# Technical manual for FLBEIA a R package to conduct Bio-Economic Impact assessments using FLR (version 1.15)

Dorleta García, Raúl Prellezo, Sonia Sánchez, Marga Andrés, Agurtzane Urtizberea & Itsaso Carmona

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### Abstract

FLBEIA (FL Bio-Economic Impact Assessment) is an R package build on top of FLR libraries. The purpose of the package is to provide a flexible and generic simulation model, also called FLBEIA, to conduct Bio-Economic Impact Assessments of harvest control rule based on management strategies under a Management Strategy Evaluation (MSE) framework. The model is divided into two main blocks, the operating model (OM) and the management procedure model (MPM). The OM is formed by the biological, the fleet and the covariates components and the MPM by the observation, the assessment and the management advice components. The model is multistock, multifleet and seasonal, and uncertainty is introduced by means of montecarlo simulation. The algorithm has been coded in a modular way to ease its checking and to make it flexible. The package provides functions that describe the dynamics of the different model components and the user chooses which of the functions are used in each specific case study. Furthermore, for some of the components, if the functions provided within FLBEIA do not fulfill the requirements of a specific case study, the user can code the functions that describe better the dynamics of those components. Therefore, due to the wide choice of functionality and flexibility that provides the model, we can define it as a framework more than as a model. Main limitations of the model are that the stocks must be age structured or aggregated in biomass (length structure is not allowed), and that spatial dimension is not considered explicitly. However, spatial characteristics could be modeled assigning stocks and/or fleets/metiers to specific areas.

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### 1 Introduction

The idea of FLBEIA comes from the similarities between the different models developed to perform bioeconomic analysis in AZTI-Tecnalia. These models were pieces of code re-written in order to match with
the specific case study or fishery. These pieces, in many cases, reflected exactly the same processes with
similar dynamics that had to be slightly adapted to the different case studies. Therefore, in order to
ease the job of the modelers, we decided to develop not a model but a framework in which a model is
built. This model can be constructed combining already existing functions or developing new functions
and combining them with existing ones. The choice of the kind of model to be used in a specific case
study depends on the questions asked, which implies that not any model can be considered valid for all
purposes.

Big advances have been done the last years in the field of bio-economic modelling with the development of models such as, Fishrent [Salz et al., 2011], Fcube [Ulrich et al., 2011], FcubeEcon [Hoff et al., 2010] among others, and with the development of also some theoretical and partial assessments. However, until now there is no an universal model that can be applied to address all fishery management issues. Thus, we developed FLBEIA with the objective to integrate many of the models available in a common bio-economic impact assessment framework as a package of FLR [Kell et al., 2007] in [R Development Core Team, 2010]. FLR [Kell et al., 2007] was built with the goal of developing a common framework to facilitate collaboration within and across disciplines (e.g. biological, ecological, statistical, mathematical, economic, and social) and, in particular, to ensure that new modelling methods and software are more easily validated and evaluated, and more widely available once developed.

The package FLBEIA contains the model called FLBEIA, a collection of functions and new S4 classes developed to facilitate the simulation of fishery systems in response to different types of management strategies. The model allows the evaluation of different management strategies, in a wide variety of case studies and scenarios, under Management Strategy Evaluation framework [Butterworth and Punt, 1999, Butterworth, 2007, De la Mare, 1998, Punt and Donovan, 2007, Rademeyer et al., 2007], and identifies the potential economic and biological consequences of a proposed policy action.

The main characteristics of FLBEIA package are:

- It is coded in a generic, flexible and extensible way.
- Provides functions to condition the simulations, to run them and to analyze the results.

In fact, a mayor effort has been set on the second functionality, namely the simulation model. The main characteristics of the FLBEIA simulation model are:

- The model is fully biological-economic coupled and provides fully integrated bio-economic assessment.
- The model deals with multi-species, multi-fleet and multi-metier situations.
- The model can be run using seasonal steps (smaller or equal to one year).
- It is generic, flexible and extensible.
- Uncertainty can be introduced in almost any of the parameters used.

A conceptual diagram of the model is shown in Figure 1. The simulation is divided in two main blocks: the Operating Model (OM) and the Management Procedure Model (MPM). The OM is the part of the model that simulates the real dynamics of the fishery system and the MPM is the part of the model that simulates the whole management process.

The OM has three components that can interact among themselves:

- 1. The biological populations or stocks.
- 2. The fleets.
- 3. The covariates. They can be of any nature; environmental, economical or technical.

The MPM has also three components:

- 1. The data collected from the OM.
- 2. The observed population obtained through the application of a set of assessment models to the observed data.
- The management advice obtained from the application of harvest control rules (HCR) to the observed populations.

The model is built modularly with a top-down structure that has, at least, four levels:

# **Operating Model (OM) Observation Model** Fish **Data** stocks **Assessment** Model **Perceived System Harvest Implementation Control Rule** Covari Model **Fleets** Advice Management Procedure Model (MPM)

Figure 1: Conceptual representation of the main components modelled in FLBEIA. Source: [Garcia et al., 2013]

- 1. In the first level (top level), there is only one function, FLBEIA function. It calls the functions on the second level in a determined order and it links the main components (stocks, fleets, covariates, data, observed population and management advice) of the OM and MPM.
- 2. The functions in the second level correspond with the generation of each of the components in the Figure 1. The OM components project the objects one season forward: biols.om projects the stocks, fleets.om projects the fleets and covars.om projects the covariates. The MPM components generate the objects necessary to produce the management advice, they generate the objects based on OM objects and they operate at most once a year: observation.mp generates the data, assessment.mp generates the observed population and advice.mp generates the management advice. They take the input objects and return only those related to the component they belong to.
- 3. The functions in the third level define the specific dynamics of each component and they are chosen by the user in each simulation. They are always called by a second level function and in some cases, a third level function also calls fourth level functions. For example a function that describes the dynamics of an age structured population can call a stock recruitment function. In this way, a function used to describe age structured populations can be combined with different stock recruitment relationships.
- 4. The functions in the fourth level are called by functions in the third level and are used to model the most basic processes in the simulation. They are coded as a function and selected by the user because it could be interesting to use the same third level function together with different fourth level functions, as in the case of age structured population and stock recruitment functions.

This top down structure allows avoiding the classical structure of separated biological and economic (and social) modules (that could be integrated or not). Therefore, when the model is designed and the modeler takes the decision of including a particular characteristic, it does not make any difference if the characteristic is biological or economical, only matters at which level the characteristic is.

FLBEIA framework permits to incorporate new third and lower level functions or to modify them, while first and second level ones are fixed. Changing first or second level functions would imply a different approach, but the existing third and lower level functions would be useful.

In the next Sections FLBEIA's conceptual model and its specifications are explained. Firstly, in Section 2, the conceptual model characterizes the main components as well as the feedbacks and loops among them. Secondly, in Section 3, it is explained how to run FLBEIA to perform MSE. Thirdly, in Section 4, the model specification describes the components, the currently available functions by level, and how to

use them within the FLBEIA package. Next, in Section 5, a way to easily condition the model is presented. And finally, in Section 6, the FLBEIA function output is described.

# 2 The concept of FLBEIA

The simulation model is divided in two main blocks, the Operating Model (OM) and the Management Procedure Model (MPM). This division is part of the requirements of the MSE approach, that is, the model includes a mathematical representations of the real world (OM), the observed world (MPM) and the interactions between them.

### 2.1 Operating model

The OM is the part of the model that simulates the real dynamics of the fishery system. It is divided in three components or operating models, the biological, the fleets and the covariates operating model. It runs in seasonal time steps, and it projects the components in each time step. Firstly, it updates the biological component, secondly the fleet component and finally the covariates component.

### Biological component

The biological component simulates the population dynamics of the stocks. The number of populations is, in principle, unlimited. The limitation could come from memory problems with R and/or the operating system. The stocks can be described as age structured populations or as biomass dynamics populations, since length structured populations models are not supported by the simulation algorithm. Each stock can follow a different population dynamics model and is projected independently. It does not mean that they cannot be interdependent between them but the order in which these biological components are updated has to be decided and it will affect the results obtained.

### Fleet component

The fleet component simulates the behaviour and dynamics of the individual fleets. As the number of the stocks, the number of fleets is in principle unlimited. The limitation could come from memory problems with R and/or the operating system used. The activity of the fleets is divided into metiers. The metiers are formed by trips that have the same catchability for all the stocks. Fleet fishing effort and effort share among metiers are independently updated for each fleet in each season. Fleet catchability and/or capacity is updated annually, independently for each fleet, through capital dynamics according to its own economic performance.

### Covariates component

This part of the model incorporates all the variables that are not part of the biological or fleet components and that affect any of the operating model components or the management process. The number of covariates is, in principle, unlimited. The limitation could come from memory problems with R and/or the operating system used.

### Links among and within components

The links within the OM components are not restricted by the general settings of the simulation model. Therefore, it is the user who decides which are the links that should be included in the model. The possible links that can be included are:

- The link within the biological component, where catch affects abundance.
- The link within the *fleets* component, where fleet capacity affects fishing effort.
- The link between the biological and fleets components, where fishing effort and fish abundance affects catches.

### 2.2 Management procedure model

The Management Procedure Model (MPM) is divided into 3 components: the observation, the assessment and the management advice. The observation component produces the required data to run the assessment. Then, the assessment component is applied to those data to obtain the observed populations. Finally, the management advice component produces a management advice based on the observed populations. MPM procedure is applied yearly in the appropriate season of the year. Not necessarily in the last season, for example, it can be simulated as in the case of anchovy in the Bay of Biscay, where

management is applied from the mid-season of one year to the mid-season of the next year. Simulations with multi-annual advice is also possible.

### Observation component

The observation component generates the required objects to run the assessments. Three types of objects can be generated:

- Stocks.
- Fleets.
- Abundance indices.

Stocks and abundance indices objects are generated independently, stock by stock, whereas fleets are observed jointly. These objects are generated based on the variation that is introduced in the components of the OM. This variation can be due to:

- Introducing uncertainty to the OM variables, or
- adjusting the OM variables to the assessment model requirements which is going to be used in the next step (e.g. collapsing the dimensions -age, season,...), or
- adjusting the OM variables to the legal conditionings (TACs, quotas, TAE, discards,...).

### Assessment component

Assessment models are applied on a stock by stock basis and they can vary from stock to stock.

### Management advice component

The management advice component produces a set of indicators (determined by the user) useful for policy making. The management advice is produced based on the output obtained from the observation and assessment components. The advice is first applied at single stock level and after that it can be applied at fleet level.

# 3 Running FLBEIA

### 3.1 Input objects

FLBEIA requires some input arguments to run a simulation. There are two types of arguments: the main arguments, which give information on the stocks, the fleets and the covariates, and the control arguments, which control the behaviour of the main and the second level functions (see Section 4.2). The main arguments contain biological information on the stocks (biols, SRs and BDs), information on the fleets (fleets), additional variables (covars) and information on management (indices, advice). Regarding the control arguments, there is a control object related to the main function FLBEIA (main.ctrl), whereas the others relate to the main arguments (biols.ctrl, fleets.ctrl, covars.ctrl, obs.ctrl, assess.ctrl, advice.ctrl). For detailed information on the input objects required see Section 4.1.

In order to easy the creation of the objects with the appropriate object format some additional functions has been implemented to create the inputs (see Section 5.1). Additionally, several examples has been coded for guidance (see Section 5.2).

### 3.2 Main function: FLBEIA

To perform biological and economic simulations it is necessary to invoke the main function, FLBEIA, which calls to different subfunctions to perform the simulations depending on the control elements set. FLBEIA function is called as follows:

For more details on the FLBEIA function see Section 4.1.

### 3.3 Output object

The output of FLBEIA function is a list containing information on the expected evolution of the fish stocks (biols), the fleets (fleets), the covariates (covars), the provided advice (advice), the assessed stocks and observed indices (stocks and indices), the control elements for the fleets (fleets.ctrl) and the versions of the different packages used to run the simulations (pkgs.versions). All the elements except stocks and pkgs.versions correspond with the updated versions of the objects used in the call to FLBEIA.

It has the following structure:

Description of the outputs:

biols: FLBiols object containing historical and future "real" evolution of the stock.

fleets: FLFleetsExt object containing historical and future "real" evolution of the fleets regarding effort exerted, distribution among metiers, catches, prices and so on.

covars: Named list containing historical and future evolution of the different covariates.

advice: List containing information on management advice (e.g. TAC, TAE, quota.share).

stocks: Named list with one element per stock of class FLStock or NULL, if a FLStock is not needed to run the assessment. Contains the perceived stocks used in the management procedure to produce the management advice. For details on FLStock object see Figure B.6.

indices: Named list with one element per stock of class FLIndices or NULL, if a FLIndices is not needed to run the assessment.

fleets.ctrl: Control object used for the fleets.om function.

pkgs.versions: Matrix indicating the packages and package version used along the simulation.

### 4 FLBEIA functions

### 4.1 First level function: FLBEIA

FLBEIA function is a multistock, multifleet and seasonal simulation algorithm coded in a generic, flexible and extensible way. It is generic because it can be applied to any case study that fit into the model restrictions. The algorithm is made up by third and fourth level functions specified by the user. In addition of the existing functions new ones can be defined and used if necessary. This is why we define the model as flexible and extensible.

To determine the simulation, the third- and fourth-level functions must be specified in the main function FLBEIA. For this purpose it has a control argument associated to each second level function. These control arguments are lists which include the name of the functions to be used in the simulations and any extra argument required by those functions that is not already contained in the main arguments. FLBEIA function is called as follows:

Main arguments:

biols: An FLBiols object (list of FLBiol objects). The object must be named and the names must be the same as in the SRs object, the BDs object and the catches slots within FLFleetExts object. For details on FLBiol object see Figure B.1.

SRs: A list of FLSRsim objects. This object is a simulation version of the original FLSR object. The object must be named and the names must be the same as in the FLBiols object. For details on FLSRsim object see Figure B.3.

BDs: A list of FLBDsim objects. This object is similar to FLSRs object but oriented to simulate population growth in biomass dynamics populations. The object must be named and the names must coincide with those used in FLBiols object. For details about FLBDsim object see Figure B.4.

- fleets: An FLFleetsExt object (list of FLFleetExt objects). FLFleetExt object is almost equal to the original FLFleet object but the FLCatch object in catch slot has been replaced by FLCatchExt object. The difference between FLCatch and FLCatchExt objects is that FLCatchExt has two extra slots alpha and beta used to store Cobb-Douglas production function parameters,  $\alpha$  and  $\beta$ , [Cobb and Douglas, 1928, Clark, 1990].  $\alpha$  corresponds with the exponent of effort and  $\beta$  to the exponent of biomass. The FLFleetsExt object must be named and these names must be consistently used in the rest of the arguments. For details about FLFleetExt object see Figure B.2.
- covars: An FLQuants object. This object is not used in the most basic configuration of the algorithm. Its content depends on the third or lower level functions that make use of it.
- indices: A list of FLIndex objects. Each element in the list corresponds with one stock. The list must be named and the names must be the same as in the FLBiols object. For details about FLIndex object see Figure B.5.
- advice: A list with three elments (usually: TAC, TAE and quota.share). The class and content of its elements depends on two functions, the function in fleet.om defined to simulate fleets' effort and the function used to produce advice in advice.mp.

Control arguments:

- main.ctrl: Controls the behaviour of the main function, FLBEIA. For details on main.ctrl object see Table C.1.
- biols.ctrl: Controls the behaviour of the second level function biols.om. For details on biols.ctrl object see Table C.2.
- fleets.ctrl: Controls the behaviour of the second level function fleets.om. For details on fleets.ctrl object see Table C.3.
- covars.ctrl: Controls the behaviour of the second level function covars.om. For details on covars.ctrl object see Table C.4.
- obs.ctrl: Controls the behaviour of the second level function observation.mp. For details on obs.ctrl object see Table C.5.
- assess.ctrl: Controls the behaviour of the second level function assessment.mp. For details on assess.ctrl object see Table C.6.
- advice.ctrl: Controls the behaviour of the second level function advice.mp. For details on advice.ctrl object see Table C.7.

### 4.2 Second level functions

### 4.2.1 Biological component: biols.om

The call to the function within FLBEIA is done as:

biols.om(biols, fleets, SRs, BDs, covars, biols.ctrl, year, season)

This function projects the stocks one season forward. The projection is done independently stock by stock by the third level function specified for each stock in biols.ctrl object. Currently, there are three population dynamics functions implemented, one corresponding to age structured populations, ASPG, the second one to biomass dynamics populations, BDPG and another one to fixed populations (given as input), fixedPopulation. These functions do not include predation among stocks, but this kind of models could be implemented and used in the algorithm if necessary.

Control arguments:

biols.control: This argument is a list which contains the necessary information to run the third level functions that are called by biols.om. The elements depend on the third and lower level functions used to describe the dynamics of the stocks. The list must contain at least one element per stock and the name of the element must coincide exactly with the name used in biols argument so it can be used to link the population with its dynamics model. At the same time, each of these elements must be a list with at least one element, growth.model, which specifies the name of the function used to describe population dynamics (options: ASPG, BDPG or fixedPopulation).

> biols.ctrl

For example:

```
$NHKE
$NHKE$growth.model
[1] "ASPG"

$CMON
$CMON$growth.model
[1] "BDPG"

$FAKE
$FAKE$growth.model
[1] "ASPG"
```

### 4.2.2 Fleets component: fleets.om

The call to fleets.om function within FLBEIA is done as:

```
fleets.om(fleets, biols, covars, advice, fleets.ctrl, advice.ctrl, year, season)
```

This function projects the fleets one season forward. The main argument, fleets, is an object of class FLFleetsExt (for more detail see Section 4.1)

The function is divided in three processes related to fleet dynamics: the effort model, the price model and the capital model. Effort and capital models are fleet specific, whereas price model is fleet and stock specific. First, fleets.om calls the effort model and it updates the slots related to effort and catch. The effort models are called independently fleet by fleet. Then, fleets.om calls the price model in fleet by fleet and stock by stock basis, which updates the price slot in the fleets object. Finally, but only in the last season of the year, the function calls the capital model. Thus, investment and disinvestment is only done annually. The capital model is called independently fleet by fleet.

Effort model: This part of the model simulates the tactical behaviour of the fleet every season and iteration. In each time step and iteration, the effort exerted by each individual fleet and its effort-share among metiers is calculated depending on the stock abundance, management restrictions or others. After that, the catch produced by the combination of effort and effort-share is calculated and discards, discards.n, landings, landings.n slots are filled. Other stored variables in fleets.ctrl could also be updated here, for example quota.share, as a result of the exerted effort.

