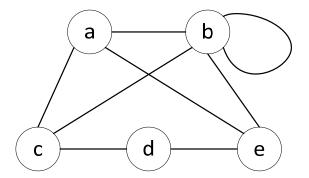
# Data Structures and Algorithms

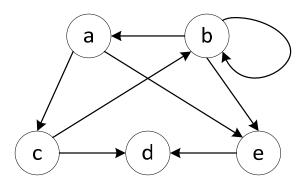
Chapter 14

- A graph is a set V of vertices and a collection E of edges, G = (V, E)
- An edge connecting vertices (or nodes) u and v is denoted (u, v).
- An edge can be directed or undirected.
- Directed graph vs. undirected graph:

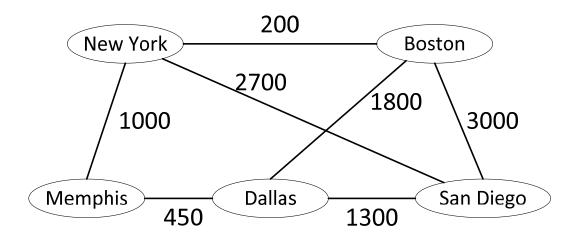
(a) Undirected graph



(b) Directed graph

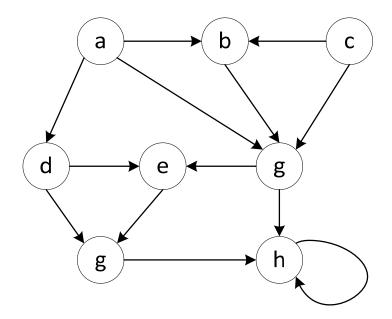


- Two vertices u and v are said to be adjacent if there is an edge (u, v).
- An edge is said to be incident to a vertex if the vertex is one of the edge's endpoints.
- Weighted graph: An information (usually called weight) is associated with edges

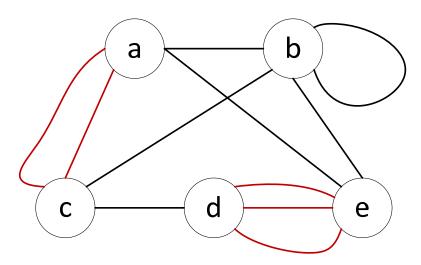


- Outgoing edge vs. incoming edge
- Degree, in-degree, and out-degree of a node

- The outgoing edges of vertex g are (g, e), (g, h).
- The incoming edges of vertex g are (a, g),
   (b, g), (c, g).
- The degree of vertex g, deg(g) = 5.
- The in-degree of vertex g, indeg(g) = 3.
- The out-degree of vertex g, outdeg(g) = 2.



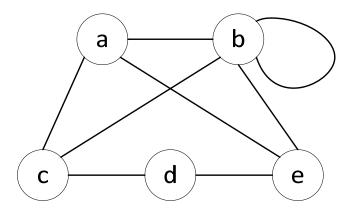
Parallel edges and self-loops



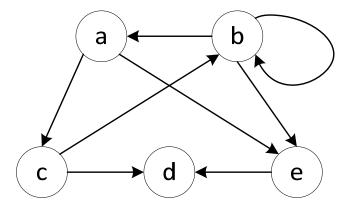
- A graph is *simple* if it does not have parallel edges or self-loops.
- In our class, all graphs are considered simple unless otherwise noted.

