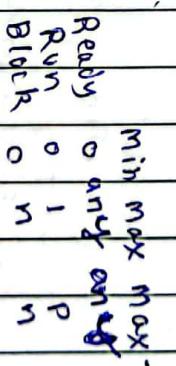


## OS

- Job / Punch card OS (Job = Program + info + I/O data)
- Batch (Batch same type ofing tracking)
- Spooling (Store instructions of a batch task in mem.) (Printing)
- Multi Programming (Make a pool of ready processes who can work) (keep processes busy)
- Multitasking (All tasks in pool execute) (Sharing illusion)
- Multiprocessing (more than 1 CPU) (Parallelism)
- Real time
  - Soft RT ( $t \geq 0$ )
  - Hard RT ( $t = 0$ )
  - Firm RT
- Distributed OS (different nodes connected by network)
- Response sharing (Anydesk)
- Computation Speedup (Bottleneck)
- Reliability
- Communication

- \*> Structure of OS:
  - #> Segmentation
  - Simple structure
  - Layered approach
  - Micro kernel approach
- \*> Process = Program execution
- Text section (Code)
- Stack (Local vars)
- Data Section (Global var)
- Heap (Dynamically allocated)

Note: Ready state can have many no. of process



- \*> Process Scheduling:

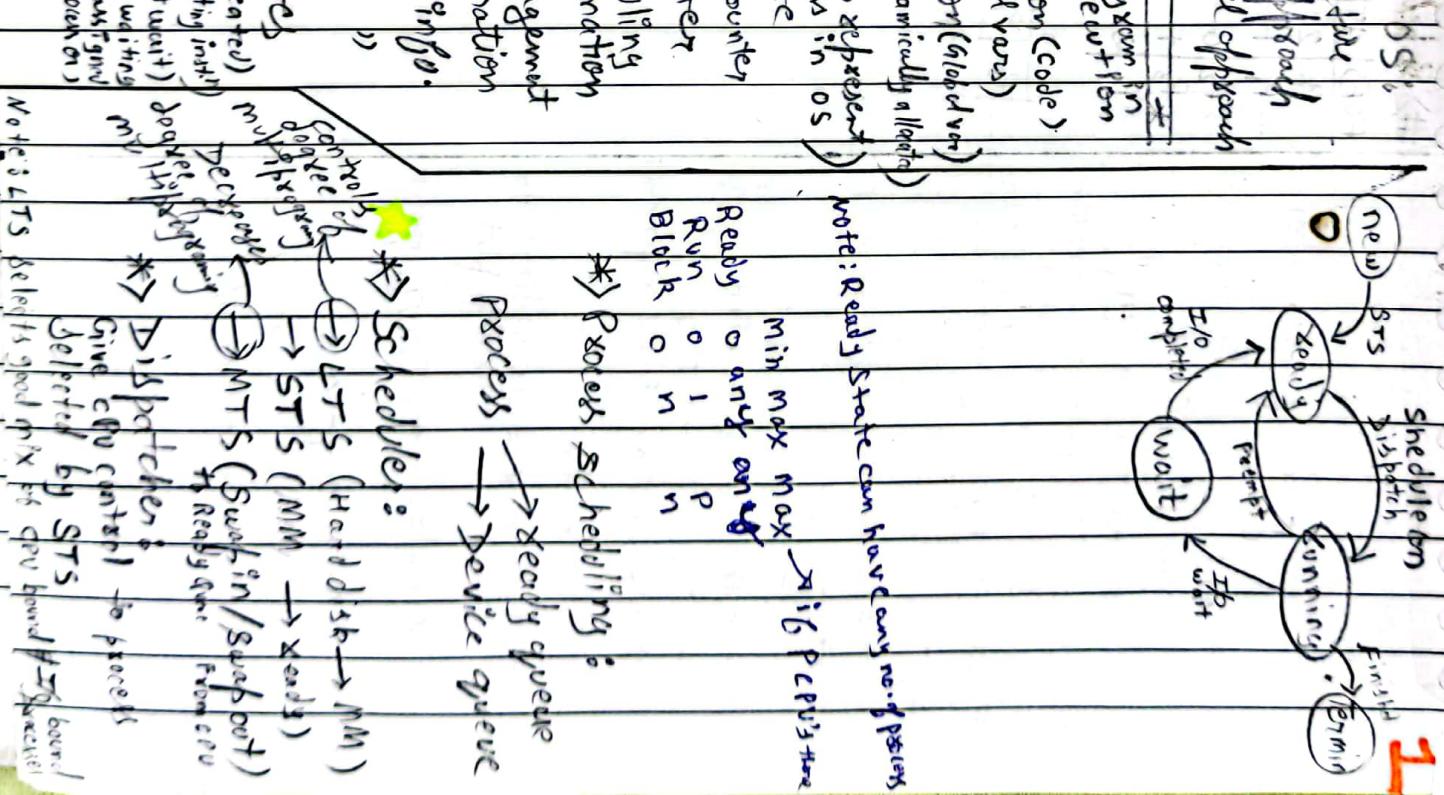
- Ready queue
- Device queue

- \*> PCB (process in OS)
  - Process State
  - Program counter
  - CPU register
  - CPU Scheduling information
  - Information

- \*> System call
  - User bit
  - Kernel
  - User

- \*> User OS Interface
  - Command Interpreter
  - GUI

## 1



\* Round Robin, Priority, SJF / SRTF, Priority, Round Robin, LTF

2

	FCFS	SJF	SRTF	Priority	Round Robin	LTF
FCFS	AT	WT	AT	WT	AT	WT
AT	BT	CT	AT	CT	AT	BT
→ P <sub>0</sub>	2	7	3	3	3	3
→ P <sub>1</sub>	2	4	2	3	0	Non-Pre
→ P <sub>2</sub>	0	3	12	8	6	Tie broken by: Process id
→ P <sub>3</sub>	4	2	10	7	6	Tie broken by: Process id
→ P <sub>4</sub>	3	1	5.8	3.4	5.8	Avg. WT $\Rightarrow$ to execute smaller processes before longer

(SRTF  $\Rightarrow$  optimal)

\* FCFS: Criteria = AT mode = Non-Pre  
 (Gulf's note)  
 Tie broken by: Process id

Avg. WT  $\Rightarrow$  to execute smaller processes before longer

\* SJF: Criteria = BT mode = Non-Pre  
 (Gulf's note)  
 Tie broken by: FCFS

\* Priority: (NP & Pg)  
 Criteria = Highest Priority  
 Tie broken = FCFC  
 (Gulf's note)  
 (Longest Job First)  
 Tie broken by: FCFS

\* Priority: (NP & Pg)  
 Criteria = Highest Priority  
 Tie broken = FCFC  
 (Gulf's note)  
 Tie broken by: FCFS

\* Priority: (NP & Pg)  
 Criteria = Highest Priority  
 Tie broken = FCFC  
 (Gulf's note)  
 Tie broken by: FCFS

\* Round Robin: (FCFS with preemption)  
 Criteria = TQ / AT mode = Pre

\* Longest Job First (Non-pre.)  
 Criteria = BT mode = Non-Pre  
 Tie broken by: Process id

- ② \* Context switch: CPU switch among processes  
 → Save current process context  
 → Load state of PCB-P<sub>i</sub> from its PCB-P<sub>i</sub>  
 → Start executing P<sub>i</sub>  
 \* Degree of multiprogramming = no. of processes completed per unit time  
 \* Throughput = no. of processes completed per unit time

- \* Terminology:  
 → AT: Processor ready queue  
 → BT: CPU time  
 → CT: Finishes execution  
 → TT: CT - AT (or) WT + BT = turnaround time  
 → WT: TT - AT  
 → Response Time: First response - AT  
 \*) Delayed:  
 → Maximize = CPU utilization, throughput  
 → minimize = AT, WT, waiting time

- \* Non-pre-emptive =  
 ⇒ completed execution  
 ⇒ need to perform I/O  
 Pre-emptive =  
 ⇒ High priority process arrived  
 ⇒ Time quantum expired

(3) High Response Ratio next (HRRN)

Criteria: Response ratio  
Mode = Non-pre

$$\text{Response ratio} = \frac{WT + BT}{CT}$$

(Favor Shorter Jobs) (limits  $WT + BT$ )  
Round robin

SRTF

\*) Multi-level Q  
lowest priority  
highest priority

ML Feedback Q  
lowest priority  
highest priority

\*) Round robin  
jobs in increasing order of BT  
For minimizing response time, run  
jobs in increasing order of BT  
(or)  
Round robin should be used

Note: For minimizing TAT, run  
smaller jobs first.