The effort model is specified at fleet level, so each fleet can follow a different effort model. At the moment there are 4 functions available: fixedEffort, SMFB, SSFB and MaxProfit. To write new functions for effort, it must be taken into account that the input arguments must be found among fleets.om function arguments and that the output must be a list with updated FLFleetsExt and fleets.ctrl objects, i.e.:

```
list(fleets = my_fleets_obj, fleets.ctrl = my_fleets.ctrl_obj)
```

**Price Model:** The price model updates the price-at-age at stock, metier and fleet level in each time step and iteration.

At the moment, there are 2 functions available: fixedPrice and elasticPrice. To write new functions for price it must be taken into account that the input arguments must be found among fleets.om function arguments and that the output must be a list with an updated FLFleetsExt object.

Capital Model: This module is intended to simulate the strategic behaviour of the fleets, namely, the investment and disinvestment dynamics. The model is applied at fleet level and in an annual basis and can affect fleets' capacity and catchability. Catchability could be modified through investment in technological improvement and capacity as a result of an increase (investment) or decrease (disinvestment) in the number of vessels. Changes in fleets' capacities could produce a variation in quota share among fleets, for example. Thus, the corresponding change would have to be done in fleets.ctrl object.

At the moment, there are 2 functions available: fixedCapital and SCD. To write new functions for capital dynamics, as for effort and price, it must be taken into account that the input arguments must be found among fleets.om function arguments and that the output must be a list with updated FLFleetsExt and fleets.ctrl objects.

Control arguments:

fleets.ctrl: The most simple example of fleet dynamics model and hence the most simple fleets.ctrl object correspond with the model where all the parameters in fleets object are given as input and

maintained fixed within the simulation. This is obtained using the third level functions, fixedEffort, fixedPrice and fixedCapital which do not need any extra arguments. In the case of two fleets, FL1 and FL2, where FL1 catches 3 stocks, ST1, ST2 and ST3 and FL2 catches ST1 and ST3 stocks, the fleets.ctrl could be created using the following code:

```
>fleets.ctrl <- list()
# The fleets
>fleets.ctrl[['FL1']] <- list()</pre>
>fleets.ctrl[['FL2']] <- list()
# Effort model per fleet.
>fleets.ctrl[['FL1']]$effort.model <- 'fixedEffort'</pre>
>fleets.ctrl[['FL2']]$effort.model <- 'fixedEffort'
# Price model per fleet and stock.
>fleets.ctrl[['FL1']][['ST1']]$price.model <- 'fixedPrice'</pre>
>fleets.ctrl[['FL1']][['ST2']]$price.model <- 'fixedPrice'</pre>
>fleets.ctrl[['FL1']][['ST3']]$price.model <- 'fixedPrice'</pre>
>fleets.ctrl[['FL2']][['ST1']]$price.model <- 'fixedPrice'</pre>
>fleets.ctrl[['FL2']][['ST3']]$price.model <- 'fixedPrice'
# Capital model by fleet.
>fleets.ctrl[['FL1']]$capital.model <- 'fixedCapital'
>fleets.ctrl[['FL2']]$capital.model <- 'fixedCapital'
> fleets.ctrl
  $FL1$effort.model
  [1] "fixedEffort"
  $FL1$ST1
  $FL1$ST1$price.model
  [1] "fixedPrice"
  $FL1$ST2
  $FL1$ST2$price.model
  [1] "fixedPrice"
  $FL1$ST3
  $FL1$ST3$price.model
  [1] "fixedPrice"
  $FL1$capital.model
  [1] "fixedCapital"
  $FL2
  $FL2$effort.model
  [1] "fixedEffort"
  $FL2$ST1
  $FL2$ST1$price.model
  [1] "fixedPrice"
  $FL2$ST3
  $FL2$ST3$price.model
  [1] "fixedPrice"
  $FL2$capital.model
  [1] "fixedCapital
```

### 4.2.3 Covariates component: covars.om

covars.om projects covars object one season forward. covars object is a named list and the class and dimension of each element will depend on the function used to project it into the simulation.

The call to covars.om function within FLBEIA is done as:

```
covars.om(biols, fleets, covars, advice, covars.ctrl, year, season)
```

Internally, for each element in the covars list, it calls to the third level functions specified in the covars.ctrl object. At the moment, there exist 2 third level functions: fixedCovar, which is used to work with variables that are input parameters not updated within the simulation and ssb.get, which is used to get the real Spawning Stock Biomass of one of the simulated stocks.

The economic variables used in the capital dynamics model SCD should be stored in covars object and updated in each step using values in fleets object.

Control arguments:

covars.ctrl: This argument is a named list with one element per covariate and the names of the list must match those used to name the covars object. Each of the elements is, at the same time, a list with, at least, one element, dyn.model, which defines the dynamics of the covariate in question (options: fixedCovar, ssb.get).

This way of working could be useful, for example, for environmental variables such as sea surface temperature that could affect catchability or recruitment in the fleet and biological operating models respectively and that are external to fishery system.

A covariate with a non-trivial dynamics could be the abundance of certain animal which is not commercially exploited by the fleet, but which abundance affects the natural mortality of any of the exploited stocks. In this case, 2 extra functions will be needed, the function that defines the dynamics of the covariate and the function that models the natural mortality of the stock as a function of the abundance of the animal. The first function should be declared in <code>covars.ctrl</code> argument and the former one in <code>biols.ctrl</code> argument as a stock dynamics model.

### 4.2.4 Observation component: observation.mp

The observation component generates the necessary data to run the assessment models. The main function is observation.mp and it calls third level functions which generate 3 possible objects, a FLStock, a FLIndices or a FLFleetsExt object. The FLStock and FLIndices objects are generated independently for each stock and the FLFleetsExt object jointly for all the fleets.

The call to observation.mp function within FLBEIA is done, stock by stock, as follows:

```
observation.mp(biols, fleets, covars, indices, advice, obs.ctrl, year, season, stknm)
```

where stknm is the name of the stock to be observed and its name matches with those used in the biols object.

The output of observation.mp is a list with 3 elements. The first element, stock is an object of class FLStock or NULL, if a FLStock is not needed to run the assessment. The second element, indices, is a named list with one element per stock and its names correspond with those used in biols object. The elements of the indices list are of class FLIndices or NULL, if a FLIndices is not needed to run the assessment. The third element, fleets.obs, is an observed version of the original fleets object. At the moment, there is no third level function implemented to generate observed fleets.

As the management process is currently run in a yearly basis, the unit and season dimensions are collapsed in all the observed objects. Moreover, if the management process is being conducted at the end of year y the observed objects extend up to year y-1, whereas they extend up to year y in the cases when management process is conducted in any other season as it happens in reality.

Control arguments:

obs.ctrl: The obs.ctrl object must be a named list where the names used correspond with those used in the FLBiols object. Each stock element is, at the same time, a list with two elements (stkObs and indObs) and these two elements are once again lists. A scheme of obs.ctrl object is presented in Table C.5.

The stkObs element is a list with the arguments necessary to run the third level function used to generate the FLStock object. In the list there must be at least one element, stkObs.model, with the name of the third level function that will be used two generate the FLStock object. If it is not required to generate a FLStock object, then NoObsStock value should be assigned to stkObs.model argument and this function will return the NULL object.

The ind0bs element is a list with one element per index in the FLIndices object. Each element of the list is, at the same time, a list with the arguments necessary to run the third level function

used to generate the FLIndex object. In the list there must be at least one element, indObs.model, with the name of the third level function that will be used two generate the FLIndex object. If it is not required to generate a FLIndices object, then indObs element will be set equal to NoObsIndex instead of a list and this will return the NULL object instead of a FLIndices for the corresponding stock.

### 4.2.5 Assessment component: assessment.mp

The assessment component applies an existing assessment model to the stock data objects generated by the observation model (FLStock and FLIndices). The assessment models are applied stock by stock, independently.

The call to assessment.mp function within FLBEIA is done as follows:

```
assessment.mp(stocks, fleets.obs, indices, assess.ctrl, datayr, stknm)
```

where stknm is the name of the stock to be assessed and its name corresponds with one of those used in the biols object.

The output of the function is a list of FLStocks with harvest, stock.n and stock slots updated. Within FLBEIA no new assessment models are provided, but the models already available in FLR can be used.

Control arguments:

assess.ctrl: This argument is a named list with one element per stock, where the names must coincide with those used in the biols object. The elements must have at least one element, assess.model, which defines the name of the assessment model to be used for each stock. Furthermore, if the assessment model to be used is non-trivial (i.e. different to NoAssessment), the list must contain a second argument control with the adequate control object to run the assessment model.

### 4.2.6 Management advice component: advice.mp

The management advice component generates an advice based on the output of assessment and/or observation components.

The call to advice.mp function within FLBEIA is done, stock by stock, as follows:

```
advice.mp(stocks, fleets.obs, indices, covars, advice, advice.ctrl, year, season, stknm)
```

where stknm is the name of the stock to be assessed and its name correspond with one of those used in the biols object.

The output of the function is an updated advice object.

Depending on the structure of the third level functions used to generate advice and to simulate fleet dynamics, the advice could be an input advice (effort, temporal closures, spatial closures -implicitly through changes in catchability-...) or an output advice (catch).

advice: The structure of advice object is open and it is completely dependent on the third level functions used to describe fleet dynamics and to generate the advice. For example, if SMFB and annualTAC are used to describe fleet dynamics and generate the advice respectively, then advice is a list with two elements, TAC and quota.share. TAC is an annual FLQuant with the quant dimension used to store stock specific TACs and, quota.share is a named list with one element per stock being the elements FLQuant-s with quant dimension used to store fleet specific annual quota share.

Control arguments:

advice.ctrl: This argument is a named list with one element per stock and one more element for each fleet. The names must coincide with those used to name biols object and the name of the extra argument must be fleets. The elements of the list are, at the same time, lists with at least one element, HCR.model, with the name of the model used to generate the single stock and fleet advice depending on the case.

### 4.3 Third level functions

### 4.3.1 Population growth functions

The following population growth functions are currently defined:

### fixedPopulation: Fixed population function

In this function all the parameters are given as input, because there is not any population dynamics simulated. For the stocks for which we select its dynamics as fixed population, natural mortality (i.e. biols[[stock.name]]@m) has to be set equal to 0 and additionally, if the population is aggregated in biomass, biomass growth (i.e. BDs[[stock.name]]@gB) has also to be set equal to 0.

### ASPG: Age Structured Population Growth function

The function ASPG describes the evolution of an age structured population using an exponential survival equation for existing age classes and a stock-recruitment relationship to generate the recruitment. The recruitment can occur in one or more seasons. However, the age is measured in integer years and the seasonal cohorts are tracked separately. The seasonal cohorts and their corresponding parameters are stored in the 'unit (u)' dimension of the FLQuant-s. And all the individuals move from one age group to the following one in the 1st of January. Thus, being  $\phi$  the recruitment function, RI the reproductive index, N the number of individuals, M the natural mortality, C the catch,  $a_0$  the age at recruitment,  $s_0$  the season when the recruitment was spawn, and a, y, u, s the subscripts for age, year, unit and season respectively, the population dynamics can be written mathematically as:

If s = 1,

$$N_{a,y,u,1} = \begin{cases} \phi\left(RI_{y=y-a_0,s=s-s_0}\right) &, a = a_0\\ \left(N_{i_a} \cdot e^{-\frac{M_{i_a}}{2}} - C_{i_a}\right) \cdot e^{-\frac{M_{i_a}}{2}} &, a_0 < a < A\\ \left(N_{i_{A-1}} \cdot e^{-\frac{M_{i_{A-1}}}{2}} - C_{i_{A-1}}\right) \cdot e^{-\frac{M_{i_{A-1}}}{2}} + \\ \left(N_{i_A} \cdot e^{-\frac{M_{i_A}}{2}} - C_{i_A}\right) \cdot e^{-\frac{M_{i_A}}{2}} &, a = A \end{cases}$$

$$(1)$$

where  $i_a = (a - 1, y - 1, u, ns)$ ,  $i_{A-1} = (A - 1, y - 1, u, ns)$  and  $i_A = (A, y - 1, u, ns)$ . If s > 1,

$$N_{a,y,u,s} = \begin{cases} \phi(RI_{y=y-a_0,s=s-s_0}) &, a = a_0\\ (N_{i_a} \cdot e^{-\frac{M_{i_a}}{2}} - C_{i_a}) \cdot e^{-\frac{M_{i_a}}{2}} &, a_0 < a \le A \end{cases}$$
 (2)

where  $i_a = (a, y, u, s - 1)$ .

And the reproductive index RI is given by:

$$RI_{y-a_0,s} = \sum_{a} \sum_{u} (N \cdot wt \cdot mat \cdot fec \cdot exp - (M \cdot M_{spwn} + F \cdot F_{spwn}))_{a,y-a_0,u,s}$$
(3)

where wt is the mean weight, mat is the percentage of mature individuals, fec is the fecundity parameter,  $M_{spwn}$  and  $F_{spwn}$  are the proportion of natural and fishing mortality, respectively, occurring before spawning.

The stock-recruitment relationship  $\phi$  is specified in the model slot of corresponding FLSRsim object. FLSRsim object enables modeling a great variety of stock-recruitment relationships depending on its functional form and seasonal dynamics. Details on available stock-recruitment relationships are given in Section 4.4.1.

### BDPG: Biomass Dynamics Population Growth function

The function BDPG describes the evolution of a biomass dynamics population, i.e. a population with no age, stage or length structure. The population is aggregated in biomass, B, and the growth of the population, g is a function of the current biomass and the catch C. The model is mathematically described in Equation 4:

$$B_{s,y} = \begin{cases} B_{s-1,y} + g(B_{s-1,y}) - C_{s-1,y} & , s \neq 1 \\ B_{ns,y-1} + g(B_{ns,y-1}) - C_{ns,y-1} & , s = 1 \end{cases}$$
(4)

where s and y are the subscripts for age and year, respectively, and ns is the number of seasons. As FLBEIA is seasonal, the equation also depends on the season. The growth model g and its parameters are specified, respectively, in the model and params slot of corresponding FLBDsim class. Currently only Pella and Tomlinson model [Pella and Tomlinson, 1969] is implemented to model growth, but new models can be defined if needed.

The following parameterization of the growth model has been implemented:

$$g(B) = B \cdot \frac{r}{p} \cdot \left[ 1 - \left( \frac{B}{K} \right)^p \right] \tag{5}$$

where r is the intrinsic rate of population increase, K the carrying capacity and p the assymetry parameter. Additionally, there has been added a restriction in order to avoid negative values. This arises when population is at high biomass values (well above carrying capacity) and outside the range of observed biomass levels in the past. Moreover, it doesn't occur for larger catches that result in lower biomass levels. Intuitively, this seemed to be contradictory because the population collapsed in the absence of catches case and remained stable for higher catch levels. Therefore, in the absence of catches, we restrict the biomass to be  $\alpha$  times the carrying capacity ( $B_t \leq \alpha \cdot K$ ). In other words,  $B_t = min(B_t, \alpha \cdot K)$ . But note that:

$$\alpha \ge 1$$

$$\alpha \le \left(\frac{p}{r} + 1\right)^{\frac{1}{p}}$$

Note that when we introduce stochasticity in the parameters of the Pella-Tomlinson model (e.g. from a Bayesian model or from a bootstrapping) we have a range of values for the surplus production model. So that  $\alpha$  must be smaller than the minimum value across iterations:  $\alpha \leq min_i((p_i/r_i+1)^{1/p_i})$ . The value of  $\alpha$  has to be introduced by the user in the BDs[[stock.name]]@alpha. Above restrictions will be checked in FLBEIA and it will print an error if they are not fullfilled. Finally, note that these restrictions arise from the no catch case. So, even after restricting the biomass, there might be cases when some levels of catches lead to negative biomasses.

When working with populations structured in biomass, the biomass values has to be stored in the \*.n slots, whereas \*.wt slots has to be set to 1.

### 4.3.2 Effort models

The following effort model functions are currently defined:

### fixedEffort: Fixed effort model

In this function all the parameters are given as input except discards and landings (total and at age). The only task of this function is to update the discards and landings (total and at age) according to the catch production function specified in fleets.ctrl argument.

Two arguments need to be declared as elements of fleets.ctrl if this function is used, effort.model = 'fixedEffort' and catch.model. The last argument is used to specify the catch production function that will be used to generate the catch. Note that first argument must be declared at fleet level (i.e fleets.ctrl[[fleet.name]]\$effort.model), second argument at fleet and stock level (i.e. fleets.ctrl[[fleet.name]][[stock.name]]\$catch.model) and that catch production model corresponds with a fourth level function. For more details see Section 4.4.2.

### SMFB: Simple Mixed Fisheries Behaviour model

This model is a simplified version of the behavior of fleets that work in a mixed fisheries framework. The function is seasonal and assumes that effort share among metiers is given as input parameter.

In each season, the effort of each fleet, f, is restricted by the seasonal landing quotas or catch quotas of the stocks that are caught by the fleet. Additionally, the option of Landing Obligation (LO) is included. The following steps are followed in the calculation of effort:

1. Compare the overall seasonal quotas,  $\sum_f Q_{f,s,st} \cdot TAC$ , with the abundances of the stocks. If the ratio between overall quota and abundance exceeds the seasonal catch threshold,  $\gamma_{s,st}$ , reduce the quota share in the same degree. Mathematically:

$$Q'_{f,s,st} = \begin{cases} Q_{f,s,st} & , \text{ if } \frac{\sum_{f} Q_{f,s,st} \cdot TAC}{B_{s,st}} \le \gamma_{s,st} \\ Q_{f,s,st} \cdot \frac{B_{s,st} \cdot \gamma_{s,st}}{\sum_{f} Q_{f,s,st} \cdot TAC} & , \text{ otherwise} \end{cases}$$
(6)

- 2. According to the catch production function, calculate the efforts corresponding to the landing or catch quotas,  $Q'_{f,s,st} \cdot TAC$ , of the individual stocks,  $\{E_{f,s,st_1}, \ldots, E_{f,s,st_n}\}$ .
- 3. Based on the efforts calculated in the previous step, calculate an unique effort,  $E_{f,s}$ . To calculate this effort the following options can be used:

max: The maximum among possible efforts,  $\hat{E}_{f,s} = \max_{j=1,\dots,n} E_{f,s,st_j}$ 

min: The minimum among possible efforts,  $\hat{E}_{f,s} = \min_{j=1,\dots,n} E_{f,s,st_j}$ 

mean: The mean of possible efforts,  $\hat{E}_{f,s} = \text{mean}_{j=1,\dots,n} E_{f,s,st_j}$ 

previous: The effort selected is the effort most similar to previous year effort on that season,

$$\hat{E}_{f,s} = \left\{ E_{f,s,st} : \left| 1 - \frac{E_{f,s,st}}{E_{f,y-1,s}} \right| = \min_{j=1,\dots,n} \left| 1 - \frac{E_{f,s,st_j}}{E_{f,y-1,s}} \right| \right\}$$

stock.name: The effort corresponding to stock.name is selected:  $\hat{E}_{f,s} = E_{f,s,\text{stock.name}}$ 

If there is LO, instead of using the option chosen by the user, the option to calculate the unique effort will be the minimum among possible efforts.

