How do climate change experiments alter local climate?

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Data Accessibility The MC3E database will be available at KNB (Ettinger & Wolkovich, 2018), along

with all R code from the analyses included in this paper. (Currently, metadata are published there; the full

database and R code are available to reviewers on github.)

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#### 1 Abstract

To understand and forecast biological responses to climate change, scientists frequently use field experiments that alter temperature and precipitation. Climate manipulations can manifest in complex ways, however, challenging interpretations of biological responses. We reviewed publications from active-warming experiments to compile a database of daily local (i.e., plot-level) climate data from 15 experiments that use forced air, infrared heaters, and soil cables to warm plots. We find that the common practices of analyzing primarily mean changes among treatments and analyzing treatments as categorical variables (e.g. warmed verses unwarmed) masks important variation in treatment effects over space and time. Our synthesis showed measured mean warming in plots with the same target warming can vary by 3°C or more among blocks. Furthermore, warming treatments produce secondary effects, such as soil drying. The implications of these complexities can have important biological consequences. We show one such consequence with a case study of plant phenology, in which accounting for secondary non-temperature effects of warming triples the estimated sensitivity of budburst to warming. Based on our synthesis, we present recommendations for future analyses, experimental design and data sharing that will improve the ability of climate change experiments to accurately identify and forecast species' responses.

### 16 Introduction

of organisms, with cascading community, ecosystem, and climate effects (Shukla & Mintz, 1982; Cox et al., 2000; Thomas et al., 2004; Parmesan, 2006; Field et al., 2007; Sheldon et al., 2011; Urban et al., 2012). Much uncertainty exists about how particular individuals, populations, species, communities, and ecosystems will respond as warming becomes more extreme (Thuiller, 2004; Friedlingstein et al., 2014). Predicting biological responses to current and future climate change—and their feedbacks to earth's climate and ecosystem

Climate change is dramatically altering earth's biota, shifting the physiology, distribution, and abundance

- is the respondence to current and reverse change and their receptable to curve a change and coopy
- services—is one of the most significant challenges facing ecologists today.
- <sup>24</sup> Two common approaches for understanding biological effects of climate change are observational studies,
- <sup>25</sup> which correlate recorded biological patterns with measured trends in climate, and process-based modeling;
- 26 yet these approaches are insufficient for several reasons. Observational studies and correlative models cannot
- 27 disentangle the causal effects of warming from other factors that have also changed over time, such as succes-

sional stage or land use. In addition, models based on correlative data may fail to make useful predictions for future conditions that fall outside the range of historical variability (e.g., Pearson & Dawson, 2004; Hampe, 2004; Ibanez et al., 2006; Swab et al., 2012; Chuine et al., 2016). Climate change will yield warmer temperatures than the previous 150 years, and possibly warmer than at any time in the last 2000 years (Ohlemüller 31 et al., 2006; Williams & Jackson, 2007; Williams et al., 2007; Stocker et al., 2013). Process-based models 32 begin to overcome these challenges through inclusion of explicit mechanistic relationships between climate and biological outcomes. However, they are limited by the processes they include (i.e., our understanding of mechanism), as well as by the data available to parameterize those processes (Moorcroft, 2006; Kearney & Porter, 2009). 36 Experimental data from field-based climate change experiments are crucially important to fill these knowledge gaps and determine mechanistic links between climate change and biological responses. Experiments can 38 quantify biological responses to different levels of climate change, and can create the "no-analog" climate 39 scenarios forecasted for the future, particularly when they employ active-warming methods, such as forced air heaters, soil warming cables, or infrared heaters (Shaver et al., 2000; Williams et al., 2007; Aronson & McNulty, 2009). In addition, active-warming can be combined with precipitation manipulations (e.g., snow removal, water additions or reductions), offering the ability to isolate effects of temperature and precipitation from other environmental changes (e.g., Price & Waser, 1998; Cleland et al., 2006; Sherry et al., 2007; Rollinson & Kaye, 2012). Compared with indoor growth-chamber experiments, field-based experiments offer the possibility of preserving important but unknown or unquantified feedbacks among biotic and abiotic 46 components of the studied systems. 47 With climate change experiments, ecologists often aim to test hypotheses about how projected warming will affect species' growth, survival, and future distributions (Dukes & Mooney, 1999; Hobbie et al., 1999; Morin et al., 2010; Pelini et al., 2011; Chuine et al., 2012; Reich et al., 2015; Gruner et al., 2017). Recent 50 research suggests, however, that climate manipulations may not always alter plot-level climate (hereafter, microclimate) in ways that are consistent with observed changes over time (Wolkovich et al., 2012; Menke et al., 2014; Andresen et al., 2016). For exappolation of experimental findings to the real world, we need 53 detailed assessments of how active-warming experiments alter the local climate conditions experienced by organisms, and the extent to which these conditions are similar to current field conditions or anticipated 55 climate change.

