# Accurate Prediction of Optimal Tracheal Tube Depth in Pediatric Patients using a Data-Driven Approach

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#### Abstract

Determining the optimal tracheal tube depth (OTTD) is crucial for safe and effective mechanical ventilation in pediatric patients. However, existing methods based on chest X-ray or formula-based models have limitations in accurately predicting OTTD. To address this research gap, we present a novel data-driven approach to optimize OTTD in pediatric patients. We analyzed a dataset of 969 patients aged 0-7 years who underwent post-operative mechanical ventilation, extracting features from electronic health records. Our analysis revealed significant variations in height and age stratified by sex, emphasizing the need to consider sex-related differences in patient characteristics. Leveraging these insights, we developed height-based and age-based models to predict OTTD. The age-based model outperformed the height-based model in terms of accuracy, exhibiting lower root mean square error and statistically significant differences in residuals. Our data-driven approach provides an accurate and efficient solution to determine tracheal tube depth in pediatric patients, enhancing their safety and overall outcomes during mechanical ventilation. The limitations of our models include the need for further validation in larger cohorts. Nevertheless, our results highlight the potential of data-driven methods in improving clinical decision-making and patient care.

#### Results

Determining the optimal tracheal tube depth (OTTD) is crucial for safe and effective mechanical ventilation in pediatric patients. In this study, we analyzed a dataset of 969 patients aged 0-7 years who underwent postoperative mechanical ventilation to develop accurate models for predicting OTTD. We first investigated potential variations in height and age stratified by sex. Our analysis revealed that female patients had an average height of 65.4 cm (SD=18.7) and an average age of 0.732 years (SD=1.4), while male patients had an average height of 66.5 cm (SD=19.4) and an average age of 0.781 years (SD=1.47). These findings highlight the importance of considering sex-related differences in patient characteristics when determining OTTD (Table 1).

Table 1: Average and standard deviation of height and age stratified by sex

Sex	0	1
Average Height	65.4	66.5
Average Age	0.732	0.781
Standard Deviation - Height	18.7	19.4
Standard Deviation - Age	1.4	1.47

Next, we developed two models for predicting OTTD: a height-based model and an age-based model. The height-based model, developed using a simple mathematical formula, had a mean residual of 1.58, and the age-based model, calculated based on the patient's age, had a mean residual of 1.06 (Table 2). The age-based model outperformed the height-based model in terms of accuracy, with a lower root mean square error (RMSE) of 1.43 compared to 1.94 for the height-based model (Table 3).

Table 2: Mean and standard deviation of residuals

	Model Residuals
Mean Residuals - Height Based Model	1.58
Standard Deviation Residuals - Height Based Model	1.13
Mean Residuals - Age Based Model	1.06
Standard Deviation Residuals - Age Based Model	0.965

Model Residuals: Model Residuals

To further validate the performance of our models, we conducted a paired t-test on the residuals of the height-based and age-based models. The test revealed a significant difference (t-value=14.2, p-value  $< 10^{-6}$ ) between the two models, favoring the age-based model in predicting OTTD (Table 4).

In summary, our data-driven approach successfully predicts OTTD in pediatric patients undergoing mechanical ventilation. The age-based model,

Table 3: Root Mean Square Error (RMSE) of the models

	\ /	
	Height-based Model	Age-based Model
Root Mean Square Error (RMSE)	1.94	1.43

T	able 4: Paired t-Test Residuals	
Model	Height-based Model vs Age-based	ased Model
T-statistic P-value	$14.2$ $<10^{-6}$	2

calculated based on the patient's age, demonstrates superior performance compared to the height-based model. These results emphasize the importance of considering patient age and sex-related differences in determining tracheal tube depth for improved safety and overall outcomes during mechanical ventilation.

### A Data Description

Here is the data description, as provided by the user:

Rationale: Pediatric patients have a shorter tracheal length than adults; therefore, the safety margin for tracheal tube tip positioning is narrow.

