Context-dependent phone models
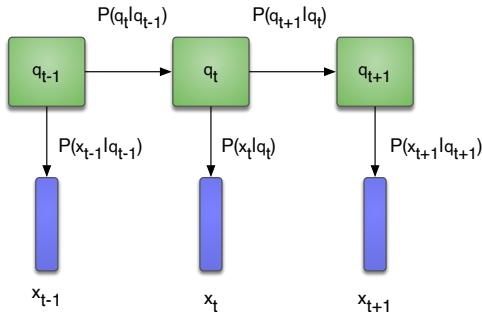
Peter Bell

Automatic Speech Recognition
ASR Lecture 6
30 January 2019

Overview

Phone models

- Modelling phones with HMMs
- The need to model phonetic context
- Biphone and triphone models
- Parameter sharing – sharing parameters across different contexts
- Choosing which states to share – phonetic decision trees
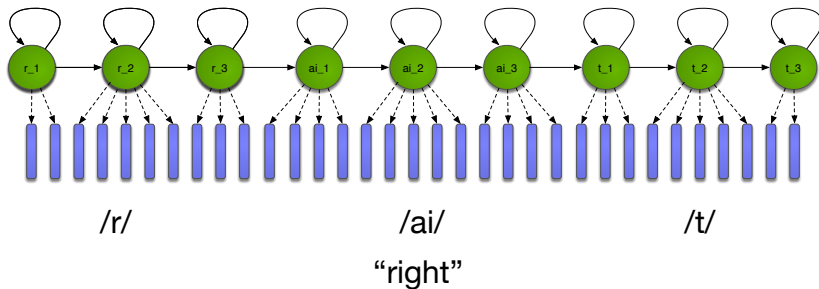
Recap: Continuous Density HMM

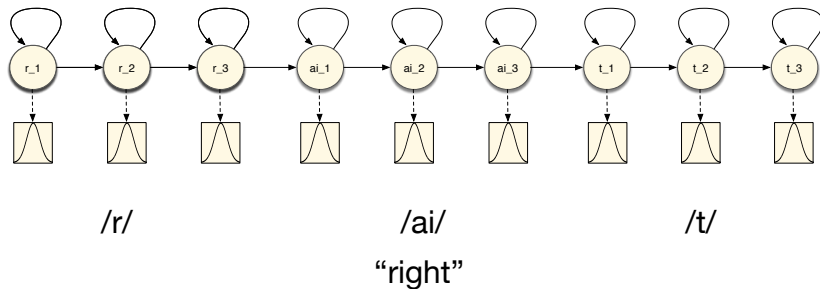Probabilistic finite state automaton

Paramaters λ:

- Transition probabilities: $a_{kj} = P(q_{t+1} = j | q_t = k)$
- Output probability density function: $b_j(x) = p(x | q = j)$

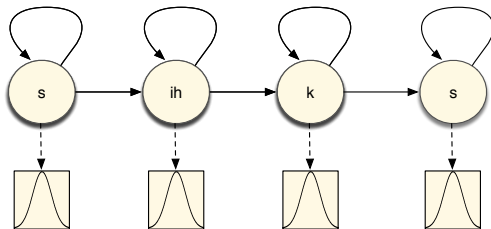/r/   /ai/   /t/

"right"

Modelling words with HMM phone models

/r/   /ai/   /t/

"right"

Another example

Single emitting state per phone

"six"

Another example

Three emitting states per phone

/s/ /ih/ /k/ /s/

"six"

Another example

Three emitting states per phone

/s/   /ih/   /k/   /s/

"six"

Word sequence model

/s/   /ih/   .....   /d/

"six quid"

Phonetic Context

- Context The acoustic phonetic context of a speech unit has an effect on its acoustic realization
- Consider /n/ in **ten** and **tenth**
    - alveolar in **ten**
    - dental in **tenth**
- Coarticulation the place of articulation for one speech sound depends on a neighbouring speech sound.
- Eg. /n/ in **ten pounds** vs. **ten kilos**
- More problematic in natural spontaneous speech

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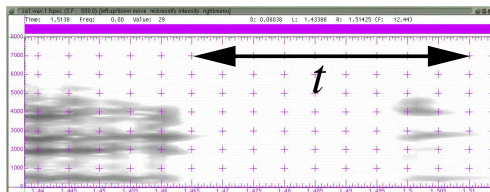- More problematic in natural spontaneous speech

Without explicit handling, this violates the Markov assumption!

