#### **WA DATA SCIENCE**

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# **Trees and Graphs**

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## Learning Objectives

- Define and differentiate between linear and non-linear data structures.
- Understand the hierarchical or network-based relationships that characterize non-linear structures, including trees and graphs
- Implement and demonstrate traversal algorithms for non-linear data structures
- Applications of non-linear data structures

#### Non-Linear Data Structure

 A non-linear data structure does not arrange data in a sequential manner, unlike linear data structures (e.g., arrays, linked lists)

#### Key Features:

- Multiple levels of data (hierarchical relationships)
- More complex relationships between elements
- Useful for representing real-world scenarios like hierarchical structures or networks

#### Non Linear ADTs

Abstract Data Type Non-Linear Linear ADT ADT List Stack Tree Graph Queue

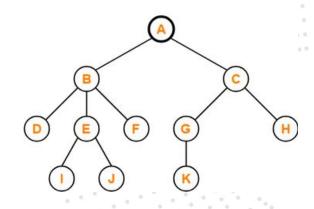
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## **Trees**

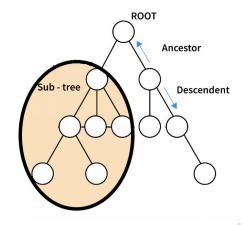
#### Tree ADT

 Tree Abstract Data Type (ADT) structure data hierarchically based on relationships between the data elements. It abstracts the operations that can be performed on the tree, without specifying the details of how these operations are implemented



#### Tree ADT

- Key characteristics of Tree ADT
  - Hierarchical Structure
  - Parent-Child Relationship
  - Subtrees



#### Tree ADT Operations

- Key Operations of a Tree ADT
  - Insertion: Add a new node to the tree while maintaining the tree structure
  - Deletion: Remove a node from the tree, and adjust the tree to maintain its structure
  - Traversal: Visiting all nodes in the tree systematically
  - Search: Find a node with a specific value

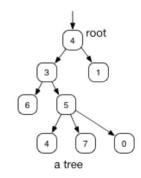


# Tree ADT Operations

Operation/Interface	Descriptions
root()	Return the tree's root; error if tree is empty
parent(v)	Return v's parent; error if v is a root
children(v)	Return v's children (an iterable collection of nodes)
isRoot(v)	Test whether v is a root
isExternal(v)	Test whether v is an external node
isInternal(v)	Test whether v is an internal node

#### Trees

- Trees are composite, hierarchical and graph-like data structures
- A Tree is a collection nodes with a distinguished node, the root. All other nodes form a set of disjoint subtrees, in which each is a tree in its own
- In Computer Science, trees grow down, not up!



Tree in DS



Physical Tree

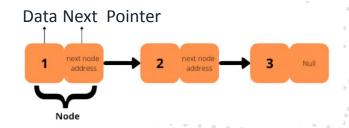


#### Recursive Definition of Trees

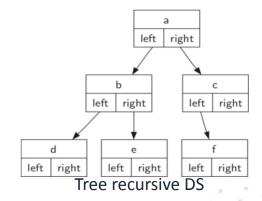
- A recursive data structure is a data structure that is defined in terms
  of itself. This means that the structure contains smaller instances of
  the same type of structure, which allows for self-referential definitions
  and recursive algorithms to process or manipulate it.
- Recursive Definition: A tree is a collection of nodes where it is either:
  - An empty tree, which is a tree that contains no nodes.
  - A non-empty tree, which consists of a root node and zero or more subtrees.
    - A root node (which may contain a value or data).
    - A collection of subtrees, each of which is itself a tree.

#### Recursive Definition of Trees

- Examples
  - Each node in a linked list contains a reference to another node
  - Each node in a tree can have references to other nodes

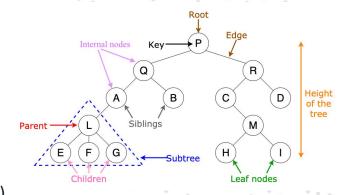


Linked List recursive DS



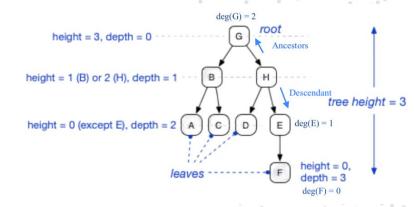
#### Trees

- Trees are composed of
  - Nodes
    - are elements in the data structure that hold data
    - have only one parent (unique predecessor)
    - have zero, one, or more children (successors)
    - Nodes with same parent are siblings
    - Root node: top or start node; with no parent
    - Leaf nodes (external nodes): nodes without children (terminal)
    - Internal node: nodes with children (non-terminal)
  - Edges
    - Link parent node with children node (if applicable)



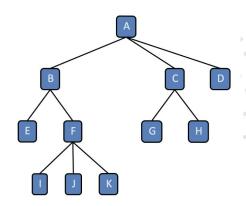
#### Trees

- The degree of a node is the number of its children
- Depth of a node: the length of the (unique) path from the root down to that node. The length of a path is the number of edges in the path.
- Height of a node: the length of the longest path from the node down to the leaf node.
- Descendant of a node: child, grandchild, great-grandchild, etc.
- Ancestors of a node: parent, grandparent, great-grandparent, etc.



#### Quiz

- Answer the following questions about the tree shown below:
  - Classify each node of the tree as a root, leaf, or internal node
  - List the ancestors of nodes B, F, G, and A. Which are the parents?
  - List the descendents of nodes B, F, G, and A. Which are the children?
  - List the depths of nodes B, F, G, and A.
  - What is the height of the tree?



#### Kinds of Trees

- General Tree (N-ary Tree): A tree in which each node has N (any) number of children
- Binary tree: Each node has at most 2 children (branching factor 2)
  - Binary Search Tree (BST)
  - Balanced Trees(e.g., AVL Tree)
  - Heap
  - K-D Tree
- B-tree
- Decision Tree
- and more



# Why Study Trees?

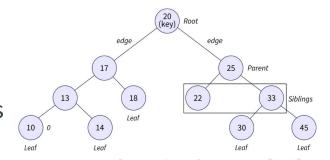
- Data Organization
- Dynamic Data Handling
- Hierarchical Data Representation

### **Applications of Trees**

- Databases
- File Systems
- Artificial Intelligence
- Game Development
- HTML/XML Parsing
- Compiler Design

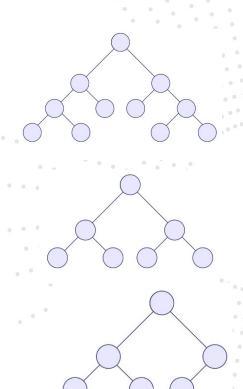
### Binary Tree

- A *binary tree* is a tree with the following properties:
  - Every node has at most two children
  - Each child node is labeled as being either a *left child* or a *right child*
- Alternative recursive definition: a binary tree is either empty or consists of:
  - A node r, called the root of T, that stores an element
  - A binary tree (possibly empty), called the left subtree of T
  - A binary tree (possibly empty), called the right subtree of T



# Types of Binary Tree

- Full Binary Tree (proper binary tree) is a special type of binary tree in which all non-leaf nodes have exactly two children
- Perfect Binary Tree is a special type of binary tree with all the internal nodes have exactly two children and all the leaf nodes are at the same depth
- Complete Binary Tree is a special type of binary tree
  where all the levels of the tree are filled completely
  except the lowest level nodes which are filled from
  as left as possible.