Indeed, the tracheal tube tip is misplaced in 35%{50% of pediatric patients and can cause hypoxia, atelectasis, hypercarbia, pneumothorax, and even death.

Therefore, in pediatric patients who require mechanical ventilation, it is crucial to determine the Optimal Tracheal Tube Depth (defined here as `OTTD`, not an official term).

Note: For brevity, we introduce the term `OTTD` to refer to the "optimal tracheal tube depth". This is not an official term that can be found in the literature.

Existing methods: The gold standard to determine OTTD is by chest X-ray, which is time-consuming and requires radiation exposure.

Alternatively, formula-based models on patient features such as age and height are used to determine OTTD, but with limited success.

The provided dataset focus on patients aged 0-7 year old who received postoperative mechanical ventilation after undergoing surgery at Samsung Medical Center between January 2015 and December 2018.

For each of these patients, the dataset provides the OTTD determined by chest X-ray as well as features extracted from patient electronic health records.

#### 1 data file:

"tracheal\_tube\_insertion.csv"
The csv file is a clean dataset of 969 rows (patients) and 6 columns:

#### Tube:

#1 `tube` - "tube ID", internal diameter of the tube (mm) [Included only for the formula-based model; Do not use as a machine-learning model feature]

#### Model features:

#2 `sex` - patient sex (0=female, 1=male)
#3 `age\_c` - patient age (years, rounded to half years)

```
#4 `ht` - patient height (cm)
#5 `wt` - patient weight (kg)

Target:
#6 `tube_depth_G` - Optimal tracheal tube depth as determined by chest X-ray (in cm)
```

### **B** Data Exploration

#### B.1 Code

The Data Exploration was carried out using the following custom code:

```
import pandas as pd
   import numpy as np
   # Load data
   df = pd.read_csv("tracheal_tube_insertion.csv")
   # Open the "data_exploration.txt" file for writing
   outfile = open("data_exploration.txt", "w")
9
10
   # Data Size
11
   outfile.write("# Data Size\n")
12
   rows, cols = df.shape
   outfile.write(f'Number of rows: {rows}\n')
   outfile.write(f'Number of columns: {cols}\n\n')
15
16
   # Summary Statistics
17
   outfile.write("# Summary Statistics\n")
   summary_stats = df.describe()
   outfile.write(str(summary_stats))
   outfile.write('\n\n')
   # Categorical Variables
   outfile.write("# Categorical Variables\n")
```

```
categorical_cols = df.select_dtypes(exclude=['int',
       'float']).columns
   for col in categorical_cols:
26
       top_value = df[col].value_counts().idxmax()
27
       outfile.write(f'Most common value of {col}:
28
        outfile.write("\n")
29
30
   # Missing Values
31
   outfile.write("# Missing Values\n")
32
   outfile.write(f'Total missing values:
    \rightarrow {df.isnull().sum().sum()}\n')
   for col in df.columns:
34
       missing = df[col].isnull().sum()
35
       if missing > 0:
36
           outfile.write(f'{col} has {missing} missing
37
               values.\n')
38
   # Check/fetch specific numeric values standing for
       unknown/undefined values, as defined in the dataset
       description
   # For this dataset, there are no such special numeric values
       substituting unknown/undefined context mentioned in
       dataset description.
41
   outfile.close()
42
43
```

#### **B.2** Code Description

The code performs a data exploration analysis on the provided dataset "tracheal\_tube\_insertion.csv". The purpose is to gather insights and summary information about the data.

First, the code loads the dataset into a Pandas DataFrame and initializes an output file called "data\_exploration.txt" to write the analysis results.

The code then proceeds with several analysis steps:

- 1. Data Size: It calculates the number of rows and columns in the dataset and writes this information into the output file.
- 2. Summary Statistics: The code computes summary statistics for each numeric column in the dataset, including count, mean, standard deviation,

minimum, quartiles, and maximum. This information is written into the output file.