Phonetic Context Example
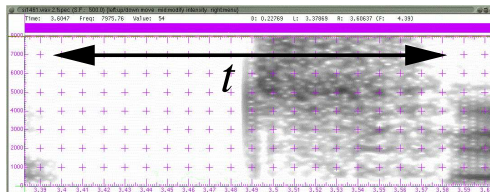
"tube"

"suit"

Modelling Context

- Via pronunciations
  - "did you" `d ih jh y ah`
  - "around this" `ix r aw n ih s`
- Via subword units Individual phone units need to deal with a lot of variability
  - Use longer units that incorporate context, eg: biphones, demisyllables, syllables
  - Use multiple models for each: context-dependent phone models
  - Context-dependent phones are termed allophones of the parent phone

Context-dependent phone models

- Biphones Each phone has a unique model for its left or right context (**left biphones** and **right biphones**
- Triphones Each phone has a unique model for each left and right context.
- Represent a phone `x` with left context `l` and right context `r` as `l-x+r`

Example: left biphones

/s/   /s-ih/   /ih-k/   /k-s/

"six"
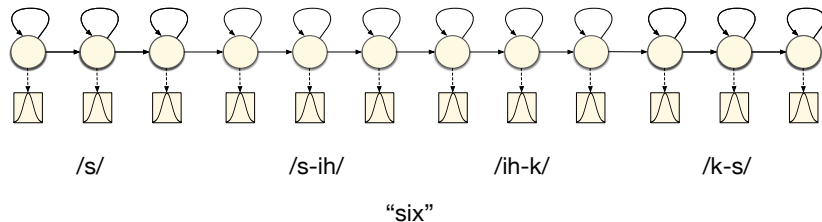
Example: triphones

/s+ih/   /s-ih+k/   /ih-k+s/   /k-s/

"six"
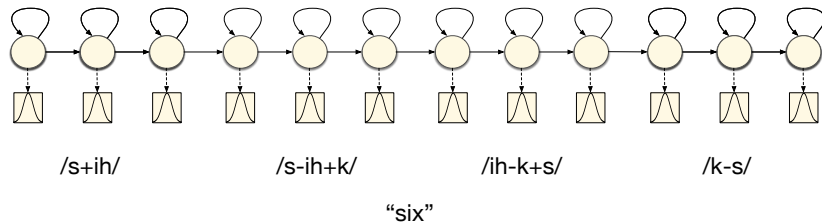
Advantages of context-dependent models

- Context-dependent models are more specific than context-independent models
- Increase the detail of modelling by extending the state space – but by defining multiple context dependent models, rather than more complex context independent models
- Compensate for the incorrectness of the Markov assumption
- Divide and conquer: as more context-dependent models are defined, each one becomes responsible for a smaller region of the acoustic-phonetic space
- Let the data tell us how many contexts to model

Types of triphone models

- **Word-internal triphones** Only take account of context within words, so "don't ask" is represented by:
  `sil d+oh d-oh+n oh-n+t n-t ah+s ah-s+k s-k sil`
  Word internal triphones result in far fewer models than cross-word models, and enable the subword sequence for a word to be known independent of the neighbouring words. But: context is not well-modelled at word boundaries.

- **Cross-word triphones** "don't ask" is represented by:
  `sil sil-d+oh d-oh+n oh-n+t n-t+ah t-ah+s ah-s+k s-k+sil sil`
  Note that triphone context extends across words (eg unit `n-t+ah`)
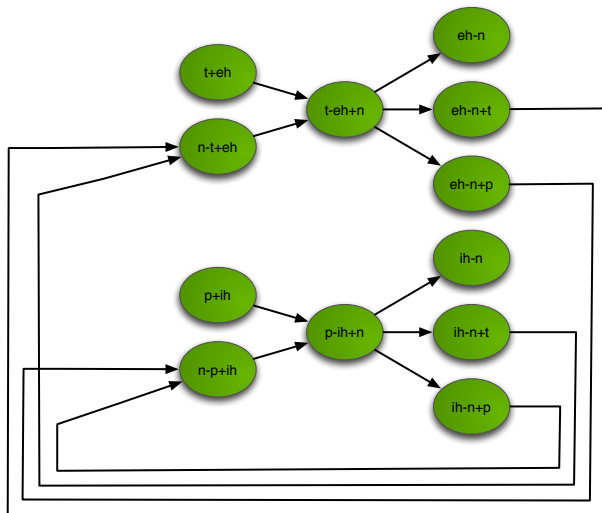
Recognition with context-independent units
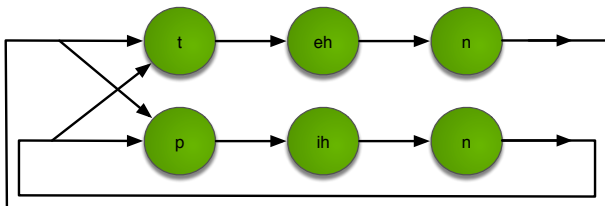
Context-dependent units

