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# Performance Results for the Internal Audit Function

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## Performance Results for the Internal Audit Function as of June 30, 2024

Compliance Attributes	Key Compliance Attribute	Results
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Compliance Attributes	Key Compliance Attribute	Results
1. Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).  Staff members may hold multiple designations or certifications and may be counted in all three statistics. As a result, the percentages should not be considered parts of a whole.	42%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.  Staff members may hold multiple designations or certifications and may be counted in all three statistics. As a result, the percentages should not be considered parts of a whole.	42%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)  Staff members may hold multiple designations or certifications and may be counted in all three statistics. As a result, the percentages should not be considered parts of a whole.	19%
2. Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	October 6, 2023 for PHAC  November 21, 2023 for HC
	Date of last external assessment.	June 2021

Compliance Attributes	Key Compliance Attribute	Results
<b>3.</b> Are the Risk-based Audit Plans (RBAPs) submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>• name and status of audit for the current fiscal year of the RBAP</li> <li>• date the audit report was approved</li> <li>• date the audit report was published</li> <li>• original planned date for completion of all management response and action plan (MRAP) items</li> <li>• status of MRAP items.</li> </ul>	See <b>Status of FY 2023-24 Projects</b> table.

**4.** Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?

**Yes.** By combining robust audit methods with strategic advisory services, the internal audit function remains a vital resource for senior management as denoted by the high demands for advisory projects. In addition, senior management increasingly seeks the internal audit function's involvement in executive committees, which internal audit carries out in an advisory capacity to ensure its independence and objectivity. This highlights internal audit's ability to provide valuable insights to effectively support organizational objectives, along with its role in driving improvements to governance and risk management.

The Chief Audit Executive continues to have regular and ongoing conversations with senior management to ensure the internal audit function is providing value to the organization.

### Status of FY 2023-24 Projects

Audit Project	Organization	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MRAP Completion	Implementation Status
Audit of Integrated Risk Management	HC	Published – MRAP fully implemented	January 30, 2023	May 26, 2023	December 31, 2023	100%
Audit of Drug Shortage Reporting, monitoring and Compliance Activities	HC	Approved – Not published	May 27, 2024	TBD	June 30, 2025	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MRAP Completion</b>	<b>Implementation Status</b>
Audit of Project Management	HC	In progress	TBD	TBD	TBD	TBD
Audit of the Security of National Emergency Strategic Stockpile (NESS) Warehouse Facilities	PHAC	Published – MRAP not fully implemented	December 7, 2022	March 27, 2023	August 31, 2023	80%
Audit of Enterprise Risk Management	PHAC	Published – MRAP fully implemented	June 21, 2023	October 19, 2023	December 31, 2023	100%
Audit of the Management of Intellectual Property	PHAC	Published – MRAP not fully implemented	April 10, 2024	August 2, 2024	June 30, 2025	TBD
Audit of the Safe Voluntary Isolation Sites Program	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Conflict of Interest at PHAC	PHAC	Approved – Not published	June 26, 2024	TBD	TBD	TBD
Audit of Overtime Management	PHAC	In progress	TBD	TBD	TBD	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MRAP Completion</b>	<b>Implementation Status</b>
Audit of Monitoring of Internal Control over Financial Management (previous name: Audit of Key Internal Controls)	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Cybersecurity at National Microbiology Lab	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Sex and Gender-based Analysis Plus (SGBA+) at PHAC	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of the Occupational Health and Safety Program	HC and PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Cyber Security at HC and PHAC	HC and PHAC	Published – MRAP not fully implemented	PHAC: Dec 16, 2023 HC: Dec 13, 2023	April 16, 2024	September 30, 2024	TBD
Audit of Information Management at HC and PHAC	HC and PHAC	In progress	TBD	TBD	TBD	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MRAP Completion</b>	<b>Implementation Status</b>
Audit of Business Continuity Plans for Critical Services	HC and PHAC	Published – MRAP fully implemented	PHAC: October 26, 2022 HC: September 28, 2022	February 16, 2023	April 30, 2023	100%