- 4. When LO is applied, calculate the new effort using the exemptions and flexibilities (de Minimis, year transfer and quota swap).
  - de Minimis: The fleet is allowed to discard a percentage of the quota to increase the effort in order to catch other stocks.
  - year transfer: The fleet can borrow next year's quota to catch it in the current year.
  - quota swap: A percentage of the quota of one stock can be transferred to the effort limiting stock if two stocks are in the same group. These groups are specifiyed by the user.
- 5. Compare the effort,  $\hat{E}_{f,s}$ , with the capacity of the fleet,  $\kappa_f$  (capacity must be measured in the same units as effort and it must be stored in the capacity slot of the FLFLeetsExt object). If the capacity is bigger, then the final effort is unchanged and if the capacity is smaller, the effort is set equal to the capacity, i.e.:

$$E_{f,s} = \begin{cases} \kappa_f & , \text{ if } \kappa < \hat{E}_{f,s} \\ \hat{E}_{f,s} & , \text{ if } \kappa \ge \hat{E}_{f,s} \end{cases}$$
 (7)

6. The catch corresponding to the effort selected is calculated for each stock and compared with the corresponding quota. If the catch is not equal to the quota and the season is not the last one, the seasonal quota shares of the rest of the seasons are reduced or increased proportionally to their weight in the total share. The shares are changed in such a way that the resultant annual quota share is equal to the original one. In case the difference between actual catch and that corresponding to the quota exceeds the quota left over in the rest of the seasons, the quota in the rest of the seasons is canceled. Mathematically for season i where  $s \le i \le ns'$ :

$$Q_{f,i,st}'' = \max\left(0, Q_{f,i,st}' + (Q_{f,s,st}' - Q_{f,s,st}'') \cdot \frac{Q_{f,i,st}'}{\sum_{i>s} Q_{f,i,st}'}\right)$$
(8)

where Q' denotes the quota share obtained in the first step and Q'' the new quota share.

### The fleets.ctrl argument in SMFB function

SMFB function requires several control arguments at global and fleet level that are described below.

### Global arguments:

catch.threshold: This element is used to store  $\gamma_{s,st}$  parameter described in the first step of SMFB function algorithm. The element must be a FLQuant object with dimension [stock = nstk, year = ny, unit = 1, season = ns, area = 1, iter = ni], where the names in the first dimension must match with those used to name FLBiols object. Thus, the thresholds may vary between stocks, seasons, years and iterations. The elements of the object are proportions between 0 and 1 that indicate the maximum percentage of the stock that can be caught in each season. The reason to use this argument is that it is reasonable to think that it is impossible to fish all the fish in the sea. Thus, although the TAC is very large the actual catch will be restricted to  $\gamma_{s,st} \cdot B_{s,st}$ .

seasonal.share: A named FLQuants object, one per stock, with the proportion of the fleets' TAC share
that 'belongs' to each season, so the sum along seasons for each fleet, year and iteration should be
equal to 1. The elements must be FLQuant objects with dimension [fleet = nf, year = ny, unit
= 1, season = ns, area = 1, iter = ni], where the names in the first dimension must match
with those used to name FLFleetsExt object. The names of the FLQuants must match stock names
used in the FLBiols object.

Fleet level arguments (i.e. fleets.ctrl[[fleet.name]]):

effort.model: 'SMFB'.

effort.restr: alternative values are 'max', 'min', 'mean', 'previous' or 'stock.name' (the name of one of the stocks caught by the fleet).

max: The fleet will continue fishing until the catch quotas of all the stocks are exhausted.

min: The fleet will stop fishing when the catch quota of any of the stocks is exhausted.

previous: Among the efforts obtained under each stock restriction the effort most similar to the previous year effort will be selected.

stock: The fleet will continue fishing until the catch quota of 'stock' is exhausted. (This could correspond, for example, with a situation where the catch of one stock is highly controlled.)

These options are explained mathematically above when the SMFB function is described step by step. There are two alternatives: one option for all years or one option for each year (vector with the length ny).

- restriction: Alternative values are 'catch' or 'landings'. Assigned value depends on wether the efforts are calculated according to catch or landings restriction. There are two alternatives: one option for all years or one option for each year (vector with the length ny).
- LandObl: Logical or vector with a logic value for each year. If it is TRUE for that year, LO rule is applied. The fleet has to stop fishing when they reach the first quota of the stocks. Therefore, the unique effort will be the minimum among possible efforts.
- LandObl\_minimis: Vector with a logic value for each year. If it is TRUE for that year, de Minimis exemption is used.
- LandObl\_yearTransfer: Vector with a logic value for each year. If it is TRUE for that year, year Transfer flexibility is used.
- LandObl\_minimis\_p: Matrix with values between 0 and 1, the maximum percentage of quota that the fleet could increase for each stock in each year.
- LandObl\_yearTransfer\_p: Matrix with values between 0 and 1, the maximum percentage of quota of each stock that the fleet could borrow from next year's quota.
- LandObl\_discount\_yrTranfer: Matrix with values between 0 and 1. The discount to be applied if in the previous year was used that amount. This object is used to store the percentage used from the next year's quota.
- LO\_stk\_grp: named vector with length equal to the number of stocks, same number for the same group of stocks to swap the quotas.

Fleet/stock level arguments (i.e. fleets.ctrl[[fleet.name]][[stock.name]]):

catch.model: The name of the fourth level function which gives the catch production given effort and biomass (aggregated or at age). The function must be coherent with SMFB and the function used to simulate the population growth. At the moment, two functions are available CobbDouglasAge and CobbDouglasBio. For more details see Section 4.4.2.

### SSFB: Simple Sequential Fisheries Behaviour model

Simple sequential fisheries behaviour is related to those fleets whose fishing profile changes with the season of the year. SSFB function models the behaviour of fleets that work in a sequential fisheries framework. It is assumded that, in each season, the fleet, f, has only one target species or stock, st, thus the metier, m, is defined on the basis of the season and target species, resulting only in one target species per each metier.

In each season, s, the effort allocated to each species, st, or metier, m, follows the historical trend (in order to capture the seasonality of each species fishing season), but it is restricted to the remaining catch quota of the fleet.

Therefore, production function is applied at metier level, but the production has some restrictions, in both catches, C, and effort, E, that are described through the following steps:

1. Calculate the total quota that corresponds to each fleet, CQ, from the historical data and estimate remaining quota for the fleet,  $RQ_{s,f,st}$ , deducting the catches from previous seasons.

$$RQ_{s,f,st} = CQ_{f,st} - \sum_{ss < s} C_{ss,f,st} = TAC \cdot QS_{f,st} - \sum_{ss < s} C_{ss,f,st}$$

Where QS is the quota share and C the catches.

2. Compare the total remaining quotas with the abundances of the stocks. If the ratio between remaining quotas and abundance exceeds the seasonal catch thershold,  $\gamma_{s,st}$ , then reduce the remaining quota the same amount.

$$RQ'_{s,f,st} = \begin{cases} RQ_{s,f,st} & \text{, if } \frac{\sum_{f}Q_{s,f,st}}{Bs,st} \leq \gamma_{s,st}; \\ RQ_{s,f,st} \cdot \frac{Bst,s \cdot \gamma_{s,st}}{\sum_{f}RQ_{s,f,st}} & \text{, otherwise.} \end{cases}$$

3. Initially expected effort,  $\hat{E}_{s,f}$ , is shared between different metiers (i.e. species) month by month on the basis of historical seasonal effort pattern.

$$\hat{E}_{s,m,st} = \hat{E}_{s,f} \cdot E_{s,m} = \kappa_f \cdot PED_{s,f} \cdot E_{s,m}$$

Where  $E_{s,m}$  is the effort share by metier,  $PED_{s,f}$  is the percentage of effective days and  $\kappa_f$  is the fleet's capacity.

- 4. Expected catches  $\hat{C}_{s,m,st}$ , corresponding to that initial effort, are calculated through the Cobb-Douglas catch production function at metier and stock level, seasonally.
- 5. If the expected catches resulting from the previous step are higher than the remaining quota corresponding to each metier (Step 2), there is extra effort which has to be reallocated among the other species.

If 
$$\hat{C}_{s,f,st} > RQ_{s,f,st} \Rightarrow \hat{C}_{s,f,st} = RQ_{s,f,st} \Rightarrow E_{s,m,st} < \hat{E}_{s,m,st};$$
  
else  $\hat{C}_{s,f,st} \leq RQ_{s,f,st} \Rightarrow \hat{C}_{s,f,st} = C_{s,f,st} \Rightarrow E_{s,m,st} = \hat{E}_{s,m,st}.$ 

- 6. The reallocation of remaining effort,  $\hat{E}_{s,m,st} E_{s,m,st}$ , can be performed in different ways:
  - Proportionally to the price and availability of the species in a given season, or
  - proportionally to the effort allocated to the remaining metiers.
- 7. This is repeated stock by stock until no effort remains to be allocated or all the TACs are exhausted

### The advice argument in SSFB function

SSFB function requires arguments in the advice object as described below.

Global arguments:

quota.share: A named FLQuants object, one per stock, with the total proportion of TAC that 'belongs' to each fleet each year and dimension [fleet = nf, year = ny, unit = 1, season = 1, area = 1, iter = ni]. The 'fleet' dimension names must match fleets' names. And the FLQuants must match stock names. For each year and iteration the sum of the proportions must be equal to 1.

### The fleets.ctrl argument in SMFB function

SSFB function requires several control arguments at global and fleet level that are described below.

Global arguments:

catch threshold: A FLQuant object with dimension [stock = nst, year = ny, unit = 1, season = ns, area = 1, iter = ni], which contains the proportion of biomass that total catch of stock cannot exceed, i.e. the previously mentioned  $\gamma_{s,st}$  parameter.

Fleet level arguments (i.e. fleets.ctrl[[fleet.name]]):

effort.model: 'SSFB'

restriction: 'catch'. Related to quota threshold.

effectiveDay.perc: A FLQuant object with dimension [quant = 1, year = ny, unit = 1, season = ns, area = 1, iter = ni], which contains the proportion of days expected to be effective in a season (i.e. in which the fleet will go out fishing), the previously mentioned *PED* parameter (see Step 3).

effort.realloc: Alternative values are NULL or 'curr.eff'. Element used to describe how does the remaining effort have to be reallocated between the rest of the metiers targeting stocks for which there is already remaining quota.

NULL: The same proportion is assigned for all metiers.

curr.eff: Effort is reallocated proportionally to the expected effort share.

Fleet/stock level arguments (i.e. fleets.ctrl[[fleet.name]][[stock.name]]):

- TAC.OS.model: Function to model the TAC overshoot. Currently the only available function is TAC.OS.triangCond, which simulates a triangular distribution function for TAC overshoot, in the range (min, max) and a peak in the mode.
- TAC.OS.triangCond.params: A named numeric vector of dimension 3. Corresponding to the parameters required by TAC.OS.triangCond function, min, max and mode.
- discard.TAC.OS: Logical. If TRUE, the TAC overshoot is discarded, in other case the TAC overshoot is incorporated to landings.

### MaxProfit: Maximization of profit under a TAC constraint model

This second model used to simulate *mixed fisheries* dynamics calculates the total effort and the effort allocation among metiers that maximises the profit of the fleet. The total effort is constrained by the capacity of the fleet (capacity unit has to be converted in the same unit as effort) and by the catch quota of some of the stocks. Mathematically:

$$\max_{E_f, \gamma_{f,1}, \dots, \gamma_{f,n_{MT,f}}} \sum_{m} \sum_{st} \sum_{a} L_{st,a,f,m} \cdot P_{st,a,f,m} - E_f \cdot \gamma_{f,m} \cdot VaC_{f,m} - FxC_f \cdot n_{V_f}$$

$$\tag{9}$$

with the constraints:

$$\begin{cases}
0 \le \gamma_{f,m} \le 1 \text{ and } \sum_{m} \gamma_{f,m} = 1 \\
E_f \le \kappa_f, \\
C_{st,f} \le QS_{st,f} \text{ for } st \in \Delta_f.
\end{cases}$$
(10)

where P is the price of the fish landed, VaC the variable cost of fishing effort, which depends on the metier and is given as cost per unit of effort, FxC the fixed costs of each fishing unit, which is given at fleet level and in terms of cost per vessel,  $n_V$  is the number of vessels in the fleet,  $\kappa$  is the capacity, defined as the maximum effort that the fleet can execute in each season, QS is fleet's TAC share and  $\Delta$  is the set of stocks for which the constraint must be fulfilled. In biomass dynamic populations, landings and prices are given at stock level.

### The fleets.ctrl argument in MaxProfit function

MaxProfit function requires several control arguments at fleet level that are described below.

Fleet level arguments (i.e. fleets.ctrl[[fleet.name]]):

stk.cnst: A vector with the name of the stocks that constraints the capacity of the fleet (i.e. maximum effort that fleet can execute in each season) given the catch quota of these stocks.

Fleet/stock level arguments (i.e. fleets.ctrl[[fleet.name]][[stock.name]]):

- TAC.OS.model: Function to model the TAC overshoot. Currently the only available function is TAC.OS.triangCond, which simulates a triangular distribution function for TAC overshoot, in the range (min, max) and a peak in the mode.
- TAC.OS.triangCond.params: A named numeric vector of dimension 3. Corresponding to the parameters required by TAC.OS.triangCond function, min, max and mode.
- discard.TAC.OS: Logical. If TRUE, the TAC overshoot is discarded, in other case the TAC overshoot is incorporated to landings.

# MaxProfitSeq: Maximization of profit under a TAC constraint model for a sequential fishery

MaxProfitSeq is similar to the function MaxProfit, but with an additional constraint on the effort. As the effort of each metier is limited by a minimum and maximum effort value. That is:

$$E_{\min_{f,m}} \le E_f \cdot \gamma_{f,m} \le E_{\max_{f,m}} \tag{11}$$

where E is the effort,  $\gamma$  the effort share by metier and  $E_{max}$  and  $E_{min}$  are the maximum and minimum efforts, respectively.

### The fleets.ctrl argument in MaxProfitSeq function

Additionally to the control elements required by textttMaxProfitSeq function, textttMaxProfitSeq function requires the following arguments at fleet level:

Fleet level arguments (i.e. fleets.ctrl[[fleet.name]]):

effort.range: A matrix of dimension [nmt,2], where rows contain the (minimum and maximum) effort values for each metier and colnames(effort.range) = c('min', 'max').

### 4.3.3 Price models

The following price model functions are currently available:

### fixedPrice: Fixed price model

The prices are given as input data and are unchanged within the simulation. Only the function name, fixedPrice, must be specified in price.model element in fleets.ctrl object.

fleets.ctrl[[fleet.name]][[stock.name]]\\$price.model <- 'FixedPrice'</pre>

### elasticPrice: Elastic price model

This function implements the price function used in Kraak et al. [2004]:

$$P_{a,y,s,f} = P_{a,0,s,f} \cdot \left(\frac{L_{a,0,s,f}}{L_{a,y,s,f}}\right)^{e_{a,s,f}}$$
(12)

It uses base price,  $P_{a,0,s,f}$ , and base landings,  $L_{a,0,s,f}$  to calculate the new price  $P_{a,y,s,f}$  using a elasticity parameter  $e_{a,s,f}$ ,  $(e \ge 0)$ . If the base landings are bigger than current landings the price is increased and decreased if the contrary occurs. a, y, s and f correspond to the subscripts for age, year, season and fleet, respectively. For simplicity, the iteration subscripts have been omitted but all the elements in the equation are iteration dependent. As prices could also depend on total landings instead of on fleet's landings, there is an option to use  $L_{a,0,s}$  instead of  $L_{a,0,s,f}$  in the formula above.

Although price is stored at metier and stock level in FLFleetsExt, this function assumes that price is common to all metiers within a fleet and it is calculated at fleet level.

### The fleets.ctrl argument in elasticPrice function

When elasticPrice is used, the following arguments must be specified, at fleet and stock level (i.e. for fleets.ctrl[[fleet.name]][[stock.name]]):

price.model: 'elasticPrice'.

pd.Pa0: An array with dimension [age = na, season = ns, iter = ni] to store base price,  $P_{a,0,s,f}$ .

pd.La0: An array with dimension [age = na, season = ns, iter = ni] to store base landings,  $L_{a,0,s,f}$ .

pd.els: An array with dimension [age = na, season = ns, iter = ni] to store price elasticity,  $e_{a,s,f}$ .

pd.total: Logical. If TRUE the price is calculated using total landings and if FALSE the landings of the fleet in question are used to estimate the price.

### 4.3.4 Capital models

The following capital model functions are currently available:

### fixedCapital: Fixed capital model

The capacity and catchability are given as input data and are unchanged within the simulation. Only the function name, fixedCapital, must be specified in capital.model element of fleets.ctrl object.

fleets.ctrl[[fleet.name]]\\$capital.model <- 'FixedCapital'</pre>

### SCD: Simple Capital Dynamics model

In this simple function catchability is not updated, it is an input parameter, and only capacity is updated depending on some economic indicators. The following variables and indicators are defined at fleet and year level (fleet and year subscripts are omitted for simplicity):

FuC: Fuel Cost.

CrC: Crew Cost

VaC: Variable Costs.

FxC: Fixed Costs (repair, maintenance and other).

CaC: Capital Costs (depreciation and interest payment)

Rev: Revenue, given by the formula:

$$Rev_f = \sum_{m} \sum_{s} \sum_{a} L_{m,s,a} \cdot P_{a,s}$$

where L is the total landings, P the price and m, s, a the subscripts for metier, season and age. respectively.

BER: Break Even Revenue, the revenues that make profit equal to (

$$BER = \frac{FxC + CaC}{1 - \frac{FuC}{Rev} - \frac{CrC}{Rev - FuC} + \frac{FuC \cdot CrC}{Rev \cdot (Rev - FuC)} - \frac{VaC}{Rev}}$$

In principle the investment, Inv, is determined by:

$$Inv_0 = \frac{Rev - BER}{Rev}$$

 $Inv_0 = \frac{Rev - BER}{Rev}$  But not all the profits are dedicated to increase the fleet, thus:

$$Inv = \eta \cdot \frac{Rev - BER}{Rev}$$

where  $\eta$  is the proportion of the profits that is used to buy new vessels. Furthermore, investment in new vessels will only occur if the operational days of existing vessels is equal to maximum days. If this occurs, the investment/disinvestment decision,  $\Omega$ , will follow the rule below:

$$\Omega_{y} = \begin{cases}
Inv &, \text{ if } (Inv_{0} < 0 \text{ and } \eta \cdot |Inv_{0}| < \omega_{1}) \mid (Inv_{0} > 0 \text{ and } \eta \cdot |Inv_{0}| < \omega_{2}) \\
-\omega_{1} * \kappa_{y-1} &, \text{ if } Inv_{0} < 0 \text{ and } \eta \cdot |Inv_{0}| > \omega_{1} \\
\omega_{2} * \kappa_{y-1} &, \text{ if } Inv_{0} > 0 \text{ and } \eta \cdot |Inv_{0}| > \omega_{2}
\end{cases} \tag{13}$$

where  $\omega_2$  stands for the limit on the increase of the fleet relative to the previous year and  $\omega_1$  for the limit on the decrease of the fleet relative to the previous year.