	$P_0$	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$
AT	0	1	2	3	4	5
BT	8	10	12	14	16	18
CT	8	11	13	15	17	19
TAT	8	11	13	15	17	19
WT	0	3	5	7	9	11

$P_0$	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$	$P_1$	$P_2$	$P_3$	$P_4$	$P_5$
0	2	4	6	8	9	1	3	5	7	10
AT	2	4	6	8	9	1	3	5	7	10
BT	4	5	6	7	8	1	2	3	4	5
CT	4	5	6	7	8	1	2	3	4	5
TAT	4	5	6	7	8	1	2	3	4	5
WT	0	1	2	3	4	0	1	2	3	4

3

~~(Q:)~~ SRTF AT Priority CPU I/O CPU I/O

$$CPU \% = \frac{(2+3)}{47} \times 100$$

$\rightarrow P_1$  2 7 1  
 $\rightarrow P_2$  4 14 2 ✓ B) 10.6  
 $\rightarrow P_3$  6 21 3

CPU	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0 2	q	23	44

I/O	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0 2	9	10	17

I/O	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0 4			

I/O	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0 6			

I/O	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
0 11			

~~(Q:)~~ Pre-Position AT Priority CPU I/O CPU I/O

$\rightarrow P_1$  0 2 1 5 11 31

$\rightarrow P_2$  2 3(L) 22 5 1

$\rightarrow P_3$  3 1(H) 2 5 1 P<sub>2</sub>

0 1 2 3 5 6 8 9 10 11 14 15

0 1 6 11 14

P<sub>3</sub> 0 5 8

$\rightarrow$  Finish P<sub>1</sub>, P<sub>2</sub>, P<sub>3</sub>: 10, 15, 9

~~(Q:)~~ 2014

$\rightarrow P_1$  P.no. C PU I/O

0 A 10656 500

5 B 356306206 500

10 C 2085456100 500

A/B/C/A/B/C/B/C/B

CPU A B C A B C B C C

0 50 100 150 200 250 300 350 400 450 500 650

A/I/O 0 200 200

B/I/O 650 650

C/I/O 1150 1150

500 1000

C would complete it's T at 1000

Race condition: When G/P of process depends on execution sequence of processes.

81 24 11 19 17 15 13 11 14

## # Processes Sync.

\* General structure of process:

$P_i()$

{ while ( $T$ )  
  { initial section  
    { while ( $T$ )  
      { initial section  
        { entry  
          { exit  
          { critical  
          { remainder  
          { remove  
          { }

  { entry  
    { exit  
    { critical  
    { remainder  
    { }

  { entry  
    { exit  
    { critical  
    { remainder  
    { }

  { entry  
    { exit  
    { critical  
    { remainder  
    { }

  { entry  
    { exit  
    { critical  
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    { }

  { entry  
    { exit  
    { critical  
    { remainder  
    { }

  { entry  
    { exit  
    { critical  
    { remainder  
    { }

→ Boolean variable turn<sub>i</sub>

turn<sub>i</sub> = 0/1

P<sub>i</sub>

while (1)

while (turn<sub>i</sub> ≠ 1);

CS

turn<sub>i</sub> = 1;

remainder

P<sub>i</sub>

while (turn<sub>i</sub> ≠ 0),  
turn<sub>i</sub> = 0;

CS

turn<sub>i</sub> = 0;

remainder

P<sub>i</sub>

while (turn<sub>i</sub> ≠ 1),  
turn<sub>i</sub> = 1;

CS

turn<sub>i</sub> = 1;

remainder

P<sub>i</sub>

while (turn<sub>i</sub> ≠ 0),  
turn<sub>i</sub> = 0;

CS

turn<sub>i</sub> = 0;

remainder

ME ✓

Prog X (Bec. Deadlock)

Wait(S) or P(S)

Signal(S) or V(S)

while (S ≤ 0);

S++;

};

\*) Semaphores: (Binary)

Wait(S) or P(S)

Signal(S) or V(S)

5

\*) Semicolons

;

;

;

;

;

;

;

;

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;

while (1);

\*) Ordering using semaphores

ME ✓

Prog. ✓

;

;

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;

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;

;

;

;

;

;

\*) Deadlock: To avoid

deadlock, order of wait

operation on different

semaphores among

different processes with same

IC.

P<sub>1</sub>

wait(S<sub>1</sub>)

wait(S<sub>2</sub>)

wait(S<sub>3</sub>)

wait(S<sub>4</sub>)

wait(S<sub>5</sub>)

wait(S<sub>6</sub>)

wait(S<sub>7</sub>)

wait(S<sub>8</sub>)

wait(S<sub>9</sub>)

wait(S<sub>10</sub>)

wait(S<sub>11</sub>)

wait(S<sub>12</sub>)

wait(S<sub>13</sub>)

wait(S<sub>14</sub>)

wait(S<sub>15</sub>)

CamScanner

\*> Counting Semaphores:

Binary Semaphores suffer from busy wait, so counting semaphores were introduced.

```

    struct {
        int value = 0;
        struct process *list;
    } semaphore;
}

wait(semaphore *S)
{
    S->value--;
    if (S->value < 0)
        S->list->process->block();
    else
        S->list->process->add();
}

signal(semaphore *S)
{
    S->value++;
    if (S->value >= 0)
        S->list->process->block();
    else
        S->list->process->add();
}

```

Proposed Solution:

- 1) Allow Atmost 4 philosophers at a time.
- 2) Allow 6 chopsticks
- 3) Pick only if both chopsticks are available (i.e using semaphores)
- 4) Any one philosofer picks up right + chopstick first & then left + chopstick. (i.e. first right then left order of eat etc.)
- 5) Odd philosopher → left chopstick → right → even → right → left

mutex = 1

reader-writer:

```

    void Reader()
    {
        sync::b/w RR
        sync::b/w w/w R,R,w
        Reader()
        {
            readCount++;
            if (readCount == 1)
                wait(mutex);
            signal(mutex);
        }
    }

    void Writer()
    {
        sync::b/w wxt
        sync::b/w C
        writer(wxt)
        {
            writeCount++;
            if (writeCount == 1)
                wait(mutex);
            signal(mutex);
        }
    }

```

\*> Classical Sync. problems -

1) Producer Consumer

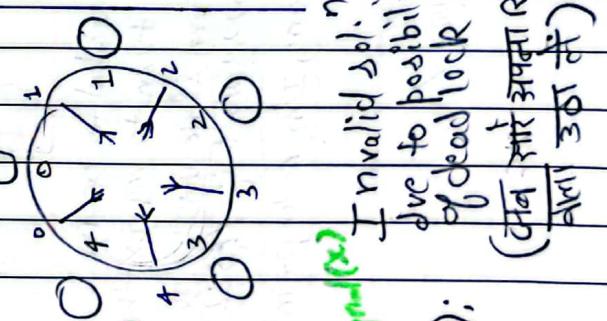
$S = 1 \quad E = n \quad F = 0$

```

    producer()
    {
        while(T)
        {
            // Produce an item
            wait(E);
            wait(S);
            signal(S);
            signal(F);
            count++;
        }
    }

    consumer()
    {
        while(T)
        {
            // Pick item from buffer
            signal(S);
            signal(E);
            signal(F);
            count--;
            consume item
        }
    }

```



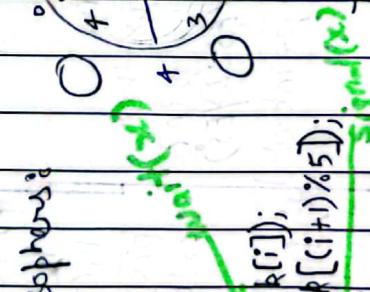
2) Dining Philosophers:

```

    philosopher()
    {
        while(T)
        {
            Thinking();
            wait(chopstick[i]);
            wait(chopstick[(i+1)%5]);
            Eat();
            Signal(chopstick[i]);
            Signal(chopstick[(i+1)%5]);
        }
    }

```

3



In valid soln due to possibility of deadlock (जब लाई अपना Right arm 30°)

\*> H/w interrupt

Request & Setlock

Done while interrupt

signal(mutex)

