

# Design of a Backstepping Controller based on an Adaptive Elman Neural Network for a Two-Link Robot System

Aliaa M. Sadek

Computers and Control Engineering Department,  
Faculty of Engineering, Tanta University  
Tanta, Egypt  
aliaasadek608@yahoo.com

Wael M. Elawady

Computers and Control Engineering Department,  
Faculty of Engineering, Tanta University  
Now, Lecturer at the Higher Institute of Engineering and  
Technology in Kafrelshiekh  
Tanta, Egypt  
Wmeana2007@yahoo.com

Amany M. Sarhan

Head of and professor at Computers and Control Engineering Department  
Faculty of Engineering, Tanta University  
Tanta, Egypt  
amany\_sarhan@f-eng.tanta.edu.eg

**Abstract**—This paper presents a backstepping controller based on an adaptive Elman neural network (BSAENN) to solve the mismatched uncertainty problem of underactuated robotic systems. First, the nonlinear dynamical equations of the underactuated robotic system are transformed to a cascade form. Second, an adaptive backstepping controller has been established. This controller is adopted using the combination of the adaptive Elman neural network (AENN), which is a recurrent multilayered neural network, and the traditional backstepping control (TBS) approach. The AENN with adaptive parameters is exploited to approximate the unknown system parameters and enhance the control behavior against uncertainties. The adaptation laws of the AENN parameters and the stability of the closed-loop system are proved using Lyapunov stability. Numerical simulations with dynamical model of the two link robot, compared to conventional controllers (PID and TBS), show that the proposed controller provides robustness for trajectory tracking performance under the occurrence of uncertainties

**Keywords**—Elman neural network, backstepping controller, uncertainty, robot, PID

## I. INTRODUCTION

Control of underactuated mechanical systems became an essential research approach because of its applications, like cars, mobile robots, snake-type robots, aircraft, and underwater vehicles [16]. To overcome the problems of underactuated mechanical systems, many control techniques have been developed such as sliding mode control [15], backstepping control [8], and neural network-based adaptive control [9], [12]. The major problem in nonlinear dynamics of the under actuated mechanical systems is that the system has an input and two subsystems. Only one degrees-of- freedom (DOF) can be controlled. Therefore, it is impossible to use smooth feedback to stabilize the system around equilibrium even locally. To overcome this drawback, a transformation from underactuated form to a classic cascade form should be made [10], [20].

Approximation of unknown nonlinear system dynamics and uncertainties can be achieved using wavelets neural networks [8] or combination between neural networks and fuzzy control [5], [7] [18]. In [10], the authors presented a control algorithm using the TBS and fuzzy logic control in which, the nonlinear dynamics have been approximated using fuzzy controller. The disadvantage of this controller is that it requires a large number of fuzzy rules. To overcome this drawback, in this paper, the

fuzzy logic approximator is supplanted with adaptive Elman neural network (AENN)–based approximator. The main merit of the AENN is that it has faster convergence rate and can handle dynamic response faster. The backstepping control [4], [6], [7], [14], [19] is a recursive control method which has the ability to prevent useful nonlinearities from being canceled.

In this paper, a backstepping controller based on an adaptive Elman neural network (BSAENN) is presented to control the nonlinear underactuated robot system. The adopted BSAENN control algorithm is motivated from the published authors work in [2] and [10] to overcome the disadvantages of the fuzzy logic approximator of the work in [10]. First, the concept of TSB controller in [10] is augmented with the concept of the AENN approximator in [2] to combine the advantages of the both techniques in [2] and [10].

In designing the BSAENN controller, first, the nonlinear dynamical equations of the underactuated robotic system are transformed to a cascade form, second, the proposed backstepping controller based on adaptive Elman neural network (BSAENN).

The AENN approximator has been designed to estimate the unknown system dynamics and uncertainties. The adaptation laws of the AENN parameters and the stability of the closed-loop system are proved using Lyapunov stability. Numerical simulations with dynamical model of the two link robot, compared to conventional controllers (PID and TBS), show that the proposed controller provides robustness for trajectory tracking performance under the occurrence of uncertainties.

This paper is organized as follows: in Section II, the description of the two link robot system is presented. Section III presents the BSAENC controller design. Section IV presents the simulation results. Section V presents the conclusion of the presented work.

## II. PROBLEM FORMULATION

In this paper, the proposed BSAENN is applied to control the 2<sup>nd</sup> order nonlinear underactuated robot system, shown in Fig. (1) that is described by the following equation, [3], [11], [13], and [17]:

$$B(q)\ddot{q} + C(q, \dot{q})\dot{q} + G(q) + F(\dot{q}) = \tau \quad (1)$$

where  $q = \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$  represents joint position ,

$B(q) = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix}$  represents the inertia matrix,

$$C(q, \dot{q}) = \begin{bmatrix} c_{11}(q, \dot{q}) & c_{12}(q, \dot{q}) \\ c_{21}(q, \dot{q}) & c_{22}(q, \dot{q}) \end{bmatrix}$$

represents the coriolis and centrifugal forces matrix,

$$G(q) = \begin{bmatrix} g_1(q) \\ g_2(q) \end{bmatrix}$$

represents the gravity force vector.

$$F(\dot{q}) = \begin{bmatrix} F_1(\dot{q}) \\ F_2(\dot{q}) \end{bmatrix}$$

represents the friction forces vector,  $\tau$  represents the applied torque exerted on the joints.

