# RESEARCH ARTICLE

# A Safety-Aware Framework for Offshore Wind Turbine Maintenance

Anna Haensch<sup>1</sup> | Eleonora M. Tronci<sup>2</sup> | Aidan Banerjee<sup>1</sup> | Veronica Valencia<sup>3</sup> | Babak Moaveni<sup>4</sup>

#### Correspondence

Corresponding author Eleonora M. Tronci, Email: e.tronci@northeastern.edu

#### Present address

360 Huntington Ave Boston, MA 02115.

#### **Abstract**

In this paper, we suggest a framework for determining the best operation and maintenance strategies for offshore wind turbines. The framework takes into account both quantitative and qualitative data gathered from the wind turbines. The proposed framework consists of a simulation-optimization approach for designing, planning, and scheduling maintenance operations for offshore wind farms and finding the optimal intervention solution for minimizing costs while keeping a high availability of wind turbines and guaranteeing safety standards for workers. Several parameters and constraints are addressed to account for the realistic complexity of the problem, such as weather conditions, resource cost, and maintenance duration. A numerical example based on blade maintenance is presented to demonstrate the approach.

#### KEYWORDS

offshore wind, worker safety, maintenance, economic modeling

# 1 INTRODUCTION

On March 29, 2021, the Biden administration set an ambitious target to install 30 gigawatts (GW) of offshore wind energy capacity on the outer continental shelf by 2030, signaling strong support for the industry's expansion<sup>1</sup>. Achieving these deployment goals will require a workforce that is not only sufficient in size but also well-trained to meet the specific demands of offshore wind energy development. Ensuring safety remains a top priority for the offshore wind energy sector, yet there is ongoing uncertainty about the specific safety standards and training requirements needed, as well as the roles various stakeholders will play in maintaining safety.

An effective and reliable maintenance strategy is an indispensable part of the daily operation of an offshore wind farm. A successful maintenance strategy aims to maximize economic benefit, extend component's lifespans, reduce the number of emergency repairs, decrease overtime labor costs, and relieve the working stress and risk for the personnel involved<sup>2</sup>. Moreover, this must be done in a way that accounts for the stochastic attributes of uncertain maintenance outcomes and deviations between predicted and actual failure scenarios<sup>3</sup>. It is estimated that the operations and maintenance (O&M) contribute nearly 35% of the levelized cost of energy for a fixed-bottom offshore wind farm<sup>4</sup>. In this work, we propose a condition-based maintenance strategy that combines relevant information about an Offshore Wind Turbine's (OWT's) current condition with statistical models of failure risk and external factors such as cost and worker safety.

The strategy proposed here is a multi-criteria decision-making framework similar to those initially developed for formalizing and optimizing decisions for civil engineering systems <sup>5,6</sup>. The decision-making framework follows the framing and valuation conventions of cumulative prospect theory (CPT) as introduced by Tversky and Kahneman in <sup>7</sup>. It consists of three phases (see Figure 1): in Phase I, the technical state of the OWT is assessed; in Phase II, a set of contingencies and outcomes are constructed

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<sup>&</sup>lt;sup>1</sup>Data Intensive Studies Center, Tufts University, MA\_USA

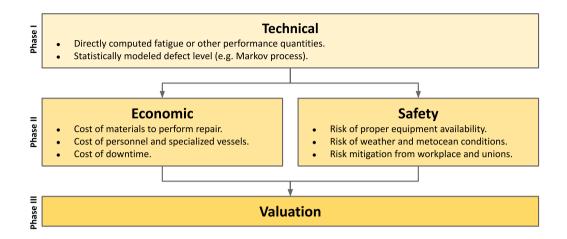
<sup>&</sup>lt;sup>2</sup>Department of Civil and Environmental Engineering, Northeastern University, MA, USA

<sup>&</sup>lt;sup>3</sup>Department of Bioengineering, Northeastern University, MA, USA

<sup>&</sup>lt;sup>4</sup>Department of Civil and Environmental Engineering, Tufts University, MA, USA

(these are expressed in terms of economic and safety impact; finally, in Phase III, a decision model is formulated which assesses the value of all possible interventions and appropriate action is chosen.

In contrast to previous work on maintenance decision frameworks, the strategy proposed here explicitly combines economic costs with worker safety, allowing for a multi-stakeholder optimization scheme. In section 4, we provide an in-depth example of turbine blade defects based on the 6.1MW NREL offshore reference project turbine.



**FIGURE 1** The multi-factor decision model combines a technical fatigue and defect assessment to learn the state of the equipment (Phase I), followed by a set of contingencies and outcomes based on economic and safety considerations (Phase II), and finally, a formal valuation of the decision space to arrive at an optimal course of action (Phase III).

## 2 | BACKGROUND

# 2.1 | Maintenance Strategies

Maintenance consists of activities to ensure that a WT is in working order and fulfilling its functions<sup>8</sup>. This typically involves deploying a technical crew on a transfer vessel to visit the turbine and perform required or scheduled maintenance. Activities related to offshore WT maintenance can be divided into preventative and condition-based. While preventative maintenance involves periodically servicing the WT according to a fixed maintenance schedule, condition-based maintenance actions are only carried out in response to updated WT condition status<sup>9</sup>. As such, there are some explicit trade-offs between availability and reliability, depending on the choice of maintenance strategy, and some of this is borne out in the overall maintenance costs <sup>10</sup>. In this work, we assume a condition-based maintenance strategy.

Typically, condition-based maintenance models incorporate variables related to component reliability such as mean time to failure <sup>11</sup> or remaining useful life <sup>12</sup>. These quantities can be approximated by component level annual failure rates <sup>13</sup>, comprehensive fatigue life estimates <sup>14</sup>, or latent state modeling techniques <sup>15,16</sup>.

In decision models related to maintenance, it is often important to consider the many stakeholders involved in decision-making, such as developers, technicians, vessel operators, and other onshore support <sup>17,18</sup>. These models commonly take an economic cost and benefit approach <sup>19</sup>, incorporating levelized cost of energy <sup>20</sup>, WT availability <sup>21</sup>, and fluctuating market conditions <sup>22</sup>. All of these models incorporate a degree of uncertainty around damage modeling, risk assessment, and maintenance outcomes <sup>3,23</sup>.

## 2.2 | Probabilistic Defect Assessment

Failures in WTs can be categorized into two main sources: (1) long-term operation and aging and (2) short-term overload and sudden breakdown. The likelihood of short-term failure is often higher in the first 1-3 years of operation, while long-term aging

failures often occur within the last few predicted operational years. In engineered systems, this type of survival behavior is commonly modeled with a Weibull distribution to determine a probabilistic estimate of time to failure <sup>24</sup>.

The types of failures experienced by a WT can be categorized by severity and overall turbine health. For component-based failures, we consider the three common failure classifications as in recent work (see, e.g.,  $^{25,26,27}$ ): Minor Repair, Major Repair, Major Repairs include operational errors, electrical component malfunctions, and any hindrance to operation that can be fixed within 30 hours. Major Repairs are more often related to intense structural damage, damaged or broken components, and often take 30 to 120 hours to complete. Major replacements are often connected to complete component failure and require an overhaul of the component, often taking over 120 hours to complete. The probability of minor repairs vs major repairs varies between components, but on average, minor repairs occur 6 times more frequently than major repairs  $^{25}$ .

# 2.3 | Maintenance and Repair Costs

The overall maintenance costs can be broken down into fixed and variable costs. Fixed costs include material costs associated with carrying out routine maintenance or defect repair<sup>28</sup>. These costs vary significantly by component and defect severity<sup>25</sup>.

Variable costs include factors related to transportation, logistics, personnel, and downtime, which scale with the amount of time it takes to complete a maintenance action. Depending on the turbine's size and the defect's severity, it might be necessary to charter specialized crew transport vessels, heavy-lifting vessels, or even helicopters. These can vary in price and typically incur daily use costs as reported in <sup>29</sup> and shown here in Table 2. Variable costs include personnel costs to operate the vessels and a team of specialized technicians to perform the repair <sup>25,30</sup>.