Triphone models

- How many triphones are there? Consider a 40 phone system. $40^3 = 64\,000$ possible triphones. In a cross-word system maybe $50\,000$ can occur
- Number of parameters:
    - $50\,000$ three-state HMMs, with 10 component Gaussian mixtures per state: 1.5M Gaussians
    - 39-dimension feature vectors (12 MFCCs + energy), deltas and accelerations
    - Assuming diagonal Gaussians: about 790 parameters/state
    - Total about 118 million parameters!
- We would need a very large amount of training data to train such a system
    - to enable robust estimation of all parameters
    - to ensure that all possible triphones are observed (more than once) in the training data

Modelling infrequent triphones

The number of possible triphone types is much greater than the number of observed triphone tokens.

- Smoothing – combine less-specific and more-specific models
- Parameter Sharing – different contexts share models
    - Bottom-up – start with all possible contexts, then merge
    - Top-down – start with a single context, then split
- All approaches are data driven
  NB: knowledge is used to make it work effectively

Smoothing: Backing off

- **Basic idea** Use less-specific models when there is not enough data to train a more specific one
- For example if a triphone is not observed (or only a few examples are observed) use a biphone model:
  `sh-iy+l` $\rightarrow$ `iy+l`
- If only a few biphone occurrences use a monophone:
  `sh-iy+l` $\rightarrow$ `iy+l` $\rightarrow$ `iy`
- Use a minimum training example count to determine whether a triphone should be modelled or backed-off to a biphone (likewise for biphones)
- Ensures that each model is well trained
- But training data is sparse (especially when cross-word triphones are used) so relatively few specific triphone models

Smoothing: Interpolation

- **Basic idea** Combine less-specific models with more specific models
- Interpolate the parameters of a triphone λ^{tri} with those of a biphone λ^{bi} and a monophone λ^{mono}:

$$\hat{\lambda}^{tri} = \alpha_3 \lambda^{tri} + \alpha_2 \lambda^{bi} + \alpha_1 \lambda^{mono}$$

- Estimate the interpolation parameters α using deleted interpolation
- This enables more triphone models to be estimated, but adds robustness by sharing training data from other contexts (through the biphone and monophone models)

Parameter Sharing

- **Basic idea** Explicitly share models or parameters between different contexts
    - enables training data to be shared between the models
    - enables models to share parameters
- Sharing can take place at different levels

1. Sharing Gaussians: all distributions share the same set of Gaussians but have different mixture weights (tied mixtures)
2. Sharing states: allow different models to share the same states (state clustering)
3. Sharing models: merge those context-dependent models that are the most similar (generalised triphones)
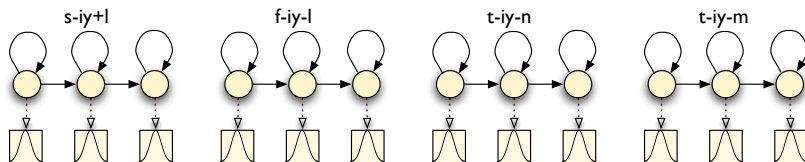
Sharing Models: Generalized triphones

- **Basic idea** Merge similar context-dependent models
- Bottom-up merging: Compare allophone models with different triphone contexts and merge those that are similar
- Merged models will be estimated from more data than individual models: more accurate models, fewer models in total
- The resultant merged models are referred to as generalized triphones