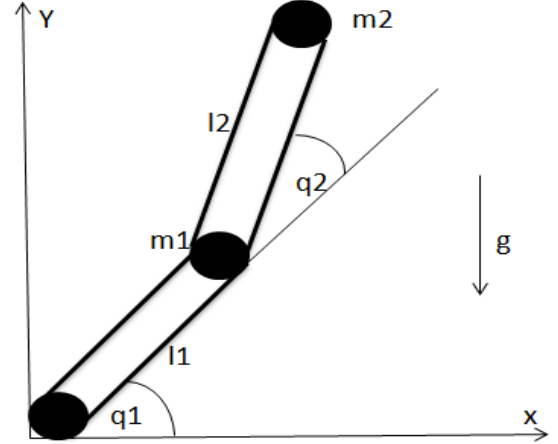


Fig. 1 Model of two-link robot manipulator

To design backstepping controller, the state space model of Eq. (1) is required.

define the state variables as

$$x_1 = q_1, \quad x_2 = \dot{q}_1, \quad x_3 = q_2, \quad x_4 = \dot{q}_2 \quad (2)$$

From Eq. (2) the state space model is given by:

$$\begin{aligned} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= f_1(x) + N_1(x)u \\ \dot{x}_3 &= x_4 \\ \dot{x}_4 &= f_2(x) + N_2(x)u \end{aligned} \quad (3)$$

where  $x = [x_1 \ x_2 \ x_3 \ x_4]^T$  is the state vector,  $u$  is the control input,  $f_1, f_2, N_1$  and  $N_2$  are nonlinear functions which are given by :

$$\begin{aligned} f_1(x) &= \frac{(q_2 q_3 (x_2 + x_4)^2 \sin x_3 + q_3^2 x_2^2 \sin x_3 \cos x_3)}{q_1 q_2 - q_3^2 \cos^2 x_3} \\ &\quad - \frac{(-q_2 q_4 \cos x_1 + q_3 q_5 g \cos x_3 \cos(x_1 + x_3))}{q_1 q_2 - q_3^2 \cos^2 x_3} \end{aligned} \quad (4)$$

$$\begin{aligned} f_2(x) &= \frac{(q_3^2 (x_2 + x_4)^2 \sin x_3 \cos x_3 - q_3 q_4 \cos x_1 \cos x_3)}{q_1 q_2 - q_3^2 \cos^2 x_3} \\ &\quad + \frac{(q_1 q_3 x_2^2 \sin x_3 + q_1 q_5 g \cos(x_1 + x_3))}{q_1 q_2 - q_3^2 \cos^2 x_3} - f_1(x) \end{aligned} \quad (5)$$

$$N_1(x) = \frac{q_2}{q_1 q_2 - q_3^2 \cos^2 x_3} \quad (6)$$

$$N_2(x) = \frac{-(q_2 + q_3 \cos x_3)}{q_1 q_2 - q_3^2 \cos^2 x_3}$$

The system equations in presence of uncertainties and external disturbance can be written as:

$$\begin{aligned} \dot{x}_1 &= x_2 \\ \dot{x}_2 &= f_1(x) + \Delta f_1(x) + [N_1(x) + \Delta N_1(x)]u + \eta_1 \\ \dot{x}_3 &= x_4 \\ \dot{x}_4 &= f_2(x) + \Delta f_2(x) + [N_2(x) + \Delta N_2(x)]u + \eta_2 \end{aligned} \quad (7)$$

where  $\eta_1$ , and  $\eta_2$  represents the external disturbance and friction of joints,  $\Delta f_1(x)$ , and  $\Delta f_2(x)$  are model uncertainties and friction terms [11], [13].

Assuming that:  $|\Delta N_1(x)| \leq N_1(x)$ , and  $|\Delta N_2(x)| \leq N_2(x)$

Now transforming the system representation to another form

by defining new states  $z = [z_1 \ z_2 \ x_{z3} \ z_4]^T$  [11].

$$\begin{aligned} z_1 &= x_1 - \int_0^{x_3} \frac{N_1(x) + \Delta N_1(x)}{N_2(x) + \Delta N_2(x)} ds \\ z_2 &= x_2 - \frac{N_1(x) + \Delta N_1(x)}{N_2(x) + \Delta N_2(x)} x_4 \end{aligned} \quad (8)$$

$$z_3 = x_3$$

$$z_4 = x_4$$

use Eq. (7), and Eq. (8) to obtain

$$\begin{aligned} \dot{z}_1 &= z_2 + \frac{N_1}{N_2} z_4 - \frac{d}{dt} \int_0^{x_3} \frac{N_1(s)}{N_2(s)} ds \\ \dot{z}_2 &= f_1(z) - \frac{N_1}{N_2} f_2(z) + \Delta f_1 - \frac{N_1}{N_2} \Delta f_2 - \frac{d}{dt} \left( \frac{N_1}{N_2} \right) z_4 + \eta_1 - \frac{N_1}{N_2} \eta_2 \\ \dot{z}_3 &= z_4 \end{aligned} \quad (9)$$

