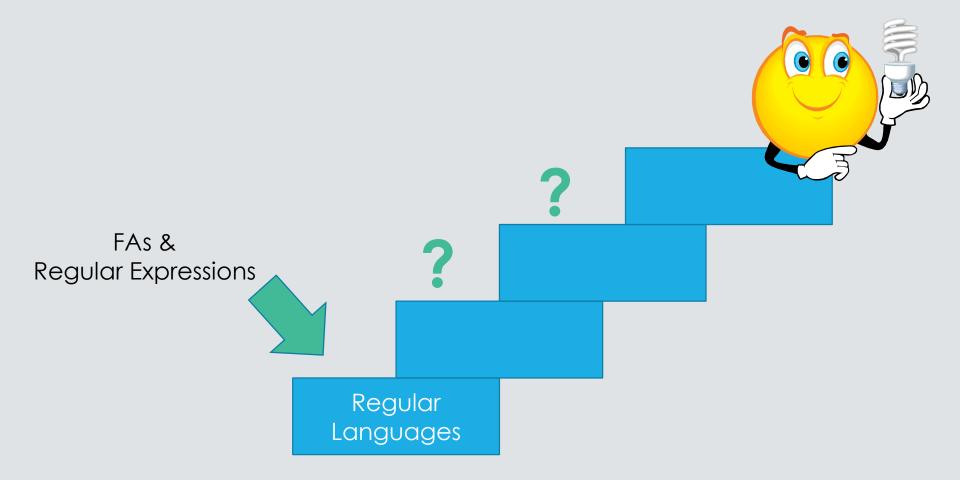


Languages



Pushdown Automata

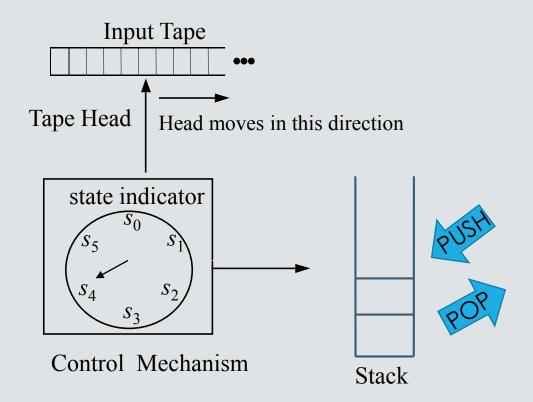
- PDA= FA + stack
 - Is an enhanced FAs with an internal memory system, i.e., a (pushdown) stack
 - Overcomes the memory limitations and increases the processing power of FAs
 - PDA is equivalent in power to CFG
 - Either can be used to recognize or generate a languages (whichever more easily describe the language)

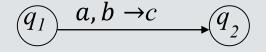
Formal definition

- A pushdown automaton (PDA) is a 6-tuple (Q, Σ , Γ , δ , q_0 , F), where
 - Q is a finite set of states
 - Σ is a finite set of input symbols, called input alphabet
 - $_{\circ}$ Γ is a finite set of stack symbols, called stack alphabet
 - $a_0 \in Q$, is the start state
 - $F \subseteq Q$, is the set of final states
 - $\delta: Q \times (\Sigma \cup \{\epsilon\}) \times (\Gamma \cup \{\epsilon\}) \rightarrow Q \times (\Gamma \cup \{\epsilon\}),$ a (partial) transition function

PDA

• Diagram





a, $\epsilon \rightarrow c$: read a, push c

a, $b \rightarrow \epsilon$: read a, pop b

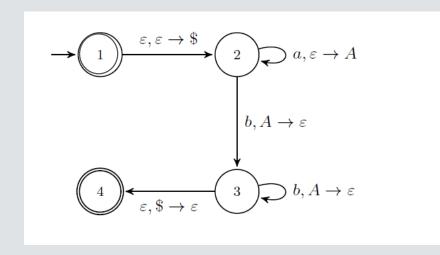
a, $\epsilon \rightarrow \epsilon$: read a, do not change the stack

 $\epsilon, \epsilon \rightarrow \epsilon$: do not read the input symbol and do not change the stack

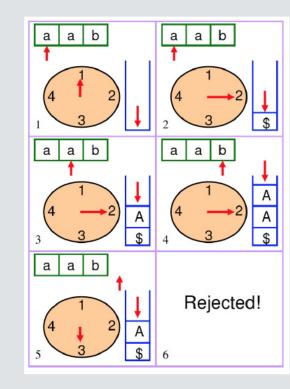
a, $b \rightarrow c$: read a, pop b, push c

PDA - Example

∘ Consider the following PDA, which recognizes language $\{a^nb^n \mid n \ge 0\}$



Is "aab" accepted by the PDA?



