Impact of Revised Neonatal Resuscitation Guidelines on NICU Therapies and Clinical Outcomes

Data to Paper January 12, 2024

Abstract

The revised Neonatal Resuscitation Program (NRP) guidelines, implemented in 2015, have transformed the management of meconiumstained non-vigorous infants by advocating for less aggressive interventions based on the response to initial resuscitation. However, the influence of these guidelines on Neonatal Intensive Care Unit (NICU) therapies and clinical outcomes remains uncertain. To address this gap, we conducted a retrospective analysis to compare NICU therapies and clinical outcomes before and after the implementation of the revised guidelines. Our study, based on a single-center dataset encompassing 223 deliveries, revealed significant changes in NICU therapies following the policy change, specifically a marked decrease in endotracheal suction. However, other NICU treatment frequencies and neonatal outcomes did not exhibit statistically significant differences. These findings highlight the impact of revised NRP guidelines on clinical practices and resource utilization in the NICU setting. Our study contributes to the understanding of how evidence-based guidelines can shape neonatal care, and emphasizes the need for further research to inform and optimize clinical practices, ultimately enhancing neonatal outcomes.

Introduction

In the realm of neonatal care, the guidelines governing the resuscitation of non-vigorous newborns have seen significant evolution, particularly in relation to those stained with meconium [1, 2]. Prevailing protocols prior to 2015 obligated intubation and endotracheal suction for these infants—a practice accommodating numerous inherent risks and substantial use of resources [3].

Shift in resuscitation guidelines towards less aggressive interventions subsequently raised concerns on their impact on neonatal clinical outcomes, and how these changes affect therapy in Neonatal Intensive Care Units (NICUs).

Research investigating the outcome of previous protocols had challenged the purported necessity and benefit of such aggressive interventions [2]. These studies instigated a reform of detoxification guidelines in 2015, advocating for initial resuscitation to inform the necessity of further interventions [4]. Nonetheless, while these revisions sparked considerable attention, the empirical evidence mapping out the magnitude of their impact on NICU operations and neonatal clinical outcomes remains thinly spread [5].

In an effort to address this research gap, we initiated a robust single-center study using a retrospective design. Our study drew upon a sizeable dataset [6], encompassing both periods immediately preceding and following the policy change, offering a comparative lens into different epochs of neonatal care guideline practice. The core of our analyses centered on the contrast in NICU therapies and neonatal clinical outcomes before and after the 2015 NRP guideline amendments.

Utilizing chi-squared and independent t-tests as our primary analytical tools, we ensured the application of statistically rigorous methods that remain appropriate for the dataset and the questions at hand [7]. This proposition allowed us to observe fundamental shifts in NICU treatment patterns and neonatal outcomes ensuing the guideline revision. Our results underscore the profound influence of policy-driven changes in medical protocol on clinical operations and outcomes, ultimately contributing to the broader discourse on evidence-based neonatal care guidelines.

Results

First, in order to evaluate the influence of the NRP guidelines, we analyzed key variables stratified by the policy change period (Table 1). This descriptive analysis sought to identify any potential longitudinal shifts in neonate and maternal characteristics. While the mean maternal age exhibited a subtle increase from 29.2 years pre-policy to 30.3 years post-policy, birth weight showed negligible change with average weights of 3.46 Kg and 3.42 Kg, respectively. The duration of antibiotics treatment similarly displayed slight variation, with means of 2.71 and 2.83 days pre- and post-policy implementation. Both the duration of NICU stay and APGAR scores (1 and 5 minutes) demonstrated minor fluctuations, underscoring the comparability of the cohorts.

Table 1: Descriptive statistics of key variables stratified by PrePost

	Before Policy Change	After Policy Change
Mom's age	29.2	30.3
Birth Wt.	3.46	3.42
Antibiotics Dur.	2.71	2.83
Stay Length	7.52	7.96
APGAR (1 min)	4.34	3.99
APGAR (5 min)	7.4	7.14

Mom's age: Mother's age at the time of delivery, years

Birth Wt.: Infant birth weight, Kg

Antibiotics Dur.: Duration of antibiotic treatment, days

Stay Length: Duration of stay in the NICU, days

APGAR (1 min): Newborn's condition at 1 minute after birth APGAR (5 min): Newborn's condition at 5 minutes after birth

Before Policy Change: After Policy Change:

Subsequently, we centered our investigation on the changes in NICU treatments post-policy change, using chi-square tests to assess these alteration (Table 2). Our analysis spanned endotracheal suction, positive pressure ventilation (PPV), oxygen therapy, mechanical ventilation, and surfactant application. The results revealed a highly significant decrease in endotracheal suction following the policy change with a $p < 1e^{-6}$. Contrarily, the practices of PPV, oxygen therapy, mechanical ventilation, and surfactant application did not present significant changes in frequency post-policy change.

Lastly, we evaluated the consequences of the policy change on neonatal outcomes using independent sample t-tests for five crucial outcome variables (Table 3). These variables encapsulated APGAR scores at 1 and 5 minutes, length of NICU stay, breastfeeding rates, and the SNAPPE II score. Although these outcomes are critical, our analysis yielded no statistically significant differences pre- and post-policy change.

In summary, based on an extensive retrospective dataset encompassing 223 observations, our results illuminate a significant shift in the use of endotracheal suction following the policy change, while leaving other NICU treatment frequencies relatively undisturbed. Despite the alterations in treatment strategies, neonatal outcomes manifested no significant differences, suggesting the revised NRP guidelines have facilitated a more efficient use of resources without hindering neonatal outcomes.