 Path, cycle, simple path, simple cycle, directed path, directed cycle

(a) Undirected graph

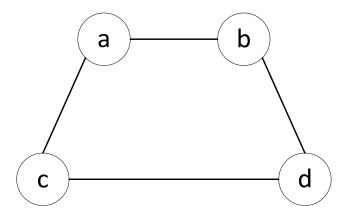


(b) Directed graph

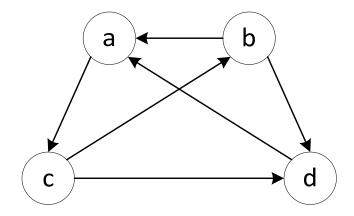


Connected graph and strongly connected graph

(a) Connected graph

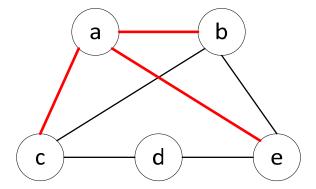


(b) Strongly connected graph

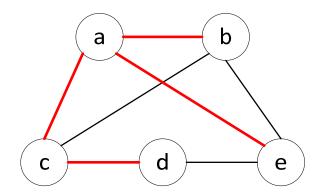


Subgraph and spanning subgraph

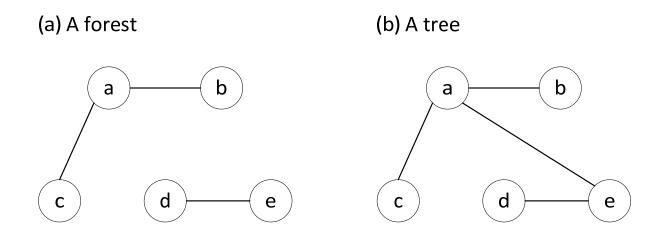
(a) A subgraph



(b) A spanning subgraph



- Forest and tree
  - Forest is a graph without cycles.
  - Tree is a connected forest.



A spanning tree of a graph is a spanning subgraph that is a tree

- Graph properties
  - If a graph G = (V, E) has m edges, then  $\sum_{v \in V} \deg(v) = 2m$
  - If G = (V, E) is a directed graph with m edges,
     then

$$\sum_{v \text{ in } V} in \deg(v) = \sum_{v \text{ in } V} out \deg(v) = m$$

- Let G be a simple graph with n vertices and m edges.
  - If *G* is undirected, then  $m \le \frac{n(n-1)}{2}$
  - If *G* is directed, then  $m \le n(n-1)$ .

- Graph properties (continued)
  - Let G be an undirected graph with n vertices and m edges:
    - If G is connected, then  $m \ge n 1$
    - If G is a tree, then m = n 1
    - If G is a forest, then  $m \le n 1$

# Graph Algorithms Graph ADT

- Oprerations
  - numVertices( )
  - vertices( )
  - numEdges( )
  - edges( )
  - getEdge(u, v)
  - endVertices(e)
  - opposite(v, e)

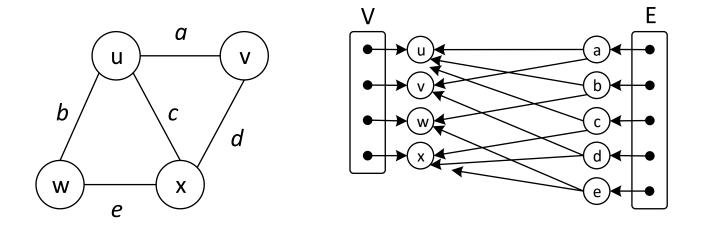
# Graph Algorithms Graph ADT

- Oprerations (continued)
  - outDegree(v)
  - indegree(v)
  - outgoingEdges(v)
  - incomingEdges(v)
  - insertVertex(x)
  - insertEdge(u, v, x)
  - removeVertex(v)
  - removeEdge(e)

Edge list, adjacency list, adjacency map, adjacency matrix

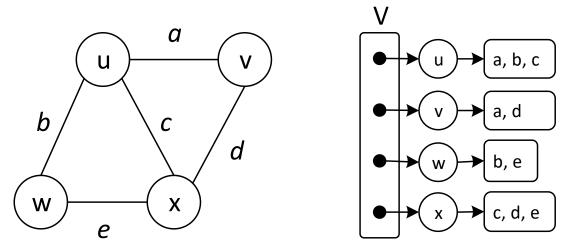
Method	Edge List	Adj. List	Adj. Map	Adj. Matrix
vertices()	O(n)	O(n)	O(n)	O(n)
edges()	O(m)	O(m)	O(m)	O(m)
getEdge(u, v)	O(m)	$O(\min(d_u, d_v))$	O(1) exp.	O(1)
outDegree(v) inDegree(v)	O(m)	O(1)	O(1)	O(n)
outgoingEdges(v) incomingEdges(v)	O(m)	O(d <sub>v</sub> )	$O(d_v)$	O(n)
insertVertex(x)	O(1)	O(1)	O(1)	$O(n^2)$
removeVertex(v)	O(m)	$O(d_v)$	$O(d_v)$	$O(n^2)$
insertEdge(u, v, x)	O(1)	O(1)	O(1) exp.	O(1)
remove Edge(e)	O(1)	O(1)	O(1) exp.	O(1)

Edge list



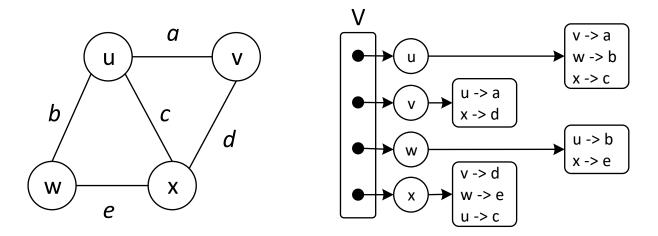
• *V* is a list of vertices and *E* is a list of edges. Both can be implemented using doubly linked lists.

