

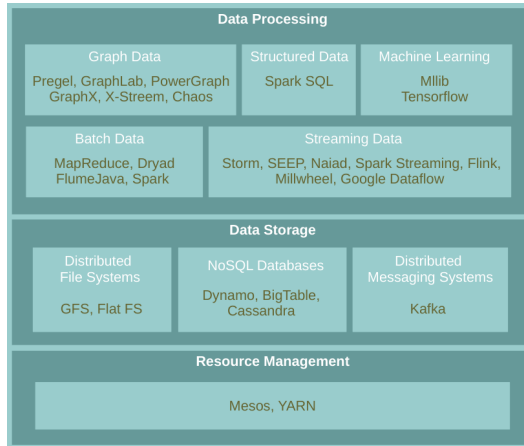


Cloud Data Lakes

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Where Are We?



What Are The Challenges?

- [illegible]

Fivetran Data Analyst Survey

- ▶ 60% reported **data quality** as top challenge.
- ▶ 86% of analysts had to use **stale data**, with 41% using data that is **> 2 months old**.
- ▶ 90% regularly had **unreliable data sources** over the last 12 months



Getting high-quality, timely data is hard!

The Evolution of Data Management

Data Warehouses (1980s)

- ▶ **ETL** (Extract, Transform, Load) data directly from operational **database systems**.



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- ▶ Purpose-built for **SQL analytics** and **BI**: **schemas, indexes, caching, etc.**
- ▶ Powerful **management features** such as **ACID** transactions and time travel



Data Warehouses - Problems (2010s)

- ▶ Could **not support** rapidly growing **unstructured** and **semi-structured data**: time series, logs, images, documents, etc.



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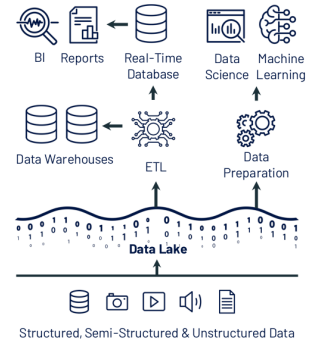
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- ▶ Could **not support** rapidly growing **unstructured** and **semi-structured data**: time series, logs, images, documents, etc.
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- ▶ **No support** for **data science and ML**.



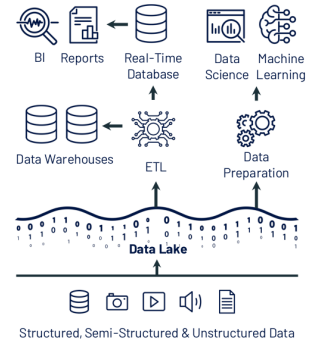
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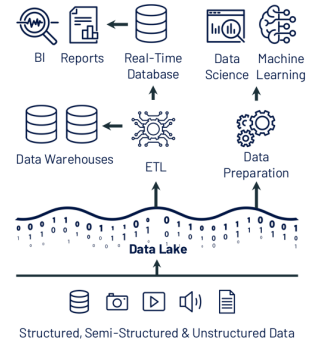
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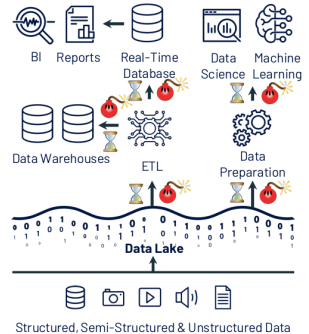
Data Lakes (2010s)

- ▶ Low-cost storage to hold **all raw data**, e.g., Amazon S3, and HDFS.
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- ▶ Directly readable in **ML libraries** (e.g., TensorFlow and PyTorch) due to open file format.



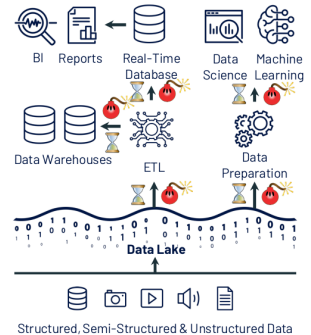
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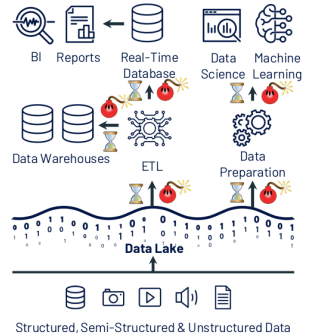
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 - Multiple storage systems with **different semantics**, SQL dialects, etc.
 - Extra **ETL steps** that can go wrong.