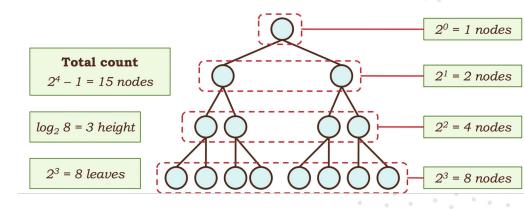


## Types of Binary Tree - Quiz

- What is the maximum height of a full binary tree with 11 nodes?
  - · 3
  - 5
  - 7
  - 10
  - Not possible to have full binary tree with 11 nodes

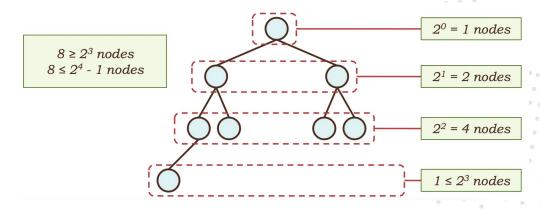
# Types of Binary Tree

- A perfect binary tree has
  - 2 nodes at level L
  - 2<sup>h</sup>leaves for height h
  - 2<sup>h+1</sup> 1 nodes for height h or 2n-1 nodes for n leaves
  - Height log n for n leaves

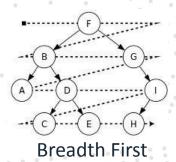


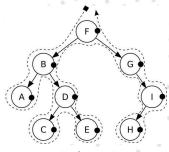
# Types of Binary Tree

- A complete binary tree has
  - at most 2 nodes at level L
  - at least 2<sup>h</sup> leaves for height h
  - o at most 2<sup>h-1</sup> 1 nodes for height h



- Many algorithms require all nodes of a binary tree be visited and the contents of each node processed or examined
- A tree traversal is a specific order in which to trace the nodes of a tree
- Types of traversals
  - Breadth First Traversal
  - Depth First Traversal

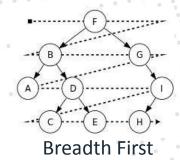




Depth First

Binary Tree Traversal: <a href="https://tree-visualizer.netlify.app/">https://tree-visualizer.netlify.app/</a>

 Breadth First Traversal or level order traversal: starting from the root of a tree, process all nodes at the same depth from left to right, then proceed to the nodes at the next depth.



Binary Tree Traversal: <a href="https://tree-visualizer.netlify.app/">https://tree-visualizer.netlify.app/</a>

- Depth First Traversal has three variations of traversal
  - preorder traversal: process the root, then process all sub trees (left to right)
  - in order traversal: process the left sub tree, process the root, process the right subtree
  - post order traversal: process the left sub tree, process the right subtree, then process the root

Binary Tree Traversal: <a href="https://tree-visualizer.netlify.app/">https://tree-visualizer.netlify.app/</a>

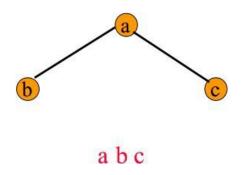
- In Depth First Traversal techniques, the left subtree is traversed recursively, the right subtree is traversed recursively, and the root is visited
- What distinguishes these techniques from one another is the order of those 3 tasks
- Visiting a node entails doing some processing at that node (often it is just printing – node label or its data)
- Note "in", "pre", and "post" refer to when we visit the root (of that subtree)

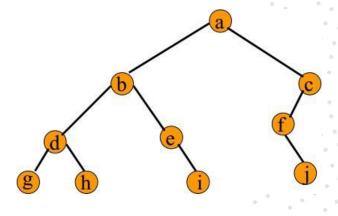
- In <u>Preorder</u>, the root is visited **before** (pre) the subtrees traversals
- In <u>Inorder</u>, the root is visited in- between left and right subtree traversal
- In Postorder, the root is visited after (post) the subtrees traversals

Algorithm for Preorder Traversal		Algorithm for Inorder Traversal		Algorithm for Postorder Traversal	
1.	if the tree is empty	1.	if the tree is empty	1.	if the tree is empty
2.	Return.	2.	Return.	2.	Return.
	else		else		else
3. 4.	Visit the root. Preorder traverse the	3.	Inorder traverse the left subtree.	3.	Postorder traverse the left subtree.
	left subtree.	4.	Visit the root.	4.	Postorder traverse the
5.	Preorder traverse the right subtree.	5.	Inorder traverse the right subtree.	5.	right subtree. Visit the root.



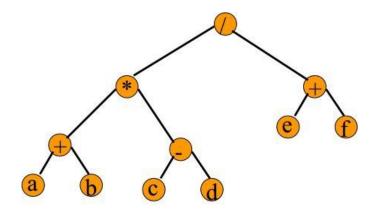
- Pre-Order Traversal
  - Prints in order: **root**, left, right





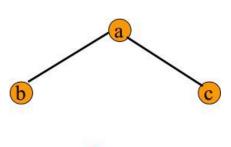
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- Pre-Order Traversal
  - Gives **prefix** form of expression

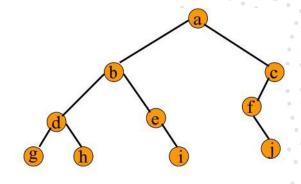


$$/* + ab - cd + ef$$

- In-Order Traversal
  - Prints in order: left, root, right

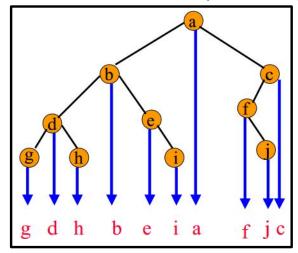


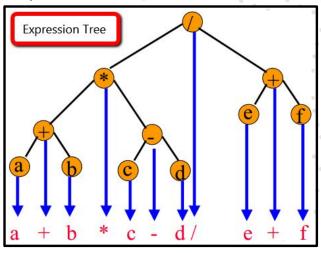
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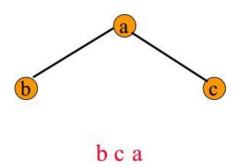
- In-Order Traversal
  - Gives infix form of expression (sans parenthesis)

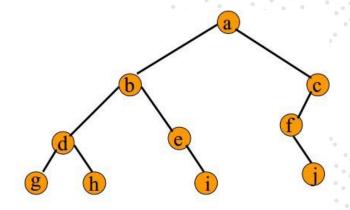




will revisit this ater)

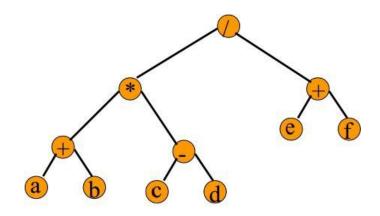
- Post- Order Traversal
  - Prints in order: left, right, root





ghdiebjfca

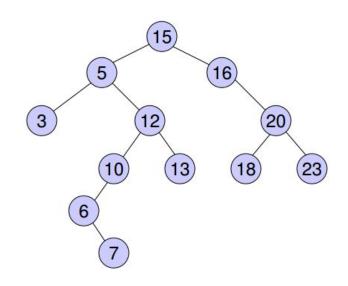
- Post-order Traversal
  - Gives **postfix** form of expression