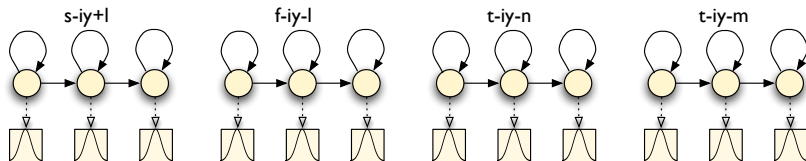# Example: Generalized Triphones

ax-b+ah

ae-b+ah

(ax,ae)-b+ah
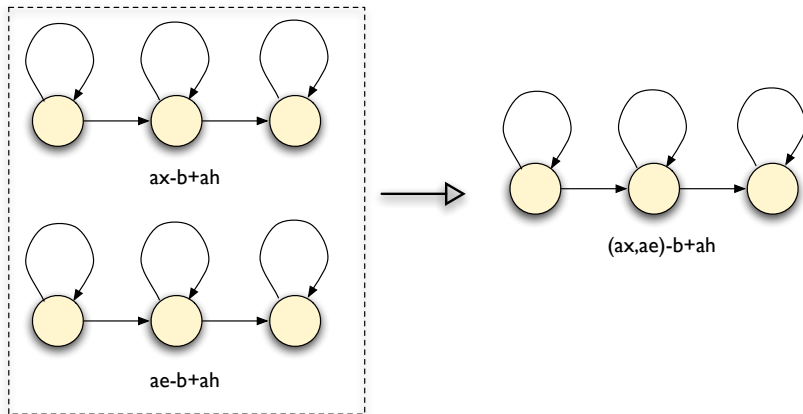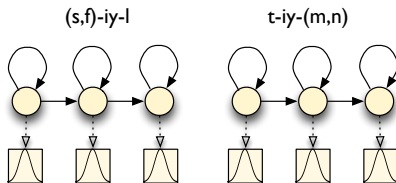
Example : Generalized triphones
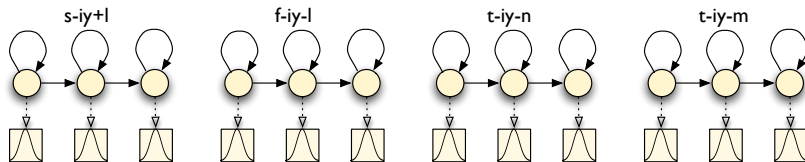

Simple triphones (no sharing)
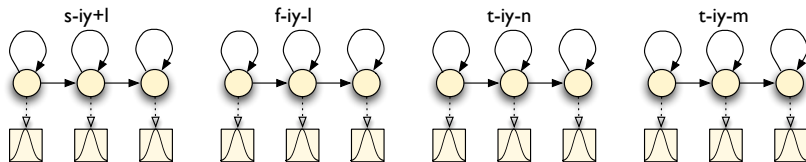
Example : Generalized triphones

Simple triphones (no sharing)

Generalized triphones (model sharing)

Simple triphones (no sharing)

State Clustering

Simple triphones (no sharing)
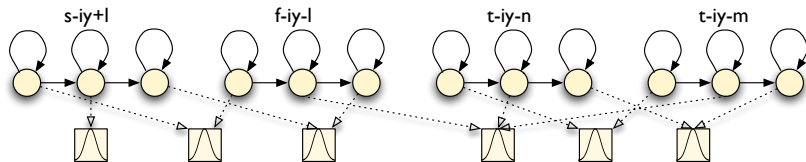
State-clustered triphones (state sharing)

State Clustering
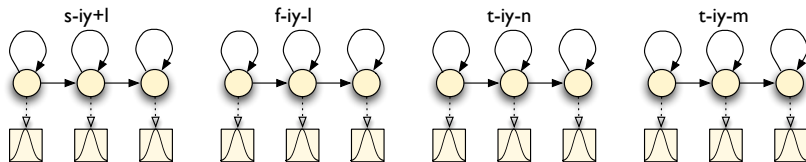
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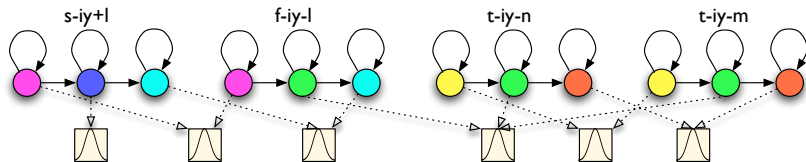
State-clustered triphones (state sharing)

Sharing States: State clustering

- **Basic idea** States which are responsible for acoustically similar data are shared
- By clustering similar states, the training data associated with individual states may be pooled together – results in better parameter estimates for the state
  1. Create a set of context dependent models for a parent phone
  2. Cluster and tie similar states, ensuring that each resultant clustered state is responsible for "enough" training data (ie setting a minimum state occupation count)
- More flexible than clustering whole models: left and right contexts may be clustered separately

Good contexts to share

- Which states should be clustered together?