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- ▶ **Data reliability** suffers:
 - Multiple storage systems with **different semantics**, SQL dialects, etc.
 - Extra ETL steps that can go wrong.
- ▶ **Timeliness** suffers and high cost:
 - Extra ETL steps **before data is available** in data warehouses.
 - Continuous ETL, duplicated storage



Data Lake vs. Data Warehouse



- ▶ **Data Lake** stores all data **irrespective** of the **source** and its **structure** whereas **Data Warehouse** stores data in **quantitative metrics** with their attributes.

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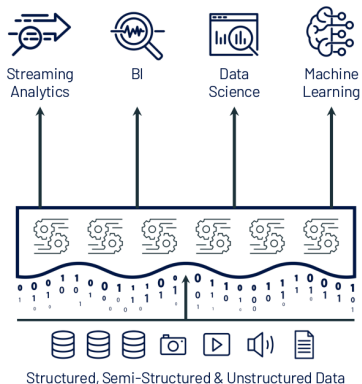
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- ▶ **Data Lake** uses the **ELT** process while the **Data Warehouse** uses **ETL** process.

Lakehouse

Lakehouse Vision



Single platform for every use case

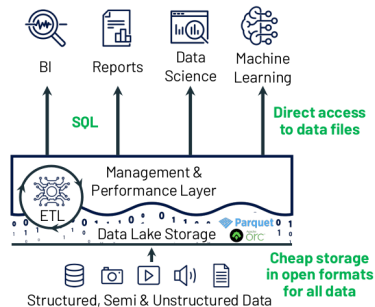
Management features
(transactions, versioning, etc.)

Data lake storage for all data

- **Lakehouse** systems combine the **benefits** of **Data Warehouses** and **Data Lakes** while **simplifying** enterprise data architectures.

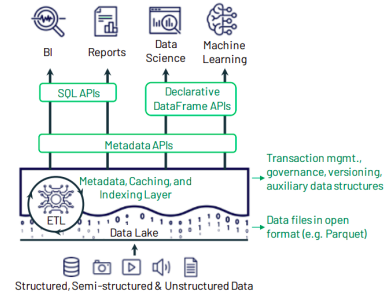
Lakehouse Systems

- Implement **Data Warehouse management** and **performance** features on top of **directly-accessible data** in **open formats**.



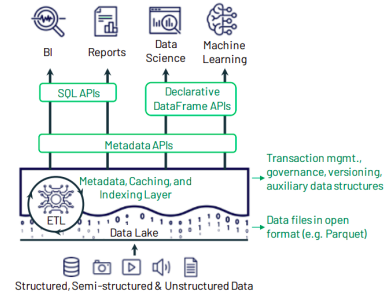
Key Technologies Enabling Lakehouse

► Metadata layers for Data Lakes



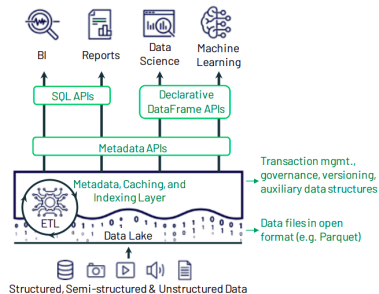
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- ▶ Metadata layers for Data Lakes
- ▶ New query engine designs



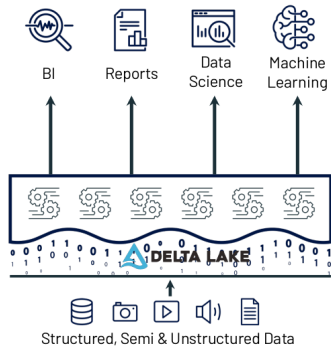
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- ▶ New query engine designs
- ▶ Declarative access for data science and ML



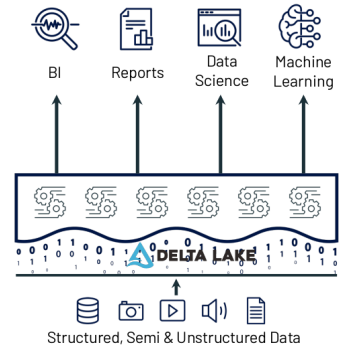
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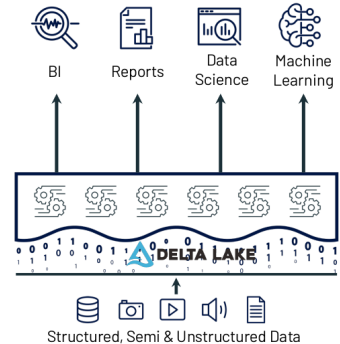
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- ▶ **Track** which files are part of a **table version** to offer rich management features like **transactions**.