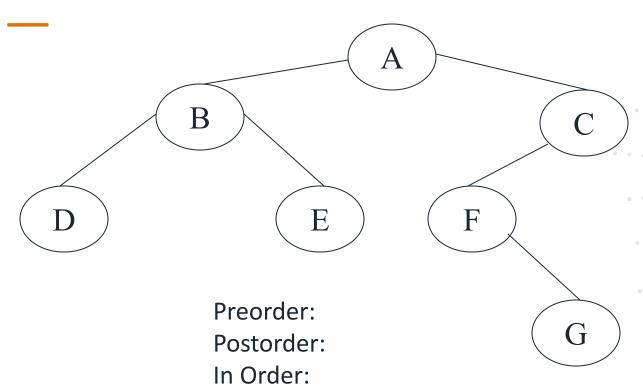
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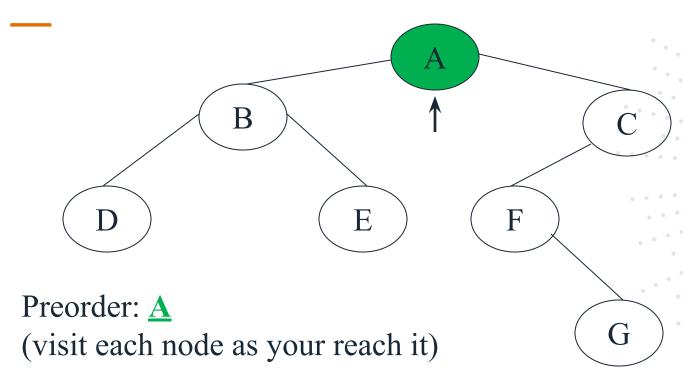
(will revisit this later)

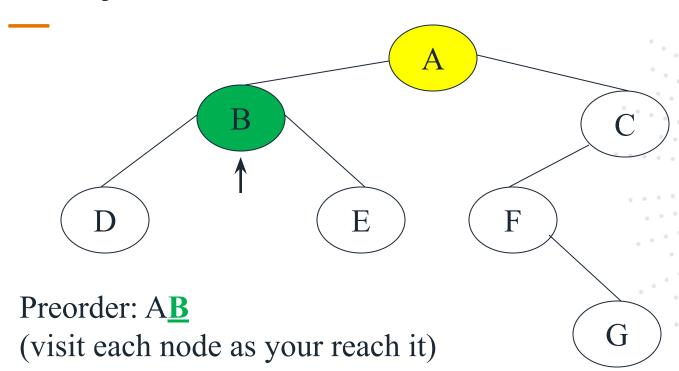
Let's do an example first...

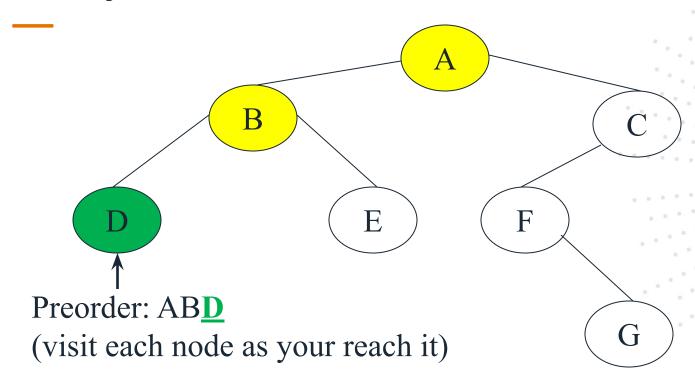


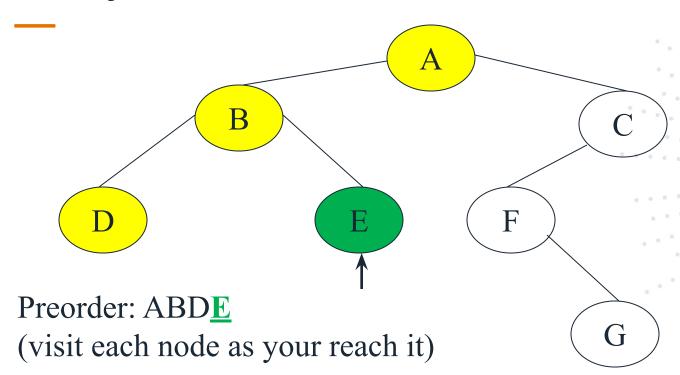
```
pre-order: (root, left, right
       15, 5, 3, 12, 10, 6, 7
       13, 16, 20, 18, 23
in-order: (left, root, right)
       3, 5, 6, 7, 10, 12, 13,
       15, 16, 18, 20, 23
post-order: (left, right, root)
       3, 7, 6, 10, 13, 12, 5,
       18, 23, 20, 16, 15
```