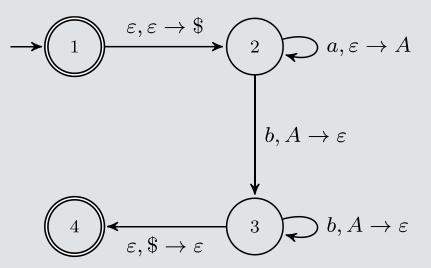
 $(1, aab, \epsilon)$ $\Rightarrow (2, aab, \$)$ $\Rightarrow (2, ab, A\$)$ $\Rightarrow (2, b, AA\$)$ $\Rightarrow (3, \epsilon, A\$)$

Use 3-tuples that describe the configuration of the PDA (current state, remaining input string, content of stack)

Traverse the graph and keep track of the stack

PDA - Example

∘ Consider the following PDA, which recognizes language $\{a^nb^n \mid n \ge 0\}$



Is "aabb" accepted by the PDA? (on whiteboard)

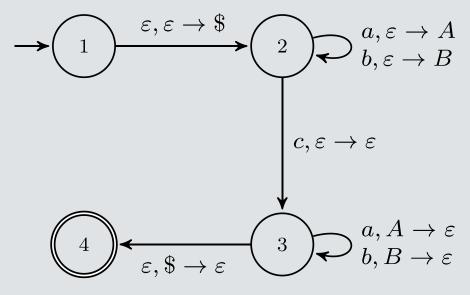
PDA - Design

- Since FA and PDA only differ on a stack, lets focus on how to use the stack
 - For counting,
 - Same number of a's and b's
 - More a's than b's
 - For making copy of a string
 - Palindromes
 - Reversing strings

PDA - Example

- Building a PDA that recognizes palindromes with a "c" in the middle
 - We use the stack to remember the first half of a palindrome
 - Once we see symbol c, we know that it is the middle of the palindrome, and then we can compare the second half with the contact of the stack
 - Starting with state 1, push \$ onto the stack to mark the bottom of the stack
 - State 2 makes a copy of all the symbols before c
 - State 3 compares the symbols after c with the stack symbols

 $L_1 = \{wcw^R \mid w \text{ is a string over } \{a, b\}\}$

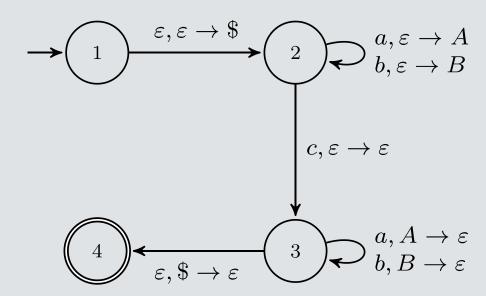


PDA - In-Class Exercise

Trace the computation of PDA1 for the following strings:

$$L_1 = \{wcw^R \mid w \text{ is a string over } \{a, b\}\}$$

- 1. abacabb
- 2. abacab
- 3. abcbaa



PDA - In-class Exercise

Design PDAs for the following languages

$$L_2 = \{a^m b^n \mid m, n \ge 0 \text{ and } m \ge n\}$$
 $L_3 = \{a^m b^n \mid m, n \ge 0 \text{ and } m < n\}$
 $L_4 = \{a^m b^{2m} \mid m \ge 0\}$
 $L_5 = \{a^m b^{m+2} \mid m \ge 0\}$

PDA

Clarifications

- An input string is accepted by a PDA, if at least one copy of the PDA stops at a final state after reading the input string. Note that it is not necessary to have an empty stack at a final state, even though usually the stack is empty when a PDA stops.
- A stack is a "last in, first out" storage device. Two operations with a stack: push and pop.
 - After the following operations: "push a", "push b", "push a", "pop a", "push b", "pop b", "pop b", what are the elements in the stack?
- There are two types of PDAs: deterministic and nondeterministic
 - They have different power (unlike FAs), and given that nondeterministic PDAs have the same power as context-free grammars, we will study only nondeterministic PDAs