- Bottom-up clustering, for triphones of the same parent phone
    1. Create raw triphone models for each observed triphone context
    2. Cluster states as before

  Drawback: unable to solve unseen triphone problem

- Top-down clustering: start with a parent context independent model then successively split models to create context dependent models

$$\text{Gain} = (L(S_1) + L(S_2)) - L(S)$$

  Phonetic decision trees

Phonetic Decision Trees

- **Basic idea** Build a decision tree for each state of each parent phone, with yes/no questions at each node
- At the root of the tree, all states are shared
- Questions split the pool of states, the resultant state clusters are given by the leaves of the tree
- Example questions:
    - Is the left context a nasal?
    - Is the right context a central stop?
- The questions at each node are chosen from a large set of predefined questions
- Choose the question which maximizes the likelihood of the data given the state clusters
- Stop splitting if either: (a) the likelihood does not increase by more than a predefined threshold; or (b) the amount of data associated with a split node would below a threshold

Phonetic Decision Tree

Cluster centre states of phone /iy/

L-nasal?

R-liquid? y

L-fricative? n

R-l? y   n

R-m? y   n

m-iy+l
n-iy+l
ng-i+l

States in each leaf node are tied
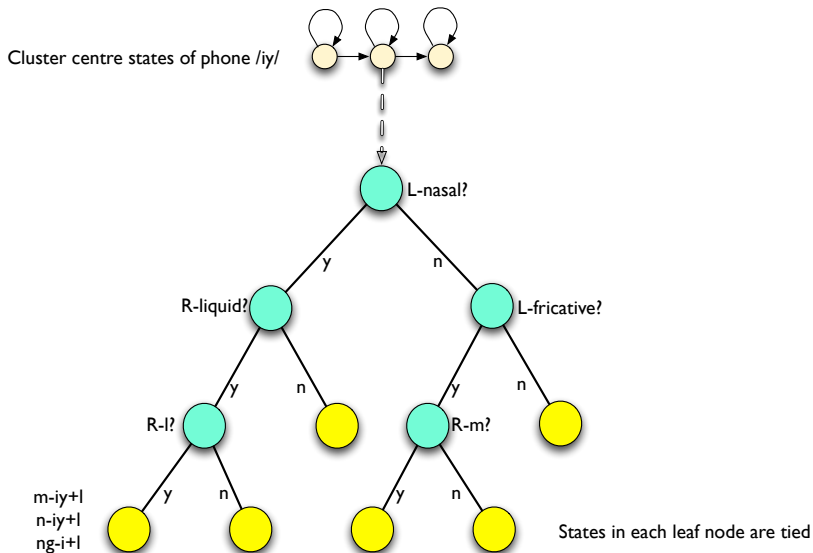
Phonetic questions

- Ask questions of the form: does phone at offset s have feature f?
- Offsets are $+/-1$ for triphone context
- Example general questions:
    - Stop: `b d g p t k`
    - Nasal: `m n ng`
    - Fricative: `ch dh f jh s sh th v z zh`
    - Liquid: `l r w y`
    - Vowel: `aa ae ah ao aw ax axr ay eh er ...`
- Example consonant questions: Un/voiced, front/central/back, voiced stop, ...
- Example vowel questions: front, central, back, long, short, diphthong, rounded, ...
- Kaldi – generates all questions automatically using a top down binary clustering

Most useful phonetic questions

- All states of all models:
  ```
  +Vowel -Vowel +Unrounded -UnFortisLenis
  +UnFortisLenis +r
  ```
- Entry state of all models:
  ```
  -UnFortisLenis -Vowel -Nasal -CentralFront
  -Unrounded -Fortis
  ```
- Exit state of all consonants:
  ```
  +Vowel +Unrounded +High +ee +Rounded +Syllabic
  ```

(for Wall St Journal read speech – Young, Odell and Woodland 1994)

Likelihood of a state cluster (1)

- **Basic idea** Compute the log likelihood of the data associated with a pool of states
- All states pooled in a single cluster at the root
- All states have Gaussian output pdf
- Let $S = \{s_1, s_2, \ldots, s_K\}$ be a pool of K states forming a cluster, sharing a common mean $\boldsymbol{\mu}_S$ and covariance Σ_S
- Let X be the set of training data
- Let $\gamma_s(x)$ be the probability that $x \in X$ was generated by state s (i.e. state occupation probability)
- The log likelihood of the data associated with cluster S is:

$$L(S) = \sum_{s \in S} \sum_{x \in X} \log P(x | \boldsymbol{\mu}_S, \Sigma_S) \gamma_s(x)$$