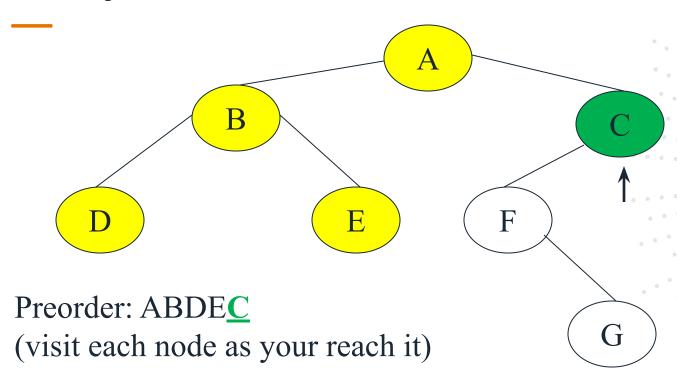


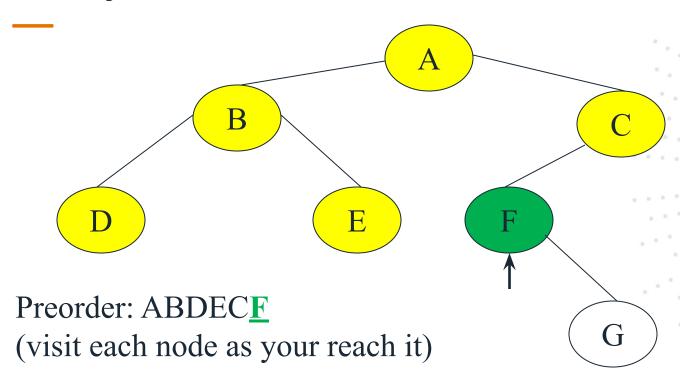


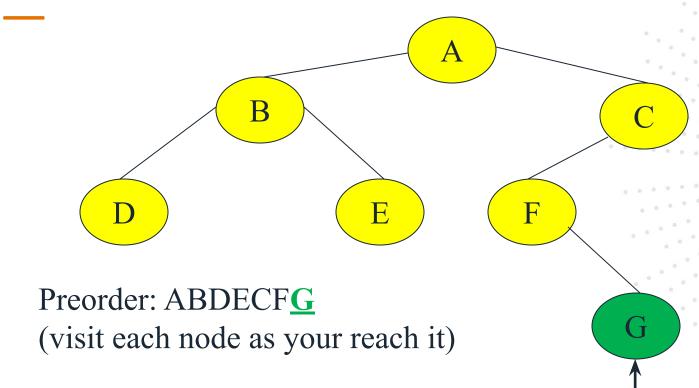


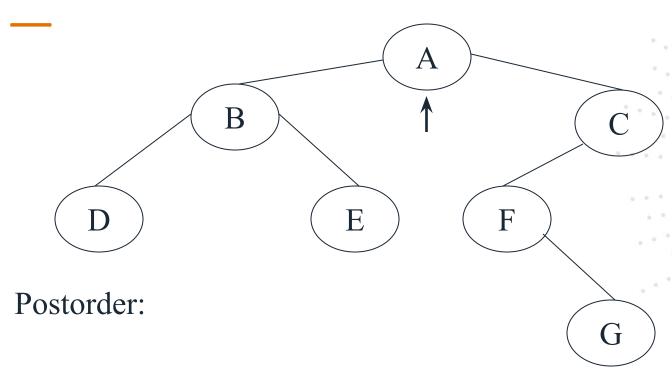


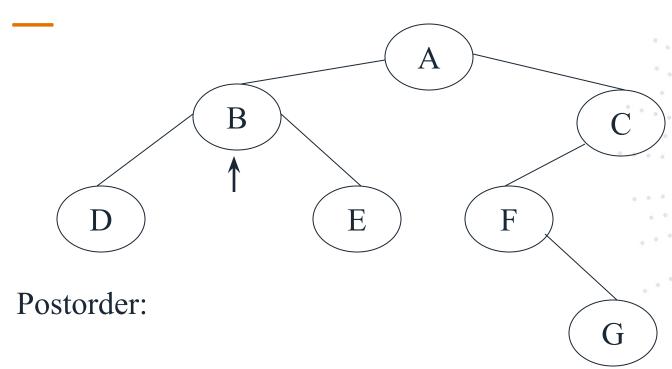


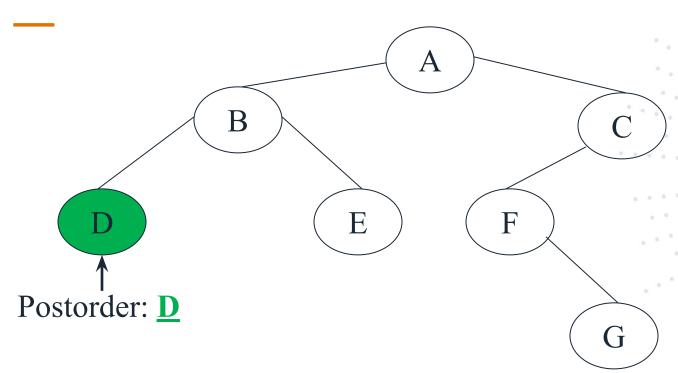


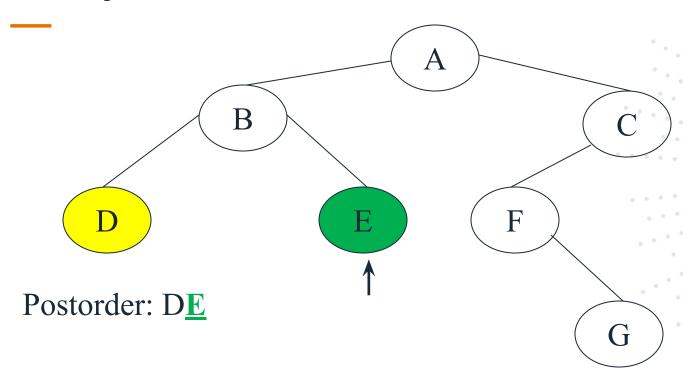


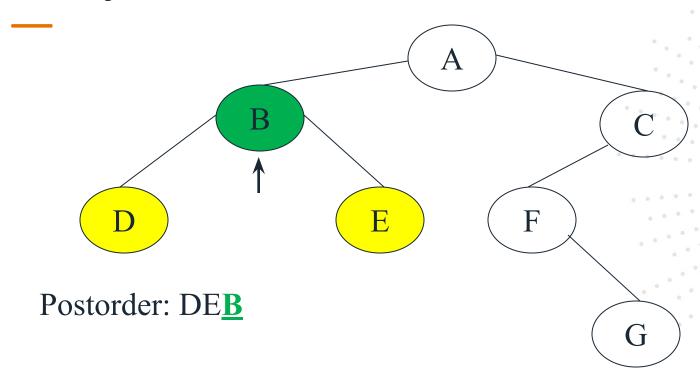


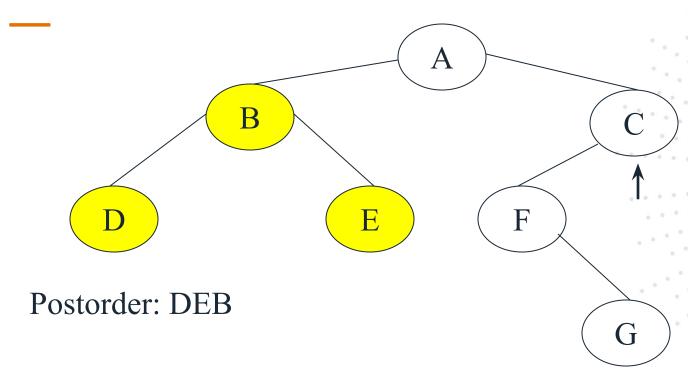


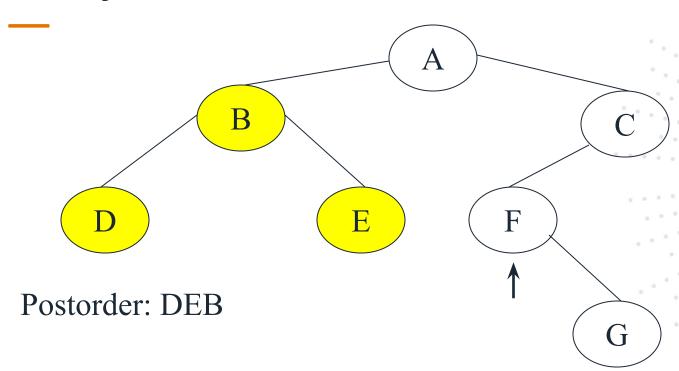


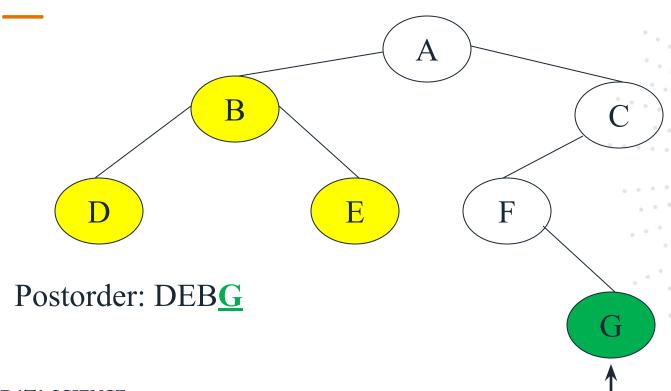


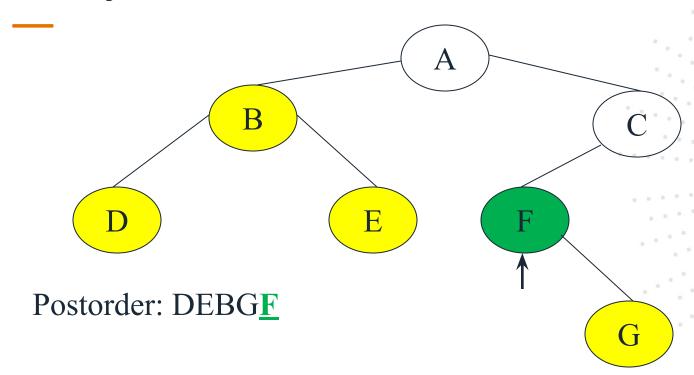


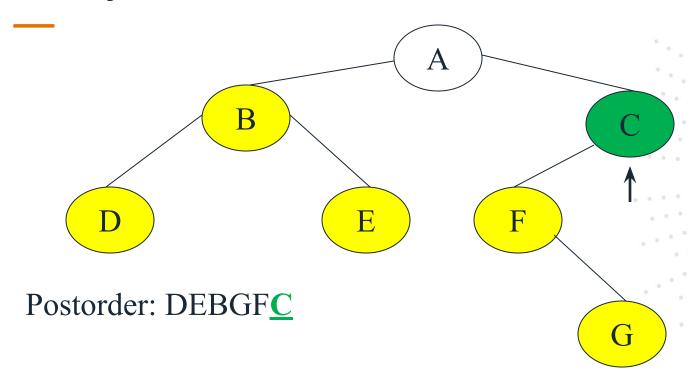


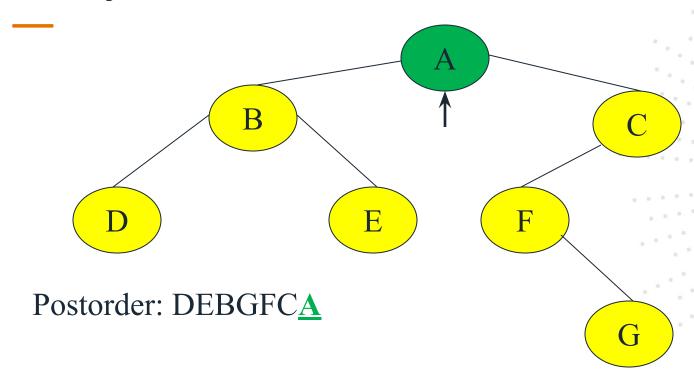


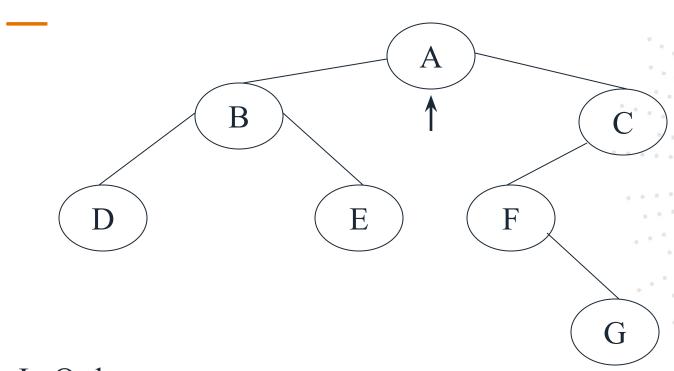




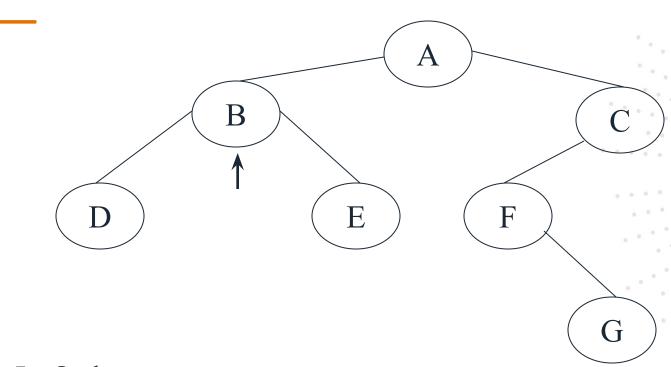




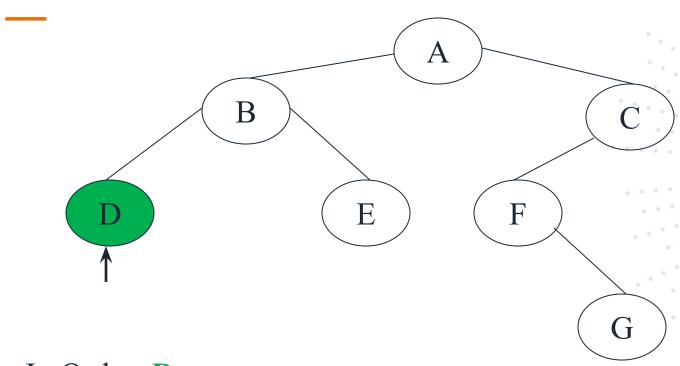




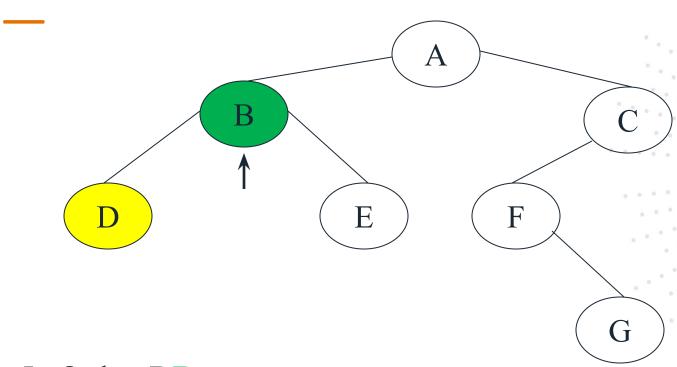




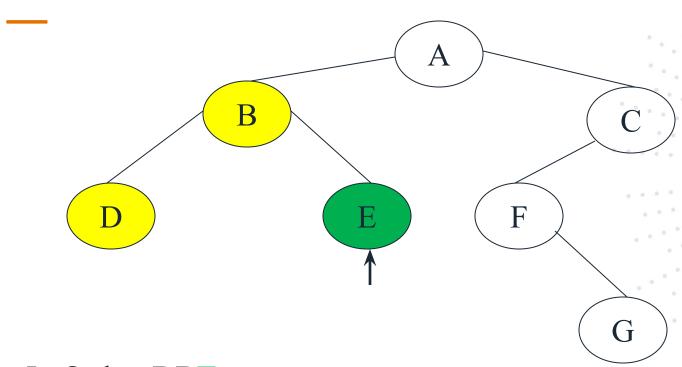




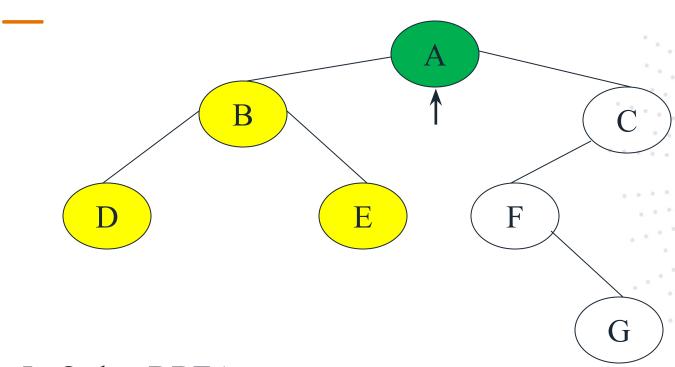




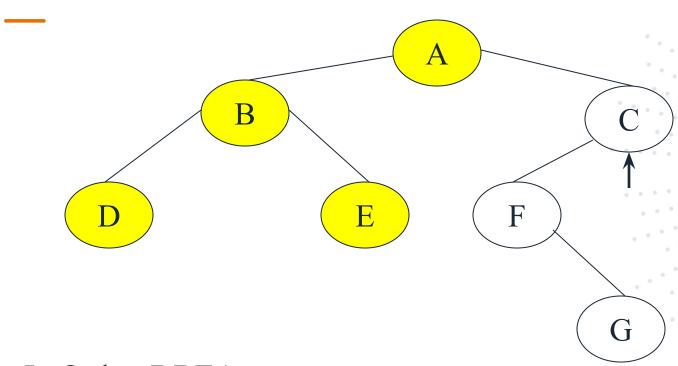




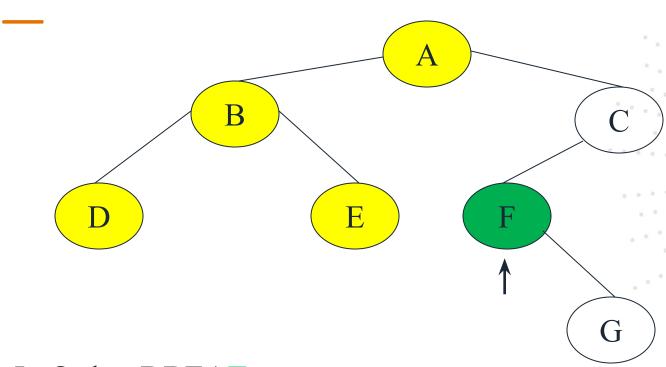


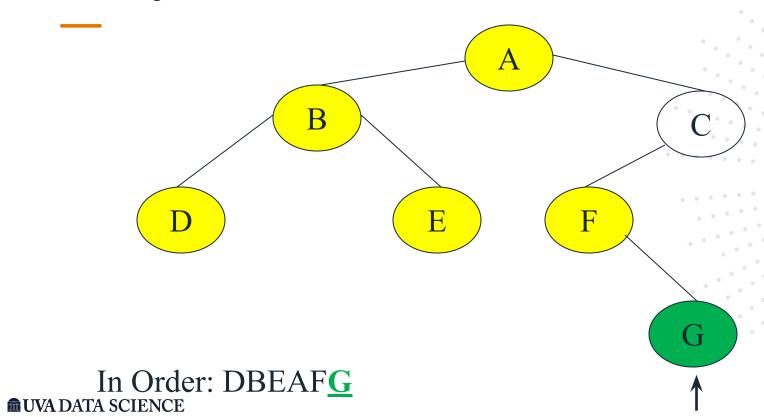


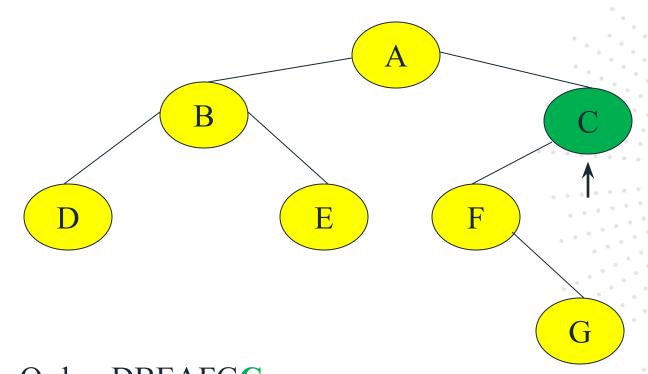




In Order: DBEA auvadata science

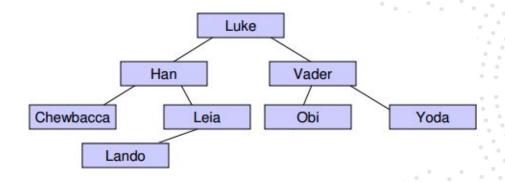






## Binary Tree Traversal Practice

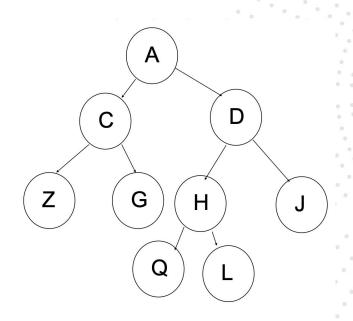
• Write the pre-, in-, and post-order traversals of the following tree:



- In-order:
- Pre-order:
- Post-order:

## Binary Tree Traversal - Quiz

- What is a the result of a post order traversal of the tree to the left?
  - ZGCAQHLDJ
