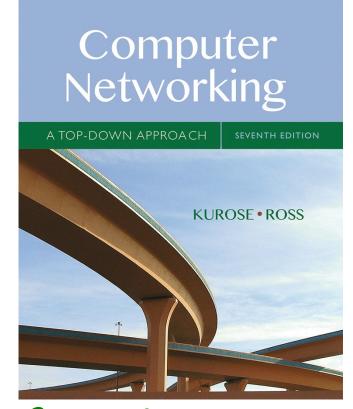
Chapter 3 Transport Layer



Computer Networking: A Top Down Approach

7th edition Jim Kurose, Keith Ross Pearson/Addison Wesley April 2016

Chapter 3: Transport Layer

our goals:

- understand principles behind transport layer services:
 - multiplexing, demultiplexing
 - reliable data transfer
 - flow control
 - congestion control

- learn about Internet transport layer protocols:
 - UDP: connectionless transport
 - TCP: connection-oriented reliable transport
 - TCP congestion control

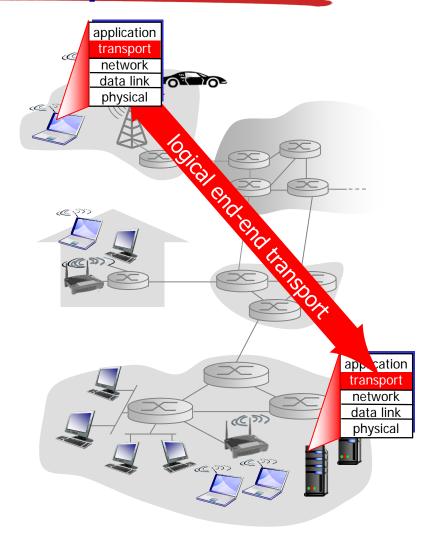
Chapter 3 outline

- 3.1 transport-layer services
- 3.2 multiplexing and demultiplexing
- 3.3 connectionless transport: UDP
- 3.4 principles of reliable data transfer

- 3.5 connection-oriented transport: TCP
 - segment structure
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Transport services and protocols

- provide logical communication between app processes running on different hosts
- transport protocols run in end systems
 - send side: breaks app messages into segments, passes to network layer
 - rcv side: reassembles segments into messages, passes to app layer
- more than one transport protocol available to apps
 - Internet: TCP and UDP



Transport vs. network layer

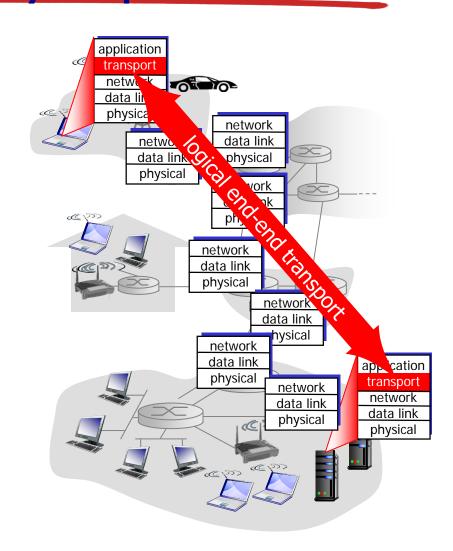
- network layer: logical communication between hosts
- transport layer: logical communication between processes
 - relies on, enhances, network layer services

household analogy:

- 12 kids in Ann's house sending letters to 12 kids in Bill's house:
- hosts = houses
- processes = kids
- app messages = letters in envelopes
- transport protocol = Ann and Bill who demux to inhouse siblings
- network-layer protocol = postal service

Internet transport-layer protocols

- reliable, in-order delivery (TCP)
 - congestion control
 - flow control
 - connection setup
- unreliable, unordered delivery: UDP
 - no-frills extension of "best-effort" IP
- services not available:
 - delay guarantees
 - bandwidth guarantees

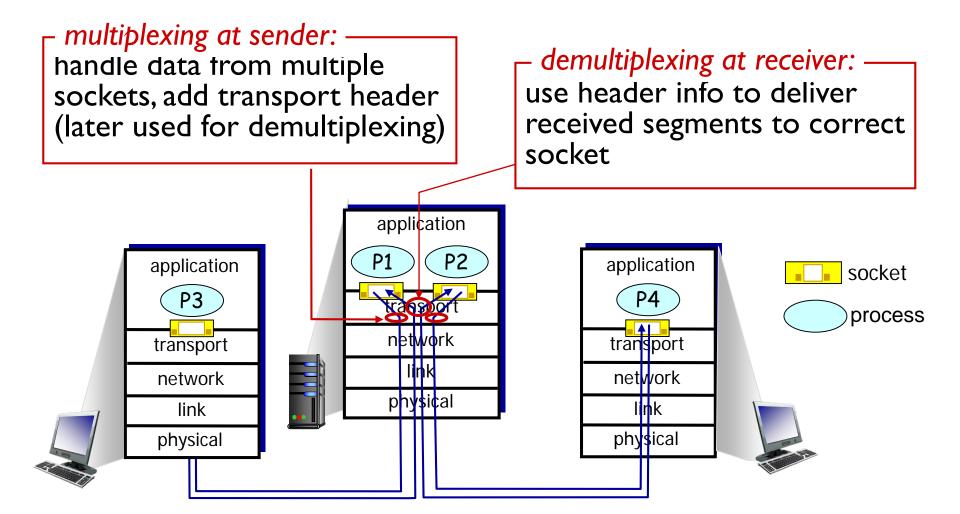


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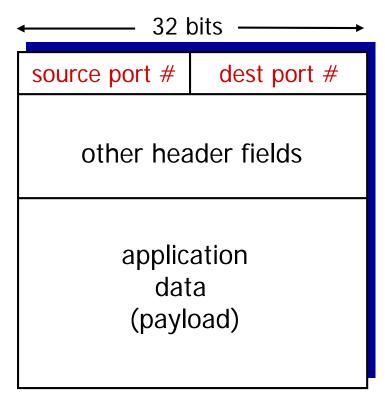
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Multiplexing/demultiplexing



How demultiplexing works

- host receives IP datagrams
 - each datagram has source IP address, destination IP address
 - each datagram carries one transport-layer segment
 - each segment has source, destination port number
- host uses IP addresses & port numbers to direct segment to appropriate socket



TCP/UDP segment format

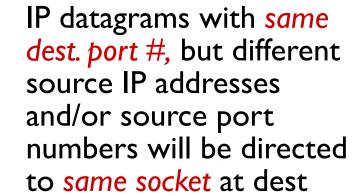
Connectionless demultiplexing

recall: created socket has host-local port #:

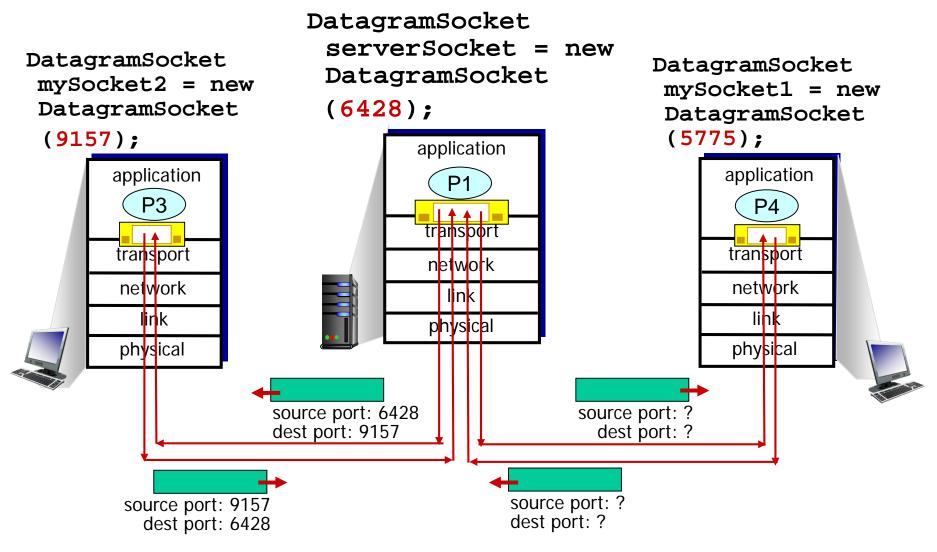
DatagramSocket mySocket1
= new DatagramSocket(12534);