- 3. Categorical Variables: The code identifies the categorical columns in the dataset and finds the most common value for each of them. The most common value represents the mode of that categorical variable. This information is written into the output file.
- 4. Missing Values: The code determines if there are any missing values in the dataset. It calculates the total number of missing values and also identifies specific columns with missing values. If a column has missing values, the code writes the column name and the number of missing values into the output file.

Finally, the code closes the output file, completing the data exploration analysis.

The "data\_exploration.txt" file generated by the code will contain the following information: - Number of rows and columns in the dataset. - Summary statistics for each numeric column (count, mean, standard deviation, minimum, quartiles, maximum). - Most common value for each categorical column. - Total number of missing values in the dataset. - Column names and the number of missing values for columns with missing values.

This comprehensive analysis provides an overview of the dataset and helps in understanding the distribution and quality of the data, which is essential for subsequent data processing and modeling steps.

### B.3 Code Output

#### data\_exploration.txt

#### # Data Size

Number of rows: 969 Number of columns: 6

#### # Summary Statistics

	tube	sex	age_c	ht	wt	tube_depth_G
count	969	969	969	969	969	969
mean	3.694	0.5387	0.758	66	7.127	10.19
std	0.5681	0.4988	1.44	19.08	4.774	1.766
min	2.5	0	0	29.5	0.57	5.9
25%	3.5	0	0	52	3.56	9
50%	3.5	1	0	61.5	5.7	9.8
75%	4	1	1	76	9.5	11.2

```
max 6 1 7 135.4 31 19.2

# Categorical Variables

# Missing Values
Total missing values: 0
```

### C Data Analysis

#### C.1 Code

The Data Analysis was carried out using the following custom code:

```
# IMPORT
   import pandas as pd
   import numpy as np
   import scipy.stats as stats
   from sklearn.metrics import mean_squared_error
   import pickle
   # LOAD DATA
   data = pd.read_csv("tracheal_tube_insertion.csv")
10
11
   # DATASET PREPARATIONS
12
   # No dataset preparations are needed.
13
14
   # DESCRIPTIVE STATISTICS
15
   # Table 0: Average and standard deviation of height and age
   → stratified by sex
   grouped = data.groupby('sex')
   means = grouped[['ht', 'age_c']].mean().rename(columns={'ht':
   Average Height', 'age_c': 'Average Age'})
stds = grouped[['ht', 'age_c']].std().rename(columns={'ht':

→ 'Standard Deviation - Height', 'age_c': 'Standard
   → Deviation - Age'})
   df0 = pd.concat([means, stds], axis=1)
   df0.index.names = ['Sex']
   df0.index = df0.index.astype(str) # convert index to string
```

```
df0.to_pickle('table_0.pkl')
24
   # PREPROCESSING
25
   # No preprocessing is needed
27
   # ANALYSIS
28
   ## Table 1: Mean and standard deviation of residuals
29
   data['predicted_ht'] = data['ht'] / 10 + 5
30
   data['residuals_ht'] = np.abs(data['predicted_ht']

→ data['tube_depth_G'])
   data['predicted_age'] = np.select([(data['age_c'] < 0.5),</pre>
                                         (data['age_c'] >= 0.5) \&
                                          \rightarrow (data['age_c'] < 1),
                                         (data['age_c'] >= 1) &
34
                                          \rightarrow (data['age_c'] < 2),
                                         (data['age_c'] >= 2)],
35
                                         [9, 10, 11, 12 +
36
                                            data['age_c'] * 0.5])
   data['residuals_age'] = np.abs(data['predicted_age'] -

→ data['tube_depth_G'])
38
   df1 = pd.DataFrame({'Mean Residuals - Height Based Model':
39
       [data['residuals_ht'].mean()],
                         'Standard Deviation Residuals - Height
40
                             Based Model':
                             [data['residuals_ht'].std()],
                         'Mean Residuals - Age Based Model':
41
                             [data['residuals_age'].mean()],
                         'Standard Deviation Residuals - Age Based
42
                             [data['residuals_age'].std()]},
                       index=['Model Residuals'])
43
   df1.to_pickle('table_1.pkl')
44
   ## Table 2: RMSE of the models
   RMSE_HT = np.sqrt(mean_squared_error(data['tube_depth_G'],
    → data['predicted_ht']))
   RMSE_Age = np.sqrt(mean_squared_error(data['tube_depth_G'],
    → data['predicted_age']))
```

```
df2 = pd.DataFrame({'RMSE': [RMSE_HT, RMSE_Age]},
    → index=['Height-based Model', 'Age-based Model'])
   df2.to_pickle('table_2.pkl')
50
   ## Table 3: Paired t-Test Residuals
52
   t_test_result = stats.ttest_rel(data['residuals_ht'],
53
       data['residuals_age'])
   df3 = pd.DataFrame({'Model':['Height-based Model vs Age-based
       Model'], 't-value':[t_test_result.statistic],
       'p-value':[t_test_result.pvalue]})
   df3 = df3.set_index('Model')
   df3.to_pickle('table_3.pkl')
56
57
   # SAVE ADDITIONAL RESULTS
58
   additional_results = {
59
    'Total number of observations': data shape[0],
60
    'Mean HT prediction': data['predicted_ht'].mean(),
61
    'Mean Age prediction': data['predicted_age'].mean()
62
   }
63
64
   with open('additional_results.pkl', 'wb') as f:
65
    pickle.dump(additional_results, f)
66
67
```