Additionally, costs related to turbine downtime scale with the amount of time that the turbine is not operational. Downtime consists of the duration of time that the turbine is not operating as intended. This includes how much time technicians spend to carry out the repair (sometimes called the "Mean Time To Repair" or MTTR <sup>31</sup>. The duration of the repair time varies by component and defect severity, but extensive surveys out of the European wind industry give component-wise estimates of repair times <sup>25,13</sup>. Costs per hour of downtime are subject to the particulars of a power purchase agreement and the changing price of energy, but most current US wind power purchase agreements have a purchase price of less than 2 cents per kWh <sup>32</sup>. Downtime costs become especially relevant in the long term when considering the levelized cost of energy for offshore wind <sup>26</sup>.

Category	Description
Minor Repairs	Can be completed by a single Crew Transfer Vessel (CTV) or a helicopter.
Major Repairs	Requires a Crew Transfer Vessel (CTV) and/or a Service Operation Vessel (SOV), depending on how many workers are needed to make repairs.
Major Replacement	Requires a Service Operation Vessel (SOV) or Heavy Lifting Vessel (HLV) for large components, which is extremely costly because of a low supply of these vessels.

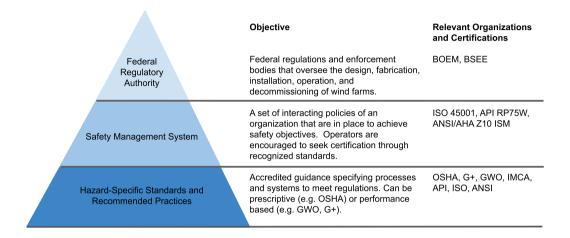
**TABLE 1** Estimated vessel requirements by defect type, as reported in <sup>29</sup>.

Vessel Type	Vessel Cost/Day
Heavy Lifting Vessel (HLV)	\$220,000.00
Service Operation Vessel (SOV)	\$38,421.90
Crew Transfer Vessel (CTV)	\$2,561.46
Helicopter	\$701.77
Unmanned Aerial Vehicle (UAV)	\$300 - \$500
Jackup Boat	-

**TABLE 2** Estimated vessel costs by type, as reported in <sup>29</sup>.

# 2.4 | Safety Analysis

Prioritizing worker safety is crucial in developing a multi-factor decision framework for offshore wind turbines. Insights and concerns from other industries, such as onshore wind, oil and gas, civil construction, and other countries, highlight the importance of having a robust and established set of guidelines in place <sup>33</sup>. In the context of offshore wind energy, Europe typically follows a prescriptive regulatory approach with detailed guidelines and significant government involvement in planning and development <sup>34</sup>. In contrast, the US employs performance-based regulatory regulations that specify desired safety and environmental outcomes without dictating specific methods, allowing flexibility for regulated entities in choosing the best methods to meet their goals <sup>35</sup>.



**FIGURE 2** A hierarchy of safety standards exists to ensure that operators have the appropriate knowledge, systems, and processes in place to meet safety and environmental regulations.

A hierarchy of safety standards (described in Figure 2) quantifies safety in offshore wind operations, providing a structured approach and incorporating various levels of regulatory and operational guidelines <sup>35</sup>. At the top of the safety hierarchy for offshore wind turbines is the Federal Regulatory Authority, which includes the Bureau of Safety and Environmental Enforcement (BSEE) and the Bureau of Ocean Energy Management (BOEM), which together handle the Department of the Interior's offshore renewable energy regulations, detailed in the 2024 Code of Federal Regulations 30 CFR part §585-586 (BOEM)<sup>36</sup> and 30 CFR part §285(BSEE)<sup>37</sup>. Key documents reviewed by BSEE and submitted to BOEM include the Site Assessment Plan (SAP), Construction and Operations Plan (COP), and General Activities Plan (GAP). The SAP details site assessment methodologies, the COP outlines construction, operation, and decommissioning processes, and the GAP covers smaller-scale activities. These documents ensure compliance with federal regulations and incorporate safety measures such as a Safety Management System (SMS) or Emergency Response Procedures. BSEE ensures the safe and environmentally responsible design, fabrication, installation, operation, and decommissioning of offshore wind energy facilities, enforcing compliance with all relevant safety, environmental, and conservation laws and regulations with construction through decommissioning <sup>38</sup>. BOEM oversees pre-lease activities and approves COP terms, with BSEE helping to develop COP terms and conditions to ensure comprehensive workplace safety.

BSEE and BOEM set outcome-based goals, allowing operators to adopt the latest technologies and practices. This encourages innovation and market-driven development while emphasizing risk management through SMS and other documentation. This flexible approach helps the US offshore wind industry maintain rigorous safety and environmental standards. However, the industry and developers must still demonstrate to BSEE that they have identified relevant risks and implemented appropriate controls. This approach promotes innovation and adaptability while ensuring safety and environmental standards compliance.

Below the federal requirements is the SMS framework, which encourages operators to seek certification through recognized standards. These certifications include ISO 45001, familiar to European offshore wind operators; API RP75W, used by US offshore oil and gas operators; ANSI/AHA Z10, known among manufacturers and fabricators; and the ISM Code, specific

to vessel operations. Achieving certification under these standards ensures that the SMS framework meets international best practices for safety management.

A Safety Management System is a set of interrelated or interacting elements of an organization to establish policies, objectives, and processes to achieve safety objectives. An example subset consists of the Emergency Response Procedures (ERP), which are plans prepared to respond to emergencies, including both onshore and offshore actions and support, to mitigate safety and environmental consequences. An SMS has the aim of managing risks associated with various activities: leadership and contractor management, hazard identification and risk assessment, risk controls and planning, workforce engagement, evaluation and improvement, emergency response plans, regulatory requirements (including remote monitoring and control), and use of standards. Developers submit their SMSs to BOEM as part of their COP, which can be approved, disapproved, or approved with modifications. BSEE would receive and review the SMSs and develop terms and conditions for BOEM's COP relevant to BSEE jurisdiction.

At the base of the hierarchy are the Hazard-Specific Standards and Recommended Practices (RP), which provide accredited guidance specifying processes and systems to meet regulations and that can be either prescriptive, like OSHA standards<sup>39</sup>, providing clear regulations that must be followed, or performance-based like those from the Global Wind Organisation (GWO) offering flexibility in achieving safety outcomes<sup>40</sup>. The GWO has developed training standards for the offshore wind industry with the goal of making H&S training standardized across the industry to raise standards and to make it easier for companies to ensure their employees have been adequately and uniformly trained. The GWO has developed standards that cover training in areas including basic safety, advanced rescue, and first aid<sup>41</sup>.

Hazard-specific recommended practices are often provided by industry safety organizations such as G+ Global Offshore Wind Health and Safety Organisation, which releases an annual report on safety incidents and good practice guidance for the industry, or the International Marine Contractors Association (IMCA) who produces industry guidance and best practices for vessel operations, dynamic positioning, ROV, lifting, marine operations, and training. These are largely performance-based and tailored to address particular risks in offshore wind operations <sup>42,43</sup>.

In U.S. offshore wind energy, several RPs from other offshore industries are used, though none are specific to this sector. These RPs are created by standards bodies like the American Petroleum Institute (API), International Standards Organization (ISO), and American National Standards Institute (ANSI) in collaboration with industry organizations such as the American Clean Power Association to develop consensus by convening industry stakeholders. Developers can choose the most suitable RP for their project and must prove safety through performance-based audits. These standards enhance worker safety by clarifying training requirements for developers, subcontractors, and regulators.