#### 4.3.5 Covariates models

The following covariates model functions are currently available:

### fixedCovar: Fixed covariates model

The covariates that follow this model are given as input data and are unchanged within the simulation. Only the function name, fixedCovar, must be specified in process.model element of covars.ctrl object.

covars.ctrl[[covar.name]]\\$process.model <- 'fixedCovar'</pre>

### ssb.get: model to get the SSB of one stock

This function is used for including the real Spawning Stock Biomass of one of the simulated stocks as a covariate when fitting the stock recruitment relationship of another stock. In the covars.ctrl object the following elements need to be specified:

process.model: 'ssb.get'.

ssb.stock: Character string with the name of the stock for which you want to get the SSB.

spwn.sson: Numeric argument with the spawning season of this stock.

sr.covar: Character string with the name of the stock for wich you want to include the influence of stock ssb.stock in its stock recruitment relationship.

### 4.3.6 Observation models: catch and biological parameters

The functions in this section are used to generate a FLStock object from FLBiol and FLFleetsExt objects. The former is used to fill the slots relative to biology, (stock.wt, mat and m slots), and the last to fill the slots relative to catch, landings and discards. Whereas harvest, stock and stock.n slots are left empty and harvest.spwn and m.spwn are set equal to 0.

age2ageDat This function creates an age structured FLStock from age structured FLBiol and FLFleetsExt objects. The slots of the FLStock object are filled in the following way:

- landings.n: Observed landings at age are obtained from fleets object, summing them up along seasons, units, metiers and fleets. After summing up, two sources of uncertainty are introduced, one related to aging error and the second one related to misreporting. Aging error is specified through ages.error argument, an array with dimension [age = na, age = na, year = ny, iter = ni]. For each year and iteration, each element (i,j) in the first 2 dimensions indicates the proportion of individuals of age i that are wrongly assigned to age j, thus the sum of the elements along the first dimension must be equal to 1. For each year and iteration, the real landings at age are multiplied matricially with the corresponding sub-matrix of ages.error object. Afterwards, the second source of uncertainty is introduced multiplying the obtained landings at age by land.nage.error, an FLQuant with dimension [age = na, year = ny, unit = 1, season = 1, area = 1, iter = ni]. Once uncertainty is introduced in landings at age and weight at age, the total landings are computed and compared with the TAC. If landings are lower than TAC.TAC.ovrsht, the observed landings at age are unchanged, but if they were higher, the landings at age would be reduced by  $\frac{1}{\text{TAC.ovrsht}}$  where TAC.ovrsht is a positive real number.
- landings.wt: Observed landings weight at age is derived from fleets object, averaging it along seasons,
   units, metiers and fleets. After averaging, 2 sources of uncertainty are introduced, one related
   to aging error and the second one related to misreporting. Aging error is the same as the one
   used in the landings at age. For each year and iteration, the real weight at age is weighted by
   the proportion of landings in each age group and multiplied matricially with the corresponding submatrix of ages.error object. Afterwards, the second source of uncertainty is introduced multiplying
   the obtained weight at age by land.wgt.error an FLQuant with dimension [age = na, year = ny,
   unit = 1, season = 1, area = 1, iter = ni].
- discards.n: Observed discards at age are obtained in the same way as the landings but summing up the
   discards instead of landings and using, in the second source of error, the object disc.nage.error,
   an FLQuant with dimension [age = na, year = ny, unit = 1, season = 1, area = 1, iter =
   ni]. The object ages.error is the same as the one used in the derivation of landings at age.
- discards.wt: Observed discards weight at age is obtained in the same way as the landings but averaging
   along discards weight instead of landings weight and using, in the second source of error, the
   object disc.wgt.error, an FLQuant with dimension [age = na, year = ny, unit = 1, season =
   1, area = 1, iter = ni]. The object ages.error is the same as the one used in the derivation
   of landings at age.
- discards, landings: Observed total discards and landings are derived from observed landings and discards at age and their corresponding weight.
- catch, catch.n, catch.wt: Slots related to observed catches are derived from the observed landings and discards at age and their corresponding weight.
- m: Observed natural mortality at age is obtained from m slot in FLBiol. Adittionally 2 sources of uncertainty are introduced. Firstly, for each year and iteration, this mortality is matricially multiplied by the ageing error (the same as the one used for catch related slots). Afterwards, the object is multiplied by nmort.error, where nmort.error is an FLQuant with dimension [age = na, year = ny, unit = 1, season = 1, area = 1, iter = ni]. nmort.error is used to introduce multiplicative uncertainty in the observation of natural mortality.
- mat: Observed proportion of individuals mature at age is obtained from mat slot in FLBiol object. Firstly,
   for each year and iteration, this proportion is matricially multiplied by the ageing error (the same
   as the one used for catch related slots). Afterwards, the object is multiplied by mat.error, where
   mat.error is an FLQuant with dimension [age = na, year = ny, unit = 1, season = 1, area
   = 1, iter = ni]. mat.error is used to introduce multiplicative uncertainty in the observation of
   maturity.

bio2bioDat This function creates a FLStock object aggregated in biomass from FLBiol and FLFleet-sExt objects aggregated in biomass.

- m, mat, landings.n, landings.wt, discards.n, discards.wt, catch.n, catch.wt : Observed values for these slots are set to NA.
- discards: Observed discards are obtained as follows: the discards are summed up along fleets and metiers and then uncertainty (observation error) is introduced using a multiplicative error. This multiplicative error is specified through disc.bio.error argument an FLQuant with dimension [quant = 1, year = ny, unit = 1, season = 1, area = 1, iter = ni].
- landings: Observed landings are derived in the same way as discards but the argument used to introduce uncertainty is called land.bio.error in this case. Once uncertainty is introduced in landings, they are compared with the TAC. If the landings are lower than TAC.ovrsht, the observed landings are unchanged but if there were higher the landings would be reduced by  $\frac{1}{\text{TAC.ovrsht}}$ , where TAC.ovrsht is a positive real number.

catch: Observed catch slot is equal to the sum of landings and discards.

age2bioDat This function creates a FLStock aggregated in biomass from age structured FLBiol and FLFleetsExt objects. The function works exactly in the same way as bio2bioDat function.

### 4.3.7 Observation models: population

These type of models are useful when no assessment model is used in the next step of the MPM and management advice is just based on the population 'observed' in this step. age2agePop, bio2bioPop and age2bioPop are equal to their relatives in the previous section but in this case stock numbers, stock biomass and harvest are observed, with or without error, depending on the arguments given.

### NoObsStock

This function is used when the advice is given independently to stock status. Therefore, we do not need to observe the population.

### perfect0bs

This function creates a FLStock from FLBiol and FLFleetsExt objects. The FLBiol and FLFleetsExt objects can be either aggregated in biomass or age structured and the returned FLStock object will have the same structure, but with unit and season dimensions collapsed. This function does not introduce any observation uncertainty in the observation of the different quantities stored in the FLStock or FLFLeetsExt objects. Slots relative to biological parameters are calculated averaging across units and seasons, those relative to catch are calculated summing up across units and seasons, and numbers at age or biomass are taken from the start of the first season, except recruitment that is obtained summing up the recruitment produced along seasons. Finally, fishing mortality is calculated numerically from numbers at age and natural mortality.

### age2agePop

This function operates exactly in the same way as its counterpart in the previous section, age2ageDat, but it also fills stock.n, stock.wt, stock and harvest slots:

- stock.n: First, the numbers at age are calculated as in perfect0bs function and then 2 sources of uncertainty are introduced, as it is done in landings and discards at age. The error attributed to aging error is given by the same argument as in landings and discards at age, ages.error. The second uncertainty is introduced in the same way but by different argument, stk.nage.error.
- stock.wt: First, the weight at age is calculated as in perfectObs function and then 2 sources of uncertainty are introduced, as it is done in weight at age of landings but replacing landings by stock numbers at age. The error attributed to aging error is given by the same arguments as in landings, ages.error. The second uncertainty is introduced in the same way but by different argument, stk.wgt.error.

stock: This is equal to the sum of the product of stock.n and stock.wt.

harvest: Harvest is numerically calculated from stock numbers at age and natural mortality.

### bio2bioPop

This function operates exactly in the same way as its counterpart in the previous section bio2bioDat but it also fills stock and harvest slots:

stock: Stock biomass is calculated multiplying n and wt slots in the FLBiol object and summing up
along seasons (note that unit dimension is always equal to 1 in populations aggregated in biomass).
After, that uncertainty in the observation is introduced multiplying the obtained biomass by the
argument stk.bio.error, which is an FLQuant with dimension [quant = 1, year = ny, unit =
1, season = 1, area = 1, iter = ni]

harvest: Harvest is calculated as the ratio between catch and stock biomass.

### age2bioPop

This function operates exactly in the same way as its counterpart in the previous section age2bioDat, but it also fills stock and harvest slots. These two slots are calculated as in bio2bioPop function but summing up along ages in the case of stock slot.

### 4.3.8 Observation models: abundance indices

Currently, there are 2 functions that simulate abundance indices, one that generates age structured abundance indices ageInd and a second one that generates abundance indices in biomass bioInd. The last one can be applied to both age structured and biomass dynamics populations. In both cases a linear relationship between the index and the abundance is assumed being the catchability q the slope, i.e:

$$I = q \cdot N$$
 or  $I = q \cdot B$ 

### ageInd: age index observation model

Age structured abundance indices are obtained multiplying the slot n of FLBiol with the catchability of the index (catch.q in FLIndex object). The FLIndex is an input object and the index slot is yearly updated. Two sources of uncertainty are introduced, one related to aging error and a second one related to random variation. Aging error is the same as in the observation of landings at age and the argument is the same ages.error. Afterwards, the second source of uncertainty is introduced multiplying the index by the slot index.var of the FLIndex object. The indices do not need to cover the full age or year ranges.

### bioInd: biomass index observation model

Biomass abundance indices are generated in the same way as age structured indices but without the error associated to age.

### NoObsIndex: no index observation

This function is used when abundance indices are not required.

### 4.3.9 Observation models: fleets

At this point there are no functions to observe the fleets, their catch or catch at age is just observed in an aggregated way in the functions defined in previous section.

### 4.3.10 Management advice models

Different management advice models have been implemented. Some of them are methods generally applicable (e.g. fixedAdvice, annualTAC, IcesHCR, annexIVHCR, CFPMSYHCR, F2CatchHCR, MAPHRC and MultiStockHRC), whereas others are designed specifically for particular case studies (e.g. FroeseHCR, ghlHCR, aneHCRE, neaMAC\_ltmp, little2011HCR, pidHCR and pidHCRtarg). All these rules are single-stock, apart from MAPHRC and MultiStockHRC, which are multi-stock harvest control rules.

### fixedAdvice: fixed advice model

This function is used when the advice is fixed and independent to the stock status. TAC or TAE values should be given as input in the advice object.

### annualTAC: annual TAC model

This function mimics the typical harvest control rule (HCR) used in recovery and management plans implemented in Europe. The function is a wrapper of the fwd function in FLash library. As fwd is only defined for age structured populations, within FLBEIA a new function fwdBD has been coded. fwdBD is a tracing of fwd but adapted to work with populations aggregated in biomass. The advice is produced in terms of catch (i.e TAC).

The call to annualTAC function within FLBEIA is done as:

```
annualTAC(stocks, advice, advice.ctrl, year, stknm, ...)
```

If the management is being running in year y, the function works as follows:

- 1. Project the observed stock one year forward from 1st of January of year y up to 1st of January of year y+1 (intermediate year).
- 2. Apply the HCR and get the TAC for year y+1. Depending on the definition of the HCR the stock could be projected several years forward.

advice.ctrl[[stock.name]] for annualTAC

HCR.model: 'annualTAC'.

nyears: Number of years to project the observed stock from year y-1.

wts.nyears: Number of historic years to be used in the average of biological parameters. The average is used in the projection of biological parameters.

fbar.nyears: Number of historic years to be used in the average of selection pattern. The average is used in the projection of selection pattern.

f.rescale: Logical. If TRUE rescale to status quo fishing mortality.

disc.nyears: Number of years over which to calculate mean for discards.n and landings.n slots.

- fwd.ctrl: Element of class fwdControl. For details on this look at the help page in FLash object. The only difference is the way the years are introduced. As this object is defined before simulation and it is applied year by year, the definition of the year should be dynamic. Thus the following convention has been taken:
  - year = 0 indicates the year when management is taking place, (intermediate year).
  - year = -1 corresponds with one year before the year when management is taking place. In this case, whithin annualTAC function, coincides with the year up to which data is available, (data year). Then, -2 would indicate 2 years before,-3 would indicate 3 years before and so on.
  - year = 1 corresponds with one year after the year when management is taking place. In this case, whithin annualTAC function, coincides with the year for which management advice is going to be produced, (TAC year). Then, 2 would indicate 2 years after the year when management is taken place, 3 would indicate 3 years after and so on.

In this way, within the simulation, each year, the intermediate year is summed up to the year in the original control argument and the correct year names are obtained.

AdvCatch: Vector with a logic value for each year. TAC is given in terms of catch, if TRUE, or landings, if FALSE.

sr: The stock recruitment relationship used to project the observed stock forward, not needed in the case of population aggregated in biomass. sr is a list with 3 elements, model, params and years. model is mandatory and the other 2 are complementary, if params is given years is not necessary. model can be any stock-recruitment model defined for FLSR class. params is a FLPar model an if specified it is used to parameterized the stock-recruitment model. years is a numeric named vector with 2 elements 'y.rm' and 'num.years', for example c(y.rm = 2, num.years = 10). This element is used to determine the observeds years to be used to estimate the parameters of the stock recruitment relationship. In the example the last 2 observations will be removed and starting from the year before to the last 2 observed years 10 years will be used to estimate the stock-recruitment parameters.

growth.years: This argument is used only for stocks aggregated in biomass and it indicates the years to be used in the estimation of annual population growth. This growth is used to project the population forward. growth.years is a numeric named vector with 2 elements 'y.rm' and 'num.years' which play the same role played in sr[['years']] argument defined in the previous point.

### IcesHCR: ICES harvest control rule

The function represents the HCR used by ICES to generate TAC advice in the MSY framework. It is a biomass based HCR, where the TAC advice depends on F in relation to several reference points: a biomass that triggers the F reduction ( $B_{trigger}$ ), the limit biomass below which there is a high risk of impaired recruitment ( $B_{lim}$ ) and fishing mortality that leads to MSY ( $F_{MSY}$ ).

Current function calls annualTAC, given an F objective calculated as:

$$F_{target} = \begin{cases} 0 & , \text{ if } B < B_{lim} \\ F_{MSY} \cdot B/B_{trigger} & , \text{ if } B < B_{trigger} \\ F_{MSY} & , \text{ if } B \ge B_{trigger} \end{cases}$$

$$(14)$$

The call to IcesHCR function within FLBEIA is done as:

IcesHCR(stocks, advice, advice.ctrl, year, stknm, ...)

advice.ctrl[[stock.name]] for IcesHCR

HCR.model: 'IcesHCR'.

nyears: Number of years to project the observed stock from year y-1.

wts.nyears: Number of historic years to be used in the average of biological parameters, if missing last 3 years are used. The average is used in the projection of biological parameters.

fbar.nyears: Number of historic years to be used in the average of selection pattern, if missing last 3 years are used. The average is used in the projection of selection pattern.

f.rescale: Logical. If TRUE rescale to status quo fishing mortality.

ref.pts: Matrix of dimension [3,it], where rows contain values for  $B_{lim}$ ,  $B_{trigger}$  and  $F_{MSY}$ , and colnames(ref.pts) = c(Blim, Btrigger, Fmsy).

AdvCatch: Vector with a logic value for each year. TAC is given in terms of catch, if TRUE, or landings, if FALSE.

intermediate.year: Sets how to calculate the catches in the intermediate year. If it is set to 'Fsq', then the catches are estimated based on the last estimated F; whereas if other value set, the catches are set to the TAC adviced for this intermediate year. This second approach is used for the cases when the assessment is carried out including also the information on this intermediate year, as is the case for the Bay of Biscay anchovy.

sr: The stock recruitment relationship used to project the observed stock forward, not needed in the case of population aggregated in biomass. sr is a list with 3 elements, model, params and years. For more details see parameter description in annualTAC (above).

growth.years: This argument is used only for stocks aggregated in biomass and it indicates the years to be used in the estimation of annual population growth. This growth is used to project the population forward. For more details see parameter description in annualTAC (above).

### FroeseHCR: Froese harvest control rule

This function recreates the HCR defined in the paper by Froese et al. [2011], which is a biomass based HCR. TAC advice is calculated depending on perceived biomass in relation to biological reference points as follows:

$$TAC = \begin{cases} 0 & , \text{ if } B < B_{trigger} \\ MSY \cdot \beta \cdot 1/(1 - \alpha_0) * (-\alpha_0 + B/B_{target}) & , \text{ if } B_{trigger} \le B < B_{target} \\ MSY \cdot \beta & , \text{ if } B \le B_{target} \end{cases}$$
(15)

where  $B_{trigger} = \alpha_0 \cdot B_{MSY}$  and  $B_{target} = \alpha_1 \cdot B_{MSY}$ .

The call to annualTAC function within FLBEIA is done as:

FroeseHCR(stocks, advice, advice.ctrl, year, stknm,...)

advice.ctrl[[stock.name]] for FroeseHCR

HCR.model: 'FroeseHCR'.

ref.pts: Matrix of dimension [5,it], where rows contain values for  $B_{MSY}$ , MSY,  $\alpha_0$ ,  $\alpha_1$  and  $\beta$ , and colnames(ref.pts) = c(Bmsy, MSY, alpha\_0, alpha\_1, beta).