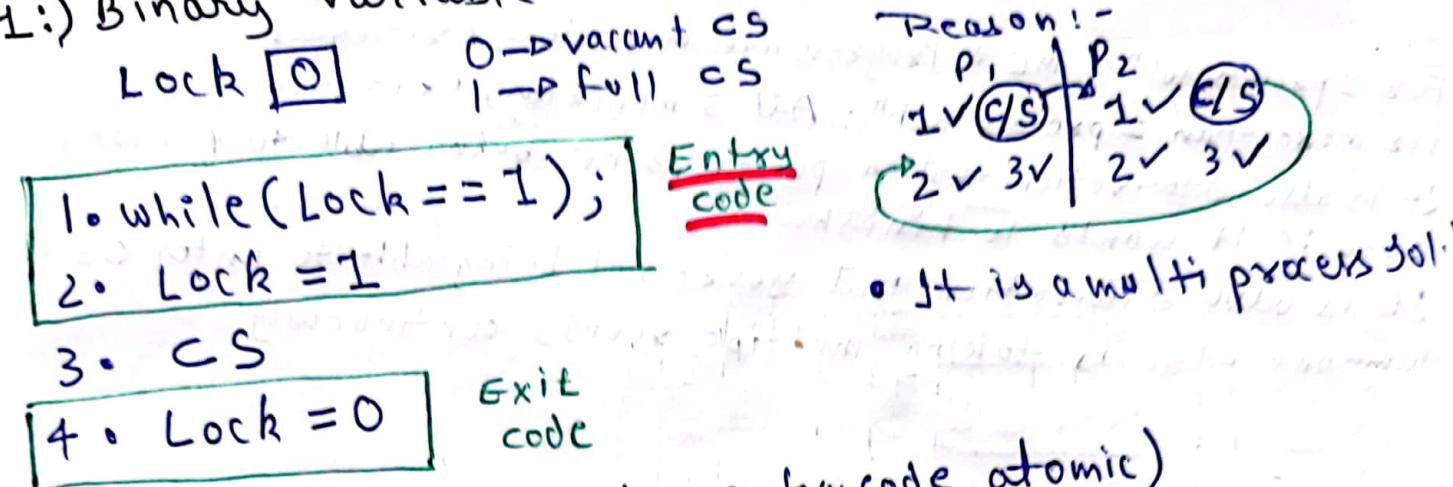
signal(mutex)

3

## H/W Solution:-

- Test & set lock
- Disable interrupt

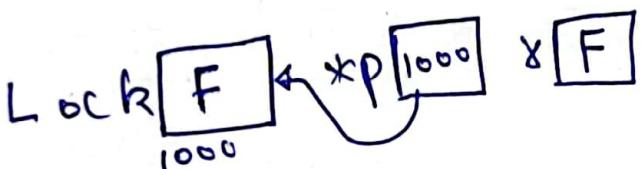
M1:) Binary variable Lock



M2:) Test & Set lock (makes entry code atomic)

Code [while ( test\_and\_set (& lock)); bool test-and-set (bool \*P)

exit CS  
code [ Lock = F



```
{  
    bool x = *P;  
    *P = T  
    xet(x)  
}
```

ME ✓ Bounded wait X  
Progress ✓ Deadlock free ✓  
Starvation may be there

Note: • All semaphore operations are atomic, implemented in Kernel.

• Interrupt priority:-

CPU > HDD > memory > keyboard

Note!

- For 2 process soln: ME & Progress are mandatory criterias.
- for more than 2 process soln: All 3 mandatory criterias
- It is also starvation that a process is not being able to finish, even if it wants to finish.
- It is also starvation that you're not being able to enter CS & someone else is taking multiple rounds continuously.

## \*). Deadlock:

\*) Necessary cond's:  
 1) Mutual exclusion = resource is one, but desired by more than 1 process,  
 but allocated to 1 process at time.

2) Hold & wait = process holding one resource & requesting for another resource held by another process.

3) Non-preemption = resource can't be preempted

4) Circular wait = processes waiting for resource in circular manner



## \*) Handling methods:

1) Prevention : Design protocols to guarantee no possibility of deadlock  
 2) Avoidance : Avoid deadlock in runtime

3) Detection : Detecting when deadlock has occurred

4) Ignorance : Pretend deadlock never occurs

## I) Prevention:

a) Mutual Exclusion: No solution (bc. property)

b) Hold & wait: Sol.) Acquire all resources before requesting

Sol.) (जिसके लिए करना, additional नहीं तो करना चाहिए वेकै रेटकरने से जारी रखते हैं, परन्तु उसका अपर्याप्त समय से बड़ा वाइटाइम टाइम लगता है।)

5) Ignorance : Wait timeout

## II) Avoidance (Bank Rob's Algo.):

### Max need

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	4	3	1	1
F	3	1	4	1
G	1	4	1	1
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Allocation

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	0	1	0
F	3	3	0	0
G	0	2	3	3
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Current need

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	3	0	0
F	3	0	1	2
G	0	0	3	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Available

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	4	6	3	0
F	6	0	3	0
G	3	0	0	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### System Max

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	4	6	3	0
F	6	0	3	0
G	3	0	0	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Allocation

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	3	0	0
F	3	0	1	2
G	0	0	3	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Current need

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	3	0	0
F	3	0	1	2
G	0	0	3	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Available

	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	3	0	0
F	3	0	1	2
G	0	0	3	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### System Max

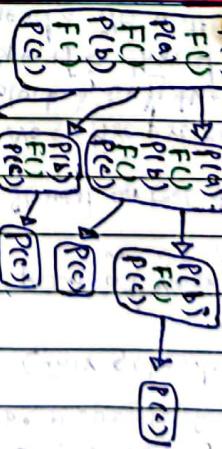
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>
E	1	3	0	0
F	3	0	1	2
G	0	0	3	0
	P <sub>0</sub>	P <sub>1</sub>	P <sub>2</sub>	P <sub>3</sub>

### Note:

No. of processes = 3  
 No. of resources = 4  
 Maximum demand of a process = K  
 To avoid deadlock, Kmax = ?

→ 2<sup>n</sup>-1 child processes

→ 2<sup>n</sup> Total processes



# Fork:  
 o/p: Sabccbccabcc  
 Ex: (P0) → (P1)  
 → (P1a)  
 → (P1b)  
 → (P2)  
 → (P2a)  
 → (P2b)

7

## \*> Process / Threads / User mode / Kernel mode:

### o) System call:

- Trying to call a function which is present in OS.
- C/S needed
- If something is controlled by OS, we can ask it by system call.

### o) Fork:

- A system call used to handle many requests at same time.
- C/S needed.

### o) Thread:

- One process in which many tasks are there.

→ Data (Static + global) common

Heap  
code

→ Stack → it's own

Register Set  
PC

→ C/S not needed

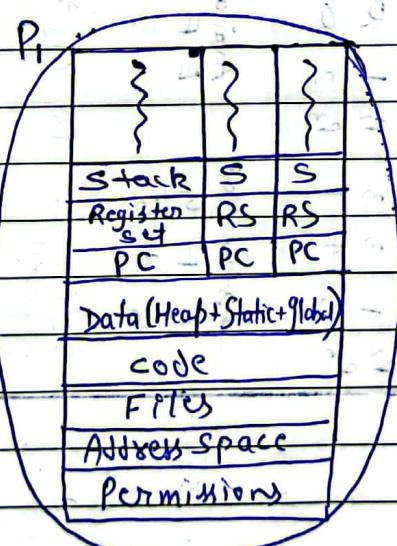
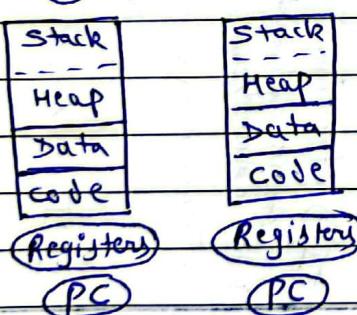
### o) Process vs Thread (VLT)

→ System calls involved → No system call

→ C/S ✓ → C/S ✓ (Register set switch ✓)

→ Different copies of code & data → Same copies of code & data

→ `(P1) fork()` `(P2)`



• VLT vs KLT

→ Generated by app packages/libraries w/o taking any support from OS (Unfair scheduling)

→ Scheduling must be through OS (fair scheduling)

→ Thread management is done at user level → Run at kernel mode

→ Fastest context switch → Slowest context switch

→ Entire process gets blocked when a thread requires an I/O device or any system call → No entire process is blocked, only the specific thread which needs I/O will be blocked.

VLT are visible to kernel

Kernel is unaware of VLTs

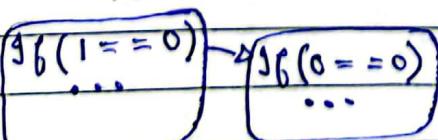
Only 1 VLT is in running state at a time

Note:- About `fork()` :-

• It returns 0 to the child process

& returns 1 to the parent process

Ex:- If (`fork() == 0`)



• If after `fork()`, no code is there, an empty child process created.