Adjacency list



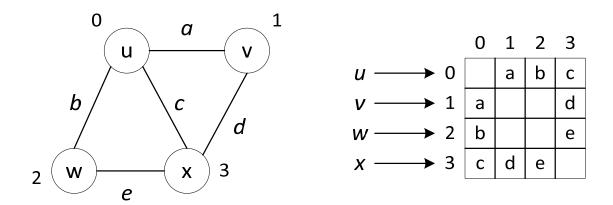
- V is a list of vertices.
- Each vertex v has a reference to a separate collection of edges that are incident to v.
- The collection is called incidence collection.

Adjacency map



- Incidence collection of V is implemented as a map.
- Suppose edge a = (u, v) is in the incidence collection of u. Then, <v, a> pair is stored in the map, where v is a key and a is the corresponding value.

Adjacency matrix



- n x n matrix.
- Vertices are encoded to integers and these integers are used as indexes.
- The entry corresponding to vertices u and v stores an edge (u, v).

# Graph Algorithms Graph Traversals

- A graph traversal is a systematic procedure for visiting (and processing) all vertices in the graph.
- We say a traversal is efficient if its running time is proportional to the number of vertices and edges in the graph.
- Applications (for directed graph):
  - Find a direct path from vertex u to vertex v.
  - Find all vertices of G that are reachable from a given vertex s.
  - Determine whether G is acyclic.
  - Determine whether G is strongly connected.

## Graph Algorithms Graph Traversals

- Applications (for undirected graph):
  - Find a path from vertex u to vertex v.
  - Given a start vertex s, find a path with the minimum number of edges from s to every other vertex.
  - Test whether G is connected.
  - Find a spanning tree of G.
  - Identify a cycle in G.
- Will discuss depth-first search (DFS) and bread-first search (BFS).

Pseudocode

```
Algorithm DFS (G, u)
```

Input: A graph G and a vertrx u of G

Output: A collection of vertices reachable from *u*, with their discovery edges

Mark u as visited

for each of u's outgoing edges, e = (u, v) do

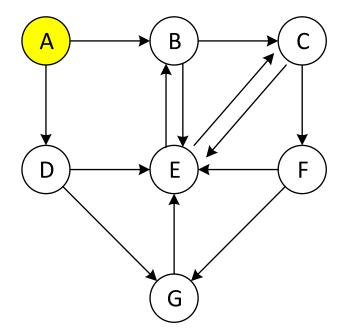
if v has not been visited then

Record edge e as the discovery edge for vertex v

Recursively call DFS(G, v)

Illustration (on a directed graph)

A directed graph Start at vertex A



A -> B -> C -> E
Backtrack to C

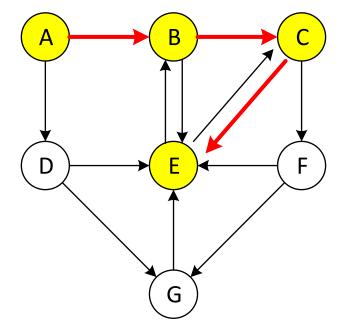
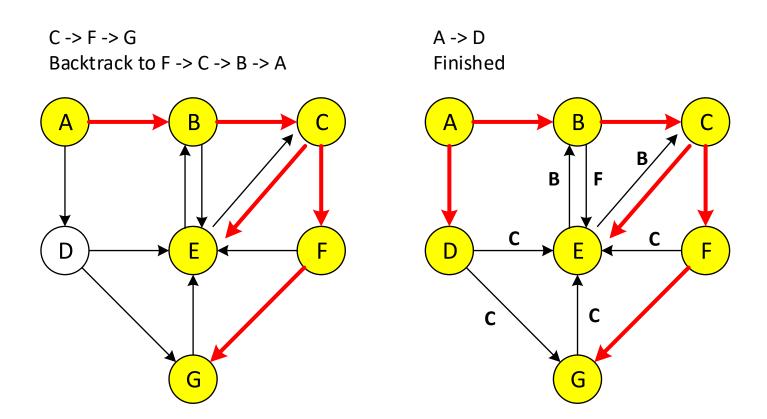


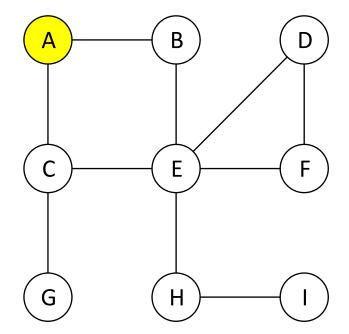
Illustration (on a directed graph)



