# Unit 3: Receive Filtering

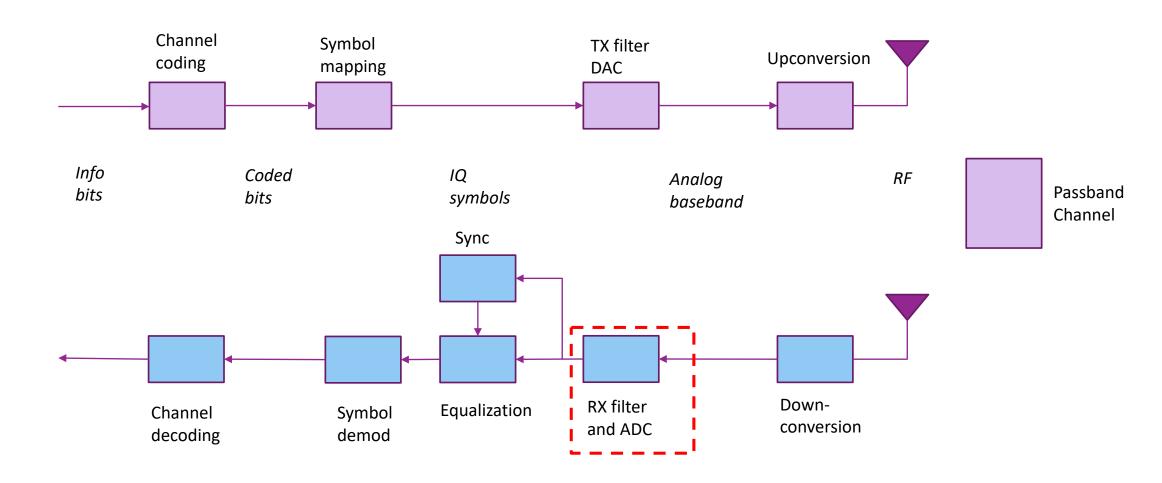
EL-GY 6013: DIGITAL COMMUNICATIONS

PROF. SUNDEEP RANGAN





#### This Unit



#### Learning Objectives

- ☐ Describe the steps in recovering symbols for a linearly modulated signal
  - Determine the matched filter response
- □ Determine MF for known gain and delay in the channel
- □ Compute the effective discrete-time channel given
  - Channel response, TX an RX filter
  - Time-domain or frequency-domain method
- ☐ Determine if there is ISI
- □ Compute the frequency response using digital RX filtering and downsampling
- ☐ Determine specifications on the digital and analog filters





#### Outline

Receiver filtering and sampling

Perfect reconstruction with orthonormal modulation

General channels: Time-domain analysis

General channels: Frequency-domain analysis

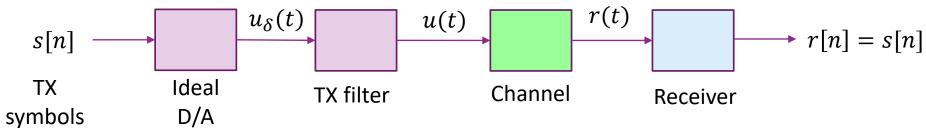
Practical RX filter design

Channel sounding

Sparse channel reconstruction (Advanced)

#### Receiver Problem



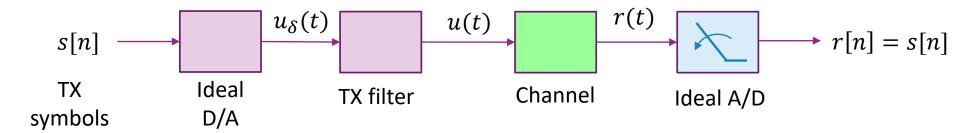


- ☐ Transmit steps so far:
  - Symbols s[n]
  - Linearly modulate:  $u(t) = \sum s[n]p_{tx}(t nT)$
  - Baseband equivalent channel  $r(t) = h_{chan}(t) * u(t)$
- □ Question at the receiver: Can we recover the transmitted symbols?
- $\square$  Want a mapping that input r(t) to samples r[n]
- $\Box \text{Ideally } r[n] = s[n]$

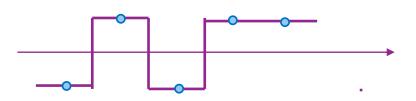




# Simple Idea: Sampling



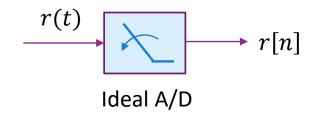
- ☐ Take samples with an ideal A/D: r[n] = r(nT)
- ☐ This could work: Example
  - $\circ$  Suppose  $p_{tx}(t) = Rect\left(\frac{t}{T}\right)$  (Ideal zero-order-hold D/A)
  - $h_{chan}(t) = \delta(t)$  (no channel effect)
  - Then:  $r(t) = u(t) = \sum_{n} s[n] Rect(\frac{t-nT}{T})$
  - $\circ$  So, r(nT) = s[n]
  - $\circ$  Hence, if we sample at exactly the right time, we can recover s[n]