Ex:- `fork();`  
//No code

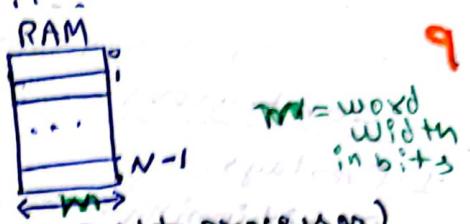
Empty => 1 child process

• Parent process can execute parallelly with child processes or maybe of two execution of child process

~~UFT & KLT free~~

• Child process can be duplicate of parent or maybe loaded with other program.

## Q#) Memory Management:



### \* Addressing:

Ex:  $N = \# \text{cells}/\text{words} \text{ in MM} = 16$

$n$ -bit address =  $\lg_2 N = 4$

Ex: RAM = 500 MB; 1 word = 1 B (i.e. 8 bit processor)

$N = 500 \times 2^{30}$  cells/words

$n = 39$

RAM capacity

Ex:  $N = 128 \text{ GB}$

$m = 32 \text{ b} = 4 \text{ B}$

word: 128 B

Byte:  $128 \times 4 \text{ B}$

bit3:  $128 \times 4 \times 8 \text{ b}$

### Addressability

$n = 37$  bits

$n = 39$  bits

$n = 42$  bits

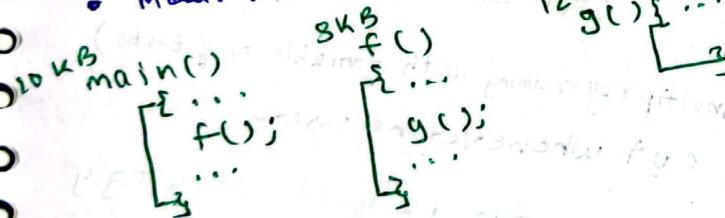
### \* Program linking & loading:-

→ Static loading:- All modules of code are loaded into MM before runtime. (30 KB)

- Mem. utiliz'n & execution speed ↑
- Multiprocessing ↓

### \* Dynamic loading:-

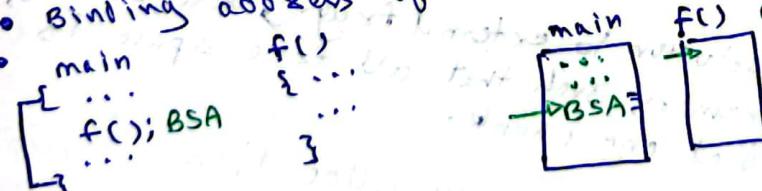
- Only load modules of code that are currently needed. (10 KB)
- Mem. utiliz'n & execution speed & memory utilization ↓



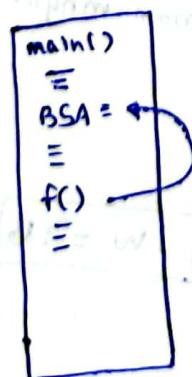
### \* Linking:-

- Binding address of called fxn in calling fxn

- main
- f()
- BSA

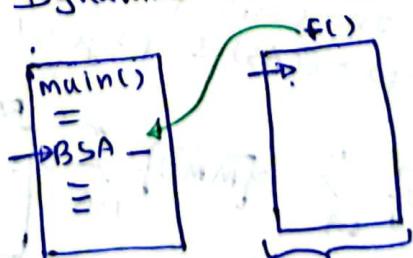


### \* Static linking



Static loading

### Dynamic linking



Before runtime

## \*> Memory management :-

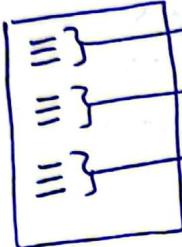
### contiguous

1. Overlays
2. Partitioning
3. Buddy System

### Non-contiguous

1. Paging
2. Segmentation
3. Segmented paging
4. Virtual mem. & demand paging

## \*> Overlays:

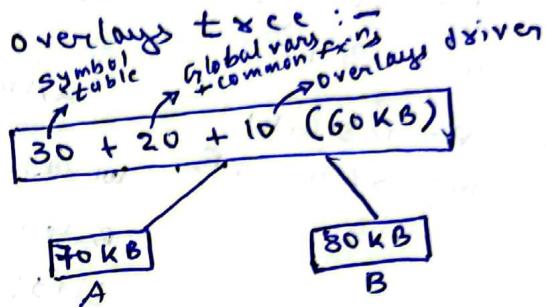


Global variables + commonly used functions

Independent

Module A  
(70KB)

Module B  
(80KB)



$$\text{max. mem. req.} = 70\text{ KB} + 80\text{ KB} = 150\text{ KB}$$

$$\text{min. mem. req.} = 70\text{ KB} + 70\text{ KB} = 140\text{ KB}$$

## \*> Partitioning:

### a) Fixed partitioning (MFT: multiprogramming with fixed no. of tasks)

# of partitions & size of each partitions is fixed.

max. no. of processes (at any time) = # partitions

Protection: via limit registers

internal frag. ✓

External frag. ✓

Best fit is good strategy

### b) variable partitioning (MVT: multiprogramming with variable no. of tasks)

One large chunk available, cut whenever necessary

internal frag. ✓

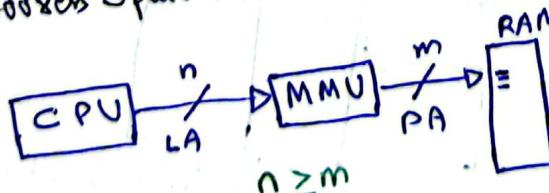
external frag. ✓

Worst fit is good strategy

### c) Compaction: One of the solution to external frag. → here we shuffle the user memory such that all free space is aggregated at one chunk.

## \*> Basics for non-contiguous memory allocation:

### • Logical Address Space (LAS) & Physical Address Space (PAS) :-



MMU = mem. mgmt unit

$$\text{If } LAS = 2^{20} \text{ word/mb} \Rightarrow LA = 20 \text{ bit}$$

$$\text{If } PAS = 2^{16} \text{ word/mb} \Rightarrow PA = 16 \text{ bit}$$

$$1W = 1B$$

\* Paging : MM divided into pages of equal size

Ex: LAS = 8 KB  $\Rightarrow LA = 13$  bits  
PAS = 4 KB  $\Rightarrow PA = 12$  bits

$$\text{Page Size} = PS = 1 \text{ KB } \boxed{11}$$

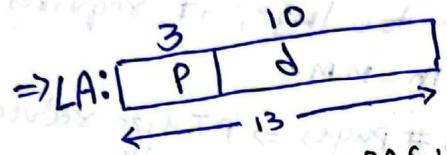
i) organization of LAS: Division into pages

$$\# \text{pages} = N = 2^P ; P = \text{bits for page no.}$$

$$N = \frac{LAS}{PS} = \frac{8 \text{ KB}}{1 \text{ KB}}$$

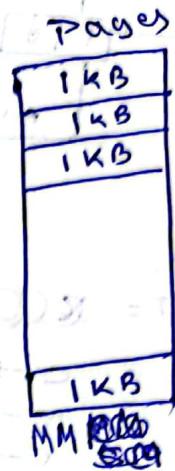
$$= 8 \quad (\text{i.e. } 0 \text{ to } 7)$$

$$\Rightarrow P = 3$$



P = page no.

J = page offset



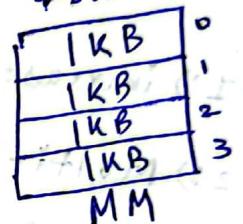
frames

ii) organization of PAS: Division into frames

frame size = Page size

FS = 1 KB

$$\# \text{Frames} = M = \frac{4 \text{ KB}}{1 \text{ KB}} = 4 \quad (\text{i.e. } 0 \text{ to } 3)$$



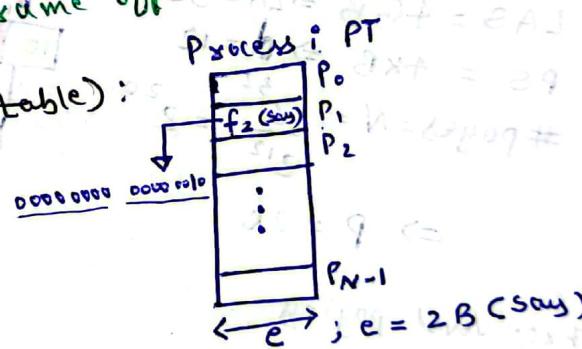
f = frame no.