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Here, we investigate the complex ways that microclimate is altered by active-warming treatments, both directly and indirectly, across multiple studies. The qualitative challenges and opportunities of climate change experiments have been summarized previously (e.g., De Boeck et al., 2015) and effects of these manipulations on some aspects of microclimate have been published for individual sites (e.g., Harte et al., 1995; McDaniel et al., 2014b; Pelini et al., 2011). However, our quantitative meta-analysis allows us to examine trends across sites and warming designs, and make recommendations based on this information.

Using plot-level daily microclimate data from 15 active-warming experiments (yielding 59 experiment years and 14,913 experiment days; Table S1), we show the direct and indirect ways that experimental manipulations alter microclimate. We use a case study of spring plant phenology to demonstrate how analyses that assume a constant warming effect and do not include secondary effects of warming treatments on biological responses lead to inaccurate quantification of plant sensitivity to temperature shifts. Finally, we synthesize our findings to make recommendations for future analysis and design of climate change experiments (Box 1).

#### MicroClimate from Climate Change Experiments (MC3E) database

To investigate how climate change experiments alter microclimate, we first identified published, active-warming field experiments. We focused on *in situ* active-warming manipulations because recent analyses indicate that active-warming methods are the most controlled and consistent methods available for experimental warming (Kimball, 2005; Kimball *et al.*, 2008; Aronson & McNulty, 2009; Wolkovich *et al.*, 2012). We do not include passive-warming experiments because they have been analyzed extensively already and are known to have distinct issues, including reduction in wind, overheating, and great variation in the amount of warming depending on irradiance and snow depth (Marion *et al.*, 1997; Shaver *et al.*, 2000; Wolkovich *et al.*, 2012; Bokhorst *et al.*, 2013, , see also Table S2).

We carried out a full literature review to identify potential active-warming field experiments to include in the database. We followed the methods and search terms of Wolkovich *et al.* (2012) for their Synthesis of Timings Observed in iNcrease Experiments (STONE) database (Wolkovich *et al.*, 2012), but restricted our focus to active-warming experiments. Further, because our goal was to tease out variation in climate (including temperature and soil moisture), we focused on warming studies with multiple levels of warming

and/or precipitation treatments. These additional restrictions constrained the list to 11 new studies published

after the STONE database, as well as six of the 37 studies in the STONE database. We contacted authors to

obtain daily microclimate and phenological data for these 17 studies and received data (or obtained publicly available data) for 10 of them, as well as datasets from five additional sites offered or suggested to us over the course of our literature review and data analysis. The daily temperature and soil moisture data from these 15 experiments comprise the MicroClimate from Climate Change Experiments (MC3E) database (Figures 1 and S1, Table S1), which is available at KNB (Ettinger & Wolkovich, 2018).

#### Complexities in interpreting experimental climate change

Climate change experiments often include detailed monitoring of climate variables at the plot-level, yielding 91 large amounts of data, such as daily or hourly temperature and other climate variables, over the course of an experiment. Ecologists, however, are generally interested in the ecological responses (e.g., community dynamics, species' growth, abundance, or phenology), which are collected on much coarser timescales (e.g., weekly or annually). Not surprisingly, then, when analyzing ecological responses, authors typically provide detailed information on the observed biological responses, and report only the mean change in climate over the course of the experiment and whether it matched their target level of change (e.g., Price & Waser, 1998; Rollinson & Kaye, 2012; Clark et al., 2014a,b). Several studies have conducted detailed, independent analyses of microclimate data from warming experiments (e.g., Harte et al., 1995; Kimball, 2005; Kimball et al., 2008; McDaniel et al., 2014b; Pelini et al., 2011). While these detailed analyses provide valuable case studies of 100 experimental effects on microclimate data alone, they have generally not been incorporated into analyses of 101 ecological responses. 102

Though the focus in interpreting ecological responses to climate change manipulations has been primarily on mean shifts in microclimate, the imposed manipulations result in much more complex shifts. The magnitude of change in these manipulations varies in time and space, and the presence of experimental equipment alone (with no heat added) often alters environmental conditions. These factors, discussed below, challenge our interpretation of how experimental warming studies forecast effects of climate change on organisms and ecosystems.

#### 109 Effects on local climate vary over time and space

Reporting only the mean temperature difference across the duration of the study masks potentially important 110 temporal variation in temperature among treatments (compare Figure 2 to Figure S2). Using the MC3E 111 database, we found that active-warming reduces the range of above-ground daily temperature by 0.37°C per 112 °C of target warming (Table S3, see also Table S1, which details the different methods used to measure 113 and warm temperatures). Active-warming decreased above-ground daily temperature range by differentially affecting maximum and minimum temperatures: warming increased daily minima by 0.81°C per °C of target warming, but only increased daily maxima by 0.48°C per °C of target warming (Table S3). These effects 116 varied by site (Table S3), but we found no clear patterns by warming type (e.g., infrared versus forced air). 117 Soil daily temperature range was not affected by experimental warming (Table S4). 118 We observed strong seasonal and annual variations in the effects of experimental warming (Figures 1, 2, 119 Table S5). Warming generally appears close to targets in winter and early spring, and farthest below targets 120 in summer (day of year 150-200), though patterns differ among sites (Figure 1). The variation in warming 121

Table S5). Warming generally appears close to targets in winter and early spring, and farthest below targets in summer (day of year 150-200), though patterns differ among sites (Figure 1). The variation in warming effectiveness may be driven by interactions between warming treatments and daily, seasonal, and annual weather patterns, since the magnitude of warming can vary as weather conditions change. Both infrared heaters and soil cables fail to achieve target temperature increases during rainstorms (Peterjohn et al., 1993; Hoeppner & Dukes, 2012) and with windy conditions (Kimball, 2005; Kimball et al., 2008). In addition, treatments are often applied inconsistently within or across years. Heat applications are frequently shut off during winter months, and some heating methods, even if left on throughout the year do not warm consistantly (e.g. Clark et al., 2014a,b; Hagedorn et al., 2010).