  - ZGCQLHJDA
  - ACZGDHQLJ
  - ACDZGHJQL
  - None of these



#### Traversal Applications

- When would we want to traverse a tree? What are some applications?
  - Processing tree elements
  - Make a clone (deep copy) of a tree
  - Determine tree height
  - Determine tree size (number of nodes)
  - Searching
  - 0

## Iterative Depth-First Search

- **Depth-first search (DFS)** goes deeply into the tree and then backtracks when it reaches the leaves.
- DFS pseudocode algorithm using a Stack!

```
stack.push(root)
while (stack is not empty):
    n = stack.pop()
    process(n) // "visit"
    for (each child of n, starting with the last one):
        stack.push(child)
```

This algorithm accomplishes a pre-order traversal

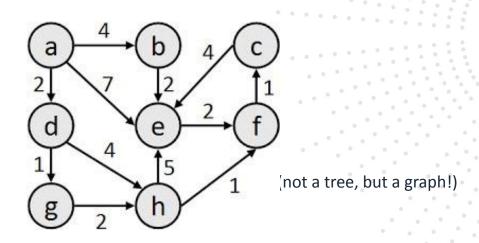
#### Iterative Breadth-First Search

- Breadth-first search (BFS) visits all notes on the same level before going to the next.
- Harder to do recursively than DFS (harder to simulate a queue)
- BFS pseudocode algorithm using a Queue!

```
queue.add(root)
while (queue is not empty):
    n = queue.remove()
    process(n) // "visit"
    for (each child of n):
        queue.add(child)
```

## When would you use Breadth-First?

- Breadth-First Search has an interesting property in that it can be used to find the shortest path between two nodes
- See Dijkstra's algorithm



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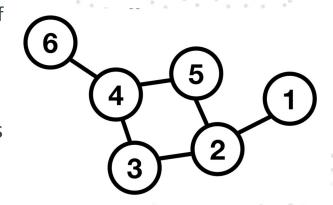
