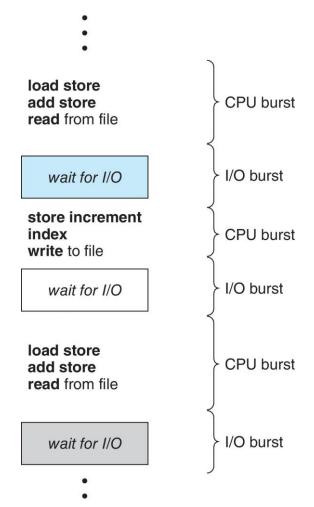
Lecture 6: CPU Scheduling

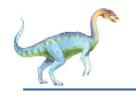




Basic Concepts

- Maximum CPU utilization obtained with multiprogramming
- CPU-I/O Burst Cycle Process execution consists of a cycle of CPU execution and I/O wait
- CPU burst followed by I/O burst
- CPU burst distribution is of main concern

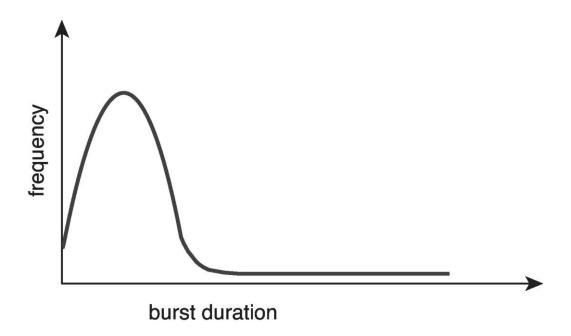




Histogram of CPU-burst Times

Large number of short bursts

Small number of longer bursts



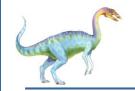




CPU Scheduler

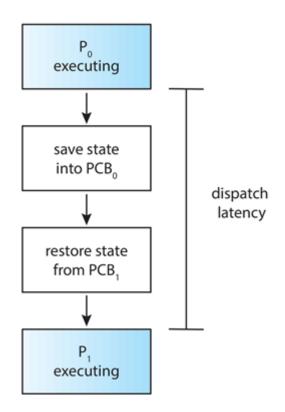
- The CPU scheduler selects from among the processes in ready queue, and allocates the a CPU core to one of them
 - Queue may be ordered in various ways
- CPU scheduling decisions may take place when a process:
 - 1. Switches from running to waiting state
 - 2. Switches from running to ready state
 - 3. Switches from waiting to ready
 - 4. Terminates
- Scheduling under 1 and 4 is nonpreemptive
- All other scheduling is preemptive
 - Consider access to shared data
 - Consider preemption while in kernel mode
 - Consider interrupts occurring during crucial OS activities





Dispatcher

- Dispatcher module gives control of the CPU to the process selected by the short-term scheduler; this involves:
 - switching context
 - switching to user mode
 - jumping to the proper location in the user program to restart that program
- **Dispatch latency** time it takes for the dispatcher to stop one process and start another running







Scheduling Criteria

- CPU utilization keep the CPU as busy as possible
- **Throughput** # of processes that complete their execution per time unit
- **Turnaround time** amount of time to execute a particular process
- Waiting time amount of time a process has been waiting in the ready queue
- Response time amount of time it takes from when a request was submitted until the first response is produced, not output (for time-sharing environment)
 - Turnaround time = Finishing time Arrival time
 - Waiting time = Turnaround time Burst time





Scheduling Algorithm Optimization Criteria

- Max CPU utilization
- Max throughput
- Min turnaround time
- Min waiting time
- Min response time





First-Come, First-Served (FCFS) Scheduling

<u>Process</u>	Burst Time
P_1	24
P_2	3
P_3	3

Suppose that the processes arrive in the order: P_1 , P_2 , P_3 The Gantt Chart for the schedule is:

	P ₁		P ₂	P ₃
0		2	4 2	7 30

- Waiting time for $P_1 = 0$; $P_2 = 24$; $P_3 = 27$
- Average waiting time: (0 + 24 + 27)/3 = 17





FCFS Scheduling (Cont.)

Suppose that the processes arrive in the order:

$$P_2$$
, P_3 , P_1

The Gantt chart for the schedule is:



- Waiting time for $P_1 = 6$; $P_2 = 0$; $P_3 = 3$
- Average waiting time: (6 + 0 + 3)/3 = 3
- Much better than previous case
- Convoy effect short process behind long process
 - Consider one CPU-bound and many I/O-bound processes





Shortest-Job-First (SJF) Scheduling

- Associate with each process the length of its next CPU burst
 - Use these lengths to schedule the process with the shortest time
- SJF is optimal gives minimum average waiting time for a given set of processes
 - The difficulty is knowing the length of the next CPU request
 - Could ask the user
- Preemptive version called shortest-remaining-time-first