Metadata Layers for Data Lakes

- ▶ Add **transactions**, **versioning**, and more ...
- ▶ **Track** which files are part of a **table version** to offer rich management features like **transactions**.
- ▶ Implemented in **multiple systems**, such as **Delta Lake**.





New Query Engine Designs

- ▶ Great [SQL performance](#) on [Data Lake](#) storage systems and file formats.



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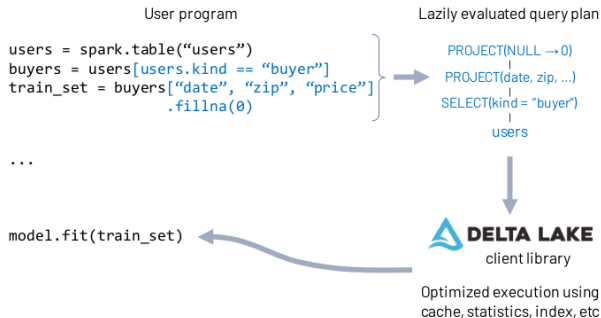


New Query Engine Designs

- ▶ Great SQL performance on Data Lake storage systems and file formats.
- ▶ Directly-accessible file storage optimizations can enable high SQL performance:
 - Caching hot data in RAM/SSD
 - Data layout within files to cluster co-accessed data
 - Auxiliary data structures like statistics and indexes

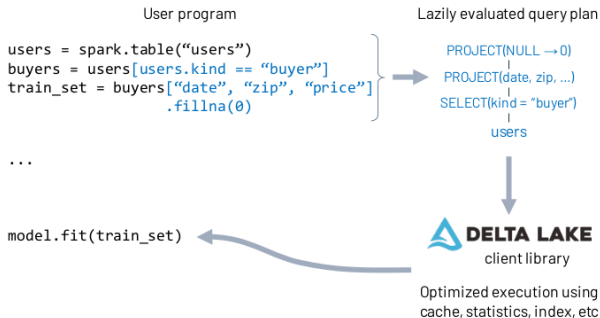
Declarative Access for Data Science and ML

- New declarative interfaces for I/O enable further optimization.



Declarative Access for Data Science and ML

- ▶ New declarative interfaces for I/O enable further optimization.
- ▶ Example: Spark DataFrame API compiles to relational algebra.







Delta Lake

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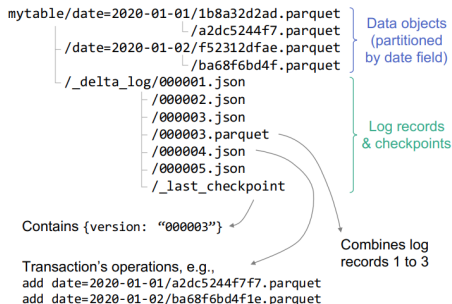


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- ▶ Provides **scalable metadata handling**.
- ▶ Provides **time travel** and **versioning**.
- ▶ **Unifies streaming** and **batch** data processing.

Delta Lake Table

- **Delta Lake Table** is a **directory** (e.g., **mytable**) that holds **data objects** and a **log of transaction operations**.





- DeltaLog is a transaction log that tracks all changes that users make to the table.



DeltaLog

- ▶ **DeltaLog** is a **transaction log** that **tracks all changes** that users make to the table.
- ▶ **Delta Lake** uses the **DeltaLog** for many features including **ACID transactions**, scalable metadata handling, time travel, etc.



DeltaLog Structure (1/2)

- ▶ When a user **creates** a Delta Lake Table, its **DeltaLog** is automatically created in the **`._delta_log`** subdirectory.



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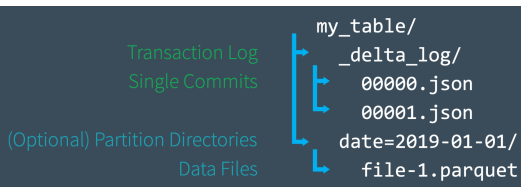
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- ▶ Each **commit** is written out as a **JSON** file, starting with **`000000.json`**.