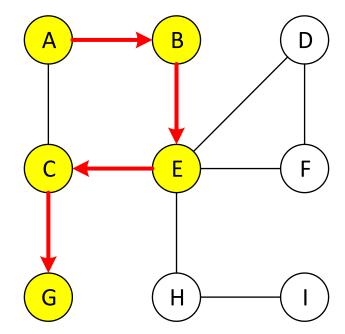
- Illustration (on a directed graph)
  - Classification of edges:
    - Back edges: A back edge connects a vertex to its ancestor in the DFS tree. They are labeled B.
    - Forward edges: A forward edge connects a vertex to its descendant in the DFS tree. They are labeled F.
    - Cross edge: A cross edge connects a vertex to a vertex that is neither its ancestor nor its descendant. They are labeled C.

• Illustration (on an undirected graph)

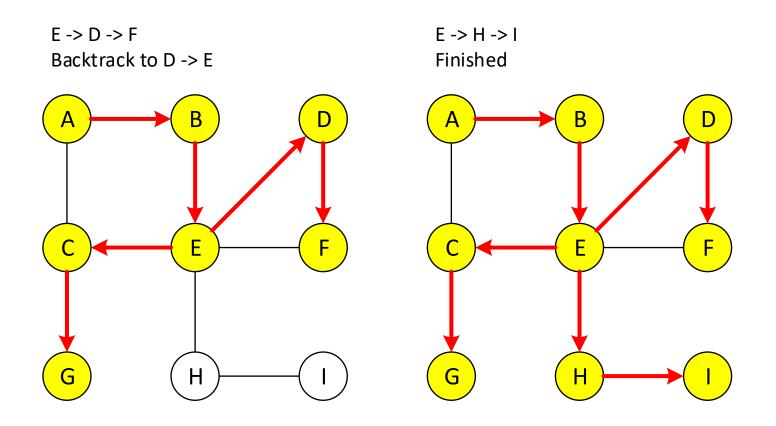
An undirected graph Start at vertex A



A -> B -> E -> C -> G Backtrack to C -> E



• Illustration (on an undirected graph)



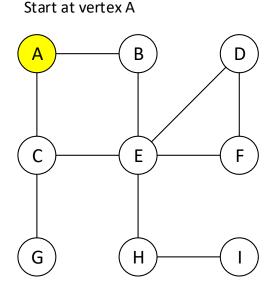
#### DFS properties:

- A DFS on an undirected graph G starting at a vertex s visits all vertices in the connected component of s, and the discovery edges form a spanning tree of the connected component of s (DFS tree).
- A DFS on a directed graph G starting at a vertex s visits all vertices reachable from s, and the DFS tree contains the directed paths from s to every vertex reachable from s.
- Running time:  $O(n_s + m_s)$ , here  $n_s$  is the number of vertices reachable from s and  $m_s$  is the number of edges that are incident to those vertices

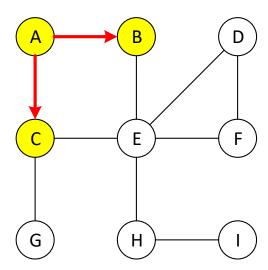
#### Outline

- Start at the starting vertex s
- Visit all vertices that are "one-edge away" from s
- Visit all vertices that are "two-edge away" from s
- and so on.

#### Illustration

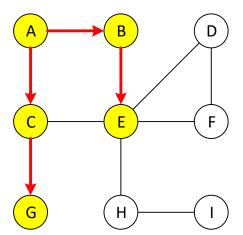


Explore vertices that are oneedge away from A.

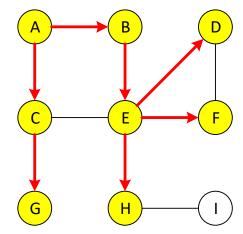


• Illustration (continued)

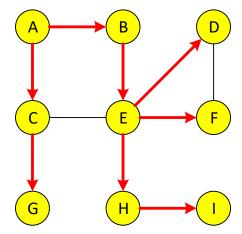
Explore vertices that are two-edge away from A.



Explore vertices that are three-edge away from A.