### annexIVHCR: ICES Annex IV harvest control rule

This function emulates the HCR used by the European Commission and ICES to generate the TAC advice for data poor stocks. TAC advice is calculated depending on previous year TAC and the trend of an available index as follows:

$$TAC_{y+1} = \gamma \cdot TAC_y \tag{16}$$

$$\gamma = \begin{cases}
1 - \beta & , \text{ if } B_{now}/B_{ref} \le 1 - \alpha \\
1 & , \text{ if } 1 - \alpha < B_{now}/B_{ref} < 1 + \alpha & type = 2 \\
\beta/\alpha \cdot (B_{now}/B_{ref} - 1) + 1 & , \text{ if } 1 - \alpha < B_{now}/B_{ref} < 1 + \alpha & type = 4 \\
1 + \beta & , \text{ if } B_{now}/B_{ref} \ge 1 + \alpha
\end{cases} \tag{17}$$

where:  $B_{now} = (I_{y-1} + I_{y-2})/2$  and  $B_{ref} = (I_{y-3} + I_{y-4} + I_{y-5})/3$ .

The call to annexIVHCR function within FLBEIA is done as:

annexIVHCR(indices, advice, advice.ctrl, year, stknm,...)

advice.ctrl[[stock.name]] for annexIVHCR

HCR.model: 'annexIVHCR'.

index: Either the name or the position of the index in FLIndices object.

ref.pts: Matrix of dimension [2,it], where rows contain values for  $\alpha$  and  $\beta$ , and colnames(ref.pts) = c(alpha, beta).

type: Numeric (options 2 or 4). This parameter determinines the value of  $\gamma$  in Equation 17.

### ghlHCR: Greenland halibut harvest control rule

This function mimics thee model-free HCR used in the management of greenland-halibut in NAFO. TAC advice is calculated depending on previous year TAC and the trends of three indices available for the stock as follows:

$$TAC_{y+1} = TAC_y + \lambda \cdot slope \tag{18}$$

$$\lambda = \begin{cases} \alpha_0 & , \text{ if } slope < 0\\ \alpha_1 & , \text{ if } slope > 0 \end{cases}$$
 (19)

where  $\lambda$  value has the following additional constraint:  $1 - \beta \le \lambda \le 1 + \beta$ , and *slope* is the mean of the slopes obtained when calculating a linear model for each of the indices.

The call to ghlHCR function within FLBEIA is done as:

ghlHCRC(indices, advice, advice.ctrl, year, stknm,...)

advice.ctrl[[stock.name]] for ghlHCR

HCR.model: 'ghlHCR'.

ref.pts: Matrix of dimension [3,it], where rows contain values for  $\alpha_0$ ,  $\alpha_1$  and  $\beta$ , and colnames(ref.pts) = c(alpha\_0, alpha\_1, beta).

### aneHCRE: Bay of Biscay anchovy first long term management plan - HCRE

The function recreates the HCR used in the Bay of Biscay anchovy first long term management plan, where HCR was known as Rule E.

TAC advice is calculated depending on perceived biomass in relation to biological reference points as follows:

$$TAC = \begin{cases} 0 & \text{, if } SSB \le 24,000 \text{tons} \\ 7,000 & \text{, if } 24,000 < SSB < 33,000 \text{tons} \\ hr \cdot SSB & \text{, if } SSB \ge 33,000 \text{tons} \end{cases}$$
 (20)

whith the following additional constraint: TAC < 33,000 tons.

The call to aneHCRE function within FLBEIA is done as:

aneHCRE(stocks, advice, advice.ctrl, year, stknm,...)

### neaMAC\_ltmp: Northeast Atlantic mackerel long term management plan

This function emulates the HCR used in the north-east atlantic mackerel long term management plan. It is a particular case of the IcesHCR.

### F2CatchHCR: F to catch harvest control rule

This function transforms the fishing mortality advice given as input data to catch advice without any other restriction. The function is a copy-paste from IcesHCR, but in this case target F is directly taken from ref.pts['Ftarget', year+1,].

### little2011HCR: Little's harvest control rules

This function mimics the HCR defined in the paper by Little et al. [2011], with an additional constraint,  $C_{max}$ , not to allow very high catches. This constraint can be turned off setting  $C_{max}$  to a very high value or Inf.

TAC advice is calculated depending on an index in relation to biological some reference points as follows:

$$TAC = min(C_{targ} \cdot max(0, (I_y - I_{lim})/(I_{targ} - I_{lim})), C_{max})$$
(21)

where:  $C_{targ}$  and  $C_{max}$  correspond to target and maximum catches, respectively,  $I_y$  corresponds to the mean value of the index in the last two years and  $I_{targ}$ ,  $I_{lim}$ ) are reference values with respect to the index.

The call to little2011HCR function within FLBEIA is done as:

```
little2011HCR(indices, advice, advice.ctrl, year, stknm, ...)
```

```
advice.ctrl[[stock.name]] for little2011HCR
```

HCR.model: 'little2011HCR'.

index: Either the name or the position of the index in FLIndices object.

ref.pts: Matrix of dimension [4,it], where rows contain values for  $C_{targ}$ ,  $I_{lim}$ ,  $I_{targ}$  and  $C_{max}$ . and colnames(ref.pts) = c(Ctarg, Ilim, Itarg, Cmax).

### pidHCR and pidHCRtarg: Pomaerede's harvest control rules

These functions recreates the model free HCRs used for hake and wich are defined in the paper by Pomarede et al. [2010].

The call to these functions within FLBEIA is done as:

```
pidHCR(indices, advice, advice.ctrl, year, stknm, ...)
pidHCRtarg(indices, advice, advice.ctrl, year, stknm, ...)
```

advice.ctrl[[stock.name]] for pidHCR and pidHCRtarg

HCR.model: 'pidHCR' or 'pidHCRtarg'.

index: Either the name or the position of the index in FLIndices object.

ref.pts: For pidHCR, matrix of dimension [5,it], where rows contain values for  $K_p$ ,  $K_i$ ,  $K_d$ ,  $\tau$  and  $\alpha$ ; whereas for function pidHCRtarg it has dimension [6,it] with an additional row for  $I_{targ}$  values. colnames(ref.pts) = c(Kp, Ki, Kd, tau, alpha, Itarg).

### MAPHRC: harvest control rule for multi-annual management plans

This function emulates the HCR proposed by the European Commission for the evaluation of multiannual management plans (MAPs) in 2015. This HCR is specially designed to fulfill the requirements of MAPs for North Western Waters and only works for age-structured stocks.

The call to MAPHRC function within FLBEIA is done as:

```
MAPHRC(stocks, advice, advice.ctrl, year, stknm, ...)
```

advice.ctrl[[stock.name]] for MAPHRC

HCR.model: 'MAPHRC'.

wts.nyears: Number of historic years to be used in the average of biological parameters, if missing last 3 years are used. The average is used in the projection of biological parameters.

fbar.nyears: Number of historic years to be used in the average of selection pattern, if missing last 3 years are used. The average is used in the projection of selection pattern.

f.rescale: Logical. If TRUE rescale to status quo fishing mortality.

ref.pts: FLQuant of dimension [quant = 4, year = ny, unit = 1, season = 1, area = 1, iter = ni], where quant dimension contains values for  $B_{pa}$ ,  $F_{target}$ ,  $C_{up}$  and  $C_{lo}$ , and dimnames(ref.pts)[1] = c(Bpa, Ftarget, Cup, Clo).

N: Numeric value, corresponding to the number of years to recover SSB.

AdvCatch: Vector with a logic value for each year. TAC is given in terms of catch, if TRUE, or landings, if FALSE.

sr: The stock recruitment relationship used to project the observed stock forward, not needed in the case of population aggregated in biomass. sr is a list with 3 elements, model, params and years. For more details see parameter description in annualTAC (above).

### CFPMSYHCR: flexible harvest control rule for multi-annual management plans

This function is a version of the MAPHCR, adapting it to allow flexibility in the year Fmsy is achieved. The user can specify the year in which you aim to reach  $F_{MSY}$ , with a linear transition between  $F_{sq}$  to  $F_{MSY}$  in the intervening years.

The call to CFPMSYHCR function within FLBEIA is done as:

```
CFPMSYHCR(stocks, advice, advice.ctrl, year, stknm, ...)
```

advice.ctrl[[stock.name]] for CFPMSYHCR

HCR.model: 'CFPMSYHCR'.

wts.nyears: Number of historic years to be used in the average of biological parameters, if missing last 3 years are used. The average is used in the projection of biological parameters.

fbar.nyears: Number of historic years to be used in the average of selection pattern, if missing last 3 years are used. The average is used in the projection of selection pattern.

f.rescale: Logical. If TRUE rescale to status quo fishing mortality.

ref.pts: FLQuant of dimension [quant = 5, year = ny, unit = 1, season = 1, area = 1, iter = ni], where quant dimension contains values for  $B_{pa}$ ,  $F_{target}$ ,  $Yr_{tg}$ ,  $C_{up}$  and  $C_{lo}$ , and dimnames(ref.pts)[1] = c(Bpa, Ftarget, Yrtg, Cup, Clo).

N: Numeric value, corresponding to the number of years to recover SSB.

AdvCatch: Vector with a logic value for each year. TAC is given in terms of catch, if TRUE, or landings, if FALSE.

sr: The stock recruitment relationship used to project the observed stock forward, not needed in the case of population aggregated in biomass. sr is a list with 3 elements, model, params and years. For more details see parameter description in annualTAC (above).

### MultiStockHRC: multi-stock harvest control rule

This function produces TAC advice for several stocks simultaneously, this HCR is based on IcesHCR. It uses a fishing mortality target and an upper bound to conciliate the TAC advices. In the case of stocks without exploitation rate estimates, then it uses the catch. At present this function only works with single iterations.

TAC advice is calculated depending on fishing mortality in relation to biological reference points of all the stocks. First, for each stock we calculate the single stock Ftarget depending on its status in relation to the BRPs.

$$F_{target} = \begin{cases} 0 & , \text{ if } B < B_{lim} \\ F_{MSY} \cdot B / B_{trigger} & , \text{ if } B_{lim} \le B < B_{trigger} \\ F_{MSY} & , \text{ if } B \ge B_{trigger} \end{cases}$$
(22)

Second, we calculate the ratio between  $F_{target}$  and  $F_{sq}$  and calculate the maximum:

$$F_{adv0}[stock.name] = \lambda_0 \cdot F_{sq} | \lambda_0 = max_{i \in names(biols)} (F_{target}/F_{sq})[i]$$
(23)

Therefore, there is only one stock for which  $F_{adv0} = F_{target}$  and for the rest  $F_{adv0} > F_{target}$ . Third, we calculate the ratio between Fupp and Fsq and calculate the minimum:

$$x_{st} = F_{upp}[stock.name]/F_{adv0}[stock.name]\lambda_0 \cdot F_{sq} \forall st \in names(biols)$$
 (24)

$$\begin{cases}
If \ x_{st} \ge 1 \ \forall st, & \lambda_1 = 1 \\
If \ \exists st \ \& \ x_{st} < 1, & \lambda_1 = min(\frac{F_{upp}[st]}{F_{adv0}[st]}) \\
& \& F_{adv1}[st] = \lambda_1 \cdot F_{adv0}[st]
\end{cases}$$
(25)

Therefore, there is only one stock for which  $F_{adv0} = F_{target}$  and for the rest  $F_{adv0} > F_{target}$ . Finally,

$$F_{adv}[stock.name] = \lambda_1 \cdot \lambda_0 \cdot F_{sq}$$
(26)

And the TAC for each stock is calculated based on advised fishing mortality (i.e.  $F_{adv}[stock.name]$ ). The call to annualTAC function within FLBEIA is done as:

MultiStockHCR(stocks, indices, advice, advice.ctrl, year, stknm,...)

In relation to IcesHCR this new HCR has two additional arguments:

advice.ctrl[['stocksInHCR']]: A vector with the name of the stocks that are taken into account in the calculation of advice.

advice.ctrl[[stock.name]][['ref.pts']]: A new row in the matrix with Fupp value.

### 4.4 Fourth level functions

These functions are called by the third level functions and, for the time being, are the functions in the lowest level within FLBEIA.

### 4.4.1 Stock-recruitment relationships

Stock-recruitment relationships are used, for example, within ASPG and annualTAC functions. The stock-recruitment relationship used in ASPG is defined in the slot model of FLSRsim object and it defines the true recruitment dynamics of the stocks. Within annualTAC, the stock-recruitment relationship used is defined in:

element and it describes the 'observed' stock-recruitment dynamics (used) in the management process.

In FLCore package there are several stock-recruitment relationships already defined and all can be used within FLBEIA. Some of the functions available are:

geomean: Recruitment is independent of the stock and equal to the geometric mean of historical period.

$$R = \alpha = \sqrt[n]{R_1 \cdot \ldots \cdot R_n}$$

bevholt: Beverton and Holt model with the following parameterization:

$$R = \frac{\alpha \cdot SSB}{(\beta + SSB)}$$

where  $\alpha$  is the maximum recruitment (asymptotically) and  $\beta$  is the stock level needed to produce the half of maximum recruitment  $\alpha/2$  ( $\alpha, \beta > 0$ ).

ricker: Ricker stock-recruitment model fit with the following parameterization:

$$R = \alpha \cdot SSB \cdot e^{-\beta \cdot SSB}$$

where  $\alpha$  is related to productivity and  $\beta$  to density dependence.  $\alpha$  is the recruit per stock unit at small stock levels.  $(\alpha, \beta > 0)$ .

segreg: Segmented regression stock-recruitment model fit:

$$R = \begin{cases} \alpha \cdot SSB & \text{, if } SSB < \beta \\ \alpha \cdot \beta & \text{, if } SSB \geq \beta \end{cases}$$

where  $\alpha$  is the slope of the recruitment for stock levels below  $\beta$  and  $\alpha \cdot \beta$  is the mean recruitment for stock levels above  $\beta$  ( $\alpha, \beta > 0$ ).

shepherd: Shepherd stock-recruitment model fit:

$$R = \alpha \cdot \frac{SSB}{(1 + (S/\beta)^{\gamma})}$$

This model generalizes Beverton and Holt and Ricker models ( $\gamma = 1$  corresponds with Beverton and Holt model,  $\gamma > 1$  takes a Ricker-like shape and with  $\gamma < 1$  the curve rises indefinitely).

bevholtAR1, rickerAR1, segregAR1: Beverton and Holt, Ricker and Segmented regression stock-recruitment models with autoregressive normal log residuals of first order. In the model fit the corresponding stock-recruitment model is combined with an autoregressive normal log likelihood of first order for the residuals. If  $R_t$  is the observed recruitment and  $\hat{R}_t$  is the predicted recruitment, an autoregressive model of first order is fitted to the log-residuals,  $x_t = log(R_t/\hat{R}_t)$ .

$$x_t = \rho \cdot x_{t-1} + \varepsilon$$

where  $\varepsilon \sim N(0, \sigma_{ar}^2)$ .

cushing: Cushing stock recruitment model fit:

$$R = \alpha \cdot SSB^{\beta}$$

where  $\alpha, \beta > 0$ .

bevholtSV, rickerSV, segregSV, shepherdSV, cushingSV: Beverton and Holt, Ricker, Segemented regression, Shepherd and Cushing stock-recruitment models with  $\alpha$  and  $\beta$  parameterisation converted into steepness and virgin biomass (s and v).

rickerCa: Ricker stock-recruitment model with covariates, parameterised as:

$$R = \alpha \cdot (1 - \gamma \cdot covar) \cdot SSB \cdot e^{-\beta \cdot SSB}$$

Additionally, the following stock-recruitment relationships are defined in FLBEIA package:

hockstick: Hockey stick stock-recruitment model fit:

$$R = \begin{cases} \alpha \cdot S & \text{, if } SSB < \beta \\ \alpha \cdot \beta & \text{, if } SSB \ge \beta \end{cases}$$

where  $\alpha$  is the slope of the recruitment for stock levels below  $\beta$  and  $\alpha \cdot \beta$  is the mean recruitment for stock levels above  $\beta$  ( $\alpha, \beta > 0$ ).

redfishRecModel: Redfish recruitment model developed by Benjamin Planque, with the formula:

$$R_y = redfishRec(R_{y-1}, \sigma, minrec, maxrec) \cdot \begin{cases} \frac{SSB}{\alpha} & \text{, if } SSB < \alpha \\ 1 & \text{, if } SSB \ge \alpha \end{cases}$$

Being:

$$redfishRec(R_{y-1}, \sigma, minrec, maxrec) = R_{y-1} + rnorm(n = 1, mean = 0, sd = \sigma)$$

$$minrec \le redfishRec(R_{y-1}, \sigma, minrec, maxrec) \ge maxrec$$

Where  $R_{y-1}$  corresponds to previous year's recruitment,  $\gamma$  the standard deviation of the historic recruitment and minR, maxR to the minimum and maximum recruitments historically observed, respectively.

aneRec\_pil, pilRec\_ane: Ricker models with a covariate in the exponent, for simulating stk1 recruitment assuming predation on its eggs by stk2, given by the formula:

$$R_{stk1} = \alpha \cdot SSB_{stk1} \cdot e^{-\beta \cdot SSB_{stk1} + \gamma \cdot SSB_{stk2}}$$

ctRec: constant recruiment. There is not recruitment modelling and therefore expected recruitment values for the projection period has to be fixed a priori.

There could be more stock-recruitment relationships defined in FLCore or FLBEIA, thus, if you are interested in using a model not defined here take a look at SRModels help page in FLCore package. New stock-recruitment models to be used in FLSRsim class can be defined in two ways:

1. Using a formula in slot model:

$$rec \sim \Phi(X)$$

- where  $\Phi$  is a function of ssb and parameters and covariates stored in params and covar slots respectively.
- Defining a function in R, foo <- function(X), and using the name of the function, foo, in slot model. The function arguments must be among ssb and parameters and covariates stored in params and covar slots respectively.

### 4.4.2 Catch production functions

The catch production functions can be different for the same third level effort model. Currently, there are three catch production functions available. The first two correspond with Cobb-Douglas production functions [Clark, 1990, Cobb and Douglas, 1928] but in one case the model operates at stock level and in the second one at age class level. The last one is used when information on effort is lacking and consequently catches are set independently from effort.

### CobbDouglasBio: Cobb-Douglas production function at stock level

The total catch of the fleet is calculated according to the Cobb-Douglas production function:

$$C = q \cdot E^{\alpha} \cdot B^{\beta} \tag{27}$$

where C denotes total catch and B total biomass (both in weight), q the catchability and E the effort.  $\alpha$  and  $\beta$  are the elasticity parameters associated to labor and capital (biomass in this case), respectively. These parameters are associated to the existing technology.

As  $\alpha$  and  $\beta$  parameters depend on the stock and the technology, Cobb-Douglas function is applied at metier level. Thus, the catch of a certain fleet f is given by:

$$C_f = \sum_{m \in M_f} q_{f,m} \cdot B^{\beta_{f,m}} \cdot (E_f \cdot \delta_{f,m})^{\alpha_{f,m}}$$
(28)

where  $M_f$  represents the set of metiers of fleet f and  $\delta$  the effort share among metiers.

