Temperature and photoperiod drive spring phenology across all species in a temperate forest community

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Summary:

- (1) Accurate predictions of spring plant phenology with climate change are critical for projections of growing seasons, plant communities and a number of ecosystem services, including carbon storage. Progress towards prediction, however, has been slow because the major cues known to drive phenology—temperature (including intensity of winter chilling and spring forcing) and photoperiod—generally covary in nature and may interact, which would make accurate predictions of plant responses to climate change complex and non-linear. Alternatively, recent work suggests many species may be dominated by one cue, which would make predictions much simpler.
- (2) We manipulated all three cues across 28 woody species from two North American forests.
- (3) All species responded to all cues examined. Chilling exerted a strong effect, especially on budburst, with responses to forcing and photoperiod greatest for leafout. Interactions between chilling and forcing suggest each cue may compensate somewhat for the other. Cues varied across species, leading to staggered leafout within each community and supporting the idea that phenology may be a critical aspect of species' temporal niches.
- (4) Our results suggest that predicting the spring phenology of communities will be difficult, as all species we studied could have complex, non-linear responses to future warming.

Plant phenology—the timing of recurring life history events, such as leafout and flowering—is critical to the structure and function of ecosystems (Cleland *et al.*, 2007). Spring plant phenology in particular drives local ecosystem properties, from the length of the growing season to energy balance between land and atmosphere, and scales up to impact global carbon cycles (Richardson *et al.*, 2009).

Phenology is also one of the major biological indicators of climate change, with plant phenology shifting earlier across the globe 4-6 days/°C with warming (IPCC, 2014). While this average response is strikingly consistent when considered across diverse datasets (Wolkovich et al., 2012), it masks considerable variation. Variation is extreme when examined across species (Wolkovich et al., 2014), but additional variation can be seen within species over space (Kramer et al., 2017; Vitasse et al., 2013) and time (Fu et al., 2015; Yu et al., 2010). Understanding this variation has been the goal of much recent work (Donnelly et al., 2017; Laube et al., 2015; Rutishauser et al., 2008; Zohner et al., 2017), with research focusing on two major linked aims: (1) identifying and quantifying the environmental cues that drive spring phenology (i.e., budburst and leafout), and (2) identifying what drives variation in cues between different species.

Decades of study on wild species spring phenology—mainly focused on temperate woody species— 16 show that three major cues underlie budburst and leafout: warm spring temperatures (forcing), in-17 creasing daylength (photoperiod), and length and intensity of winter temperature (chilling). Across 18 studies increasing temperatures in the spring appear to be a dominant factor that controls spring phenology, yet many of these studies have been observational—making it nearly impossible to tease 20 out the co-varying effects of longer days and reduced cold temperatures, which generally reduce 21 chilling (Chuine, 2000; Cook et al., 2012). In contrast, experiments from controlled environments 22 (e.g., growth chambers) have highlighted the additional importance of photoperiod and chilling (Caffarra et al., 2011; Falusi & Calamassi, 1996; Foley et al., 2009; Ghelardini et al., 2010; Heide, 1993a), with longer days and increased chilling leading to more rapid budburst (Caffarra & Donnelly, 2011). Many of these cues are known to interact: photoperiod and chilling can together determine spring phenology through their complex impacts on dormancy release (Chuine, 2000),

insufficient chilling may be offset by additional forcing, and photoperiod and chilling often interact,
as a long photoperiod enhances cell growth, compensating for a lack of chilling during plants' winter
dormancy (Caffarra *et al.*, 2011; Heide, 1993a; Myking & Heide, 1995).