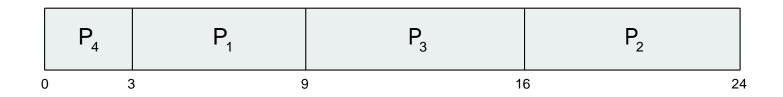




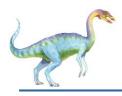
Example of SJF

<u>Process</u>	Burst Time
P_1	6
P_2	8
P_3	7
$P_{\scriptscriptstyle A}$	3

SJF scheduling chart



• Average waiting time = (3 + 16 + 9 + 0) / 4 = 7

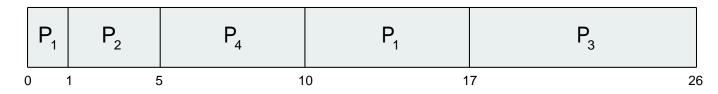


Example of Shortest-remaining-time-first

Now we add the concepts of varying arrival times and preemption to the analysis

<u>Process</u>	<u> Arrival Time</u>	Burst Time
P_1	0	8
P_2	1	4
P_3	2	9
P_4	3	5

Preemptive SJF Gantt Chart



Average waiting time = [(10-1)+(1-1)+(17-2)+5-3)]/4 = 26/4 = 6.5 msec





Round Robin (RR)

- Each process gets a small unit of CPU time (time quantum q), usually 10-100 milliseconds. After this time has elapsed, the process is preempted and added to the end of the ready queue.
- If there are n processes in the ready queue and the time quantum is q, then each process gets 1/n of the CPU time in chunks of at most q time units at once. No process waits more than (n-1)q time units.
- Timer interrupts every quantum to schedule next process
- Performance
 - $q \text{ large} \Rightarrow \text{FIFO}$
 - q small $\Rightarrow q$ must be large with respect to context switch, otherwise overhead is too high

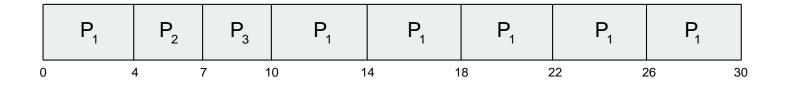




Example of RR with Time Quantum = 4

<u>Process</u>	Burst Time
P_1	24
P_2	3
P_3	3

The Gantt chart is:

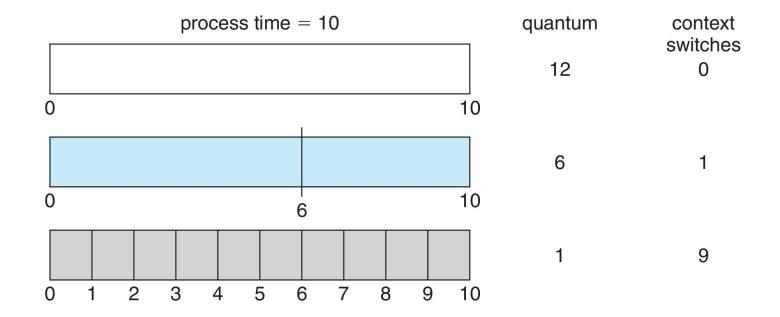


- Typically, higher average turnaround than SJF, but better response
- q should be large compared to context switch time
- q usually 10ms to 100ms, context switch < 10 usec





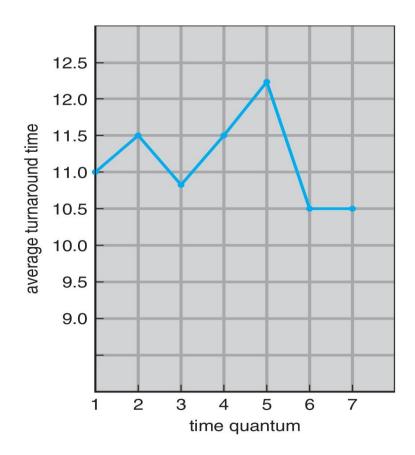
Time Quantum and Context Switch Time







Turnaround Time Varies With The Time Quantum



process	time
P_1	6
P_2	3
P_3	1
P_4	7
	P ₁ P ₂

80% of CPU bursts should be shorter than q





Priority Scheduling

- A priority number (integer) is associated with each process
- The CPU is allocated to the process with the highest priority (smallest integer ≡ highest priority)
 - Preemptive
 - Nonpreemptive
- SJF is priority scheduling where priority is the inverse of predicted next
 CPU burst time
- Problem = Starvation low priority processes may never execute
- Solution = Aging as time progresses increase the priority of the process





Example of Priority Scheduling

<u>Process</u>	Burst Time	<u>Priority</u>
P_1	10	3
P_2	1	1
P_3	2	4
P_4	1	5
P_5	5	2