- recall: when creating datagram to send into UDP socket, must specify
 - destination IP address
 - destination port #

- when host receives UDP segment:
 - checks destination port # in segment
 - directs UDP segment to socket with that port #



Connectionless demux: example

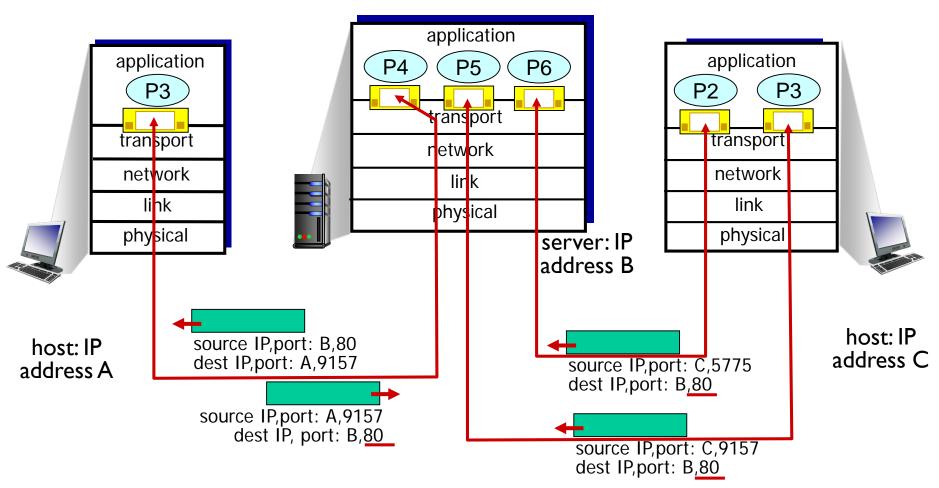


Connection-oriented demux

- TCP socket identified by 4-tuple:
 - source IP address
 - source port number
 - dest IP address
 - dest port number
- demux: receiver uses all four values to direct segment to appropriate socket

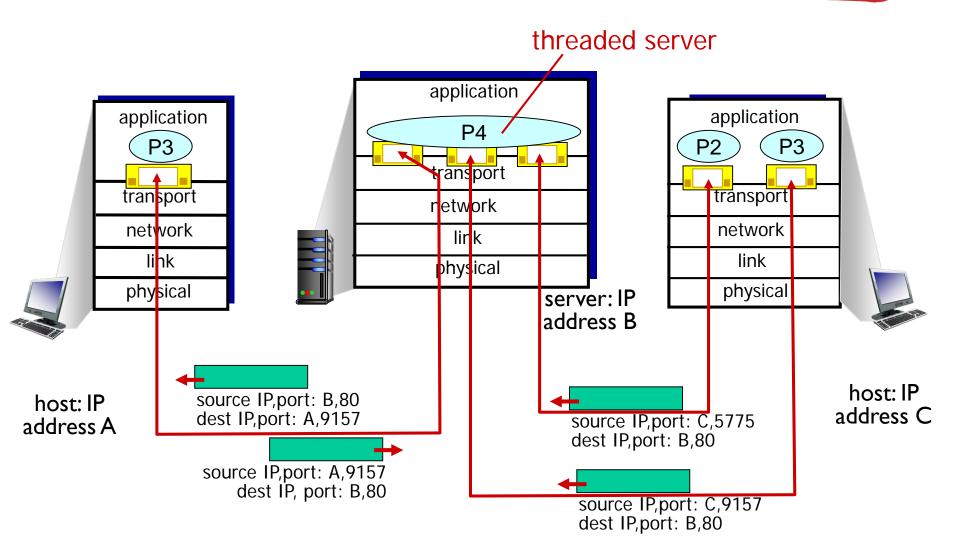
- server host may support many simultaneous TCP sockets:
 - each socket identified by its own 4-tuple
- web servers have different sockets for each connecting client
 - non-persistent HTTP will have different socket for each request

Connection-oriented demux: example



three segments, all destined to IP address: B, dest port: 80 are demultiplexed to *different* sockets

Connection-oriented demux: example



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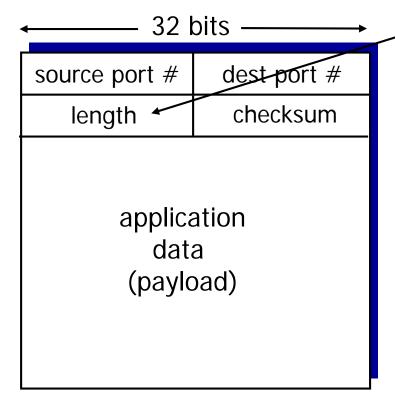
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UDP: User Datagram Protocol [RFC 768]

- "no frills," "bare bones" Internet transport protocol
- "best effort" service, UDP segments may be:
 - lost
 - delivered out-of-order to app
- connectionless:
 - no handshaking between UDP sender, receiver
 - each UDP segment handled independently of others

- UDP use:
 - streaming multimedia apps (loss tolerant, rate sensitive)
 - DNS
 - SNMP
- reliable transfer over UDP:
 - add reliability at application layer
 - application-specific error recovery!

UDP: segment header



UDP segment format

length, in bytes of UDP segment, including header

why is there a UDP?

- no connection establishment (which can add delay)
- simple: no connection state at sender, receiver
- small header size
- no congestion control:
 UDP can blast away as fast as desired

UDP checksum

Goal: detect "errors" (e.g., flipped bits) in transmitted segment

sender:

- treat segment contents, including header fields, as sequence of 16-bit integers
- checksum: addition (one's complement sum) of segment contents
- sender puts checksum value into UDP checksum field

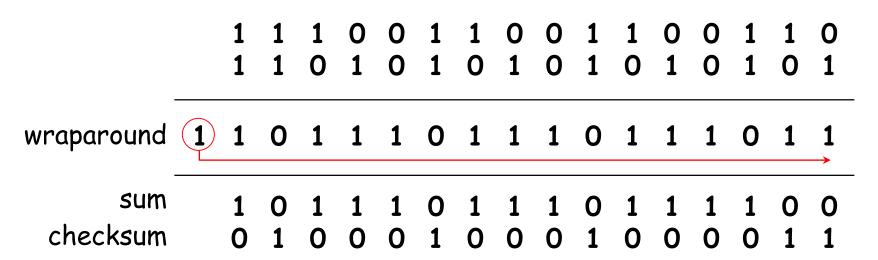
receiver:

- compute checksum of received segment
- check if computed checksum equals checksum field value:
 - NO error detected
 - YES no error detected.
 But maybe errors
 nonetheless? More later

. . . .

Internet checksum: example

example: add two 16-bit integers



Note: when adding numbers, a carryout from the most significant bit needs to be added to the result

^{*} Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

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Principles of reliable data transfer

important in application, transport, link layers

"top-10" list of important networking topics!