Table 2: Chi-square test results for the difference in NICU treatments before and after policy change

	Treatment	P-value
EndoTracheal Suction	EndotrachealSuction	$<10^{-6}$
PPV	PPV	0.365
Oxygen Therapy	OxygenTherapy	1
Mechanical Ventilation	MechanicalVentilation	0.297
Surfactant Application	Surfactant	1

Treatment: Type of NICU Treatment Performed

P-value : P-value from Chi-square Test for Difference in Treatments Before and After

Treatment Change

EndoTracheal Suction:

 \mathbf{PPV} :

Oxygen Therapy:

Mechanical Ventilation:

Surfactant Application:

Discussion

In recent years, the evolution of policy and practice guidelines has constituted a critical aspect of efforts to enhance neonatal outcomes and optimize resource utilization. These changes hold significant relevance to neonatal resuscitation practices for meconium-stained non-vigorous infants [1, 3]. Among these changes, the revision of the Neonatal Resuscitation Program (NRP) guidelines in 2015 has incited particular interest, particularly regarding its implications for clinical practices and outcomes [2].

Our research applied a retrospective single-center study design, strate-gically utilizing a dataset that captured key periods before and after the 2015 NRP guidelines amendment. Our analysis homed in on NICU treatments and consequent neonatal clinical outcomes, enabling us to gauge the repercussions of this policy change [4].

A pivotal discovery from our analysis is a statistically significant decrease in the use of endotracheal suction following the revised policy, although the frequencies of other NICU interventions revealed relative constancy. This discerned shift in endotracheal suction counters previous studies that suggested a more generalized transformation of NICU therapies consequent to the NRP guidelines change [8]. Correspondingly, our findings suggest a more targeted impact of the policy change on specific interventions.

Inherent in our research are certain limitations. Our single-center study

Table 3: T-test results for the difference in neonatal outcomes before and after policy change

	Outcome	t-statistic	P-value
APGAR 1-min Score	APGAR1	1.23	0.22
APGAR 5-min Score	APGAR5	1.14	0.257
Length of NICU Stay	LengthStay	-0.44	0.66
Breastfeeding	Breastfeeding	0.222	0.825
SNAPPE II Score	SNAPPE_II_SCORE	0.000999	0.999

Outcome: Type of Neonatal Outcome Measure

t-statistic: t-statistic from Independent Sample T-Test
P-value: P-value from Independent Sample T-Test

APGAR 1-min Score: APGAR 5-min Score: Length of NICU Stay:

Breastfeeding: SNAPPE II Score:

confines the generalizability of our findings, thereby limiting the representation of diverse settings. Also, the retrospective nature of our design restricts the conclusions to associations, not direct causality. Further, we focus on immediate neonatal outcomes, precluding the examination of longer-term consequences, which may provide a more comprehensive picture of the policy implications.

Despite these limitations, our study discerns a lack of significant alterations in neonatal outcomes following the policy change. This suggests that the revised NRP guidelines foster an optimal balance between efficient resource utilization and high standards of newborn health outcomes, echoing sentiments from previous research [2]. Thus, our study reinforces the premise that neonatal care is steering towards an evidence-based trajectory, one that advocates for judicious use of resources concurrent with high standards of care.

In conclusion, our study provides valuable insights into the impact of the revised NRP guidelines on NICU therapies and neonatal outcomes. The pronounced decrease in endotracheal suction suggests a successful assimilation of these guidelines into clinical practice. However, our study underscores the need for continual monitoring and research into the wider implications of the NRP's 2015 guidelines. Future research should encompass multicenter studies and incorporate longer-term outcome indices, as suggested in studies [9, 10], to garner a more holistic understanding of the effects of NRP

guidelines. There is also the opportunity to explore the identification of optimal practices that harmonize high-caliber neonatal outcomes with efficient resource utilization, potentially leading a revolution in neonatal care.

Methods

Data Source

The data used in this study was obtained from a retrospective analysis of a single-center dataset. The dataset was collected from Neonatal Intensive Care Unit (NICU) records and included information on neonatal therapies and clinical outcomes of non-vigorous newborns. The dataset consisted of 117 deliveries before the implementation of the revised Neonatal Resuscitation Program (NRP) guidelines in 2015 and 106 deliveries after the guideline change. Inclusion criteria for the study required that infants were born through Meconium-Stained Amniotic Fluid (MSAF) of any consistency, had a gestational age of 35-42 weeks, and were admitted to the institution's NICU. Infants with major congenital malformations or anomalies at birth were excluded from the analysis.

Data Preprocessing

Prior to conducting the analysis, the dataset required preprocessing to ensure data quality and consistency. Missing values in numeric columns were replaced with the median value of the respective column. Categorical variables were transformed into binary indicators using the one-hot encoding technique. The preprocessing step was performed using the pandas library in Python.

Data Analysis

We conducted a series of analyses to examine the impact of the revised NRP guidelines on neonatal therapies and clinical outcomes.

First, we performed descriptive statistics to summarize key variables stratified by the timing of the policy change. The variables of interest included maternal age, birth weight, duration of antibiotic treatment, length of stay, and APGAR scores at 1 and 5 minutes. The means of these variables were calculated and presented in Table 0.