$$\dot{z}_4 = f_2(z) + \Delta f_2(z) + [N_2(x) + \Delta N_2(x)]u + \eta_2$$

The system equations can be written as:

$$\begin{aligned} \dot{z}_i &= \bar{f}_i(z) + d_i(z)z_{i+1} + \gamma_i \\ \dot{z}_4 &= \bar{f}_4(z) + d_4(z)u + \gamma_4 \end{aligned} \quad (10)$$

where  $i=1, 2, 3$

$$\begin{aligned} \bar{f}_1(z) &= \frac{N_1}{N_2} z_4 - \frac{d}{dt} \int_0^{x_3} \frac{N_1(s)}{N_2(s)} ds \\ d_1(z) &= 1 \\ \gamma_1 &= 0, \end{aligned} \quad (11)$$

$$f_2(z) = f_1(z) - \frac{N_1}{N_2} f_2(z) - \frac{d}{dt} \left( \frac{N_1}{N_2} \right) z_4 - z_3 \quad (12)$$

$$d_2(z) = 1, \quad \gamma_2 = \Delta f_1 - \frac{N_1}{N_2} \Delta f_2 + \eta_1 - \frac{N_1}{N_2} \eta_2,$$

$$\begin{aligned} \bar{f}_3(z) &= 0 \\ d_3(z) &= 1, \quad \gamma_3 = 0 \end{aligned} \quad (13)$$

$$\begin{aligned} \bar{f}_4(z) &= f_2(z) \\ d_4(z) &= N_2(z) \quad \gamma_4 = \Delta f_2(z) + \Delta N_2(z)u + \eta_2 \end{aligned} \quad (14)$$

To design a straightforward controller where mismatched uncertainties are exist the unknown terms  $\gamma_2, \gamma_4$ , have been estimated using a proposed adaptive Elman neural network Controller (AENN), the objective is to design controller such that  $z_1, z_3$  track the desired trajectories  $z_{1d}, z_{3d}$ .

### III. CONTROLLER DESIGN

#### 1) Description of Backstepping controller

The system described in Eq. (10) has an output  $y = (z_1, z_3)^T$ , and the desired output  $y_d(t) = (z_{1d}, z_{3d})^T$ .

define error functions:

$$\begin{aligned} e_{z1} &= z_1 - z_{1d} \\ e_{zi} &= z_i - z_{id} - \beta_{i-1}, \quad i=1,2,3 \end{aligned} \quad (15)$$

where  $\beta_i$  is a virtual control, and  $z_{id}$  is the desired trajectory for  $z_i$

use Eq. (10) and Eq. (15) to derive  $\dot{e}_{z1}$

$$\begin{aligned} \dot{e}_{z1} &= \dot{z}_1 - \dot{z}_{1d} = \bar{f}_1 + d_1 z_2 - \dot{z}_{1d} \\ \dot{e}_{z1} &= \bar{f}_1 + d_2 (e_{z2} + z_{2d} + \beta_1) - \dot{z}_{1d} \end{aligned} \quad (16)$$

$$\text{now chose } \beta_1 = \frac{1}{d_1} (-k_1 e_{z1} - g_1 + \dot{z}_{1d} - d_1 z_{2d}) \quad (17)$$

$$\dot{e}_{z1} = \bar{f}_1 + d_1 e_{z2} + z_{2d} d_1 - k_1 e_{z1} - g_1 + \dot{z}_{1d} - d_1 z_{2d} - \dot{z}_{1d}$$

$$\dot{e}_{z1} = \bar{f}_1 + d_1 e_{z2} - k_1 e_{z1} - g_1 \quad (18)$$

similarly, choose

$$\beta_i = \frac{1}{d_i}(-k_i e_{zi} - g_i - d_{i-1} e_{zi-1} - \ddot{\gamma}_i + \dot{z}_{id}) + \dot{\beta}_{i-1} - d_i z_{(i+1)d}), \quad i=2,3 \quad (19)$$

$$\beta_2 = \frac{1}{d_2}(-k_2 e_{z2} - g_2 - d_1 e_{z1} - \hat{\gamma}_2 + \dot{z}_{2d} + \dot{\beta}_1 - d_2 z_{3d})$$

$$\dot{e}_{z2} = \bar{f}_2 + d_2 e_{z3} + d_2 z_{3d} - k_2 e_{z2} - g_2 - d_1 e_{z1} - \hat{\gamma}_2 + \dot{z}_{2d} + \dot{\beta}_1 - d_2 z_{3d} + \gamma_2 - \dot{z}_{2d} - \dot{\beta}_1 \quad (20)$$

$$\dot{e}_{z2} = -k_2 e_{z2} + \bar{f}_2 - g_2 + d_2 e_{z3} - d_1 e_{z1} + (\gamma_2 - \hat{\gamma}_2) \quad (21)$$