### Performance Results for the Internal Audit Function as of June 30, 2023

<b>Compliance Attributes</b>	<b>Key Compliance Attribute</b>	<b>Results</b>
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).	21%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.	33%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	18%
Is internal audit work performed in conformance with the international standards for the profession of internal audit, as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	October 3, 2022 for PHAC November 22, 2022 for HC
	Date of last external assessment.	May 2021
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned, with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>name and status of audit for the current fiscal year of the RBAP</li> <li>date the audit report was approved</li> <li>date the audit report was published</li> <li>original planned date for completion of all management action plan (MAP) items</li> <li>status of MAP items.</li> </ul>	See 2022-23 Status of Audit Projects table below.

Compliance Attributes	Key Compliance Attribute	Results
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 93%

## Status of FY 2022-23 Projects

Audit Project	Organization	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
Audit of Investment Planning of Capital Assets at Health Canada	HC	Approved	April 9, 2022	August 3, 2022	March 31, 2022	100%
Audit of Integrated Risk Management	HC	Approved	January 30, 2023	May 26, 2023	December 31, 2023	56%
Review of the Fraud Risk Assessment Process	HC	Approved	March 23, 2023	N/A	N/A	N/A
Readiness Assessment of the Critical Drug Reserve Program	HC	Approved	N/A	N/A	N/A	N/A
Federal Workplace Testing Program Readiness Assessment	HC	In-progress	N/A	N/A	N/A	N/A
Audit of Drug Shortage Reporting, Monitoring and Compliance Activities	HC	In-progress	TBD	TBD	TBD	TBD
Pest Management Regulatory Agency Transformation - Governance	HC	Approved	N/A	N/A	N/A	N/A

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Pest Management Regulatory Agency Transformation - Risk Management	HC	In-progress	N/A	N/A	N/A	N/A
Audit of Management Controls and Governance around Border Reopening	PHAC	Approved	June 30, 2022	October 25, 2022	June 30, 2022	100%
Audit of the Security of National Emergency Strategic Stockpile Warehouse Facilities	PHAC	Approved	December 7, 2022	March 27, 2023	August 31, 2023	77%
Audit of Enterprise Risk Management	PHAC	Approved	June 21, 2023	TBD	December 31, 2023	85%
Review of the Fraud Risk Assessment Process	PHAC	Approved	April 5, 2023	N/A	N/A	N/A
Audit of the Management of Intellectual Property	PHAC	In-progress	TBD	TBD	TBD	TBD
Audit of the Safe Voluntary Isolation Sites Program	PHAC	In-progress	TBD	TBD	TBD	TBD
Audit of Conflict of Interest at PHAC	PHAC	In-progress	TBD	TBD	TBD	TBD



<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Audit of the Business Continuity Plans for Critical Services	HC and PHAC	Approved	PHAC: October 26, 2022 HC: September 28, 2022	February 16, 2023	April 30, 2023	31%
Readiness Assessment for Rapid Tests (HC and PHAC)	HC and PHAC	Approved	N/A	N/A	N/A	N/A

### Performance Results for the Internal Audit Function as of June 30, 2022

<b>Compliance Attributes</b>	<b>Key Compliance Attribute</b>	<b>Results</b>
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation of Certified Internal Auditor (CIA) or Chartered Professional Accountant (CPA).	33%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.	42%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	25%
Is internal audit work performed in compliance with the international standards for the internal audit profession, as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate compliance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	May 28, 2021 for HC June 15, 2021 for PHAC
	Date of last external assessment.	May 2021
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned, with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>name and status of audit for the current fiscal year of the RBAP</li> <li>date the audit report was approved</li> <li>date the audit report was published</li> <li>original planned date for completion of all management action plan (MAP) items</li> <li>status of MAP items</li> </ul>	See 2021-22 Status of Audit Projects table below.