DeltaLog Structure (1/2)

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- ▶ Each **commit** is written out as a **JSON** file, starting with **000000.json**.
- ▶ **Additional changes** to the table generate **subsequent JSON files** in **ascending numerical order**, e.g., **000001.json**, **000002.json**, and so on.



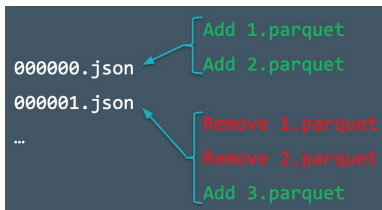
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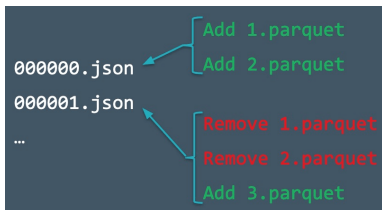
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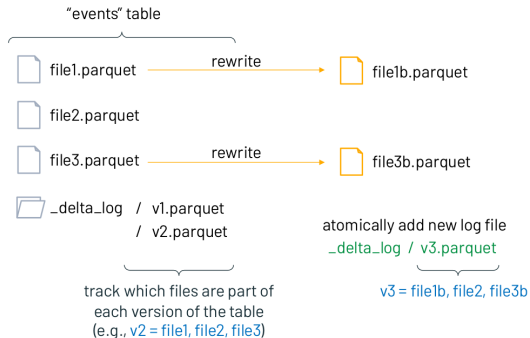
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- ▶ Those actions would be recorded as the **next commit** in the **DeltaLog**, as **000001.json**.



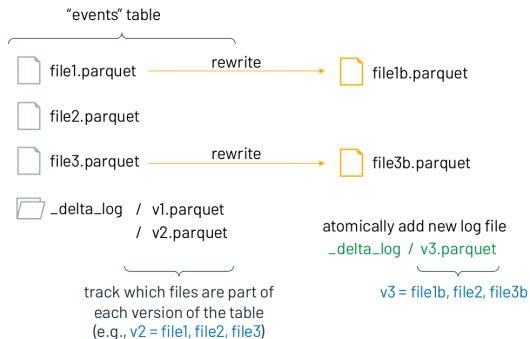
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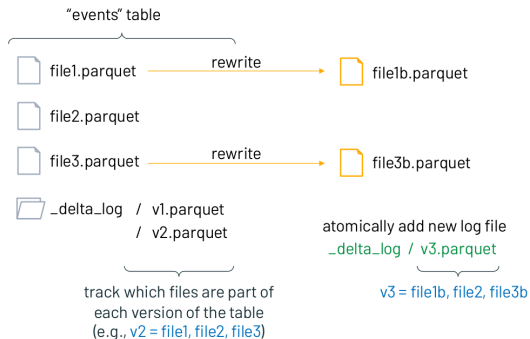
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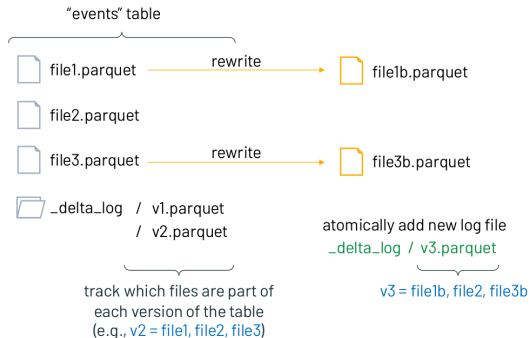
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Actions and Commits

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- ▶ **Commit info**: information around commit for auditing



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- ▶ Every **table** is the result of the **sum of all of the commits** recorded in the Delta Lake **DeltaLog**.



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- ▶ The **DeltaLog** provides a **step-by-step instruction guide**, detailing exactly how to get from the table's **original state** to **its current state**.
- ▶ Thus, we can **recreate the state of a table** at **any point in time**.
 - Starting with an **original table**, and processing only commits made **prior to that point**.
- ▶ This ability is known as **time travel** or **data versioning**.



Use Cases - Data Lineage and Debugging

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Use Cases - Data Lineage and Debugging

- ▶ The Delta Lake **DeltaLog** offers users a **verifiable data lineage**.
- ▶ It is useful for **governance**, **audit** and **compliance** purposes.
- ▶ It can also be used to **trace the origin of an inadvertent change or a bug** in a pipeline back to the **exact action that caused it**.