Non-deterministic PDAs

- All pervious examples have deterministic PDAs
- For regular languages DFA and NFA have the same power, i.e., both of them recognize regular languages.
 - A DFA can simulate multiple copies on an NFA by having states that are power sets of an NFA.
- Not the case for PDAs
 - Non-deterministic PDA is more powerful than deterministic PDA.
 - In order of a deterministic PDA "simulate" a non-deterministic PDA it must have multiple stacks, one for each copy of a non-deterministic PDA.
 - But a deterministic PDA only has one stack only can simulate a single copy of a nondeterministic PDA.
- We will study non-deterministic PDAs since they recognize context-free languages

Non-deterministic PDA

Non-deterministic PDA that recognizes even length palindromes

$$L_2 = \{ww^R \mid w \text{ is a string over } \{a, b\}\}$$

- This time, we do not know where the middle point of the palindrome is.
 - For example, consider an input string starting with abb. When we read the third symbol, i.e., the last b, we do not know whether
 - 1. It is still in the first half of the input string like "abbaabba".
 - 2. It is the first symbol of the second half of the input sting like "abba".
- PDA can "guess" by try both possibilities by using non-determinism.

Non-deterministic PDA

Let's try an input string!

 $L_2 = \{ww^R \mid w \text{ is a string over } \{a, b\}\}$

Try input string "abba"

	$\begin{array}{c} \operatorname{copy} \ 1 \\ (1, abba, \varepsilon) \end{array}$	copy 5	copy 4	copy 3	copy 2	$\bullet \underbrace{1} \varepsilon, \varepsilon \to \$$	$ \begin{array}{c} a, \varepsilon \to A \\ b, \varepsilon \to B \end{array} $
	$\vdash (2, abba, \$)$						
•	$\vdash (2, bba, A\$)$				$\vdash (3, abba, \$)$		$\varepsilon, \varepsilon \to \varepsilon$
	$\vdash (2, ba, BA\$)$			$\vdash (3, bba, A\$)$	$\vdash (4, abba, \varepsilon)$		
	$\vdash (2, a, BBA\$)$		$\vdash (3, ba, BA\$)$	die	die		\downarrow
	$\vdash (2, \varepsilon, ABBA\$)$	$\vdash (3, a, BBA\$)$	$\vdash (3, a, A\$)$				$a, A \to \varepsilon$
	$\vdash (3, \varepsilon, ABBA\$)$	die	$\vdash (3, \varepsilon, \$)$				$b, B \to \varepsilon$
	die		$\vdash (4, \varepsilon, \varepsilon)$			$\varepsilon,\$ o \varepsilon$	
			accepts				

In-class Exercise

• Build a (non-deterministic) PDA that recognizes the following language

$$L_3 = \{a^i b^j c^k \mid i, j, k \ge 0 \text{ and } i = j \text{ or } i = k\}$$

$$L_4 = \{w \mid w \text{ is a palindrome over } \{a, b\}\}$$

A PDA recognizes both even and odd length palindromes

Describing Languages

- Finite automata & regular expressions describe regular languages
- ∘ Can't help is describing simple languages, e.g., $\{0^n1^n \mid n \ge 0\}$



Context-Free Grammars

 More powerful method of describing languages (handle descriptions of features that have a recursive structure)

Context-Free Grammar

- \circ Formal definition. A context-free grammar is a quadruple (V, Σ , R, S), where
 - V is a finite set of variables (non-terminals)
 - \circ Σ , the alphabet, is a finite set of terminal symbols
 - R is a finite set of rules from
 - \circ S ($\in V$) is the start variable
- Observations
 - \circ A production rule has the form A \rightarrow w, where A \in V and w \in (V \cup Σ)*
 - \circ A rule of the form A \rightarrow w applied to the string uAv yields uwv, and u and v define the context in which A occurs.
 - Because the context places no limitations on the applicability of a rule, such a grammar is called context-free grammar (CFG)

Context-Free Grammar

Example of a grammar:

$$A \to 0A1$$
$$A \to \varepsilon$$

- Has two rules (or substitution rules or production rules)
- A on the left hand side is called a variable
- The variable of the first rule is the start variable
- \circ All other symbols (except ε) are **terminals**, which are **0** and **1**.
- Can use one line to describe two or more rules