# **Graphs**



#### Graph

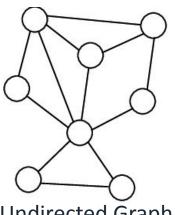
- Have you ever heard of a company called Meta? How about LinkedIn?
  - Companies that connect people together use a social graph to do so.
     Facebook has the world's largest social graph, connecting well over a billion people together. Facebook keeps track of connections in a graph data structure, which we will discuss today.

- A graph is a mathematical structure for representing relationships using vertices and edges.
  - A graph G is a set V of vertices and a collection E of pairs of vertices from V, called edges.
- This is just like a tree, but without any rules!
- Some books use different terminology for graphs and refer to what we call vertices as nodes and what we call edges as arcs.

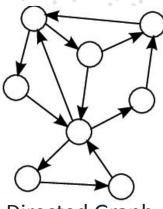


Graph Visualization: <a href="https://visualgo.net/en/graphds">https://visualgo.net/en/graphds</a>

- Graphs do not have any notion of a parent-child relationship, and they can have cycles
- Graphs also do not have roots
- Graphs can have directed edges or undirected edges, which means that you can get from one vertex to another but not necessarily in the other direction



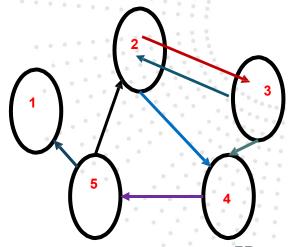
**Undirected Graph** 



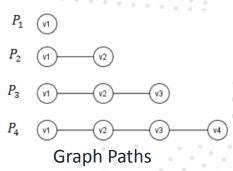
**Directed Graph** 

Graph Visualization: <a href="https://visualgo.net/en/graphds">https://visualgo.net/en/graphds</a>