## 3 | METHODOLOGY

The following section combines the abovementioned cost and safety discussion into a comprehensive quantitative decision model. Following the conventions of cumulative prospect theory (CPT), the decision model will be framed in terms of events (i.e., different types of interventions), outcomes (i.e., economic costs and safety risks), and the associated likelihoods, risks, and rewards of each<sup>7</sup>. Unlike classical economic utility theory, CPT allows decision makers to explore a large space of possibly competing events and outcomes, where the risks are calibrated relative to a decision maker reference point (see, e.g., <sup>44,45</sup>).

Suppose the space of possible interventions, ordered by complexity, is given by

$$I = \{I_0, ..., I_m, ..., I_M\}$$

(see Table 3), and suppose that all possible real-valued outcomes are sorted from worst to best relative to a neutral reference point,  $x_0 \in \mathbb{R}$ , where  $x < x_0$  are considered to be losses and  $x > x_0$  are considered to be gains. The prospect value,  $P_m$ , for an intervention,  $I_m$ , is evaluated in terms of the possible outcomes,

$$X_m = \{x_{-\ell m}, ..., x_{-1m}, x_{0m}, x_{1m}, ..., x_{km}\}.$$

and their associated probabilities given by  $p(x_{im}) = p_{im}$ .

Intervention	Outcome	Prospect
$I_0$	$X_0 = \{x_{-\ell 0},, x_{-10}, x_{00}, x_{10},, x_{k0}\}$	$P_0$
:	:	:
$I_m$	$X_m = \{x_{-\ell m},, x_{-1m}, x_{0m}, x_{1m},, x_{km}\}$	$P_m$
:	:	:
$I_M$	$X_M = \{x_{-\ell M},, x_{-1M}, x_{0M}, x_{1M},, x_{kM}\}$	$P_M$

**TABLE** 3 Each of the *M* possible interventions has a set of possible outcomes with an associated economic prospect and safety prospect. The economic and safety prospects are formulated as in equation (6).

For simplicity, the *m* subscript is dropped in the following discussion. Value is placed on the outcomes in *X* by applying a strictly increasing utility function,  $v(x) : X \to \mathbb{R}$  with  $v(x_0) = 0$ . The prospect value for an intervention in *I* can then be written as

$$P = \sum_{i=-\ell}^{-1} \pi_i^- \cdot v(x_i) + \sum_{i=0}^k \pi_i^+ \cdot v(x_i), \tag{1}$$

where  $\pi_i^-$  and  $\pi_i^+$  are the decision weights that adjust the marginal contribution of outcome  $x_i$  to the overall value of the prospect. These are computed in terms of the outcome probabilities and a probability weighting function, w. In this way, weighted marginal probabilities are obtained as

$$\pi_k^+ = w(p_k)$$
 and  $\pi_i^+ = w(p_i + \dots + p_k) - w(p_{i+1} + \dots + p_k)$  (2)

for  $0 \le i \le k-1$ ; and

$$\pi_{-\ell}^- = w(p_{-\ell})$$
 and  $\pi_i^- = w(p_{-\ell} + \dots + p_i) - w(p_{-\ell} + \dots + p_{i-1})$  (3)

for  $1 - \ell \le i \le 0$ . A probability weighting function aims to allow utility theory to match common sense by systematically enlarging ratios between high probability events by choosing a weighting function that over-weights low values and under-weights high values, leading to a regressive effect.<sup>‡</sup>

One good choice for this function is described by Prelec<sup>47</sup> and given by

$$w(p) = e^{-(-\ln(p))^{\gamma}} \tag{4}$$

for  $p \in (0, 1]$  and w(0) = 0, where  $0 < \gamma \le 1$ . One typical utility function associated with this choice of probability weighting is the power utility function (see, e.g.,  $^{44,48,49,50}$ ). Therefore, the prospect is completely parameterized by the set  $\phi$ , which includes  $x_0$ ,  $\gamma$ , and any other parameters of the utility function, and the prospect value of an intervention is given by

$$P(\phi) = \sum_{i=-\ell}^{-1} \pi_i^- \cdot \left[ (x_i - x_0)^{\alpha^+} \right] + \sum_{i=0}^k \pi_i^+ \cdot \left[ -\beta \left( -(x_i - x_0) \right)^{\alpha^-} \right]$$
 (5)

where  $\pi_i^+$  and  $\pi_i^-$  are as in equations (2) and (3).

In the context of the present problem, outcomes of an intervention  $I_m$  are considered in terms of economic prospects,  $V_m$ , and safety prospects,  $R_m$  (see Table 3). For each of these, a prospect value can be computed as

$$P_m(\phi_{\text{economic}}) = \sum_{i=-\ell}^{k_m} \pi_i \cdot v(x_i) \text{ and } P_m(\phi_{\text{safety}}) = \sum_{i=-\ell}^{k_m} \pi_i \cdot u(x_i), \tag{6}$$

where v is an economic utility function and u is a safety utility function. These will be described more fully in the following section.

<sup>&</sup>lt;sup>‡</sup> Utility theory is subject to the so-called "common ratio effect" which observes that the common sense choice often differs from the best choice under utility theory. For example, people often opt for a marginally higher payoff with marginally higher risk when an event is very rare to begin with (e.g., lottery tickets), but at the same time, will opt for a marginally lower payoff when the choice is between a guaranteed success and a 50-50 chance of success <sup>46</sup>.

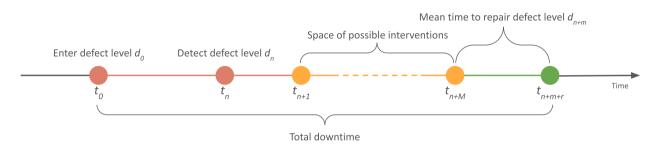
## 3.1 Defect Level Inference

Suppose a defect state,  $d_0$ , is entered at time  $t_0$  and is detected at time  $t_n$ . Assuming that time is measured in days, this means n days have passed between the time the defect state was entered and the time the defect was detected. We will consider a set of three possible defect levels: Minor Repair (1), Major Repair (2), and Major Repair with Replacement (3).

Based on the defect level and time since detection, the goal is to choose the best possible intervention out of the set of possible interventions,  $I = \{I_0, ..., I_M\}$  where intervention  $I_m$  corresponds to initiating repair on day  $t_{n+m}$ , i.e., m days after the defect is detected. This intervention has a set of possible outcomes given by

$$X_m = \{x_{3m}, x_{2m}, x_{1m}, x_{0m}\},\tag{7}$$

where  $x_{km}$  corresponds to entering defect level k on day  $t_{n+m}$  (defect level 0 corresponds to no defect). Note, these outcomes are ordered by descending index in order to sort from worst to best outcome, to keep in line with the conventions of cumulative prospect theory. At the time that the repair begins, the defect level is  $d_{n+m}$ , and when the repair is complete, the defect level is 0. A visual timeline of this process is shown in Figure 3.



**FIGURE 3** The timeline for decision-making begins at time  $t_0$  when the turbine enters defect level  $d_0 > 0$ . This defect is detected at time  $t_n$ , after which the space of possible interventions follows. The defect can continue to worsen during this time. After the repair is made, the defect level returns to 0 (i.e., operational) at time  $t_{n+m+r}$ .