**Derivation of Catch-at-age.** Once the total catch is calculated, it is divided into catch at age using selectivity at age,  $s_{a,f,m}$ , and biomass at age in the population,  $B_a$ :

$$C_{a,f,m} = \frac{C_{f,m}}{\sum_{a} s_{a,f,m} \cdot B_a} \cdot s_{a,f,m} \cdot B_a \tag{29}$$

Derivation of Equation 29:

• If the whole population were accessible to the gear, the catch of age a would be:

$$s_{a,f,m} \cdot B_a$$

• Thus, if the whole population were accessible to the gear, the total catch we could obtain would be:

$$\sum_{a} s_{a,f,m} \cdot B_a$$

• But, the actual total catch is  $C_f$ , so theoretically the proportion of the population that have been accessible is<sup>1</sup>:

$$\frac{C_{f,m}}{\sum_{a} s_{a,f,m} \cdot B_{a}}$$

• Then, if we assume the population is homogeneously distributed we arrive to Equation 29.

The catch at age is then further disaggregated in landings- and discards-at-age using landings' and discards' specific selectivity:

$$L_{a,f,m} = \frac{sl_{a,f,m}}{s_{a,f,m}} \cdot C_{a,f,m} \quad \text{and} \quad D_{a,f,m} = \frac{sd_{a,f,m}}{s_{a,f,m}} \cdot C_{a,f,m}$$
(30)

<sup>&</sup>lt;sup>1</sup>If all the age classes were not accessible or completely accessible we would replace  $s_{a,f,m}$  by  $s_{a,f,m} = \gamma_{a,f,m} \cdot s_{a,f,m}$  where  $\gamma_{a,f,m}$  is the proportion of individuals of age a accessible to metier m in fleet f.

### CobbDouglasAge: Cobb-Douglas production function at age-class level

The catch of the fleets is calculated according to the Cobb-Douglas production function applied at age-class level, i.e.:

$$C = \sum_{a} C_a = q_a \cdot E^{\alpha_a} \cdot B_a^{\beta_a} \tag{31}$$

where C denotes catch and B biomass (both in weight), q the catchability, E the effort and a the subscript for age.  $\alpha$  and  $\beta$  are the elasticity parameters associated to labor and capital (biomass in this case), respectively. These parameters are associated to the existing technology.

As  $\alpha$  and  $\beta$  parameters dependent on age classes and technology, Cobb-Douglas function is applied at metier level. Thus, the catch of a certain fleet f is given by:

$$C_f = \sum_{a} C_{a,f} = \sum_{m \in M_f} \sum_{a} q_{a,f,m} \cdot B_a^{\beta_{a,f,m}} \cdot (E_f \cdot \delta_{f,m})^{\alpha_{a,f,m}}$$
(32)

where  $M_f$  represents the set of metiers of fleet f,  $\delta$  the effort share among metiers and m is the subscript that indicates the metier.

### seasonShare: Catches estimation given season share allocation by metier

In case that there is no information on the effort, and therefore effort is not limiting the catches, the catch at age of each metier can be calculated according to a previously defined season share allocation by metier. This function is only valid for metiers which target only one stock. Alternatively, the seasonal share for one stock can be set equal to the one of a reference fleet (usually one which has information on effort).

Two arguments need to be declared as elements of fleets.ctrl if this function is used:

effort.model: 'fixedEffort'. This argument must be declared at fleet level (i.e.

fleets.ctrl[[fleet.name]]\$effort.model)

catch.model: Argument is used to specify the catch production function that will be used to generate the catch and it must be declared at fleet and stock level (i.e.

fleets.ctrl[[fleet.name]][[stock.name]]\$catch.model). That catch production model corresponds with a fourth level function (see Section 4.4.2).

catch.dependence: This argument needs to be set only when aiming to set the seasonal share of one stock equal to the same stock in other reference fleet and it must be declared at fleet and stock level (i.e. fleets.ctrl[[fleet.name]][[stock.name]]\$catch.dependence). Value has to be set equal to the name of this reference fleet.

### 4.4.3 Costs functions

Cost functions have been developed in order to be used within fleets.om. As cost structure could differ among fleets it has been defined as fourth level function and it works at fleet level. In principle, it could be useful in both tactic and strategic dynamics of fleets.

### TotalCostsPower: Total costs power function

This function sums up the fixed costs (FxC) and the power functions of cost per unit of effort (CostPUE), crew share per unit of landings (CSPUL) and capital cost per unit capital (CapCostPUC), mathematically:

$$Cost_{f} = FxC_{f} + \sum_{m} (CostPUE_{f,m} \cdot E_{f} \cdot \tau_{f,m})^{\gamma_{1f,m}} +$$

$$\sum_{st} \sum_{m} CSPUL_{f,m,st} \cdot L_{f,m,st}^{\gamma_{2f,m,st}} + CapCostPUC_{f} \cdot Cap_{f}^{\gamma_{3f}}$$
(33)

The fixed cost are given at fleet level, f, cost per unit of effort at metier level, m, and crew share at fleet, metier and stock, st, level.  $\gamma_{1f,m}$  is the exponent of effort at fleet and metier level in cost of effort addend,  $\gamma_{2f,m,st}$  the exponent of landing at fleet, metier and stock level in crew share cost addend and  $\gamma_{3f}$  is the exponent of capital at fleet level in capital cost addend.

## 5 Smart conditioning

### 5.1 Functions

FLBEIA requires a number of input arguments to run a simulation; such as biols, SRs, BDs, fleets, covars, indices, advice, main.ctrl, biols.ctrl, fleets.ctrl, covars.ctrl, obs.ctrl, assess.ctrl and advice.ctrl. These objects contain biological and economical historical and projection data, and also point the functions that are going to be used by the model. Here we introduce and explain the functions that have been generated to facilitate the creation of these objects:

- create.biols.data: It generates an FLBiol object for each stock, and includes all of them in a FLBiols object. It returns an object that could be used as biols argument in FLBEIA function. The function requires historical data of weight, abundance, natural mortality, fecundity and spawning. In the projection years, natural mortality, fecundity and spawning, are assumed equal to the average of the historical years that the user specifies in stk\_biol.proj.avg.yrs. The descriptions and format of the arguments required by the function are presented in Table D.1.
- create.SRs.data: It generates a list with FLSRsim objects and returns an object that could be used as SRs argument in FLBEIA function. This function does not calculate stock-recruitment function's parameter values; therefore, they must be calculated previously by the user. In case that the proportion of spawning per season is not defined in the projection years, then it is assumed equal to the average of the historical years range that the user defines. If uncertainty is not an input, then there is not uncertainty. The descriptions and format of the arguments required by the function are presented in Table D.2.
- create.BDs.data: It generates a list with FLBDsim objects. It returns an object that could be used as BDs argument in FLBEIA function. This function does not calculate biomass dynamics function's parameter values, so they must be introduced by the user. If uncertainty is not an input, then the function assumes no uncertainty. The descriptions and format of the arguments required by the function are presented in Table D.3.
- create.fleets.data: It generates an FLFleet object for each fleet and includes all of them in an FLFleets object. It returns an object that could be used as fleets argument in FLBEIA function. The function requires historical data of effort per fleet, effort share between métiers and, landings and weight at age per stock. Notice that the input data of landingsweight has the same name as stock weight input in create.biols.data. In case that discards data are available, then its weight is assumed the same as for landings. The descriptions and format of the arguments required by the function are presented in Table D.4. The function assumes that when historical data of effort, fixed cost, capacity or crewshare are introduced, then the projection values of each of them are the average of the years that the user sets in fl.proj.avg.yrs; in the case of effort share and variable cost per metier, is set in fl.met\_proj.avg.yrs; and in the case of landings at age, discards at age and price, in fl.met.stk\_proj.avg.yrs. If the Cobb-Douglas parameters, alpha, beta and q (see more information on Section 4.4.2), are not introduced as inputs, then they are created by the calculate.CBparam function, where it assumes that alpha and beta are equal to 1 and q is the ratio between total catch and effort per metier multiplied by the stock abundance.
- create.indices.data: It generates a list with all the stocks and for each stock a list with FLIndex objects. It returns an object that could be used as indices argument in FLBEIA function. The descriptions and format of the arguments required by the function are presented in Table D.5.
- create.advice.data: It generates a list with the advice for each of the stocks. It returns an object that could be used as advice argument in FLBEIA function. In case that the values of TAC and TAE are not introduced in the projection years, then the model assumes that they are equal to the average of the historical data that the user defines in stk\_advice.avg.yrs. When quota share is not defined in the projection years, then the function calculates it for each stock as the ratio between catch per fleet and total catch. The descriptions and format of the arguments required by the function are presented in Table D.6.
- create.biols.ctrl: It creates an object with the name of the growth function for each stock. The object that returns this function can be used as biols.ctrl argument in FLBEIA function.
- create.fleets.ctrl: It creates an object with the fleet dynamics function that is applied for each fleet.

  The object that returns this function can be used as fleets.ctrl argument in FLBEIA function.
- create.covars.ctrl: It creates an object with the function that is applied to the covariate. The object that returns this function can be used as covars.ctrl argument in FLBEIA function.

- create.obs.ctrl: It creates a function with the observed function for each stock. The object that returns this function can be used as obs.ctrl argument in FLBEIA function.
- create.advice.ctrl: I creates an object with the harvest control rule for each stock, and its parameter values. The object that returns this function can be used as advice.ctrl argument in FLBEIA function.
- create.assess.ctrl: It creates an object with the name of the kind of assessment that is applied to each stock. The object that returns this function can be used as assess.ctrl argument in FLBEIA function.

We generate other functions to simplify the previous ones:

- create.list.stks.flqa: It creates a list of FLQuant objects for each stock with the corresponding dimensions and dimension names. One of the dimensions in the FLQuant is age; in a range between the minimum and maximum age. The descriptions and format of the arguments required by the function are presented in Table D.7.
- create.list.stks.flq: It creates a list of FLQuant objects for each stock with the corresponding dimensions and dimension names. One of the FLQuant is age, defined as all. The descriptions and format of the arguments required by the function are presented in Table D.8.
- calculate. CBparam: It creates a list with the three Cobb-Douglas parameters: alpha, beta and q. It assumes that alpha and beta are equal to 1, and q is calculated as the ratio between total catch and the multiplication of effort per metier and stock abundance. The descriptions and format of the arguments required by the function presented in Table D.9.

### 5.2 Examples

Each test case has three folders: data, R and plots. In the folder called data are the input data in csv format. In the folder called R is the conditioning script, which: (i) creates the input objects for FLBEIA and saves them in the same folder with RData format; and (ii) runs the model and makes plots that are saved in the folder plots. In R folder, there is another folder called results with the output of the FLBEIA model in RData format.

1 stock, 1 fleet and 1 season: This test case analyzes the dynamics of a fictitious stock (SBR) and a fictitious fleet (DLL). The fleet has one metier, with the same name as the fleet (DLL). This case study is an example on how to include in the model: an assessment (XSA), observations data (disaggregated in ages) or elastic price.

The biological historical data available in this study range from 1990 to 2009 and FLBEIA is run from 1990 to 2025, with first year of projection in 2010. Biological data are described by stock abundance, weight, spawning, fecundity and natural mortality with three iterations, but only abundance and weight have some variability. Biological data are age specific in a range of ages between 1 and 12 years and with only one season. The minimum year to calculate the average **f** is set as 1 and the maximum as 12. The values of weight, spawning, fecundity and natural mortality in the projection period are assumed to be equal to the average between 2007 and 2009.

The fleet has historical information on effort, crewshare, capacity and fixed costs. There is only one metier, so effort share is one. Landings and discards, as well as Cobb-Douglas parameters (alpha,beta,q) values are introduced as input.

The model applies an age-structured population growth model and catch model. Beverton-holt autoregressive model is assumed as stock-recruitment relationship and uncertainty is not introduced in the projection.

2 stocks, 2 fleets and 4 seasons: This test case analyzes the dynamics of two fictitious stocks (stk1 and stk2), with two fictitious fleets (fl1 and fl2). Each of the fleets has two metiers (met1 and met2) and all the metiers catch both stocks. This case study simulates the management using an ICES harvest control rule for stock stk1 and annual TAC for stk2. The effort function of both fleets is different; fixed effort is assumed for fleet fl1 and simple mixed fisheries behavior, limited by the minimum catch of both stocks, for fleet fl2. There is no assessment in this study and perfect observation is assumed.

The biological historical data available for both stocks range from 1990 to 2008. The simulation time covers from 1990 to 2025, with 2009 as the first projection year. Biological data are described by stock abundance, weight, spawning, fecundity and natural mortality. Biological data of stock stk1 are age structured in a range from 0 to 15 years, but not for stock stk2, which is modelled in

biomass. The minimum age to calculate the average **f** for stock **stk1** is set as 1 and the maximum as 5. Stock **stk1** has 4 spawning seasons, while stock **stk2** only one, then we set different units for both. The values of weight, spawning, fecundity and natural mortality in the projection period are assumed to be equal to the average between 2006 and 2008 for both stocks.

Both fleets have historical information on effort and capacity, but only fleet fl2 has fixed cost data. For each fleet and metier, effort share data are available and only for fleet fl2 are variable costs. For each fleet and metier, there is age structured data for stock stk1 and in biomass for stk2. Both fleets have landings and discards data for each stock, but in the case of stock stk2 discards data of metier met2 in fleet fl1 are missing. Cobb-Douglas parameters (alpha,beta,q) are not introduced as input; therefore the function create.fleets.data calls calculate.CBparam function to calculate them

Since the biological and economical historic data of stock stk1 are age specific, then the model allows using age-structured population growth and catch model, while in the case of stock stk2 they must be based on biomass. Beverton-Holt model is applied as stock-recruitment relationship for stock stk1, with 4 spawning seasons, and Pella-Tomlinson biomass dynamics model for stock stk2. In both cases the parameters in the projection period are introduced as input.

# 6 Output summary

There are several functions available to summarise the results of the FLBEIA output. There are two types of functions:

### 6.1 Summary functions

Functions that summarise the results of the FLBEIA output in data frames.

- summary\_flbeia: An array with four dimensions: stock, year, iteration, indicator. The indicators are: recruitment, ssb, f, biomass, catch, landings and discards.
- B\_flbeia: Biomass values by stock. An array with three dimensions: stock, year and iteration.
- F\_flbeia: Fishing mortality values by stock. An array with three dimensions: stock, year and iteration.
- SSB\_flbeia: Spawning stock biomass values by stock. An array with three dimensions: stock, year and iteration.
- R\_flbeia: Recruitment values by stock. If the stock follows a biomass dynamics, then this function gives the growth. An array with three dimensions: stock, year and iteration.
- C\_flbeia: Catches by fleets and stock. An array with three dimensions: stock, year and iteration.
- L\_flbeia: Landings by fleets and stock. An array with three dimensions: stock, year and iteration.
- D\_flbeia: Discards by fleets and stock. An array with three dimensions: stock, year and iteration.
- advSum, advSumQ: Data frame with the indicators related with the management advice (TAC). The indicators are: catch, discards, discRat, landings, quotaUpt and tac.
- bioSum, bioSumQ: Data frame with the biological indicators. The indicators are: biomass, catch, catch.iyv, discards, disc.iyv, f, landings, land.iyv, rec and ssb.
- fltSum, fltSumQ: Data frame with the indicators at fleet level. The indicators are: capacity, catch, costs, discards, discRat, effort, fcosts, gva, income, landings, netProfit, nVessels, price, profits, quotaUpt, salaries, vcosts and profitability.
- fltStkSum, fltStkSumQ: Data frame with the indicators at fleet and stock level. The indicators are: landings, discards, catch, price, quotaUpt, tacshare, discRat and quota.
- npv: A data frame with the net present value per fleet over the selected range of years.
- mtSum, mtSumQ: Data frame with the indicators at fleet. The indicators are: effshare, effort, income and vcost.
- mtStkSum, mtStkSumQ: Data frame with the indicators at fleet and metier level. The indicators are: catch, discards, discRat, landings and price.
- riskSum: A data frame with the risk indicators. The indicators are: pBlim, pBpa and pPrflim.
- vesselSum, vesselSumQ: Data frame with the indicators at vessel level. The indicators are: catch, costs, discards, discRat, effort, fcosts, gva, income, landings, netProfit, price, profits, quotaUpt, salaries, vcosts and profitability.

vesselStkSum, vesselStkSumQ: Data frame with the indicators at vessel and stock level. The indicators are: landings, discards, catch, price, quotaUpt, tacshare, discRat and quota.

ecoSum\_damara: ecoSum built in the framework of Damara project.

vesselStkSum, vesselStkSumQ: Data frame with the indicators at vessel and stock level. The indicators are: landings, discards, catch, price, quotaUpt, tacshare, discRat and quota.

The data frames can be of wide or long format. In long format all the indicators are in the same column. There is one column, indicator, for the name of the indicator and a second one value for the numeric value of the indicator. In the wide format each of the indicators correspond with one column in the data frame. The long format it is recommendable to work with ggplot2 functions for example while the wide format it is more efficient for memory allocation and speed of computations.

The quantile version of the summaries, fooQ, returns the quantiles of the indicators. In the long format as many columns as elements in prob are created. The name of the columns are the elements in prob preceded by a q. In the wide format for each of the indicators as many columns as elements in prob are created. The names of the columns are the elements in prob preceded by q\_name\_of\_the\_indicator.

#### 6.2 Plotting functions

Plotting functions to summarise the results of the FLBEIA output.

plotFLBiols: For each stock, returns a pdf with plots using FLBiols object. With plots on biomass in numbers at age, mean weight at age, fecundity, natural mortality, maturity, spawning, recruitment and spawning stock biomass.

plotfleets: For each fleet, returns a pdf with plots using flfleets object. With plots on catch, discards, landings, capacity, crewshare, effort, fcost, effshare, and for each metier of this fleet: landings and discards at age in numbers and mean weight, alpha, beta and catch.q.

plotCatchFl: Returns a pdf with plots using FLFleets and advice objects. Whith plots on landings, discards and price by fleet.

plotEco: For each fleet, returns a pdf with plots using FLFleets object. With plots on capacity, costs, effort, profits by fleet.

#### 6.3 Functions for joining iterations

joinIter function allows to join iterations of an FLBEIA output object with one unique iteration. This is very usefull in case that the different iterations are run separately in a cluster and we want to join them afterwards.

The call to joinIter function within FLBEIA is done as:

joinIter(object, files, directory, Niters, elements, advice.ext = , fleets.ctrl.ext =

Arguments description:

object: Character. Corresponds to the name of the object that must be 'joined'. This object must be the output of BEIA function.

files: Character vector with the names of the files. It has to be noted that each of the files must contain a single object.

directory: The directory where the files are stored. The default is the current directory.