Explore vertices that are fouredge away from A. Finisahed

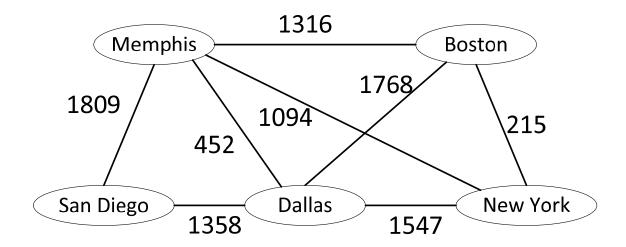


- BFS properties:
  - The traversal visits all vertices reachable from s.
  - For each vertex v at level i, the path in the BFS tree from s to v has i edges, and any other path from s to v in G has at least i edges.
  - If (u, v) is an edge that is not in the BFS tree,
     the level number of v is at most 1 greater than the level number of u.

- BFS properties:
  - Running time: O(n + m)
  - Discovery edges form a BFS tree.
  - Finds shortest paths from the start node to all other nodes.
  - For both directed and undirected, classification of edges

# Graph Algorithms Weighted Graph

- Each edge e is associated with a numeric label called weight, denoted w(e).
- Example



#### Graph Algorithms Shortest Paths

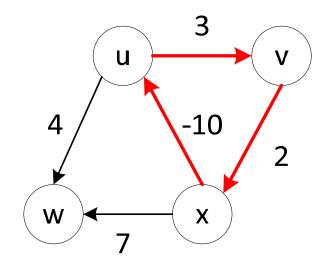
- Let G be a weighted graph.
  - The *length* of a path P is the sum of the weights of all edges on P. Let  $P = \langle (v_0, v_1), (v_1, v_2), ..., (v_{k-1}, v_k) \rangle$ . Then, the length of P, denoted w(P), is defined as:

$$w(P) = \sum_{i=0}^{k-1} w(v_i, v_{i+1})$$

- The distance from a vertex u to a vertex v in G, d(u, v), is the length of a minimum-length path from u to v, if such path exists. The minimum-length path is referred to as shortest path.
- $-d(u, v) = \infty$ , if there is no path from u to v in G.

#### Graph Algorithms Shortest Paths

 Weights can be negative numbers. Then, a graph may have a negative-weight cycle:



 If a graph has a negative-weight cycle, a shortest path is not well defined.

# Graph Algorithms Dijkstra's Algorithm

- A well-known single-source shortest path algorithm on a directed or undirected graph *G* without negative weights.
- Finds shortest paths from a source vertex to every other vertex in G.
- A greedy algorithm.
- Edge relaxation
  - D[v] is the length of the best path from s to v we have found so far.
  - Initially D[s] = 0 and D[v] = ∞ for all other vertexes.
  - During the execution of the algorithm, D[v] is updated iteratively and becomes a shortest-path length from s to v.

# Graph Algorithms Dijkstra's Algorithm

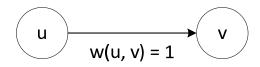
Edge relaxation (continued)

if 
$$D[u] + w(u, v) < D[v]$$
 then  

$$D[v] = D[u] + w(u, v)$$

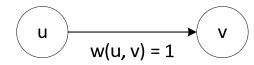
before relaxation

D[u] = 10 D[v] = 17



after relaxation

D[u] = 10 D[v] = 11



not relaxed

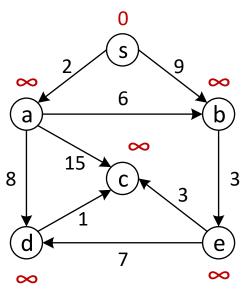
$$D[u] = 10$$
  $D[v] = 17$ 

#### Pseudocode

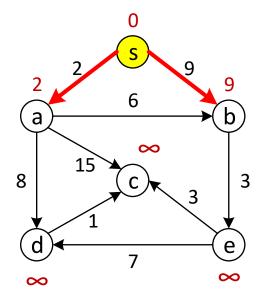
return the label D[v] of each vertex v

```
Algorithm ShortestPath(G, s):
Input: A directed or undirected graph G with nonnegative weights, and a
  distinguished vertex s of G
Output: The length of a shortest path from s to v for every vertex v of G
Ininialize D[s] = 0 and D[v] = \infty for each vertex v \neq s
Let a priority queue Q contains all vertices of G using D labels as keys
while Q is not empty do
 u = Q.removeMin() // vertex with the smallest D[] is pulled into "cloud"
 for each edge (u, v) such that v is in Q do
   // perform relaxation
   if D[u] + w(u, v) < D[v] then
      D[v] = D[u] + w(u, v)
      Change the key of vertex v in Q to D[v]
```

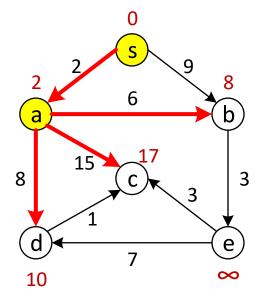
#### Illustration



(a) Initially, all verticesare in Q, C is empty, D[s]= 0, D[v] = ∞ for allother vertices.