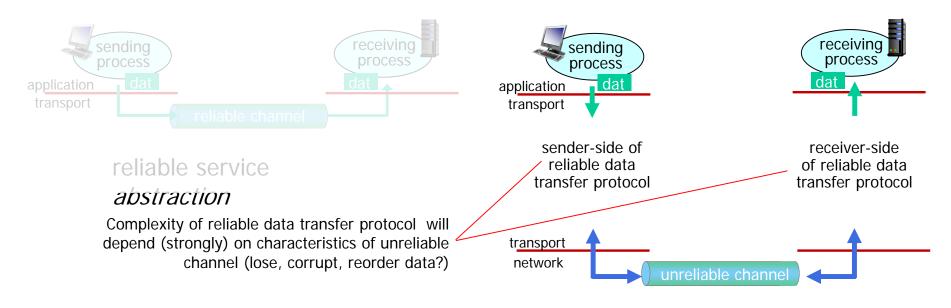


reliable service abstraction

Principles of reliable data transfer

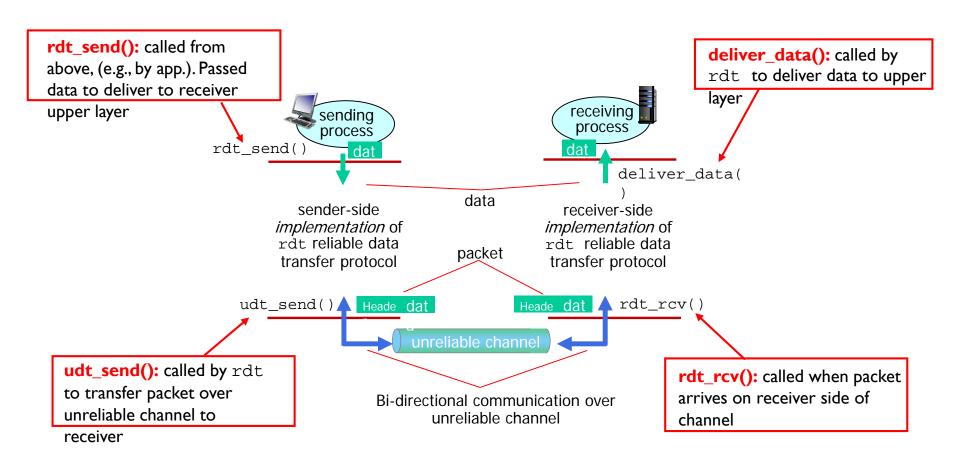
important in application, transport, link layers

"top-10" list of important networking topics!



reliable service implementation

Principles of reliable data transfer



Reliable data transfer: hurdles

- Unreliable connection
 - Data can be lost, duplicated, corrupted, delayed or delivered out of order
- End system reboot
 - Confusions can arise if either of the two communicating hosts crash and reboot.
- Hetrogeneous end systems
 - An application running on host with a powerful processor may generate data faster than an application running on a slow process
- Congestion on the internet
 - If senders aggressively transmit data, congestion occurs.

Reliable data transfer: techniques

- Sequencing to handle duplicates and out-oforder delivery.
 - Sender attaches sequence number to each packet.
 - Receiver stores both the sequence number or last packet received in order, plus list of additional packets that have arrived out of order.
 - Receiver drops duplicate packets

Reliable data transfer: techniques

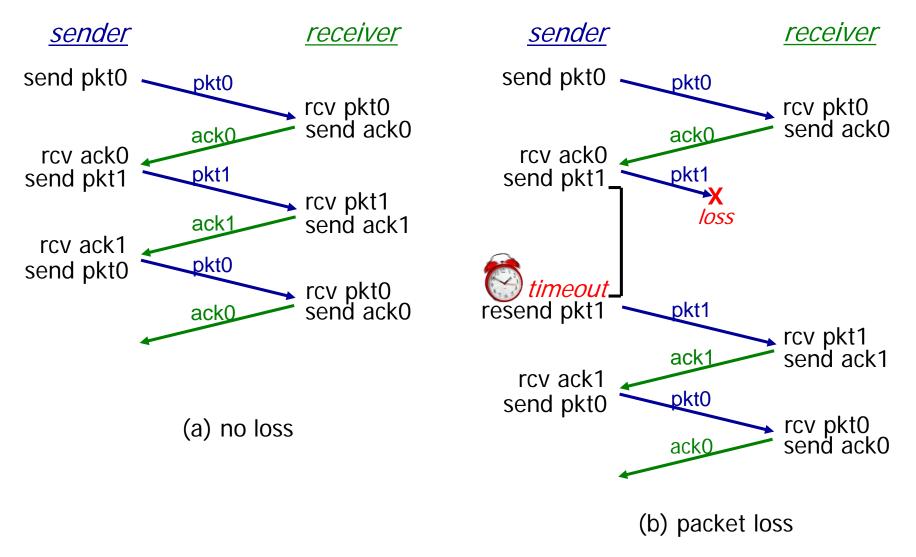
- Retransmission to handle lost packets
 - Packet loss handled via positive acknowledgement with retransmission
 - When data arrives intact receiver sends a small acknowledgement message (ACK) to sender.
 - At the sender side a timer is started each time a segment is sent.
 - If ACK arrives before timer expires sender knows receiver has packet. If timer expires before ACK arrives sender sends another copy of packet and starts timer again.
 - If packets are delayed, retransmission can introduce duplicates.

Reliable data transfer: techniques

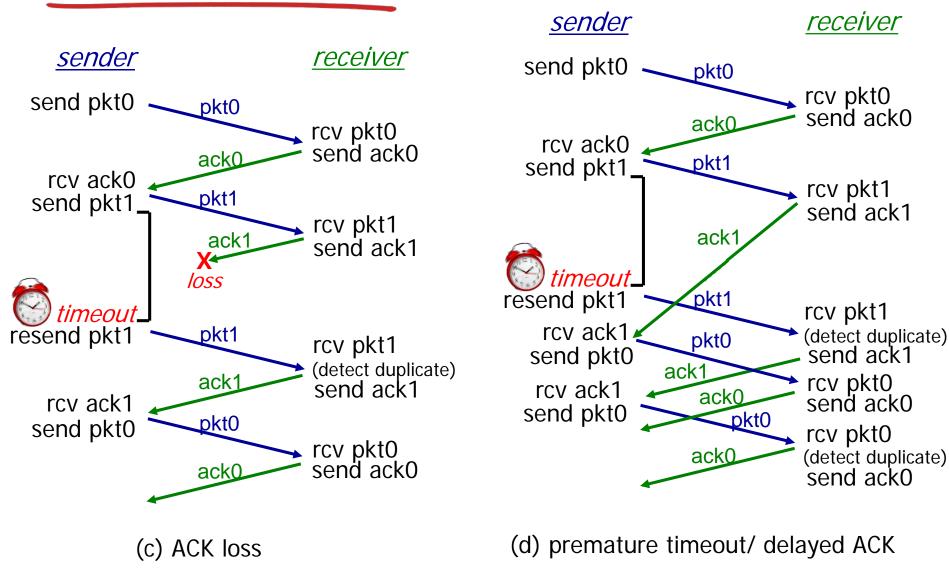
Avoiding replay

- Long delays can lead to replay errors, in which delayed packets affect later communication.
- To prevent replay, each session is marked with a unique ID, and require the ID to be present in each segment. Packets are discarded is any arriving packet contains an incorrect ID.
- Flow control to prevent data overrun.
 - Stop-and-go: sender waits after transmitted each segment. When receiver ready for another packet it send a control message (typically an ACK)
 - Sliding window: faster approach. Sender and Receiver use a fixed window size, which is the maximum amount of data that can be "inflight:".

rdt in action



rdt in action



Performance of rdt

- rdt is correct, but performance is slow with stop-andgo
- e.g.: I Gbps link, I5 ms prop. delay, 8000 bit packet:

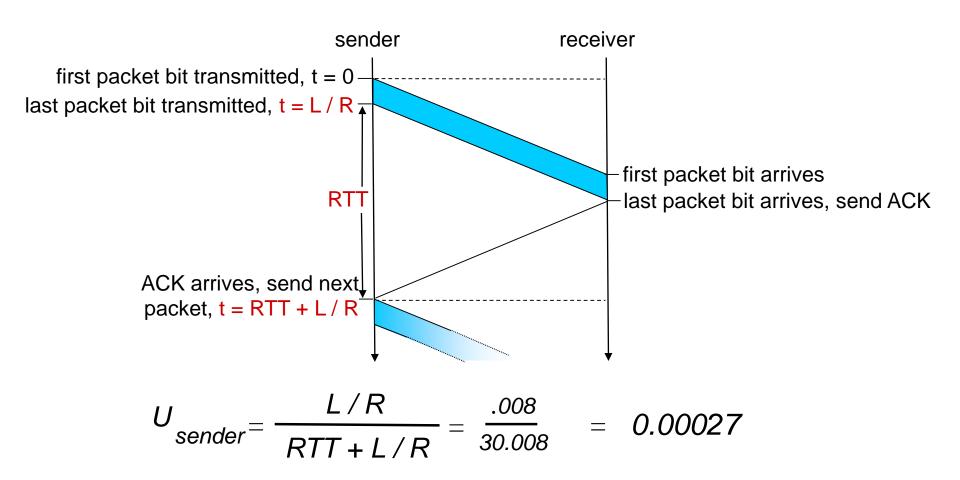
$$d_{trans} = \frac{L}{R} = \frac{8000 \text{ bits}}{10^9 \text{ bits/s}} = 8 \mu \text{s}$$

U sender: utilization – fraction of time sender busy sending

$$U_{\text{sender}} = \frac{L/R}{RTT + L/R} = \frac{.008}{30.008} = 0.00027$$

- if RTT=30 ms, IKB pkt every 30 ms: 33kB/s thruput over I Gbps link
- network protocol limits use of physical resources!

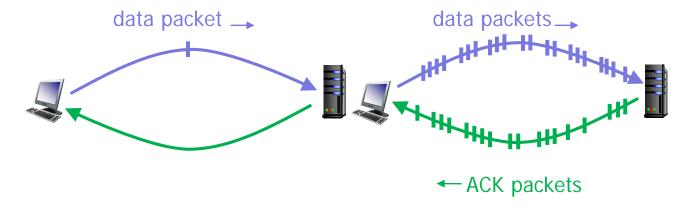
rdt: stop-and-go operation



Pipelined protocols

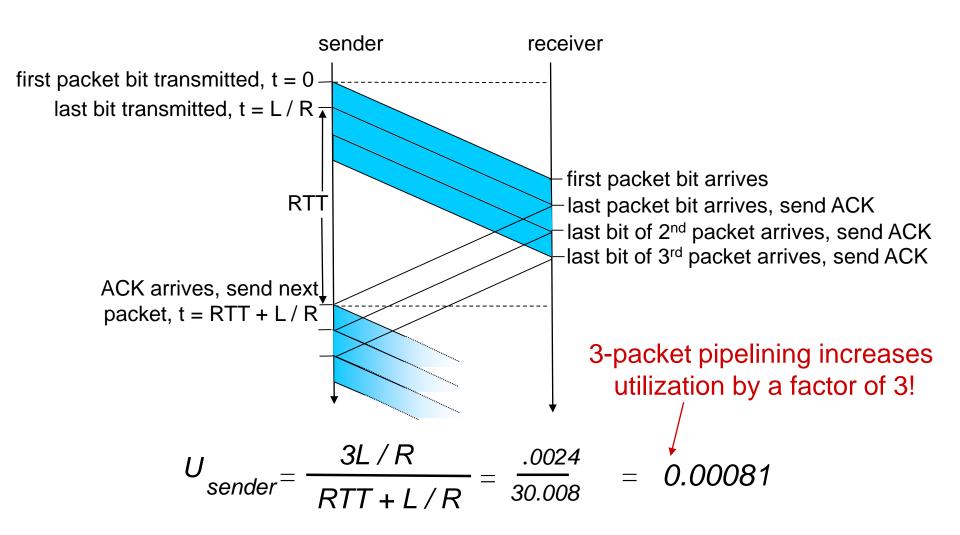
pipelining: sender allows multiple, "in-flight", yetto-be-acknowledged pkts

- range of sequence numbers must be increased
- buffering at sender and/or receiver



 two generic forms of pipelined protocols: go-Back-N, selective repeat

Pipelining: increased utilization



Pipelined protocols: overview

Go-back-N:

- sender can have up to N unacked packets in pipeline
- receiver only sends cumulative ack
 - doesn't ack packet if there's a gap
- sender has timer for oldest unacked packet
 - when timer expires, retransmit all unacked packets

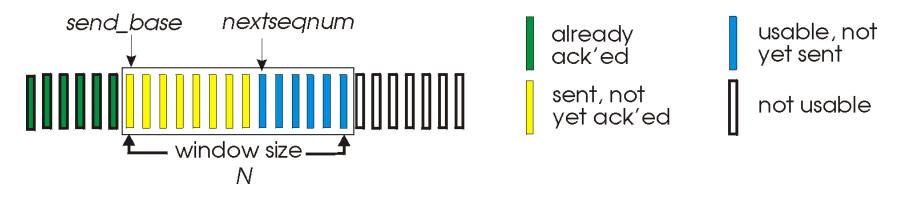
Selective Repeat:

- sender can have up to N unack' ed packets in pipeline
- rcvr sends individual ack for each packet

- sender maintains timer for each unacked packet
 - when timer expires, retransmit only that unacked packet

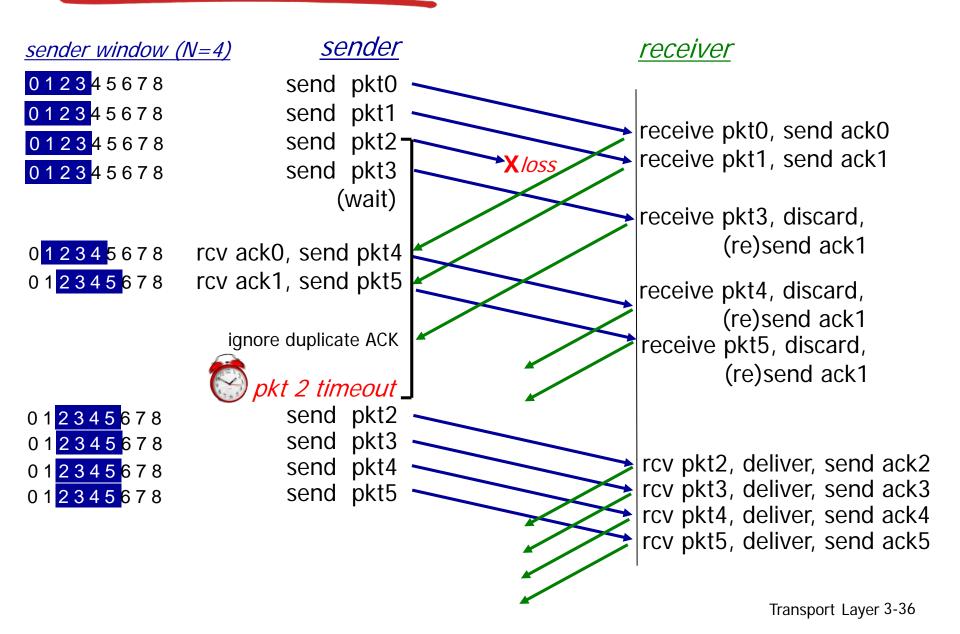
Go-Back-N: sender

- k-bit seq # in pkt header
- "window" of up to N, consecutive unack'ed pkts allowed



- ACK(n):ACKs all pkts up to, including seq # n "cumulative ACK"
 - may receive duplicate ACKs (see receiver)
- timer for oldest in-flight pkt
- timeout(n): retransmit packet n and all higher seq # pkts in window