In the time between the initial occurrence of the defect at time  $t_0$ , its detection at time  $t_n$ , and the initiation of repair at time  $t_{n+m}$ , we allow for a worsening of the defect, that is,  $0 < d_0 \le d_n \le d_{n+m}$ . A graphical model of this process is shown in Figure 4. The defect level of a turbine component can be modeled as a Markov process. This requires knowledge of the current system state and the probabilities of entering one of the more severe defect states. This information is expressed as a 4 × 4 Markov transition matrix, A, where the  $ij^{th}$  entry is the probability of transitioning from defect level i to defect level j. Note that A is an upper triangular matrix since a defect will only get worse without intervention. Let  $\mathbf{e}_{d_n}$  be the row vector, which is 1 in position  $d_n$  and 0 elsewhere. Then, the probability of experiencing outcome  $x_{km}$  (i.e. entering the  $k^{th}$  defect level at time  $t_{n+m}$ ) is given by

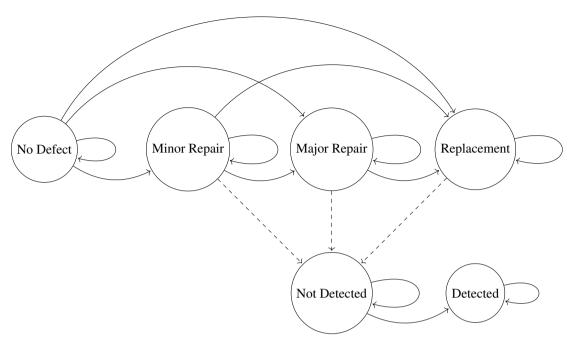
$$p_{km} = \left(\mathbf{e}_{d_n} A^{n+m}\right)_k \tag{8}$$

In a similar manner, whether or not a defect has been detected will also be modeled as a Markov process, where D is the  $2 \times 2$  upper triangular matrix with the transition probabilities between "not detected" and "detected."

## 3.2 | Economic Cost Model

We will estimate the utility of intervention  $I_m$  as the cost to repair m days after a defect is detected. At this point, the defect level is d > 0 and t days have passed since the defect occurred. The cost to repair is a function of the defect level and the number of days elapsed, and it is given by

$$c(d,t) = f(d) \cdot \left[ c_{vessel}(d) + c_{person}(d) \right] + (f(d) + t) \cdot c_{down} + c_{materials}(d)$$
(9)



**FIGURE 4** The top row of the graphical model shows the transitions between operational and defect states with probabilities associated with the test case described in section 4. The bottom row shows the transitions between undetected and detected defects that occur in parallel.

where f(d) is the mean time to repair a defect of level d,  $c_{vessel}(d)$  and  $c_{person}(d)$  are the associated vessel rental and personnel costs per day for a defect of level d,  $c_{material}(d)$  is the material cost to repair a defect of level d, and the constant value  $c_{down}$  is the cost per day for turbine downtime. Tying this back into the framework of cumulative prospect theory, we define the economic utility of outcome  $x_{km}$  of intervention  $I_m$  as  $v(x_{km}) = c(k, n + m)$ .

# 3.3 | Risk Assessment and Safety Cost Model

The safety component of the decision-framework model is broken down into a risk score and a safety cost model. This distinction is functional in dividing between factors that affect the risk score and those that are affected, providing key insights into understanding the dynamics of safety management in offshore wind operations. The risk score is designed to account for and integrate key safety factors, like delay, weather, and equipment suitability, which directly impact the risk score because they influence the likelihood and severity of potential incidents. This comprehensive risk assessment enables identifying and quantifying hazards associated with offshore wind energy operations. In contrast, factors affected by the risk score, such as insurance costs and reputational impact, are consequences that result from the quantified risk <sup>51</sup>. Higher risk scores typically lead to increased insurance premiums because insurers perceive a greater likelihood of claims. Reputational impacts can arise from high-risk incidents, damaging the credibility and market position of the operator. Therefore, the safety cost model acts as a bridge, translating the inherent hazards of offshore operations captured by the risk score into financial and operational repercussions, highlighting the importance of mitigating primary risk factors to control subsequent costs and impacts.

In offshore wind energy operations, workers' safety conditions are addressed and planned for by developers in the design of safety management systems that are often translated into a Risk Assessment Matrix (RAM) to evaluate safety operations systematically. The matrix assesses potential hazards by categorizing the severity and likelihood of incidents, thereby guiding decision-making and risk mitigation strategies. Severity levels range across different injury concerns from no injury to multiple fatalities, while likelihood levels range from highly unlikely to highly probable. These levels are determined by factors such as equipment availability, weather conditions, and time delays. The risk score is calculated as the product of severity and likelihood, and it integrates the impact and probability of an event, providing a comprehensive measure of risk.

Figure 5 shows an example of a customized risk assessment matrix below that is tailored specifically for offshore wind operations. This matrix will be later used in the numerical application. It incorporates severity and likelihood scales relevant to the specific hazards encountered during these operations, such as severe weather conditions, equipment failure, and personnel safety issues. The matrix consists of categories ranging from A1 (Highly Unlikely, No Injury) to E5 (Highly Likely, Multi-Fatalities), each associated with a risk score using a three-color scheme: acid green (low risk, 0-0.5), peach (moderate risk, 0.5-1), and magenta (high risk, 1-1.5). Depending on the specific activity and weather conditions, such as wave height for vessel transfer and wind speed for working at height, scenarios are assessed and placed within this matrix. For instance, wave heights and wind speeds are mapped to their respective risk categories, determining the necessary safety measures. This approach integrates quantitative risk assessment into decision-making processes.

Severity/ Likelihood	Highly Unlikely A	Unlikely B	Possible C	Likely D	Highly Likely E
No Injury: 1	A1	B1	C1	D1	E1
Minor:2	A2	B2	C2	D2	E2
Major:3	А3	В3	C3	D3	E3
Fatalities:4	A4	B4	C4	D4	E4
Multi-Fatalities:5	A5	B5	C5	D5	E5

Severity		Likelihood		
1	No Injury	Α	Highly Unlikely	
2	Minor Injury	В	Unlikely	
3	Major Injury	С	Possible	
4	Fatality	D	Likely	
5	Multiple Fatalities	E	Highly Likely	

FIGURE 5 Risk Assessment Matrix

These risk assessment matrices are conditional upon the intervention type and phase of the intervention. In this setting, our intervention outcomes are still given by

$$X_m = \{x_{-\ell m}, ..., x_{-1m}, x_{0m}, x_{1m}, ..., x_{km}\}.$$

but now the  $x_{im}$  is an external or environmental state that might be encountered in the  $m^{th}$  intervention.

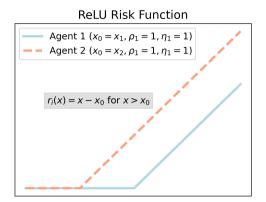
One of the key contributions of this work is proposing a decision-making framework that enables one to account for how risk is perceived differently by various parties involved in the decision-making process. These individuals, or "agents," have different preferences and levels of risk tolerance that inform their perceived risk. This subjective assessment translates into a modulation of the risk score defined and calculated from the risk assessment matrix, and it is modeled using a risk perception function,  $r_j$  defined for each party or agent involved, j. The risk perception function is a mathematical model that translates a quantitative measure of risk into a perceived level of risk. It is possible to build and design the risk perception function following different strategies. In this work, the authors propose a piecewise risk perception function  $r_j$  as presented in equation 10 and show in Figure 6,

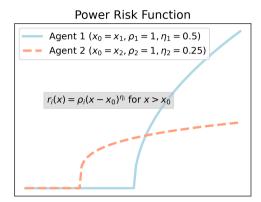
$$r_j(x_{im}) = \begin{cases} \rho_j \cdot (x_{im} - x_0)^{\eta_j} + h & \text{if } x \ge x_0 \\ h & \text{if } x_{im} < x_0 \end{cases}$$
 (10)

where  $\rho_j$  is a scaling factor that adjusts the intensity of the perceived risk for each agent;  $x_0$  is the threshold beyond which risk starts to be perceived,  $\eta_j$  determines the curvature of the function, allowing for different sensitivities to risk as  $x_{im}$  varies. It is possible to consider  $x_{im}$  as representative of one or the primary quantity driving the risk, such as wind speed when working at height or wave height when performing a vessel transfer operation. This work focuses on a single risk variable x, although this framework could easily be extended to a weighted sum or other aggregate function that includes multiple risk covariates.