J = frame offset

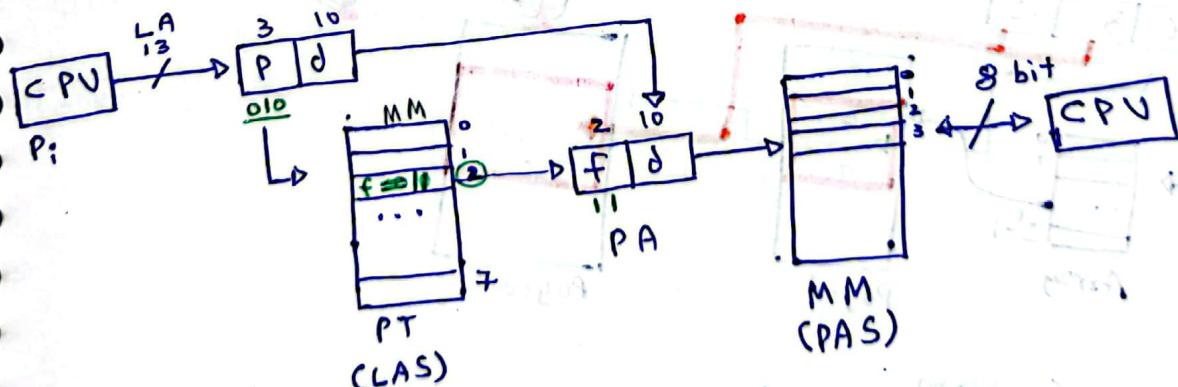
iii) organization of MMU (Page Table):

• Every process has a PT

$$\begin{aligned} \cdot \text{PT size} &= N \times e \\ &= 2^P \times f \text{ bits} \end{aligned}$$

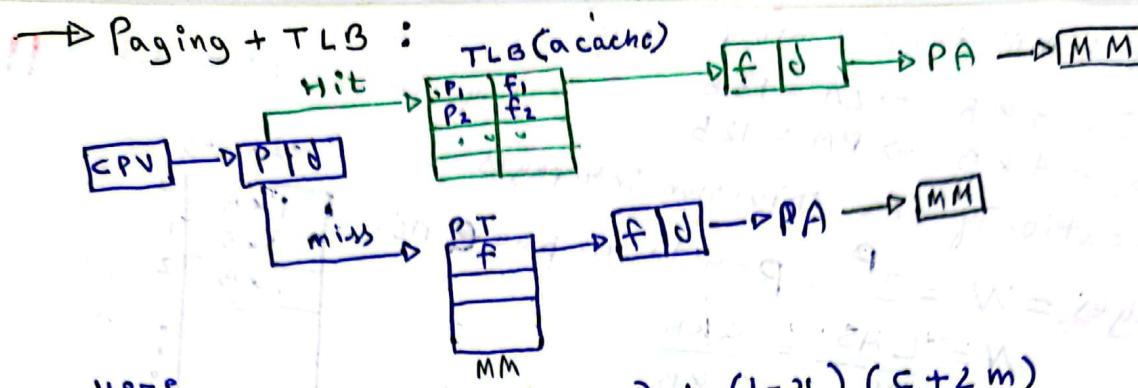


iv) Translation:



$$EMAT = 2^m ; m = \text{mem. access time}$$

To reduce this EMAT we use Paging + TLB



$$EMAT = x(C+m) + (1-x)(C+2m)$$

$C \rightarrow$  Time to search in TLB (cache access time)  
 $m \rightarrow$  Time to search in MM (MM access time)  
 $x \rightarrow$  TLB hit ratio (0 to 1)

→ Problem in Simple paging: If PT size too large, it requires huge space in MM.

Sol-1: increase page size  $\Rightarrow$  Reduction in # pages  $\Rightarrow$  PT size reduce

Sol-2: Multi-level paging:

→ Multi-level Paging:

Ex: Two level paging

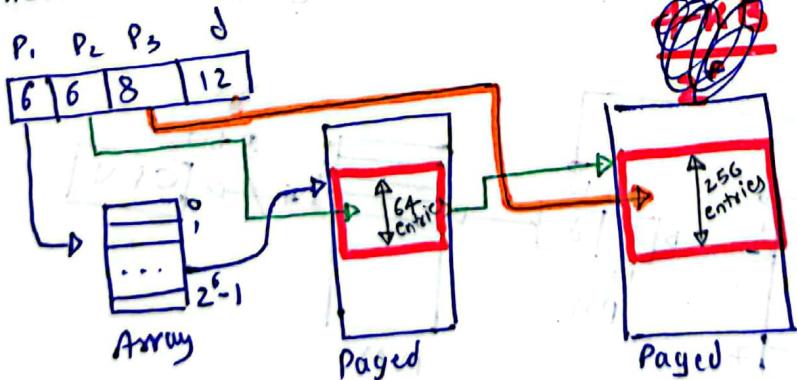
$$LAS = 4GB \Rightarrow LA = 32$$

$$PS = 4KB \Rightarrow d = 12$$

$$\# \text{pages} = N = \frac{32}{4} = 2^0$$

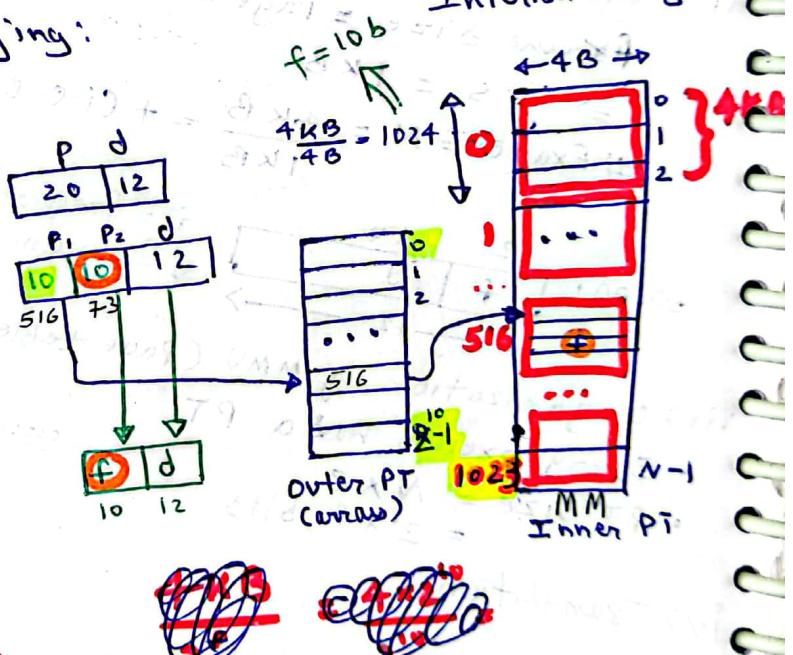
$$\Rightarrow P = 2^0$$

Ex: Three level paging

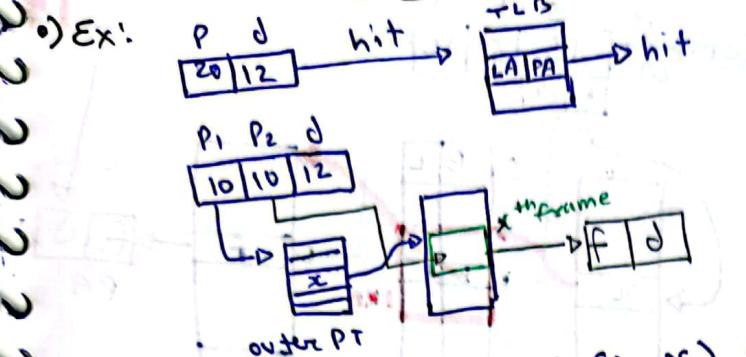


$$\rightarrow EMAT = (n+1) \times m$$

(n-level paging)



→ Multilevel paging with TLB



$$\text{EMAT} = \gamma C(C+m) + (1-\gamma C)(C + [n+m]m)$$

(n-level paging + TLB)

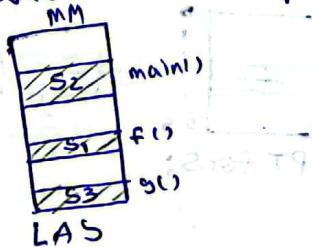
\*) Segmentation:- User's view (i.e., programmer's view) is not preserved with paging.

So, segmentation came.

MM divided into unequal sized segments

Organization of LAS:-

→ LAS is divided into unequal sized segments.