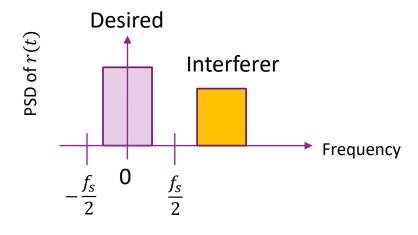


# Problems with Ideal Sampling

- ☐ Three problems in implementing an ideal A/D
- ☐ Problem 1: No circuit exactly samples at one instant
  - Most circuits integrate over some period
  - Ex: Charge fills a capacitor at the input to the A/D
- □ Problem 2: Out-of-band emissions ("blockers")
  - The received signal may contain signals at neighboring frequencies
  - Ex: Transmissions in other wireless channels
  - The system may not have control over these
  - Without filtering, these will be aliased into r[n]

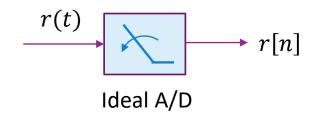
0

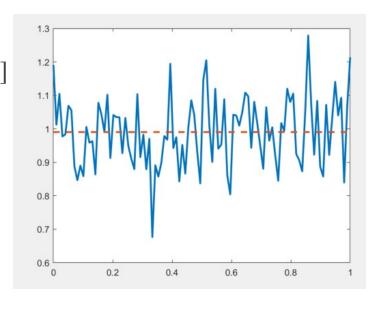




# Problems with Ideal Sampling

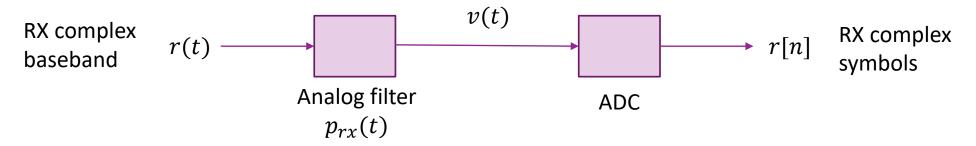
- ☐Three problems in implementing an ideal A/D
- ☐ Problem 3: No noise filtering
  - Suppose that in some symbol period:  $r(t) = s[n] + w(t), \quad t \in [nT, (n+1)T)$
  - r(t) = "desired signal" + "noise".
  - Noise w(t) will appears in any sample.
  - But, suppose we average:  $r_{avg}[n] = \frac{1}{T} \int_{nT}^{(n+1)T} r(t) dt = s[n] + v[n]$
  - Effective noise is  $v[n] = \frac{1}{T} \int_{nT}^{(n+1)T} w(t) dt$
  - This will, in general, have a lower variance
  - We will describe this more next unit







#### Two Step Receiver



- □ Discussion motivates a two step process
- □ Step 1: Receive filter:  $v(t) = p_{rx}(t) * r(t)$ 
  - $p_{rx}(t)$  is the RX filter response
- $\square$ Step 2: Sample r[n] = v(nT)
- ☐ Filter is useful to:
  - Model imperfections in the sampling
  - Filter out blockers. Anti-aliasing
  - Average out noise (more on this later)



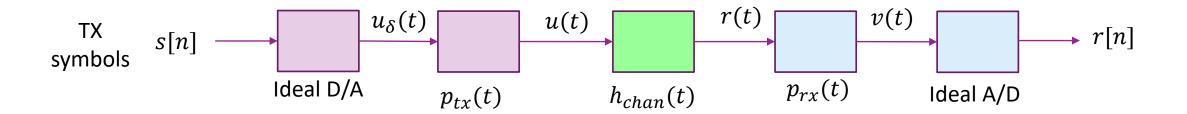


#### Outline

- ☐ Receiver filtering and sampling
- Perfect reconstruction with orthonormal modulation
  - ☐ General channels: Time-domain analysis
  - ☐ General channels: Frequency-domain analysis
  - ☐ Practical RX filter design
  - ☐ Channel sounding
  - □ Sparse channel reconstruction (Advanced)



#### End-to-end TX and RX Chain so Far

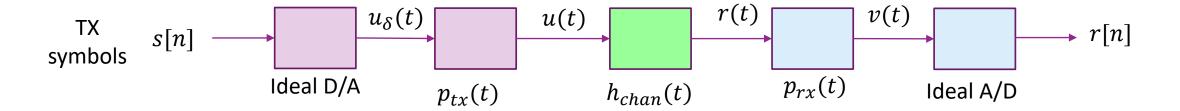


#### ■Steps:

- Impulse D/A:  $u_{\delta}(t) = \sum s[n]\delta(t nT)$
- TX pulse shape:  $u(t) = u_{\delta}(t) * p_{tx}(t) = \sum s[n]p_{tx}(t nT)$
- Channel:  $r(t) = u(t) * h_{chan}(t)$
- RX filter:  $v(t) = r(t) * p_{rx}(t)$
- Sampling A/D: r[n] = v(nT)