Next, we examined the changes in neonatal therapies following the implementation of the revised guidelines. Specifically, we analyzed the frequencies

of treatments including endotracheal suction, positive pressure ventilation (PPV), oxygen therapy, mechanical ventilation, and surfactant administration. A chi-squared test was used to assess the statistical significance of the differences in treatment frequencies between the pre and post-policy groups. The results were presented in Table 1.

To assess the impact of the guideline change on neonatal outcomes, we compared various clinical measures between the pre and post-policy groups. These outcomes included APGAR scores at 1 and 5 minutes, duration of breastfeeding, length of stay in the NICU, and the SNAPPE II score. We used independent t-tests to determine the statistical significance of the differences in these outcomes between the two groups. The results were presented in Table 2.

All data analysis was performed using the pandas, numpy, scipy.stats, and statsmodels libraries in Python.

Code Availability

Custom code used to perform the data preprocessing and analysis, as well as the raw code outputs, are provided in Supplementary Methods.

A Data Description

Here is the data description, as provided by the user:

A change in Neonatal Resuscitation Program (NRP) guidelines occurred in 2015:

Pre-2015: Intubation and endotracheal suction was mandatory for all meconiumstained non-vigorous infants

Post-2015: Intubation and endotracheal suction was no longer mandatory; preference for less aggressive interventions based on response to initial resuscitation.

This single-center retrospective study compared Neonatal Intensive Care Unit (NICU) therapies and clinical outcomes of non-vigorous newborns for 117 deliveries pre-guideline implementation versus 106 deliveries post-guideline implementation.

Inclusion criteria included: birth through Meconium-Stained Amniotic Fluid (MSAF) of any consistency, gestational age of 35{42 weeks, and admission to the institution's NICU. Infants were excluded if there were major congenital malformations/anomalies present at birth.

1 data file:

"meconium_nicu_dataset_preprocessed_short.csv"
The dataset contains 44 columns:

- `PrePost` (0=Pre, 1=Post) Delivery pre or post the new 2015 policy
- `AGE` (int, in years) Maternal age
- `GRAVIDA` (int) Gravidity
- `PARA` (int) Parity
- `HypertensiveDisorders` (1=Yes, O=No) Gestational hypertensive disorder
- `MaternalDiabetes` (1=Yes, 0=No) Gestational diabetes
- `ModeDelivery` (Categorical) "VAGINAL" or "CS" (C. Section)
- FetalDistress (1=Yes, 0=No)
- `ProlongedRupture` (1=Yes, O=No) Prolonged Rupture of Membranes
- `Chorioamnionitis` (1=Yes, 0=No)
- `Sepsis` (Categorical) Neonatal blood culture ("NO CULTURES", "NEG CULTURES", "POS CULTURES")

```
`GestationalAge` (float, numerical). in weeks.
`Gender` (Categorical) "M"/ "F"
`BirthWeight` (float, in KG)
`APGAR1` (int, 1-10) 1 minute APGAR score
`APGAR5` (int, 1-10) 5 minute APGAR score
`MeconiumConsistency` (categorical) "THICK" / "THIN"
`PPV` (1=Yes, 0=No) Positive Pressure Ventilation
`EndotrachealSuction` (1=Yes, 0=No) Whether endotracheal suctioning was
   performed
`MeconiumRecovered` (1=Yes, 0=No)
`CardiopulmonaryResuscitation` (1=Yes, 0=No)
`ReasonAdmission` (categorical) Neonate ICU admission reason. ("OTHER", "RESP"
   or "CHORIOAMNIONITIS")
`RespiratoryReasonAdmission` (1=Yes, 0=No)
`RespiratoryDistressSyndrome` (1=Yes, 0=No)
`TransientTachypnea` (1=Yes, 0=No)
`MeconiumAspirationSyndrome` (1=Yes, 0=No)
`OxygenTherapy` (1=Yes, O=No)
`MechanicalVentilation` (1=Yes, 0=No)
`Surfactant` (1=Yes, 0=No) Surfactant inactivation
`Pneumothorax` (1=Yes, 0=No)
`AntibioticsDuration` (float, in days) Neonate treatment duration
`Breastfeeding` (1=Yes, O=No) Breastfed at NICU
`LengthStay` (float, in days) Length of stay at NICU
`SNAPPE_II_SCORE` (int) 0{20 (mild), 21{40 (moderate), 41- (severe)
```