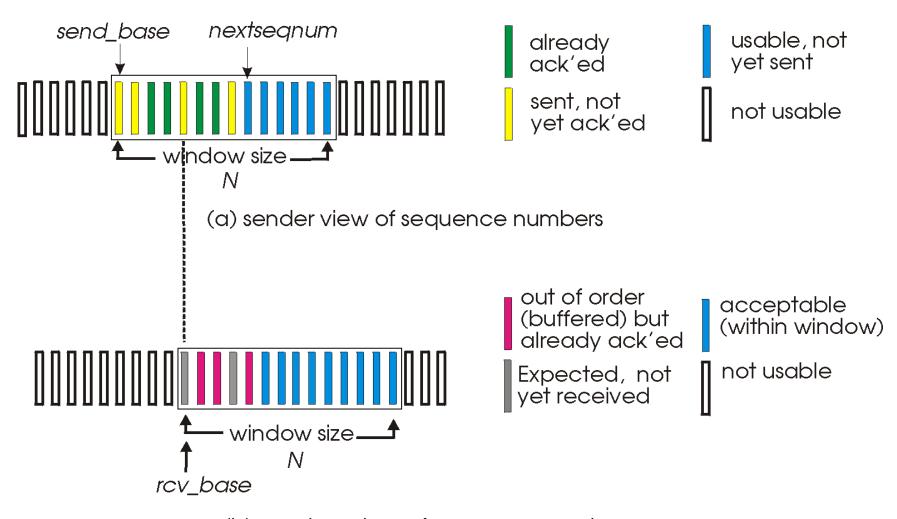
GBN in action



Selective repeat

- receiver individually acknowledges all correctly received pkts
 - buffers pkts, as needed, for eventual in-order delivery to upper layer
- sender only resends pkts for which ACK not received
 - sender timer for each unACKed pkt
- sender window
 - N consecutive seq #'s
 - limits seq #s of sent, unACKed pkts

Selective repeat: sender, receiver windows



(b) receiver view of sequence numbers

Selective repeat

sender

data from above:

if next available seq # in window, send pkt

timeout(n):

resend pkt n, restart timer

ACK(n) in [sendbase,sendbase+N]:

- mark pkt n as received
- if n smallest unACKed pkt, advance window base to next unACKed seq #

receiver

pkt n in [rcvbase, rcvbase+N-1]

- send ACK(n)
- out-of-order: buffer
- in-order: deliver (also deliver buffered, in-order pkts), advance window to next not-yet-received pkt

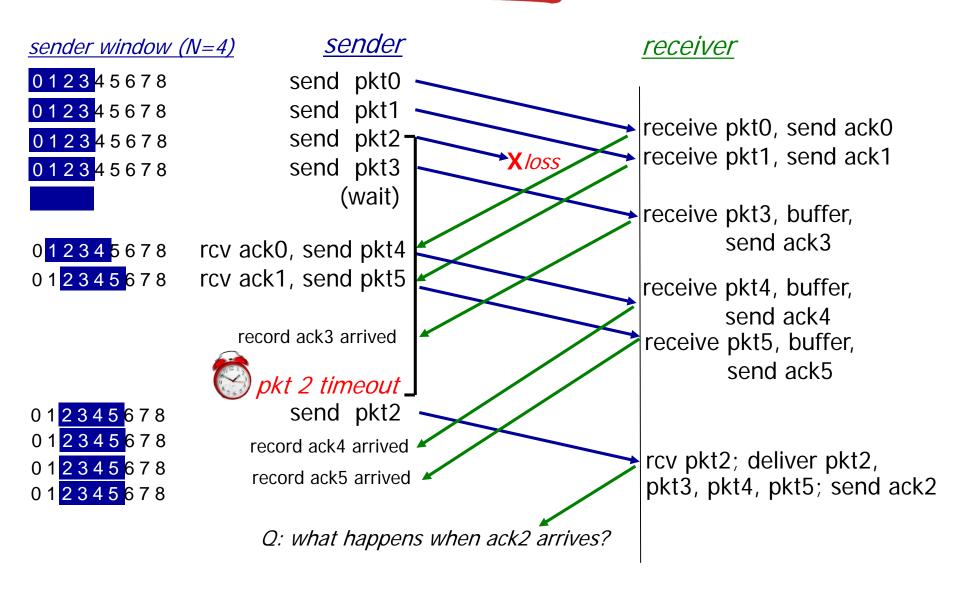
pkt n in [rcvbase-N,rcvbase-1]

ACK(n)

otherwise:

ignore

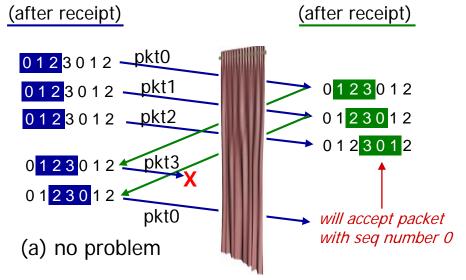
Selective repeat in action



Selective repeat: dilemma

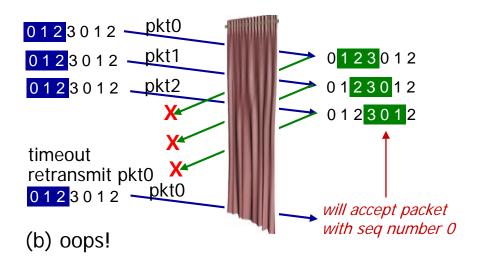
example:

- seq #' s: 0, 1, 2, 3
- window size=3
- receiver sees no difference in two scenarios!
- duplicate data accepted as new in (b)
- Q: what relationship between seq # size and window size to avoid problem in (b)?



sender window

receiver can't see sender side.
receiver behavior identical in both cases!
something's (very) wrong!



receiver window

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TCP: Overview RFCs: 793,1122,1323, 2018, 2581

- point-to-point:
 - one sender, one receiver
- reliable, in-order byte steam:
 - no "message boundaries"
- pipelined:
 - TCP congestion and flow control set window size

full duplex data:

- bi-directional data flow in same connection
- MSS: maximum segment size
- connection-oriented:
 - handshaking (exchange of control msgs) inits sender, receiver state before data exchange
- flow controlled:
 - sender will not overwhelm receiver

TCP segment structure

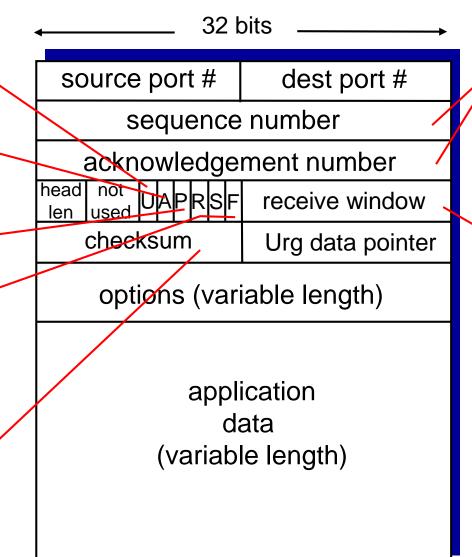
URG: urgent data (generally not used)

ACK: ACK # valid

PSH: push data now (generally not used)

RST, SYN, FIN: connection estab (setup, teardown commands)

> Internet checksum' (as in UDP)



counting by bytes of data (not segments!)