Treatment effects also vary spatially, further complicating interpretion of climate change experiments. The 129 MC3E database contains six studies that used blocked designs, allowing us to examine spatial variation in the 130 amount of warming (i.e. the difference between treatment and control plots within a block). These studies include five infrared and one soil warming cable experiment. We found that the amount of observed warming frequently varied by more than 1°C (and up to 3°C) among blocks (Figure 2, Table S6); this variation in 133 warming is substantial, as it is equivalent to the target warming treatment for many studies. The differences in 134 warming among blocks may be caused by fine-scale variation in vegetation, slope, aspect, soil type, or other 135 factors that can alter wind or soil moisture, which in turn affect warming (Peterjohn et al., 1993; Kimball, 136 2005; Kimball et al., 2008; Hoeppner & Dukes, 2012; Rollinson & Kaye, 2015). 137

Of course, identical experimental treatments across space and time are not necessary, nor realistic, for robust analysis of experimental results and forecasting. Indeed, the spatial and temporal variation we report could improve and refine models, and—at least in some regions—may be consistent with contemporary patterns of climate change (Stocker et al., 2013). Taking advantage of this variation, however, requires understanding and reporting it (e.g., Milcu et al., 2016). In contrast, fine-scale spatial and temporal variations in warming treatments are rarely analyzed explicitly with ecological data, so the implications for interpretation of experimental findings are unclear.

#### Experimental infrastructure alters local climate

Experimental structures themselves can alter temperature and other important biotic and abiotic variables in ways that are not generally examined in experimental climate change studies. The importance of controls that mimic a treatment procedure without actually applying the treatment is widely acknowledged in biology (e.g., Spector, 2001; Johnson & Besselsen, 2002; Quinn & Keough, 2002; Dayton, 1971). Though some experimental climate change studies include treatments with non-functional warming equipment as well as ambient controls, the magnitude and implications of structural effects on climate are rarely interpreted or analyzed.

To investigate the magnitude of infrastructure effects, we compared temperature and soil moisture data from 153 five active-warming studies at two sites: Duke Forest and Harvard Forest (Farnsworth et al., 1995; Clark et al., 154 2014b; Marchin et al., 2015; Pelini et al., 2011). These were the only studies in the MC3E database that 155 monitored climate in two types of control plots: structural controls (i.e., 'shams' or 'disturbance controls,' which contained all the warming infrastructure, such as soil cables (n=1), forced air chambers (n=2), or both (n=2), but with no heat applied) and ambient controls with no infrastructure added. Other studies monitored 158 environmental conditions in only structural controls (n=5) or ambient controls (n=4). We were unable to 159 compare ambient and structural controls for experiments using infrared heating, because no studies in our 160 database included both control types. (A separate analysis was suggestive that there may be infrastructure 161 effects on microclimate for infrared studies in our database as well; see Supplemental Materials, especially 162 Table S7).

We found that experimental structures altered above-ground and soil temperatures in opposing ways: aboveground temperatures were higher in the structural controls than in ambient controls, whereas soil tem-

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peratures were lower in structural controls compared with ambient controls (Figure 3a-d). This general pattern was consistent across different temperature models (mean, minimum, and maximum temperatures), although the magnitude varied among seasons, studies, and years (Figure 3a-d, Tables S8, S9, S11, S12). We also found that experimental infrastructure decreased soil moisture relative to ambient conditions across all 169 seasons, studies, and years (Figure 3e, Tables S10, S13). 170

There are several possible reasons for the observed climatic differences between ambient and structural con-171 trols. Infrastructure materials may shade the plots, reduce airflow, reduce albedo relative to surroundings, 172 or otherwise change the energy balance. Specifically, soil temperatures may be cooler in structural controls 173 for forced air studies because the experimental structures block sunlight from hitting the ground surface, 174 causing less radiative heating of the ground in structural controls compared to ambient controls. In addition, above-ground temperatures may be warmer in structural controls because the structures radiatively 176 warm the air around them and block wind, inhibiting mixing with air outside of the plot. Structures may 177 also interfere with precipitation hitting the ground, thereby reducing local soil moisture and snowpack, with 178 its insulative properties. Finally, for some warming types (e.g., soil cables), structural controls experience 179 increased soil disturbance compared with ambient controls; this may alter water flow and percolation, and 180 introduce conductive material via the cables or posts.