by the same way

$$\dot{e}_{z3} = \dot{z}_3 - \dot{z}_{3d} - \dot{\beta}_2 = \bar{f}_3 + d_3 z_{4d} + \gamma_3 - \dot{z}_{3d} - \dot{\beta}_2 \quad (22)$$

use Eq. (10) and derivate of Eq. (15) to obtain  $\dot{e}_{z4}$

$$\dot{e}_{z4} = \dot{z}_4 - \dot{z}_{4d} - \dot{\beta}_3$$

$$\dot{e}_{z4} = \bar{f}_4 + d_4 u + \gamma_4 - \dot{z}_{4d} - \dot{\beta}_3 \quad (23)$$

where  $g_i$  is a function to be defined using designer

## 2) Description of the proposed Elman Neural Network

Estimating the unknown system uncertainties is performed using AENN, The adaptive Elman Neural Network structure shown in fig. (2) shows that ENN is a multi-layered neural network with different layers of neurons containing input layer, hidden layer, context layer and output layer.

Layer1: Input layer

In this layer no function is being transformed, input layer nodes only transmits input variables directly to hidden nodes, input variables here are  $e_i, i=1,2,3, \text{ and } 4$

$$e_1 = q_1 - q_{1d},$$

$$\text{where: } e_2 = \dot{q}_1 - \dot{q}_{1d} + \lambda_1 e_1 \quad (24)$$

$$e_3 = q_2 - q_{2d},$$

$$e_4 = \dot{q}_2 - \dot{q}_{2d} + \lambda_2 e_2$$

where  $\lambda_1$  and  $\lambda_2$  are positive constants.

Layer2: hidden layer

in this layer the hidden neurons have a Gaussian activation function as described in the following equation:

$$net_j = \sum_{i=1}^n \frac{(e_i - c_{ij})^2}{\sigma_{ij}^2} + \sum_{k=1}^m r_{kj} * L_k \quad (25)$$

$$R_j = \exp(-net_j), j=1,2,\dots,m \quad (26)$$

where  $c_{ij}, \sigma_{ij}$  are the adjustable parameters of the  $j^{\text{th}}$  hidden neuron for the input variable,  $L_k$  is the output of the  $k^{\text{th}}$  context neuron and  $r_{kj}$  is the connective weigh of the  $k^{\text{th}}$  context neuron of the  $j^{\text{th}}$  hidden neuron

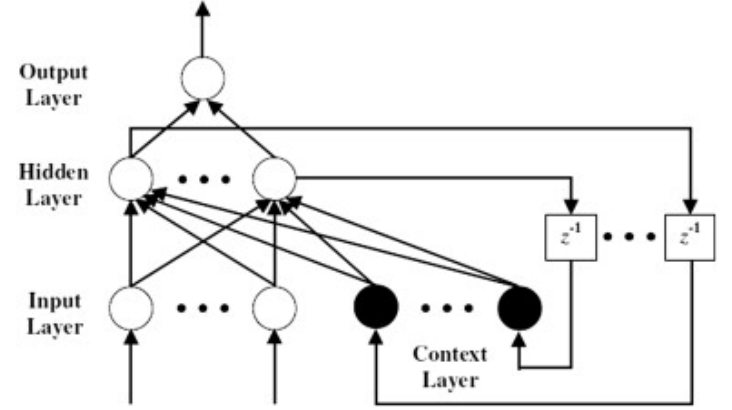


Fig. 2. Network structure of AENN

Layer3: context layer

context layer nodes as shown in fig. (2), receives its input from both the previous time hidden layer nodes and the previous time context layer nodes which enable memorization as the following equation.

$$L_k = L_k^p + R_k^p, k=1,2,\dots,m \quad (27)$$

Where  $L_k^p$  and  $R_k^p$  are the output signals of the  $K^{\text{th}}$  context neuron and the  $K^{\text{th}}$  hidden neuron in the previous time

Layer 4: Output layer

The output of the hidden layer nodes is calculated as in Eq. (28):

$$\gamma_{mn} = \sum_{j=1}^m \omega_j R_j \quad (28)$$

The Elman Neural network (ENN) output can be represented in a vector form  $\gamma_{mn} = \omega^T \phi(c, \sigma, r)$  (29)

where  $\omega = [\omega_1, \omega_2, \dots, \omega_m]^T, L = [L_1, L_2, \dots, L_m]^T$

$$, c = [c_{11}, \dots, c_{n1}, \dots, c_{1m}, \dots, c_{nm}]^T$$

$$, \sigma = [\sigma_{11}, \dots, \sigma_{n1}, \dots, \sigma_{1m}, \dots, \sigma_{nm}]^T$$

$$, \text{ and } r = [r_{11}, r_{21}, \dots, r_{m1}, \dots, r_{1m}, r_{2m}, \dots, r_{mm}]^T$$

an ideal Enn  $\gamma_{mn}^*$  can be obtained as follows:

$$\gamma_4 = \gamma_{nn}^* + \Delta = \omega^{*T} L(c^*, \sigma^*, r^*) + \Delta \quad (30)$$

Where  $\Delta$  is the approximation error,  $\omega^*$  and  $L^*$  are the optimal parameter vectors of  $\omega$  and  $L$ , also  $c^*$ ,  $\sigma^*$  and  $r^*$  are the optimal parameters of  $c$ ,  $\sigma$ , and  $r$ .