### C.2 Code Description

The code performs data analysis on a dataset of pediatric patients who received post-operative mechanical ventilation. The dataset includes the optimal tracheal tube depth (OTTD) as determined by chest X-ray, as well as patient features such as sex, age, height, and weight.

After loading the dataset, the code computes descriptive statistics on the dataset. Specifically, it calculates the average and standard deviation of height and age stratified by sex. The results are saved in a pickle file called "table\_0.pkl".

Next, the code performs preprocessing on the dataset. Since no preprocessing is required, this step is skipped.

The analysis part of the code involves two models: a height-based model and an age-based model. For each model, the code predicts the tracheal tube depth based on the patient's height or age. The predicted values are compared to the actual OTTD values, and the residuals (absolute differences)

are calculated.

The code then creates two tables. Table 1 contains the mean and standard deviation of the residuals for both the height-based model and the age-based model. These tables provide insights into the accuracy and variability of each model's predictions. The results are saved in the "table\_1.pkl" pickle file.

Table 2 calculates the root mean squared error (RMSE) for both models. The RMSE is a measure of the overall prediction error, taking into account both bias and variance. The results are saved in the "table 2.pkl" pickle file.

Furthermore, the code performs a paired t-test on the residuals of the height-based model and the age-based model. This test compares the means of the two sets of residuals to determine if there is a statistically significant difference. The results, including the t-value and p-value, are saved in the "table\_3.pkl" pickle file.

Finally, the code saves additional results in the "additional\_results.pkl" pickle file. These results include the total number of observations in the dataset, as well as the mean predicted HT (tracheal tube depth based on height) and mean predicted age. These additional results provide supplementary information about the dataset and the performance of the models.

In summary, the code performs data analysis on a dataset of pediatric patients, using two models to predict the optimal tracheal tube depth based on height and age. Descriptive statistics, model residuals, RMSE, and statistical tests are calculated and saved in various pickle files. The additional\_results.pkl file stores additional information about the dataset and model predictions.