#### **Basic Questions**



- ☐ Under what circumstances can we construct transmitted signals.
- $\square$  That is, how do we select  $p_{rx}(t)$  such that r[n] = s[n]?
- ☐ We first analyze this for a simple case:
  - Orthonormal pulse shapes
  - No channel impairments

# Inner Products and Orthonormal Signals

- $\square$  Let f(t), g(t) be two complex-valued signals
- $\square$  Definition 1: The inner product of f, g is:

$$\langle f, g \rangle = \int_{-\infty}^{\infty} f^*(t)g(t)dt$$

- Here  $f^*(t)$  =complex-conjugate of f(t)
- □ Definition 2: We say f(t), g(t) are orthogonal if  $\langle f, g \rangle = 0$ 
  - $\circ$  We will write this as  $f \perp g$
- □ Definition 3: The signal energy is  $||f||^2 := \langle f, f \rangle = \int_{-\infty}^{\infty} |f(t)|^2 dt$
- ☐ We will discuss this in much more detail in the next unit on signal spaces



## Example Problem

- $\square \text{Suppose } f(t) = aRect\left(\frac{t}{T}\right), g(t) = (b + ct)Rect\left(\frac{t}{T}\right)$ 
  - Complex a, b, c with  $a \neq 0$
- $\square$ Compute  $\langle f, g \rangle$
- $\square$  When is  $f \perp g$ ?
- Solution:
  - $\langle f,g\rangle = \int_{-\infty}^{\infty} f^*(t)g(t)dt = \int_{-T/2}^{T/2} a^*(b+ct)dt = a^*bT$
  - Therefore  $f \perp g = 0 \Leftrightarrow \langle f, g \rangle = a^*bT = 0$ .
  - Since  $a, T \neq 0, f \perp g = 0 \Leftrightarrow b = 0$

# Orthogonality in Frequency Domain

- ■Sometimes it is more convenient to evaluate inner products in frequency domain
- $\square$  Parseval's Theorem: Let f(t), g(t) be any two signals. Then:

$$\langle f, g \rangle = \int f^*(t)g(t)dt = \int F^*(f)G(f)df$$

 $\square$  This is useful whenever the Fourier transforms F(f), G(f) are simple to work out.



## Example

- $\Box \text{Suppose } f(t) = A \operatorname{sinc}\left(\frac{t}{T}\right), \ g(t) = B \operatorname{sinc}\left(\frac{t-\tau}{T}\right)$
- $\square$ Compute  $\langle f, g \rangle$ . When are they orthogonal?
- Solution:
  - Do this in frequency domain
  - $F(f) = AT Rect(fT), G(f) = BTRect(fT)e^{-2\pi i f \tau}$
  - $^{\circ}$  From Parseval's Theorem: Let  $f_0 = 1/(2T)$

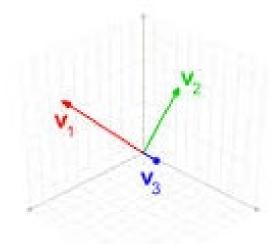
$$\langle f, g \rangle = \langle F, G \rangle = \int F^*(f)G(f)df = ABT^2 \int_{-f_0}^{f_0} e^{-2\pi i f \tau} df$$

$$= \frac{ABT^2}{2\pi i \tau} \left[ e^{2\pi i f_0 \tau} - e^{-2\pi i f_0 \tau} \right] = ABT \operatorname{sinc}(2f_0 \tau) = ABT \operatorname{sinc}\left(\frac{\tau}{T}\right)$$

 $\langle f, g \rangle = 0$  when  $\tau = kT$  for some integer k

## Orthonormal Signals

- $\square$  Let  $\phi_n(t)$ , n=0,1,... be a set of signals
  - This can be indexed from  $n = -\infty$  to  $\infty$  as well
- $\square$  Definition: The set  $\phi_n(\cdot)$  is orthonormal if:
  - $||\phi_n|| = 1$  for all n (all signals have unit energy)
  - $\langle \phi_n, \phi_m \rangle = 0$  for all  $n \neq m$  (different signals are orthogonal)



- ☐ This generalizes the concept of orthonormal vectors
- ☐ We will discuss orthonormal sets much more in signal space theory

#### Orthonormal Pulses and Matched Filtering

- □ Consider the linear modulation:  $u(t) = \sum_{n} s[n]p_{tx}(t nT)$
- □ Definition 1: We will say that the modulation is orthogonal if

$$\phi_n(t) = p_{tx}(t - nT), \qquad n = \cdots, -2, -1, 0, 1, 2, \dots$$

is an orthonormal set.