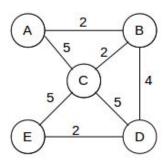
- Let G be any directed graph.
- Let the set E represent the edges of the graph where Eij is a directed edge that goes from vertex i to vertex j
- Eij can be written as (i,j)
- Let V be the set of all vertices (nodes) in the graph
  - EXAMPLE
    - $V = \{1, 2, 3, 4, 5\}$
    - E= {(2,3), (2,4), (3,2), (3,4), (4,5), (5,1), (5,2)}



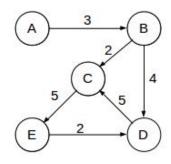
- **Directed edge**: An edge that only goes in one direction (e.g., from **a** to **b**) but not the other way. In a diagram directed edges are arrows.
- Undirected edge: An edge that can go in both directions (e.g., from a to b and from b to a). In a diagram undirected edges are lines.
- **Path**: A *path* from vertex **a** to vertex **b** is a sequence of edges that can be followed starting from **a** to reach **b**.
  - A path can be represented as vertex visited, or edges taken.
  - path length is he number of nodes or edges contained in a path.
- **Neighbor** or **adjacent**: Two vertices connected directly by an edge



- A weighted graph is a graph that has numbers associated with edges.
- Weighted graphs are particularly useful for applications such as mapping between two locations, to take into consideration traffic, distance, time, etc.
  - weighted graph of airline flights, with the weights representing the miles between airports

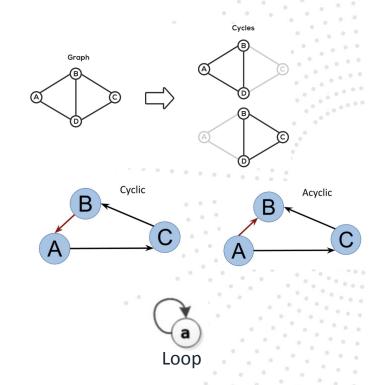


Undirected

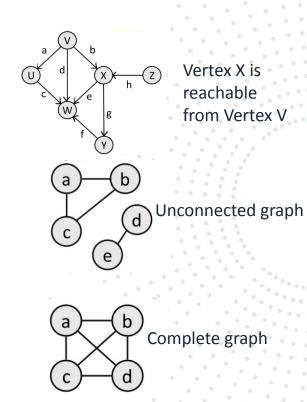


Directed

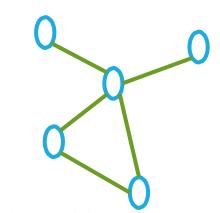
- Cycle: A path that begins and ends at the same node.
- acyclic graph: a graph that does not contain any cycles
- loop: an edge directly from a node to itself
  - Many graphs do not allow loops (you can't be friends with yourself on Facebook)



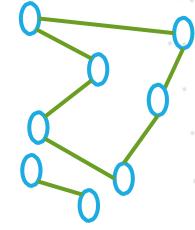
- Reachable: A vertex is reachable from another vertex if a path exists between the two vertices.
- Connected: A graph is connected if every vertex is reachable from every other vertex
- **Complete**: A graph is *complete* if every vertex has an edge to every other edge



How many connected components?



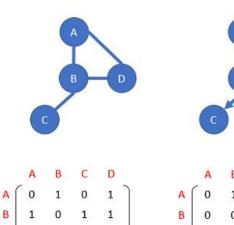
This Graph has 1 connected component



This Graph has 2 connected components.

#### Adjacency Matrix

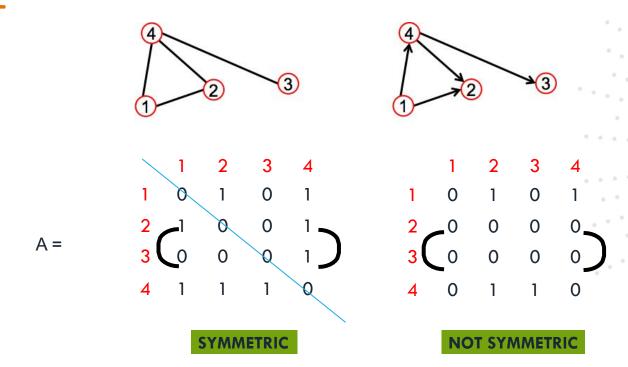
- An adjacency matrix is a way of representing a graph using a square matrix, where the rows and columns correspond to the graph vertices, and the entries indicate whether there is an edge between pairs of vertices
- Given a graph G=(V,E) with V vertices and E edges, the
   adjacency matrix A is a V×V matrix where each
   element A[i][j] represents the connection between
   vertex i and vertex j
- In an undirected graph, the adjacency matrix is symmetric.
- In a directed graph, the adjacency matrix is not necessarily symmetric.



Undirected

Graph Visualization: <a href="https://visualgo.net/en/graphds">https://visualgo.net/en/graphds</a>
UVA DATA SCIENCE

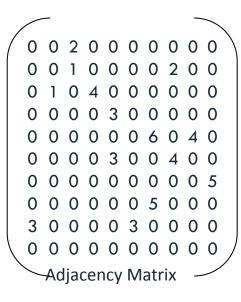
## Adjacency Matrix

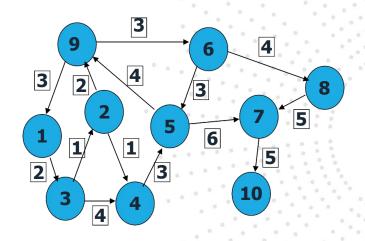


#### Adjacency Matrix

Here, vertex 1 has a link to vertex 3 with a value of 2. Vertex 3 is connected to vertex 2 with a value of 1.

So, in the matrix, row 1 column 3 has a value of 2 and row 3 column 2 has a value of 1.





Graph Visualization: <a href="https://visualgo.net/en/graphds">https://visualgo.net/en/graphds</a>

#### Adjacency List

- An adjacency list is a data structure used to represent a graph. It consists
  of a list (or array) where each element corresponds to a vertex in the
  graph, and each element contains a list of the vertices that are directly
  connected to it by an edge.
- The adjacency list is efficient for storing sparse graphs (where the number of edges is much smaller than the square of the number of vertices), and it uses less memory than an adjacency matrix.
- In an undirected graph, if two vertices A and B are connected by an edge, both A and B will appear in each other's adjacency list. In a directed graph, if there is a directed edge from A to B, only B will appear in A's list.

#### Adjacency List

Keep track of all the edges in the graph

```
Edge list
               Node list with edges
     23
                   1: no exiting edges
     24
                                            (2,3) and (2,4)
                   2: 3 4 (we have edges
     32
                   3: 2 4 (we have edges
                                            (3, 2) and (4,4)
     34
                         (we have edge (4,5)
                   5: 1 2 (we have edges
                                            (5, 1) and (5, 2)
     45
     52
     5 1
```

Graph Visualization: <a href="https://visualgo.net/en/graphds">https://visualgo.net/en/graphds</a>

### **Graph Applications**

 Graphs are used in many domains such as Geography, Chemistry and Engineering sciences.

- Chemical bonds
- Road maps (Google Map)
- Partisanship
- Circuit routing



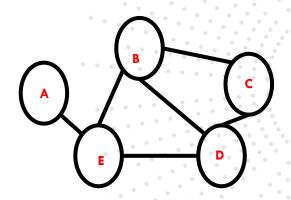


#### **Graph Density**

- Graph Density is a measure of how many edges are in a graph compared to the maximum number of edges possible.
- The density D of a graph is defined as the ratio of the number of edges present in the graph to the maximum possible number of edges in that graph.
- For a graph with V vertices and E edges:
  - o In an **undirected graph**:  $D = \frac{2E}{V(V-1)}$
  - In a directed graph:  $D = \frac{E}{V(V-1)}$
  - Where:
    - V is the number of vertices
    - E is the number of edges
    - $\vee$  V(V-1) is the maximum possible number of edges in a directed graph, and V(V-1)/2 is the maximum in an undirected graph (since edges are bidirectional).