To the extent that differences between ambient and structural controls have been reported in previous studies, 182 our findings appear to be consistent. Clark et al. (2014b), whose study employed forced air and soil cables for warming, state that "control of the air temperature was less precise, in part due to air scooping on windy 184 days." Marchin et al. (2015), who used forced air warming, note that structural controls had mean spring 185 air temperatures about 0.5°C or more above ambient temperatures. Peterjohn et al. (1994), who warmed 186 soil with heating cables, reported cooler soil temperatures in structural controls than in ambient controls at 187 shallow soil depths. Similarly, we found the greatest difference in soil temperature between structural and 188 ambient controls in shallow soils (e.g., exp10, soil depth = 2cm). If addressed, the focus to date has been largely on these abiotic impacts of experimental structures, but structures may also alter herbivory and other biotic conditions (Kennedy, 1995; Moise & Henry, 2010; Wolkovich et al., 2012; Hoeppner & Dukes, 2012). 191 Our analyses suggest that warming experiments which calculate focal response variables relative to ambient controls (e.g., Price & Waser, 1998; Dunne et al., 2003; Cleland et al., 2006; Morin et al., 2010; Marchin et al.,

2015) may not adequately account for the ways in which infrastructure affects microclimate. Results from

studies reporting only structural controls (e.g., Sherry et al., 2007; Hoeppner & Dukes, 2012; Rollinson & Kaye, 2012), should be cautiously applied outside of an experimental context, as—without ambient controls—their inference is technically limited to the environment of the structural controls. Our results suggest that studies aiming to predict or forecast the effects of climate change on organisms and ecosystems would benefit from employing both structural and ambient controls so that they may separate infrastructural artifacts from the effects of experimental warming.

#### Secondary and feedback effects of climate change manipulations

Climate change experiments often seek to manipulate temperature or precipitation separately as well as interactively, but manipulating either of these variables in isolation is difficult. Treatments involving precipitation
additions typically reduce temperatures in climate change manipulations (Sherry et al., 2007; Rollinson &
Kaye, 2012; McDaniel et al., 2014b). For example, McDaniel et al. (2014) observed that a 20% increase in
precipitation reduced mean hourly temperatures by 0.3°C over the course of their two-year experiment.

In the MC3E database, there are four experiments that manipulated both temperature and precipitation, and 207 provided daily above-ground temperature data (three of these also measured soil temperature). Across these 208 studies, all of which used infrared heating, we found that increasing the amount of added precipitation reduced 209 daily minimum and maximum above-ground temperatures, at rates of 0.01 and 0.02 °C, respectively, and soil temperatures, at a rate of 0.01°C for both minimum and maximum temperature, per percent increase in added precipitation (Table S14). Thus, a 50% increase in temperature would be expected to decrease temperature by 0.5 °C. This is likely because increasing soil moisture (an effect of precipitation additions) typically shifts 213 the surface energy balance to favor latent (i.e., evapotranspiration) over sensible energy fluxes, reducing 214 heating of the air overlying the soils. Maintaining target warming levels is a challenge even for independent 215 feedback systems, which vary wattage output using ongoing temperature measurements, particularly during seasons or years with wetter soils and higher evapotranspiration (Rich et al., 2015).

In addition to its effects on temperature, experimental warming often increases vapor pressure deficit and reduces soil water content (e.g., Harte et al., 1995; Sherry et al., 2007; Morin et al., 2010; Pelini et al., 2014; Templer et al., 2016). Of the 15 experiments in the MC3E database, we examined the 12 that continuously measured and reported soil moisture. We found that experimental warming reduced soil moisture across all

warming types, with substantial variation among experiments (Figure 5, Table S16). The drying effect was similar across warming types (ranging frmo -0.80 to -0.30% per degree of target warming, Table S16). Soil moisture can be difficult to measure, with high spatial and temporal variation (Famiglietti *et al.*, 1999; Teuling & Troch, 2005), but these results suggest that soil moisture is unavoidably affected by changing temperatures, even when active-warming experiments may not be explicitly designed to manipulate soil moisture.

Warming and precipitation treatments, and their secondary effects on soil moisture and other abiotic factors,
can also alter the biotic environment, which may produce cascading effects. Many studies have found shifts
from herbaceous to woody plant communities over time with experimental warming (e.g., Rollinson & Kaye,
2012; McDaniel et al., 2014b,a; Harte et al., 2015). These community shifts may affect resource levels, such
as moisture, carbon, and nutrient levels in the soil (McDaniel et al., 2014b,a; Harte et al., 2015) and feedback
to affect microclimate (Harte et al., 2015).

The presence of these feedback effects is both a strength and a challenge of climate change experiments. They
may represent important and ecologically realistic effects that might not have been apparent without the *in*situ field experiment. Alternatively, they may represent artifacts that are unlikely to occur outside of an
experimental context. Quantifying, interpreting, and reporting these non-temperature effects in experiments
is critical to distinguishing this and to understanding mechanisms underlying observed biological responses
to climate change.