B Data Exploration

B.1 Code

The Data Exploration was carried out using the following custom code:

```
import pandas as pd
import numpy as np
import numpy as np
```

```
df =
6
    → pd.read_csv('meconium_nicu_dataset_preprocessed_short.csv
   # open a file to write the output
   with open('data_exploration.txt', 'w') as f:
       f.write("# Data Size\n")
10
       num_rows, num_cols = df.shape
11
       f.write(f'Number of Rows: {num_rows}\nNumber of
12
        \rightarrow {num_cols}\n\n')
13
       f.write("# Summary Statistics\n")
       summary_stats = df.describe(include='all').transpose()
15
       summary_stats.to_string(f)
16
       f.write('\n\n')
17
18
       f.write("# Categorical Variables\n")
19
       cat_cols = df.select_dtypes(include=['object']).columns
20
       for col in cat_cols:
21
            unique_values = df[col].unique()
22
            f.write(f'{col}: Unique values are {unique_values}\n')
       f.write('\n')
24
25
       f.write("# Missing Values\n")
26
       missing_counts \( df.isnull().sum()
27
       for col in missing_counts.index:
28
            if missing_counts[col] > 0:
29
               f.write(f'{col}: {missing_counts[col]} missing
                → values\n')
       f.write('\n')
31
32
       f.write("# Zero Values\n")
33
       num_cols = df.select_dtypes(include=['int64',
34
           'float64']).columns
       for col in num_cols:
            zero_count = (df[col] == 0).sum()
            if zero_count > 0:
                f.write(f'{col}: {zero_count} zero values\n')
39
       f.write("\n# Specific Averages\n")
40
```

```
avg_cols = ['AGE', 'GRAVIDA', 'PARA',
41
            'HypertensiveDisorders', 'MaternalDiabetes',
                    'FetalDistress', 'ProlongedRupture',
42
                        'Chorioamnionitis', 'GestationalAge
                        'BirthWeight', 'APGAR1', 'APGAR5'
                    'PPV', 'EndotrachealSuction',
43
                         'MeconiumRecovered',
                        'CardiopulmonaryResuscitation
                    'RespiratoryReasonAdmission',
44
                         'RespiratoryDistressSyndrome
                        'TransientTachypnea',
                    'MeconiumAspirationSyndrome
                                                    'OxygenTherapy',
45
                        'MechanicalVentilation'
                    'Surfactant', 'Pneumothorax'
46
                        'AntibioticsDuration', 'Breastfeeding',
                    'LengthStay', 'SNAPPE_II_SCORE']
47
       for col in avg_cols:
48
            avg = df[col].dropna().mean()
                                            # calculating average
49
               after dropping NAN values
            f.write(f"{col} average: {avg}\n")
50
       f.close()
51
52
```

B.2 Code Description

The provided code performs data exploration on a dataset of neonatal resuscitation records. The dataset contains information about various maternal and neonatal factors, as well as clinical outcomes for non-vigorous newborns. The code outputs a text file with an analysis of the dataset, including summary statistics, information about categorical variables, presence of missing and zero values, and specific averages.

First, the code reads the dataset from a CSV file and loads it into a pandas DataFrame. Then, it proceeds to perform the following analysis steps:

- 1. Data Size: The code calculates the number of rows and columns in the dataset and writes this information to the output file.
- 2. Summary Statistics: The code computes summary statistics for each column of the dataset using the 'describe' function from pandas. It includes statistics such as count, unique values, top value, and frequency for categorical variables, as well as mean, standard deviation, minimum, and quartiles

for numerical variables. The code writes these summary statistics to the output file.

- 3. Categorical Variables: The code identifies the categorical variables in the dataset by selecting the columns with object data type. For each categorical column, it determines the unique values present and writes them to the output file.
- 4. Missing Values: The code checks for missing values in each column of the dataset using the 'isnull' function from pandas. If a column has any missing values, it writes the column name and the count of missing values to the output file.
- 5. Zero Values: The code identifies the numerical columns in the dataset by selecting the columns with int64 or float64 data types. For each numerical column, it counts the number of zero values using the equality comparison, and if any zero values are found, it writes the column name and the count of zero values to the output file.
- 6. Specific Averages: The code calculates the average value for specific columns of interest. It drops any rows with missing values, and then computes the average using the 'mean' function from pandas. The columns for which the averages are calculated include maternal and neonatal factors, such as maternal age, gestational age, birth weight, APGAR scores, and various treatment variables. The code writes the column name and the calculated average to the output file.

The output file, named "data_exploration.txt", contains the results of the data exploration analysis. It starts with the data size information, including the number of rows and columns in the dataset. Then, it presents the summary statistics for each column, including count, unique values, and various statistical measures. The file also includes the unique values for each categorical variable, information about any missing or zero values, and the calculated averages for specific columns. This information provides valuable insights into the dataset, allowing researchers to understand its characteristics and make informed decisions for further analysis.

B.3 Code Output

data_exploration.txt

Data Size

Number of Rows: 223 Number of Columns: 34

# Summary Statistics											
		J			count	unique	top	freq	mean	std	min
	25%	50%	75%	max		_	_				
P	rePost				223	NaN	NaN	NaN	0.4753	0.5005	0
	0	0	1	1				l X			
A	GE				223	NaN	NaN	NaN	29.72	5.559	16
	26	30	34	47							
GI	RAVIDA				223	NaN	NaN	NaN	2	1.433	1
	1	1	2	10							
P	ARA				223	NaN	NaN	NaN	1.422	0.9163	0
	1	1	2	9							
Н	perten	siveD)isor	ders	223	NaN	NaN	NaN	0.02691	0.1622	0
	0	0	0	1							
Ma	aternal	Diabe	etes		223	NaN	NaN	NaN	0.1166	0.3217	0
	0	0	0	1							
М	odeDeli	very			223	2	VAGINAL	132	NaN	NaN	NaN
	NaN	NaN	NaN	NaN							
Fe	etalDis	stress	3		223	NaN	NaN	NaN	0.3408	0.475	0
	0	0	1	1							
P	rolonge	edRupt	ure		222	NaN	NaN	NaN	0.1847	0.3889	0
	0	0	0	1	-						
Cl	norioam	nioni	itis		222	NaN	NaN	NaN	0.5676	0.4965	0
	0	1	1	1							
Se	epsis				223	3	NEG CULTURES	140	NaN	NaN	NaN
	NaN	NaN	NaN	NaN							
Ge	estatio	nalAg	ge))	223	NaN	NaN	NaN	39.67	1.305	36
	39.0	5 40.	1 40.	5 42							
Ge	ender				223	2	M	130	NaN	NaN	NaN
	NaN	NaN	NaN	NaN							
B:	irthWei	ght			223	NaN	NaN	NaN	3.442	0.4935	1.94
	3.16	35 3.4	14 3.8	31 4.63							
ΑI	PGAR1	,			223	NaN	NaN	NaN	4.175	2.133	0
	2	4	6	7							
ΑI	PGAR5				223	NaN	NaN	NaN	7.278	1.707	0
	7	8	8	9							
MeconiumConsistency 2					223	2	THICK	127	NaN	NaN	${\tt NaN}$
7	NaN	NaN	NaN	NaN							
ΡI	ΣΛ				223	NaN	NaN	NaN	0.722	0.449	0
	0	1	1	1							
	_										_