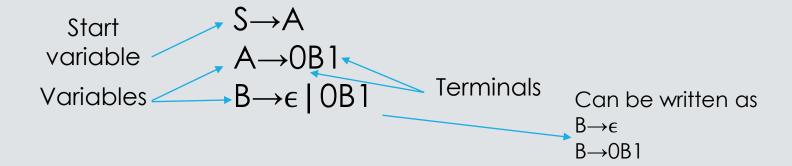
$$A \rightarrow 0A1 \mid \varepsilon$$

• The operator | is the union operator for production rules (OR)

Context-Free Grammar

• Grammar

- Collection of substitution (or production) rules consisting of: variables, terminals, and start variable
- Example: $\{0^{n}1^{n} | n > 0\}$



CFG - Derivation

- General idea
 - υ, ν, and w are strings of variables and terminals
 - \circ A \rightarrow w is a rule of the grammar



- Multiple substitutions result in a derivation
 - We say we can derive a string \mathbf{v} from a string \mathbf{v} ($\mathbf{v} \Rightarrow^* \mathbf{v}$) if for $k \ge 0$ exists $u \Rightarrow u_1 \Rightarrow u_2 \Rightarrow ... \Rightarrow u_k \Rightarrow v$
- Example
 - ∘ $OB1 \rightarrow OOB11 \rightarrow OOOB111 \rightarrow OOO\epsilon111 \rightarrow OOO1111$
 - OB1 derives 000111, OB1 ⇒* 000111

$$S \rightarrow A$$

 $A \rightarrow 0B1$
 $B \rightarrow \epsilon \mid 0B1$

CFG - Language

 The language of a grammar G is the set of terminal strings derivable from the start symbol of G

```
\circ L(G) = \{ w \in \Sigma^* \mid S \Longrightarrow^* w \}
```

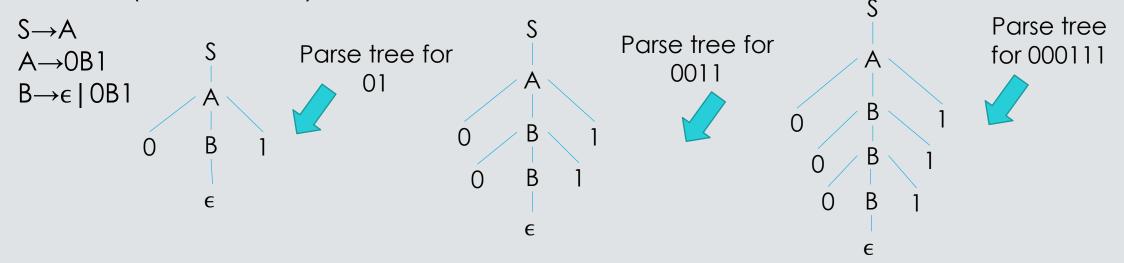
- Generating string of a language based on grammar descriptions
 - \circ Consider the language $\{0^n1^n \mid n > 0\}$ and the grammar

$$S \rightarrow A$$

 $A \rightarrow OB1$ $S \Rightarrow A \Rightarrow OB1 \Rightarrow OOB11 \Rightarrow OO\epsilon11 \Rightarrow OO11$
 $B \rightarrow \epsilon \mid OB1$

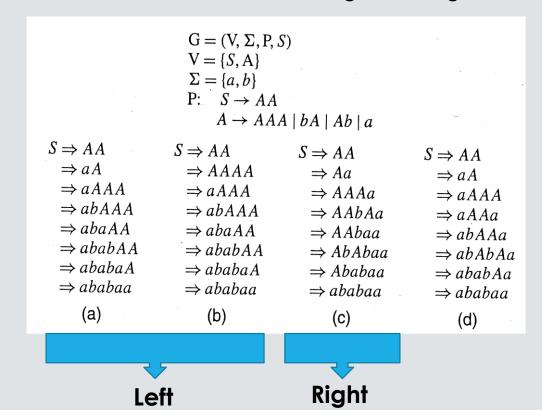
CFG - Parse Tree

- Another way to describe the sequence of substitutions
- The top node is the start variable
- Leaves (external nodes) are either terminals or ε
- Branches (internal nodes) are variables



CFG – Left-Most Derivations

- Left- (Right-)most derivations:
 - Derivation that transforms the 1st variable occurring in a string from left-to-right (right-to-left)