Compliance Attributes	Key Compliance Attribute	Results
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 88%

### Status of FY 2021-22 Projects

Audit Project	Organization	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
Readiness Assessment for the Wellness Together Canada Initiative	HC	Approved	September 17, 2021	N/A	N/A	N/A
Audit of Investment Planning	HC	Approved	April 9, 2022	In progress	March 31, 2022	TBD
Audit of Integrated Risk Management	HC	In progress	TBD	TBD	TBD	TBD
Audit of the Development of VaccineConnect	PHAC	Approved	January 4, 2022	April 5, 2022	December 31, 2021	100%
Audit of Management Controls and Governance around Border Reopening	PHAC	Completed – Not yet approved	TBD	TBD	TBD	TBD
Audit of COVID-19 National Emergency Strategic Stockpile (NESS) Warehouse Facilities	PHAC	In progress	TBD	TBD	TBD	TBD
Management of Intellectual Property - NML	PHAC	In progress	TBD	TBD	TBD	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Audit of Integrated Risk Management	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Cybersecurity at NMLB	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Staffing Service Delivery	HC and PHAC	Approved	April 26, 2021	August 18, 2021	March 31, 2021	100%
Audit of IT Asset Management	HC and PHAC	Approved	February 7, 2022	June 24, 2022	March 31, 2023	10%
Audit of the Business Continuity Plans for Staff Delivering Critical Services	HC and PHAC	Completed – Not yet approved	TBD	TBD	TBD	TBD
Audit of Cybersecurity at PHAC and HC	HC and PHAC	In progress	TBD	TBD	TBD	TBD

### Status of FY 2022-23 Projects

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status *</b>
Readiness Assessment of the Critical Drug Reserve Program	HC	In progress	TBD	N/A	N/A	N/A
Consulting Engagement for PMRA Transformation	PHAC	In progress	TBD	N/A	N/A	N/A
Readiness Assessment for Rapid Tests (HC and PHAC)	HC and PHAC	In Progress	TBD	N/A	N/A	N/A

## Performance Results for the Internal Audit Function As of June 30, 2021

Compliance Attributes	Key Compliance Attribute	Results
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).	41%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.	44%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	29%
Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	May 28, 2021 for HC June 15, 2021 for PHAC
	Date of last external assessment.	May 2021
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>name / status of audit for the current fiscal year of the RBAP</li> <li>date the audit report was approved</li> <li>date the audit report was published</li> <li>original planned date for completion of all management action plan (MAP) items</li> <li>status of MAP items</li> </ul>	See <a href="#">Status of FY 2020-21 Projects</a> table below.
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 89%

## Status of FY 2020-21 Projects

Audit Project	Organization	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
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<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Audit of Controlled Substances	HC	Approved - published	January 14, 2021	May 20, 2021	December 31, 2020	25%
Joint Audit and Evaluation on the Medical Devices Program	HC	Approved - published	Nov. 23, 2020	March 31, 2021	December 31, 2022	0%
Audit of Inspection Activities	HC	Approved - published	May 10, 2020	August 6, 2020	June 30, 2021	75%
Audit of IT Systems Development	HC and PHAC	Approved - published	May 10, 2020	May 13, 2020	May 31, 2021	75%
Audit of the Multi-Sectoral Partnership Program	PHAC	Approved - published	April 29, 2020	July 31, 2020	April 1, 2021	0%
Audit of Surveillance Activities	PHAC	Approved - published	July 16, 202	September 17, 2020	November 30, 2021	0%
Audit of IT Asset Management	HC and PHAC	In Progress	TBD	TBD	TBD	TBD
Audit of Investment Planning	HC	In Progress	TBD	TBD	TBD	TBD
Consulting Engagement for Antimicrobial Resistance	HC	In Progress	TBD	TBD	TBD	TBD
Readiness Assessment for the Wellness Together Canada Initiative	HC	In Progress	TBD	TBD	TBD	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Readiness Assessment of Contracts Phase I - Consulting Engagement	HC	Completed	N/A	N/A	N/A	N/A
Readiness Assessment of Contracts Phase II - Consulting Engagement	HC	In Progress	N/A	N/A	N/A	N/A
PHAC Data Strategy - Program Under Development Audit (Cycles 1 & 2)	PHAC	Completed	N/A	N/A	N/A	N/A
Review of the Framework for Science and Research Excellence Implementation	HC	On hold	N/A	N/A	N/A	N/A
Consulting Engagement for Compensation Support Services	HC	Completed	N/A	N/A	N/A	N/A
Consulting Engagement of National Microbiology Laboratory Staffing Practices	PHAC	Completed	N/A	N/A	N/A	N/A