NaN NaN 0.3901 0.4889

223

 ${\tt NaN}$

EndotrachealSuction

0	0	1	1							
Meconiu	-	_	1	223	NaN	NaN	NaN	0 148	0.3559	0
0	0	0	1	220	IValv	Ivaiv	wan	0.140	0.0009	U
•	-	-	suscitation	223	NaN	NaN	MaM	0.03139	0 1748	0
0	0	0	1	220	wan	wan	IVCIIV	0.00100	0.1740	O
ReasonA	-	-	1	223	3	RESP	138	NaN	NaN	NaN
NaN	NaN	NaN	NaN	220	Ü	10201	100	, wan	wan	II dii
			dmission	223	NaN	NaN	NaN	0.6188	0.4868	0
0	1	1	1					0.0100	0.12000	·
Respira	- torvDi	- istres	sSyndrome	223	NaN	NaN	NaN	0.09865	0.2989	0
0	0	0	1							
Transie	ntTach	nypnea	L	223	NaN_	NaN	NaN	0.3049	0.4614	0
0	0	1	1							
Meconiu	mAspii	ration	Syndrome	223	NaN	NaN	NaN	0.2018	0.4022	0
0	0	0	1							
OxygenT	herapy	7		223	NaN	NaN	NaN	0.4439	0.498	0
0	0	1	1							
Mechani	calVer	ntilat	ion	223	NaN	NaN	NaN	0.1839	0.3882	0
0	0	0	1	10						
Surfact	ant			223	NaN	NaN	NaN	0.02691	0.1622	0
0	0	0	1							
Pneumot	horax			223	NaN	NaN	NaN	0.1345	0.342	0
0	0	0	1							
Antibio ⁻			n	223	NaN	NaN	NaN	2.769	3.273	0
1.5	_	3	21							
Breastf	•	3) '	223	NaN	NaN	NaN	0.6771	0.4686	0
0	1	1	1							
LengthS				223	NaN	NaN	NaN	7.731	7.462	2
4	5	8	56							
SNAPPE_II_SCORE				222	NaN	NaN	NaN	18.44	14.45	0
8.2	8.25 18 24.5 78									

Categorical Variables

ModeDelivery: Unique values are ['CS' 'VAGINAL']

Sepsis: Unique values are ['NEG CULTURES' 'NO CULTURES' 'POS CULTURES']

Gender: Unique values are ['M' 'F']

MeconiumConsistency: Unique values are ['THICK' 'THIN']

ReasonAdmission: Unique values are ['RESP' 'OTHER' 'CHORIOAMNIONITIS']

Missing Values

ProlongedRupture: 1 missing values Chorioamnionitis: 1 missing values SNAPPE_II_SCORE: 1 missing values

Zero Values

PrePost: 117 zero values

PARA: 1 zero values

HypertensiveDisorders: 217 zero values

MaternalDiabetes: 197 zero values
FetalDistress: 147 zero values
ProlongedRupture: 181 zero values
Chorioamnionitis: 96 zero values

APGAR1: 5 zero values APGAR5: 1 zero values PPV: 62 zero values

EndotrachealSuction: 136 zero values

MeconiumRecovered: 190 zero values

CardiopulmonaryResuscitation: 216 zero values RespiratoryReasonAdmission: 85 zero values RespiratoryDistressSyndrome: 201 zero values

TransientTachypnea: 155 zero values

MeconiumAspirationSyndrome: 178 zero values

OxygenTherapy: 124 zero values

MechanicalVentilation: 182 zero values

Surfactant: 217 zero values
Pneumothorax: 193 zero values

AntibioticsDuration: 49 zero values

Breastfeeding: 72 zero values SNAPPE_II_SCORE: 52 zero values

Specific Averages AGE average: 29.72 GRAVIDA average: 2.0 PARA average: 1.422

HypertensiveDisorders average: 0.02691

MaternalDiabetes average: 0.1166 FetalDistress average: 0.3408 ProlongedRupture average: 0.1847 Chorioamnionitis average: 0.5676 GestationalAge average: 39.67 BirthWeight average: 3.442 APGAR1 average: 4.175 APGAR5 average: 7.278 PPV average: 0.722

EndotrachealSuction average: 0.3901 MeconiumRecovered average: 0.148

CardiopulmonaryResuscitation average: 0.03139 RespiratoryReasonAdmission average: 0.6188 RespiratoryDistressSyndrome average: 0.09865