This piecewise function effectively models risk perception, being able to account for a threshold  $x_0$  below which risk perception is constant, assuming a small value (or 0). This aligns with the idea that risks below a certain level are somewhat small or not perceived as significant. The parameters  $\eta_j$  and  $\rho_j$  allow for flexibility in shaping the risk perception curve, accommodating

various levels of sensitivity to risk. For instance, different agents can have different values for these parameters, reflecting their unique risk perceptions. Finally, the non-linear nature of the function when  $x_{im} \ge x_0$  models how risk perception can increase at an accelerating rate, which is often observed in real-world scenarios.





**FIGURE 6** Examples of risk perception function as described in equation 10. The ReLU function on the left corresponds to  $\rho_i = 1$  and  $\eta_i = 1$  for both agents. The power function on the right corresponds to different positive values for  $\eta_i$ .

The safety cost model  $u_j(x_{im})$  for the  $i^{th}$  outcome of the  $m^{th}$  intervention by the  $j^{th}$  agent includes insurance and reputational costs, which are functions of the perceived risk

$$S_{im} = \sigma_i \cdot r_i(x_{im}) \tag{11}$$

and

$$R_{im} = \lambda_i \cdot r_i(x_{im}) \tag{12}$$

where  $\sigma_j$  and  $\lambda_j$  represent parameters that scale the perceived risk to reflect the actual insurance premium and potential impact on the developer's reputation for the  $j^{th}$  agent. They respectively vary based on the insurance provider's assessment of risk and the coverage required and based on the organization's public image, industry presence, and stakeholder engagement. The combined safety cost model for agent j becomes

$$u_i(x_{im}) = S_{im} + R_{im} = (\sigma_i + \lambda_i) \cdot r_i(x_{im}). \tag{13}$$

depending on the specific safety ramifications of intervention  $I_m$  and the inherent risk of outcome  $x_i m$ .

## 3.4 Decision Model

Prior to computing the prospect value, the probabilities given in equation 8 are adjusted using the Prelec function and weighted marginal probabilities as in equations (3) and (4). Combining all of this, we have an overall prospect for intervention  $I_m$  for agent j is given by

$$P_{mj} = \alpha \cdot P_m(\theta_{economic}) + \beta \cdot P_{mj}(\theta_{safety}) \tag{14}$$

where  $\alpha$  and  $\beta$  are two weighting parameters that can be adjusted based on targeted relative model contributions of economic factors and safety factors. Alternatively,  $\alpha$  and  $\beta$  could be scaling factors to recalibrate the base orders of magnitude of the economic and safety contribution. This might depend on how the risk cost is evaluated. From here, the optimal maintenance

	Minor Repair	Major Repair	Major Replacement
Material repair cost (USD)	189	1,665	99,900
Number of technicians	2.1	3.3	21
Time to repair (hours)	9	21	288

**TABLE** 4 Average repair values associated with blade defects and failures as reported in <sup>25</sup>. Currency values are converted from Euros to USD using the historical 2016 average 1.11 Euro-USD exchange rate.

intervention is given by

$$I = \underset{m}{\operatorname{argmin}} P_m, \tag{15}$$

the intervention with the lowest prospect value.

## 4 NUMERICAL EXAMPLE

The following section focuses on the implementation of the methodology presented in the previous section, which focuses on a case study of blade repair planning. Wind turbine blades are critical components that frequently encounter various types of defects due to their continuous exposure to harsh environmental conditions. Common defects include leading edge erosion, cracks, delamination, and lightning strike damage <sup>52</sup>. Studies indicate that leading edge erosion is particularly prevalent together with adhesive joint degradation, trailing edge failure, and buckling <sup>53</sup>. Cracks and delamination often result from material fatigue and manufacturing defects, while lightning strikes are more random but potentially catastrophic.

There are several downsides related to the development of damage in blades. Blade defects significantly impact the operational efficiency and financial performance of offshore wind farms. Leading edge erosion, for instance, can reduce aerodynamic efficiency, leading to a decrease in power output by up to 5% annually <sup>54</sup>. Cracks and delamination not only reduce structural integrity but also necessitate immediate attention to avoid catastrophic failures. The resulting downtime from such defects can be substantial, often leading to power production losses and increased maintenance costs. A single turbine's downtime due to blade repair can range from a few days to several weeks, depending on the severity of the defect and the availability of repair resources.

Given the critical role played by these structural components in the maintenance strategies for offshore wind farms, the following section focuses on this particular turbine component. For this example, we will work with the NREL offshore reference project turbine rated at 6.1MW with a 48.7% capacity factor<sup>4</sup> and assume a \$.02/kWh purchase price<sup>32</sup>. Based on this, we can assume a

$$6,100 \text{kW} \times \$.02/\text{kWh} \times 48.7\% = \$59.41$$

cost per hour of downtime. Following the U.S. Department of Labor Bureau of Labor Statistics 2023 Occupational Outlook Handbook for wind turbine technicians, the average hourly pay is \$29.70<sup>30</sup>.

Minor repairs often include simple operational failures or repairs of leading-edge erosion by the addition of a protective coating, tape, or shield <sup>55</sup>; major repairs might include filling, sealing, and resin injections in non-structural material matrix cracks <sup>56</sup>; major replacements can be the consequence total blade collapse from delamination or buckling <sup>57</sup>. The most common cause for major replacements in blades is lightning strikes, which can sometimes require the replacement of all three blades <sup>58,59</sup>. Material repair costs, number of technicians needed, and the mean time to repair as reported in <sup>25</sup> (shown here in Table 4).

Next, we compute the rates and probabilities of each defect/repair severity type. From  $^{25}$ , we know that turbine blades have a failure rate of 0.456 for the minor repair category, 0.01 for the major repair category, and 0.001 for the major replacement category. From this, it is possible to extrapolate the average number of failures expected across a fixed time horizon and learn the transition probability matrix, A, where the  $ij^{th}$  entry of A is

$$p_{ij} := p(d_t = j \mid d_{t-1} = i)$$

where  $d_t$  is the defect level at time t, and t is measured in days. For this example, we will solve for a row-stochastic transition probability matrix of the form

$$A = \begin{bmatrix} 1 - (p_{01} + p_{02} + p_{03}) & p_{01} & p_{02} & p_{03} \\ 0 & 1 - (p_{12} + p_{13}) & p_{12} & p_{13} \\ 0 & 0 & 1 - p_{23} & p_{23} \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

From <sup>25</sup>, we know that turbine blades have a 0.456 annual failure rate, which means the probability of requiring a minor repair in a given year is

$$1 - e^{-0.456} = 0.366$$

For a turbine, an initial state vector  $x_0 = (1, 0, 0, 0)$ , i.e., operational at time t = 0, its state after t days is given by the vector  $x_0A^t$ . Therefore, after 365 days, we would expect

$$(x_0 A^{365})_1 \approx 0.366.$$

Using ordinary least squares minimization implemented using Scipy's minimize function with constrained optimization by linear approximation ("COBYLA"), it is possible to solve the minimization program

$$f = \min_{(p_{01}, p_{12}, p_{13})} \sum_{k} (N_k - \hat{N}_k)^2$$

where

$$\hat{N}_k = \left(x_0 A^{365}\right)_k \cdot N_{\text{total}}.$$

Solving this, we obtain

$$A = \begin{bmatrix} 0.945 & 0.053 & 0.001 & 0.000 \\ 0 & 0.997 & 0.001 & 0.001 \\ 0 & 0 & 0.999 & 0.001 \\ 0 & 0 & 0 & 1 \end{bmatrix}.$$

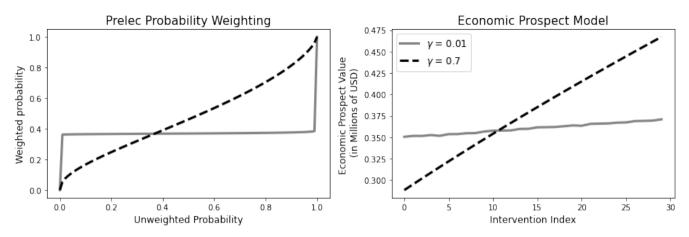
For numerical simplicity, we assume that  $p_{02} = p_{12}$  and  $p_{13} = p_{23}$ , although this need not be the case. Figure 7 shows the sample economic prospect values for this numerical example. In all cases, the economic prospect value increases over time since damage accumulates over time if left unchecked. The solid grey line in Figure 7 corresponds with  $\gamma = 0.01$  in equation 4, which gives nearly equal weight to all outcomes regardless of probability. Since probabilities of the outcomes evolve over time, and since the costs associated with each outcome differ, the grey light shows very slight instability. The black dashed line, on the other hand, corresponds to  $\gamma = 0.7$ , which always gives a strong priority to the most likely outcome, which, over time, becomes the most costly outcome. Notice that the two lines cross each other at intervention index 10, i.e., intervention on the  $10^{th}$  possible day.