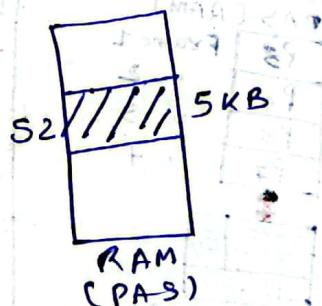


Organization of PAS:-

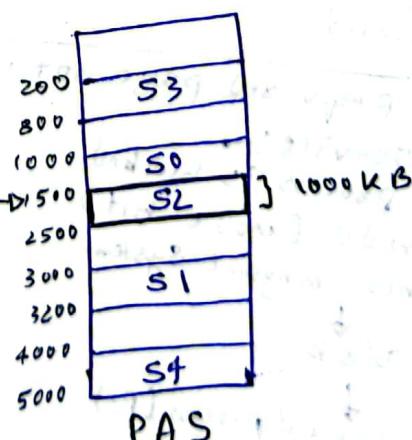
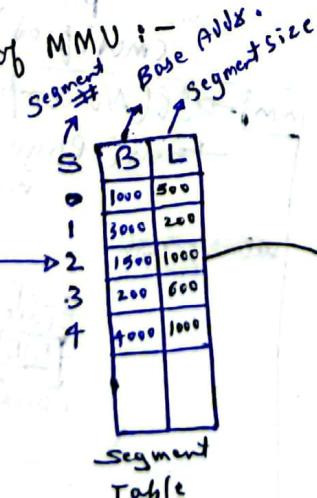
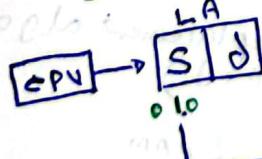
→ Variable sized partitioning is applied on RAM.

→ Segment is loaded in a fashion of contiguous memory allocation.

→ NO frames

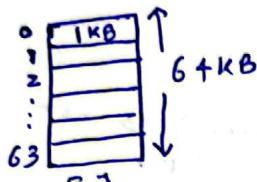


Organization of MMU:-

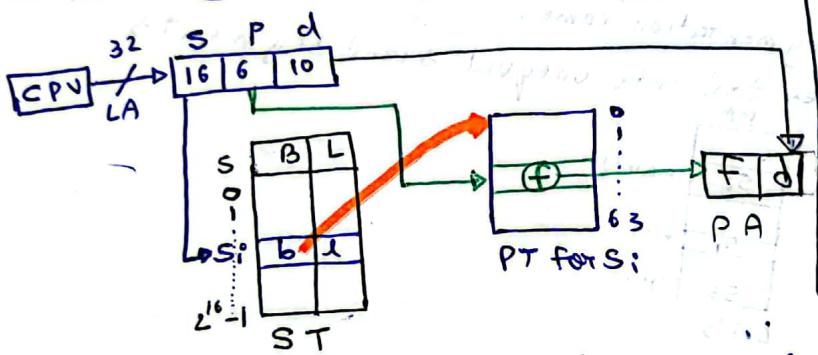


→ Contiguous memory allocation

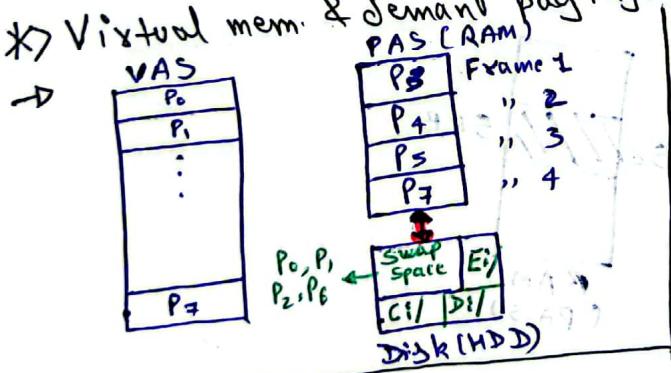
- Segmented paging:
  - Paging is done on segments
  - Every segment has a PT
  - Ex:-
    - PageSize (PS) = 1 kB
    - Segment SI = 64 kB
    - #pages in segment SI =  $\frac{64\text{ kB}}{1\text{ kB}} = 64$



→ MMU organization:-



→ Virtual mem. & demand paging:-



F: Frame no.  
V/I: valid / Invalid

→ Page fault! Required page not in MM  $\rightarrow$  GB (No empty frame in MM)

then (Page replacement algo needed)

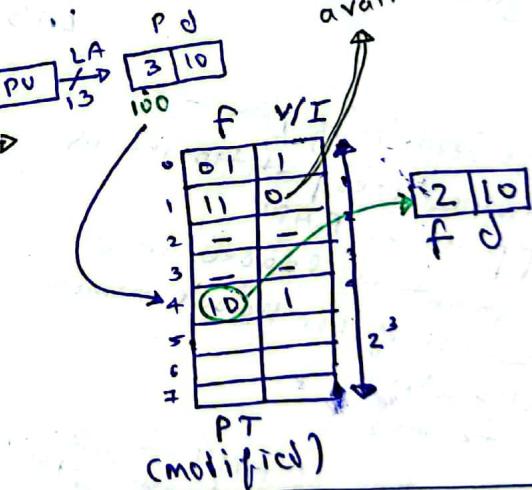
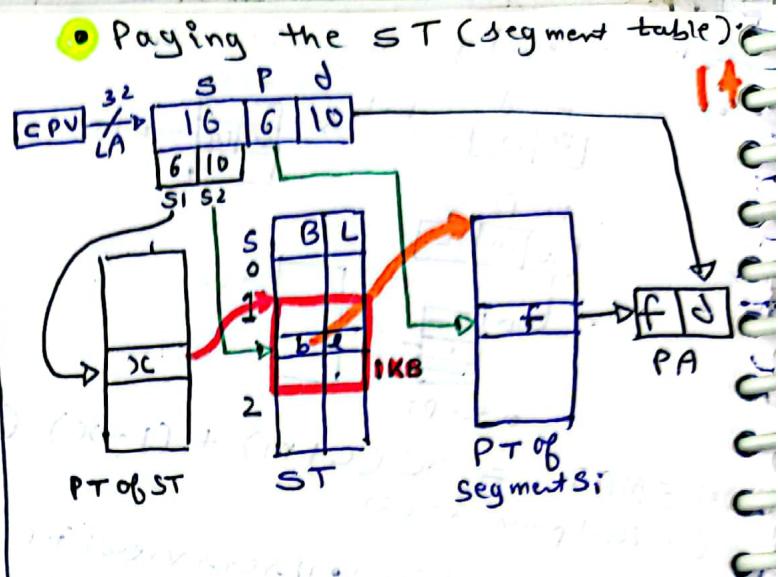
→ Page fault service:-  

- Current process is blocked
- Kernel mode (move-bit)
- Virtual mem. mgmt system

Disk

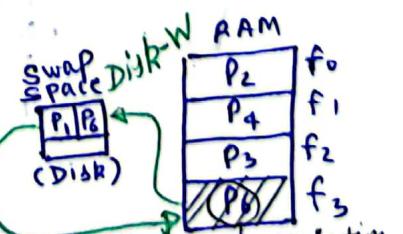
Disk gives required process (P<sub>i</sub>) to RAM

→ If (Empty frame available in MM)  
 then (just move process from swap space to RAM)



→ Page fault! Required page not in MM  $\rightarrow$  GB (No empty frame in MM)

then (Page replacement algo needed)



(say P<sub>1</sub>, we want to load)

dirty page  $\Rightarrow$  Disk-R, Disk-W  
 clean page  $\Rightarrow$  Disk-R, but avoid Disk-W  
 cuz you can load pg again from Loader

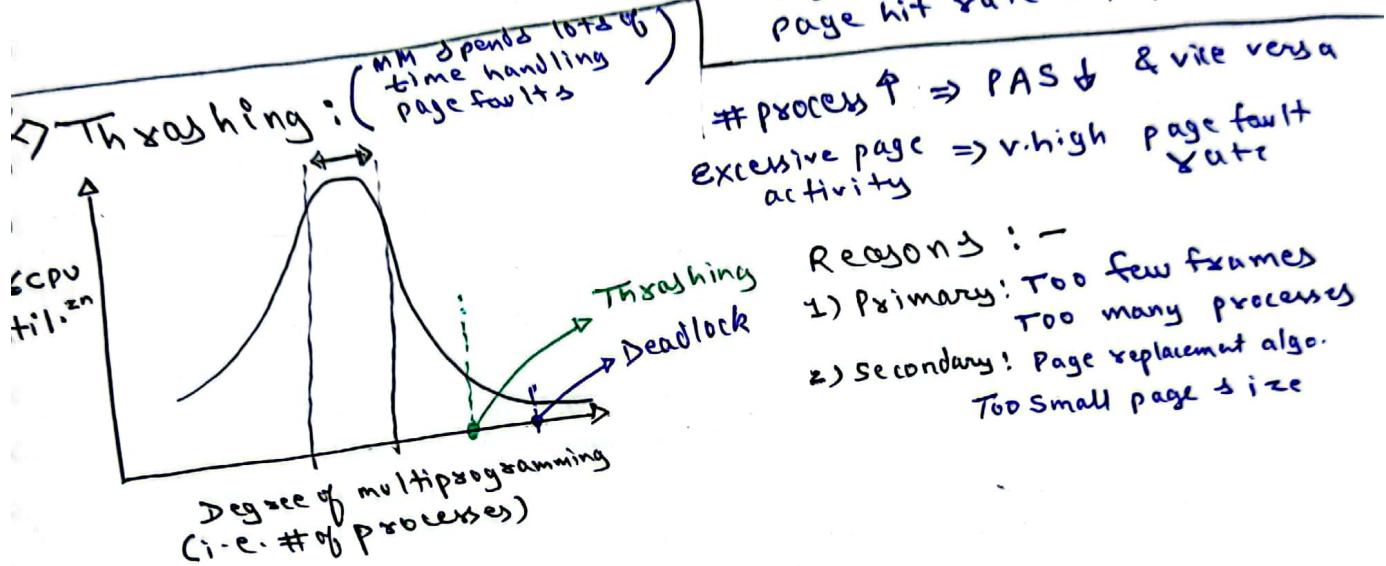
→ Pure demand paging:  
(Default) No one in MM, initially. → Pre-fetch demand paging:  
some pages are pre-loaded initially into PAS, before start of programs. 15