Priority scheduling Gantt Chart

P_2	P_{5}	P_{1}	P ₃	P_4	
0	1 (3	6	18 1	9

■ Average waiting time = 8.2 msec

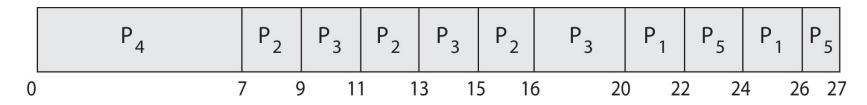




Priority Scheduling w/ Round-Robin

<u>Process</u>	Burst Time	<u>Priority</u>
P_1	4	3
P_2	5	2
P_3	8	2
P_4	7	1
P_5	3	3

- □ Run the process with the highest priority. Processes with the same priority run round-robin
- Gantt Chart wit 2 ms time quantum

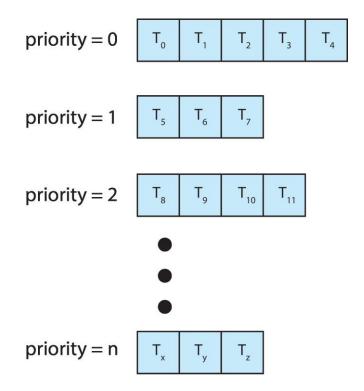






Multilevel Queue

- With priority scheduling, have separate queues for each priority.
- Schedule the process in the highest-priority queue!

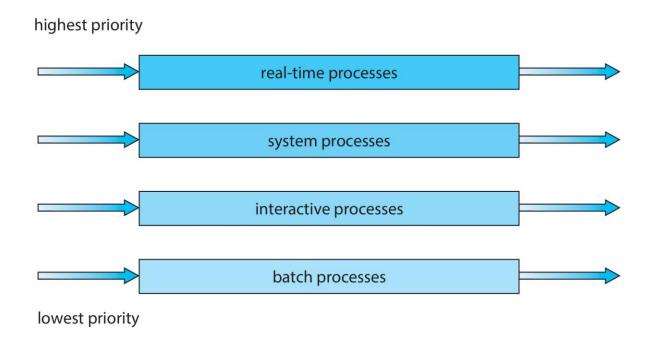






Multilevel Queue

Prioritization based upon process type







Multilevel Feedback Queue

- A process can move between the various queues; aging can be implemented this way
- Multilevel-feedback-queue scheduler defined by the following parameters:
 - number of queues
 - scheduling algorithms for each queue
 - method used to determine when to upgrade a process
 - method used to determine when to demote a process
 - method used to determine which queue a process will enter when that process needs service





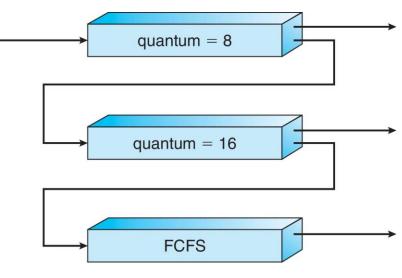
Example of Multilevel Feedback Queue

Three queues:

- Q₀ RR with time quantum 8 milliseconds
- Q₁ RR time quantum 16 milliseconds
- Q₂ FCFS

Scheduling

- A new job enters queue Q₀ which is served FCFS
 - When it gains CPU, job receives 8 milliseconds
 - If it does not finish in 8 milliseconds, job is moved to queue Q₁
- At Q₁ job is again served FCFS and receives 16 additional milliseconds
 - If it still does not complete, it is preempted and moved to queue Q₂







Algorithm Evaluation

- How to select CPU-scheduling algorithm for an OS?
- Determine criteria, then evaluate algorithms
- Deterministic modeling
 - Type of analytic evaluation
 - Takes a particular predetermined workload and defines the performance of each algorithm for that workload
- Consider 5 processes arriving at time 0:

Process	Burst Time
P_1	10
P_2	29
P_3	3
P_4	7
P_5	12



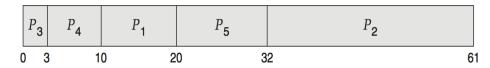


Deterministic Evaluation

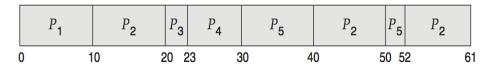
- For each algorithm, calculate minimum average waiting time
- Simple and fast, but requires exact numbers for input, applies only to those inputs
 - FCS is 28ms:



Non-preemptive SFJ is 13ms:



• RR is 23ms:



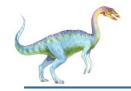




Queueing Models

- Describes the arrival of processes, and CPU and I/O bursts probabilistically
 - Commonly exponential, and described by mean
 - Computes average throughput, utilization, waiting time, etc.
- Computer system described as network of servers, each with queue of waiting processes
 - Knowing arrival rates and service rates
 - Computes utilization, average queue length, average wait time, etc





Little's Formula

- = n = average queue length
- \blacksquare W = average waiting time in queue
- λ = average arrival rate into queue
- Little's law in steady state, processes leaving queue must equal processes arriving, thus:

$$n = \lambda \times W$$

- Valid for any scheduling algorithm and arrival distribution
- For example, if on average 7 processes arrive per second, and normally 14 processes in queue, then average wait time per process = 2 seconds