- Don't need to iterate through all data for each state
- If the output pdfs are Gaussian it can be shown that

$$L(\mathsf{S}) = -\frac{1}{2} \left(\log \left((2\pi)^d \, |\Sigma_S| \right) + d \right) \sum_{s \in \mathsf{S}} \sum_{\mathsf{x} \in \mathsf{X}} \gamma_s(\mathsf{x})$$

  where d is the dimension of the data
- Thus $L(\mathsf{S})$ depends on only
  - the pooled state variance Σ_S - can be computed from the means and variances of the individual states in the pool
  - and the state occupation probabilities already computed when forward-backward was carried out

State splitting (1)

- **Basic idea** Use the likelihood of the parent state and of the split states to choose the splitting question
- Split S into two partitions S_y and S_n using a question about the phonetic context
- Each partition is now clustered together to form a single Gaussian output distribution with mean $\boldsymbol{\mu}_{S_y}$ and covariance Σ_{S_y}) (for partition S_y)
- The likelihood of the data after partition is given by $L(S_y) + L(S_n)$
- The total likelihood of the partitioned data will increase by

$$\Delta = L(S_y) + L(S_n) - L(S)$$

State splitting (2)

- Basic idea Use the likelihood of the parent state and of the split states to choose the splitting question

$$\Delta = L(S_y) + L(S_n) - L(S)$$

- Cycle through all possible questions, compute Δ for each and choose the question for which Δ is biggest
- Continue by splitting each of the new clusters S_y and S_n
- Terminate when
  1. Maximum Δ falls below a threshold
  2. The amount of data associated with a split node falls below a threshold
- For a Gaussian output distribution: State likelihood estimates can be estimated using just the *state occupation counts* (obtained at alignment) and the parameters of the Gaussian – no need to use the acoustic data
- State occupation count: sum of state occupation probabilities for a state over time

"Mixing up"

- **Basic idea** Transforming an HMM-based system based on Gaussian distributions to one based on mixtures of Gaussians
- The above methods for state clustering assume that the state outputs are Gaussians – this makes the computations much simpler
- BUT: Gaussian mixtures offer much better acoustic models than Gaussians
- Solution:
  - Perform state clustering using Gaussian distributions
  - Split the Gaussian distributions in the clustered states, by cloning and perturbing the means by a small fraction of the standard deviation, and retrain.
  - Repeat by splitting the dominant (highest state occupation count) mixture components in each state

"Mixing up"
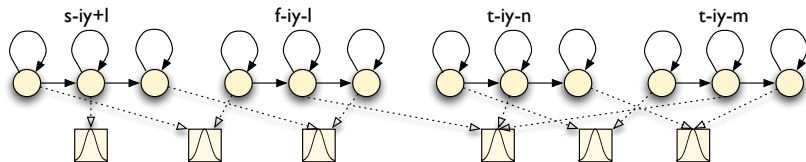
State-clustered triphones (Gaussians)
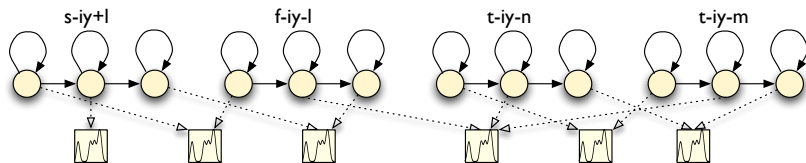
State-clustered triphones (GMMs)

Summary: Context-dependent phone models

- Share parameters through state clustering
- Cluster states using phonetic decision trees for each state of parent phone
- Use Gaussian distributions when state clustering
- Then split Gaussians and retrain to obtain a GMM state clustered system

References: context-dependent phone models

- *c*1980: First proposed by Bahl et al (IBM)
- Schwartz et al (1985): first paper using triphone models
- Lee (1990): generalized triphones
- Bellegarda (1990), Huang (1992): tied mixture modelling
- Bahl et al (1991): phonetic decision trees first proposed
- Young and Woodland (1994): state clustering
- Young et al (1994): decision tree-based state clustering
- Povey, 2012: Lecture on phonetic context-dependency in Kaldi