CFG - Ambiguity

- The possibility of a string having several derivations introduces the notion of ambiguity
- If a grammar generates the same string from several derivations, the string is derived ambiguously
- If a grammar generates some string ambiguously, then the grammar is ambiguous
- A grammar is unambiguous if, at each derivation step, there is only one rule that can lead to a derivation of the desired string.
- There are some context-free languages that cannot be generated by any unambiguous grammars. Such languages are called inherently ambiguous

Designing Context-Free Grammars

- From a definition of a language
 - Consider if it is a union of simple CFGs and merge simpler CFGs
 - Try to decompose the language into the concatenation of several short ones
 - Consider how valid strings in the language are linked. E.g. for $\{0^n1^n \mid n \ge 1\}$, you'll need a rule that accounts for the fact that every time a "0" is seen, a "1" is required: $S_1 \to 0S_21$
 - Decompose the language into the concatenation of several short ones, so that we can find a recursive structure.
 - Try to look for patterns in the language, to create rules

Example 1

$$L_1 = \{a^n b^n \mid n \ge 0\}$$

- 1. $S \rightarrow aSb$
- 2. $S \to \varepsilon$

In-class Exercises

Design CFG for the following languages:

$$L_{e1} = \{b^{2m}a^m \mid m \ge 0\}$$

Example 2

$$L_2 = \{a^m b^n \mid m > n \ge 0\}$$

Concatenation of smaller languages: $L_2 = L_{21} L_{22}$ where

- $L_{21} = \{a^i \mid i > 0\}$
- $L_{22} = L_1$ -- the same number of a's and b's

- 1. $S \to S_{21}S_{22}$
- 2. $S_{21} \rightarrow aS_{21} \mid a$ (the grammar for L_{21})
- 3. $S_{22} \rightarrow aS_{22}b \mid \varepsilon$ (the grammar for L_{22} as in **Example 1**)

In-class Exercises

Design CFG for the following language as a concatenation of two languages:

$$L_{e2} = \{b^{2m}a^{m+k} \mid m, k \ge 0, k < 3\}$$

Example 3

$$L_3 = \{a^m b^n \mid m \neq n\}$$

$$L_3 = L_{31} \mid L_{32}$$

- $L_{31} = L_2 \text{more a's than b's}$
- $L_{32} = \{ a^m b^n \mid n > m >= 0 \}$ -- more b's than a's
- $L_{32} = L_{321} L_{322}$ -- similar to L2 decomposition
 - $L_{321} = L_1$
 - $L_{322} = \{b^i \mid i > 0\}$

- 1. $S \to S_{31} \mid S_{32}$
- 2. $S_{31} \rightarrow S_{311}S_{312}$ (the 1st rule in L_2 grammar as in **Example 2**)
- 3. $S_{311} \rightarrow aS_{311} \mid a$ (the 2nd rule in L_2 grammar as in **Example 2**)
- 4. $S_{312} \rightarrow aS_{312}b \mid \varepsilon$ (the grammar for L_1 as in **Example 1**)
- 5. $S_{32} \rightarrow S_{312}S_{322}$
- 6. $S_{322} \to bS_5 \mid b$

In-class Exercises

Design CFG for the following language as a union of two languages:

$$L_{e3} = \{b^{2m}a^{m+k} \mid m, k \ge 0, k \ne 3\}$$

Example 4

$$L_4 = \{x \ over \{a, b\} | x \ is \ a \ palindrome\}$$

- A palindrome has a recursive structure
- If we remove the fist symbol and the last symbol then the remaining string is till a palindrome
- Two cases for which it holds
 - 1. The first and the last symbols are a's
 - 2. The first and the last symbols are b's
- Special cases for all palindromes with length less than 2

$$S \rightarrow aSa \mid bSb \mid a \mid b \mid \varepsilon$$

In-class Exercise

 $L_{e4} = \{x \ over \{a,b\} | x \ is \ not \ a \ palindrome\}$

CFG – Example Mini-Compiler

• This is example 2.4 from the book (Chapter 2.1, Page 105)

```
Consider grammar G_4 = (V, \Sigma, R, \langle \text{EXPR} \rangle).

V is \{\langle \text{EXPR} \rangle, \langle \text{TERM} \rangle, \langle \text{FACTOR} \rangle \} and \Sigma is \{\text{a}, +, \times, (,) \}. The rules are \langle \text{EXPR} \rangle \rightarrow \langle \text{EXPR} \rangle + \langle \text{TERM} \rangle \mid \langle \text{TERM} \rangle \langle \text{TERM} \rangle \rightarrow \langle \text{TERM} \rangle \times \langle \text{FACTOR} \rangle \mid \langle \text{FACTOR} \rangle \langle \text{FACTOR} \rangle \rightarrow (\langle \text{EXPR} \rangle) \mid \text{a}

The two strings a+axa and (a+a)xa can be generated with grammar G_4.
```