Yet, while such complexities have been identified in some species, a growing body of hypotheses 31 and experimental studies has suggested many species are dominated by one cue and may lack any response to other cues (Körner & Basler, 2010). If true, this would have critical implications for predicting responses to climate change. Species dominated by a forcing cue would be predicted to continue to advance their leafout timing with warming, while species with strong photoperiod 35 cues would instead stop advancing at some threshold point (Körner & Basler, 2010). This could lead to major separation in the phenology of communities, as some species shift earlier while others change little, with cascading consequences for species coexistence and invasion. Alternatively, if all three cues—forcing, photoperiod, and chilling—are present and interact then predictions would be far more complex (Chuine & Cour, 1999). A species experiencing a mild winter with insufficient chilling (as predicted with climate change) could still break bud, but it would require longer photoperiods and/or warmer temperatures (Heide, 1993a) than it has in the historical record—a trend increasingly seen in long-term observational records (e.g., Carter et al., 2017; Fu et al., 2015). If such complex cues are seen in all species within a community it could mean community phenology may shift more in step, with no dramatic separation between species.

Research to date shows cues clearly vary across species, and recent efforts have focused on understanding and predicting this variation. Studies have focused on attributes of species: native/exotic (Willis et al., 2010), the successional stage (i.e., pioneer or climax communities) to which species traditionally belong (Basler & Körner, 2012; Laube et al., 2014), and a variety of possibly related traits (Lechowicz, 1984; Polgar et al., 2014). Most of these studies hinge on an often implicit assumption that phenology—by helping define the temporal niche of a species—is a critical axis along which plant species assemble within communities (Gotelli & Graves, 1996; Loreau & de Mazancourt, 2008). Support for this hypothesis comes from work showing that phenology is often staggered within communities, and from the special case of plant invasions, where

research suggests that climate change has provided open temporal niche space for new species to occupy (Willis et al., 2010; Wolkovich et al., 2013). As the abiotic environment is not the sole contributor to plant performance, considering a suite of co-occurring species together is key for making progress in understanding the role phenology plays in shifts in community composition and ecosystem functioning (Cleland et al., 2007).

Improved understanding and predictions of phenology with climate change would benefit from 60 a fuller understanding of the interacting environmental cues that drive phenology within (and 61 eventually across) communities. To this aim we studied how forcing, photoperiod and chilling 62 cues vary in their impact on spring phenology across a community of 28 woody plant species from two temperate forest locations (Tables S1), separated by 4° latitude. We used clipped dormant branches, which have been shown to approximate whole plant responses (Vitasse & Basler, 2014), and forced them in controlled environments that varied forcing temperatures, photoperiod and chilling. We predicted that: (1) Cues would vary across species, driving staggered leafout across the spring, and (2) within-species cues would trade off, such that some species would be dominated by one or another cue, while others would show a mix of cues. To our knowledge this is the first multi-species study to assess all three cues in one experiment through a controlled environment approach; while several studies have done this for one species (Skuterud et al., 1994; Søgaard et al., 71 2008; Sønsteby & Heide, 2014; Worrall & Mergen, 1967), other studies of all three cues have used separate experiments (e.g., Caffarra & Donnelly, 2011) or relied on field sampling to asses one or more cues (e.g., Basler & Körner, 2012; Laube et al., 2014; Zohner et al., 2016).

75 Materials & Methods

76 Field sampling

Woody plant cuttings were made in January 2015 for 28 species at Harvard Forest (HF, 42.5°N, 72.2°W) and the Station de Biologie des Laurentides in St-Hippolyte, Québec (SH, 45.9°N, 74.0°W).

The typical late January temperatures are -3.4 and -22°C, respectively, with daylengths (across the year) ranging from 9 to 15.25 hours and 8.5 to 15.75 hours. Weather station data from each field

site was obtained for calculations of chilling units (see Table S7).

Species were chosen based on the dominant forest vegetation at each site, aiming to maximize
the number of shared species between the two sites. Of the 28 species, at least 19 occurred at both
sites. For each species, up to 15 representative healthy, mature individuals with branches accessible
by pole pruners from the ground were tagged in late summer and fall 2014. In winter 2015, six
individuals were located and 4-16 cuttings taken from each individual, depending on size of the
individual and number of treatments to be applied. Cuttings were kept cold and transported back
to the Arnold Arboretum in Boston, MA.