> # bytes rcvr willing to accept

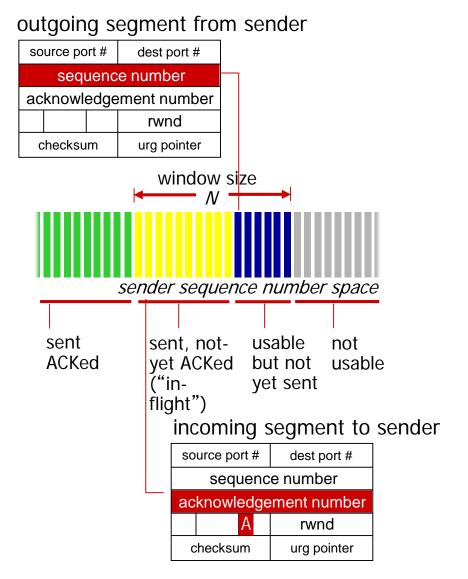
TCP seq. numbers, ACKs

sequence numbers:

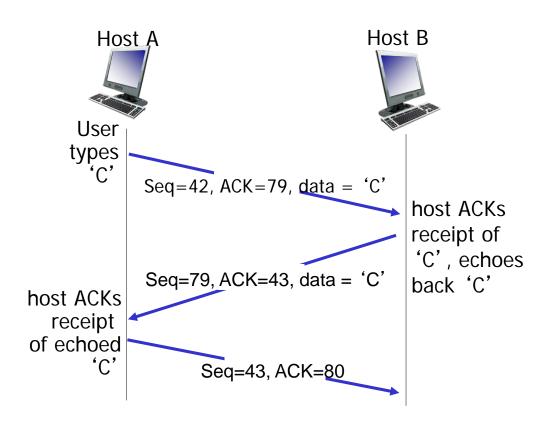
 byte stream "number" of first byte in segment's data

acknowledgements:

- seq # of next byte expected from other side
- cumulative ACK
- Q: how receiver handles out-of-order segments
 - A: TCP spec doesn't say,
 - up to implementor



TCP seq. numbers, ACKs



simple telnet scenario

TCP round trip time, timeout

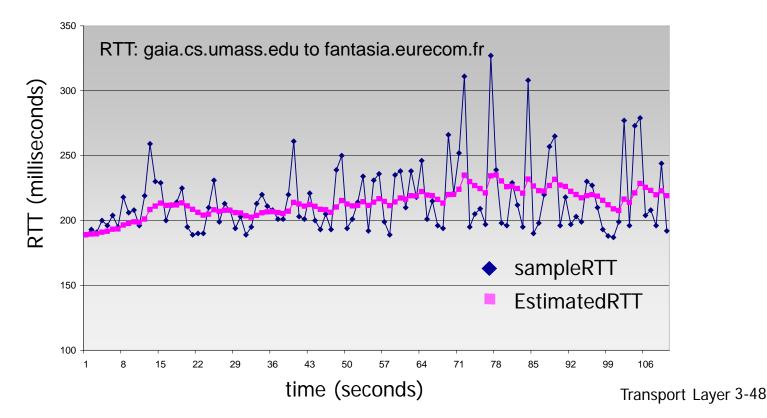
- Q: how to set TCP timeout value?
- longer than RTT
 - but RTT varies
- too short: premature timeout, unnecessary retransmissions
- too long: slow reaction to segment loss

- Q: how to estimate RTT?
- SampleRTT: measured time from segment transmission until ACK receipt
 - ignore retransmissions
- SampleRTT will vary, want estimated RTT "smoother"
 - average several recent measurements, not just current SampleRTT

TCP round trip time, timeout

EstimatedRTT = $(1-\alpha)$ *EstimatedRTT + α *SampleRTT

- exponential weighted moving average
- influence of past sample decreases exponentially fast
- typical value: $\alpha = 0.125$



TCP round trip time, timeout

- timeout interval: EstimatedRTT plus "safety margin"
 - large variation in **EstimatedRTT** -> larger safety margin
- estimate SampleRTT deviation from EstimatedRTT:

```
DevRTT = (1-\beta)*DevRTT +

\beta*|SampleRTT-EstimatedRTT|

(typically, \beta = 0.25)
```

TimeoutInterval = EstimatedRTT + 4*DevRTT



estimated RTT

"safety margin"

^{*} Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

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TCP reliable data transfer

- TCP creates rdt service on top of IP's unreliable service
 - pipelined segments
 - cumulative acks
 - single retransmission timer
- retransmissions triggered by:
 - timeout events
 - duplicate acks

let's initially consider simplified TCP sender:

- ignore duplicate acks
- ignore flow control, congestion control

TCP sender events:

data rcvd from app:

- create segment with seq #
- seq # is byte-stream number of first data byte in segment
- start timer if not already running
 - think of timer as for oldest unacked segment
 - expiration interval: TimeOutInterval

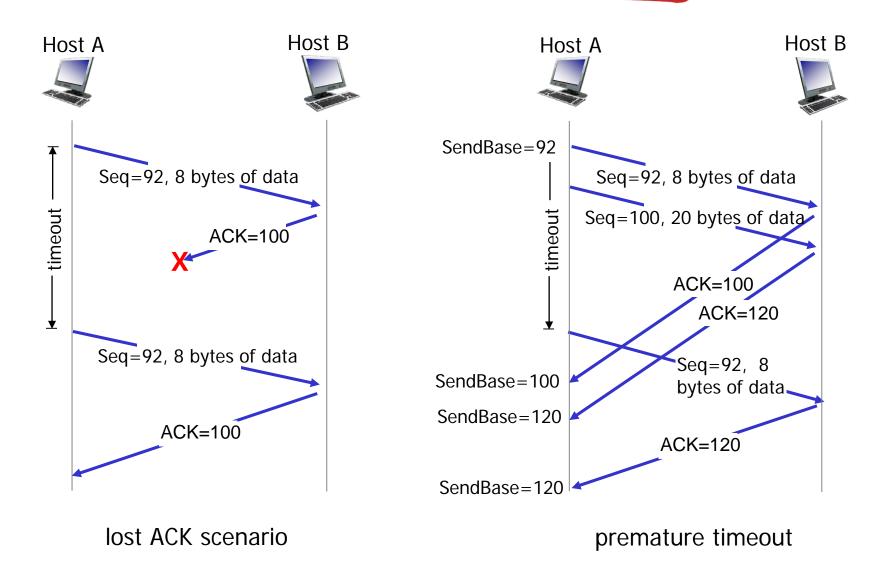
timeout:

- retransmit segment that caused timeout
- restart timer

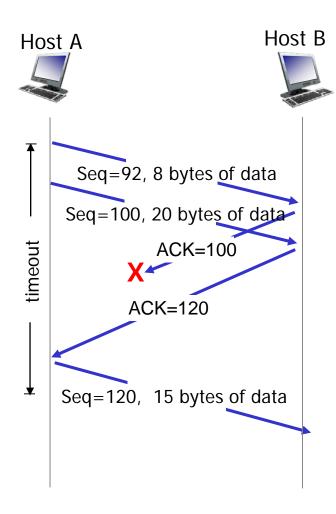
ack rcvd:

- if ack acknowledges previously unacked segments
 - update what is known to be ACKed
 - start timer if there are still unacked segments

TCP: retransmission scenarios



TCP: retransmission scenarios



cumulative ACK

TCP ACK generation [RFC 1122, RFC 2581]

event at receiver	TCP receiver action
arrival of in-order segment with expected seq #. All data up to expected seq # already ACKed	delayed ACK. Wait up to 500ms for next segment. If no next segment, send ACK
arrival of in-order segment with expected seq #. One other segment has ACK pending	immediately send single cumulative ACK, ACKing both in-order segments
arrival of out-of-order segment higher-than-expect seq. # . Gap detected	immediately send duplicate ACK, indicating seq. # of next expected byte
arrival of segment that partially or completely fills gap	immediate send ACK, provided that segment starts at lower end of gap

TCP fast retransmit

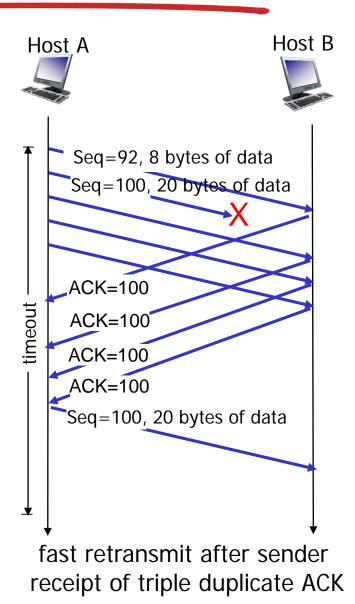
- time-out period often relatively long:
 - long delay before resending lost packet
- detect lost segments via duplicate ACKs.
 - sender often sends many segments backto-back
 - if segment is lost, there will likely be many duplicate ACKs.