**Updated: June 30, 2020**

<b>Compliance Attributes</b>	<b>Key Compliance Attribute</b>	<b>Results</b>
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Compliance Attributes	Key Compliance Attribute	Results
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).	27%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.	50%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	27%
Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	June 16, 2020 for HC July 6, 2020 for PHAC
	Date of last external assessment.	June 2016
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>· name / status of audit for the current fiscal year of the RBAP</li> <li>· date the audit report was approved</li> <li>· date the audit report was published</li> <li>· original planned date for completion of all management action plan (MAP) items <ul style="list-style-type: none"> <li>• status of MAP items</li> </ul> </li> </ul>	See <a href="#">Status of FY 2019-20 Projects</a> and <a href="#">Status of FY 2020-21 Projects</a> table below.
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 90%

## Status of FY 2019-20 Projects

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status *</b>
Audit of the Determination of Recoverable Amounts for Grants and Contributions	PHAC	Approved - published	September 13, 2019	January 14, 2020	December 31, 2019	40%
Audit of the Multi-Sectoral Partnerships (MSP) Program to Promote Healthy Living and Prevent Chronic Disease	PHAC	Approved - to be published	April 29, 2020	In progress	July 2021	TBD
Audit of Surveillance	PHAC	In progress	TBD	TBD	TBD	TBD
HR's monitoring of staffing practices at the National Microbiology Lab (Consulting Engagement)	PHAC	Approved	April 26, 2020	N/A	N/A	N/A
Data Strategy Program Under Development Audit ( <i>audits performed in three-month cycles throughout the program's lifecycle</i> )	PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Inspection Activities	HC	Approved - to be published	May 10, 2020	In progress	June 2021	TBD



<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status *</b>
Audit of Controlled Substances	HC	In progress	TBD	TBD	TBD	TBD
Program Implementation of the Cannabis Act (Consulting Engagement)	HC	In progress	TBD	N/A	N/A	N/A
Audit of the Management of Grants and Contributions - Phase II	HC	Approved - published	November 9, 2019	March 17, 2020	December 2020	TBD
Joint Audit and Evaluation of the Medical Devices Program	HC	In progress	TBD	TBD	TBD	TBD
Governance Review (Consulting Engagement)	HC	Approved	March 13, 2020	N/A	N/A	N/A
Audit of IT Asset Management	HC and PHAC	In Progress	TBD	TBD	TBD	TBD
Audit of Procurement and Contracting	HC and PHAC	Approved - published	October 21, 2019	February 28, 2020	September 30, 2020	16%
Audit of the Management of Privacy Practices	HC and PHAC	Approved - published	December 2, 2019	April 3, 2020	December 2020	TBD

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status *</b>
Audit of Information Technology Systems Development	HC and PHAC	Approved - to be published	May 7, 2020	In progress	May 2021	TBD

### Status of FY 2020-21 Projects

<b>Audit Project</b>	<b>Organization</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status *</b>
Audit of the Management of Scientific Research	HC and PHAC	In progress	TBD	TBD	TBD	TBD
Audit of Staffing Service Delivery	HC and PHAC	In progress	TBD	TBD	TBD	TBD
Compensation Support Services (Consulting Engagement)	HC	In progress	TBD	N/A	N/A	N/A
Antimicrobial Resistance Readiness Review (Consulting Engagement)	PHAC	In progress	TBD	TBD	TBD	TBD

\* In light of the COVID-19 situation, and to be respectful of the need for branches to focus on the response, the Office of Audit and Evaluation (OAE) did not perform a status update on the implementation of Management Action Plans in March 2020, as originally planned. The information presented in the table above is from the status update conducted in October 2019 for Health Canada and in September 2019 for PHAC. The OAE will conduct a status update in October 2020 and will update the information as necessary.