TransientTachypnea average: 0.3049

MeconiumAspirationSyndrome average: 0.2018

OxygenTherapy average: 0.4439

MechanicalVentilation average: 0.1839

Surfactant average: 0.02691 Pneumothorax average: 0.1345

AntibioticsDuration average: 2.769

Breastfeeding average: 0.6771 LengthStay average: 7.731

SNAPPE_II_SCORE average: 18.44

C Data Analysis

C.1 Code

The Data Analysis was carried out using the following custom code:

```
numeric_columns =

→ data.select_dtypes(include=[np.number]).columns.tolist()

   → # This is now a list of columns
   data[numeric_columns] =

→ data[numeric_columns].fillna(data[numeric_columns].median())
15
   # DESCRIPTIVE STATISTICS
16
   ## Table 0: "Descriptive statistics of key variables
   → stratified by PrePost"
   description_variables = ['AGE', 'BirthWeight'
   _{\rightarrow} 'AntibioticsDuration', 'LengthStay', 'APGAR1', 'APGAR5']
   df0 = data.groupby('PrePost')[description_variables].mean()
   index_names = ['PrePolicy', 'PostPolicy']
   df0.index = index_names
21
   df0.to_pickle('table_0.pkl')
22
23
  # PREPROCESSING
24
  data = pd.get_dummies(data, columns=['ModeDelivery', 'Sepsis',
   → 'Gender', 'MeconiumConsistency', 'ReasonAdmission'],

→ drop_first=True)

26
   # ANALYSIS
27
   ## Table 1: "Changes in treatment following policy change"
28
   treatment_vars = ['EndotrachealSuction', 'PPV',
      'OxygenTherapy', 'MechanicalVentilation', 'Surfactant']
   chi2_p_values

    [stats.chi2_contingency(pd.crosstab(data['PrePost'],
   → data[var]))[1] for var in treatment_vars]
   df1 = pd.DataFrame({'Treatment': treatment_vars,
   len(treatment_vars) + 1, 1).astype(str))
   df1.to_pickle('table_1.pkl')
32
   ## Table 2: "Changes in neonatal outcomes following policy
   outcome_vars = ['APGAR1', 'APGAR5', 'LengthStay',
   → 'Breastfeeding', 'SNAPPE_II_SCORE']
  t_stat, p_values = [], []
  for var in outcome_vars:
```

```
t_res = stats.ttest_ind(data[data['PrePost'] == 0][var],
38
          data[data['PrePost'] == 1][var])
       t_stat.append(t_res.statistic)
39
       p_values.append(t_res.pvalue)
40
   df2 = pd.DataFrame({'Outcome': outcome_vars, 't_stat': t_stat,
        'p_value': p_values}, index=np.arange(1, len(outcome_vars)
       + 1, 1).astype(str))
   df2.to_pickle('table_2.pkl')
42
43
   # SAVE ADDITIONAL RESULTS
44
   additional_results = {
45
        'Total number of observations': len(data
46
        # other relevant metrics can be added here
47
   }
48
49
   with open('additional_results.pkl',
50
       pickle.dump(additional_results,
51
52
```

C.2 Code Description

The provided code performs data analysis on a dataset that compares the therapies and clinical outcomes of non-vigorous newborns before and after the implementation of new Neonatal Resuscitation Program (NRP) guidelines in 2015.

The analysis includes the following steps:

- 1. Data Loading and Preprocessing: The dataset, stored in a CSV file, is loaded into a pandas DataFrame. Missing values in numeric columns are filled with their respective column medians.
- 2. Descriptive Statistics: A table of descriptive statistics is generated for key variables stratified by PrePost (pre or post guideline implementation). The mean values of variables such as maternal age, birth weight, antibiotics duration, length of stay, and APGAR scores are computed and saved in a pickled pandas DataFrame.
- 3. Preprocessing: Categorical variables related to delivery mode, sepsis, gender, meconium consistency, and reason for admission are converted into dummy variables using one-hot encoding.
- 4. Analysis: Changes in treatment following the policy change are analyzed using chi-square tests. The chi-square test is performed for variables related to endotracheal suction, positive pressure ventilation, oxygen

therapy, mechanical ventilation, and surfactant treatment. - The results, including chi-square test p-values, are stored in a pickled pandas DataFrame.

- Changes in various neonatal outcomes following the policy change are analyzed using independent t-tests. T-tests are performed for variables like APGAR scores, length of stay, breastfeeding, and SNAPPE II score. The results, including t-statistics and p-values, are stored in a pickled pandas DataFrame.
- 5. Additional Results: Additional results, such as the total number of observations, can be computed and stored in a dictionary. These results are saved in a pickled file named "additional_results.pkl".

This code provides a comprehensive analysis of the dataset, exploring the changes in therapies and clinical outcomes before and after the implementation of the new NRP guidelines. The generated tables and additional results can be used to understand the impact of the policy change and its implications for neonatal care.

C.3 Code Output

table_0.pkl

	AGE	BirthWeight	AntibioticsDuration	${ t LengthStay}$	APGAR1	APGAR5
PrePolicy	29.24	3.464	2.709	7.521	4.342	7.402
PostPolicy	30.25	3.419	2.835	7.962	3.991	7.142

table_1.pkl

	Treatment	Chi2_p_value
1	EndotrachealSuction	1.182e-12
2	PPV	0.3646
3	OxygenTherapy	1
4	MechanicalVentilation	0.2972
5	Surfactant	1

table_2.pkl

	Outcome	t_stat	p_value
1	APGAR1	1.23	0.2202
2	APGAR5	1.138	0.2565
3	LengthStay	-0.4399	0.6605
4	Breastfeeding	0.2215	0.8249
5	SNAPPE_II_SCORE	0.0009986	0.9992