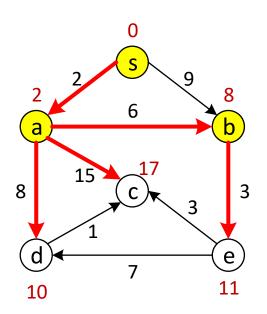


(b) s comes into C, edges (s, a) and (s, b) are relaxed.

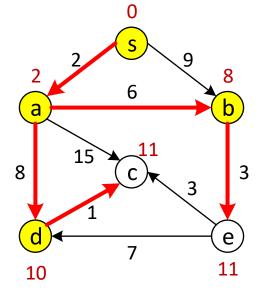


(c) a comes into C, edges (a, b), (a, c), and (a, d) are relaxed.

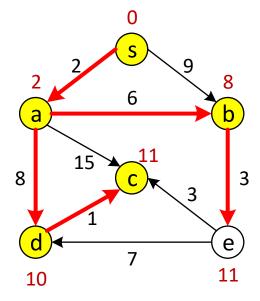
• Illustration (continued)



(d) b comes into C, edge (b, e) is relaxed.

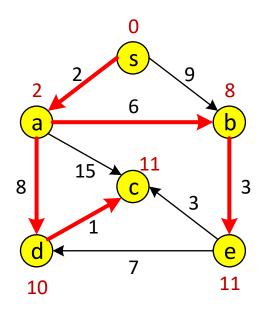


(e) d comes into C, edge (d, c) is relaxed.



(f) c comes into C. No edge relaxation needed.

Illustration (continued)



(g) e comes into C. No edge relaxation needed. Finished.

Running time:  $O((n + m) \log n)$ 

# Graph Algorithms Minimum Spanning Trees

Given a tree T in an undirected, weighted graph
 G, the weight of T, w(T), is defined as follows:

$$w(T) = \sum_{(u,v) \text{ in } T} w(u,v)$$

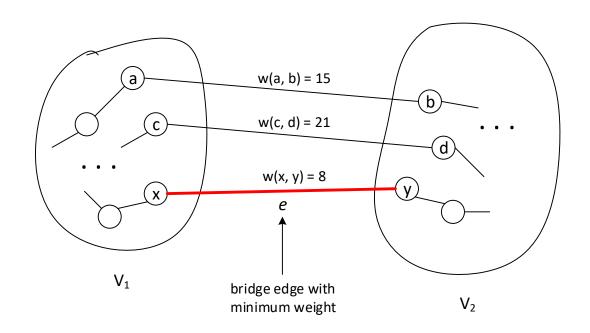
- A minimum spanning tree of an undirected, weighted graph G is a spanning tree with the minimum weight.
- Minimum spanning tree problem: Find such a tree in G.

## Graph Algorithms Minimum Spanning Trees

- Number of edges in an MST is |V| 1.
- There may be multiple MST's
- Will discuss two algorithms, Prim-Jarnik algorithm and Kruskal's algorithm, both of which are greedy algorithms.
- We assume that a graph G is undirected, weighted, connected, and simple.

## Graph Algorithms Minimum Spanning Trees

- Bridge edge and minimum-weight (bridge) edge
- Suppose G is partitioned into mutually exclusive  $V_1$  and  $V_2$ .
- Bridge edge: one end in  $V_1$  and the other in  $V_2$ .
- Minimum-weight edge: a bridge with the smallest weight



#### Outline

- Begins at some "root" vertex s.
- Keeps a set of vertices C, called "cloud."
- Initially, C is empty.
- Vertex s is pulled into C.
- In each iteration, we find a minimum-weight edge connecting a vertex u in the cloud of C and a vertex v that is outside the cloud.
- Then, the vertex v is pulled into C
- This process is repeated until a spanning tree is formed.

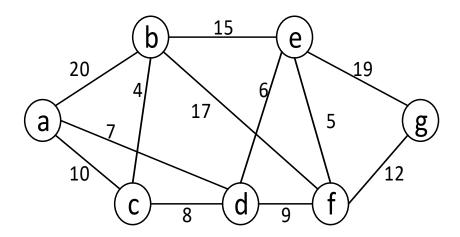
- Outline (continued)
  - Each vertex v has a label D[v], which stores the weight of the minimum observed edge connecting v to the cloud C.
  - Vertices that are not in C are stored in a priority queue, where D[v] is used as a key in the queue.
  - If we choose a vertex in the priority queue with the minimum D[v], then it is a minimum-weight edge.