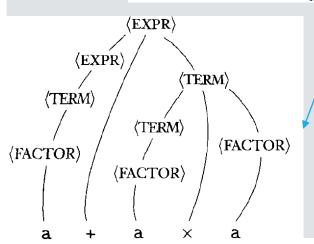
CFG – Example Mini-Compiler

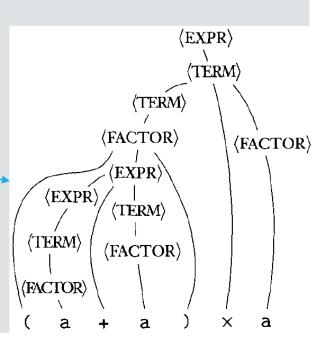
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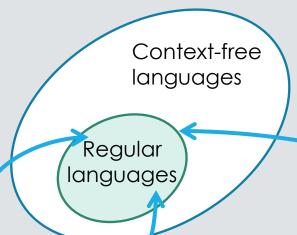
The two strings a+axa and (a+a)xa can be generated with grammar G_4 .





Regular and Context-free Languages

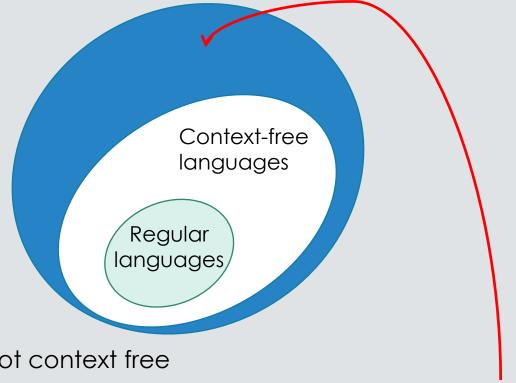
Relationship between regular and context-free languages



- How to create a grammar for a DFA?
- How to create a grammar for a RegEx?

Pumping Lemma for Regular Languages

Non-Context Free Languages



Certain languages are not context free

To prove it, we rely on the "pumping lemma for context-free languages"

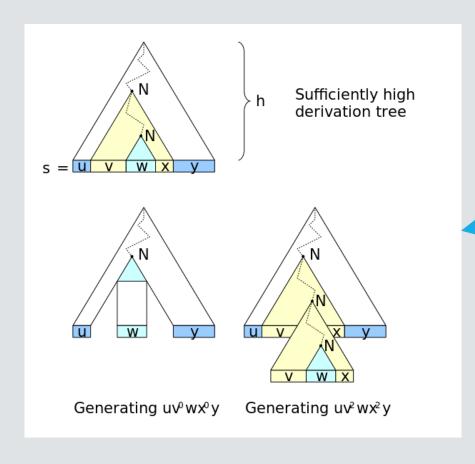
Pumping lemma for context-free languages

- Theorem 2.34: If A is a context-free language, then there is a number p (the pumping length) where, if s is any string in A of length at least p, then s may be divided into five pieces s=uvxyz satisfying the conditions
 - 1. For each $i \ge 0$, $uv^i x y^i z \in A$
 - 2. |v| + |y| > 0
 - 3. $|vxy| \le p$
 - Condition 2 guarantees that either v or y is not the empty string
 - Condition 3 states that v, x, and y have together a length of at most p

Informally

• The pumping lemma for CFL's states that for sufficiently long strings in a CFL, we can find two, short, nearby substrings that we can "pump" in tandem and the resulting string must also be in the language

Pumping lemma for context-free languages



Explore the possibilities to find a contradiction:

- v and y contain only one type of alphabet symbol
- Either v or y contains more than one type of symbol