89 Growth Chamber Study

Cuttings were placed in growth chambers at the Arnold Arboretum in Erlenmeyer flasks with distilled water; water was changed every 7-10 days. The bases of cuttings were re-cut at each water change under water to prevent callusing. For 11 of the 28 species, sufficient cuttings were obtained from each individual tree to apply the full set of 12 experimental treatments: 2 temperature (20°C 93 / 10°C warm vs. 15°C / 5°C cool) x 2 photoperiod (12 vs. 8 h) x 3 chilling (no additional chilling, additional 30 d at 4°C, or 30 d at 1.5°C) treatments. For the remaining 17 species, only sufficient cuttings were obtained to apply the temperature and photoperiod treatments, without the additional chilling levels. The total number of cuttings for a given species thus ranged from 24 to 144, depending on presence at each site and application of the chilling treatment (Fig. S1). Lighting was a combination of halogen incandescent bulbs and T5HO fluorescent lamps with the lamploft adjusted to provide roughly 400 $\mu mol/m^2/s$ as measured by Apogee QSO-A5E quantum PAR light sensors in each chamber (sensor set to the height of the cuttings). Treatments were 101 rotated across chambers every two weeks, as was flask position within chamber, to remove any 102 possible bias of chamber or flask position. 103 Phenology of the cuttings was assessed using a BBCH scale, modified for use in trees (Finn

Phenology of the cuttings was assessed using a BBCH scale, modified for use in trees (Finn et al., 2007), with observations on each of the 2,136 cuttings made every 2-3 days for the course of the 82-day experiment, a total of 48 observation days. The phenological stages assessed in the

present study are budburst, defined as beginning of sprouting or bud breaking or shoot emergence (Code 07 in Finn *et al.*, 2007) and leafout, defined as first leaves unfolded (Code 11 in Finn *et al.*, 2007). Additional stages up to flowering and stem elongation were also recorded and we provide a photographic guide to help visualize stages across species (Savas *et al.*, 2017). In total, we made over 19,320 phenological observations at the cutting level.

112 Statistical analysis

We analyzed our data using Bayesian hierarchical models because they allowed us to best-estimate 113 responses to our full experimental design at both the species and across-species levels. For the two 114 phenological responses measured, days to budburst and leafout, we fit mixed-effects hierarchical 115 models using site, warming, photoperiod, and chilling treatments, and all two-way interactions as 116 predictors (fixed effects) and species as modeled groups (random effects). This approach allowed 117 us to calculate the posterior probabilities of the effects for each of the abiotic drivers individually 118 and interactively across all species sampled. The models were fit using the programming languages 119 Stan (Carpenter et al., 2016) (www.mc-stan.org), accessed via the rstan package (version 2.15.1) 120 in R (R Development Core Team, 2017), version 3.3.3. Stan provides efficient MCMC sampling 121 via a No-U-Turn Hamiltonian Monte Carlo approach (more details can be found in Gelman et al. (2014) and in Carpenter *et al.* (2016)). 123

The model was fit as follows:

$$y_{i} \sim N(\alpha_{sp[i]} + \beta_{site_{sp[i]}} + \beta_{forcing_{sp[i]}} + \beta_{photoperiod_{sp[i]}} + \beta_{chilling1_{sp[i]}} + \beta_{chilling2_{sp[i]}} + \beta_{forcing \times photoperiod_{sp[i]}} + \beta_{forcing \times site_{sp[i]}} + \beta_{photoperiod \times site_{sp[i]}} + \beta_{forcing \times chilling2_{sp[i]}} + \beta_{forcing \times chilling2_{sp[i]}} + \beta_{photoperiod \times chilling2_{sp[i]}} + \beta_{photoperiod \times chilling2_{sp[i]}} + \beta_{site \times chilling2_{sp[i]}} + \beta_{site \times chilling2_{sp[i]}})$$

The α and each of the 14 β coefficients were modeled at the species level, as follows:

1.
$$\beta_{site_{sp}} \sim N(\mu_{site}, \sigma^2_{site})$$

...

14.
$$\beta_{site \times chilling2_{sp}} \sim N(\mu_{site \times chilling2}, \sigma^2_{site \times chilling2})$$

For the μ and σ parameters, weakly informative priors were chosen (increasing the priors three-fold did not change the model results). We validated our model code could return valid parameter values using test data.