The widespread presence of secondary effects of climate manipulations highlights the importance of measuring environmental conditions at the plot-level, and using these measurements in analysis and interpretation of results. Many climate change experiments—including 10 of the 15 in the MC3E database —analyze warming and/or precipitation treatments as simple categorical predictors (e.g., as in a two-way ANOVA, Table S1).

Our findings, however, demonstrate a clear need for alternative modelling approaches to fully understand the experimental results and to make mechanistic links between changes in climate and ecological responses.

One straightforward alternative is to include the continuous climate data (e.g., plot-level temperatures) as predictors of the focal response variable, such as phenological state or species density (e.g., Marchin et al., 2015; Pelini et al., 2014).

### 248 Ecological implications

We have highlighted a suite of factors that complicate interpretation of climate change experiments. These largely non-target alterations, analogous to the "hidden treatments" described by Huston (1997) in biodiversity experiments, are likely to have biological implications for many of the responses studied in warming experiments (e.g., Figure 5). Interpretation of experimental climate change effects on biological responses may be misleading because the intended climate treatments (i.e., categorical comparisons or target warming levels) are often used as explanatory variables in analyses (Table S1). The interpretation is likely to be altered by using fine-scale, measured climate as explanatory variables. For example, biological responses may be muted (Figure 5b) or exaggerated (Figure 5c) when direct and indirect effects of climate manipulations interact.

To investigate the ecological implications of non-target abiotic responses to climate warming, we conducted
a simple case study in plant phenology. We used the MC3E database to test if estimates of the temperature
sensitivity of phenology vary when calculated using target warming versus plot-level climate variables. We
fit two separate models, one using target warming, and one using measured climate. For both models, the
response variable was budburst day of year and we accounted for non-independence due to site and year with
random effects (see Supplemental Materials for details).

We found that phenological temperature sensitivity estimates from the two modeling approaches varied three-fold. The target warming model estimated temperature sensitivity of budburst to be -2.01 days/°C 265 (95% CI -2.17,-1.86; Table S16, solid black line in Figure 6), whereas the measured climate model estimated 266 temperature sensitivity of budburst to be -6.04 days/°C (95% CI:-7.034,-5.41; Table S16). Further, all measured climate models with both temperature and moisture had improved model fit compared to the target warming model (Table S18). The best-fit model included mean daily minimum above-ground temperature, mean winter soil moisture, and their interaction as explanatory variables, suggesting that these variables 270 and their interaction are important drivers of budburst timing (Tables S17, S18). In addition, the measured 271 climate model estimated a significant effect of soil moisture on budburst of -1.35 days/% VWC (95% CI: -272 1.58,-1.13; Table S17, Figure 6). This negative effect is expected, if reducing moisture delays budburst (Table 273 S17, Figure 6), and is consistent with previous work showing that budburst requires water uptake (Essiamah & Eschrich, 1986).

The increase in estimated temperature sensitivity with measured (rather than target) temperature has two
major causes. First, target warming generally exceeds the measured above-ground temperature differences
between treatment and control plots (Figure 2). Second, experimental warming dries out the soil in addition
to increasing temperatures, and both climate variables affect the timing of budburst. Decreasing soil moisture
has a delaying effect on budburst phenology, opposing the advancing effect of rising temperatures (Figure
5b). This example shows how the common method of using target warming alone to understand biological
responses is likely to yield inaccurate estimates of temperature sensitivity in warming experiments. In this
case, the underestimation may be substantial enough to account for the previously observed discrepancy
between observational and experimental phenological responses to warming (Wolkovich et al., 2012), though
further investigation is required.

Accounting for both direct and indirect effects of warming is critical for accurate interpretation of the conse-286 quences of climate change (Kharouba et al., 2015). Of particular importance is the extent to which abiotic 287 and biotic effects are accurate forecasts of future shifts that are likely to occur with climate change, or due to 288 artifacts that are unlikely to occur outside of experimental systems (Moise & Henry, 2010; Diamond et al., 2013). For many important climatic and ecological metrics, experimental findings of abiotic and biotic effects appear to be consistent with observations. Altered above-ground daily temperature range (i.e., temperature minima changing more than maxima, Table S3) with experimental warming is consistent with observed 292 changes in many places, at least for some time periods. Minimum temperatures increased more rapidly than 293 maximum temperatures from 1950-1980, reducing above-ground daily temperature range (though the tem-294 perature trends have been largely insignificant from 1980 onward Thorne et al., 2016; Vose et al., 2005). In 295 addition, shifts from non-woody to woody vegetation, coupled with declines in soil carbon, are two effects 296 of warming, observed in both experimentally warmed plots over the short-term and ambient controls over 297 decades of climate warming at a sub-alpine meadow site (Harte et al., 2015). The acclimation response of leaf respiration to temperature (Aspinwall et al., 2016; Reich et al., 2016), and responses of soil respiration to 299 warming (Carey et al., 2016), also appear to be consistent across experiments and observations. These cases 300 suggest that many responses observed in climate change experiments are likely to be accurate harbingers of 301 future biological responses to climate change, with the caveat that short-term responses to climate change 302 frequently differ from long-term responses (Andresen et al., 2016; Reich et al., 2018).