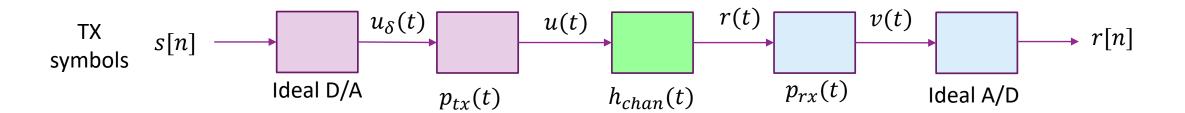
 $\square$  Definition 2: Given any transmit pulse,  $p_{tx}(t)$ , the matched filter RX pulse is:

$$p_{rx}(t) = p_{tx}^*(-t)$$

- The pulse that is complex conjugate and flipped in time
- Note that if TX filter is causal, RX filter is anti-causal



#### Reconstruction With Orthonormal Pulses



- ☐ Theorem: Suppose that:
  - $p_{tx}(t)$  generates orthonormal pulse at sample rate  $\frac{1}{T}$
  - $h_{chan}(t) = \delta(t)$  (i.e. r(t) = u(t) so there are no channel impairments)
  - $p_{rx}(t) = p_{tx}^*(-t)$  (RX uses matched filter)

Then the receiver will exactly recover the TX samples in that r[n] = s[n]

- ☐ Theorem answers our question. We can reconstruct the RX samples
  - Under several assumptions



#### Proof of Reconstruction Theorem

- $\square$ TX signal is:  $u(t) = \sum_{n} s[n] p_{tx}(t nT)$
- □Since  $h_{chan}(t) = \delta(t) \Rightarrow r(t) = h_{chan}(t) * u(t) = u(t) = \sum_{n} s[n]p_{tx}(t nT)$
- $\square$ RX filtered signal is:  $v(t) = p_{rx}(t) * u(t) = \sum_{n} s[n](p_{rx} * p_{tx})(t nT)$
- $\square \text{Sampling is: } r[m] = v(mT) = \sum_{n} s[n] (p_{rx} * p_{tx}) ((m-n)T)$
- Now look at convolution:

$$(p_{rx} * p_{tx})(t) = \int p_{rx}(t-s)p_{tx}(s)ds = \int p_{tx}^*(s-t)p_{tx}(s)ds$$

- $\square$  But  $\phi_k(t) = p_{tx}(t kT)$  is an orthonormal set
- $\square \text{So, } (p_{rx} * p_{tx})(kT) = \langle \phi_k, \phi_0 \rangle = \delta_k$
- □ Hence:  $r[m] = v(mT) = \sum_{n} s[n] \delta_{m-n} = s[m]$



# "Practical" Orthogonal Pulses

- ☐ There are two important "practical" orthonormal pulses
- $\square$  Rectangles:  $p_{tx}(t) = \frac{1}{\sqrt{T}} \operatorname{Rect}\left(\frac{t}{T}\right)$ 
  - Orthonormal since  $p_{tx}(t-nT)$  and  $p_{tx}(t-mT)$  do not overlap when  $n \neq m$
  - $\circ$  Scaling by  $\frac{1}{\sqrt{T}}$  ensures they are normalized
  - This can be achieved (with some scaling) by a zero-order hold ADC
- $\square \text{Sinc pulses: } p_{tx}(t) = \frac{1}{\sqrt{T}} \operatorname{Sinc}\left(\frac{t}{T}\right)$ 
  - Use similar frequency domain calculation as before to prove these are orthonormal
  - This would arise with ideal filtering at the TX and RX.
  - No filter is exactly ideal.
  - But, practical filters get quite close to this response.

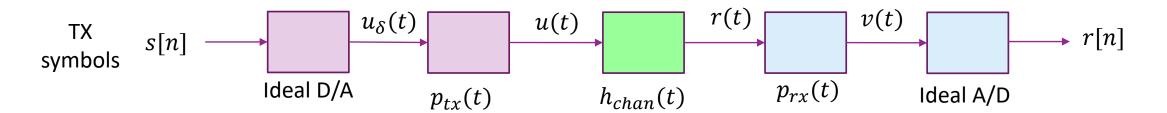


#### Outline

- ☐ Receiver filtering and sampling
- ☐ Perfect reconstruction with orthonormal modulation
- General channels: Time-domain analysis
- ☐ General channels: Frequency-domain analysis
- ☐ Practical RX filter design
- ☐ Channel sounding
- □ Sparse channel reconstruction (Advanced)



# Modeling the End-to-End System

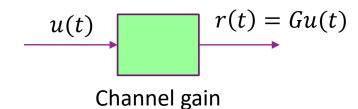


- ■We have seen so far that when:
  - Pulse shapes are matched
  - Modulation is orthonormal
  - No channel impairments
- □What happens when these conditions fail?