Theorem: The language

$$L = \{a^n b^n c^n : n \ge 0\}$$

is **not** context free

Proof: Use the Pumping Lemma for context-free languages

$$L = \{a^n b^n c^n : n \ge 0\}$$

Assume for contradiction that L is context-free Let m be the critical length of the pumping lemma

Pick any string $w \in L$ with length $|w| \ge m$

We pick: $w = a^m b^m c^m$

We can write: w = uvxyz

With lengths $|vxy| \le m$ and $|vy| \ge 1$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

Pumping Lemma says:

$$uv^i x y^i z \in L$$
 for all $i \ge 0$

We examine <u>all</u> the possible locations of string vxy in w

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

Case 1:
$$vxy$$
 is in a^m

$$v = a^{k_1}$$
 $y = a^{k_2}$ $k_1 + k_2 \ge 1$

$$L = \{a^{n}b^{n}c^{n} : n \ge 0\}$$

$$w = a^{m}b^{m}c^{m}$$

$$w = uvxyz \qquad |vxy| \le m \qquad |vy| \ge 1$$

$$v = a^{k_{1}} \qquad y = a^{k_{2}} \qquad k_{1} + k_{2} \ge 1$$

$$m + k_{1} + k_{2} \qquad m \qquad m$$

$$a...aa...aa...aa...aa...a bbb...bbb ccc...ccc$$

$$u \quad v^{2} \quad x \quad y^{2} \qquad z$$
However:
$$uv^{2}xy^{2}z = a^{m+k_{1}+k_{2}}b^{m}c^{m} \notin L$$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

Case 2: vxy is in b^m | Similar to case 1

VXY

However:
$$uv^2xy^2z = a^mb^{m+k_1+k_2}c^m \notin L$$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

Case 3: vxy is in c^m Similar to case 1

However:
$$uv^2xy^2z = a^mb^mc^{m+k_1+k_2} \notin L$$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

Case 4:
$$vxy$$
 overlaps a^m and b^m

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz \qquad |vxy| \le m \qquad |vy| \ge 1$$

Sub-case 1:
$$v$$
 contains only a y contains only b m m m m a ...aa...aa...a b ... bb ... b ccc ... ccc u v v v v v

However:
$$uv^2xy^2z = a^{m+k_1}b^{m+k_2}c^m \notin L$$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz$$
 $|vxy| \le m$ $|vy| \ge 1$

Sub-case 2: v contains a and b y contains only b

m m m

a...aa...a b...bb...bb...b ccc...ccc

However: $uv^2xy^2z = a^{m-k_1}(ab)^{2k_1}b^{m-k_1+k_2}c^m \notin L$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz \qquad |vxy| \le m \qquad |vy| \ge 1$$

Sub-case 3:v contains only a y contains a and b

However: $uv^2xy^2z = a^{m+k_1-k_2}(ab)^{2k_2}b^{m-k_2}c^m \notin L$

$$L = \{a^n b^n c^n : n \ge 0\}$$

$$w = a^m b^m c^m$$

$$w = uvxyz |vxy| \le m |vy| \ge 1$$

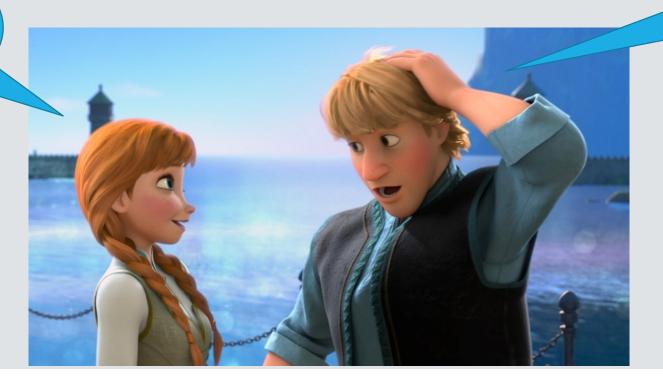
Case 5: vxy overlaps b^m and c^m

Similar to case 4
Contradiction!!!