TCP fast retransmit

if sender receives 3
ACKs for same data
("triple duplicate ACKs"),
resend unacked
segment with smallest
seq #

likely that unacked segment lost, so don't wait for timeout

TCP fast retransmit



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TCP flow control

application may remove data from TCP socket buffers

... slower than TCP receiver is delivering (sender is sending)

application process application OS TCP socket receiver buffers **TCP** code IΡ code from sender

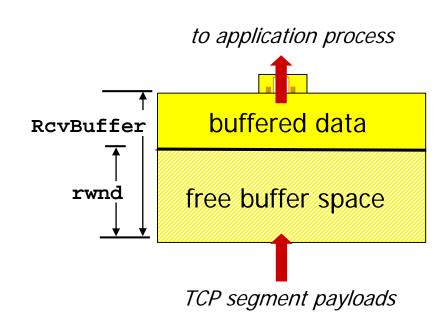
receiver protocol stack

flow control

receiver controls sender, so sender won't overflow receiver's buffer by transmitting too much, too fast

TCP flow control

- receiver "advertises" free buffer space by including rwnd value in TCP header of receiver-to-sender segments
 - RcvBuffer size set via socket options (typical default is 4096 bytes)
 - many operating systems autoadjust RcvBuffer
- sender limits amount of unacked ("in-flight") data to receiver's rwnd value
- guarantees receive buffer will not overflow



receiver-side buffering

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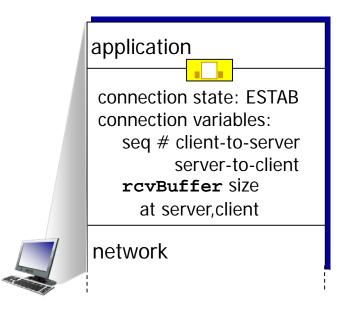
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Connection Management

before exchanging data, sender/receiver "handshake":

- agree to establish connection (each knowing the other willing to establish connection)
- agree on connection parameters



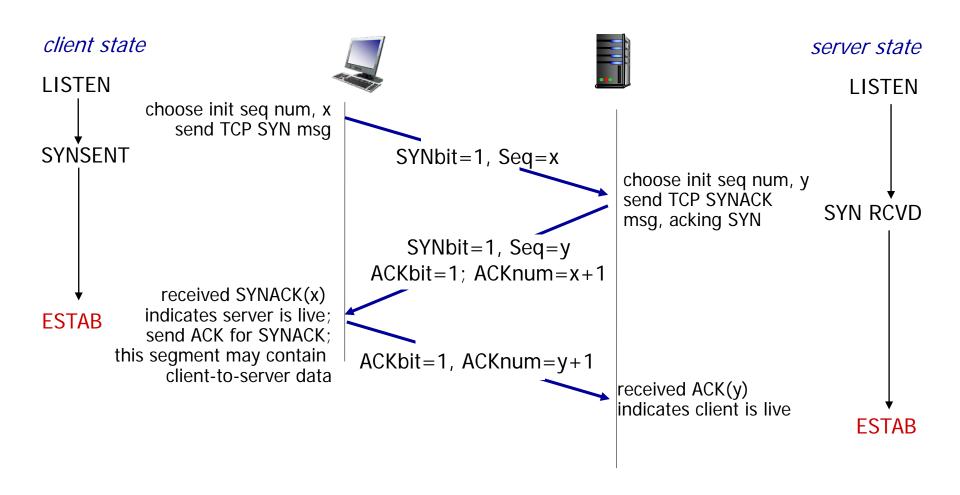
```
connection state: ESTAB connection Variables:
seq # client-to-server
server-to-client
rcvBuffer size
at server,client

network
```

```
Socket clientSocket =
  newSocket("hostname","port
  number");
```

```
Socket connectionSocket =
  welcomeSocket.accept();
```

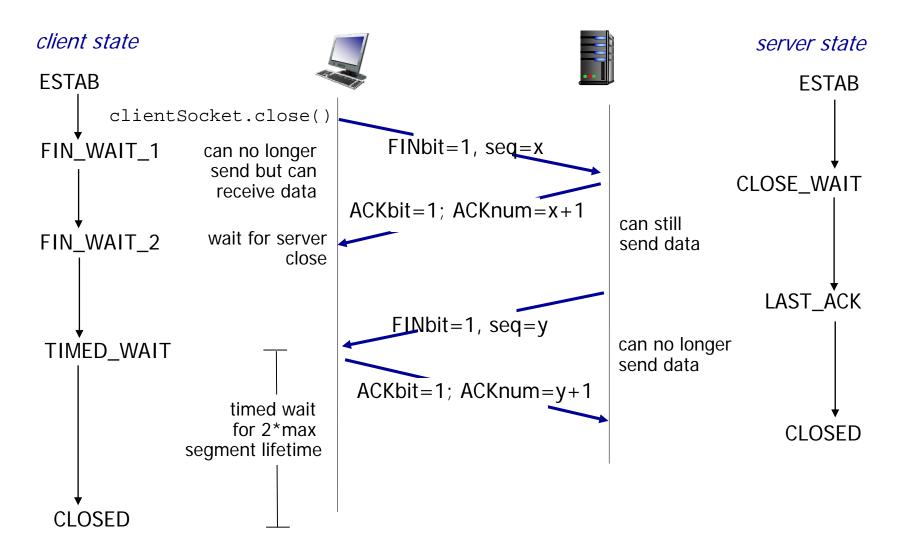
TCP 3-way handshake



TCP: closing a connection

- client, server each close their side of connection
 - send TCP segment with FIN bit = I
- respond to received FIN with ACK
 - on receiving FIN, ACK can be combined with own FIN
- simultaneous FIN exchanges can be handled

TCP: closing a connection



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Principles of congestion control

congestion:

- informally: "too many sources sending too much data too fast for network to handle"
- different from flow control!
- manifestations:
 - lost packets (buffer overflow at routers)
 - long delays (queueing in router buffers)
- a top-10 problem!

The costs of congestion

- Large queuing delays at routers are experienced as packet arrival rates approaches the link capacity.
- The sender must perform retransmissions in order to compensate for dropped (lost) packets due to buffer overflow.
- Unneeded retransmissions by the sender in the face of large delays may cause a router to use its link bandwidth to forward unneeded copies of a packet.
- When a packet is dropped along a path, the transmission capacity that was used at each of the upstream links to forward that packet to the point at which it is dropped is wasted.