For best approximation an estimated ENN  $\hat{\gamma}_{nn}$  should be determined to overcome the problem not determining the optimal parameter vectors.

$$\hat{\gamma}_{nn} = \hat{\omega}^T L(\hat{c}, \hat{\sigma}, \hat{r}) = \hat{\omega}^T \hat{L} \quad (31)$$

Where  $\hat{\omega}$  and  $\hat{L}$  are the estimated parameter vectors of  $\omega$ ,  $L$  and  $\hat{c}$ ,  $\hat{\sigma}$ ,  $\hat{r}$  are estimated adaptive parameter vectors of  $c$ ,  $\sigma$  and  $r$ . The estimation error is obtained as follows:

$$\tilde{\gamma} = \gamma_4 - \hat{\gamma}_{nn} = \omega^{*T} L^* - \hat{\omega}^T \hat{L} + \Delta \quad (32)$$

$$\tilde{\gamma} = \tilde{\omega}^T \hat{L} + \tilde{\omega}^T \tilde{L} + \tilde{\omega}^T \tilde{L} + \Delta$$

Where  $\tilde{\omega} = \omega^* - \hat{\omega}$  and  $\tilde{L} = L^* - \hat{L}$

Taking expansion of  $\tilde{L}$  in a Taylor series obtaining

$$\tilde{L} = A^T \tilde{c} + Y^T \tilde{\sigma} + D^T \tilde{r} + O \quad (33)$$

where  $\tilde{c} = c^* - \hat{c}$ ,  $\tilde{\sigma} = \sigma^* - \hat{\sigma}$ ,  $\tilde{r} = r^* - \hat{r}$ ,  $O$  is a vector of high order terms and

$$A = [(\frac{\partial L_1}{\partial c}), \dots, (\frac{\partial L_n}{\partial c})] \quad c = \hat{c}$$

$$Y = [(\frac{\partial L_1}{\partial \sigma}), \dots, (\frac{\partial L_n}{\partial \sigma})] \quad \sigma = \hat{\sigma}$$

$$D = [(\frac{\partial L_1}{\partial r}), \dots, (\frac{\partial L_n}{\partial r})] \quad r = \hat{r}$$

Substituting (33) into (32)

$$\tilde{\gamma} = \tilde{\omega}^T \hat{L} + \tilde{\omega}^T (A^T \tilde{c} + Y^T \tilde{\sigma} + D^T \tilde{r} + O) + \tilde{\omega}^T \tilde{L} + \Delta$$

$$\tilde{\gamma} = \tilde{\omega}^T \hat{L} + \tilde{c}^T A \hat{\omega} + \tilde{\sigma}^T Y \hat{\omega} + \tilde{r}^T D \hat{\omega} + \varepsilon \quad (34)$$

### 3) Description of the adaptive backstepping Elman neural network-based controller (BSAENN)

The (BSAENN) shown in fig. (3) with a system control law as follow:

$$U = \frac{1}{d_4} (-k_4 e_{z4} - g_4 + \dot{\beta}_3 - d_3 e_{z3} + \dot{z}_4 d - \hat{\gamma}_4) \quad (35)$$

$$U = \frac{1}{d_4} (U_1 - \hat{\gamma}_4)$$

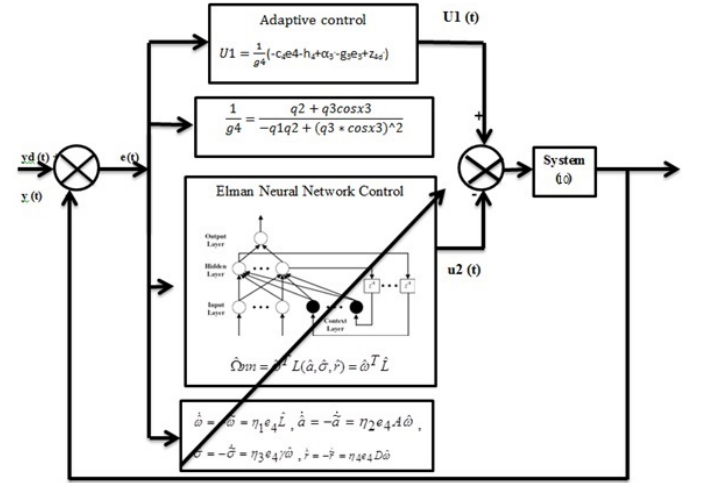


Fig. 3. Block diagram of designed controller (BSAENN)

Where  $\hat{\gamma}_4$  denotes the estimation of  $\gamma_4$ , The Elman neural network  $\tilde{\gamma}_{nn}$  is designed to estimate the dynamics and uncertainties  $\hat{\gamma}_4$

System stability can be guaranteed using the following Lyapunov function

$$V(t) = \frac{1}{2} \sum_{i=1}^4 e_{zi}^2 + \frac{\tilde{w}^T \tilde{w}}{2b_1} + \frac{\tilde{c}^T \tilde{c}}{2b_2} + \frac{\tilde{\sigma}^T \tilde{\sigma}}{2b_3} + \frac{\tilde{r}^T \tilde{r}}{2b_4} + \frac{\tilde{E}^2}{2b_5} \quad (36)$$