#### **Channel Gain**

- $\square$  Consider simple deviation: Channel gain r(t) = Gu(t)
  - Gain can be due to attenuation in wire, for example.
- □Suppose, as before, that:
  - $p_{tx}(t-nT)$  are orthonormal for different n
  - $p_{rx}(t) = p_{tx}^*(-t)$ , i.e. matched filter
- - Proof on board
  - Simply scales symbols.
  - $\circ$  Can recover symbols from r[n]/G
- ☐ But, requires that gain is known. More on this later



## Channel Gain and With Known Delay

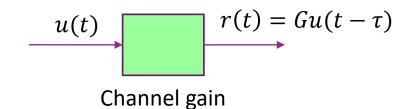
■Now consider gain and delay

$$\circ \ r(t) = Gu(t - \tau)$$

- $\Box \text{Then, } r(t) = \sum G s_n p_{tx}(t \tau nT)$
- ■Suppose gain and delay are known
- ☐ Use shifted and scaled receive filter

$$p_{rx}(t) = \frac{1}{G}p_{tx}^*(-t+\tau)$$

- - Proof on board
- □RX filter is shifted to delay
  - Must know the gain and delay
  - Requires synchronization



# Integer Delays

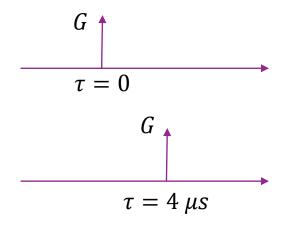
- $\square Suppose: r(t) = Gs(t \tau)$ 
  - $\circ$  Delay is an integer multiple of the sample period:  $\tau = k_0 T$
- ■Suppose, as before:
  - TX uses orthonormal modulation
  - $\circ$  MF receiver  $p_{rx}(t)=p_{rx}^*(-t)$  (but, not shifted and scaled)
- - Channel delay of  $\tau = k_0 T \Rightarrow$  Symbol delay of  $k_0$
  - Proof on board



## Integer Delays visualized

- □ Suppose TX uses orthonormal modulation and RX uses matched filter
- □ Suppose sample rate is  $T = 0.1 \,\mu s$  ( $f = 10 \,\text{Msym/s}$ )

#### Baseband channel impulse response

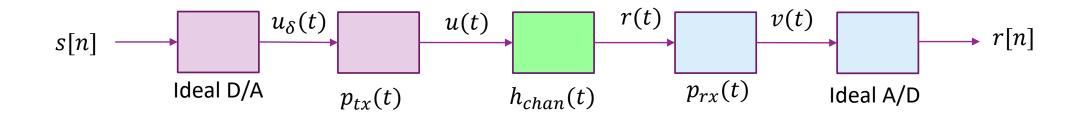


#### Effective discrete-time channel

$$\begin{array}{c}
G \\
k = 0
\end{array}$$

$$\begin{array}{c}
G \\
k = 40
\end{array}$$

#### General case



- $\square$  Define channel impulse response with filtering  $g(t) = p_{tx}(t) * h_{chan}(t) * p_{rx}(t)$ 
  - Represents path from DAC output to ADC input
- □ Theorem: Mapping from r[n] to s[n] is LTI with impulse response is h[n] = g(nT),
- $\square$  Receive symbols will be given by  $r[n] = \sum_{k=-\infty}^{\infty} h[k] s[n-k]$



# Example 1: Rectangular Pulse

- $\square$  Suppose that  $p_{tx}(t) = p_{rx}(t) = Rect(t/T)$
- $\square$  If channel is  $r(t) = Gu(t \tau)$ , what is effective DT channel h[n]
- Solution:
  - Impulse response is  $h_{chan}(t) = \delta(t \tau)$
  - Impulse response from  $u_{\delta}(t) \mapsto v(t)$  is  $g(t) = p_{tx}(t) * p_{rx}(t) * h_{chan}(t) = GT \operatorname{Tri}(t \tau)$
  - Then h[n] = g(nT)

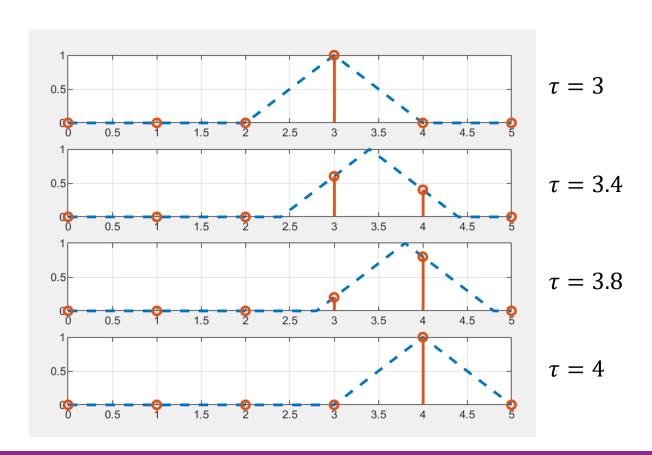


## Example 2 Illustrated

- Channel response with filtering  $g(t) = GT \ Tri(t \tau)$
- ☐ Effective discrete-time channel:

$$h[n] = g(nT)$$

- $\square$  Plot to right: GT = 1, T = 1
  - $\circ g(t)$ : Blue dashed
  - $\circ$  h[n]: Red stem
- $\square$  When  $\tau = kT$  is an integer:
  - $h[n] = \delta_{n-k}$
  - Single tap
- □When τ ∈ (kT, (k + 1)T)
  - $\circ h[n]$  has two taps