**Updated: June 30, 2019**

Compliance Attributes	Key Compliance Attribute	Results
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).	31%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress.	62%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	27%
Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	July 2019
-	Date of last external assessment.	June 2016
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information: <ul style="list-style-type: none"> <li>name / status of audit for the current fiscal year of the RBAP</li> <li>date the audit report was approved</li> <li>date the audit report was published</li> <li>original planned date for completion of all management action plan (MAP) items</li> <li>status of MAP items</li> </ul>	See <a href="#">Status of FY 2018-19 Projects</a> and <a href="#">Status of FY 2019-20 Projects</a> tables below.
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 89%

### Status of FY 2018-19 Projects

Internal Audit Title	Department	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
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<b>Internal Audit Title</b>	<b>Department</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Consulting Engagement - Review of the Global Public Health Intelligence Network	PHAC	Approved -not to be published	June 5, 2018	N/A	N/A	N/A
Consulting Engagement - Review of the Joint External Evaluation Process	PHAC	Approved -not to be published	June 5, 2018	N/A	N/A	N/A
Audit of Biologics and Radiopharmaceuticals	HC	Approved-published	Sept. 25, 2018	Nov. 16, 2018	March 31, 2019	100%
Audit of the Biosecurity Program	PHAC	Approved-published	March 28, 2019	May 17, 2019	April 1, 2020	40%
Consulting Engagement - Review of the Opioid Crisis Response	HC	Approved - not to be published	Feb. 28, 2019	N/A	N/A	N/A
Audit of Contracting and Procurement	HC and PHAC	In progress	-	-	-	-
Audit of Grants and Contributions Phase II	HC	In progress	-	-	-	-

### Status of FY 2019-20 Projects

<b>Internal Audit Title</b>	<b>Department</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Acquisition Cards	PHAC and HC	Approved-published	March 29, 2019	May 17, 2019	Dec. 31, 2018	100%
Determination of Recoverable Amounts	PHAC	In progress	-	-	-	-

<b>Internal Audit Title</b>	<b>Department</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Multi-sectoral Partnerships	PHAC	In progress	-	-	-	-
Surveillance Activities	PHAC	In progress	-	-	-	-
Inspection Activities	HC	In progress	-	-	-	-
Controlled Substances	HC	In progress	-	-	-	-
Medical Devices Program	HC	In progress	-	-	-	-
Program for Implementation of the Cannabis Act (Consulting Engagement)	HC	In progress	-	-	-	-
Management of Privacy Practices	PHAC and HC	In progress	-	-	-	-
IT Systems Development	PHAC and HC	In Progress	-	-	-	-
Shared Services Partnership Agreement	PHAC and HC	In Progress	-	-	-	-
Asset Management	PHAC and HC	In Progress	-	-	-	-

**Updated: September 30, 2018**

<b>Compliance Attributes</b>	<b>Key Compliance Attribute</b>	<b>Results</b>
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Compliance Attributes	Key Compliance Attribute	Results
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation (Certified Internal Auditor [CIA], Chartered Professional Accountant [CPA]).	27%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress	64%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	45%
Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP).	June 2018
-	Date of last external assessment	June 2016
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information <ul style="list-style-type: none"> <li>• name / status of audit for the current fiscal year of the RBAP</li> <li>• date the audit report was approved</li> <li>• date the audit report was published</li> <li>• original planned date for completion of all management action plan (MAP) items</li> <li>• status of MAP items</li> </ul>	See <a href="#">Status of FY 2018-19 Projects</a> table below.
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 86%