Where:  $\tilde{E} = E - \hat{E}$ , is the bound estimated error, and

$b_1, b_2, b_3, b_4$  and  $b_5$  are positive learning constants.

$$\dot{V}(t) = e_{z1} \dot{e}_{z1} + e_{z2} \dot{e}_{z2} + e_{z3} \dot{e}_{z3} + e_{z4} \dot{e}_{z4} + \frac{\tilde{w}^T \dot{\tilde{w}}}{b_1} + \frac{\tilde{c}^T \dot{\tilde{c}}}{b_2} + \frac{\tilde{\sigma}^T \dot{\tilde{\sigma}}}{b_3} + \frac{\tilde{r}^T \dot{\tilde{r}}}{b_4} + \frac{\tilde{E} \dot{\tilde{E}}}{b_5} \quad (37)$$

Substitution in Eq. (37) from Eq. (16,21, 22, 23)

$$\begin{aligned} \dot{V}(t) = & e_{z1} (\dot{\tilde{f}}_1 + d_1 e_{z2} - k_1 e_{z1} - g_1) + \\ & e_{z2} (\dot{\tilde{f}}_2 + d_2 e_{z3} - k_2 e_{z2} - g_2 - d_1 e_{z1} + \gamma_2 - \hat{\gamma}_2) \\ & + e_{z3} (\dot{\tilde{f}}_3 + d_3 e_{z4} - k_3 e_{z3} - g_3 - d_2 e_{z2}) \\ & + e_{z4} (\dot{\tilde{f}}_4 + U_1 - \hat{\gamma}_4 + \gamma_4 - \dot{z}_4 - \dot{\beta}_3) \\ & + \frac{\tilde{w}^T \dot{\tilde{w}}}{b_1} + \frac{\tilde{c}^T \dot{\tilde{c}}}{b_2} + \frac{\tilde{\sigma}^T \dot{\tilde{\sigma}}}{b_3} + \frac{\tilde{r}^T \dot{\tilde{r}}}{b_4} + \frac{\tilde{E} \dot{\tilde{E}}}{b_5} \end{aligned} \quad (38)$$

Substituting control input in Eq. (35) and sum manipulations give:

$$\begin{aligned} \dot{V}(t) = & -\sum_{i=1}^4 k_i e_{zi}^2 + \sum_{i=1}^4 e_{zi}(\bar{f}_i - g_i) + \sum_{i=1}^4 e_{zi}(\gamma_i - \hat{\gamma}_i) \\ & + e_{z4}(\tilde{\omega}^T \hat{L} + \tilde{c}^T A \hat{\omega} + \tilde{\sigma}^T \gamma \hat{\omega} + \tilde{r}^T D \hat{\omega} + \varepsilon) \\ & + \frac{\tilde{w}^T \dot{\tilde{w}}}{b_1} + \frac{\tilde{c}^T \dot{\tilde{c}}}{b_2} + \frac{\tilde{\sigma}^T \dot{\tilde{\sigma}}}{b_3} + \frac{\tilde{r}^T \dot{\tilde{r}}}{b_4} + \frac{\tilde{E} \dot{\tilde{E}}}{b_5} \end{aligned} \quad (39)$$

$$\begin{aligned} \dot{V}(t) = & -\sum_{i=1}^4 k_i e_{zi}^2 + \sum_{i=1}^4 e_{zi}(\bar{f}_i - g_i) + \sum_{i=1}^4 e_{zi} \varepsilon_i + \\ & \tilde{\omega}^T (e_4 \hat{L} + \frac{\dot{\tilde{\omega}}}{b_1}) + \tilde{c}^T (e_4 A \hat{\omega} + \frac{\dot{\tilde{c}}}{b_2}) + \\ & \tilde{\sigma}^T (e_4 \gamma \hat{\omega} + \frac{\dot{\tilde{\sigma}}}{b_3}) + \tilde{r}^T (e_4 D \hat{\omega} + \frac{\dot{\tilde{r}}}{b_4}) + \frac{\tilde{E} \dot{\tilde{E}}}{b_5} \end{aligned} \quad (40)$$

here the tuning laws parameter can be selected as:

$$\dot{\tilde{\omega}} = -\tilde{\omega} = b_1 e_4 \hat{L} \quad (41)$$

$$\dot{\tilde{c}} = -\tilde{c} = b_2 e_4 A \hat{\omega} \quad (42)$$

$$\dot{\tilde{\sigma}} = -\tilde{\sigma} = b_3 e_4 \gamma \hat{\omega} \quad (43)$$

$$\dot{\tilde{r}} = -\tilde{r} = b_4 e_4 D \hat{\omega} \quad (44)$$

hence:

$$\dot{V}(t) = -\sum_{i=1}^4 k_i e_{zi}^2 + \sum_{i=1}^4 e_{zi}(\bar{f}_i - g_i) + \frac{\tilde{E} \dot{\tilde{E}}}{b_5} + \sum_{i=1}^4 e_i \varepsilon_i \quad (45)$$