Figure 8 illustrates the outcomes of using the Markov model described (Figure 4) in section 3.1 combined with the described transition matrix to simulate defect, detection, and repair cycles for two turbines over 365 days. The *x*-axis represents the days, while the *y*-axis categorizes the type of defect or repair activity, ranging from "No Defect" to "Major Replacement."

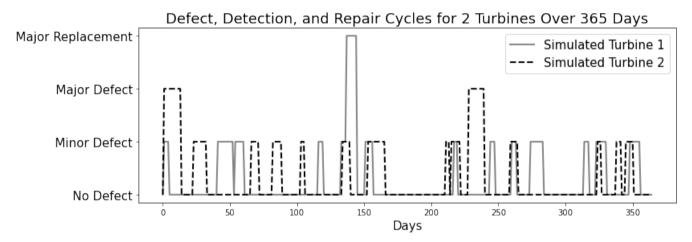
Turbine 1 (continuous grey line) experienced a total of 13 minor repairs, 0 major repairs, and 1 major replacement. The minor defects for Turbine 1 are frequent and spread throughout the year, indicating regular but less severe maintenance requirements. The major replacement is indicated by a significant spike near the middle of the year cycle, showing that this event required more resources and time. The flat area at the top of each spike represents the combined time between defect occurrence, detection, and repair (recall that there may be a lag between defect occurrence and detection).

Turbine 2 (dashed black line) required 13 minor repairs, 2 major repairs, and 0 major replacements. Similar to Turbine 1, Turbine 2's minor defects are distributed throughout the year, showing consistent, less critical maintenance. The two major repairs are noticeable on the graph as more intensive maintenance activities compared to the minor repairs.

Comparatively, both turbines underwent significant maintenance. The number of minor repairs is equivalent for Turbine 1 compared to Turbine 2, but turbine 2 also needs major repairs, suggesting Turbine 2 might be slightly less reliable in terms



**FIGURE 7** Economic prospect values for varying interventions and choices for  $\gamma$  in Equation (4). The smaller values of  $\gamma$  correspond to giving roughly equal weight to all possible outcomes, whereas larger values stay closer to a linear probability weighting.

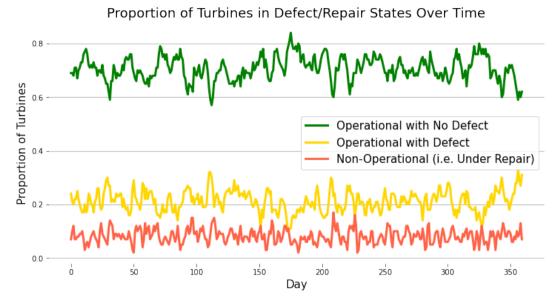


**FIGURE 8** Two simulated cycles of defect, detection, and repair. Turbine 1 required 13 minor repairs, 0 major repairs, and 1 major replacement. Turbine 2 required 13 minor repairs, 2 major repairs, and 0 major replacements.

of minor/major issues. Conversely, Turbine 1 experienced a major replacement, requiring the complete overhaul of a turbine component.

Figure 9 illustrates the proportion of turbines in different defect or repair states over time, specifically tracking 100 turbines across 365 days. The *x*-axis represents the days, while the *y*-axis indicates the proportion of turbines in each state. The green line represents turbines that are fully operational and have no defects. The yellow line shows turbines that are still operational but have some form of defect, either minor or major. These turbines continue to function despite the defect but may require maintenance soon. The red line indicates turbines that are non-operational because they are undergoing repairs. This includes turbines in the more severe states of major repair or major replacement, where they are taken offline until the repairs or replacements are completed. The red line reflects the proportion of turbines out of service for active repair, while the yellow line covers those with defects that have not yet led to downtime.

Throughout the year, the proportion of defect-free operational turbines fluctuates but generally remains around 70%. This suggests that most turbines are kept in good working condition through regular maintenance and repairs. The operational turbines with defects, represented by the yellow line, show a consistent presence, indicating that while some turbines operate with minor issues, they are still functional.



**FIGURE 9** Cycles of defect, detection, and repair were simulated for 100 turbines across 365 days. Overall, there is a defect-free fleet availability of around 70%.

The red line, representing non-operational turbines under repair, remains steady at around 10% throughout the year. This proportion reflects that while the likelihood of turbines entering severe defect states, such as major repairs or replacements, is relatively low, the turbines requiring these interventions remain out of service for extended periods. The transition matrix's final row, with a value of 1 in the "Major Replacement" state, indicates that once a turbine enters this state, it stays non-operational until the repair or replacement is fully completed. This prolonged downtime, particularly for major repairs and replacements, leads to a consistent proportion of turbines being unavailable at any given time. The 10% non-operational rate aligns with the assumption of fixed repair times in the study, where turbines undergoing significant repairs or replacements are out of service for predictable durations, contributing to the stable proportion seen in the plot.

# 4.1 | Risk evaluation for vessel transfer and working at height

The blade repair process in offshore wind farms is divided into several critical phases, each with specific decision points and safety considerations. This section will address the safety considerations and modeling strategies for the case of minor crack repairs described and identified in the previous section. Figure 10 summarizes the key stages considered in the present case study.

The simulation of this repair assumes the mobilization of personnel and equipment. The assumptions made here consist of including two blade repair specialists, two rope access technicians, and two safety officers alongside the necessary equipment, such as a crew transfer vessel (CTV), ropes and harnesses, UV curing lamps, composite patch materials, and safety gear.

The port preparation phase initially involves inspection, risk assessment, and a go/no-go decision based on weather forecasts and readiness. During navigation to the site, the team continuously monitors weather conditions to ensure safe transit. Upon arrival, a safety briefing precedes the vessel transfer, where sea state and wind speed are assessed to determine whether the proceeding is safe.

Once the crew safely transfers to the wind turbine, they prepare for climbing, ensuring all safety gear is secure and the weather conditions are favorable. During the working-at-height phase, repair specialists perform the crack repair using composite patches and UV curing lamps. This phase requires continuous monitoring of weather conditions and stability.

After completing the repair, the team prepares for the descent, ensuring all safety measures are in place. The descent and subsequent vessel transfer back to the CTV are carefully executed, considering real-time weather conditions. The final phase involves navigation back to port, with continuous weather monitoring to ensure safe return.

Throughout these phases, key factors such as weather conditions significantly influence decision-making, especially regarding vessel transfer and working at height. Safety considerations include the reliability of equipment, emergency preparedness, and risk assessment models to mitigate potential hazards.

The two most critical phases are the vessel transfer and working at height. The specific risk assessment categories can identified in the particular phase of analysis by looking at the RAM presented in Figure 5.