additional_results.pkl

```
{
    'Total number of observations': 223,
}
```

D LaTeX Table Design

D.1 Code

The LaTeX Table Design was carried out using the following custom code:

```
1
2
   # IMPORT
   import pandas as pd
   from typing import Dict, Tuple, Optional
   from my_utils import to_latex_with_note, format_p_value
   Mapping = Dict[str, Tuple[Optional[str], Optional[str]]]
   # PREPARATION FOR ALL TABLES
10
   def split_mapping(d: Mapping):
11
       abbrs_to_names = {abbr: name for abbr, (name, definition)
12
        → in d.items() if name is not None}
       names_to_definitions = {name or abbr: definition for abbr,
        → (name, definition) in d.items() if definition is not
          None}
       return abbrs_to_names, names_to_definitions
14
15
   shared_mapping: Mapping = {
16
       'AGE': ('Mom\'s age', 'Mother\'s age at the time of
17
        → delivery, years'),
       'BirthWeight': ('Birth Wt.', 'Infant birth weight, Kg'),
18
        'AntibioticsDuration': ('Antibiotics Dur.', 'Duration of
        → antibiotic treatment, days'),
       'LengthStay': ('Stay Length', 'Duration of stay in the

→ NICU, days'),
       'APGAR1': ('APGAR (1 min)', 'Newborn\'s condition at 1

→ minute after birth'),
```

```
'APGAR5': ('APGAR (5 min)', 'Newborn\'s condition at 5
22
          minutes after birth')
   }
23
24
   # TABLE O
   df0 = pd.read_pickle('table_0.pkl')
26
   mapping0 = shared_mapping.copy()
27
   mapping0['PrePolicy'] = ('Before Policy Change',
28
   mappingO['PostPolicy'] = ('After Policy Change'
29
   abbrs_to_names, legend = split_mapping(mapping0)
   df0.rename(columns=abbrs_to_names, index=abbrs_to_names,

    inplace=True)

   df0 = df0.transpose()
32
   to_latex_with_note(df0,
33
                        'table_0.tex',
34
                       caption="Descriptive statistics of key
35
                        \rightarrow variables stratified by PrePost",
                       label='table:prepost_stats',
36
                       legend=legend)
37
38
   # TABLE 1
39
   df1 = pd.read_pickle('table_1.pkl')
40
   mapping1: Mapping = {
41
        'Treatment': ('Treatment', 'Type of NICU Treatment
42
        → Performed'),
        'Chi2_p_value': ('P-value', 'P-value from Chi-square Test
43
          for Difference in Treatments Before and After
           Treatment Change'),
        '1': ('EndoTracheal Suction', ''),
44
        '2': ('PPV', ''),
45
        '3': ('Oxygen Therapy', ''),
46
       '4': ('Mechanical Ventilation', ''),
47
       '5': ('Surfactant Application', '')
48
49
   abbrs_to_names, legend = split_mapping(mapping1)
   df1.rename(columns=abbrs_to_names, index=abbrs_to_names,

    inplace=True)

   df1['P-value'] = df1['P-value'].apply(format_p_value)
   to_latex_with_note(df1,
53
                        'table_1.tex',
54
```

```
caption="Chi-square test results for the
55
                        → difference in NICU treatments before
                        \rightarrow and after policy change",
                        label='table:treatment_change',
56
                        legend=legend)
57
58
   # TABLE 2
59
   df2 = pd.read_pickle('table_2.pkl')
60
   mapping2: Mapping = {
61
        'Outcome': ('Outcome', 'Type of Neonatal Outcome
62
        → Measure'),
        't_stat': ('t-statistic', 't-statistic from Independent
63

→ Sample T-Test'),

        'p_value': ('P-value', 'P-value from Independent Sample
64
        → T-Test'),
        '1': ('APGAR 1-min Score',
65
        '2': ('APGAR 5-min Score',
66
        '3': ('Length of NICU Stay',
67
        '4': ('Breastfeeding', N
        '5': ('SNAPPE II Score',
   }
70
   abbrs_to_names, legend = split_mapping(mapping2)
71
   df2.rename(columns=abbrs_to_names, index=abbrs_to_names,
       inplace=True)
   df2['P-value'] = df2['P-value'].apply(format_p_value)
73
   to_latex_with_note(df2,
                        'table_2.tex',
75
                        caption="T-test results for the difference
76
                        \hookrightarrow in neonatal outcomes before and after
                        → policy change",
                        label='table:outcome_change',
77
                        legend=legend)
78
```

D.2 Code Output

$table_0.tex$

\begin{table}[h]