$$\text{taking: } \varepsilon^2 = 2s_i > 0$$

$$, e_i \bar{f}_i \leq s_i e_i^2 |\bar{f}_i|^2 + \frac{1}{4s_i}$$

$$\begin{aligned} \dot{V} \leq & -\sum_{i=1}^4 k_i e_i^2 + \sum_{i=1}^4 \frac{1}{4s_i} + \sum_{i=1}^4 s_i e_i^2 |\bar{f}_i|^2 \\ & - \sum_{i=1}^4 e_i g_i + \sum_{i=1}^4 e_i \varepsilon_i \end{aligned} \quad (46)$$

$$\text{chosee: } g_i = s_i e_i |\bar{f}_i|^2$$

$$\text{So: } \dot{V} \leq -\sum_{i=1}^4 k_i e_i^2 + \frac{1}{4s_i} + e_i \varepsilon \quad (47)$$

$$\text{Using: } -k_i e_i^2 + |e_i| \varepsilon_i \leq \frac{-1}{2} (k_i e_i^2 - \frac{\varepsilon_i^2}{c_{ei}}) \quad (48)$$

$$\dot{V} \leq -\sum_{i=1}^4 K_i e_i^2 + \frac{1}{4s_i} + e_i \varepsilon \quad (49)$$

$$\dot{V} \leq \frac{-1}{2} k_i e_i^2 + \frac{\varepsilon_i^2}{2p_i} + \frac{1}{4s_i} \quad (50)$$

$$\text{Hence: } \dot{V} \leq -c_e V + \lambda \quad (51)$$

$$c_e = \min\{c_{e1}, \dots, c_{en}\} \quad (52)$$

#### IV. SIMULATION RESULTS

To validate the effectiveness of the presented control algorithm, the BEASNN control methodology is tested for the trajectory tracing control of two-link robotic system shown in Fig. 2. The dynamical equations of the system (Eq. (1)) are given by

$$B(q) = \begin{bmatrix} B_{11} & B_{12} \\ B_{21} & B_{22} \end{bmatrix} \quad (53)$$

$$C(q, \dot{q}) = \begin{bmatrix} c_{11}(q, \dot{q}) & c_{12}(q, \dot{q}) \\ c_{21}(q, \dot{q}) & c_{22}(q, \dot{q}) \end{bmatrix} \quad (54)$$

$$G(q) = \begin{bmatrix} g_1(q) \\ g_2(q) \end{bmatrix} \quad (55)$$

where

$$B_{11} = m_1 d_{l1}^2 + m_2 l_1^2 + I_1 + m_2 d_{l2}^2 + I_2 + 2m_2 l_1 d_{l2} (\cos(q_2)) \quad (56)$$

$$B_{12} = m_2 d_{l2}^2 + I_2 + m_2 l_1 d_{l2} (\cos(q_2)) \quad (57)$$

$$B_{21} = m_2 d_{l2}^2 + I_2 + m_2 l_1 d_{l2} (\cos(q_2)) \quad (58)$$

$$B_{22} = m_2 d_{l2}^2 + I_2 \quad (59)$$

$$c_{11} = -q_2 \times m_2 d_{l2} \sin(q_2) \quad (60)$$

$$c_{12} = -(\dot{q}_1 + \dot{q}_2) \times m_2 d_{l2} \sin(q_2) \quad (61)$$

$$c_{21} = \dot{q}_1 \times m_2 d_{l2} \sin(q_2) \quad (62)$$

$$c_{22} = 0 \quad (63)$$

$$g_1 = m_1 d_{l1} + m_2 l_1 \cos(q_1) + m_2 d_{l2} \cos(q_1 + q_2) \quad (64)$$

$$g_2 = m_2 d_{l2} \cos(q_1 + q_2) \quad (65)$$

Where  $i=1,2$ ,  $m_i$  denotes the mass,  $l_i$  denotes the length,  $I_i$  denotes the mass moment of inertia about the center of mass, and  $d_{li}$  denotes the distance to the center of mass [1].

The BSAENN is conducted to illustrate the effectiveness of the proposed control algorithm. The simulation was performed in case of desired angular position for  $q_1$  and  $q_2$  where:

$$q_{1d} = (\pi/6) \times (1 - \cos(1.5 \times \pi \times t) + \sin(\pi \times t)) \quad (66)$$

$$q_{2d} = (\pi/6) \times (1 - \cos(2 \times \pi \times t) + \sin(1.5 \times \pi \times t)) \quad (67)$$