**FIGURE 10** Visual sequence representing key stages in offshore wind farm blade repair. From left to right: Port preparation with a coastal dock view, Navigation phase with a crew transfer vessel en route to the site, vessel transfer with CTV alongside the wind turbine, reaching the nacelle depicted by technicians approaching the turbine, and the blade repair phase showing technicians performing work at height on the turbine structure. Sources: Shutterstock, Turbine Transfers, Ørsted, WBUR

Table 5 below presents a combined risk assessment for vessel transfer and working at height in offshore wind operations. It categorizes the nature of hazards, identifies the persons at risk, describes the possible effects of these hazards, and assigns a safety rating to each scenario. For vessel transfer, adverse weather, equipment failure, and poor visibility are identified as primary risks, each with significant safety implications. Similarly, hazards such as equipment failure and varying wind conditions are highlighted for working at height, with adverse weather, particularly high winds, being the most critical, associated with severe consequences such as falls and fatalities.

For vessel transfer, adverse weather (high wave-wind), equipment failure, and poor visibility are identified as key hazards, with safety ratings of C4 for adverse weather and poor visibility and C3 for equipment failure, highlighting the significant risks of capsizing, personnel injury, and navigation errors. For working at height, the risks include equipment failure, moderate wind, and high wind, with safety ratings ranging from C3 to D5, indicating the severe risk of falls and fatalities under adverse weather conditions. These ratings emphasize the critical need for stringent safety measures to protect crew and technicians during these operations.

Activity	Nature of Hazard	Persons at Risk	Possible Effects of Hazard	Safety
Vessel Transfer	Adverse Weather (High Wave-Wind) Equipment Failure Poor Visibility	Crew, Technicians Crew, Technicians Crew, Technicians	Capsizing, Personnel Overboard Injury or Loss of Equipment Navigation Error, Collision	C 4 C 3 C 4
Working At Height	Equipment Failure Adverse Weather (Medium Wind) Adverse Weather (High Wind)	Technicians Technicians Technicians	Injury, Delayed Repair Slips, Falls Falls, Fatality	C 3 C 4 D 5

TABLE 5 Combined risk assessment for vessel transfer and working at height.

This work will focus on a simplified application of the risk assessment framework where x represents a single variable that drives the risk score. Specifically, for the vessel transfer phase, x represents wave height. For the working at height phase, x represents the wind speed. The risk function models the increased danger of vessel transfer or working at height as wave height or wind speeds rise above a threshold  $x_0$ , with the curvature parameter  $\eta$  adjusting how rapidly perceived risk escalates. The function captures how these weather parameters drive and impact the safety for these two phases, reflecting higher risk perceptions as conditions worsen.

Table 6 and Table 7 provide a detailed summary of the quantitative factors influencing risk perception functions for both transfer operations and working at height in offshore wind turbine maintenance. These tables categorize various safety factors into low, medium, and high-risk perception levels, such as weather conditions and PPE availability.

The threshold defining the boundary between low and medium risk perception serves as the neutral reference point,  $x_0$ . This reference point is critical for establishing baseline step functions in the risk assessment matrix and building the risk perception function. For instance, in Table 6, a wave height below 1.5 m and a wind speed below 5 m/s are considered low risk, defining the neutral points for these parameters. Similarly, in Table 7, a wind speed below 4 m/s and a wave height below 1.5 m define the low-risk threshold for working at height.

These neutral reference points allow for the creation of baseline risk perception functions, which can then be integrated into the overall risk assessment matrix. By mapping the conditions above to higher risk levels (0,0.5 and 1) according to the RAM, these tables facilitate the systematic construction of step functions that model how risk perception escalates from low to medium and high as conditions worsen.

	Safety Factor	Low Risk Perception	Medium Risk Perception	High Risk Perception	
	Wind Speed	< 5 m/s	$5 \text{ m/s} \le x < 13.4 \text{ m/s}$	> 13.4 m/s	
	Wave Height	< 1.5 m	$1.5 \text{ m} \le x < 3 \text{ m}$	> 3 m	
	Wave Period	< 6 s	$6 \text{ s} \le x < 16 \text{ s}$	> 16 s	
Weather Conditions	Water Temperature	> 15°C	$5^{\circ}C \le x < 15^{\circ}C$	< 5°C	
	Current	< 0.25 m/s	$0.25 \text{ m/s} \le x < 0.51 \text{ m/s}$	> 0.51 m/s	
	Visibility	Good	Mild	Limited	
	Daylight	Morning	Afternoon	Night	
	Life Jackets/PFD	> 275 N	< 275 N		
	PLB (locator beacon)	Available	Not Ava	ilable	
PPE Availability	Gloves w/good grip	Available	Available Not Available		
	Boots (waterproof)	Available	Not Available		
	Immersion Suit	Available	Not Available		
	Warm clothes	Available	Not Available		

TABLE 6 Summary of quantitative factors influencing risk perception function for transfer operations.

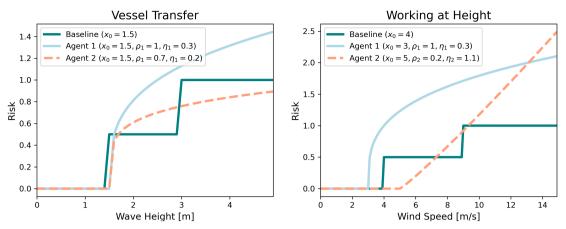
	Safety Factor	Low Risk Perception	Medium Risk Perception	High Risk Perception
	Wind Speed	< 4 m/s	4-9 m/s	> 9 m/s
Weather Conditions	Wave Height	< 1.5 m	1.5-3 m	> 3 m
	Water Temperature	> 15°C	5-15°C	< 5°C
	Visibility	Good	Mild	Limited
Life Jackets/PFD		Available	Not Available	
PPE Availability	Gloves	Available	Not Available	
	Immersion Suit	Available	Not Available	
	Safety Harness	Available	Not Available	

TABLE 7 Summary of quantitative factors influencing risk perception function for working at height.

As shown in Figure 11, for vessel transfer, the baseline risk perception function shows minimal risk up to a wave height of 1.5 meters, beyond which the risk escalates sharply. This threshold reflects industry consensus on safe operational conditions. In contrast, Agent 1's risk perception increases more gradually beyond the same threshold, indicating a more cautious approach that acknowledges escalating risk in a more nuanced manner. Agent 2 perceives a slower increase in risk, suggesting a consistently higher sensitivity to wave height variations across the spectrum.

In the working-at-height scenario, the baseline risk perception function follows a similar pattern, significantly increasing perceived risk beyond the neutral point of 4 m/s wind speed. This sharp rise highlights the critical safety concern associated with higher wind speeds. Agent 1, however, begins to perceive increased risk at lower wind speeds, reflecting a lower tolerance for risk and a preference for heightened caution. Agent 2's more linear risk perception suggests a steadier approach to risk escalation, indicating confidence in managing risks even as conditions worsen.

These varying risk perceptions highlight the differences in risk tolerance among stakeholders, emphasizing the importance of incorporating such models into decision-making frameworks.



**FIGURE 11** Risk perception functions for vessel transfer and working at height, with baseline scenarios derived from neutral safety thresholds. The plots illustrate how different agents perceive risk in response to increasing wave height and wind speed, reflecting varying levels of risk aversion and sensitivity to environmental conditions

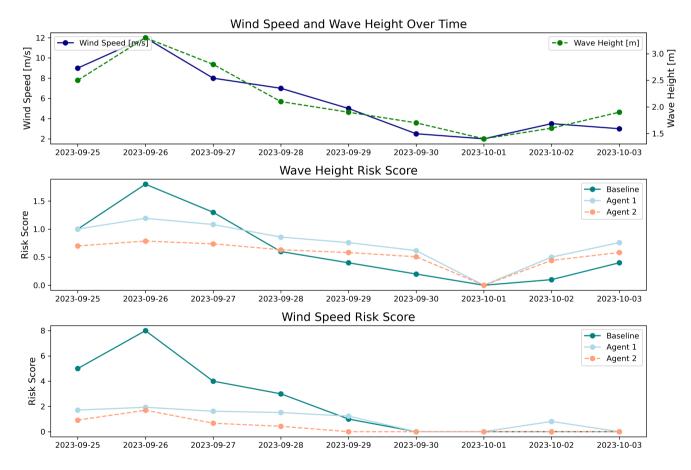


FIGURE 12 Temporal analysis of wind speed, wave height, and corresponding risk perceptions for two simulated agents.