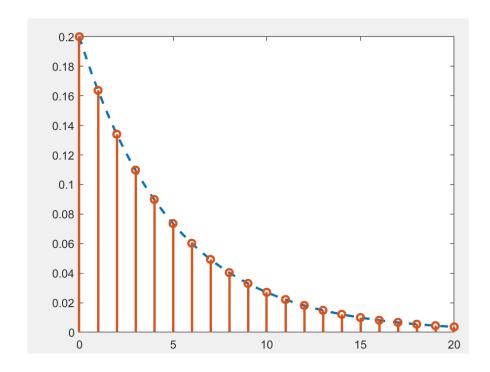


## Example 2: Exponential

- $\square \text{Suppose that } p_{tx}(t) = \delta(t), p_{rx}(t) = T\delta(t)$
- □ Baseband channel is:  $\frac{dr(t)}{dt} = \frac{\alpha}{T}(u(t) r(t))$
- $\square$  Find filtered channel response g(t) and effective discrete-time response h[n]
- Solution:
  - Take Laplace transform of differential eqn:  $R(s) = \frac{c}{s+c}U(s)$ ,  $c = \frac{\alpha}{T}$
  - Using inverse Laplace transform:  $r(t) = h_{chan}(t) * u(t)$  where  $h_{chan}(t) = ce^{-ct} 1_{[0,\infty)}(t)$
  - Filtered channel response:  $g(t) = p_{tx}(t) * p_{rx}(t) * h_{chan}(t) = cTe^{-ct}1_{[0,\infty)}(t)$
  - $\circ$  Discrete-time response:  $h[n]=g(nT)=cTe^{-cnT}1_{\{n\geq 0\}}=\alpha e^{-\alpha n}1_{\{n\geq 0\}}$

## Example 2: Exponential Illustrated

- $\square \text{Suppose that } p_{tx}(t) = \delta(t), p_{rx}(t) = T\delta(t)$
- □ Baseband channel is:  $\frac{dr(t)}{dt} = \frac{\alpha}{T}(u(t) r(t))$
- ☐ From previous slide:
  - Filtered channel response:  $g(t) = cTe^{-ct}1_{[0,\infty)}(t)$
  - Discrete-time response:  $h[n] = g(nT) = \alpha e^{-\alpha n} 1_{\{n \ge 0\}}$



#### Effective Discrete-Time Channel

□ Lack of synchronization causes channel of the form

$$r[n] = \sum_{k=-\infty}^{\infty} h[k]s[n-k]$$

- $\square h[k]$  called the effective discrete-time channel
- □Inter-symbol interference:
  - Whenever  $h[k] \neq 0$  for  $k \neq 0$
  - Other symbols interfere with one another
- □ ISI occurs for many reasons:
  - Lack of synchronization
  - Channel impairments



# ISI and Equalization

■Effective discrete-time channel is:

$$r[n] = \sum_{k=-\infty}^{\infty} h[k]s[n-k]$$

- □ System has inter-symbol interference (ISI):
  - Multiple symbols s[n-k] effect r[n]
- ☐ The receiver must undo this ISI.
- ☐ This process is called equalization
- We will discuss this later.

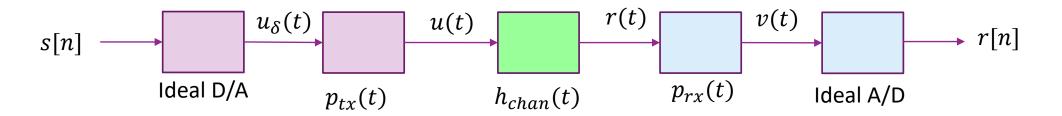
#### Outline

- ☐ Receiver filtering and sampling
- ☐ Perfect reconstruction with orthonormal modulation
- ☐ General channels: Time-domain analysis
- General channels: Frequency-domain analysis
  - ☐ Practical RX filter design
  - ☐ Channel sounding
  - □ Sparse channel reconstruction (Advanced)





# Digital Channel Frequency Response



 $\square$  We saw that effective digital channel from  $s[n] \mapsto u[n]$  is:

$$r[n] = \sum_{k} h[k]s[n-k], \quad h[k] = g(kT), \qquad g(t) = p_{rx}(t) * h_{chan}(t) * p_{tx}(t)$$

□ Question: What is the frequency response?

$$R(\Omega) = H(\Omega)S(\Omega)$$



### Frequency Response of DT Filter

 $\square$  Fact from signals and systems: If h[n] = g(nT)

$$H(\Omega) = \frac{1}{T} \sum_{k=-\infty}^{\infty} G\left(\left(\frac{\Omega}{2\pi} + k\right) \frac{1}{T}\right)$$