In other cases, however, some of the non-temperature effects observed in climate change experiments may be potential experimental artifacts. For example, soil drying in conjunction with future warming is forecasted

in some regions, such as the southwestern United States, mainly because of reductions in precipitation and increased evaporative demand with warmer air (Dai, 2013; Seager et al., 2013). The northeastern United States, on the other hand, has been trending wetter over time (Shuman & Burrell, 2017), even though temperatures have warmed. Future changes in soil moisture are certain, and likely to vary by region, season, 309 and even soil depth (Seager et al., 2014; Berg et al., 2017). Thus, it is not safe to assume that the soil drying 310 observed in warming experiments is necessarily likely to occur with future warming; rather, this response to 311 experimental warming deserves explicit analysis and interpretation. The altered light, wind, and herbivory patterns documented under experimental infrastructure (Kennedy, 1995; Moise & Henry, 2010; Wolkovich et al., 2012; Hoeppner & Dukes, 2012; Clark et al., 2014b) represent other non-temperature effects that 314 may be potential experimental artifacts and are worth quantifying in future analyses to provide improved 315 estimates of temperature sensitivity. 316

An additional challenge relating experiments to observations is that many experiments are designed to test 317 shifts in the mean state, though patterns of expected climate change are likely to be more complex for many 318 variables. This is especially true for precipitation, which typically follows a non-normal distribution and may 319 change in complicated ways. For many regions, climate models project more intense extreme precipitation 320 events (e.g., heavy downpours), more dry days (i.e., less total precipitation events), or both (Polade et al., 2014). Further, the length and design of most experiments (typically less than 5 years) are unlikely to robustly 322 capture dynamics forced by important modes of natural climate variability. El Niño and La Niña events, 323 for example, which often have significant impacts on regional precipitation, recur every 2-7 years and are 324 important for shaping ecological dynamics in many regions (Holmgren et al., 2001). These modes are likely 325 to still be important even with future cliamte change, and the typical lifespan of most experiments means 326 they are likely to miss these events. 327

### 28 Conclusions

As climate change continues across the globe, ecologists are challenged to not only document impacts, but also make quantitative, robust predictions. Our ability to meet this challenge requires a nuanced mechanistic understanding of how climate directly and indirectly alters biological processes. Climate change experiments, which have been underway for nearly four decades (e.g., Tamaki *et al.*, 1981; Carlson & Bazzaz, 1982; Melillo *et al.*, 2017), provide invaluable information about biological responses to climate change. Yet the full range

of changes in environmental conditions imposed by these experiments is rarely presented. We have compiled
the first database of microclimate data from multiple warming experiments and shown how time, space,
experimental artifacts, and secondary effects of treatments may complicate simple interpretations of these
experimental results. We hope this work provides a foundation for gaining the most knowledge and utility
from existing experiments via robust analyses, for designing new experiments (see Box 1), and for improved
understanding of biological responses to a changing world.

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are those of the authors and do not necessarily reflect the views of the National Science Foundation.

## Box 1: Recommendations for future climate change experiments

- 1. Collect and analyze plot-level climate data. This includes analyzing and interpreting minimum and maximum values, as well as variance and critical thresholds (e.g., the number and duration of freeze-thaw events and accumulated chilling hours, McDaniel et al., 2014b; Vasseur et al., 2014). We suggest saving the raw data from data loggers (often collected at hourly or higher resolution) to allow quantification of variance (and other summaries) at different temporal resolutions. In assessing which frequency of measurements is most appropriate for analyses (e.g., hourly, twice daily), it is critical to consider the chronobiology of the event and organisms of interest. For ants, this might mean that temperatures be monitored every minute (Helm & Shavit, 2017); for bacteria, even more frequently.
  - 2. Analyze measured climate variables rather than targets. There can be substantial variation in the effects of warming and precipitation treatments among plots and across time (Figure 2). Analyzing measured climate will allow much more in-depth understanding of the drivers and biological effects of variation in temperature and moisture.

- 3. Publish high quality, usable data and metadata. Given that climate manipulations are logistically challenging and expensive (Aronson & McNulty, 2009), and that they often produce a large volume of fine-scale climate data, good curation and data sharing will ensure wider use and deeper understanding of these valuable data. When studying biological implications of a global challenge as large as climate change, progress will come from designing and reporting experiments in ways that facilitate an eventual global data set.
- 4. Include both structural and ambient controls and collect, use, and report microclimate and biological data within them. Fewer than half of the studies in our MC3E database reported microclimate data from these two control types (6 out of 15 studies); however, all experiments that did include both control types showed significant effects of infrastructure (Figure 3).
- 5. Design relevant manipulations by consulting observational records and forecasts, including seasonal and annual variation in projected warming. When it is not possible or desirable to match anticipated changes in climate, studies should report how imposed treatments compare to projected changes and past observations (e.g., Hoover et al., 2014; Zhu et al., 2016). In addition, if continuous treatments are not applied throughout the study, we recommend reporting the seasonality and timing of treatments and monitoring the climate throughout the year.
- 6. Maximize the duration of climate change experiments by running some experiments for as long as possible, since the magnitude of climate change treatments can vary considerably among years (Figure 2). In addition, long-term responses of individuals and populations can differ from transient responses (Saleska et al., 2002; Franklin, 1989; Giasson et al., 2013; Harte et al., 2015). We were able to acquire data extending for  $\geq 5$  years for only one study in the MC3E database (exp01), restricting our ability to investigate the effect of study length on experimental climate change. Well-designed and well-supported longer warming experiments will allow investigation of how inter-annual variations interact with climate change treatments, particularly when combined with observational studies and modeling (Luo et al., 2011).
  - 7. Conduct syntheses across studies. As more detailed data are published from experimental climate change studies in divergent ecosystems and warming types, meta-analyses will advance our understanding of the ways that warming affects microclimate and biotic interactions. For example, it would be useful to compare microclimatedata among studies using infrared warming applied with constant