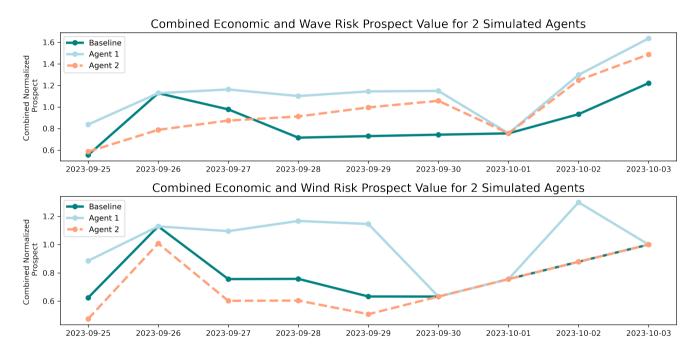
Figure 12 illustrates the simulated wind speed and wave height over a week and their corresponding impact on risk perception for different agents, aiding in determining the optimal day for intervention. The top plot combines wind speed and wave height data over the simulated period, with wind speed on the left *y*-axis and wave height on the right *y*-axis. The middle plot shows the risk scores associated with wave height, while the bottom plot displays the risk scores associated with wind speed. The baseline

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risk, Agent 1 (more risk-averse), and Agent 2 (more risk-tolerant) are compared. The variations in risk scores reflect how each agent's perception changes with the environmental conditions, highlighting the differences in decision-making strategies under fluctuating wind and wave conditions. The highest risks are observed around September 26th, coinciding with peak wind speeds and wave heights. As conditions improve later in the week, the risk scores decrease, with the baseline returning to zero when conditions fall below critical thresholds. The optimal day for intervention, where risks are minimized, can be identified by the lowest risk scores later in the week, signaling safer conditions for going out.

# 4.2 | Combined prospect value assessment

Figure 13 presents the normalized combined prospect values for two simulated agents across a series of intervention scenarios. The normalization process was employed to rescale the economic and safety prospect values to a common range, making it easier to compare the decision-making strategies of the two agents on a qualitative level. This normalization was achieved by first subtracting the minimum value of the respective prospect functions and then dividing by the maximum value, ensuring that both the economic and safety prospects fall within a [0, 1] range. This approach allows for focusing on relative changes in prospect values rather than their absolute magnitudes, providing clearer insights into the agents' decision-making processes.



**FIGURE 13** Combined prospect values for two simulated agents over a series of intervention scenarios. The intervention index reflects different potential days or scenarios for action, highlighting the differences in decision-making strategies between the two agents and relative to a raw baseline decision.

Figure 13 illustrates the normalized combined prospect values derived from integrating economic and safety considerations for two simulated agents. With a lower risk tolerance and higher sensitivity to both wave height and wind speed (see Figure 12), Agent 1 perceives relatively high safety risks even at low wind speeds. This is evident from the combined prospect value trend, where Agent 1 shows a sharp decrease only when the wind and waves drop below the tolerance threshold. This drop alleviates the perceived safety risk for Agent 1, leading to a significant decrease in the prospect value. This change underscores Agent 1's cautious strategy, prioritizing safety and early intervention as soon as conditions improve.

On the other hand, Agent 2, with a higher risk threshold lower sensitivity to both wave height and wind speeds (see Figure 12), showing a more moderate response to changes in wave and wind conditions. The prospect value for Agent 2 increases gradually, indicating a strategy that is less reactive to immediate changes in wind speed. Agent 2's higher tolerance for safety risks allows

for a more immediate intervention approach, reflected in the steadier rise in the combined prospect value over time, even as wind speeds vary throughout the observed period.

Despite both agents sharing the same economic coefficient ( $\gamma = 0.7$ ), their safety parameters drive different overall strategies. Agent 1's economic considerations are more strongly influenced by the immediate need to reduce safety risks, leading to quicker and more substantial changes in combined prospect value as soon as safer conditions (lower wind speeds) are perceived. Agent 2, however, balances economic and safety concerns differently. With a higher tolerance for wind speed risks, Agent 2 is willing take immediate action regardless of changing conditions. This reflects a strategy that integrates economic potential more heavily over immediate safety concerns, particularly when wind speeds are high.

The wind speed peaked on September 26th, leading to the highest risk perception, especially for Agent 1. As wind speeds decreased after this peak, Agent 1's prospect value saw a sharp increase, indicating that this agent found it more advantageous to intervene quickly as soon as the risk level (due to high wind speeds) dropped. Agent 2, however, remained more conservative in their prospect value increase, reflecting a strategy of waiting for even more favorable conditions before considering intervention.

The variations in combined prospect value between the two agents highlight how different safety sensitivities and risk tolerances shape their decision-making strategies in response to environmental changes, such as wind speed. Agent 1, with a stronger emphasis on safety, responds more immediately to reductions in wind speed, leading to a more dramatic changes in prospect value. In contrast, Agent 2, which is more economically driven and less sensitive to wind speed changes, shows a more gradual changes in prospect value, reflecting a strategy that favors early intervention. This differentiation illustrates the flexibility and applicability of the combined prospect value model in capturing diverse decision-making approaches under varying environmental conditions.

# 5 | DISCUSSION

This paper introduced a comprehensive framework for managing offshore wind turbine maintenance, designed to guide the process from initial defect detection through to optimal decision-making for intervention. The framework integrates several key components: a Markov process to characterize defect development and detection, economic prospect theory for evaluating potential interventions, and safety risk models for assessing the risks associated with various environmental conditions. Together, these elements provide a robust tool for decision-makers in offshore wind operations, allowing for a nuanced analysis of when and how to intervene in maintenance activities.

The framework was applied to a numerical case study involving the maintenance of offshore wind turbines in the case of a minor defect level in the blades. In this case study, the Markov chain model was used to simulate the progression of blade components defects over a specified period. The model provided estimates of the probability that a defect would require intervention, forming the basis for the subsequent economic and risk analyses.

The validation of the framework through this numerical model demonstrated the ability and the potential to handle complex decision-making scenarios in offshore wind maintenance. By integrating defect detection, economic evaluation, and risk assessment, the framework provided a comprehensive decision-support tool that can be adapted to different operational contexts and stakeholder preferences.

The framework's flexibility and robustness make it a strong candidate for further development, particularly in the context of multi-scale, multi-stakeholder modeling. While this study focused on its immediate application to a numerical model, future research will extend the framework to incorporate a broader range of stakeholders and temporal scales, enabling a more comprehensive analysis of offshore wind operations. This extension will provide deeper insights into the complex interactions between stakeholders, further enhancing the framework's utility in research and practical applications.

In addition to extending the framework, future work will also focus on integrating mitigation strategies to improve safety in offshore wind turbine maintenance. These strategies will be designed to address the specific risks identified through the framework, reduce accident likelihood, and improve the effectiveness of operational decision-making. By incorporating these strategies, the framework will evolve into a more holistic tool that assesses risk and guides the implementation of safety measures in real-world settings.

Overall, the framework developed in this paper represents a significant advancement in analyzing risk perceptions in offshore wind operations. Its flexibility and ability to integrate diverse risk models and environmental data make it a powerful tool for academic research and industry practice. The successful application of the framework to a numerical model in this study demonstrates its practical relevance. It sets the stage for further development and application in future research and operational contexts.

#### **AUTHOR CONTRIBUTIONS**

The first and second authors contributed equally to this work.

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#### FINANCIAL DISCLOSURE

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## CONFLICT OF INTEREST

The authors declare no potential conflict of interest.

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