q_2\}$	$\emptyset$	$\{q_2\}$



- 2) Obtain the DFA for the following NFA using lazy evaluation method.



Step 1:

Identify the start state of DFA. Since  $q_0$  is the start state of NFA,  $\{q_0\}$  is the start state of DFA.

Step 2:

$$\text{So, } Q_D = \{q_0\}$$

Step 3: Identify the alphabets of DFA. The input alphabets of NFA are the input alphabets of DFA. So,  $\Sigma = \{a, b\}$

Consider the state  $\{q_0\}$ .

On if  $a$ :

$$f(\{q_0\}, a) = f_N(\{q_0\}, a) \\ = \{q_0, q_1\}$$

On if  $b$ :

$$f(\{q_0\}, b) = f_N(\{q_0\}, b) \\ = \{q_0\}$$

Since these ~~two~~ states are not in  $Q_D$ , add them.  
i.  $Q_D = \{q_0\}, \{q_0, q_1\}\}$

Consider the state  $\{q_0, q_1\}$

On ifp a:

$$\begin{aligned} d_p(\{q_0, q_1\}, \{a\}) &= d_N(\{q_0, q_1\}, a) \\ &= d_N(q_0, a) \cup d_N(q_1, a) \\ &= \{q_0, q_1\} \cup \emptyset \\ &= \{q_0, q_1\} \end{aligned}$$

On ifp b:

$$\begin{aligned} d_p(\{q_0, q_1\}, \{b\}) &= d_N(\{q_0, q_1\}, b) \\ &= d_N(q_0, b) \cup d_N(q_1, b) \\ &= \{q_0\} \cup \{q_1\} \end{aligned}$$

Add  $\{q_0, q_1\}$  to  $Q_p$  since it is not present  
 $\therefore Q_p = \{q_0\}, \{q_1\}, \{q_0, q_1\}$

Consider the state  $\{q_0, q_2\}$ :

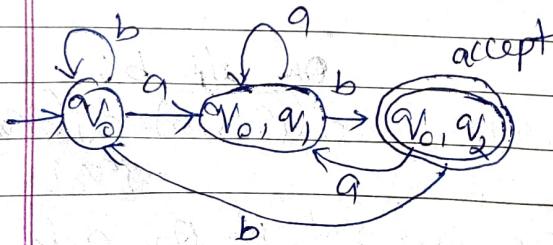
On ifp a:

$$\begin{aligned} d_p(\{q_0, q_2\}, \{a\}) &= d_N(\{q_0, q_2\}, a) \\ &= d_N(q_0, a) \cup d_N(q_2, a) \\ &= \{q_0, q_1\} \cup \emptyset \\ &= \{q_0, q_1\} \end{aligned}$$

On ifp b:

$$\begin{aligned} d_p(\{q_0, q_2\}, \{b\}) &= d_N(\{q_0, q_2\}, b) \\ &= d_N(q_0, b) \cup d_N(q_2, b) \\ &= \{q_0\} \cup \emptyset = \{q_0\} \end{aligned}$$

$\therefore Q_p = \{q_0\}, \{q_1\}, \{q_0, q_1\}, \{q_0, q_2\}$



accept

	a	b
$\rightarrow \{q_0\}$	$\{q_0\}$	$\{q_0\}$
$\{q_0\}$	$\{q_0, q_1\}$	$\{q_0, q_1\}$
$\{q_1\}$	$\{q_0, q_1\}$	$\{q_0, q_2\}$
$\{q_2\}$	$\{q_0, q_2\}$	$\{q_0\}$