

# Problem Solutions

## Chapter 2

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### Problem 2.1

Let us begin by extracting the value of  $N$  from the  $\epsilon(M, N, \delta)$  expression. We have that

$$\sqrt{\frac{1}{2N} \ln \frac{2M}{\delta}} \leq \epsilon \Leftrightarrow N \geq \frac{1}{2\epsilon^2} \ln \frac{2M}{\delta}.$$

(a) So for  $M = 1$  and  $\delta = 0.03$ , to have  $\epsilon \leq 0.05$  we need

$$N \geq \frac{1}{2 \cdot 0.05^2} \ln \frac{2}{0.03} = 839.9410156.$$

(b) For  $M = 100$  and  $\delta = 0.03$ , to have  $\epsilon \leq 0.05$  we need

$$N \geq \frac{1}{2 \cdot 0.05^2} \ln \frac{2 \cdot 100}{0.03} = 1760.9750528.$$

(c) And for  $M = 10000$  and  $\delta = 0.03$ , to have  $\epsilon \leq 0.05$  we need

$$N \geq \frac{1}{2 \cdot 0.05^2} \ln \frac{2 \cdot 10000}{0.03} = 2682.00909.$$

### Problem 2.2

For  $N = 4$ , if we consider four non aligned points, this  $\mathcal{H}$  shatters these points (you only have to effectively enumerate them to see that all dichotomies can be generated), so in this case we have  $m_{\mathcal{H}}(4) = 2^4$ .

However, for  $N = 5$ , no matter how you place your five points, some point will be inside a rectangle defined by others. In this case, we are not able to generate all dichotomies and consequently  $m_{\mathcal{H}}(5) < 2^5$ .

From these two observations, we may conclude that, for positive rectangles, we have  $d_{VC} = 4$ , thus

$$m_{\mathcal{H}}(N) \leq N^4 + 1.$$

### Problem 2.3

(a) We already know that the growth function for positive rays is equal to  $N + 1$ . If we enumerate the dichotomies added by negative rays, we get  $N - 1$  new dichotomies (you get the opposite of the ones from positive rays and you have to subtract the two dichotomies where all points are  $+1$  and where all points are  $-1$ ). So in total, we get that

$$m_{\mathcal{H}}(N) = 2N.$$

As the largest value of  $N$  for which  $m_{\mathcal{H}}(N) = 2^N$  is 2 ( $m_{\mathcal{H}}(3) = 6$ ), we have that  $d_{VC} = 2$ .

(b) Here, we already know that the growth function for positive intervals is equal to  $N^2/2 + N/2 + 1$ . If we add the new dichotomies generated by negative intervals, we get  $N - 2$  new ones (for example for  $N = 3$ , we only add the  $(+1, -1, +1)$  dichotomy, and for  $N = 4$ , we add the  $(+1, -1, +1, +1)$  and  $(+1, +1, -1, +1)$

dichotomies). Of course, this only holds if  $N > 1$ , in the case where  $N = 1$  we already generate the two dichotomies with the positive intervals alone. In conclusion, we may write that

$$m_{\mathcal{H}}(N) = \frac{N^2}{2} + \frac{3N}{2} - 1 \text{ if } N > 1 \text{ and } 2 \text{ if } N = 1.$$

As the largest value of  $N$  for which  $m_{\mathcal{H}}(N) = 2^N$  is 3 ( $m_{\mathcal{H}}(4) = 13$ ), we have that  $d_{VC} = 3$ .

(c) To determine the growth function for concentric circles, we have to map the problem from  $\mathbb{R}^d$  to  $[0, +\infty[$ . To do this, we use the map  $\phi$  defined as

$$\phi : (x_1, \dots, x_d) \mapsto r = \sqrt{x_1^2 + \dots + x_d^2}.$$

By doing that, we may see that the problem of concentric circles in  $\mathbb{R}^d$  is equivalent to the problem of positive intervals in  $\mathbb{R}$  (it is easy to see that  $\phi$  maps points with the same radius to a unique point in  $[0, +\infty[$ ), and consequently we may write that

$$m_{\mathcal{H}}(N) = \frac{N^2}{2} + \frac{N}{2} + 1$$

which is independent of  $d$ . As the largest value of  $N$  for which  $m_{\mathcal{H}}(N) = 2^N$  is 2 ( $m_{\mathcal{H}}(3) = 7$ ), we have that  $d_{VC} = 2$ .

## Problem 2.4

## Problem 2.5

To prove the inequality, we begin with the case  $D = 0$ . Here, it is easy to see that

$$1 = \binom{N}{0} \leq N^0 + 1 = 2.$$

Now, we assume the result is correct for  $D$  ( $D \geq 1$ ), and we will prove it for  $D + 1$ . We may write that

$$\begin{aligned} \sum_{i=0}^{D+1} \binom{N}{i} &= \sum_{i=0}^D \binom{N}{i} + \binom{N}{D+1} \\ &\leq N^D + 1 + \binom{N}{D+1} \\ &\leq N^D + 1 + \frac{N!}{(D+1)!(N-D-1)!}. \end{aligned}$$

To continue, we have to prove that

$$\frac{N!}{(N-D-1)!} \leq N^{D+1},$$

which is equivalent to

$$\frac{1}{N^{D+1}} \cdot \frac{N!}{(N-D-1)!} \leq 1.$$

To see this, it suffices to note that

$$\frac{1}{N^{D+1}} \cdot \frac{N!}{(N-D-1)!} = \frac{1}{N^{D+1}} \prod_{i=0}^D (N-i) = \prod_{i=0}^D \frac{N-i}{N^{D+1}} \leq 1.$$

So, we are now able to write that

$$\begin{aligned}
\sum_{i=0}^{D+1} \binom{N}{i} &\leq N^D + 1 + \frac{N!}{(D+1)!(N-D-1)!} \\
&\leq N^D + 1 + \frac{N^{D+1}}{(D+1)!}.
\end{aligned}$$

As  $D \geq 1$ , we have  $(D+1)! \geq 2$ , and consequently

$$\frac{1}{(D+1)!} \leq \frac{1}{2},$$

which enables us to write that

$$\begin{aligned}
\sum_{i=0}^{D+1} \binom{N}{i} &\leq N^D + 1 + \frac{N^{D+1}}{(D+1)!} \\
&\leq N^D + 1 + \frac{N^{D+1}}{2}.
\end{aligned}$$

Moreover, as we assumed  $N \geq D+1$  (if not, we trivially have the result, as in this case  $\binom{N}{D+1} = 0$ ), we get  $N \geq 2$  and consequently

$$\frac{1}{N} < \frac{1}{2} \Leftrightarrow \frac{N^D}{N^{D+1}} < \frac{1}{2} \Leftrightarrow N^D < \frac{N^{D+1}}{2},$$

which allows us to get our result as we now have

$$\begin{aligned}
\sum_{i=0}^{D+1} \binom{N}{i} &\leq N^D + 1 + \frac{N^{D+1}}{2} \\
&\leq \frac{N^{D+1}}{2} + 1 + \frac{N^{D+1}}{2} = N^{D+1} + 1.
\end{aligned}$$