#### Illustration

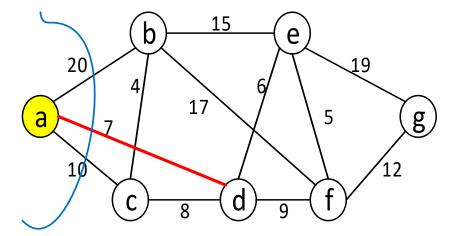
Red: min-weight edge;

– Yellow: in the cloud

Blue: cloud boundary

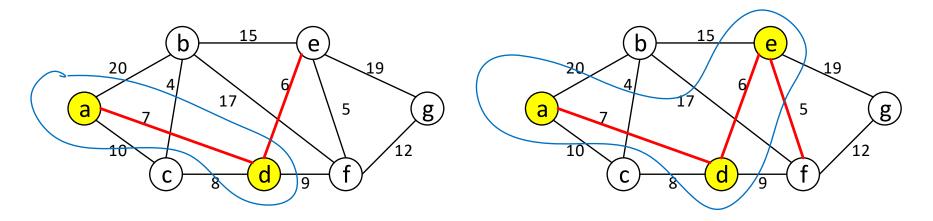


(a) Initial tree. Begin at a



(b) (a, d) is minimum-weight edge

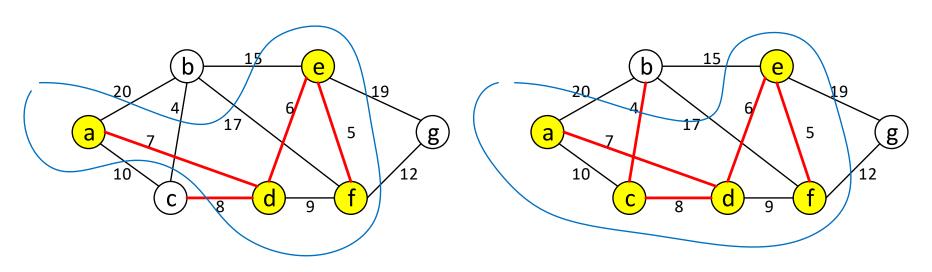
• Illustration (continued)



(c) (d, e) is minimum-weight edge

(d) (e, f) is minimum-weight edge

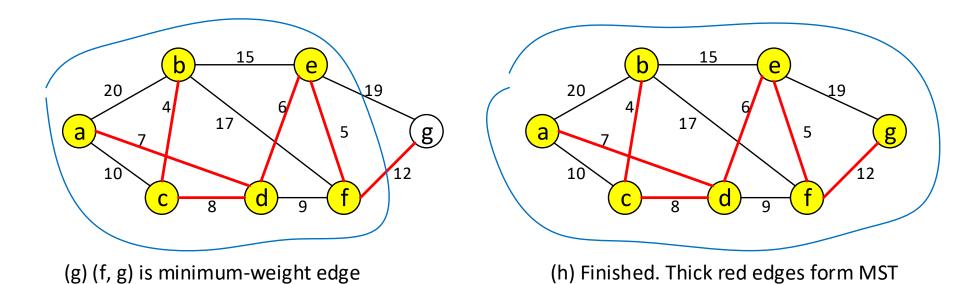
• Illustration (continued)



(e) (c, d) is minimum-weight edge

(f) (b, c) is minimum-weight edge

Illustration (continued)

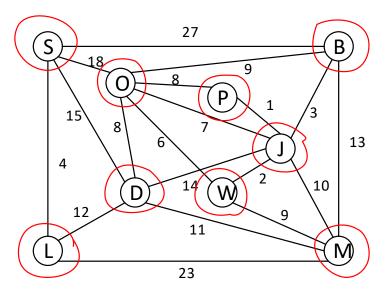


• Running time:  $O((n + m) \log n)$ 

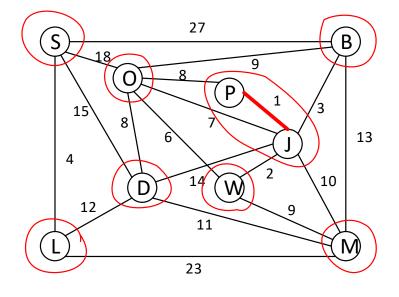
- In the Prim-Jarnik's algorithm, there is always a single tree.
- In the Kruskal's algorithm, there are multiple trees, all of which are eventually merged into an MST.
- Outline: Initially, a spanning tree T is empty and each vertex is a "cluster" on its own.
  - Step 1: Find an edge e with the smallest weight.
  - Step 2: If two endpoints of e belong to different clusters, merge those two clusters.
  - Step 3: Include e in T.
  - Step 4: Stop if all vertices are included by T. Otherwise, return to Step 1 and repeat.