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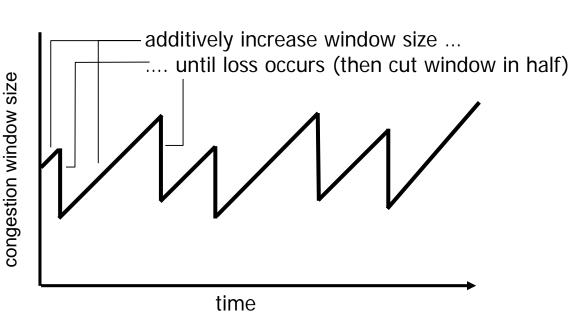
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- 3.7 TCP congestion control

TCP congestion control: additive increase multiplicative decrease

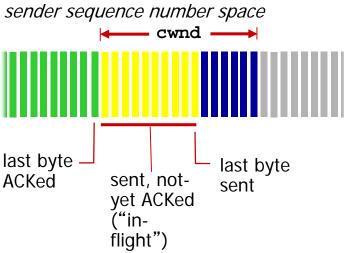
- approach: sender increases transmission rate (window size), probing for usable bandwidth, until loss occurs
 - additive increase: increase cwnd by I MSS every RTT until loss detected
 - multiplicative decrease: cut cwnd in half after loss

AIMD saw tooth behavior: probing for bandwidth

cwnd: TCP sender



TCP Congestion Control: details



sender limits transmission:

$$\begin{array}{ccc} {\tt LastByteSent-} & \leq & {\tt cwnd} \\ {\tt LastByteAcked} & & \end{array}$$

 cwnd is dynamic, function of perceived network congestion

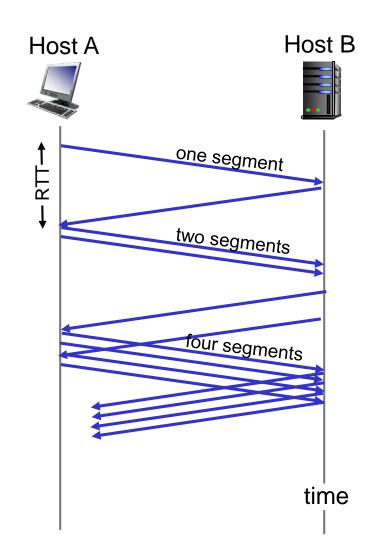
TCP sending rate:

 roughly: send cwnd bytes, wait RTT for ACKS, then send more bytes

rate
$$\approx \frac{\text{cwnd}}{\text{RTT}}$$
 bytes/sec

TCP Slow Start

- when connection begins, increase rate exponentially until first loss event:
 - initially cwnd = I MSS
 - double cwnd every RTT
 - done by incrementing cwnd for every ACK received
- summary: initial rate is slow but ramps up exponentially fast



TCP: detecting, reacting to loss

- loss indicated by timeout:
 - cwnd set to I MSS;
 - window then grows exponentially (as in slow start) to threshold, then grows linearly
- loss indicated by 3 duplicate ACKs: TCP RENO
 - dup ACKs indicate network capable of delivering some segments
 - cwnd is cut in half window then grows linearly
- TCP Tahoe always sets cwnd to I (timeout or 3 duplicate acks)

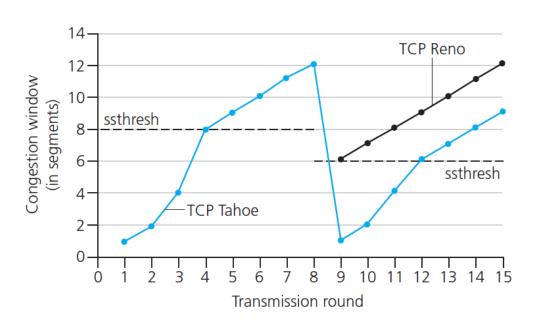
TCP: switching from slow start to CA

Q: when should the exponential increase switch to linear?

A: when cwnd gets to 1/2 of its value before timeout.



- variable ssthresh
- on loss event, ssthresh is set to 1/2 of cwnd just before loss event

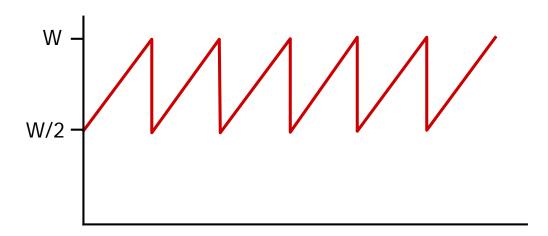


^{*} Check out the online interactive exercises for more examples: http://gaia.cs.umass.edu/kurose_ross/interactive/

TCP throughput

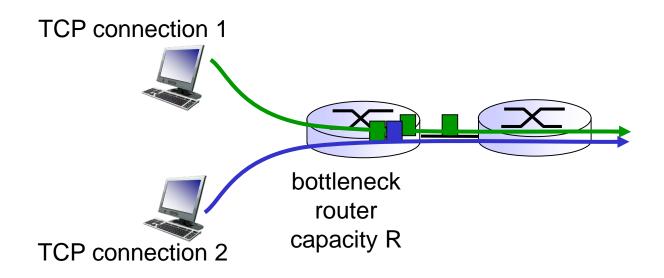
- avg. TCP thruput as function of window size, RTT?
 - ignore slow start, assume always data to send
- W: window size (measured in bytes) where loss occurs
 - avg. window size (# in-flight bytes) is ³/₄ W
 - avg. thruput is 3/4W per RTT

avg TCP thruput =
$$\frac{3}{4} \frac{W}{RTT}$$
 bytes/sec



TCP Fairness

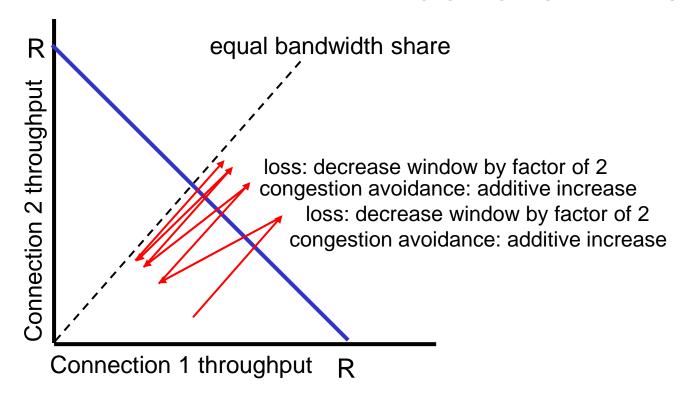
fairness goal: if K TCP sessions share same bottleneck link of bandwidth R, each should have average rate of R/K



Why is TCP fair?

two competing sessions:

- additive increase gives slope of I, as throughout increases
- multiplicative decrease decreases throughput proportionally



Fairness (more)

Fairness and UDP

- multimedia apps often do not use TCP
 - do not want rate throttled by congestion control
- instead use UDP:
 - send audio/video at constant rate, tolerate packet loss

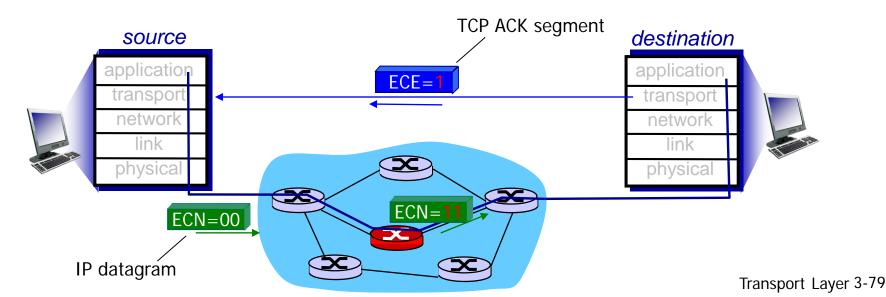
Fairness, parallel TCP connections

- application can open multiple parallel connections between two hosts
- web browsers do this
- e.g., link of rate R with 9 existing connections:
 - new app asks for I TCP, gets rate R/I0
 - new app asks for 11 TCPs, gets R/2

Explicit Congestion Notification (ECN)

network-assisted congestion control:

- two bits in IP header (ToS field) marked by network router to indicate congestion
- congestion indication carried to receiving host
- receiver (seeing congestion indication in IP datagram)) sets ECE bit on receiver-to-sender ACK segment to notify sender of congestion



Chapter 3: summary

- principles behind transport layer services:
 - multiplexing, demultiplexing
 - reliable data transfer
 - flow control
 - congestion control
- instantiation, implementation in the Internet
 - UDP
 - TCP

next:

- leaving the network "edge" (application, transport layers)
- into the network "core"
- two network layer chapters:
 - data plane
 - control plane