voltage versus infrared warming that varies voltage based on measured temperatures.

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# 627 Figures

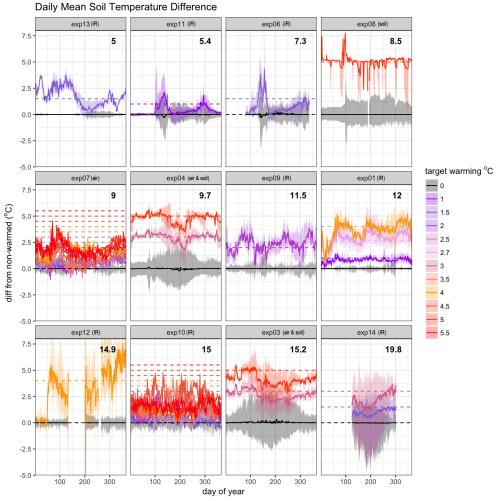


Figure 1: Deviations in daily observed warming from mean control soil temperature for 12 study sites, excluding data from plots that manipulated precipitation. We show soil, rather than above-ground, temperature, as this was the most frequently recorded temperature variable in the MC3E database. Solid lines show observed difference between warming treatment (colors) and control (black) plots, averaged across replicates and years; shading shows 95% confidence intervals. Dashed lines represent target warming levels. (Note that the following studies had no explicit target temperature: exp06, exp11, exp12; for these studies, we used their reported level of warming.) Two sites not shown here did not monitor soil temperature. Experimental sites are ordered by low to high mean annual soil temperature (shown in the upper right corner of each panel). The heating type is listed in parantheses next to the site number (IR= infrared, soil= soil cables, air= forced air).

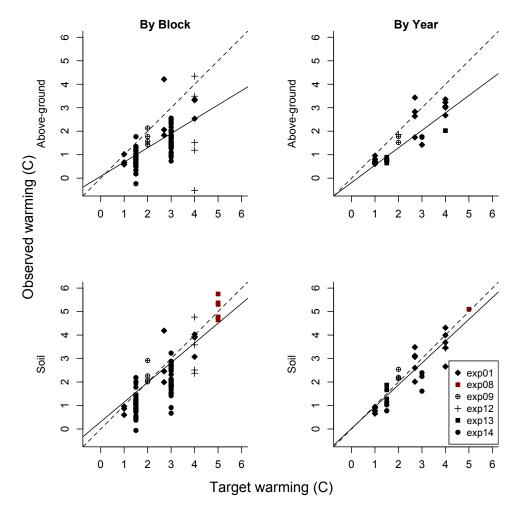


Figure 2: Observed warming over space and time, for above-ground and soil temperatures, excluding data from plots that manipulated precipitation. Above-ground temperature includes air, canopy, and surface temperature. Points represent the difference between treatment and control plots by block (i.e., one data point per block) and by year (i.e., one data point per year). The solid line is the fitted relationship between observed and target warming and the dashed line shows when observed warming is exactly equal to target warming (1:1). Black symbols represent studies using infrared; red represents soil warming cables (only exp08). Note that the following studies had no explicit target temperature: exp06, exp11, exp12; for these studies, we used their reported level of warming. See Supplemental Materials (especially Tables S5 and S6) for details.

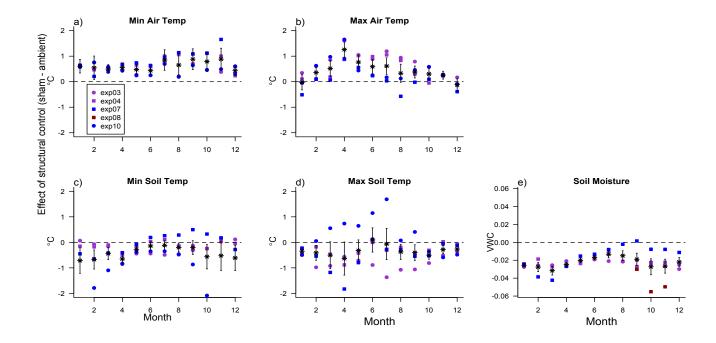


Figure 3: Deviations in measured abiotic variables by month in structural controls compared to ambient controls (i.e., with no control chambers or warming infrastructure in place). Above-ground temperatures (which include includes air, canopy, and surface temperatures) were higher (a,b), whereas soil temperature (c,d) and soil moisture (e) were lower in structural controls compared with ambient controls. We show overall (fixed) effects in black from monthly mixed-effects models; site-level random effects are shown by squares (for the three studies conducted at Harvard Forest in Massachusetts, USA) and circles (the two studies conducted at Duke Forest in North Carolina, USA). Colors vary by heating type: red represents soil warming cables, blue represents forced air; purple represents combined soil warming cables and forced air heating. See Supplemental Materials for details (Tables S8-S13).