We ran four chains simultaneously, with 4 000 warm-up iterations followed by 3 997 sampling iterations, resulting in 15 998 posterior samples for each parameter. We used a non-centered parameterization on all interaction terms and assessed good model performance through \hat{R} close to 130 1 and high n_{eff} (15 998 for most parameters, but as low as 2440 for several parameters) as well as 131 visual consideration of chain convergence and posteriors (Gelman *et al.*, 2014).

In our figures we show means \pm 50% credible intervals from this model. We used 50% intervals because of our focus here is on the most likely value for each parameter (e.g., estimated response to forcing) and because they are computationally stable (Carpenter *et al.*, 2016; Gelman *et al.*, 2014). For those interested in a more traditional significance-testing approach (which is not our focus here), we provide 95% credible intervals in Table S2-S3.

137 Results

138 Budburst success

Across all treatments, 9.8% of the cuttings did not break bud, while an additional 10.4% did
not reach the leafout stage following budburst (see *Budburst and leafout success* in the Supporting
Information). Variation was highest due to species identity and ranged from complete budburst and
leafout (e.g., *Hamamaelis*) to only 65% budburst (*Quercus alba*) or 50% leafout (*Fagus grandifolia*,

Acer saccharum) across all treatments (Table S2). The percent of non-leafouts by site was similar,

with 20.6% of Harvard Forest and 19.7% of St. Hippolyte samples failing to leafout. Additional chilling decreased budburst and leafout success (Tables S3-S4; Fig. S2-S3), with 30 d at 1.5°C having the largest effects (cuttings with 1.5°C were 14.2% less likely to reach budburst and 23.4% less likely to reach leafout). Other effects were weaker and varied by budburst versus leafout (Tables S3-S4; Fig. S2-S3): for example, forcing temperatures and photoperiod affected leafout only (increased forcing increased leafout by 3.9% while longer days increased leafout by 4.2% though the total effect of increased forcing and longer days together was only 3.0% due to a negative interaction of the two effects, see Figure S3).

152 Days to budburst and leafout

Higher forcing temperatures, longer photoperiod (12 vs. 8 h), and additional chilling all caused 153 large advances in budburst and leafout (Fig. 1, Tables S2-S3). Forcing temperatures (20°C / 10°C 154 warm vs. 15°C / 5°C cool) and chilling (no additional chilling, additional 30 d at 4°C, or 30 d at 155 1.5°C) caused the largest advances in budburst and leafout, and these two effects offset one another, 156 as shown by their interactive delayed response (Fig. 1). Effects of chilling at 4°C were greater than 157 forcing for all species (for which chilling was assessed) for budburst and for most species for leafout (effects of forcing were greater than chilling for Acer saccharum, Populus grandidentata, Quercus 159 rubra, Viburnum lantanoides). Effects of forcing were greater than photoperiod for all species for 160 budburst for all but Prunus pensylvanica for leafout. We found similar effects of chilling across two 161 different base temperatures, with only minor differences: responses to the colder (1.5°C) chilling treatment were similar or more muted compared to responses to the warmer (4°C) chilling treatment 163 (Fig. 1, S5-S6, Tables S2-S3). 164

Responses to cues were qualitatively similar across both budburst and leafout, but quantitatively varied greatly between the two phenophases (Fig. 1-2), with responses generally greater for leafout (Fig. 1). This change was dramatic for the response to forcing and photoperiod where the advance in days more than doubled from budburst to leafout (Fig. 1, Table S3-S4).

These three factors (forcing, chilling and photoperiod) did show some degree of substituability, meaning, for example, that a lack of chilling could be made up for by an increase in forcing. These are indicated by the positive two-way interactions: for example, while forcing or chilling at 4°C alone would advance leafout (-19 or -17 days, respectively), their combined effect would lead to an advance of only 26 days because of their interaction (10 days, see Table S5). Chilling and forcing temperature are more substitutable than chilling and photoperiod, for both budburst and leafout, while forcing and photoperiod showed virtually no substitutability for budburst and only a small amount for photoperiod (Fig. 1).