Pseudocode.

#### Illustration

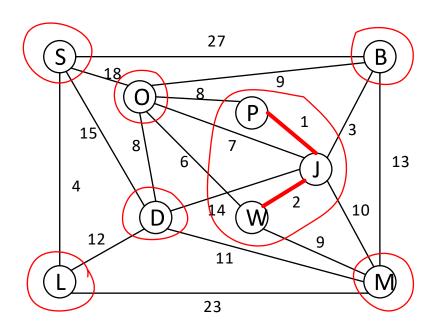


(a) Initial tree. Each vertex is its own cluster. w(J,P) is the smallest.

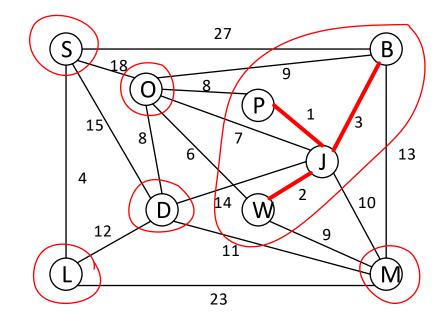


(b) w(J,W) is the next smallest.

• Illustration (continued)

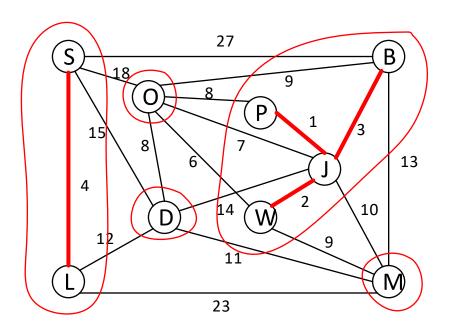


(c) w(B,J) is the next smallest.

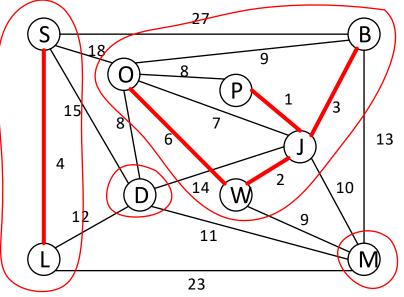


(d) w(L,S) is the next smallest.

Illustration (continued)

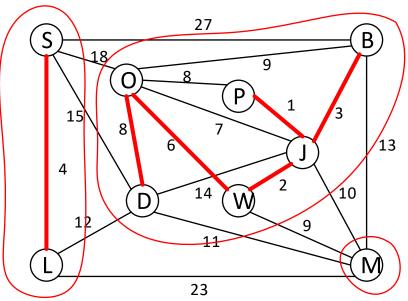


(e) w(O,W) is the next smallest.

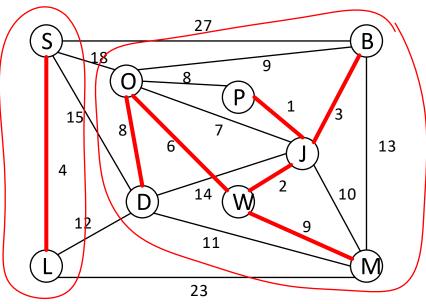


(f) w(J,O) is the next smallest. But, they are in the same cluster. w(O,P) the same. w(D,O) is the next smallest.

Illustration (continued)

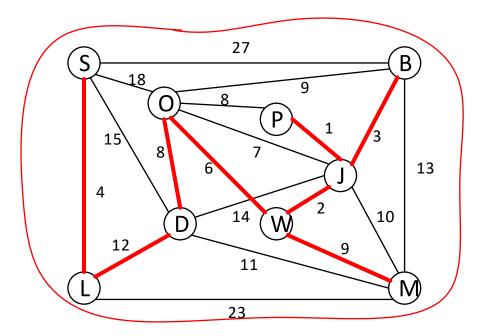


(g) w(B,O) is the next smallest. But, they are in the same cluster. w(M,W) is the next smallest.



(h) w(J,M) is the next smallest. But, they are in the same cluster. w(D,M) the same. w(D,L) is the next smallest.

Illustration (continued)



Running time:  $O(m \log n)$ 

(i) Finished. Thick red edges form a minimum spanning tree.

### References

 M.T. Goodrich, R. Tamassia, and M.H. Goldwasser, "Data Structures and Algorithms in Java," Sixth Edition, Wiley, 2014.