Niters: Numeric vector with the number of iterations per object. If length = 1, then it is assumed that all objects have the same number of iterations.

elements: The elements of the objects that must be joined. The default is to join all the elements.

advice.ext: The type of advice that is given. Options are: 'TAC' (default value) or 'TAE'.

fleets.ctrl.ext: Character. Name of the object in fleets.ctrl that needs iterations to be joined.

Default is 'seasonal.share'.

Another alternative to join iterations is calculating summary statistics for each iteration and afterwards joining the summary results.

### 7 Acknowledgements

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#### A New FLR - S4 classes

#### A.1 FLBDsim class

FLBDsim class has been created in order to facilitate the simulation of population growth in populations aggregated in biomass, i.e. g(.) in Equation 4. The population dynamics are simulated as follows:

$$B_{y,s} = B_{y0,s0} + g(B_{y0,s0}) \cdot \varepsilon_{y,s} - C_{y0,s0}$$
(34)

where B is the biomass, C the catch, y0 and s0 are the subscripts of previous season's year and season and  $\varepsilon$  is the uncertainty value in year y and season s. It is a S4 class and has 10 slots:

name, desc, range: Slots common to all FLR objects.

model: Character string or formula. If character, it must coincide with an already existing growth model. If formula, the parameters must be slots in the object or elements of covar slot. Currently, there is only one growth model available, 'PellaTom' that corresponds with Pella-Tomlinson growth model [Pella and Tomlinson, 1969].

biomass: FLQuant to store biomass in weight. The dimension in quant, unit and area must be equal to 1 and in the rest of the dimensions it must be congruent with general simulation settings.

catch: FLQuant to store total catch in weight. The dimension in quant, unit and area must be equal to 1 and in the rest of the dimensions it must be congruent with general simulation settings.

uncertainty: FLQuant to store the error that is multiplied to the point estimate of growth. The dimension in quant, unit and area must be equal to 1 and in the rest of the dimensions it must be congruent with general simulation settings. Thus, a different error can be used for each year, season and iteration.

params: An array to store the parameters of the model. The dimensions of the array are params, year, season, iter. The dimension in year, season and iter must be congruent with general simulation settings. Thus, a different set of parameters can be used for each year, season and iteration.

covar: An FLQuants object. The elements of the list are used to store covariates' values and it is used to apply growth models with covariates. Its functionality is the same as in FLSR object.

alpha: An array with dimension [year = ny, season = ns, iteration = ni] with year, season and iteration dependent value bigger than one which indicates, in percentage, how big can be the biomass in comparison with the carrying capacity.

#### A.2 FLSRSim class

FLSRsim class has been created in order to facilitate the simulation of recruitment in age structured populations. The recruitment dynamics are simulated as follows:

$$R_{y,s} = \Phi(S_{y-tl_0,s-tl_1}, covars_{y-tl_0,s-tl_1}) \cdot \varepsilon_{y,s} \cdot \rho_{y,s}$$
(35)

where  $R_{y,s}$  is the recruitment in year y and season s,  $\Phi$  is the stock-recruitment model,  $tl_0$  and  $tl_1$  are the year and season lag between spawning and recruitment, respectively,  $S_{y-tl_0,tl_1}$  and  $covars_{y-tl_0,s-tl_1}$  are the stock index and covariates in year  $y-tl_0$  and season  $tl_1$ ,  $\varepsilon_{y,s}$  is the uncertainty value in year y and season s and  $\rho_{y,s}$  is the proportion of recruitment that recruits in year y and season s and is produced by stock index s in year s in year s and season s and season s in year s in year

name, desc, range: Slots common to all FLR objects.

rec: An FLQuant with dimension [1, ny, 1, ns, 1, ni] used to store recruitment.

ssb: An FLQuant with dimension [1, ny, 1, ns, 1, ni] used to store SSB or the stock index used in the stock-recruitment relationship.

covar: An FLQuants to store the covariates used in the stock-recruitment relationship. For details on the use of this slot look at the description of FLSR class.

uncertainty: An FLQuant with dimension [1, ny, 1, ns, 1, ni] used to store the uncertainty related to stock-recruitment process. The content of this slot is multiplied to the point estimate of recruitment. As its effect is multiplicative, then set it equal to 1 for all year, season and iteration if uncertainty is not going to be considered around stock-recruitment curve.

proportion: An FLQuant with dimension [1, ny, 1, ns, 1, ni] used to store the proportion of the recruitment produced by stock index in year  $y - \mathsf{timelag}[1, s]$  and season  $\mathsf{timelag}[2, s]$  that recruits in year y and season s.

The content of this slot is multiplied to the point estimate of recruitment. As its effect is multiplicative, then set it equal to 1 if all the recruitment produced by certain stock index is recruited at the same time and set it equal to 0 if none of the recruitment produced by certain stock index is recruited in that season.

model: Character string or formula. If character it specifies the name of the function used to simulate the recruitment. If formula the left hand side of ~ must be equal to rec and the elements in right hand side must be among ssb, covars and params.

params: An array with dimension [nparams, ny, ns, ni], thus, the parameters may be year, season and iteration dependent. Year dimension in parameters may be useful to model regime shifts.

timelag: A matrix with dimension [2,ns]. This object indicates the time lag between spawning and recruitment in each season. For each season, the element in the first row indicates the age at recruitment and the element in the second row indicates the season at which the recruitment was spawn.

## B Graphical representation of FLR objects

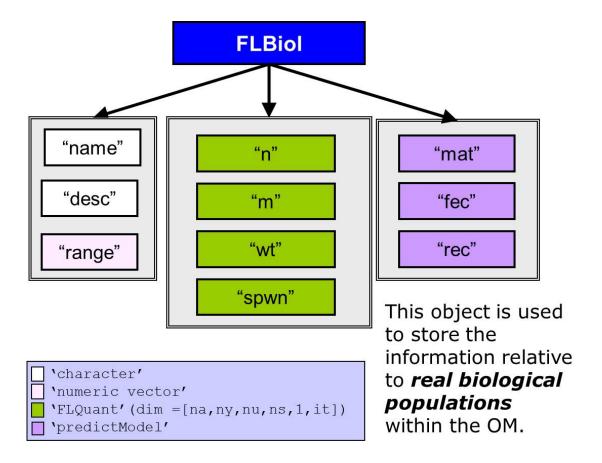


Figure B.1: FLBiol object

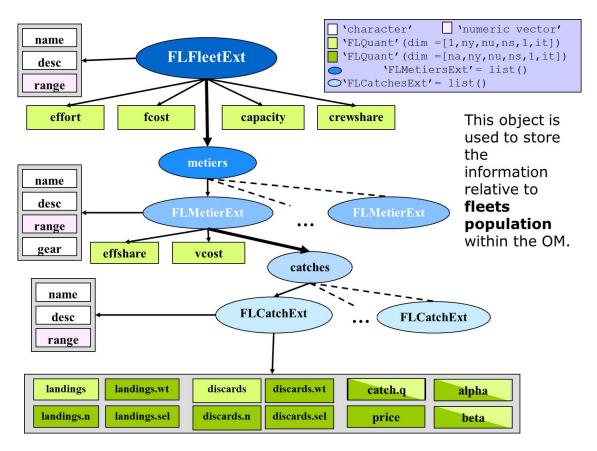


Figure B.2: FLFleetExt object

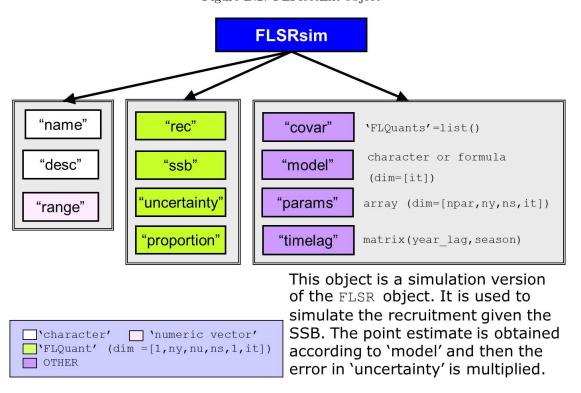


Figure B.3: FLSRsim object

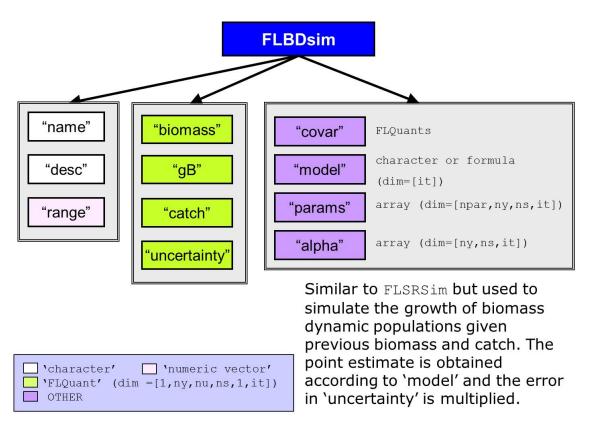


Figure B.4: FLBDsim object

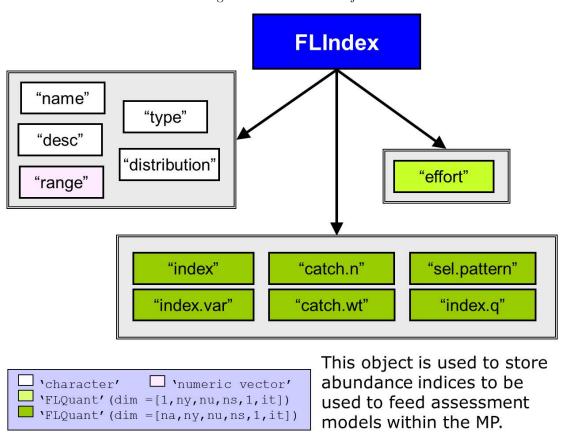


Figure B.5: FLIndex object

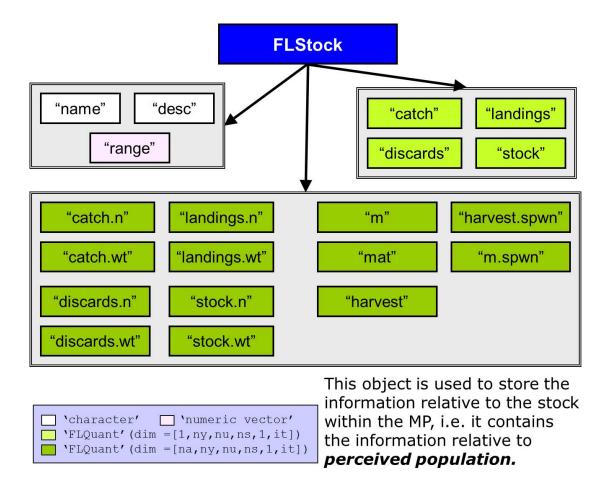


Figure B.6: FLStock object

# C Graphical representation of control objects

Table C.1: Description of all the optional arguments for main.ctrl object (of class list). The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
sim.years*	numeric vector	2 (initial,final)	any in year range	

Table C.2: Description of all the optional arguments for biols.ctrl object (of class list). The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
[[st]]\$growth.model*	character	1	'fixedPopulation','ASPG', 'BDPG'	

Table C.3: Description of all the optional arguments for fleets.ctrl object (of class list). In the table we assume that stk is the name of the stock and fl the name of the fleet. The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
catch.treshold	FLQuant	[nst,ny,1,ns,1,ni]	Proportions in $[0,1]$ range	SMFB, SSFB
seasonal.share[[st]]	FLQuant	[nst,ny,1,ns,1,ni]	Proportions in $[0,1]$ (sum along seasons = 1)	SMFB, SSFB
[[fl]]\$effort.model*	character	1	'fixedEffort','SMFB','SSFB',	
			'MaxProfit'	
[[fl]]\$restriction	character	1	'catch','landings'	SMFB, SSFB
	character	1 or [ny]	'catch','landings'	MaxProfit
[[fl]]\$effort.restr	character	1	'max', 'min', 'mean', stock.name, 'prev'	SMFB
			'max', 'min', 'mean', stock.name	SSFB
	character	1 or [ny]	'min',stock.name	MaxProfit
[[fl]]\$effectiveDay.perc	FLQuant	[1, ny, 1, ns, 1, ni]	Proportions in $[0,1]$	SSFB
[[fl]]\$effort.realloc	character	1	NULL,'curr.eff'	SSFB
[[fl]]\$LandObl	logical	1 or [ny]	?????	MaxProfit
[[fl]]\$stk.cnst	character	any	names of the stocks	MaxProfit
[[fl]]\$effort.range	matrix	matrix	(nmt,2); colnames=c('min','max')	MaxProfit
[[fl]]\$efs.abs	logical	1	TRUE (if 'effort.range' values are absolute),	MaxProfit
			FALSE (if 'effort.range' values are relative to total effort)	MaxProfit
[[fl]]\$q2zero	numeric	[nst,nmt]	0 (if main target species of the metier)	MaxProfit
			1 (if the metier can discriminate the species)	
[[fl]]\$capital.model*	character	1	'fixedCapital','SCD'	
[[fl]][[st]]\$catch.model*	character	1	'cobbDouglasBio','cobbDouglasAge',	
11 111 11			'seasonshare'	
[[fl]][[st]]\$catch.dependence <sup>1</sup>	character	nfl	fleet.name	seasonShare
[[fl]][[st]]\$TAC.OS.model	character	1	'TAC.OS.triangCond'	SMFB, MaxProfit
[[fl]][[st]]\$TAC.OS.triangCond.params	named numeric vector	3 (min,max,mode)		SMFB, MaxProfit
[[fl]][[st]]\$discard.TAC.OS	logical	1	'TRUE' (TAC overshoot is discarded),	
			'FALSE' (TAC overshoot is included in landings)	SMFB, MaxProfit
[[fl]][[st]]\$price.model*	character	1	'fixedPrice', 'elasticPrice'	
[[fl]][[st]]\$pd.els	numeric array	[na,ns,ni]	•	elasticPrice
[[fl]][[st]]\$pd.La0	numeric array	[na,ns,ni]		elasticPrice
[[fl]][[st]]\$pd.Pa0	numeric array	[na,ns,ni]		elasticPrice
[[fl]][[st]]\$pd.total	logical	i	'TRUE' (if depending on total catch), 'FALSE'	elasticPrice

nst: number of stocks nfl: number of fleets

nmt: number of metiers in 'fl'

na: number of age clases

ny: number of years

ns: number of seasons

ni: number of iterations

<sup>&</sup>lt;sup>1</sup>If defined, takes the same seasonal share as the one of fleet fleet.name.

Table C.4: Description of all the optional arguments for covars.ctrl object (of class list). In the table we assume that cv is the name of the covariate. The arguments with \* are compulsory arguments.

<u>,                                    </u>				
Argument	class	Dimension	Values	Required for
[[cv]]\$process.model*	character	1	'fixedCovar','ssb.get'	*
[[cv]]\$ssb.stock	character	1	stock.name	ssb.get
[[cv]]\$spwn.season	numeric	1	season	ssb.get
[[cv]]\$sr.covar	character	1	stock.name	ssb.get

Table C.5: Description of all the optional arguments for obs.ctrl object (of class list). In the table we assume that stk is the name of the stock and id the name of the index. The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
[[st]]\$obs.curryr <sup>1</sup>	logical	1	'TRUE' (if current year also observed),	
			'FALSE' (only up to y-1)	
[[st]]\$stkObs\$stkObs.model*	character	1	'NoObsStock','perfectObs', 'age2ageDat','age2agePop',	
			'age2bioDat','age2bioPop','bio2bioDat','bio2bioPop'	
[[st]]\$stkObs\$TAC.ovrsht	FLQuant	[1, ny, 1, 1, 1, ni]	In percentage per unit	age2ageDat,age2bioDat,bio2bioDat
[[st]]\$stkObs\$ages.error <sup>2</sup>	array	[na,na,ny,ni]	In percentage per unit	age2ageDat,age2agePop
[[st]]\$stkObs\$nmort.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$mat.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$stk.nage.error	FLQuant	[na,ny,1,1,1,ni]	any	age2agePop
[[st]]\$stkObs\$stk.wgt.error	FLQuant	[na,ny,1,1,1,ni]	any	age2agePop
[[st]]\$stkObs\$stk.bio.error	FLQuant	[1, ny, 1, 1, 1, ni]	any	age2bioPop,bio2bioDat,bio2bioPop
[[st]]\$stkObs\$land.nage.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$land.wgt.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$land.bio.error	FLQuant	[1, ny, 1, 1, 1, ni]	any	age2bioDat,bio2bioDat,bio2bioPop
[[st]]\$stkObs\$disc.nage.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$disc.wgt.error	FLQuant	[na,ny,1,1,1,ni]	any	age2ageDat
[[st]]\$stkObs\$disc.bio.error	FLQuant	[1, ny, 1, 1, 1, ni]	any	age2bioDat,bio2bioDat,bio2bioPop
[[st]]\$indObs $[[id]]$ \$indObs.model*	character	1	'NoObsIndex','NoObservation','ageInd', 'bioInd',	
			'ssbInd','cbbmInd'	
[[st]]sindObs $[[id]]$ sages.error	array	[na,na,ny,ni]	any	ageInd
[[st]]sindObs $[[id]]$ sInd	numeric	1	season.name (season in which index is observed)	ssbInd
[[st]]\$indObs[[id]]\$wageIni	matrix	[2,ni]	weight at age for each age class (age1,age2plus)	ssbInd

na: number of age clases

ny: number of years

ni: number of iterations

<sup>&</sup>lt;sup>1</sup>If advice.ctrl[[st]]\$ass.curryr=TRUE then this value is authomatically set to TRUE.

<sup>&</sup>lt;sup>2</sup>For each year and iteration, there is a square matrix whose column elements quantify the probability that a fish of age a is classified as having any age between min(age) and max(age).

Table C.6: Description of all the optional arguments for assess.ctrl object (of class list). In the table we assume that stk is the name of the stock. The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
[[st]]\$assess.model*	character	1	'NoAssessment','FLXSA2flbeia', 'spict2flbeia', 'sca2flbeia'	
[[st]]\$ass.year	character	any	NULL (then assessment every year),	
			year.names (for which assessment is required)	
[[st]]\$ass.curryr <sup>1</sup>	logical	1	'TRUE' (for getting also estimates for assessment year),	assess.ctrl[[st]]\$assess.model != 'NoAssessment'
			'FALSE' (default)	
[[st]]\$ass.season	character	1	NULL (assessment in the last season is assumed),	
			season.name	
[[st]]\$harvest.units*	character	1	'f','hr'	
[[st]]\$control	control object		Depends on the selected assessment model	assess.ctrl[[st]]\$assess.model
			(e.g. FLXSA.control() for XSA assessment)	(i.e. FLXSA2flbeia, spict2flbeia, sca2flbeia)

<sup>&</sup>lt;sup>1</sup>If advice.ctrl[[st]]\$ass.curryr==TRUE then obs.ctrl[[st]]\$obs.curryr is authomatically set to TRUE.