The two forest sites showed similar responses, with only a very minor possible delay in overall timing for the northern site, and a more pronounced effect of site through its interaction with chilling (Fig. 1, Tables S5-6). The full effect of chilling depended on site, with a larger impact of site on the lower chilling temperature (1.5°C). Effects of forcing temperatures and photoperiod were not clearly impacted by site.

At the community level we found that all species were responsive to all cues (forcing tempera-182 tures, photoperiod and chilling, Fig. 3, S4-5), with each species having slightly different cues such 183 that each species would budburst and leafout at a distinct time compared to other species (Fig. 184 3). Early species tended to show the smallest responses to all cues, suggesting they bud burst and leaf out early because they require lower amounts of spring forcing, longer days and chilling to 186 start growth each season. In contrast, mid and late species relied on a varying mix of cues to drive 187 their spring phenology: for example, *Populus qrandidentata* showed a relatively strong response to 188 forcing and chilling, but a milder response to photoperiod, while Fagus grandifolia had a strong response to forcing and photoperiod and a much smaller response to chilling. 190

Discussion

We found that all species responded to all three cues—spring forcing, winter chilling and photoperiod—suggesting that future spring phenology with continued warming will most likely be complex and non-linear. While some observational responses to date have suggested a potentially linear phenological response to warming (Ellwood *et al.*, 2013) our results provide community support for decades of research that find spring phenology depends on a complex suite of multiple interactive

cues (e.g., Caffarra et al., 2011; Heide, 1993b). All three cues individually advanced budburst and 197 leafout, with the effects of chilling and forcing showing the largest interactive effect. The interactive 198 effects of forcing and chilling have been noted repeatedly before (e.g., Caffarra et al., 2011; Heide, 199 1993a) and highlight that insufficient chilling can be overcome by additional forcing—a hypothesis 200 suggested by recent studies that have found shifting temperature sensitivities in observational data 201 over time (Fu et al., 2015; Yu et al., 2010). Photoperiod and forcing also showed an interactive 202 effect, but mainly for leafout and much smaller than either the singular effects of forcing or pho-203 toperiod (Figure 1). 204

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206 Phenological cues: Multiple cues and interactive effects

In contrast to our expectations that within a species cues would trade off (i.e., a species could 207 be dominated by one cue), we found that species tended to show similar cues, especially between 208 forcing and photoperiod (Fig. 2a,c). Thus, a species with a strong response to forcing temperatures 200 generally also had a strong response to photoperiod and, similarly, a species with a comparatively 210 weak response to forcing also had a weaker response to photoperiod. This was also seen somewhat with chilling (Fig. 2b,d), though we have fewer species with which to assess the relationship (see 212 Methods). There was substantial variation, however, such that if only a small subset of species 213 had been included in the study, it might have been concluded that a trade-off between photoperiod 214 sensitivity and warming sensitivity would exist. For example, Fagus grandifolia exhibited a rela-215 tively limited response to warming but substantial photoperiod sensitivity, while Rhamnus franqula 216 showed a relatively limited response to photoperiod but substantial warming sensitivity. 217

These results also suggest that simple classification of some species as 'sensitive' or 'insensitive' or similar bins (e.g., 'high', 'low', 'no' sensitivity) to any cue would be artificial, as species did not form distinct clusters (Fig. 2). Previous studies have classified some of our studied species as non-or low-responsive to photoperiod (e.g., Alnus incana, Aronia melanocarpa in Zohner et al., 2016), but we found these species were responsive to photoperiod and that any one species' cues were only slightly different from the cues of several other species, yielding no clear way to define such binary

classifications. This is despite the fact that our species spanned a diversity of genera, including
canopy and understory species. We did find that shrubs tended to show smaller budburst responses
to photoperiod (Fig. 2a) than many trees, but this was not seen for leafout (Fig. 2c).