→ In virtual mem. + demand paging: - start of programs.

$$EMAT_{DP} = pS + (1-p)(2m); \quad MM \text{ access time} = m$$

$$\text{Page fault service time} = PFST = S$$

$$\begin{aligned} \text{Page fault rate} &= p \\ \text{Page hit rate} &= 1-p \end{aligned}$$



### Note:

$$\text{Paging } EMAT = 2m; m = \text{main mem. access time}$$

$$\text{Paging+TLB } EMAT = h(c+m) + (1-h)(C+2m)$$

$$\text{Multi-level Paging } EMAT = (n+1) \times m; n = \text{no. of levels}$$

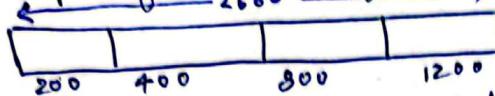
$$\text{Multi-level Paging+TLB} = h(c+m) + (1-h)(C + [n+1] \times m)$$

$$\text{Demand Paging } EMAT = p \cdot PFST + (1-p)(2m); p = \text{page fault rate}$$

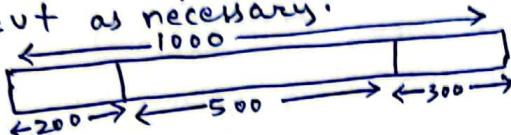
## \* Managing main memory -

### 1) Contiguous:-

a) Fixed partition: No. & size of partitions is predefined. Sizes of partitions may differ.



b) Variable partition: Large block available, cut as necessary.



### 2) Space allocation:

- First fit = First which is capable enough
- Best fit = Smallest " "
- Worst fit = Largest " "
- Next fit = After satisfying a request, in best fit, we start satisfying next request from current position.

### 3) Solutions to external fragmentation:

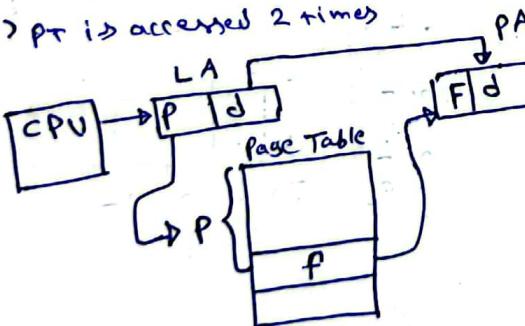
- Compaction = Shuffle memory contents so as to place all free memory together in one large block.
- Non-contiguous Allocation (Paging)

## 2) Non-contiguous :-( Paging)

Secondary M divided into pages  
MM " " Frames

Frame size = Page size

PT is accessed 2 times



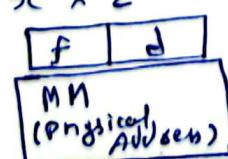
P = Page no.  
d = instr. offset (Line no. inside page)

f = frame no.

Page size =  $2^j$ ; no. of pages (of process) =  $2^P = X$

Page table size =  $\frac{\text{no. of entries}}{\text{in Page table}} \times \frac{\text{Size of each entry}}{\text{size of each page}}$

Process size =  $\text{no. of pages} \times \text{size of each page}$   
=  $X \times 2^j$



Memory size = No. of locations  $\times$  Size of each location

No. of locations =  $\frac{\text{mem. size}}{\text{Size of each location}}$

Ex: Byte addressable  
SM LA MM PA P F d Page size  
32GB 35 128MB 27 25 17 10 1KB

16

→ TLB

LA

P | d

P | P

P = 20

TLB = 64 entries  $\rightarrow$  4-way set-associative

Set = 64

= 16

= 2<sup>4</sup>

= 4 bits for set

TLB Tag bits

= 20 - 4

= 16

Note: 1) TLB + 2 MM

+ (1-Hit) (TLB + 2 MM)

→ Multilevel paging (helps to reduce size of PT)

P<sub>1</sub> | P<sub>2</sub> | d

Page No.

Page offset

P<sub>1</sub> → index into outer page table

P<sub>2</sub> → displacement within page of outer page table.

LA

P<sub>1</sub> | P<sub>2</sub> | d

PTE

outer page table

PTE = Page Table Entry

P<sub>1</sub> → { PTE }

P<sub>2</sub> → { PTE }

F | d

Page of PT

PA

EMAT = hit (TLB+MM)

+ (1-hit) (TLB+3MM)

→ Performance of demand paging:

$$EMAT = (1-p) \times Ma + p \times \text{page fault service time}$$

p → Page fault rate / probability / hit ratio  
ma → memory access time

### 3) Page replacement -

1) FIFO (Belady) (Page faults may increase as no. of frames increase)

2) Optimal = Replace page not used for longest period of time in future.

### 3) LRU

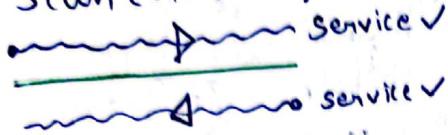
4) LFU: Replace page with smallest count MFU!

→ Thrashing: Process spending more time on paging than executing b/c of high degree of multi-programming.

## # Disk Scheduling:

- 1) FCFS
- 2) SSTF

### 3) Scan (elevator).

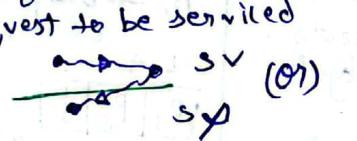


### 4) C-Scan



5) Look : inspite of going to the end, goes only to the last request to be serviced in front & then reverses the direction from there only.

6) C-Look : inspite of going to the end goes only to last request to be serviced on both sides



~~Note: 1) Threads, they :- Share: code, data, files, Address space, Permissio...  
Have own: Registers, Stack, Program Counter~~

## Note:

Primary mem. Space < Secondary mem. Space

See. mem. Space

17

Note: About page replacement:

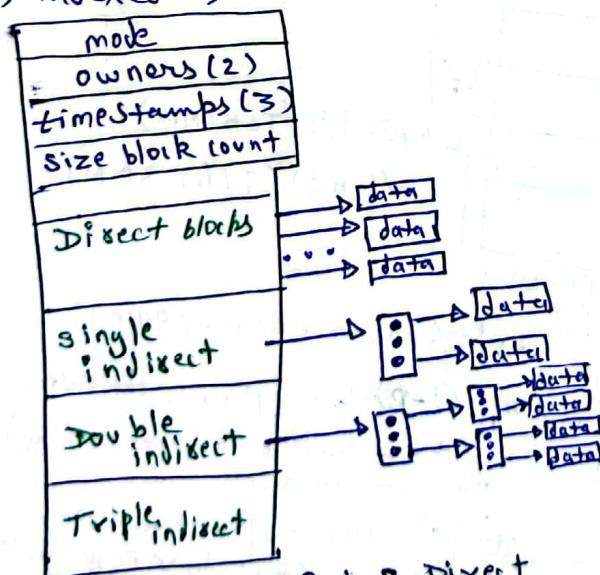
- compulsory page fault if count  $\neq 0$
- (To find no. of page faults)
- Belady anomaly: increasing #pageframes sometimes results in an increase in page faults.

## ~~Operating System (Contd.)~~ Page Allocation

### # File allocation methods:-

- 1) contiguous
- 2) Linked
- 3) indexed

→ No external frag.