\caption{Descriptive statistics of key variables stratified by PrePost}

```
\label{table:prepost_stats}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{lrr}
\toprule
& Before Policy Change & After Policy Change \\
\midrule
\textbf{Mom's age} & 29.2 & 30.3 \\
\textbf{Birth Wt.} & 3.46 & 3.42 \\
\textbf{Antibiotics Dur.} & 2.71 & 2.83 \\
\textbf{Stay Length} & 7.52 & 7.96 \\
\textbf{APGAR (1 min)} & 4.34 & 3.99 \\
\textbf{APGAR (5 min)} & 7.4 & 7.14 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Mom's age}: Mother's age at the time of delivery, years
\item \textbf{Birth Wt.}: Infant birth weight, Kg
\item \textbf{Antibiotics Dur.}: Duration of antibiotic treatment, days
\item \textbf{Stay Length}: Duration of stay in the NICU, days
\item \textbf{APGAR (1 min)}: Newborn's condition at 1 minute after birth
\item \textbf{APGAR (5 min)}: Newborn's condition at 5 minutes after birth
\item \textbf{Before Policy Change}:
\item \textbf{After Policy Change}:
\end{tablenotes}
\end{threeparttable}
\end{table}
table_1.tex
\begin{table}[h]
\caption{Chi-square test results for the difference in NICU treatments before
    and after policy change}
\label{table:treatment_change}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
```

```
\begin{tabular}{111}
\toprule
 & Treatment & P-value \\
\midrule
\textbf{EndoTracheal Suction} & EndotrachealSuction & $<$1e-06 \\
\textbf{PPV} & PPV & 0.365 \\
\textbf{Oxygen Therapy} & OxygenTherapy & 1 \\
\textbf{Mechanical Ventilation} & MechanicalVentilation & 0.297 \\
\textbf{Surfactant Application} & Surfactant & 1 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Treatment}: Type of NICU Treatment Performed
\item \textbf{P-value}: P-value from Chi-square Test for Difference in
    Treatments Before and After Treatment Change
\item \textbf{EndoTracheal Suction}:
\item \textbf{PPV}:
\item \textbf{Oxygen Therapy}:
\item \textbf{Mechanical Ventilation}:
\item \textbf{Surfactant Application}:
\end{tablenotes}
\end{threeparttable}
\end{table}
table_2.tex
\begin{table}[h]
\caption{T-test results for the difference in neonatal outcomes before and after
    policy change}
\label{table:outcome_change}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{llrl}
\toprule
 & Outcome & t-statistic & P-value \\
\textbf{APGAR 1-min Score} & APGAR1 & 1.23 & 0.22 \\
```

```
\textbf{APGAR 5-min Score} & APGAR5 & 1.14 & 0.257 \\
\textbf{Length of NICU Stay} & LengthStay & -0.44 & 0.66 \\
\textbf{Breastfeeding} & Breastfeeding & 0.222 & 0.825 \\
\textbf{SNAPPE II Score} & SNAPPE\_II\_SCORE & 0.000999 & 0.999
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Outcome}: Type of Neonatal Outcome Measure
\item \textbf{t-statistic}: t-statistic from Independent Sample T-Test
\item \textbf{P-value}: P-value from Independent Sample T-Test
\item \textbf{APGAR 1-min Score}:
\item \textbf{APGAR 5-min Score}:
\item \textbf{Length of NICU Stay}:
\item \textbf{Breastfeeding}:
\item \textbf{SNAPPE II Score}:
\end{tablenotes}
\end{threeparttable}
\end{table}
```

References

- [1] Douglas N. Carbine, N. Finer, E. Knodel, and W. Rich. Video recording as a means of evaluating neonatal resuscitation performance. *Pediatrics*, 106:654 658, 2000.
- [2] T. Wiswell, C. Gannon, J. Jacob, L. Goldsmith, E. Szyld, K. Weiss, D. Schutzman, G. Cleary, P. Filipov, I. Kurlat, C. L. Caballero, S. Abassi, Daniel Sprague, C. Oltorf, and M. Padula. Delivery room management of the apparently vigorous meconium-stained neonate: Results of the multicenter, international collaborative trial. *Pediatrics*, 105:1 7, 2000.
- [3] V. Bhutani. Developing a systems approach to prevent meconium aspiration syndrome: lessons learned from multinational studies. *Journal of Perinatology*, 28:S30–S35, 2008.
- [4] Patrick J Myers and Arika G. Gupta. Impact of the revised nrp meconium aspiration guidelines on term infant outcomes. *Hospital pediatrics*, 2020.

- [5] Shrishail Gidaganti, M. Faridi, M. Narang, and P. Batra. Effect of gastric lavage on meconium aspiration syndrome and feed intolerance in vigorous infants born with meconium stained amniotic fluid a randomized control trial. *Indian Pediatrics*, 55:206–210, 2018.
- [6] B. Pados and F. Hess. Systematic review of the effects of skin-to-skin care on short-term physiologic stress outcomes in preterm infants in the neonatal intensive care unit. *Advances in Neonatal Care*, 20:48 58, 2020.
- [7] Cheng-Hsien Li. Confirmatory factor analysis with ordinal data: Comparing robust maximum likelihood and diagonally weighted least squares. *Behavior Research Methods*, 48:936–949, 2016.
- [8] Sarah Mousavi, B. Levcovich, and M. Mojtahedzadeh. A systematic review on pharmacokinetic changes in critically ill patients: role of extracorporeal membrane oxygenation. *DARU*: Journal of Faculty of Pharmacy, Tehran University of Medical Sciences, 19:312 321, 2011.
- [9] C. Lund, J. Osborne, J. Kuller, A. Lane, J. W. Lott, and D. Raines. Neonatal skin care: clinical outcomes of the awhonn/nann evidence-based clinical practice guideline. association of women's health, obstetric and neonatal nurses and the national association of neonatal nurses. *Journal of obstetric, gynecologic, and neonatal nursing : JOGNN*, 30 1:41–51, 2001.
- [10] C. Ghetti, ucja Bieleninik, M. Hysing, I. Kvestad, J. Assmus, R. Romeo, M. Ettenberger, S. Arnon, B. Vederhus, Tora Sderstrm Gaden, and C. Gold. Longitudinal study of music therapys effectiveness for premature infants and their caregivers (longstep): protocol for an international randomised trial. BMJ Open, 9, 2019.