Schema Enforcement and Evolution



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Schema Enforcement and Evolution

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- ▶ So, structure of data evolves over time.
- ▶ With Delta Lake, as the data changes, incorporating new dimensions is easy.
- ▶ Schema enforcement: prevents users from accidentally polluting their tables with mistakes or garbage data.
- ▶ Schema evolution: enables automatic addition of columns when desired.

Understanding Table Schemas

- ▶ Spark **DataFrames** contain the **schema**.
- ▶ With Delta Lake, the **table's schema** is saved in **JSON format** inside the **DeltaLog**.

```
schemaString: {"type":"struct","fields":[  
  {"name":"loan_id","type":"long","nullable":false,"metadata":{}},  
  {"name":"funded_amnt","type":"integer","nullable":true,"metadata":{}},  
  {"name":"paid_amnt","type":"double","nullable":true,"metadata":{}},  
  {"name":"addr_state","type":"string","nullable":true,"metadata":{}}  
]}
```




Schema Enforcement

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- ▶ As well, Delta Lake **raises an exception** to let the user know about the mismatch.



Schema Enforcement Rules

- ▶ **Rule 1:** cannot contain any **additional columns** that are **not present** in the **target table's schema**.



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- ▶ **Rule 1:** cannot contain any **additional columns** that are **not present** in the **target table's schema**.
- ▶ **Rule 2:** cannot have **column data types** that **differ** from the column data types in the **target table**.
- ▶ **Rule 3:** Can not **contain column names** that **differ** only by **case**.



Schema Evolution

- **Schema evolution** allows users to **change a table's current schema** to accommodate data that is changing over time.



Schema Evolution

- ▶ **Schema evolution** allows users to **change a table's current schema** to accommodate data that is changing over time.
- ▶ Most commonly used operations for **append** and **overwrite**.

Delta Lake and Spark

Loading Data into a Delta Lake Table (1/2)

- All you need to migrate any of the **structured data** formats (e.g., Parquet) to **Delta Lake** is to use `format("delta")`.

```
// Configure source data and Delta Lake path  
val sourcePath = "loan-risks.snappy.parquet"  
val deltaPath = "loans_delta"  
  
// Create the Delta table with the same loans data  
spark.read.format("parquet").load(sourcePath).write.format("delta").save(deltaPath)  
  
// Create a view on the data called loans_delta  
spark.read.format("delta").load(deltaPath).createOrReplaceTempView("loans_delta")
```

Loading Data into a Delta Lake Table (2/2)

```
// Read and explore the data
```

```
spark.sql("SELECT count(*) FROM loans_delta").show()
```

```
+-----+  
|count(1)|  
+-----+  
|   14705|  
+-----+
```

```
// First 3 rows of loans table
```

```
spark.sql("SELECT * FROM loans_delta LIMIT 3").show()
```

```
+-----+-----+-----+-----+  
|loan_id|funded_amnt|paid_amnt|addr_state|  
+-----+-----+-----+-----+  
|      0|      1000|    182.22|      CA|  
|      1|      1000|    361.19|      WA|  
|      2|      1000|    176.26|      TX|  
+-----+-----+-----+-----+
```



Loading Data Streams into a Delta Lake Table

- ▶ You can modify your existing **Structured Streaming jobs** to write to and read from a Delta Lake table by setting the format to `"delta"`.

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```
import org.apache.spark.sql.streaming._

// Streaming DataFrame with new loans data
val newLoanStreamDF = ...

// Directory for streaming checkpoints
val checkpointDir = ...

val streamingQuery = newLoanStreamDF.writeStream
  .format("delta")
  .option("checkpointLocation", checkpointDir)
  .trigger(Trigger.ProcessingTime("10 seconds"))
  .start(deltaPath)
```



Schema Enforcement

- All writes to a Delta Lake table can **verify** whether the data being written has a **schema compatible** with that of the table.

```
val loanUpdates = Seq(  
  (1111111L, 1000, 1000.0, "TX", false),  
  (2222222L, 2000, 0.0, "CA", true))  
  .toDF("loan_id", "funded_amnt", "paid_amnt", "addr_state", "closed")  
  
loanUpdates.write.format("delta").mode("append").save(deltaPath)  
  
// The exception message:  
// This write will fail with the following error message:  
// org.apache.spark.sql.AnalysisException: A schema mismatch detected when writing  
// to the Delta table (Table ID: 48bfa949-5a09-49ce-96cb-34090ab7d695).
```



Schema Enforcement

- All writes to a Delta Lake table can **verify** whether the data being written has a **schema compatible** with that of the table.
- If it is **not compatible**, Spark will **throw an error before any data is written** and committed to the table.