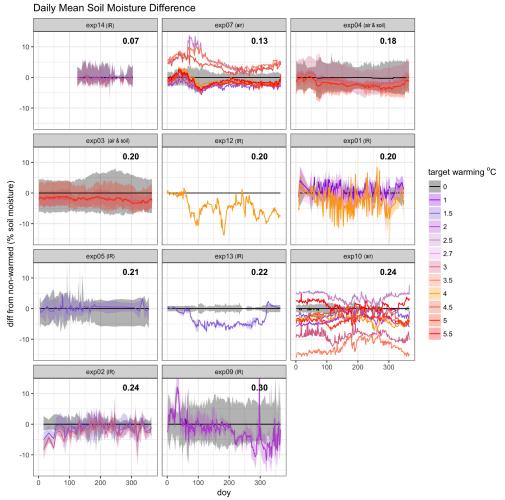


Figure 4: **Deviations in daily observed soil moisture,** shown for the 11 study sites that continuously monitored soil moisture, excluding data from plots that manipulated precipitation. Black lines represent control plots, and colored lines represent warming treatments with various target warming levels (or reported warming, if there was no explicit target temperature). The number of temperature treatment levels vary from one (e.g., exp08, exp11) to nine (exp07 and exp10, which used an unreplicated regression design). Experimental sites are ordered by low to high mean annual soil moisture (shown in the upper right corner of each plot). All experiments measured soil moisture in volumetric water content, as a percentage of the soil volume in the sample, scaled from 0 to 100.

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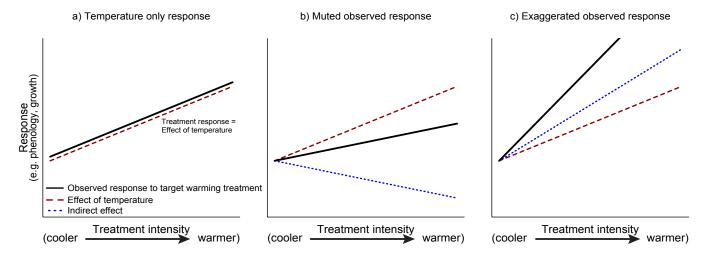


Figure 5: Theoretical biological responses to experimental warming and their interpretation. Direct responses to temperature alone (a) can be easily understood. Complications arise when biological responses are a mix of the direct temperature and indirect nontemperature effects of experimental warming. Then experimental warming may cause biological responses to be muted (b) or exaggerated (c). Quantifying, interpreting, and reporting these non-temperature effects in experiments is critical, and their presence is both a strength and a challenge of climate change experiments. They may represent ecologically realistic effects that might not have been predicted without the *in situ* field experiment. Alternatively, they may represent artifacts that are unlikely to occur outside of an experimental context. Slopes of these example lines assume a linear response with additive direct and indirect effects. The relationship between these effects could be more complex (e.g., nonlinear; antagonistic, multiplicative, or otherwise interactive).

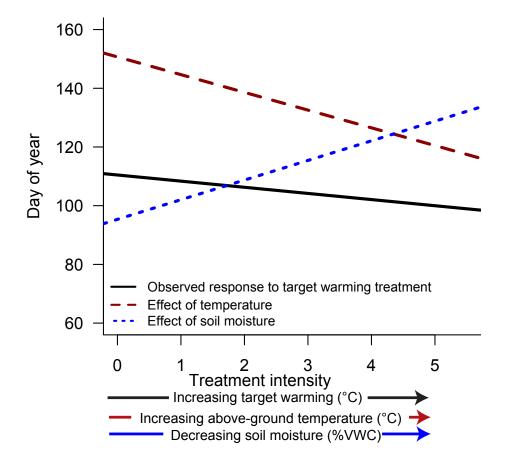


Figure 6: Observed response of budburst day of year to experimental climate change is an example of a muted response: the observed response to increasing treatment intensity (i.e., the coefficient of a model fit with only target [or reported, if there was no explicit target] temperature as the explanatory variable, black line; units for x-axis are °C of target warming) suggests a weaker temperature sensitivity than the effect of temperature in a more biologically accurate (and better-fitting) model that includes both measured above-ground temperature (dashed red line, for which x-axis units are °C of measured temperature) and soil moisture (dotted blue line, for which x-axis units are % VWC, decreasing from left to right in conjunction with warming intensity), as well as their interaction. Analysis includes all studies that monitored budburst, and measured soil moisture and above-ground temperature (exp01,exp03,exp04,exp07,exp10); see Supplemental Materials, especially Tables S15 & S16, for additional details.