Our finding that all species responded strongly to all three cues is at odds with some recently 227 published work (Basler & Körner, 2012; Laube et al., 2014; Zohner et al., 2016), but is coherent 228 with many other studies (e.g., Heide, 1993a; Worrall & Mergen, 1967), with related process-based 229 models of woody plant phenology (Chuine et al., 2016, 2000) and with recent trends in long-term 230 data (e.g., Carter et al., 2017; Fu et al., 2015). The contrasting results may be due to varying 231 methodologies and study aims. Our study used samples collected from the field in January—when 232 species in these locations had likely not fully met requirements for any cue—then used controlled 233 environments (growth chambers) to manipulate all three cues at once. In contrast, many other studies have used multiple field sampling dates (i.e., sampling once every several weeks across the 235 winter) to assess the effect of one cue, most often chilling (Laube et al., 2014; Weinberger, 1950; 236 Zohner et al., 2017), combined with controlled environments that manipulate the other cues (most 237 often forcing and photoperiod). This is done based on the assumption that chilling increases across a winter season, yet forcing temperatures and photoperiod generally increase as well—meaning it 239 may be hard to fully assess any one cue using this method. Studies using this method may thus 240 underestimate the full suite of cues used to control spring phenology. 241

Study design plays an important role in all controlled environment phenology studies and can 242 easily affect the findings and predictive utility of such studies in many ways. Studies which repeti-243 tively sample throughout the winter may less accurately measure each cue, but have the advantage 244 of providing more realistic environmental conditions by capturing realistic shifts in all three cues 245 across the winter-spring season (Basler & Körner, 2012), and thus play an important role in predicting near-term impacts of climate change. In contrast, many studies (e.g., Caffarra et al., 2011; Laube et al., 2014) create more extreme changes in cues to better assess whether a cue is present. 248 For example, our study used a more extreme photoperiod difference between the two treatments, 240 which may better detect photoperiod responses. Our four hour photoperiod difference corresponds 250

to a temporal change of 10-12 weeks in the spring at our two sites (see Supplemental Materials),
though extreme such a change is not impossible given projected warming and variation seen to
date (Stocker et al., 2013; Wolkovich et al., 2012). The drawback of this approach, however, is that
the design is much more artificial in its climate and, given the extreme treatments, may be less
relevant for near-term projections. Such designs may be more useful for longer-term predictions of
phenological responses with climate change and/or for use in parameterizing process-based models,
which often use a mix of results from observations and experiments.

Further, most phenological studies face limitations on how fully they can assess cues because of 258 limited understanding of dormancy and its release preceding budburst (Chuine et al., 2016; Cooke 250 et al., 2012). Because dormancy release cannot be easily assessed (Chuine et al., 2016) most studies 260 to date using individuals sampled from the field do not fully know at what stage in endo or ecodor-261 mancy an individual is before the experiment or exactly how much chilling or forcing has been 262 received. At our sampling date in January all individuals would have received some degree already 263 of all three cues, but still responded significantly to all treatments. In particular our finding that 264 all species responded to chilling suggests our sampled individuals must have all still been in endodormancy, since major responses to chilling are not expected after plants have moved from endo 266 to ecodormancy (Chuine et al., 2016). Our sampling date may also have affected our findings with 267 regard to site effects. Chilling was the only factor to show noticeable differences due to site effects, 268 which could indicate that chilling requirements vary across populations due to local adaptation, or it could be due to the field chilling experienced before we took cuttings for our experiments (see 270 Table S4). Additionally, our finding of no major difference in the two different chilling tempera-271 tures could indicate that plants cannot assess chilling temperatures below some threshold (Coville, 272 1920; Guy, 2014; Harrington et al., 2010) or that most met their chilling requirements at the higher 273 chilling treatment. If the latter is true, then studies which sample much earlier in the season should find an elevated response to lower chilling temperatures. Finally, our limited knowledge of what 275 controls dormancy release also makes determining which temperatures are 'forcing' and which are 276 'chilling' difficult. Chilling is often assumed to happen below 5°C, but may occur also between 5-277

10°C (or higher) depending on the species and study (Harrington & Gould, 2015; Luedeling et al.,
279 2013). This may make our low forcing treatment (15/5°C) a possible nighttime chilling treatment,
280 depending on the species and exact conditions. This design, however, had the benefit of holding
281 the diurnal temperature range—which has been suggested to alter budburst timing (Rossi & Isabel,
282 2017)—constant across treatments.