- $\square$ Shifted copies of G(f)
- $\Box$  Continuous frequency f mapped to DT frequency  $\Omega = 2\pi f/f_{S}$  ,  $f_{S} = 1/T$
- □Can obtain coefficients from inverse DTFT:

$$h[n] = \frac{1}{2\pi} \int_0^{2\pi} H(\Omega) e^{jn\Omega} d\Omega$$

# Computing Effective DT Frequency Response Summary

- $\Box$ Compute frequency response of channel with filtering  $G(f) = P_{rx}(f)H_{chan}(f)P_{tx}(f)$
- ☐ Effective DT channel response is:

$$H(\Omega) = \frac{1}{T} \sum_{k=-\infty}^{\infty} G\left(\left(\frac{\Omega}{2\pi} + k\right) \frac{1}{T}\right)$$

- Scale G(f) vertically by 1/T
- Map continuous-time frequency f to  $\Omega = 2\pi f T = \frac{2\pi f}{f_S}$
- $\circ$  Create shifted versions every  $2\pi$
- Shifted versions may overlap if there is aliasing



### **Bandlimited Channel**

- $\square$  Suppose one of  $P_{rx}$ ,  $P_{tx}$  or G bandlimited to  $|f| < \frac{1}{2T}$ ,
- ☐ Effective discrete-time channel reduces to

$$H(\Omega) = \frac{1}{T} P_{rx} \left( \frac{\Omega}{2\pi T} \right) P_{tx} \left( \frac{\Omega}{2\pi T} \right) H_{chan} \left( \frac{\Omega}{2\pi T} \right)$$
 for  $|\Omega| < \pi$ 

- ☐ If TX and RX filters are ideal low-pass:
  - $P_{rx}(f) = P_{tx}(f) = \sqrt{T} \operatorname{Rect}(fT)$
  - $\circ \ H(\Omega) = G\left(\frac{\Omega}{2\pi T}\right)$



### Example: Sinc Pulses

#### ■Suppose that

• 
$$p_{rx}(t) = p_{tx}(t) = \frac{1}{\sqrt{T}} sinc\left(\frac{t}{T}\right)$$

•  $H_{chan}(f) = 1$  (no impairments)

#### ☐Then,

$$P_{rx}(f) = P_{tx}(f) = \sqrt{T}rect(fT)$$

$$\circ$$
  $H(\Omega) = 1$ 

- $\Box \text{Hence, } R(\Omega) = S(\Omega).$
- ☐ Recover symbols exactly. No ISI



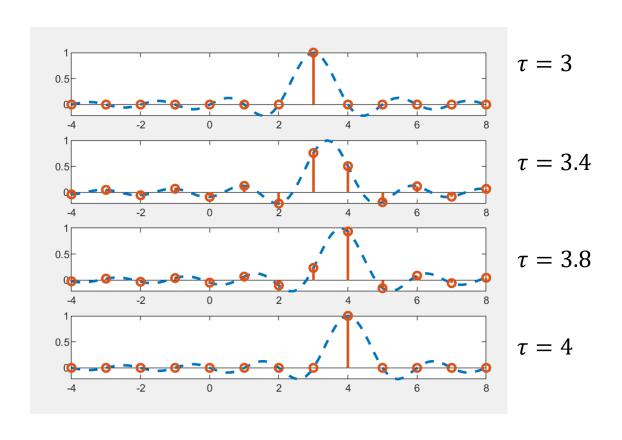
# Example: Sinc Pulses with Delay

- $\Box \text{Suppose that } p_{rx}(t) = p_{tx}(t) = \frac{1}{\sqrt{T}} sinc\left(\frac{t}{T}\right)$
- □ Channel has gain and delay:  $r(t) = Gu(t \tau)$
- $\Box H_{chan}(f) = Ge^{-2\pi jf\tau}$
- ☐Then,

$$P_{rx}(f) = P_{tx}(f) = \sqrt{T}rect(fT)$$

$$H(\Omega) = H_{chan}\left(\frac{\Omega}{2\pi T}\right) = Ge^{-j\Omega\tau/T}$$

 $\square \text{Similar calculation as before: } h[n] = sinc\left(\frac{\tau n}{T}\right)$ 



### Flat Channels

#### ■Suppose that

$$P_{rx}(f) = P_{tx}(f) = \sqrt{T}rect(fT)$$

$$G(f) \approx G_0 \text{ in } |f| < \frac{1}{2T}$$

- "Flat" over the channel.
- ☐ Then, effective discrete-time channel is

$$\circ$$
  $H(f) = G_0$ 

$$\circ r[n] = G_0 s[n]$$

- No ISI
- □ Conclusion: When channel is "flat" over band, equalization is not needed

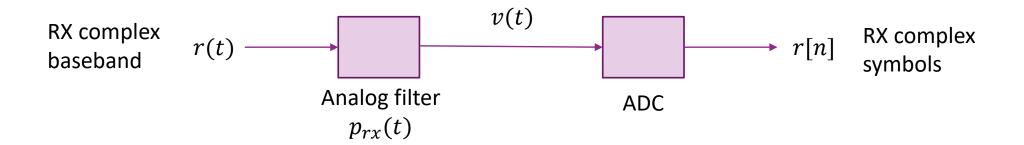
### Outline

- ☐ Receiver filtering and sampling
- ☐ Perfect reconstruction with orthonormal modulation
- ☐ General channels: Time-domain analysis
- ☐ General channels: Frequency-domain analysis
- Practical RX filter design
  - ☐ Channel sounding
  - □ Sparse channel reconstruction (Advanced)