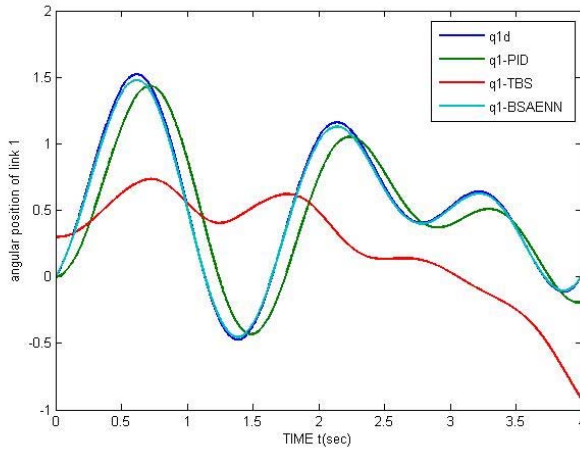


Fig. 4 Angular position response for  $q_{1d}$

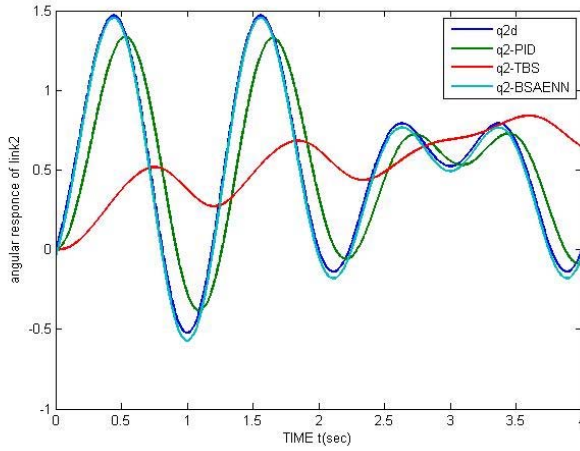


Fig. 5 Angular position response for  $q_{2d}$

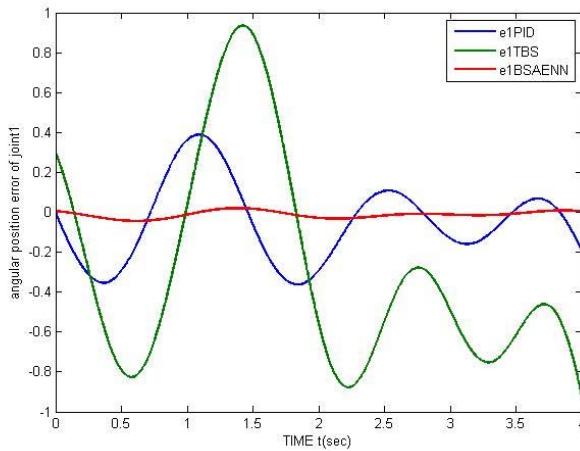


Fig. 6 Angular position error for joint1

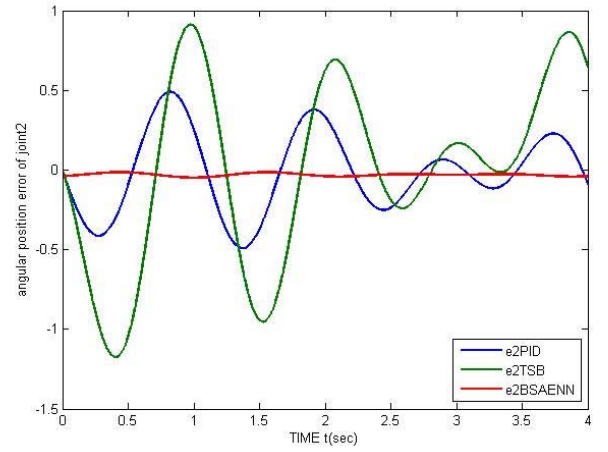


Fig. 7 Angular position error for joint2

From the simulation results (as shown in Fig. (4), Fig. (5), Fig. (6), and Fig (7)), it can be noted that a best performance can be achieved. It can be seen that the proposed algorithm (BAENN) gives a better trajectory tracking behavior. A comparison of tracking response characteristics upon the different control techniques with the performance indices calculated for the trajectory tracking control, for both joints, is summarized in Table I. The table shows that the presented (BSAENN) control system provides a superior control performance with the smallest error.

The performance indices are integral squared error (ISE) and integral time multiplied absolute error (ITAE) given by:

$$ISE = \int e^2(t)dt$$

$$ITAE = \int t|e(t)|dt \quad (68)$$

	Performance indices			
	ISE		ITAE	
	Joint	Joint2	Joint1	Joint2
PID	167.9576	251.9973	1.0234e+03	1.2884e+03
TBS	1.426e+03	1.318e+03	4.5778e+03	3.0853e+03
BSAENN	1.7067	4.1918	113.7693	256.6165

Table.1 Performance indices for ISE and ITAE

## V. CONCLUSION

In this paper, a proposed backstepping controller based on an adaptive Elman neural network (BSAENN) system that adopted using the combination of the Elman neural network (ENN), which is a recurrent multilayered neural network, and the traditional backstepping control (TBS) approach has been used to control the nonlinear underactuated robot, the proposed Elman neural network (ENN)-based approximator with the advantage of faster convergence rate can handle dynamic response faster. The backstepping control is a recursive control method which has the ability to prevent useful nonlinearities from being canceled. To overcome the drawback that the system has an input and two subsystems, a transformation from underactuated form to a classic cascade form has been made. The proposed controller has applied to a nonlinear underactuated robotic system. The stability of the closed-loop system are proved using Lyapunov stability. Numerical simulations with dynamical model of the two link robot, compared to conventional controllers (PID and TBS), show that the proposed controller provides robustness for trajectory tracking performance under the occurrence of uncertainties.

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