283

284 Phenological cues at the community scale

At the community level we found that each species had a unique suite of cues, leading to a generally staggered leafout (Fig. 3). This provides support for the idea that spring phenology is an important component of the temporal niche (Gotelli & Graves, 1996; Loreau & de Mazancourt, 2008). Species cues varied depending on the phenophase considered, meaning species' responses also shuffled between the two stages (Fig. 2). This fundamentally means that the species that bursts bud first will not necessarily leaf out first.

These quantitatively diverging findings for each phenophase suggest complex dynamics in the 291 early season within a community of woody plant species. Increasing evidence suggests the period between budburst and leafout is when plants are at greatest risk of tissue loss from frost (Lenz 293 et al., 2013), and these new insights have come at the same time that research suggests risk of frost 294 damage may increase with climate change (Augspurger, 2009; Dai et al., 2013). For early season 295 species in particular, this period may be critical to their current and future performance. Our results suggest that the cues for each stage are not identical and supports other work suggesting cues on 297 bud swelling and budburst may be distinct from the cues governing the development afterwards 298 (Basler & Körner, 2014). Understanding budburst is particularly difficult as it is the first observable 290 event after a series of unobservable (but see Rinne et al., 2011), yet important physiological events 300 required for budburst (Caffarra et al., 2011; Vitasse et al., 2014) and our results echo calls for 301 increased research in this topic (Chuine et al., 2016), which spans both molecular, cellular and 302 whole plant areas of study (Morin et al., 2009; Rinne et al., 2011; Singh et al., 2017). 303

Conclusions

304

Across the two communities we studied, our results suggest species within a community have staggered budburst and leafout due to a mix of all three major environmental cues: forcing temperatures, photoperiod and chilling. In contrast to our hypothesis (and others', e.g., Körner & 307 Basler, 2010), we found no evidence of any species being dominated by one or another cue; instead, 308 species tended to show similar cues, especially between forcing and photoperiod cues. Thus, ac-300 curately predicting the phenology of any one of our studied species under diverse environmental 310 conditions would require considering how all three cues will change in concert. Shifting climate 311 has already clearly altered forcing and potentially chilling across the globe (IPCC, 2014; Stocker 312 et al., 2013) with trends expected to only continue and possibly accelerate. In contrast, photope-313 riod has not, and will not, shift; however plants that advance their leafout will experience large 314 shifts in photoperiod. These trends combined with our results mean that all 28 species we studied 315 could potentially show complex, non-linear responses in the future, with cascading community and 316 ecosystem consequences. 317

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323 Author Contributions:

DFBF and EMW conceived of the study design, performed analyses and wrote the paper. DFBF also carried out the experiment.

Data, Code & Model Output:

Raw data will be available via the Harvard Forest Data Archive upon publication and are available to all reviewers upon request. Stan model code and output provided upon request.

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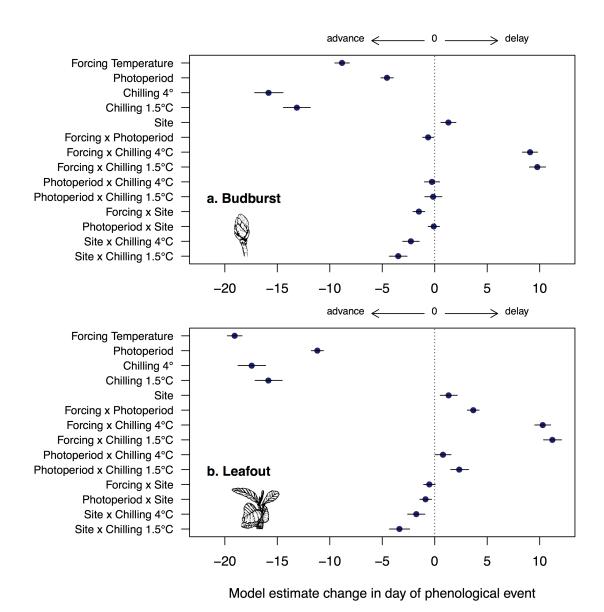