In all cases we obtained a contradiction

Conclusion: L is not context-free

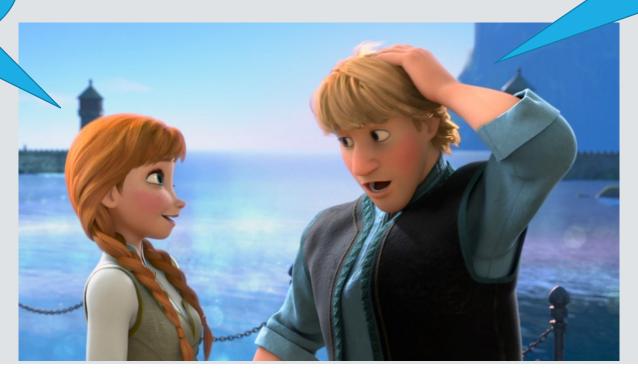
I think I can show 0ⁿ1ⁿ2ⁿ isn't context free



Hmm.. Not sure I believe.. Tell me about it

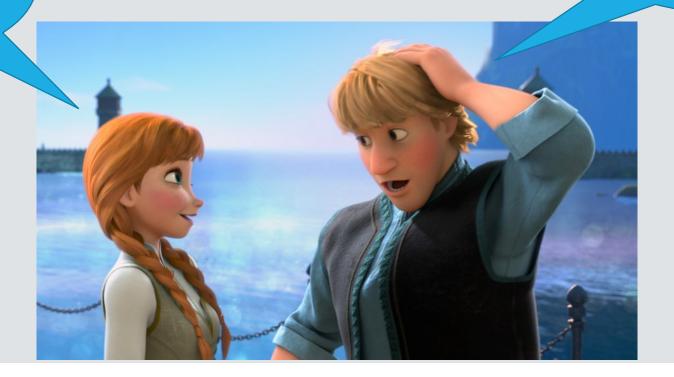
If that thing is context free, I get this magic constant **p**

Oh, I remember! You can divide any word in that thing into three parts...



No! Pay
attention! It's
FIVE parts,
w=uvxyz!

Ok.
Let's say **w=0**^p**1**^p**2**^p.
Then what?



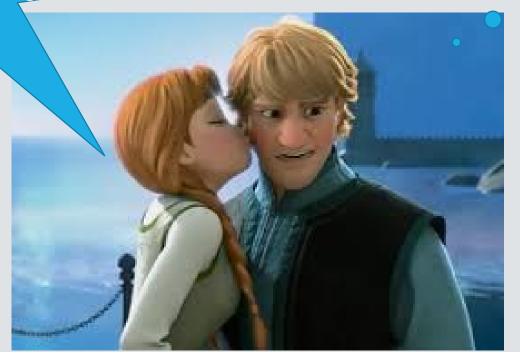
w can be broken down into uvxyz, where vy is nonempty and vxy has length at most p

I see. Then v and y can touch at most two sections



Right!
So by **pumping** up you can create a string that **can't be** in that thing

I still don't get it...



Pumping lemma for CFL

Example: Show that $A=\{a^nb^nc^n \mid n \ge 0\}$ is not context free

- Assume A is a CFL
- Let p be the pumping length for A that is guarantee to exist by the pumping lemma
- ∘ Select s = $a^pb^pc^p$, such that s ∈ A and $|s| \ge p$. Re-write s = uvxyz
- ∘ Based on the pumping lemma, for each $i \ge 0$, $uv^ixy^iz \in A$. However, we show that s cannot be pumped, without violating one of the 3 constraints defined in Theorem 2.34
 - When both v and y contain only one type of symbol, v does not contain both a's and b's or b's and c's, and the same hold for y. Thus, for i=2, i.e., uv²xy²z, s can't contain equal number of a's b's and c's, and thus s ∉ A, which violates condition 1 and is a contradiction.
 - When either v or y contain more than one type of symbol, then for for i=2, i.e., uv²xy²z, may contain equal number of alphabet symbols, but not in the correct order. Hence s ∉ A, which is a contradiction
- Given the contradiction, the original assumption must be false, proving that A is not a CFL

Pumping Lemma – More Examples

- $\circ A = \{a^p b^q c^r | p < q \text{ and } q < r\}$
- $\circ B = \{0^p 1^q 0^p 1^q | p, q > 0\}$
- $\circ C=\{ww \mid w \text{ is a string over } \{s, t\} \text{ and } |w|>0\}$

CFL or Not?

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∘L = \{a^{p}b^{p}c^{p} \mid p > 0\}

∘A = \{a^{p}b^{p}c^{q} \mid p, q > 0\}

∘B = \{a^{p}b^{q}c^{q} \mid p, q > 0\}

∘A∩B= ?
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