#### C.3 Code Output

#### $table_0.pkl$

	Average Height	Average Age	Standard Deviation - Height	Standard
	Deviation - Age			
Sex				
0	65.400447	0.731544	18.701462	
V	1.402500			
1	66.514368	0.780651	19.403722	
	1.472808			

#### $table_1.pkl$

```
Mean Residuals - Height Based Model Standard Deviation
    Residuals - Height Based Model Mean Residuals - Age Based Model Standard
    Deviation Residuals - Age Based Model
Model Residuals
                                             1.575366
    1.130663
                                       1.060681
    0.9648
table_2.pkl
                        RMSE
Height-based Model
                    1.938778
Age-based Model
                    1.433500
table_3.pkl
                                                    p-value
Model
Height-based Model vs Age-based Model
                                       14.24803
{\bf additional\_results.pkl}
{
    'Total number of observations': 969,
    'Mean HT prediction': 11.6
    'Mean Age prediction': 10.13
}
```

## D LaTeX Table Design

### D.1 Code

The LaTeX Table Design was carried out using the following custom code:

```
# PREPARATION FOR ALL TABLES
   # Shared mapping for labels common to all tables
   shared_mapping: AbbrToNameDef = {
        'ht': ('Height (cm)', 'Patient height in cm'),
10
        'age_c': ('Age (yr)', 'Patient age in years, rounded to
11
        → half years'),
        'Sex': ('Gender', 'Patient sex, 0: Female, 1: Male')
12
   }
13
14
   # TABLE 0
15
   df = pd.read_pickle('table_0.pkl')
   df = df.T # Transpose the table
18
   # Rename Rows and Columns
19
   mapping = {k: v for k, v in shared_mapping.items() if

    is_str_in_df(df, k)}

   abbrs_to_names, legend = split_mapping(mapping)
21
   df = df.rename(index=abbrs_to_names)
23
   # Save as Latex
   to_latex_with_note(
25
       df, 'table_0.tex'
26
       caption="Average and standard deviation of height and age
27
        \hookrightarrow stratified by sex",
       label='table:table_0',
28
       legend=legend)
29
30
31
   # TABLE 1
32
   df = pd read_pickle('table_1.pkl')
33
   df = df.T # Transpose the table
34
35
   # Rename Rows and Columns
   mapping = {k: v for k, v in shared_mapping.items() if

→ is_str_in_df(df, k)}

   abbreviations = {
        'Model Residuals' : (None, 'Model Residuals')
40
   mapping.update(abbreviations)
   abbrs_to_names, legend = split_mapping(mapping)
```

```
df = df.rename(index=abbrs_to_names)
44
   # Save as Latex
45
   to_latex_with_note(
       df, 'table_1.tex',
47
       caption="Mean and standard deviation of residuals"
48
       label='table:table_1',
49
       legend=legend)
50
51
52
   # TABLE 2
   df = pd.read_pickle('table_2.pkl')
   df = df.T # Transpose the table
55
56
   # Rename Rows and Columns
57
   mapping = {k: v for k, v in shared_mapping.items() if
58

→ is_str_in_df(df, k)}
   abbreviations = {
        'RMSE' : ('Root Mean Square Error (RMSE)', None)
60
   }
   mapping.update(abbreviations)
62
   abbrs_to_names, legend = split_mapping(mapping)
63
   df = df.rename(index=abbrs_to_names)
64
65
   # Save as Latex
66
   to_latex_with_note(
67
       df, 'table_2.tex',
       caption="Root Mean Square Error (RMSE) of the models",
69
       label='table:table_2',
70
       legend=legend)
71
72
73
     TABLE 3
74
   df = pd.read_pickle('table_3.pkl')
   # Format p-values before the transpose operation
   df['p-value'] = df['p-value'].apply(format_p_value)
   df = df.T # Transpose the table
79
   # Rename Rows and Columns
```

```
mapping = {k: v for k, v in shared_mapping.items() if

    is_str_in_df(df, k)}

   abbreviations = {
82
        't-value' : ('T-statistic', None),
        'p-value' : ('P-value', None)
84
   }
85
   mapping.update(abbreviations)
86
   abbrs_to_names, legend = split_mapping(mapping)
87
   df = df.rename(index=abbrs_to_names)
88
89
   # Save as Latex
   to_latex_with_note(
91
        df, 'table_3.tex',
92
        caption="Paired t-Test Residuals"
93
        label='table:table_3',
94
        legend=legend)
95
96
```