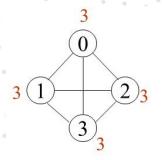
#### **Graph Density**

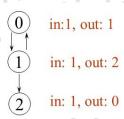
- Example
  - Graph Density  $D = \frac{2E}{V(V-1)}$
  - we have E = 6 and V = 5
  - D = (2\*6)/5\*(5-1) = 12/20 = 0.6
- So, the density of this graph is **0.6**, meaning that 60% of the possible edges are present



#### Node Degree

- Node Degree in Graphs refers to the number of edges connected to a particular vertex
- Degree of a node: In an undirected graph, the degree of a vertex is the number of edges connected to it.
- In directed graphs, each edge has a direction (from one vertex to another). Therefore, the degree of a vertex is split into:
  - **In-Degree**: The number of edges directed **toward** the vertex
  - Out-Degree: The number of edges directed outward from the vertex





#### Betweenness Centrality

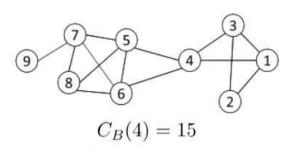
- Betweenness Centrality is a measure of centrality in a graph that quantifies the importance of a vertex based on the number of shortest paths that pass through it.
- The betweenness centrality of a vertex v is the sum of the fraction of all-pairs shortest paths that pass through v
- For a graph G, the betweenness centrality  $C_B(v)$  of a vertex v is given by:  $C_B(v) = \sum_{s \neq v \neq t} \frac{\sigma(s,t|v)}{\sigma(s,t)}$ 
  - s and t are different vertices in the graph.
  - $\sigma(s,t)$  is the total number of shortest paths from vertex s to vertex t
  - $\circ$   $\sigma(s,t\,|\,v)$  is the number of those shortest paths that pass through vertex v

#### Betweenness Centrality

- Vertices with high betweenness centrality often serve as "bridges" or "gateways" in the network, playing a critical role in the flow of information or resources.
- They are also the ones whose removal from the graph will most disrupt communications between other vertices because they lie on the largest number of paths taken by

### Betweenness Centrality Example

• Betweenness Centrality Example



RE: Lei Tang and Huan Liu, Morgan & Claypool, September, 2010.

Table 2.2: $\sigma_{st}(4)/\sigma_{st}$			
	s = 1	s=2	s = 3
t = 5	1/1	2/2	1/1
t = 6	1/1	2/2	1/1
t = 7	2/2	4/4	2/2
t = 8	2/2	4/4	2/2
t = 9	2/2	4/4	2/2

#### What's the betweenness centrality for node 5?

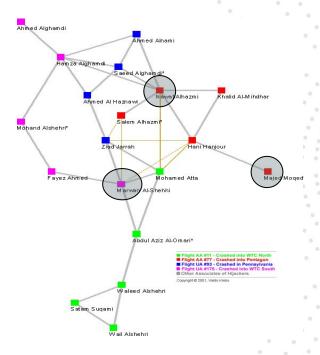
 $\sigma_{st}:$  The number of shortest paths between s and t

 $\sigma_{st}(v_i)$  : The number of shortest paths between  $\tilde{s}$  and  $\tilde{t}$  that pass  $v_i$ 

$$C_B(v_i) = \sum_{\substack{v_s \neq v_i \neq v_t \in V, s < t}} \frac{\sigma_{st}(v_i)}{\sigma_{st}}$$

### **Betweenness Centrality**

- Where are the high and low betweenness nodes?
- Do all high betweenness nodes have to be high degree?
- Why do we care?





#### Communities in Graphs

- Communities in Graphs refer to groups of vertices that are more densely connected to each other than to the rest of the network
- A community (or cluster) in a graph is a subset of vertices that have more internal connections (within the group) than external connections (to vertices outside the group). The goal of community detection is to identify these densely connected regions

#### **Graph WITH CLEAR COMMUNITIES**

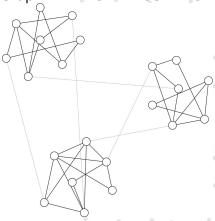
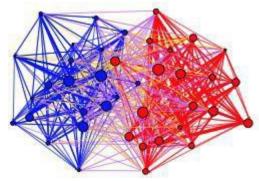


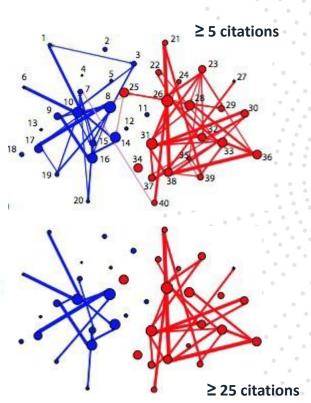
Image Source: M. Girvan, and M. E. Newman PNAS 2002;99:7821-7826

#### Communities in Graphs

 Strength of a Community in a graph refers to the cohesiveness or tightness of connections within a community relative to the connections outside it



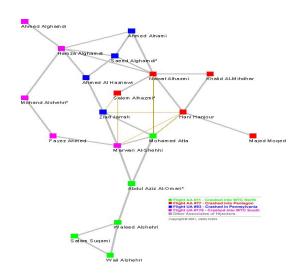
Citations of posts of top 20 liberal & conservative blogs

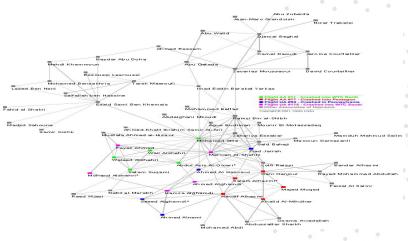


#### Network

- A network is a graph that models real-world systems, where the nodes (vertices) represent entities, and the edges (links) represent the relationships or interactions between those entities.
- While graphs are abstract mathematical objects, networks are graphs that have a specific interpretation in various fields like social sciences, biology, and computer science.
- Networks are mathematical graphs, with vertices (nodes) and edges
- They are simple abstractions that let us model problems involving relationships between individuals, groups, organizations, and societies.

## **Examples of Networks**





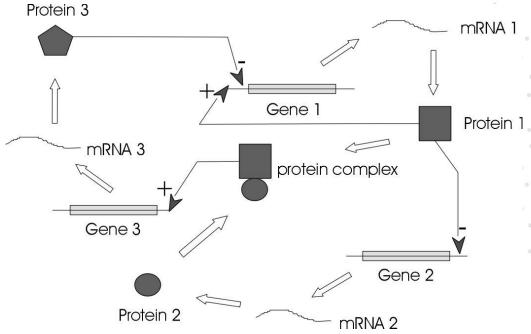
V. Krebs. Uncloaking terrorist networks. First Monday. 7(4). April 1 2002.

**Network Analysis** 



#### **Examples of Networks**

#### **Gene Regulatory Network**

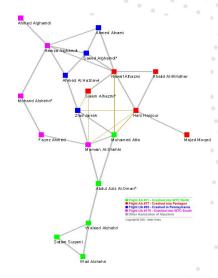




Source: http://www.zaik.uni-koeln.de/bioinformatik/regulatorynets.html.en

#### **Network Properties**

- Network properties only depend on the structure (or topology) of the network
  - Not on the labeling of the network
  - Not on the drawing of the network



#### **Networks**

(Quiz)What are other real world networks?