Table C.7: Description of all the optional arguments for advice.ctrl object (of class list). In the table we assume that stk is the name of the stock and id the name of the index. The arguments with \* are compulsory arguments.

Argument	class	Dimension	Values	Required for
[[st]]\$HCR.model*	character	1	'fixedAdvice','annualTAC','IcesHCR','FroeseHCR',	
			'annexIVHCR','ghlHCR','aneHCRE','neaMAC_ltmp',	
			'F2CatchHCR','little2011HCR','pidHCR','pidHCRtarg',	
			'MAPHCR','CFPMSYHCR','MultiStockHCR'	
[[st]]\$AdvCatch	logical	[ny]	'FALSE' (TAC in terms of landings, default value),	
			'TRUE' (TAC in terms of catch)	
[[st]]\$nyears	numeric	1	season.name	annualTAC, IcesHCR, F2CatchHCR,
				MultiStockHCR
[[st]]\$wts.nyears	numeric	1	season.name	annualTAC, IcesHCR, MAPHCR,
				CFPMSYHCR, F2CatchHCR, MultiStockHC
[[st]]\$fbar.nyears	numeric	1	season.name	annualTAC, IcesHCR, MAPHCR,
				CFPMSYHCR, F2CatchHCR, MultiStockHC
[[st]]\$f.rescale	logical	1	'TRUE' (???), 'FALSE'	annualTAC, IcesHCR, MAPHCR,
[[]]				CFPMSYHCR, F2CatchHCR, MultiStockHC
[[st]]\$disc.nyears	numeric	1		annualTAC, CFPMSYHCR
[[st]]\$fwd.control	fwdcontrol <sup>1</sup> /fwdBDcontrol	-		annualTAC
[[st]]\$sr\$model	character	1	SR model name	annualTAC, IcesHCR, MAPHCR,
[[et]] to a time det		-	or model name	F2CatchHCR, MultiStockHCR
[[st]]\$sr\$params <sup>2</sup>	FLPar	[npar,ni]		annualTAC, IcesHCR, MAPHCR,
	1 11 61	[117611,111]		CFPMSYHCR, F2CatchHCR, MultiStockHC
[[st]]\$sr\$years <sup>2</sup>	named numeric vector	2 (y.rm, num.years)		annualTAC, IcesHCR, MAPHCR,
[[st]] \psi \psi  y \cars	named numeric vector	2 (y.im, num.years)		CFPMSYHCR, F2CatchHCR, MultiStockHC
[[st]]\$growth.years <sup>3</sup>	named numeric vector	2 (y.rm, num.years)		annualTAC, IcesHCR, CFPMSYHCR,
[st]]#growth.years	named numeric vector	2 (y.iii, nuiii.years)		F2CatchHCR, MultiStockHCR
[at]]@nof mta	matrix	[		,
[st]]\$ref.pts	matrix	[nrp,ni]		IcesHCR, FroeseHCR, annexIVHCR,
				ghlHCR, MAPHCR, CFPMSYHCR,
				F2CatchHCR, little2011HCR, pidHCR,
[ , ]] @	1	1	NT 1	pidHCRtarg, MultiStockHCR
[[st]]\$intermediate.year	character	1	'Fsq' or any	IcesHCR, neaMAC_ltmp, F2CatchHCR,
				MultiStockHCR
[[st]]\$index	character	1	index.name	annexIVHCR, little2011HCR, pidHCR,
FF . 11 A .				pidHCRtarg
[[st]]\$type	numeric	1	2 or 4	annexIVHCR
[[st]]\$N	numeric	1		MAPHCR,CFPMSYHCR
[[st]]\$stocksInHCR	vector	any	names of the stocks to be taken into account	MultiStockHCR
[[st]]\$TACs1.perc	numeric	1	percentage of TAC assumed to be taken in the 1st semester	aneHCRs
[[st]]\$tsurv	numeric	1	time period of the survey	aneHCRs
[[st]]\$cbbm.params\$G	FLQuant	[2,ny,1,1,1,ni]		aneHCRs
[[st]]\$cbbm.params\$M	FLQuant	[2,ny,1,1,1,ni]		aneHCRs
[[st]]\$cbbm.params\$S	FLQuant	[2, ny, 1, 1, 1, ni]		aneHCRs

npar: number of parameters in the SR model selected for stock st.

nrp: number of reference points required for the HCR selected for stock st.

ny: number of years

ni: number of iterations

<sup>&</sup>lt;sup>1</sup>Example: Fsq for assessment year, Fmsy for management year, with a TAC constraint of 20%.

fwdControl(data.frame(year=c(0,1,1),quantity=c('f','f','catch'),val=c(Fsq,Fmsy,NA), min=c(NA,NA,0.8),max=c(NA,NA,1.2),rel.year=c(NA,NA,-1))

<sup>&</sup>lt;sup>2</sup>Optional argument for the function.

<sup>&</sup>lt;sup>3</sup>Used only for stocks aggregated in biomass.

## D Smart conditioning - function's arguments description

Table D.1: Description of the arguments of the function create.biols.data. In the table we assume that stk is the name of the stock. All the arguments are required.

Argument		class	Dimension	Definition
yrs		vector	3	c(first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
stks.data		list	number of stocks	List with the name of the stocks and the following elements:
	stk.unit	numeric	1	Number of units
	stk.age.min	numeric	1	Minimum age
	stk.age.max	numeric	1	Maximum age
	$stk_n.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Abundance in numbers at age
	$stk\_wt.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Weight at age
	$stk_m.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Natural mortality mortality rate
	$stk_fec.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Fecundity
	$stk_mat.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Percentage of mature individuals
	$stk\_spwn.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Proportion of time step at spawning
	$stk\_range.min$	numeric	1	Minimum age
	$stk\_range.max$	numeric	1	Maximum age
	$stk\_range.plusgroup$	numeric	1	Plusgroup age
	stk_range.minyear	numeric	1	Minimum year
	stk_range.maxyear	numeric	1	Maximum year
	stk_range.minfbar	numeric	1	Minimum age for calculating average fishing mortality
	stk_range.maxfbar	numeric	1	Maximum age for calculating average fishing mortality
	stk_biol.proj.avg.yrs	vector	any	Historic years to calculate averages (in spwn, fec, m and wt)
				for the projection period

na: number of age (from min.age to max.age)

ny(hist): number of historic years (from first.yr to proj.yr-1)

1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons 1/ni: 1 or number of iterations

Table D.2: Description of the arguments of the function create.SRs.data. In the table we assume that stk is the name of the stock. The arguments with superscript \* are optional arguments.

Argument	·	class	Dimension	Definition
yrs		vector	3	c( first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
stks.data		list	number of stocks	List with the name of the stocks and the following elements:
	stk.unit	numeric	1	Number of units
	stk.age	numeric	1	Number of age classes
	$stk\_sr.model$	character	1	Name of the SR model
	$stk\_params.n$	vector	1	Number of parameters
	$stk\_params.name$	vector	stk_params.n	Name of the parameters
	$stk\_params.array$	array	[stk_params.n,ny,ns,1/ni]	Parameter values
	$stk\_rec.flq$	FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Recruitment values
	$stk\_ssb.flq$	FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Spawning stock values
	$stk\_uncertainty.flq^*$	FLQuant	[1, ny, 1/nu(stock), 1/ns, 1/ni]	Uncertainty
	stk_proportion.flq	FLQuant	[1, ny, 1/nu(stock), 1/ns, 1/ni]	Recruitment distribution in each time step. For details see FLSRsim
	stk_prop.avg.yrs	vector	any	Historical years to calculate the proportion average
	$stk\_timelag.matrix$	matrix	(2,ns)	Timelag between the spawning an recruitment (time.lag.yr, time.lag.ns)
				For details see FLSRsim
	$stk\_range.min$	numeric	1	Minimum age
	$stk\_range.max$	numeric	1	Maximum age
	stk_range.plusgroup	numeric	1	Plusgroup age
	stk_range.minyear	numeric	1	Minimum year

ny(hist): number of historic years (from first.yr to proj.yr-1)

ny: number of years (from first.yr to last.yr) 1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons 1/ni: 1 or number of iterations

ns: number of seasons

Table D.3: Description of the arguments of the function create.BDs.data. In the table we assume that stk is the name of the stock. The arguments with \* are optional arguments.

Argument		class	Dimension	Definition
yrs		vector	3	c(first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
stks.data		list	number of stocks	List with the name of the stocks and the following elements:
	stk.unit	numeric	1	Number of units
	$stk\_bd.model$	character	1	Name of the BD model
	$stk_params.name$	vector	np	Name of the parameters
	stk_params.array	vector	np	Parameter values
	stk_biomass.flq	FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Biomass values
	stk_catch.flq	FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Catch values
	$stk\_range.min$	numeric	1	Minimum age
	stk_range.max	numeric	1	Maximum age
	stk_range.plusgroup	numeric	1	Plusgroup age
	stk_range.minyear	numeric	1	Minimum year
	stk_alpha	numeric	1	Maximum variability of carrying capacity
	$stk\_gB.flq^*$	FLQuant	[1,ny(hist),1/nu(stock),1/ns,1/ni]	Surplus production values
	stk_uncertainty.flq*	FLQuant	[1,ny,1/nu(stock),1/ns,1/ni]	Uncertainty

ny(hist): number of historic years (from first.yr to proj.yr-1)

ny: number of years (from first.yr to last.yr)

1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons 1/ni: 1 or number of iterations

np: number of parameters in BD model

Table D.4: Description of the arguments of the function create.fleets.data. In the table we assume that stk is the name of the stock,fl the name of the fleet and met the name of the metier. The arguments with \* are optional arguments.

Argument	et the name of the metre	class	Dimension	Definition
yrs		vector	3	c(first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
fls.data		list	number of fleets	List with the name of the fleets and the following elements:
	fl.met	vector	number of metiers in 'fl'	Name of the metiers in the fleet 'fl'
	fl.met.stks	vector	number of stocks in 'fl.met'	Name of the stocks in the metier 'met' and fleet 'fl'
	fl_effort.flq	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Effort for 'fl' fleet
	fl_capacity.flq*	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Capacity of 'fl' fleet
	$\mathrm{fl\_fcost.flq}^*$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Fixed costs for 'fl' fleet
	fl_crewshare.flq*	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Crewshare for 'fl' fleet
	$fl.met_effshare.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Effort share for fl' fleet and 'met' metier
	$fl.met\_vcost.flq^*$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Variable costs for 'fl' fleet and 'met' metier
	$fl.met.stk\_landings.n.flq$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Landings in numbers at age for fl' fleet, 'met' metier and 'stk' stock
	$fl.met.stk\_landings.wt.flq^*$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Mean weight of landings at age for 'fl' fleet and 'met' metier
	$fl.met.stk\_discards.n.flq^*$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Discards in numbers at age for 'fl' fleet and 'met' metier
	$fl.met.stk\_discards.wt.flq^*$	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Mean weight at age in discards for 'fl' fleet and 'met' metier
	fl.met.stk_price.flq*	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Price at age for 'stk' stock in 'fl' fleet and 'met' metier
	fl.met.stk_alpha.flq*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Cobb-Douglas alpha parameter for 'fl' fleet, 'met' metier and 'stk' stock
	$fl.met.stk\_beta.flq^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Cobb-Douglas beta parameter for 'fl' fleet, 'met' metier and 'stk' stock
	fl.met.stk_catch.q.flq*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Cobb-Douglas catch.q parameter for 'fl' fleet, 'met' metier and 'stk' stock
	fl_proj.avg.yrs	vector	any	Historic years to calculate averages (in effort, fcost, crewshare, and capacity) in 'fl' fleet
				for the projection period
	${\rm fl.met\_proj.avg.yrs}^*$	vector	any	Historic years to calculate averages (in effshare and vcost) in 'fl' fleet and 'met' metier for the projection period
	${\rm fl.met.stk\_proj.avg.yrs}^*$	vector	any	Historic years to calculate averages (in landings.wt, discards.wt, landings.sel, discards.sel, alpha, beta and catch.q) in 'fl' fleet, 'met' metier and 'stk' stock
stks.data		1:-4	number of stocks	for the projection period
stks.data	stk.unit	list numeric	number of stocks	List with the name of the stocks and the following elements: Number of units
	stk.age	numeric	1	Number of age clases
	stk.age.min	numeric	1	Minimum age
	stk.age.max	numeric	1	Maximum age
	stk_wt.flq*		•	Weight at age. Only required if fl.met.stk_landings.wt is not defined
	stk_n.flq*	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Numbers at age in the population (for stocks modelled in numbers at age).
	Stk_II.IIQ	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Only required if Cobb-Douglas parameters are not defined
	stk_gB.flq*	EI Ouant	[no ny/higt) 1/ny/gtogle) 1/no 1/ni]	Biomass growth (for stocks modelled in biomass).
	pn.dg_aus	FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Only required if Cobb-Douglas parameters are not defined
				Only required it Cond-Douglas parameters are not defined

ny(hist): number of historic years (from first.yr to proj.yr-1)

ny: number of years (from first.yr to last.yr)

<sup>1/</sup>nu(stock): 1 or number of units of the stock

<sup>1/</sup>ns: 1 or number of seasons 1/ni: 1 or number of iterations

Table D.5: Description of the arguments of the function create.indices.data. In the table we assume that stk is the name of the stock and ind the name of the index. The arguments with \* are optional arguments.

Argument		class	Dimension	Definition
yrs		vector	3	c( first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
stks.data		list	number of stocks	List with the name of the stocks with indices and the following elements:
	stk.unit	numeric	1	Number of units
	stk.age	numeric	1	Number of age classess
	stk_indices	character	1	Name of indices for the stock 'stk'
	$stk\_ind\_type^*$	character	1	Type of index
	$stk\_ind\_distribution^*$	character	1	Name of the statistical distribution of the 'ind' index values for stock 'stk'
	$stk\_ind\_index.flq$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Historical index data for index 'ind' of stock 'stk'
	$stk\_ind\_index.var.flq^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Variability in 'ind' index of stock 'stk'
	${ m stk\_ind\_index.q.flq}^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Catchability for 'ind' index of stock 'stk'
	$stk\_ind\_catch.n.flq^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Catch at age in numbers for 'ind' index of stock 'stk'
	$stk\_ind\_catch.wt.flq^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Mean weight at age in the catch for 'ind' index of stock 'stk'
	stk_ind_effort.flq*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Effort for 'ind' index of stock 'stk'
	stk_ind_sel.pattern.flq*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Selection pattern for 'ind' index of stock 'stk'
	stk_ind_range.min*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Minimum age in 'ind' index of stock 'stk'
	stk_ind_range.max*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Maximum age in 'ind' index of stock 'stk'
	stk_ind_range.plusgroup*	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Plusgroup age in 'ind' index of stock 'stk'
	$stk\_ind\_range.minyear^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	First year with 'ind' index data of stock 'stk'
	$stk\_ind\_range.maxyear^*$	FLQuant	[na,ny,1/nu(stock),1/ns,1/ni]	Last year with 'ind' index data of stock 'stk'
	$stk\_ind\_range.startf^*$	numeric	1	Start date of the tuning series
	$stk\_ind\_range.endf^*$	numeric	1	End date of the tuning series

ny: number of years (from first.yr to last.yr)

1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons 1/ni: 1 or number of iterations

Table D.6: Description of the arguments of the function create.advice.data. In the table we assume that stk is the name of the stock. The arguments with \* are optional arguments.

Argument		class	Dimension	Definition
yrs		vector	3	c( first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
stks.data		list	number of stocks	List with the name of the stocks with indices and the following elements:
	$stk\_advice.TAC.flq^*$	FLQuant	[1,ny,1/nu(stock),1/ns,1/ni]	TAC of the stock 'stk'
	$stk\_advice.TAE.flq^*$	FLQuant	[1, ny, 1/nu(stock), 1/ns, 1/ni]	TAE of the stock 'stk'
	stk_advice.quota.share.flq*	FLQuant	[nfl,ny,1/nu(stock),1/ns,1/ni]	Quota share of the stock 'stk' for each of the fleets
	stk_advice.avg.yrs*	FLQuant	any	Mean weight at age in the catch for 'ind' index of stock 'stk'
fleets*		FLQuant	•	Only required if stk_advice.quota.share is not specified.
		•		Can be the output of create_fleets_FLBEIA function

ny: number of years (from first.yr to last.yr)

1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons

1/ni: 1 or number of iterations

nfl: number of fleets

Table D.7: Description of the arguments of the function create.list.stks.flqa. In the table we assume that stk is the name of the stock.

1	U			1
Argument		class	Dimension	Definition
stks		vector	number of stocks	Name of all the stocks
yrs		vector	3	c(first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
list.stks.unit		list	number of stocks	List with the name of the stocks and each stock contains the number
				of units
list.stks.age		list	number of stocks	List with the name of the stocks and each stock contains a vector
				with minimum age (min.age) and maximum age (max.age)

Table D.8: Description of the arguments of the function create.list.stks.flq. In the table we assume that stk is the name of the stock.

O				±
Argument		class	Dimension	Definition
stks		vector	number of stocks	Name of all the stocks
yrs		vector	3	c(first.yr, proj.yr, last.yr)
	first.yr	numeric	1	First year of simulation
	proj.yr	numeric	1	First year of projection
	last.yr	numeric	1	Last year of projection
ni		numeric	1	Number of iterations
ns		numeric	1	Number of seasons
list.stks.unit		list	number of stocks	List with the name of the stocks and
				each stock contains the number of units

Table D.9: Description of the arguments of the function calculate.CDparam. In the table we assume that stk is the name of the stock. The arguments with \* are optional arguments.

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Argument	class	Dimension	Definition	
stk.n		FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Abundance in numbers at age
landings.n		FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Landings in numbers at age
discards.n		FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	Discards in numbers at age
effort		FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Effort
effshare		FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	Effort share
age.min		numeric	1	Minimum age
age.max		numeric	1	Maximum age
flqa		FLQuant	[na,ny(hist),1/nu(stock),1/ns,1/ni]	An FLQuant object
$_{\mathrm{flq}}$		FLQuant	[1, ny(hist), 1/nu(stock), 1/ns, 1/ni]	An FLQuant object
largs*		list	1	A list with extra optional arguments:
	stk.gB	numeric	1	Surplus production (only for stocks in biomass)

ny(hist): number of historic years (from first.yr to proj.yr-1)

1/nu(stock): 1 or number of units of the stock

1/ns: 1 or number of seasons 1/ni: 1 or number of iterations