### Status of FY 2018-19 Projects

Internal Audit Title	Department	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
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<b>Internal Audit Title</b>	<b>Department</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Consulting Engagement - Review of the Global Public Health Intelligence Network	PHAC	Approved - not to be published	June 5, 2018	N/A	N/A	N/A
Consulting Engagement - Review of the Joint External Evaluation Process	PHAC	Approved - not to be published	June 5, 2018	N/A	N/A	N/A
Audit of Biologics and Radiopharmaceuticals	HC	Approved - not yet published	August 29, 2018	-	-	-
Fraud Risk Assessment	HC and PHAC	In progress	-	-	-	-
Consulting Engagement - Review of the Opioid Crisis Response	HC	In progress	-	-	-	-
Audit of the Biosecurity Program	PHAC	In progress	-	-	-	-
Audit of Contracting and Procurement	HC and PHAC	In progress	-	-	-	-
Audit of Grants and Contributions Phase II	HC	In progress	-	-	-	-

**Updated: June 30, 2018**

<b>Compliance Attributes</b>	<b>Key Compliance Attribute</b>	<b>Results</b>
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Compliance Attributes	Key Compliance Attribute	Results
Do internal auditors in departments have the training required to do the job effectively? Are multidisciplinary teams in place to address diverse risks?	Percentage of staff with an internal audit or accounting designation [Certified Internal Auditor (CIA), Chartered Professional Accountant (CPA)]	40%
	Percentage of staff with an internal audit or accounting designation (CIA, CPA) in progress	45%
	Percentage of staff holding other designations (CGAP, CISA, CFE, etc.)	50%
Is internal audit work performed in conformance with the international standards for the profession of internal audit as required by Treasury Board policy?	Date of last comprehensive briefing to the Departmental Audit Committee on the internal processes, tools, and information considered necessary to evaluate conformance with the IIA Code of Ethics and the Standards, as well as the results of the quality assurance and improvement program (QAIP)	June 2018
-	Date of last external assessment	June 2016
Are the RBAPs submitted to audit committees and approved by deputy heads implemented as planned with resulting reports published? Is management acting on audit recommendations for improvements to departmental processes?	RBAPs and related information <ul style="list-style-type: none"> <li>• name / status of audit for the current fiscal year of the RBAP</li> <li>• date the audit report was approved</li> <li>• date the audit report was published</li> <li>• original planned date for completion of all management action plan (MAP) items</li> <li>• status of MAP items</li> </ul>	See <a href="#">Status of FY 2018-19 Projects</a> table below.
Is internal audit credible and adding value in support of the mandate and strategic objectives of the organization?	Average overall usefulness rating from senior management (ADM-level or equivalent) of areas audited.	Consolidated Results - Client Satisfaction = 86%

### Status of FY 2018-19 Projects

Internal Audit Title	Department	Audit Status	Date Report Approved	Date Report Published	Date Planned for Original MAP Completion	Implementation Status
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<b>Internal Audit Title</b>	<b>Department</b>	<b>Audit Status</b>	<b>Date Report Approved</b>	<b>Date Report Published</b>	<b>Date Planned for Original MAP Completion</b>	<b>Implementation Status</b>
Consulting Engagement - Review of the Global Public Health Intelligence Network	PHAC	Approved - not to be published	June 5, 2018	N/A	N/A	N/A
Consulting Engagement - Review of the Joint External Evaluation Process	PHAC	Approved - not to be published	June 5, 2018	N/A	N/A	N/A
Audit of Biologics and Radiopharmaceuticals	HC	In progress	-	-	-	-
Fraud Risk Assessment	HC and PHAC	In progress	-	-	-	-
Consulting Engagement - Review of the Opioid Crisis Response	HC	In progress	-	-	-	-
Audit of the Biosecurity Program	PHAC	In progress	-	-	-	-
Audit of Contracting and Procurement	HC and PHAC	In progress	-	-	-	-
Audit of Grants and Contributions Phase II	HC	In progress	-	-	-	-

**Date modified:**

2024-09-10