Ex: Block: Size = 128B  
Address = 64b  
= 8B

$$\begin{aligned} 8 \text{ Direct} &= 8 \times 128 = 1 \text{ KB} \\ 1 \text{ Single "} &= 1 \times 16 \times 128 = 2 \text{ KB} \\ 1 \text{ Double "} &= 1 \times 16 \times 16 \times 128 = 32 \text{ KB} \\ \Rightarrow \text{File Size} &= 35 \text{ KB} \end{aligned}$$

$$\begin{aligned} \text{Address per block} &= \frac{128B}{8B} \\ &= 16 \end{aligned}$$

# Disk:

• First, revise it from **<COA>** P. NO - 2 & 3

•  $TT$  (Transfer Time) = Time to read from the sector to MM

•  $TTT = \text{Disk I/O time}$

• Q: 16 platters

(2 surface each)

2K Tracks per surface

512 Sectors per track

2KB Data per sector

$ST = 30\text{ ms}$

RPM = 3600

Find a) Unformatted capacity

b) I/O time for reading a sector

c) Effective data transfer rate (in Bytes/s)

• Q: Load a program of 64KB where page size = 2KB

$ST = 30\text{ ms}$

$1\text{x} = 20\text{ ms}$

32KB  $\rightarrow$  Track size

$TTT = ?$

$$\# \text{pages} = \frac{64\text{ KB}}{2\text{ KB}} = 32$$

for each page:-

$RL = 10\text{ ms}$

$$TTI = 1\text{x} \rightarrow 32\text{ KB} \rightarrow 20\text{ ms}$$

$$2\text{ KB} \rightarrow 1.25\text{ ms}$$

$$TTT = 30 + 10 + 1.25 = 41.25\text{ ms}$$

for 32 pages:-

$$TTT = 32 \times 41.25\text{ ms}$$

• Q: # Surfaces = 6

inner diameter = 4 cm ] For each surface  
outer diameter = 12 cm ]

inner track space = 0.1mm

# Sectors per track = 20

1 sector = 4KB

$ST = 20\text{ ms}$

RPM = 3600

Find a) capacity of disk

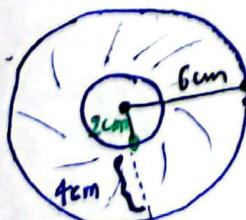
b) 1 sector I/O time

$$\# \text{tracks} = \frac{\text{Disk width}}{\text{Track width}}$$

$$= \frac{4\text{ cm}}{0.1\text{ mm}}$$

$$= 400$$

$$\text{a)} 6 \times 400 \times 20 \times 4\text{ KB}$$



$$\text{a)} 16 \times 2 \times 2\text{ K} \times 512 \times 2\text{ KB} = 64\text{ GB}$$

$$\text{b)} TTT = ST + RL + TTI$$

$$\begin{aligned} RL: & - \\ 3600\text{ rev} & \rightarrow 60\text{ s} \\ 1\text{x} & \rightarrow \frac{1}{60}\text{ s} \end{aligned} \quad \begin{aligned} TTI: & - \\ 1\text{x} & \rightarrow 2 \text{ track data read} \\ \frac{1}{60}\text{ s} & \rightarrow 512 \times 2\text{ KB} \\ \frac{1}{60 \times 512} & \leftarrow 2\text{ KB} \\ RL = \frac{1}{120}\text{ s} & \\ = 8.3\text{ ms} & = 0.0325\text{ ms} \end{aligned}$$

$$TTT = 30 + 8.3 + 0.0325$$

$$\text{c)} 1\text{x} \rightarrow 512 \times 2\text{ KB} \rightarrow \frac{1}{60}\text{ s}$$

$$512 \times 2\text{ KB} \times 60 \leftarrow 1\text{s}$$

$$= 60\text{ MB} \leftarrow$$

$$\Rightarrow 60\text{ MB/s}$$

Note:-



Note: Constant Linear Velocity (CLV)  
Constant angular velocity (CAV)

CLV

CAV

From cylinder to cylinder  
Density of bits = Dec.

Density of bits = Uniform

# Sectors per track = increases

Used in modern CD/DVD

Let, 1 Track = 10 MB

# Sectors = Same for all tracks

Capacity : =  $10 + 20 + 30 + \dots$

=  $10 + 10 + 10 + \dots$

$$\text{b)} TTT = ST + RL + TTI$$

$$\begin{aligned} RL: & - \\ 3600\text{ rev} & \rightarrow 60\text{ s} \\ 1\text{x} & \rightarrow \frac{1}{60}\text{ s} \\ RL = \frac{1}{120}\text{ s} & \\ = 8.3\text{ ms} & \end{aligned} \quad \begin{aligned} TTI: & - \\ 1\text{x} & \rightarrow 1 \text{ track I/O} \\ \frac{1}{60}\text{ s} & \rightarrow 20 \times 4\text{ KB} \\ \frac{1}{60 \times 20} & \leftarrow 4\text{ KB} \\ = 0.83\text{ ms} & \end{aligned}$$

Note: Working set algorithm  
(Dynamically implemented page replacement policy)

Seq.: 1 2 3 4 2 4 3 4 2 1 5 1 2 4 2 2

A =  $\max^m$  window size allowed  $A = 4$  (say)

W = my current window size

NOTE:  $W = \{1\}$   $W = \{1, 2, 3, 4\}$   $W = \{1, 2, 4, 5\}$

$W = \{1, 2\}$   $W = \{1, 2, 4\}$   $W = \{1, 2, 5\}$

$W = \{1, 2, 3\}$   $W = \{1, 2, 4\}$   $W = \{1, 2, 5\}$

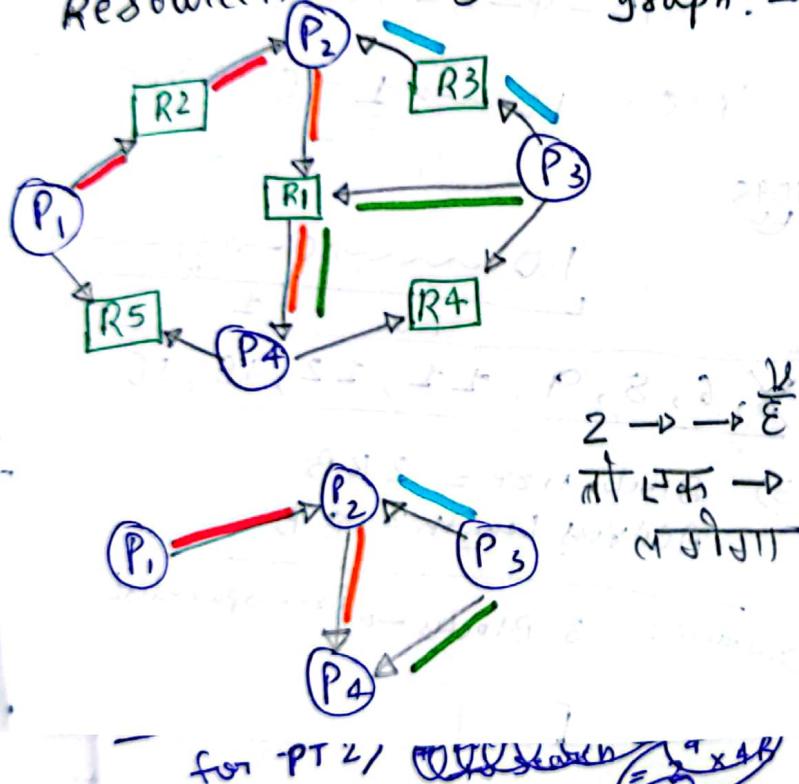
$W = \{1, 2, 3\}$   $W = \{1, 2, 4\}$   $W = \{1, 2, 4, 5\}$

$W = \{1, 2, 3\}$   $W = \{1, 2, 4\}$   $W = \{1, 2, 4\}$

$\min^m$  window size = 3

$\max^m$ , " = 4

Resource Allocation graph to wait-for graph:-



$$\begin{aligned} \text{Total size} &= 2^{P_3} \times 4B \\ &= 2^9 \times 4B \\ &= 2^{11} B \end{aligned}$$

$$\begin{aligned} \Rightarrow \text{Locations} &= \frac{2^{36}}{2^{11}} \\ &= 2^{25} \\ \Rightarrow y &= 2^5 \end{aligned}$$

$$\text{for PT 1)} \quad \text{Total size} = 2^{P_2} \times 4B$$

$$= 2^9 \times 4B$$

$$= 2^{11} B$$

$$\Rightarrow \text{Locations} = \frac{2^{36}}{2^{11}}$$

$$= 2^{25}$$

$$\Rightarrow Z = 2^5$$