```
val loanUpdates = Seq(  
  (1111111L, 1000, 1000.0, "TX", false),  
  (2222222L, 2000, 0.0, "CA", true))  
  .toDF("loan_id", "funded_amnt", "paid_amnt", "addr_state", "closed")  
  
loanUpdates.write.format("delta").mode("append").save(deltaPath)
```

```
// The exception message:  
// This write will fail with the following error message:  
// org.apache.spark.sql.AnalysisException: A schema mismatch detected when writing  
// to the Delta table (Table ID: 48bfa949-5a09-49ce-96cb-34090ab7d695).
```



Schema Evolution

- ▶ A new column can be explicitly added by setting the option `mergeSchema` to `true`.

```
loanUpdates.write.format("delta").mode("append")  
  .option("mergeSchema", "true")  
  .save(deltaPath)
```




Transforming Existing Data - Updating Data

- ▶ Delta Lake supports **UPDATE**, **DELETE**, and **MERGE** commands



Transforming Existing Data - Updating Data

- ▶ Delta Lake supports **UPDATE**, **DELETE**, and **MERGE** commands
- ▶ They ensure **ACID guarantees**.



Transforming Existing Data - Updating Data

- ▶ Delta Lake supports **UPDATE**, **DELETE**, and **MERGE** commands
- ▶ They ensure **ACID guarantees**.
- ▶ Assume we want to change all `addr_state = 'OR'` to `addr_state = 'WA'` in a table.

```
import io.delta.tables.DeltaTable
import org.apache.spark.sql.functions._

val deltaTable = DeltaTable.forPath(spark, deltaPath)

deltaTable.update(
  col("addr_state") === "OR",
  Map("addr_state" -> lit("WA")))

```



Transforming Existing Data - Deleting Data

- ▶ Deleting user data from all tables.

```
val deltaTable = DeltaTable.forPath(spark, deltaPath)

deltaTable.delete("funded_amnt >= paid_amnt")
```



Auditing Data Changes with Operation History

- ▶ All of the changes are recorded as commits in the table's [DeltaLog](#).
- ▶ Every operation is [automatically versioned](#).
- ▶ You can query the table's operation [history](#).

```
deltaTable  
  .history(3)  
  .select("version", "timestamp", "operation", "operationParameters")  
  .show(false)
```

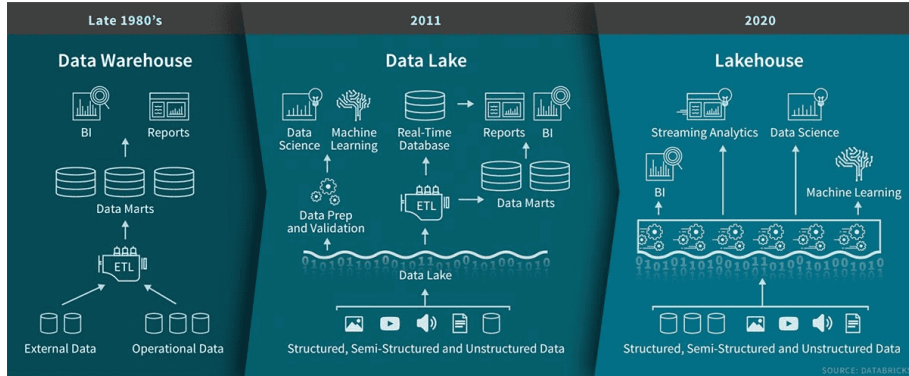
Querying Previous Snapshots of a Table with Time Travel

- ▶ You can query **previous versioned snapshots** of a table by using the `DataFrameReader` options `versionAsOf` and `timestampAsOf`.

```
spark.read.format("delta")  
  .option("timestampAsOf", "2020-01-01") // timestamp after table creation  
  .load(deltaPath)  
  
spark.read.format("delta")  
  .option("versionAsOf", "4")  
  .load(deltaPath)
```

Summary

Summary



- ▶ J. S. Damji et al., “Learning Spark - Lightning-Fast Data Analytics”, O’Reilly Media, 2020 - Chapters 9
- ▶ M. Armbrust et al., “Lakehouse: A New Generation of Open Platforms that Unify Data Warehousing and Advanced Analytics”, CIDR 2021
- ▶ M. Armbrust et al., “Delta Lake: High-Performance ACID Table Storage over Cloud Object Stores”, VLBD 2020

Questions?

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