Figure 1: Effects of forcing temperature, photoperiod, chilling and site on budburst (a) and leafout (b) days across 28 species. Dots and bars show mean and 50% credible intervals from a Bayesian hierarchical model that also incorporated species-level variations (see Tables S2-S3; Figs. 1, S2-S3). Advances in phenology are shown by negative numbers; delays are shown as positive. Forcing temperatures and photoperiods were two levels each (see Methods), and chilling treatments were

applied for 30 days. Budburst and leafout images from Finn et al. (2007).

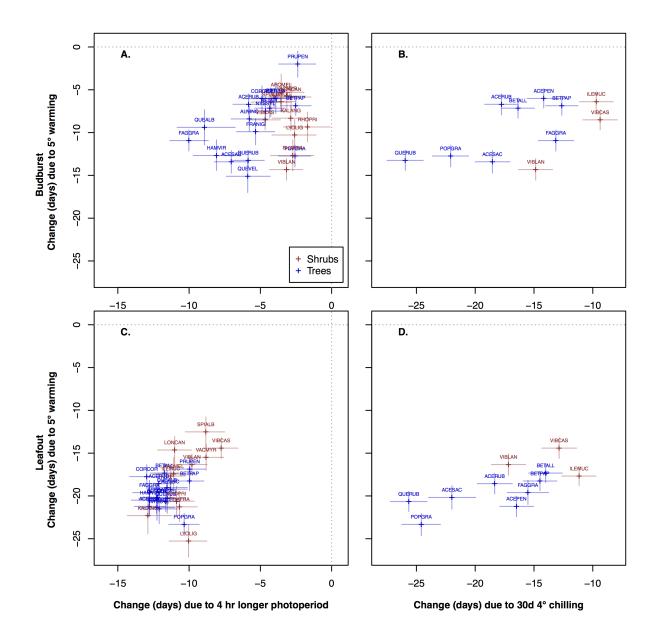


Figure 2: Effects of photoperiod, temperature and chilling across species: Crosses and bars show mean and 50% credible intervals from a Bayesian hierarchical model (see Tables S2-S3; Figs. 1, S2-S3). For visualization purposes, species names are represented by the first three letters of the genus and first three letters of the species epithet (see Table S1 for full species names and Fig. S5-S6 for additional versions of figure).

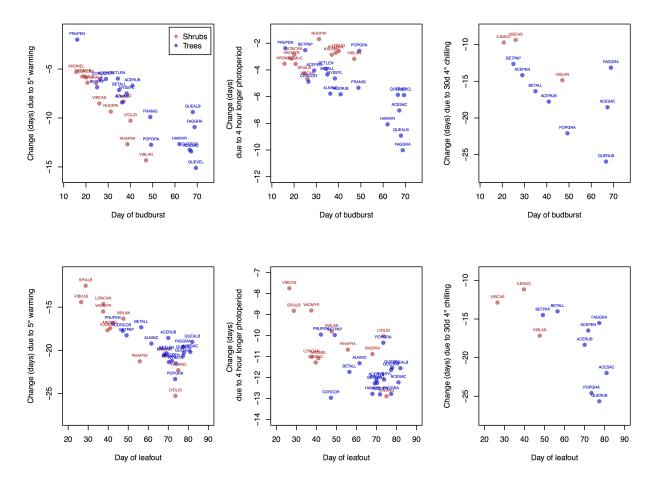


Figure 3: Effects of photoperiod, temperature and chilling across species compared to day of budburst (upper panels) or leafout (lower panels): we show mean estimates of sensitivity to warming, photoperiod, and chilling from a Bayesian hierarchical model (see Tables S2-S3; Figs. 1, S2-S3). For visualization purposes, species names are represented by the first three letters of the genus and first three letters of the species epithet (see Table S1 for full species names).