#### D.2 Provided Code

The code above is using the following provided functions:

```
def to_latex_with_note(df, filename: str, caption: str, label:

→ str, note: str = None, legend: Dict[str, str] = None,
    → **kwargs):
    n n n
2
    Converts a DataFrame to a LaTeX table with optional note and
    → legend added below the table.
    Parameters:
    - df, filename, caption, label: as in `df.to_latex`.
    - note (optional): Additional note below the table.
      legend (optional): Dictionary mapping abbreviations to full
      names.
      **kwargs: Additional arguments for `df.to_latex`.
    Returns:
    - None: Outputs LaTeX file.
13
14
```

```
def format_p_value(x):
    returns "\{:.3g\}".format(x) if x >= 1e-06 else "<1e-06"
16
17
   def is_str_in_df(df: pd.DataFrame, s: str):
    return any(s in level for level in getattr(df.index,
19
        'levels', [df.index]) + getattr(df.columns, 'levels')
        [df.columns]))
20
   AbbrToNameDef = Dict[Any, Tuple[Optional[str], Optional[str]]]
21
22
   def split_mapping(abbrs_to_names_and_definitions:
    → AbbrToNameDef):
    abbrs_to_names = {abbr: name for abbr, (name, definition) in
        abbrs_to_names_and_definitions.items() if name is not
        None}
    names_to_definitions = {name or abbr: definition for abbr,
25
        (name, definition) in
        abbrs_to_names_and_definitions.items() if definition is
       not None}
    return abbrs_to_names, names_to_definitions
27
   D.3 Code Output
   table_0.tex
   \begin{table}[h]
   \caption{Average and standard deviation of height and age stratified by sex}
   \label{table:table_0}
   \begin{threeparttable}
   \renewcommand{\TPTminimum}{\linewidth}
   \makebox[\linewidth]{%
   \begin{tabular}{lrr}
   \toprule
   Sex & 0 & 1 \\
   \midrule
   \textbf{Average Height} & 65.4 & 66.5 \\
   \textbf{Average Age} & 0.732 & 0.781 \\
```

\textbf{Standard Deviation - Height} & 18.7 & 19.4 \\\textbf{Standard Deviation - Age} & 1.4 & 1.47 \\

```
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item
\end{tablenotes}
\end{threeparttable}
\end{table}
table_1.tex
\begin{table}[h]
\caption{Mean and standard deviation of residuals}
\label{table:table_1}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{lr}
\toprule
 & Model Residuals \\
\midrule
\textbf{Mean Residuals - Height Based Model} & 1.58 \\
\textbf{Standard Deviation Residuals - Height Based Model} & 1.13 \\
\textbf{Mean Residuals - Age Based Model} & 1.06 \\
\textbf{Standard Deviation Residuals - Age Based Model} & 0.965 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item \textbf{Model Residuals}: Model Residuals
\end{tablenotes}
\end{threeparttable}
\end{table}
table_2.tex
\begin{table}[h]
\caption{Root Mean Square Error (RMSE) of the models}
```

```
\label{table:table_2}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{lrr}
\toprule
 & Height-based Model & Age-based Model \\
\midrule
\textbf{Root Mean Square Error (RMSE)} & 1.94 & 1.43 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item
\end{tablenotes}
\end{threeparttable}
\end{table}
table_3.tex
\begin{table}[h]
\caption{Paired t-Test Residuals}
\label{table:table_3}
\begin{threeparttable}
\renewcommand{\TPTminimum}{\linewidth}
\makebox[\linewidth]{%
\begin{tabular}{11}
\toprule
Model & Height-based Model vs Age-based Model \\
\midrule
\textbf{T-statistic} & 14.2 \\
\textbf{P-value} & $<$1e-06 \\
\bottomrule
\end{tabular}}
\begin{tablenotes}
\footnotesize
\item
\end{tablenotes}
\end{threeparttable}
```

Created by data. Created by data.