# Problems with Analog Filtering

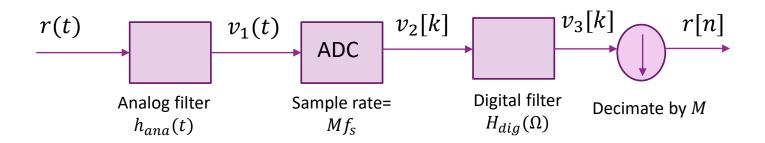


- □Up to now, we have considered two stage filtering
  - Filtering:  $v(t) = p_{rx}(t) * r(t)$
  - Sampling / ADC: r[n] = v(nT)
- ☐ Problem: Filtering is performed in analog
  - Desire sharp filters to remove close adjacent carrier
  - Difficult to design sharp filters



### Typical Digital Implementation of RX Filtering

RX complex baseband



RX complex symbols, Symbol rate =  $f_s$ 

- ☐ Use combination of analog and digital filtering in four steps:
- $\square$ Step 1. Analog filtering  $v_1(t) = h_{ana}(t) * r(t)$
- □ Step 2. Sample at M times symbol rate:  $v_2[k] = v_1(kT/M)$ 
  - ∘ *M* = oversampling ratio
- $\square$ Step 3. Digitally filter:  $v_3[k] = h_{dig}[k] * v_2[k]$
- Step 4. Decimate:  $r[n] = v_3[nM]$ .
  - Takes one every *M* samples



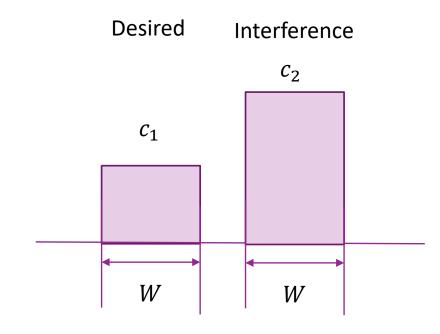
### Frequency Domain Analysis

- $\Box \text{Step 1: } V_1(f) = H_{ana}(f)R(f)$
- $\Box \text{Step 2: Sampling at } \frac{T}{M} : V_2(\Omega) = \frac{M}{T} \sum_{k=-\infty}^{\infty} V_1\left(\left(\frac{\Omega}{2\pi} + k\right) \frac{M}{T}\right)$
- □ Step 3: Digital filtering:  $V_3(Ω) = V_2(Ω)H_{dig}(Ω)$
- Step 4: Decimate:  $R(\Omega) = \frac{1}{M} \sum_{m=0}^{M-1} V_3 \left( \frac{\Omega + 2\pi m}{M} \right)$
- $\square$  Assuming no aliasing: Effective RX pulse shape is  $P_{rx}(f) = H_{ana}(f)H_{dig}\left(\frac{2\pi f}{f_SM}\right)$



# Sample problem (Solution on board)

- $\square$ Received passband signal  $R_p(f)$  as shown
- ☐ Two components:
  - Desired signal
  - Nearby adjacent carrier signal
- ☐ Draw the following:
  - Complex baseband R(f) after mixing at  $f_{c1}$
  - $\circ$  Response after analog filtering with cutoff  $|f| \leq 20$  MHz
  - Sampling at 40 Ms/s
  - $\circ$  Digital filtering with  $|\Omega| \le \pi/2$
  - Downsampling by 2



$$f_{c1} = 2.3 \text{ GHz}$$
  $f_{c2} = 2.5 \text{ GHz}$   $W = 12 \text{ MHz}$   $W = 12 \text{ MHz}$ 

# Filter Design

- $\Box \text{ Effective pulse shape: } P_{rx}(f) = H_{ana}(f)H_{dig}\left(\frac{2\pi f}{f_SM}\right)$
- $\square$  Want  $P_{rx}(f)$  to be low-pass with cutoff  $f = \frac{1}{T}$
- ☐ Typical design for analog filter
  - $H_{ana}(f)$  passband up to  $\frac{1}{2T}$ , Stopband  $\frac{2M-1}{2T}$
  - Removes images before sampling
  - Large transition region. Easy to design
- ☐ Design spec for digital filter
  - $\circ$  Low pass with digital cut-off frequency  $\pi/M$
  - Typically very sharp to remove close adjacent carrier



### Outline

- ☐ Receiver filtering and sampling
- ☐ Perfect reconstruction with orthonormal modulation
- ☐ General channels: Time-domain analysis
- ☐ General channels: Frequency-domain analysis
- ☐ Practical RX filter design
- Channel sounding
  - □ Sparse channel reconstruction (Advanced)



